



Florida Department of Transportation

CHARLIE CRIST
GOVERNOR

605 Suwannee Street
Tallahassee, FL 32399-0450

STEPHANIE C. KOPELOUSOS
SECRETARY

LEGISLATIVE BUDGET REQUEST

October 15, 2010

Jerry McDaniel, Director
Office of Policy and Budget
Executive Office of the Governor
1701 Capitol
Tallahassee, Florida 32399-0001

JoAnne Leznoff, Council Director
House Full Appropriations Councils
221 Capitol
Tallahassee, Florida 32399-1300

David Coburn, Staff Director
Senate Policy and Steering Committee on Ways and Means
201 Capitol
Tallahassee, Florida 32399-1300

Dear Directors:

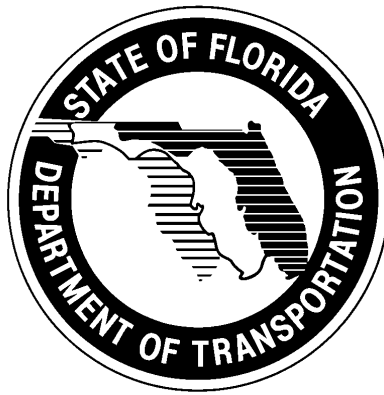
Pursuant to Chapter 216, Florida Statutes, our Legislative Budget Request for the Department of Transportation is submitted in the format prescribed in the budget instructions. The information provided electronically and contained herein is a true and accurate presentation of our proposed needs for the 2011-12 Fiscal Year. This submission has been approved by Stephanie C. Kopelousos, Secretary of Transportation.

Sincerely,

A handwritten signature in black ink that reads "Kimberly Ferrell".

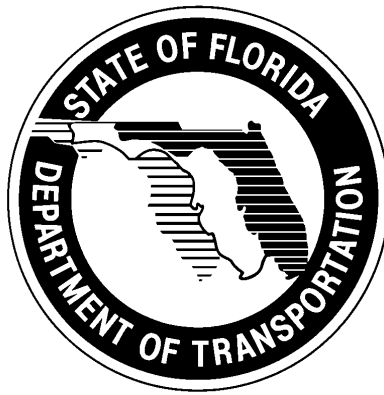
Kimberly Ferrell
Budget Officer

KF/vm
Enclosure



LEGISLATIVE BUDGET REQUEST 2011-2012

Department Level Exhibits and Schedules



**LEGISLATIVE
BUDGET REQUEST
2011-2012**

Schedule IV-C

Recurring Information Technology

Budget Planning

Dept/Agency: **Florida Department of Transportation**
 Submitted by: **Nelson Hill, Chief Information Officer**
 Phone: **850-414-4771**
 Date submitted: **October 15th, 2010**

A.1 – Plan Services

Please consult the *Guidelines for Schedule IV-C: IT Costs and Service Requirements* for specific direction on how to complete this document.

Identify all major IT application systems (custom developed or commercial software) that are included (in whole or part) in this IT Service:			
1	A.1.a.1 Environmental Management Document Management System (EDMS)	10	A.1.a.10 Facilities Reporting & Inventory Maintenance (FIRM)
2	A.1.a.2 Rail Highway Crossing Inventory (RHCI)	11	A.1.a.11 Office and/or User Developed Applications and Systems
3	A.1.a.3 Roadway Characteristics Inventory (RCI)	12	A.1.b.1 Cash Forecasting System (CFS)
4	A.1.a.4 Transportation Statistics Document Management System (TSDMS)	13	A.1.b.2 Estimate Report Tracking System (ERTS)
5	A.1.a.5 Traffic Information System (TIS)	14	A.1.b.3 Financial Project Search (FPS)
6	A.1.a.6 Traffic Characteristics Inventory (TCI)	15	A.1.b.4 Panorama Business Views (PBViews)
7	A.1.a.7 Enterprise Strategic Intermodal System (ESIS)	16	A.1.b.5 Funds Transfer System (FTS)
8	A.1.a.8 Video Log (VLG)	17	A.1.b.6 Office and/or User Developed Applications and Systems
9	A.1.a.9 Traffic Control Products (TCP)	18	A.1.b.7 FLAIR Information Delivery Options (FIDO)

1. IT Service Definition

1.1.1. Provide the definition of this service as identified on Form SC2 (Strategic IT Service Catalog).

Plan Services support the Department’s Core Business Process “Plan.” The “Plan” process includes the functions that develop short- and long-term transportation plans to deliver transportation facilities in a timely manner. These transportation plans include both the engineering and the financial requirements for delivery of transportation facilities. The offices of Planning, Public Transportation, Work Program, Financial Development, District Offices, Local Agencies, Metropolitan Planning Organizations, and Consultants are part of this process.

Intermodal Systems Development includes two program areas: the Florida Transportation Plan and public transportation. This service involves collecting, organizing, and maintaining data required to enable the establishment of a transportation policy framework, including coordination and development of the Florida Transportation Plan. This program also involves decision support systems that support the management of four principal modes of public transportation - aviation, transit, rail, intermodal access and seaports. The technical foundation consists of on-line application systems, some of which are web enabled, that provide real-time update and retrieval of data from DB2, Oracle, and IMS databases. Enterprise reports are provided. Additional technology is comprised of desktop applications along with a number of user-developed and commercial systems deployed on Wintel and Mainframe platforms accessing DB2, Oracle and SQL databases.

Financial Development service involves collecting, organizing, and maintaining data related to roadway characteristics and Department projects to enable financial and work program planning and tracking, and to obtain federal authorization for approved projects. The technical foundation consists of on-line application systems, some of which are web enabled, that provide real-time update and retrieval of data from DB2, Oracle, and IMS databases. Enterprise reports are provided. Additional technology is comprised of desktop applications along with a number of user-developed and commercial systems deployed on Wintel and Mainframe platforms accessing DB2, Oracle and SQL databases.

1.2. Who is the service provider? (*Indicate all that apply*)

- Central IT staff
- Program staff
- Other state agency (*non-primary data center*)
- Other External Service Provider (*specify*)
- Northwood Shared Resource Center
- Southwood Shared Resource Center
- Northwest Regional Data Center

1.3. Who uses the service? (*Indicate all that apply*)

- Agency staff (state employees or contractors)
- Employees or contractors from one or more additional state agencies
- External service providers
- Public (please explain in Question 5.3)

1.4. Please identify the number of users of this service.

5,000

1.5. How many locations currently host this service?

2

2. Service Unique to Agency

2.1. Is a similar or identical IT service provided by another agency or external service provider? (*Identical, Very Similar, No*)

for some applications in this service

Very similar

2.2. If the same level of service could be provided through another agency or source for less than the current cost of the IT service, could your agency change to another service provider?

- Yes
- No

2.2.1. If yes, what must happen for your agency to use another IT service provider?

DOT-specific requirements would have to be defined to the developer (vendor) and the applications would have to be customized to meet the agency's needs before changing to another provider. After implementation, the service provider would have to ensure that applications were accurate and responsive.

2.2.2. If not, why does your agency need to maintain the current provider for this IT service?

3. IT Service Levels Required to Support Business Functions

3.1. Has the agency specified the service level requirements for this IT Service?

- Yes; formal Service Level Agreement(s)
- Yes; informal agreement(s)
- No; specific requirements have not been determined and approved by the department

If you answered "Yes," identify major (formal or informal) service level requirements:

A WorkPlan defining the scope and resource levels for each application is formally adopted by the agency's Information Resource Management Council (IRMC) prior to beginning of each fiscal year. The IRMC provides prioritization of projects and approves planned projects. In addition, information regarding the hours of availability for applications and the method by which support and services can be requested is published.

In addition, we have formal Service Level Agreements (SLA) with the Southwood Shared Resource Center (SSRC) for processes related to their operations.

3.2. Timing and Service Delivery Requirements

3.2.1. Hours/Days that service is required (e.g., 0700-1800 M-F, 24/7) for:

3.2.1.1. User-facing components of this IT service (online) 0600 – 2100 Monday – Friday; 0600 – 1900 Saturday

3.2.1.2. Back-office-facing components of this IT service (batch and maintenance) 2100 – 0600 Monday - Friday

3.2.2. What is the agency's tolerance for down time during peak periods, i.e., time before management-level intervention occurs (e.g., 15 min, 30 min, 60 min)? 15 minutes

3.2.2.1. What are the impacts on the agency's business if this down-time standard is exceeded?

[Empty text box]

3.2.3. Are there any agency-unique service requirements? Yes No

If yes, specify (include any applicable constitutional, statutory, or rule requirements)

A.1.b.1 Cash Forecasting System (CFS) - The tentative work program must include a balanced 36-month forecast of cash and expenditure and a 5-year finance plan supporting the tentative work program. S. 339.135(4)(b)4, F.S. The tentative and adopted work program shall be based on a complete, balanced financial plan for the State Transportation Trust Fund (STTF) and other funds managed by the Department. S. 339.135(3)(a), F.S. The Department shall maintain a cash balance equivalent to not less than \$50 million, or 5 percent of the unpaid balance of all State Transportation Trust Fund obligations at the close of such quarter, whichever amount is less. S. 339.135(6)(b), F.S. The budget for the turnpike system shall be so planned as to provide for a cash reserve at the end of each fiscal year of not less than 5 percent of the unpaid balance of all turnpike system contractual obligations, excluding bond obligations, to be paid from revenues. S. 338.241, F.S.

3.2.4. What are security requirements for this IT service? (Indicate all that apply)

- User ID/Password
- Access through Internet or external network
- Access through internal network only
- Access through Internet with secure encryption
- Other _____

3.2.5. Are there any federal, state, or agency privacy policies or restrictions applicable to this IT Service?

Yes No

3.2.5.1. If yes, please specify and describe:

[Empty text box]

4. User/customer satisfaction

4.1. Are service level metrics reported to business stakeholders or agency management

Yes No

4.1.1. If yes, briefly describe the frequency of reports and how they are provided:

Periodic customer satisfaction survey is conducted and reviewed with DOT Management.

4.2. Are currently defined IT service levels adequate to support the business needs?

Yes No

4.2.1. If no, what changes need to be made to the current IT service? *(Briefly explain)*

4.2.2. List any significant projects that are underway or planned to upgrade or enhance any system, resource, or process associated with this IT service. *Please indicate the D3-A issue number in the Description for any projects that require funds in a legislative budget request for FY 2011-12.*

Project Name	Description	Start Date	End Date	Estimated Total Cost to Complete

5. Additional Information

5.1. Please describe the funding source(s), i.e., general revenue, trust fund, federal grant, or other, that is used to provide this service, and describe any anticipated adjustments to the funding source(s) or funding level for FY 2011-12

State Transportation Trust Fund

5.2. Please indicate whether there is a cost recovery or cost allocation plan for this service, and describe any anticipated adjustments or needed changes in the service funding model (e.g., charge-back, cost allocation, fee-per-transaction, etc.)

5.3. Other pertinent information related to this service

A.1.a.7 Enterprise Strategic Intermodal System (ESIS) - ESIS is available to the public on the internet allowing the legislators, Municipal Planning Organization, and Regional Planning Councils access to the 'SIS' information and show what is strategic to the moving of people and goods throughout Florida. They will use SIS info in their local transportation plans. It is secured by not allowing any data entry from the internet webpage unless they register as an ISA user (Internet Subscriber Account) and can only make a comment in specific areas. ISA authenticates the users through its log in and then ESIS takes that authentication as permission to make available the comment ability.

A.1.a.5 Traffic Information System (TIS) - To provide statistical traffic information regarding Florida's State Highway System. Located on the map of Florida are designated traffic monitoring sites where historical statistics can be reviewed by emergency operation centers and the public. In the event

of an emergency (hurricane, wildfire, etc.) current observed traffic data will also be displayed. Public Access through Internet for Informational purposes only, no security authentication required.

Strategic IT Service: Plan Strategic IT Service #1

Form: Schedule IV-C -Combined v.2011-12

Dept/Agency: **Transportation**

Prepared by: **Nelson Hill**

Phone: **850-414-4499**

Service Provisioning -- Assets & Resources (Cost Elements)

	Footnote Number	# of Assets & Resources apportioned to this IT Service		Estimated IT Service Costs			
		Number used for this service	Number w/ costs In FY 2011-12	A Initial Estimate for Fiscal Year 2010-11	B Estimated FY 2010-11 Allocation of Recurring Base Budget <i>(based on Column G64 minus G65)</i>	C Estimated FY 2011-12 Allocation of Recurring Base Budget <i>(based on Column G64 minus G65)</i>	D Planned Increase/Decrease Use of Recurring Base Funding
A. Personnel		16.13		\$1,985,700	\$1,985,700	\$1,608,004	-\$377,696
A-1.1 State FTE	4	6.60		\$591,800	\$591,800	\$434,593	-\$157,207
A-2.1 OPS FTE		1.00		\$18,800	\$18,800	\$25,000	\$6,200
A-3.1 Contractor Positions (Staff Augmentation)	4	8.53		\$1,375,100	\$1,375,100	\$1,148,411	-\$226,689
B. Hardware		3	0	\$20,600	\$20,600	\$9,302	-\$11,298
B-1 Servers - Mainframe		0	0	\$0	\$0	\$0	\$0
B-2 Servers - Non-Mainframe	4	3	0	\$1,400	\$1,400	\$0	-\$1,400
B-3 Server Maintenance & Support				\$0	\$0	\$0	\$0
B-4 Other Hardware Assets (e.g., system mgt workstation, printers, UPS)	4			\$19,200	\$19,200	\$9,302	-\$9,898
C. Software	4			\$1,682,400	\$1,682,400	\$1,896,965	\$214,565
D. External Service Provider(s)	4	2	0	\$1,467,300	\$1,467,300	\$1,166,687	-\$300,613
E. Other (Please describe in Footnotes Section below)	4			\$13,300	\$13,300	\$13,481	\$181
F. Total for IT Service				\$5,169,300	\$5,169,300	\$4,694,439	-\$474,861

G.	Footnotes - Please be sure to indicate there is a footnote for the corresponding row above. Maximum footnote length is 1024 characters.
1	
2	
3	
4	The Department used apportionment methodologies to distribute shared infrastructure costs that are dedicated to IT strategic services.
5	The costs were distributed based on three different factors of data noted below:
6	1) DASD utilization 2) Document Counts (for costs specific to EDMS) 3) Number of employees per strategic service.
7	
8	
9	
10	
11	
12	
13	
14	
15	

Dept/Agency: **Florida Department of Transportation**
 Submitted by: **Nelson Hill, Chief Information Officer**
 Phone: **850-414-4771**
 Date submitted: **October 15th, 2010**

A.2 – Produce Services

Please consult the *Guidelines for Schedule IV-C: IT Costs and Service Requirements* for specific direction on how to complete this document.

Identify all major IT application systems (custom developed or commercial software) that are included (in whole or part) in this IT Service:			
1	A.2.a.1 Engineering and Design Document Management System (EDDMS)	19	A.2.b.4 Office and/or User Developed Applications and Systems
2	A.2.a.2 Surveying and Mapping Document Management Systems (SMDMS)	20	A.2.c.1 Work Program Administration (WPA)
3	A.2.a.3 Computer Aided Drafting and Design (CADD)	21	A.2.c.2 Federal Authorization Management (FAMS)
4	A.2.a.4 Global Positioning System (GPS)	22	A.2.c.3 Federal Programs Management (FPM-BILL)
5	A.2.a.5 Project Scheduling and Maintenance (PSM)	23	A.2.c.4 Project Cost Management (PCM)
6	A.2.a.6 Value Engineering Recommendation (VER)	24	A.2.c.5 Office and/or User Developed Applications and Systems
7	A.2.a.7 Resolution Tracking System (RTS)	25	A.2.c.6 Project Suite Enterprise Edition (PSEE)
8	A.2.a.8 Long Range Estimating (LRE)	26	A.2.d.1 Trns*Port Letting and Awards System (LAS)
9	A.2.a.9 Trns*Port Proposal and Estimates System (PES)	27	A.2.d.2 Trns*Port Expedite Electronic Bidding (EXP)
10	A.2.a.10 Trns*Port CES Estimating System (CEST)	28	A.2.d.3 Trns*Port Decision Support System (BAMSDSS)
11	A.2.a.12 Research Contract Application (RCA)	29	A.2.d.4 Contractor Pre-Qualification (CPQ)
12	A.2.a.13 Electronic Review Comments (ERC)	30	A.2.d.5 Contract Proposal Processing (CPP)
13	A.2.a.14 Research Expertise (SEDRTS)	31	A.2.d.6 Equal Opportunity Reporting (EOR)
14	A.2.a.15 Office and/or User Developed Applications and Systems	32	A.2.d.7 Civil Rights Legal Management System (CRLMS)
15	A.2.a.16 Local Agency Program Information Tool (LAPIT)	33	A.2.d.8 Office and/or User Developed Applications and Systems
16	A.2.b.1 Right of Way Management System (RWMS)	34	A.2.e.1 Professional Prequalification (PPQ)
17	A.2.b.2 Florida Residency Verification (FRV)	35	A.2.e.2 Consultant Invoice Transmittal System (CITS)
18	A.2.b.3 Outdoor Advertising System (ODA)	36	A.2.e.3 Professional Services Information (PSI)
		37	A.2.e.4 Office and/or User Developed Applications and Systems

1. IT Service Definition

1.1.1. Provide the definition of this service as identified on Form SC2 (Strategic IT Service Catalog).

Produce Services support the Department's Core Business Process "Produce." The "Produce" Process includes the functions that design transportation facilities and perform related activities such as Right-of-Way, Environmental Management, Surveying, Mapping, Permits and Professional Services. In addition to these offices in the Central Office and the Districts; Local Agencies, Federal Agencies and Consultants are integral parts of the process. The technical foundation for Produce Services consists of on-line application systems, some of which are web enabled, that provide real-time update and retrieval of data from DB2, Oracle, and IMS databases. Enterprise reports are provided. Additional technology is comprised of desktop applications along with a number of user-developed and commercial systems deployed on Wintel and Mainframe platforms accessing DB2, Oracle and SQL databases.

Design service involves collecting, organizing, and maintaining data related to the activities and resources associated with environmental concerns, corridor location and other project development issues, project surveying and mapping, roadway and structural design phases, traffic engineering, project estimating, project specifications development, project management including both in-house and consultant development and support, and quality assurance in all of these areas as related to highway and bridge construction projects.

Right of way service involves collecting, organizing, and maintaining data related to the activities and resources associated with right of way appraisal, acquisition, eminent domain, relocation assistance and post-acquisition property management.

Work Program service involves collecting, organizing, and maintaining data related to the activities and resources associated with development and management of the Five Year Adopted Work Program which includes four functional areas: Financial Management Support, Production Management, Work Program Development and Operations, and Federal Aid Management.

Contract Administration service involves collecting, organizing, and maintaining data related to the activities and resources associated with the prequalification of contractors, the award and execution of major constructions contracts, and the evaluation of the competitive market environment relating to the Department's construction contracts.

Professional Services service involves collecting, organizing, and maintaining data related to the activities and resources associated with the prequalification of consultants and the administration of contracting for professional services.

1.2. Who is the service provider? (*Indicate all that apply*)

- | | |
|--|--|
| <input checked="" type="checkbox"/> Central IT staff | <input type="checkbox"/> Northwood Shared Resource Center |
| <input checked="" type="checkbox"/> Program staff | <input checked="" type="checkbox"/> Southwood Shared Resource Center |
| <input type="checkbox"/> Other state agency (<i>non-primary data center</i>) | <input type="checkbox"/> Northwest Regional Data Center |
| <input type="checkbox"/> Other External Service Provider (<i>specify</i>) | |

1.3. Who uses the service? (*Indicate all that apply*)

- Agency staff (state employees or contractors)
- Employees or contractors from one or more additional state agencies
- External service providers
- Public (please explain in Question 5.3)

1.4. Please identify the number of users of this service.

14,000

1.5. How many locations currently host this service?

2

2. Service Unique to Agency

2.1. Is a similar or identical IT service provided by another agency or external service provider? **Very similar**
(Identical, Very Similar, No)
for some applications in this service

2.2. If the same level of service could be provided through another agency or source for less than the current cost of the IT service, could your agency change to another service provider?

Yes No

2.2.1. If yes, what must happen for your agency to use another IT service provider?

DOT-specific requirements would have to be defined to the developer (vendor) and the applications would have to be customized to meet the agency's needs before changing to another provider. After implementation, the service provider would have to ensure that applications were accurate and responsive.

2.2.2. If not, why does your agency need to maintain the current provider for this IT service?

3. IT Service Levels Required to Support Business Functions

3.1. Has the agency specified the service level requirements for this IT Service?

- Yes; formal Service Level Agreement(s)
- Yes; informal agreement(s)
- No; specific requirements have not been determined and approved by the department

If you answered "Yes," identify major (formal or informal) service level requirements:

A WorkPlan defining the scope and resource levels for each application is formally adopted by the agency's Information Resource Management Council (IRMC) prior to beginning of each fiscal year. The IRMC provides prioritization of projects and approves planned projects. In addition, information regarding the hours of availability for applications and the method by which support and services can be requested is published.

In addition, we have formal Service Level Agreements (SLA) with the Southwood Shared Resource Center (SSRC) for processes related to their operations.

3.2. Timing and Service Delivery Requirements

3.2.1. Hours/Days that service is required *(e.g., 0700-1800 M-F, 24/7)* for:

3.2.1.1. User-facing components of this IT service (online) **0600 – 2100 Monday – Friday; 0600 – 1900 Saturday**

3.2.1.2. Back-office-facing components of this IT service (batch and maintenance) **2100 – 0600 Monday - Friday**

3.2.2. What is the agency's tolerance for down time during peak periods, i.e., time before management-level intervention occurs *(e.g., 15 min, 30 min, 60 min)*? **15 minutes**

3.2.2.1. What are the impacts on the agency's business if this down-time standard is exceeded?

3.2.3. Are there any agency-unique service requirements? Yes No

If yes, specify *(include any applicable constitutional, statutory, or rule requirements)*

A.2.a.6 Value Engineering Recommendation (VER) - United States Congress 1970 Highway Act, National Highway Systems Act of 1995, Code of Federal Regulations (CFR), Part 627 established in 1997.

A.2.a.8 Long Range Estimating (LRE) - FS 337.168 Official cost estimate is confidential until the contract is executed or the project is no longer under active consideration.

A.2.a.10 Trns*Port CES Estimating System (CEST) - FS 337.168
Official cost estimate is confidential until the contract is executed or the project is no longer under active consideration.

A.2.c.1 Work Program Administration (WPA) System - Section 339.135, Florida Statutes, authorizes and sets the guidelines for the Department to develop a Statewide Transportation Five Year Work Program.

A.2.c.2 Federal Authorization Management System (FAMS) – Federal Authorization Act(s) - SAFETEA-LU Florida.

A.2.c.4 Project Cost Management (PCM) System – The Florida Statutes requires the Department to be able to measure its performance and take corrective actions where necessary. S. 338.048, F.S.

A.2.c.5 Contract Funds Management (CFM) System – The department shall require a statement from the comptroller of the department that funds are available prior to entering into any such contract or other binding commitment of funds S. 339.135 (6)(a), F.S.

A.2.d.1 Trns*Port Letting and Awards System (LAS) - This information is confidential for a period of time. FS 337.168 and 119.071(1)(b).
Three different time restrictions apply. 1.) FS 119.071(1)(b). The sealed bids or proposals, are exempt until such time as the agency provides notice of a decision or intended decision FS 120.57(3)(a) or within 10 days after bid opening whichever is earlier. 2.) FS 337.168 (1) The official DOT cost estimate is confidential until the contract is executed or the project is no longer under active consideration. 3.) FS 337.168 (2) the identity of other potential bidders is confidential for the period which begins 2 working days prior to deadline for obtaining bid packages, & ends with the bid letting.

A.2.d.2 Trns*Port Expedite Electronic Bidding (EXP) - This information is confidential for a period of time. FS 337.168 and 119.071(1)(b). Official cost estimate is confidential until the contract is executed or the project is no longer under active consideration. Note that some vendor numbers may contain social security numbers and would be exempted under FS 119.071(5)(a)(3).

A.2.d.3 Trns*Port Decision Support System (BAMSDSS) - FS 337.168(3); Also DOT procedure 325-060-001c "Electronic Security for Public Records" paragraph (1)(d): The Bid Analysis and Monitoring System (BAMS) of the Department is exempt from the provisions of FS 119.07(1). This applies to all systems documentation, input, computer processes, programs, electronic data files, output - but does not apply to source documents. Also FS 337.168 (1), (2), (3). Confidentiality of official estimates, identities of potential bidders, and bid analysis and monitoring system," this exemption clearly states the same as what is in the procedure 325-060-001c above.

A.2.e.2 Consultant Invoice Transmittal System (CITS) - F.S. 287.055

A.2.e.3 Professional Services Information (PSI) - F.S. 287.055

A.2.a.9 Trns*Port Proposal and Estimates System (PES) - Section 337.168, Florida Statutes: Confidentiality of official estimate, identities of potential bidders, and bid analysis and monitoring system.

3.2.4. What are security requirements for this IT service? *(Indicate all that apply)*

- User ID/Password
- Access through internal network only
- Other _____
- Access through Internet or external network
- Access through Internet with secure encryption

3.2.5. Are there any federal, state, or agency privacy policies or restrictions applicable to this IT Service?

- Yes
- No

3.2.5.1. If yes, please specify and describe:

4. User/customer satisfaction

4.1. Are service level metrics reported to business stakeholders or agency management

- Yes
- No

4.1.1. If yes, briefly describe the frequency of reports and how they are provided:

Periodic customer satisfaction survey is conducted and reviewed with DOT Management.

4.2. Are currently defined IT service levels adequate to support the business needs?

- Yes
- No

4.2.1. If no, what changes need to be made to the current IT service? *(Briefly explain)*

4.2.2. List any significant projects that are underway or planned to upgrade or enhance any system, resource, or process associated with this IT service. *Please indicate the D3-A issue number in the Description for any projects that require funds in a legislative budget request for FY 2011-12.*

Project Name	Description	Start Date	End Date	Estimated Total Cost to Complete

5. Additional Information

5.1. Please describe the funding source(s), i.e., general revenue, trust fund, federal grant, or other, that is used to provide this service, and describe any anticipated adjustments to the funding source(s) or funding level for FY 2011-12

State Transportation Fund

5.2. Please indicate whether there is a cost recovery or cost allocation plan for this service, and describe any anticipated adjustments or needed changes in the service funding model (e.g., charge-back, cost allocation, fee-per-transaction, etc.)

5.3. Other pertinent information related to this service



Strategic IT Service: Produce Strategic IT Service #2

Form: Schedule IV-C -Combined v.2011-12

Dept/Agency: **Transportation**

Prepared by: **Nelson Hill**

Phone: **850-414-4499**

Service Provisioning -- Assets & Resources (Cost Elements)		Footnote Number	# of Assets & Resources apportioned to this IT Service		Estimated IT Service Costs				
			Number used for this service	Number w/ costs in FY 2011-12	A Initial Estimate for Fiscal Year 2010-11	B Estimated FY 2010-11 Allocation of Recurring Base Budget (based on Column G64 minus G65)	C Estimated FY 2011-12 Allocation of Recurring Base Budget (based on Column G64 minus G65)	D Planned Increase/Decrease Use of Recurring Base Funding	
A. Personnel			47.61		\$3,634,900	\$3,634,900	\$4,139,043	\$504,143	
A-1.1	State FTE	4	30.27		\$2,106,100	\$2,106,100	\$1,920,342	-\$185,758	
A-2.1	OPS FTE		1.00		\$18,800	\$18,800	\$25,000	\$6,200	
A-3.1	Contractor Positions (Staff Augmentation)	4	16.34		\$1,510,000	\$1,510,000	\$2,193,701	\$683,701	
B. Hardware			14	2	\$1,114,300	\$1,114,300	\$223,540	-\$890,760	
B-1	Servers - Mainframe		0	0	\$0	\$0	\$0	\$0	
B-2	Servers - Non-Mainframe	4	14	2	\$26,100	\$26,100	\$0	-\$26,100	
B-3	Server Maintenance & Support				\$0	\$0	\$0	\$0	
B-4	Other Hardware Assets (e.g., system mgt workstation, printers, UPS)	4			\$1,088,200	\$1,088,200	\$223,540	-\$864,660	
C. Software		4			\$1,225,400	\$1,225,400	\$817,325	-\$408,075	
D. External Service Provider(s)		4	1	0	\$3,558,400	\$3,558,400	\$1,323,523	-\$2,234,877	
E. Other (Please describe in Footnotes Section below)		4			\$79,400	\$79,400	\$64,656	-\$14,744	
F. Total for IT Service					\$9,612,400	\$9,612,400	\$6,568,087	-\$3,044,313	
G.	Footnotes - Please be sure to indicate there is a footnote for the corresponding row above. Maximum footnote length is 1024 characters.								
1									
2									
3									
4	The Department used apportionment methodologies to distribute shared infrastructure costs that are dedicated to IT strategic services.								
5	The costs were distributed based on three different factors of data noted below:								
6	1) DASD utilization	2) Document Counts (for costs specific to EDMS)	3) Number of employees per strategic service.						
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Dept/Agency: **Florida Department of Transportation**
 Submitted by: **Nelson Hill, Chief Information Officer**
 Phone: **850-414-4771**
 Date submitted: **October 15th, 2010**

A.3 – Deliver Services

Please consult the *Guidelines for Schedule IV-C: IT Costs and Service Requirements* for specific direction on how to complete this document.

Identify all major IT application systems (custom developed or commercial software) that are included (in whole or part) in this IT Service:			
1	A.3.a.1 Trns*Port SiteManager System (SIM)	7	A.3.b.1 State Materials Document Management System (MTDMS)
2	A.3.a.2 Contract Information & Monitoring Systems (CIM)	8	A.3.b.2 Pavement Coring Reporting (PCR)
3	A.3.a.3 Construction Document Management Systems (CDMS)	9	A.3.b.3 Construction Quality Reporting (CQR)
4	A.3.a.4 Electronic Estimate Disbursement (EED)	10	A.3.b.4 State Transportation Ad-hoc Reporting System aka Trns*port End User Reporting (STARS)
5	A.3.a.5 Statewide Construction Database (SCD)	11	A.3.b.5 Office and/or User Developed Applications and Systems
6	A.3.a.6 Office and/or User Developed Applications and Systems		

1. IT Service Definition

1.1.1. Provide the definition of this service as identified on Form SC2 (Strategic IT Service Catalog).

Deliver Services support the Department’s Core Business Process “Deliver.” The “Deliver” process includes the functions related to building transportation facilities. The offices of Construction, Materials, and Estimates are among those involved in this process. Federal Agencies, Local Agencies and Contractors also are integral to this process. The technical foundation for Deliver Services consists of on-line application systems, some of which are web enabled, that provide real-time update and retrieval of data from DB2, Oracle, and IMS databases. Enterprise reports are provided. Additional technology is comprised of desktop applications along with a number of user-developed and commercial systems deployed on Wintel and Mainframe platforms accessing DB2, Oracle and SQL databases.

Construction service involves collecting, organizing, and maintaining data related to those activities which construct the transportation infrastructure.

Materials service involves collecting, organizing, and maintaining data related to those activities which ensure that the materials used in transportation construction projects meet the required department specifications and to investigate new and emerging technologies and innovative concepts to improve the long-term performance of transportation facilities.

1.2. Who is the service provider? (*Indicate all that apply*)

- Central IT staff
- Program staff
- Other state agency (*non-primary data center*)
- Other External Service Provider (*specify*)
- Northwood Shared Resource Center
- Southwood Shared Resource Center
- Northwest Regional Data Center

1.3. Who uses the service? (*Indicate all that apply*)

- Agency staff (state employees or contractors)
- Employees or contractors from one or more additional state agencies
- External service providers
- Public (please explain in Question 5.3)

1.4. Please identify the number of users of this service.

5,000

1.5. How many locations currently host this service?

2

2. Service Unique to Agency

2.1. Is a similar or identical IT service provided by another agency or external service provider?
(*Identical, Very Similar, No*)

Very similar

for some applications in this service

2.2. If the same level of service could be provided through another agency or source for less than the current cost of the IT service, could your agency change to another service provider?

- Yes No

2.2.1. If yes, what must happen for your agency to use another IT service provider?

DOT-specific requirements would have to be defined to the developer (vendor) and the applications would have to be customized to meet the agency's needs before changing to another provider. After implementation, the service provider would have to ensure that applications were accurate and responsive.

2.2.2. If not, why does your agency need to maintain the current provider for this IT service?

3. IT Service Levels Required to Support Business Functions

3.1. Has the agency specified the service level requirements for this IT Service?

- Yes; formal Service Level Agreement(s)
- Yes; informal agreement(s)
- No; specific requirements have not been determined and approved by the department

If you answered "Yes," identify major (formal or informal) service level requirements:

A WorkPlan defining the scope and resource levels for each application is formally adopted by the agency's Information Resource Management Council (IRMC) prior to beginning of each fiscal year. The IRMC provides prioritization of projects and approves planned projects. In addition, information regarding the hours of availability for applications and the method by which support and services can be requested is published.

In addition, we have formal Service Level Agreements (SLA) with the Southwood Shared Resource Center (SSRC) for processes related to their operations.

3.2. Timing and Service Delivery Requirements

3.2.1. Hours/Days that service is required (*e.g., 0700-1800 M-F, 24/7*) for:

3.2.1.1. User-facing components of this IT service (online)

0600 –

2100 Monday – Friday; 0600 – 1900 Saturday

3.2.1.2. Back-office-facing components of this IT service (batch and maintenance) 2100 – 0600 Monday - Friday

3.2.2. What is the agency's tolerance for down time during peak periods, i.e., time before management-level intervention occurs (e.g., 15 min, 30 min, 60 min)? 15 minutes

3.2.2.1. What are the impacts on the agency's business if this down-time standard is exceeded?

[Redacted]

3.2.3. Are there any agency-unique service requirements? Yes No
If yes, specify (include any applicable constitutional, statutory, or rule requirements)

[Redacted]

3.2.4. What are security requirements for this IT service? (Indicate all that apply)

- User ID/Password
- Access through Internet or external network
- Access through internal network only
- Access through Internet with secure encryption
- Other _____

3.2.5. Are there any federal, state, or agency privacy policies or restrictions applicable to this IT Service?
 Yes No

3.2.5.1. If yes, please specify and describe:

[Redacted]

4. User/customer satisfaction

4.1. Are service level metrics reported to business stakeholders or agency management
 Yes No

4.1.1. If yes, briefly describe the frequency of reports and how they are provided:

Periodic customer satisfaction survey is conducted and reviewed with DOT Management.

4.2. Are currently defined IT service levels adequate to support the business needs?
 Yes No

4.2.1. If no, what changes need to be made to the current IT service? (Briefly explain)

[Redacted]

4.2.2. List any significant projects that are underway or planned to upgrade or enhance any system, resource, or process associated with this IT service. Please indicate the D3-A issue number in the Description for any projects that require funds in a legislative budget request for FY 2011-12.

Project Name	Description	Start Date	End Date	Estimated Total Cost to Complete

5. Additional Information

5.1. Please describe the funding source(s), i.e., general revenue, trust fund, federal grant, or other, that is used to provide this service, and describe any anticipated adjustments to the funding source(s) or funding level for FY 2011-12

State Transportation Trust Fund

5.2. Please indicate whether there is a cost recovery or cost allocation plan for this service, and describe any anticipated adjustments or needed changes in the service funding model (e.g., charge-back, cost allocation, fee-per-transaction, etc.)

5.3. Other pertinent information related to this service

A.3.a.5 Statewide Construction Database (SCD) To provide the public with information on all major construction throughout the state of Florida. Transportation Costs through various reports provided by the Office of Policy Planning. Public Access through Internet for Informational only, no security authentication required.

Strategic IT Service: Deliver Strategic IT Service #3

Form: Schedule IV-C -Combined v.2011-12

Dept/Agency: **Transportation**

Prepared by: **Nelson Hill**

Phone: **850-414-4499**

Service Provisioning -- Assets & Resources (Cost Elements)		Footnote Number	# of Assets & Resources apportioned to this IT Service		Estimated IT Service Costs			
			Number used for this service	Number w/ costs in FY 2011-12	A Initial Estimate for Fiscal Year 2010-11	B Estimated FY 2010-11 Allocation of Recurring Base Budget (based on Column G64 minus G65)	C Estimated FY 2011-12 Allocation of Recurring Base Budget (based on Column G64 minus G65)	D Planned Increase/Decrease Use of Recurring Base Funding
A. Personnel			10.49		\$1,386,900	\$1,386,900	\$934,294	-\$452,606
A-1.1	State FTE	4	6.71		\$711,200	\$711,200	\$429,048	-\$282,152
A-2.1	OPS FTE		0.00		\$18,800	\$18,800	\$0	-\$18,800
A-3.1	Contractor Positions (Staff Augmentation)	4	3.78		\$656,900	\$656,900	\$505,246	-\$151,654
B. Hardware			6	2	\$63,800	\$63,800	\$13,790	-\$50,010
B-1	Servers - Mainframe		0	0	\$0	\$0	\$0	\$0
B-2	Servers - Non-Mainframe	4	6	2	\$9,000	\$9,000	\$0	-\$9,000
B-3	Server Maintenance & Support				\$0	\$0	\$0	\$0
B-4	Other Hardware Assets (e.g., system mgt workstation, printers, UPS)	4			\$54,800	\$54,800	\$13,790	-\$41,010
C. Software		4			\$450,200	\$450,200	\$304,101	-\$146,099
D. External Service Provider(s)		4	5	0	\$1,596,500	\$1,596,500	\$668,946	-\$927,554
E. Other (Please describe in Footnotes Section below)		4			\$48,400	\$48,400	\$18,433	-\$29,967
F. Total for IT Service					\$3,545,800	\$3,545,800	\$1,939,564	-\$1,606,236
G. Footnotes - Please be sure to indicate there is a footnote for the corresponding row above. Maximum footnote length is 1024 characters.								
1								
2								
3								
4	The Department used apportionment methodologies to distribute shared infrastructure costs that are dedicated to IT strategic services.							
5	The costs were distributed based on three different factors of data noted below:							
6	1) DASD utilization 2) Document Counts (for costs specific to EDMS) 3) Number of employees per strategic service.							
7								
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13								
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15								

Dept/Agency: **Florida Department of Transportation**
 Submitted by: **Nelson Hill, Chief Information Officer**
 Phone: **850-414-4771**
 Date submitted: **October 15th, 2010**

A.4 – Maintain & Operate Services

Please consult the *Guidelines for Schedule IV-C: IT Costs and Service Requirements* for specific direction on how to complete this document.

Identify all major IT application systems (custom developed or commercial software) that are included (in whole or part) in this IT Service:			
1	A.4.a.1 Bridge Management – BMS (BMSPONTIS)	14	A.4.b.1 Crash Analysis and Reporting (CAR)
2	A.4.a.2 Oversized Vehicle Permits (OVP)	15	A.4.b.2 Industrial Accident Reporting (IAR)
3	A.4.a.3 Permits Information Tracking (PIT)	16	A.4.b.3 Skid Hazard Reporting (SHR)
4	A.4.a.4 Mobile Equipment (MEQ)	17	A.4.b.4 Safety Document Management System (SFDMS)
5	A.4.a.5 Materials Supply Inventory (MSI)	18	A.4.b.5 Transportation Emergency Ops Center Tracking (TEOC)
6	A.4.a.6 Petroleum (PET)	19	A.4.b.6 Crash Locator System (CLS)
7	A.4.a.7 Bridge Load Rating (VIRTIS)	20	A.4.b.7 Unified Basemap Repository (UBR)
8	A.4.a.8 Structures Maintenance Document Management System (STRMDMS)	21	A.4.b.8 GIS Clearinghouse (GCH)
9	A.4.a.9 Bridge Management System Clearance Issue (BMSCI)	22	A.4.b.9 DUI Sustained Enforcement Initiative (DUIRTS)
10	A.4.a.10 Adopt A Highway (AAH)	23	A.4.b.10 Office and/or User Developed Applications and Systems
11	A.4.a.11 Maintenance Performance Based Contracting Scope (PMBCRTS)	24	A.4.c.1 Office and/or User Developed Applications and Systems
12	A.4.a.12 Office and/or User Developed Applications and Systems	25	A.4.d.1 Office and/or User Developed Applications and Systems
13	A.4.a.13 Automated Permit Application Submission System (PAS)		

1. IT Service Definition

1.1.1. Provide the definition of this service as identified on Form SC2 (Strategic IT Service Catalog).

Maintain & Operate Services support the Department’s Core Business Process “Maintain & Operate.” The “Maintain & Operate” Process includes the functions that keep the transportation system safe and operational. The offices of Maintenance, Safety, Motor Carrier Compliance, and Traffic Operations are part of this process. The technical foundation for Maintain & Operate Services consists of on-line application systems, some of which are web enabled, that provide real-time update and retrieval of data from DB2, and Oracle databases. Enterprise reports are provided. Additional technology is comprised of desktop applications along with a number of user-developed and commercial systems deployed on Wintel and Mainframe platforms accessing DB2, Oracle and SQL databases.

Maintenance service involves collecting, organizing, and maintaining data to coordinate and administrate statewide road, bridge, preventive and emergency maintenance policies, procedures, standards; and optimize use of equipment at the lowest cost and maximize

readiness and capability of equipment. Operation of the State Sign Shop, Structures Shop and State Warehouse manufacturing are included in this service.

Safety service involves collecting, organizing, and maintaining data to enable the department's analysis of traffic accident reporting, crash analysis, and skid testing to improve the safety of the state's roadways

1.2. Who is the service provider? *(Indicate all that apply)*

- Central IT staff
- Program staff
- Other state agency *(non-primary data center)*
- Other External Service Provider *(specify)*
- Northwood Shared Resource Center
- Southwood Shared Resource Center
- Northwest Regional Data Center

1.3. Who uses the service? *(Indicate all that apply)*

- Agency staff (state employees or contractors)
- Employees or contractors from one or more additional state agencies
- External service providers
- Public (please explain in Question 5.3)

1.4. Please identify the number of users of this service. 2,000

1.5. How many locations currently host this service? 2

2. Service Unique to Agency

2.1. Is a similar or identical IT service provided by another agency or external service provider? *(Identical, Very Similar, No)* Very similar for some applications in this service

2.2. If the same level of service could be provided through another agency or source for less than the current cost of the IT service, could your agency change to another service provider?

- Yes
- No

2.2.1. If yes, what must happen for your agency to use another IT service provider?

DOT-specific requirements would have to be defined to the developer (vendor) and the applications would have to be customized to meet the agency's needs before changing to another provider. After implementation, the service provider would have to ensure that applications were accurate and responsive.

2.2.2. If not, why does your agency need to maintain the current provider for this IT service?

3. IT Service Levels Required to Support Business Functions

3.1. Has the agency specified the service level requirements for this IT Service?

- Yes; formal Service Level Agreement(s)
- Yes; informal agreement(s)
- No; specific requirements have not been determined and approved by the department

If you answered "Yes," identify major (formal or informal) service level requirements:

A WorkPlan defining the scope and resource levels for each application is formally adopted by the agency's Information Resource Management Council (IRMC) prior to beginning of each fiscal year. The IRMC provides prioritization of projects and approves planned projects. In addition, information regarding the hours of availability for applications and the method by which support and services can be requested is published.

In addition, we have formal Service Level Agreements (SLA) with the Southwood Shared Resource Center (SSRC) for processes related to their operations.

3.2. Timing and Service Delivery Requirements

3.2.1. Hours/Days that service is required (e.g., 0700-1800 M-F, 24/7) for:

3.2.1.1. User-facing components of this IT service (online) 0600 – 2100 Monday – Friday; 0600 – 1900 Saturday

3.2.1.2. Back-office-facing components of this IT service (batch and maintenance) 2100 – 0600 Monday – Friday; (PAS Monday – Sunday)

3.2.2. What is the agency's tolerance for down time during peak periods, i.e., time before management-level intervention occurs (e.g., 15 min, 30 min, 60 min)? 15 minutes

3.2.2.1. What are the impacts on the agency's business if this down-time standard is exceeded?

3.2.3. Are there any agency-unique service requirements? Yes No

If yes, specify (include any applicable constitutional, statutory, or rule requirements)

A.4.a.3 Permits Information Tracking (PIT) - Florida Statute Chapter 120.

A.4.a.4 Mobile Equipment (MEQ) - DMS Rule Chapter 60B-1 Motor Vehicles and Watercraft Acquisition, Assignment and Use, 60B-1.010 Reports and Records, Specific Authority 287.16(6) F.S. Law Implemented 287.16(8) F.S. History New 11-28083, Formerly 13B-3.10, 13B-3.010.

A.4.a.5 Materials Supply Inventory (MSI) - Florida Statutes Section 334.044.

A.4.a.6 Petroleum (PET) - DMS Rule Chapter 60B-1 Motor Vehicles and Watercraft Acquisition, Assignment and Use, 60B-1.010 Reports and Records, Specific Authority 287.16(6) F.S. Law Implemented 287.16(8) F.S. History New 11-28083, Formerly 13B-3.10, 13B-3.010.

A.4.a.9 Bridge Management System Clearance Issue (BMSCI) - Florida Statutes Sections 119.071(3)(a), 119.071(3)(b), and 334.03(28).

A.4.a.13 Automated Permit Application Submission System (PAS) - Florida Statutes Sections 316.535(6) and 316.550(4)a, and Florida Administrative Code Chapters 14-26.

A.4.b.2 Industrial Accident Reporting (IAR) – F.S. 284.50 Risk Management & Safety Programs, F.S. 440 details Workers Compensation requirements, Loss Prevention Manual Topic No. 500-000-015.

3.2.4. What are security requirements for this IT service? (Indicate all that apply)

- User ID/Password
- Access through Internet or external network
- Access through internal network only
- Access through Internet with secure encryption
- Other _____

3.2.5. Are there any federal, state, or agency privacy policies or restrictions applicable to this IT Service?

Yes No

3.2.5.1. If yes, please specify and describe:

A.4.a.9 Bridge Management System Clearance Issue (BMSCI) - Florida Statutes Sections 119.071(3)(a), 119.071(3)(b), and 334.03(28).

4. User/customer satisfaction

4.1. Are service level metrics reported to business stakeholders or agency management

Yes No

4.1.1. If yes, briefly describe the frequency of reports and how they are provided:

Periodic customer satisfaction survey is conducted and reviewed with DOT Management.

4.2. Are currently defined IT service levels adequate to support the business needs?

Yes No

4.2.1. If no, what changes need to be made to the current IT service? *(Briefly explain)*

4.2.2. List any significant projects that are underway or planned to upgrade or enhance any system, resource, or process associated with this IT service. *Please indicate the D3-A issue number in the Description for any projects that require funds in a legislative budget request for FY 2011-12.*

Project Name	Description	Start Date	End Date	Estimated Total Cost to Complete

5. Additional Information

5.1. Please describe the funding source(s), i.e., general revenue, trust fund, federal grant, or other, that is used to provide this service, and describe any anticipated adjustments to the funding source(s) or funding level for FY 2011-12

State Transportation Trust Fund

5.2. Please indicate whether there is a cost recovery or cost allocation plan for this service, and describe any anticipated adjustments or needed changes in the service funding model (e.g., charge-back, cost allocation, fee-per-transaction, etc.)

5.3. Other pertinent information related to this service

A.4.a.13 Automated Permit Application Submission System (PAS) – Bank of America receives and sends information to the service.

A.4.a.10 Adopt A Highway (AAH) - To provide the distribution of information regarding the Adopt-A-Highway program to the public. The public may sign up as an individual or an individual representing a

group to submit an application into the Adopt-A-Highway program which registers the applicant as an ISA (Internet Subscriber Account) user. ISA authenticates the user through its login and then Adopt-A-Highway takes that authentication as permission to allow the applicant to register for a specific highway. The final approval process occurs outside of the application by the Adopt-A-Highway Coordinator.

Strategic IT Service: Maintain & Operate Strategic IT Service #4

Form: Schedule IV-C -Combined v.2011-12

Dept/Agency: **Transportation**

Prepared by: **Nelson Hill**

Phone: **850-414-4499**

Service Provisioning -- Assets & Resources (Cost Elements)		Footnote Number	# of Assets & Resources apportioned to this IT Service		Estimated IT Service Costs			
			Number used for this service	Number w/ costs in FY 2011-12	A Initial Estimate for Fiscal Year 2010-11	B Estimated FY 2010-11 Allocation of Recurring Base Budget (based on Column G64 minus G65)	C Estimated FY 2011-12 Allocation of Recurring Base Budget (based on Column G64 minus G65)	D Planned Increase/Decrease Use of Recurring Base Funding
A. Personnel			28.17		\$1,548,200	\$1,548,200	\$2,226,265	\$678,065
A-1.1	State FTE	4	20.42		\$1,088,400	\$1,088,400	\$1,319,877	\$231,477
A-2.1	OPS FTE		1.00		\$0	\$0	\$25,000	\$25,000
A-3.1	Contractor Positions (Staff Augmentation)	4	6.75		\$459,800	\$459,800	\$881,388	\$421,588
B. Hardware			37	7	\$435,200	\$435,200	\$251,220	-\$183,980
B-1	Servers - Mainframe		0	0	\$0	\$0	\$0	\$0
B-2	Servers - Non-Mainframe	4	37	7	\$31,300	\$31,300	\$12,000	-\$19,300
B-3	Server Maintenance & Support				\$0	\$0	\$0	\$0
B-4	Other Hardware Assets (e.g., system mgt workstation, printers, UPS)	4			\$403,900	\$403,900	\$239,220	-\$164,680
C. Software		4			\$780,600	\$780,600	\$1,138,142	\$357,542
D. External Service Provider(s)		4	10	0	\$2,677,400	\$2,677,400	\$3,932,443	\$1,255,043
E. Other (Please describe in Footnotes Section below)		4			\$301,300	\$301,300	\$190,444	-\$110,856
F. Total for IT Service					\$5,742,700	\$5,742,700	\$7,738,514	\$1,995,814
G. Footnotes - Please be sure to indicate there is a footnote for the corresponding row above. Maximum footnote length is 1024 characters.								
1								
2								
3								
4	The Department used apportionment methodologies to distribute shared infrastructure costs that are dedicated to IT strategic services.							
5	The costs were distributed based on three different factors of data noted below:							
6	1) DASD utilization	2) Document Counts (for costs specific to EDMS)	3) Number of employees per strategic service.					
7								
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15								

Dept/Agency: **Florida Department of Transportation**
 Submitted by: **Nelson Hill, Chief Information Officer**
 Phone: **805-414-4771**
 Date submitted: **October 15TH, 2010**

A.5 - Turnpike Enterprise - Toll Operations

Please consult the *Guidelines for Schedule IV-C: IT Costs and Service Requirements* for specific direction on how to complete this document.

Identify all major IT application systems (custom developed or commercial software) that are included (in whole or part) in this IT Service:			
1	A.5.a Barrier Audit System	8	A.5.h Data Warehouse
2	A.5.b Ticket Audit System	9	A.5.i Plaza System
3	A.5.c Ticket Audit System PC Application	10	A.5.j Lane Controller System
4	A.5.d SunPass Prepaid Toll Collection System	11	A.5.k Code Management
5	A.5.e SunPass Prepaid Toll System PC Application	12	A.5.l ITIL Implementation & Support
6	A.5.f Violations Enforcement System	13	A.5.m Office and/or User Developed Applications and Systems
7	A.5.g Disaster Recovery		

1. IT Service Definition

1.1.1. Provide the definition of this service as identified on Form SC2 (Strategic IT Service Catalog).

This service involves collecting and processing data to enable the toll collection operations of the department's Turnpike Enterprise. A major component of this service is comprised of systems and services to collect and manage toll proceeds from patrons traveling on Turnpike and off Turnpike facilities. There is also SunPass electronic toll collection interoperability with OOCEA, Lee County, and MDX Expressway Authorities as well as several Florida international airports. The SunPass CRM application handles in excess of 2 million customer accounts and supports over 300 call center agents located in Boca Raton and Orlando. The technical foundation specific to Tolls consists of on line and batch applications running in an Sun Solaris Servers, OpenVMS Alpha server and Wintel server computing environment. There are HP Alpha servers at each toll plaza and maintenance facility throughout the State and mainframe level HP Alpha servers along with Sun Servers at the data center in Boca Raton as well as the recovery facility in Orlando. The databases are a combination of Oracle 11i and RDB 7.1. The 800+ lane controllers throughout the State run under the QNX real time UNIX operating system.

1.2. Who is the service provider? *(Indicate all that apply)*

- Central IT staff
- Program staff
- Other state agency *(non-primary data center)*
- Other External Service Provider *(specify)*
- Northwood Shared Resource Center
- Southwood Shared Resource Center
- Northwest Regional Data Center

1.3. Who uses the service? *(Indicate all that apply)*

- Agency staff (state employees or contractors)

- Employees or contractors from one or more additional state agencies
- External service providers
- Public (please explain in Question 5.3)

1.4. Please identify the number of users of this service. 3.5 million

1.5. How many locations currently host this service? 95 locations

2. Service Unique to Agency

2.1. Is a similar or identical IT service provided by another agency or external service provider?
(Identical, Very Similar, No) Very similar
 for some applications in this service

2.2. If the same level of service could be provided through another agency or source for less than the current cost of the IT service, could your agency change to another service provider?

- Yes No

2.2.1. If yes, what must happen for your agency to use another IT service provider?

DOT-specific requirements would have to be defined to the developer (vendor) and the applications would have to be customized to meet the agency's needs before changing to another provider. After implementation, the service provider would have to insure that applications were accurate and responsive.

2.2.2. If not, why does your agency need to maintain the current provider for this IT service?

3. IT Service Levels Required to Support Business Functions

3.1. Has the agency specified the service level requirements for this IT Service?

- Yes; formal Service Level Agreement(s)
- Yes; informal agreement(s)
- No; specific requirements have not been determined and approved by the department

If you answered "Yes," identify major (formal or informal) service level requirements:

Toll Operations has intra-departmental and external provider SLAs for services provided to SunPass® operations and its various business partners.

3.2. Timing and Service Delivery Requirements

3.2.1. Hours/Days that service is required (e.g., 0700-1800 M-F, 24/7) for:

3.2.1.1. User-facing components of this IT service (online) 7X24

3.2.1.2. Back-office-facing components of this IT service (batch and maintenance) 2100 – 0600 daily

3.2.2. What is the agency's tolerance for down time during peak periods, i.e., time before management-level intervention occurs (e.g., 15 min, 30 min, 60 min)? 15 minutes

3.2.2.1. What are the impacts on the agency's business if this down-time standard is exceeded?

Reduction of customer satisfaction and service, along with potential loss of revenue for partners.

- 3.2.3. Are there any agency-unique service requirements? Yes No
 If yes, specify *(Include any applicable constitutional, statutory, or rule requirements)*

Payment card industry security standards and 60DD statutory audit requirements.

- 3.2.4. What are security requirements for this IT service? *(Indicate all that apply)*

- User ID/Password Access through Internet or external network
 Access through internal network only Access through Internet with secure encryption
 Other ____PCI DSS & 60DD requirements_____

- 3.2.5. Are there any federal, state, or agency privacy policies or restrictions applicable to this IT Service?
 Yes No

3.2.5.1. If yes, please specify and describe:

PCI DSS & 60DD requirements drive agency policies and there is a SunPass® Customer agreement that necessitates privacy policies.

4. User/customer satisfaction

- 4.1. Are service level metrics reported to business stakeholders or agency management

Yes No

- 4.1.1. If yes, briefly describe the frequency of reports and how they are provided:

Periodic customer satisfaction survey is conducted and reviewed with DOT Management and continuous random SunPass Star customer server. Call center quality and performance metrics are maintained to manage quality and efficiency.

- 4.2. Are currently defined IT service levels adequate to support the business needs?

Yes No

- 4.2.1. If no, what changes need to be made to the current IT service? *(Briefly explain)*

- 4.2.2. List any significant projects that are underway or planned to upgrade or enhance any system, resource, or process associated with this IT service. *Please indicate the D3-A issue number in the Description for any projects that require funds in a legislative budget request for FY 2011-12.*

Project Name	Description	Start Date	End Date	Estimated Total Cost to Complete
Toll System Replacement	Life cycle replacement of the road side toll collection system and some of the back office functions such as cash audit, equipment maintenance management, and transaction processing.	10/13/2006	6/30/2012	\$170 million
SunToll Phase 1	New version of the customer service back office software system designed to efficiently handle all electronic tolling and video tolling.	5/2009	10/2010	\$120,000

5. Additional Information

5.1. Please describe the funding source(s), i.e., general revenue, trust fund, federal grant, or other, that is used to provide this service, and describe any anticipated adjustments to the funding source(s) or funding level for FY 2011-12

State Transportation Trust Fund

5.2. Please indicate whether there is a cost recovery or cost allocation plan for this service, and describe any anticipated adjustments or needed changes in the service funding model (e.g., charge-back, cost allocation, fee-per-transaction, etc.)

There is a cost recovery model in place for transactions processed with our interoperability partners, OOCEA, THEA, Miami-Dade, and Lee County Expressway authorities as well as Tampa International, Miami International, Palm Beach International and Orlando International airport parking systems.

5.3. Other pertinent information related to this service

The Turnpike Toll collection service over three million active SunPass® customer accounts. Customer can access their account via an interactive voice response system, the customer service web site and by calling the customer service center and speaking to a customer service representative. The system is also used by trading partners and airport parking systems that require continuous availability of the system.

Strategic IT Service: Turnpike Enterprise IT Service #5

Form: Schedule IV-C -Combined v.2011-12

Dept/Agency: **Transportation**

Prepared by: **Nelson Hill**

Phone: **850-414-4499**

Service Provisioning -- Assets & Resources (Cost Elements)	Footnote Number	# of Assets & Resources apportioned to this IT Service		Estimated IT Service Costs			
		Number used for this service	Number w/ costs in FY 2011-12	A Initial Estimate for Fiscal Year 2010-11	B Estimated FY 2010-11 Allocation of Recurring Base Budget (based on Column G64 minus G65)	C Estimated FY 2011-12 Allocation of Recurring Base Budget (based on Column G64 minus G65)	D Planned Increase/Decrease Use of Recurring Base Funding
A. Personnel		32.63		\$1,770,900	\$1,770,900	\$2,178,508	\$407,608
A-1.1 State FTE	3	20.20		\$1,373,300	\$1,373,300	\$1,334,940	-\$38,360
A-2.1 OPS FTE		0.00		\$0	\$0	\$0	\$0
A-3.1 Contractor Positions (Staff Augmentation)	3	12.43		\$397,600	\$397,600	\$843,568	\$445,968
B. Hardware		29	1	\$7,400	\$7,400	\$5,138	-\$2,262
B-1 Servers - Mainframe		0	0	\$0	\$0	\$0	\$0
B-2 Servers - Non-Mainframe	3	29	1	\$3,200	\$3,200	\$0	-\$3,200
B-3 Server Maintenance & Support				\$0	\$0	\$0	\$0
B-4 Other Hardware Assets (e.g., system mgt workstation, printers, UPS)				\$4,200	\$4,200	\$5,138	\$938
C. Software				\$8,600	\$8,600	\$217,167	\$208,567
D. External Service Provider(s)	1,3	15	0	\$2,102,800	\$2,102,800	\$2,204,320	\$101,520
E. Other (Please describe in Footnotes Section below)	2,3			\$464,500	\$464,500	\$1,126,804	\$662,304
F. Total for IT Service				\$4,354,200	\$4,354,200	\$5,731,937	\$1,377,737
G. Footnotes - Please be sure to indicate there is a footnote for the corresponding row above. Maximum footnote length is 1024 characters.							
1	This includes Verizon Relay, Bell South /DMS smart ring and RTS DS3 / leased routers - dedicated to bell circuit for digital dialing.						
2	This includes the PBX maintenance cost Bell South PRI ISDN service to the Boca Call Center and the Orlando Call Center and ITC Deltacom Toll free number service supporting Sunpass Call Centers.						
3	The Department used apportionment methodologies to distribute shared infrastructure costs that are dedicated to IT strategic services.						
4	The costs were distributed based on three different factors of data noted below:						
5	1) DASD utilization - 2) Document Counts (for cost specific to EDMS) - 3) Number of Employees per strategic service.						
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Dept/Agency: **Florida Department of Transportation**
 Submitted by: **Nelson Hill, Chief Information Officer**
 Phone: **850-414-4771**
 Date submitted: **October 15th, 2010**

B.1 – Agency Business Support Service

This service enables users in the agency's administrative and support areas to collect, process, and distribute financial, inventory, and human resources data to effectively manage the agency's resources. Please consult the *Guidelines for Schedule IV-C: IT Costs and Service Requirements* for the complete definition of this IT Service and specific direction on how to complete this document.

Identify major IT application systems that are included (in whole or part) in this IT Service:			
1	B.1.a.2 Personnel / Payroll (PPS)	12	B.1.e.1 Office and/or User Developed Applications and Systems
2	B.1.a.3 Training Tracking (TTS)	13	B.1.f.1 Florida DOT Tracker (FDOTRACKER)
3	B.1.a.4 Employee Survey Application (ESA)	14	B.1.f.2 Office and/or User Developed Applications and Systems
4	B.1.a.5 Staff Repository System (SRS)	15	B.1.g.1 Office and/or User Developed Applications and Systems
5	B.1.a.7 Employee Performance System (EPS)	16	B.1.h.1 Office and/or User Developed Applications and Systems
6	B.1.a.8 Office and/or User Developed Applications and Systems	17	B.1.i.1 Accounts Receivable Invoicing (ARI)
7	B.1.b.1 Office and/or User Developed Applications and Systems	18	B.1.i.2 Departmental Accounting System (DAS)
8	B.1.c.1 Document Control Document Management System (DCDMS)	19	B.1.i.3 SAMAS Table Processing (STP)
9	B.1.c.2 Forms Management System (FMS)	20	B.1.i.4 Transportation Vendor Information (TVI)
10	B.1.c.3 Office and/or User Developed Applications and Systems	21	B.1.i.5 Office and/or User Developed Applications and Systems
11	B.1.d.1 ARRA Employment Reporting (ARRARTS)		
12	B.1.d.2 Office and/or User Developed Applications and Systems		

1. IT Service Definition

1.1. Who is the service provider? *(Indicate all that apply)*

- Central IT staff
- Program staff
- Other state agency *(non-primary data center)*
- Other External Service Provider *(specify)* _____
- Southwood Shared Resource Center
- Northwood Shared Resource Center
- Northwest Regional Data Center

1.2. Who uses the service? *(Indicate all that apply)*

- Agency staff (state employees or contractors)
- Employees or contractors from one or more additional state agencies
- External service providers
- Public (please explain in Question 5.3)

- 1.3. Please identify the number of users of this service. 14,000
- 1.4. How many locations currently host agency financial/ administrative systems? 2

2. Service Unique to Agency

2.1. Is a similar or identical IT service provided by another agency or external service provider? Very similar
(Identical, Very Similar, No)
for some applications in this service

2.2. If the same level of service could be provided through another agency or source for less than the current cost of the IT service, could your agency change to another service provider?
 Yes No

2.2.1. If yes, what must happen for your agency to use another IT service provider?

DOT-specific requirements would have to be defined to the developer (vendor) and the applications would have to be customized to meet the agency's needs before changing to another provider. After implementation, the service provider would have to ensure that applications were accurate and responsive.

2.2.2. If not, why does your agency need to maintain the current provider for this IT service?

3. IT Service Levels Required to Support Business Functions

Answer the following questions for the primary or dominant IT system within this IT Service.

- 3.1. Has the agency specified the service level requirements for this IT Service?
 Yes; formal Service Level Agreement(s)
 Yes; informal agreement(s)
 No; specific requirements have not been determined and approved by the department

If you answered "Yes," identify major (formal or informal) service level requirements:

The agency has formal Service Level Agreements (SLA) with the Southwood Shared Resource Center (SSRC) for processes related to their operations.

The agency's Information Resource Management Council (IRMC) adopts a WorkPlan defining the scope and resource levels for each application prior to beginning of each fiscal year. The IRMC provides prioritization of projects and approves planned projects. In addition, information regarding the hours of availability for applications and the method by which support and services can be requested is published.

3.2. Timing and Service Delivery Requirements

3.2.1. Hours/Days that service is required *(e.g., 0700-1800 M-F, 24/7)* for:

3.2.1.1. User-facing components of this IT service (online) 0600 –
2100 Monday – Friday; 0600 – 1900 Saturday

3.2.1.2. Back-office-facing components of this IT service (batch and maintenance) 2100 –
0600 Monday - Friday

3.2.2. What is the agency's tolerance for down time during peak periods, i.e., time before management-level intervention occurs *(e.g., 15 min, 30 min, 60 min)*? 15 minutes

3.2.2.1. What are the impacts on the agency's business if this down-time standard is exceeded?

[Redacted]

3.2.3. Are there any agency-unique service requirements? Yes No

If yes, specify (*include any applicable constitutional, statutory, or rule requirements*)

B.1.a.2 Personnel / Payroll (PPS) - PPS contains sensitive information in the form of social security numbers. FS 119.071(4)(a)(1) provides exemption for Social Security numbers of current and former employees. Names, addresses, home telephone numbers of law enforcement officers, and spouse and children of active or former law enforcement officers FS 119.071(4)(d)(1).

B.1.a.3 Training Tracking Reporting Tress (TTS) - Code of Federal Regulations (CFR) 637.207, FDOT Statewide Training Program Procedures Topic No. 250-050-001-b contains employee social security numbers.

B.1.a.7 Employee Performance System (EPS) - Florida Administrative Code 60L-35 (Effective 6/19/08) Personnel Evaluation System in accordance to the Specific Authority in 110.1055, 110.201, 110.224(3), 110.605 Florida Statutes Law Implemented 110.1245(2)(b), 110.224, 110.227, 110.605(1)(b) FS. History–New 6-19-08.

B.1.i.3 SAMAS Table Processing (STP) - STP does not directly have any specific requirements. However, various other BSSO and OOC Comptroller applications use these files for their processes. Therefore, the requirements for the systems that use these files would indirectly be requirements for STP.

3.2.4. What are security requirements for this IT service? (*Indicate all that apply*)

- User ID/Password
- Access through Internet or external network
- Access through internal network only
- Access through Internet with secure encryption
- Other _____

3.2.5. Are there any federal, state, or agency privacy policies or restrictions applicable to this IT Service?

Yes No

3.2.5.1. If yes, please specify and describe:

B.1.d.1 Accounts Receivable Invoicing (ARI) – The system contains social security numbers. Per Section 119.071(5)3, Florida Statutes, social security numbers are confidential.

B.1.d.1 ARRA Employment Reporting (ARRARTS) - American Recovery and Reinvestment Act of 2009

4. User/customer satisfaction

4.1. Are service level metrics reported to business stakeholders or agency management

Yes No

If yes, briefly describe the frequency of reports and how they are provided:

Periodic customer satisfaction survey is conducted and reviewed with DOT Management.

4.2. Are currently defined IT service levels adequate to support the business needs?

Yes No

4.2.1. If no, what changes need to be made to the current IT service? *(Briefly explain)*

4.2.2. List any significant projects that are underway or planned to upgrade or enhance any system, resource, or process associated with this IT service. *Please indicate the D3-A issue number in the Description for any projects that require funds in a legislative budget request for FY 2011-12.*

Project Name	Description	Start Date	End Date	Estimated Total Cost to Complete

5. Additional Information

5.1. Please describe the funding source(s), i.e., general revenue, trust fund, federal grant, or other, that is used to provide this service, and describe any anticipated adjustments to the funding source(s) or funding level for FY 2011-12

State Transportation Trust Fund

5.2. Please indicate whether there is a cost recovery or cost allocation plan for this service, and describe any anticipated adjustments or needed changes in the service funding model (e.g., charge-back, cost allocation, fee-per-transaction, etc.)

5.3. Other pertinent information related to this service

Agency Financial and Administrative Systems Support Service

Agency: **Transportation**

Prepared by: **Nelson Hill**

Phone: **850-414-4499**

of Assets & Resources Apportioned to this IT Service in FY 2011-12

Service Provisioning - - Assets & Resources (Cost Elements)	Footnote Number	# of Assets & Resources Apportioned to this IT Service in FY 2011-12		Estimated IT Service Costs			
		Number used for this service	Number w/ costs in FY 2011-12	A Initial Estimate for Fiscal Year 2010-11	B Estimated FY 2010-11 Allocation of Recurring Base Budget (based on Column G64 minus G65)	C Estimated FY 2011-12 Allocation of Recurring Base Budget (based on Column G64 minus G65)	D Planned Increase/Decrease Use of Recurring Base Funding (Columns C - B)
A. Personnel		11.67		\$1,441,200	\$1,441,200	\$982,065	-\$459,135
A-1 State FTE		8.35		\$518,100	\$518,100	\$538,355	\$20,255
A-2 OPS FTE		0.00		\$0	\$0	\$0	\$0
A-3 Contractor Positions (Staff Augmentation)		3.32		\$923,100	\$923,100	\$443,710	-\$479,390
B. Hardware		20	9	\$0	\$0	\$0	\$0
B-1 Servers	1	10	1	\$0	\$0	\$0	\$0
B-2 Server Maintenance & Support	1	10	8	\$0	\$0	\$0	\$0
B-3 Other Hardware Assets (Please specify in Footnote Section below)		0	0	\$0	\$0	\$0	\$0
C. Software	2			\$4,600	\$4,600	\$19,923	\$15,323
D. External Service Provider(s)	3	0	0	\$5,700	\$5,700	\$4,601	-\$1,099
E. Other (Please describe in Footnotes Section below)	4			\$500	\$500	\$30,698	\$30,198
F. Total for IT Service				\$1,452,000	\$1,452,000	\$1,037,287	-\$414,713

G. Footnotes - Please be sure to indicate there is a footnote for the corresponding row above. Maximum footnote length is 1024 characters.

1	Notes application servers and some specialized servers used in the Department.
2	Includes Adobe Jetforms software maintenance
3	Maintenance contract for hardware
4	Includes travel, training, supplies, and etc.
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Dept/Agency: **Florida Department of Transportation**
Submitted by: **Nelson Hill, Chief Information Officer**
Phone: **850-414-4771**
Date submitted: **October 15th, 2010**

B.2 - IT Security/Risk Management Service

This service involves the implementation of measures to reduce risk and ensure continuity of the IT Services supporting the agency. Please consult the *Guidelines for Schedule IV-C: IT Costs and Service Requirements* for the complete definition of this IT Service and specific direction on how to complete this document.

1. IT Service Definition

1.1. Who is the service provider? *(Indicate all that apply)*

- | | |
|---|---|
| <input checked="" type="checkbox"/> Central IT staff | <input type="checkbox"/> Southwood Shared Resource Center |
| <input type="checkbox"/> Program staff | <input type="checkbox"/> Northwood Shared Resource Center |
| <input type="checkbox"/> Other state agency <i>(non-primary data center)</i> | <input type="checkbox"/> Northwest Regional Data Center |
| <input type="checkbox"/> Other External Service Provider <i>(specify)</i> _____ | |

1.2. Who uses the service? *(Indicate all that apply)*

- Agency staff (state employees or contractors)
- Employees or contractors from one or more additional state agencies
- External service providers
- Public (please explain in Question 5.3)

2. Service Unique to Agency

2.1. Is a similar or identical IT service provided by another agency or external service provider?
(Identical, Very Similar, No)

Very similar

2.2. If the same level of service could be provided through another agency or source for less than the current cost of the IT service, could your agency change to another service provider?

- Yes No

2.2.1. If yes, what must happen for your agency to use another IT service provider?

The agency would require the IT service provider to have a complete understanding of the DOT computing environment. Service level requirements must be validated to ensure that another IT service provider could support our risk assessment, mitigation, and data recovery business processes and plans in an accurate and responsive manner.

2.2.2. If not, why does your agency need to maintain the current provider for this IT service?

3. IT Service Levels Required to Support Business Functions

3.1. Has the agency specified the service level requirements for this IT Service?

- Yes; formal Service Level Agreement(s)
- Yes; informal agreement(s)
- No; specific requirements have not been determined and approved by the department

If you answered "Yes," identify major (formal or informal) service level requirements:

3.2. Timing and Service Delivery Requirements

- 3.2.1. Hours/Days that service is required (*e.g., 0800-1600 M-F, 24/7*): 24/7
- 3.2.2. In the event of an emergency, how quickly must essential services be restored to maintain the agency's continuity of operations? 1 week
- 3.2.3. How frequently must the IT disaster recovery plan be tested? Annually
- 3.2.4. In the event of a security breach, what is the agency's tolerance for down time of security IT services during peak periods, i.e., time before management-level intervention occurs (*e.g., 10 min, 60 min, 4 hours*)? 15 minutes
- 3.2.5. Are there any agency-unique service requirements? Yes No
If yes, specify (*include any applicable constitutional, statutory, or rule requirements*)

The Department has specific policies and procedures related to IT security.

- 3.2.6. What are security requirements for this IT service? (*Indicate all that apply*)
- User ID/Password Access through Internet or external network
 Access through internal network only Access through Internet with secure encryption
 Other _____
- 3.2.7. Are there any federal, state, or agency privacy policies or restrictions applicable to this IT Service?
 Yes No
If yes, please specify and describe:

Sensitive personal data and some departmental data (bridge plans, etc) must be protected in accordance with State and Federal statutes.

4. User/customer satisfaction

- 4.1. Are service level metrics reported regularly to business stakeholders or agency management?
 Yes No
If yes, briefly describe the frequency of reports and how they are provided:

Periodic customer satisfaction surveys are conducted and reviewed by DOT management.

- 4.2. Are currently defined IT service levels adequate to support the business needs?
 Yes No

- 4.2.1. If no, what changes need to be made to the current IT service? (*Briefly explain*)

The Department needs to research more "behavioral" detection type products.

4.2.2. List any significant projects that are underway or planned to upgrade or enhance any system, resource, or process associated with this IT service. *Please indicate the D3-A issue number in the Description for any projects that require funds in a legislative budget request for FY 2011-12.*

Project Name	Description	Start Date	End Date	Estimated Total Cost to Complete

5. Additional Information

5.1. Please describe the funding source(s), i.e., general revenue, trust fund, federal grant, or other, that is used to provide this service, and describe any anticipated adjustments to the funding source(s) or funding level for FY 2011-12

State Transportation Trust Fund

5.2. Please indicate whether there is a cost recovery or cost allocation plan for this service, and describe any anticipated adjustments or needed changes in the service funding model (e.g., charge-back, cost allocation, fee-per-transaction, etc.)

5.3. Other pertinent information related to this service

IT Security/Risk Mitigation Service

Agency: **Transportation**

Prepared by: **Nelson Hill**

Phone: **850- 414- 4499**

of Assets & Resources Apportioned to this IT Service in FY 2011- 12

Service Provisioning - - Assets & Resources (Cost Elements)				Estimated IT Service Costs			
	Footnote Number	Number used for this service	Number w/ costs in FY 2011- 12	A Initial Estimate for Fiscal Year 2010- 11	B Estimated FY 2010- 11 Allocation of Recurring Base Budget (based on Column G64 minus G65)	C Estimated FY 2011- 12 Allocation of Recurring Base Budget (based on Column G64 minus G65)	D Planned Increase/Decrease Use of Recurring Base Funding (Columns C - B)
A. Personnel		20.77		\$951,000	\$951,000	\$1,331,241	\$380,241
A-1 State FTE		20.32		\$940,800	\$940,800	\$1,286,501	\$345,701
A-2 OPS FTE		0.00		\$0	\$0	\$0	\$0
A-3 Contractor Positions (Staff Augmentation)		0.45		\$10,200	\$10,200	\$44,740	\$34,540
B. Hardware		237	61	\$57,500	\$57,500	\$120,750	\$63,250
B-1 Servers	1	113	4	\$0	\$0	\$0	\$0
B-2 Server Maintenance & Support	1	111	44	\$0	\$0	\$0	\$0
B-3 Other Hardware Assets (Please specify in Footnote Section below)		13	13	\$57,500	\$57,500	\$120,750	\$63,250
C. Software	2			\$514,900	\$514,900	\$314,571	-\$200,329
D. External Service Provider(s)	3	2	0	\$53,400	\$53,400	\$45,572	-\$7,828
E. Other (Please describe in Footnotes Section below)	4			\$64,000	\$64,000	\$72,360	\$8,360
F. Total for IT Service				\$1,640,800	\$1,640,800	\$1,884,494	\$243,694

G. Footnotes - Please be sure to indicate there is a footnote for the corresponding row above. Maximum footnote length is 1024 characters.

1	Includes SAV servers (they reside in each of our district offices, servers under warranty)
2	Includes Symantec anti-virus licenses for PC's and servers.
3	Disaster Recovery contract with Sungard through DMS
4	Includes travel, training, supplies, and etc.
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Dept/Agency: **Florida Department of Transportation**
 Submitted by: **Nelson Hill, Chief Information Officer**
 Phone: **850-414-4771**
 Date submitted: **October 15th, 2010**

B.3 - IT Administration and Management Service

This service enables the management and administration of the agency's central IT program or unit. Please consult the *Guidelines for Schedule IV-C: IT Costs and Service Requirements* for the complete definition of this IT Service and specific direction on how to complete this document.

Identify major IT application systems that are included (in whole or part) in this IT Service:			
1	B.3.a IT Organization Administrative Management	10	B.3.j Software Distribution Notification (SDNA)
2	B.3.b Research and Development	11	B.3.k Infrastructure Change Control Application (ICC)
3	B.3.c IT Planning, Budgeting & Investment Control	12	B.3.l FDOT Enterprise Library (FEL)
4	B.3.d IT Procurement and Contract Management	13	B.3.m Enterprise Library Message Board (ELMB)
5	B.3.e Data Entry	14	B.3.n Report Subscriptions (RSARTS)
6	B.3.f Personnel and corresponding hardware, software, and infrastructure assets and resources needed to provision this service	15	B.3.o OIS Project Coordination System (OPC)
7	B.3.g Project Reporting and Tracking (PRT)	16	B.3.p Eplause System (EPLRTS)
8	B.3.h Information Resource Request (IRR)	17	B.3.q Merge Master (MMARTS)
9	B.3.i Platinum® Repository (REP)	18	B.3.r The Loading Doc (LDOC)
		19	B.3.s Project Management Reporting (PMR)

1. IT Service Definition

1.1. Who is the service provider? *(Indicate all that apply)*

- Central IT staff
- Program staff
- Other state agency *(non-primary data center)*
- Other External Service Provider *(specify)* _____
- Southwood Shared Resource Center
- Northwood Shared Resource Center
- Northwest Regional Data Center

1.2. How many locations currently host assets and resources used to provide IT administration and management services? 2

2. Service Unique to Agency

2.1. If the same level of service could be provided through another agency or external source for less than the current cost of the IT service, could your agency change to another service provider?

- Yes
- No

2.1.1. If yes, what must happen for your agency to use another IT service provider?

2.1.2. If not, why does your agency need to maintain the current provider for this IT service?

IT Administration and Management service relates to a department program that is part of the agency management function. It cannot be performed by an external service provider. DOT has included OIS applications that support this service as well some that possibly could be provided by another provider. After implementation of those applications, the service provider would have to ensure that the applications were accurate and responsive.

3. IT Service Levels Required to Support Business Functions

3.1. Has the agency specified the service level requirements for this IT Service?

- Yes; formal Service Level Agreement(s)
- Yes; informal agreement(s)
- No; specific requirements have not been determined and approved by the department

If you answered "Yes," identify major (formal or informal) service level requirements:

The agency has formal Service Level Agreements (SLA) with the Southwood Shared Resource Center (SSRC) for processes related to their operations.

The agency's Information Resource Management Council (IRMC) adopts a WorkPlan defining the scope and resource levels for each application prior to beginning of each fiscal year. The IRMC provides prioritization of projects and approves planned projects. In addition, information regarding the hours of availability for applications and the method by which support and services can be requested is published.

3.2. Timing and Service Delivery Requirements

3.2.1. Hours/Days that service is required (*e.g., 0800-1600 M-F, 24/7*) for the systems included in this service:

Monday - Friday; 0600-1900 Saturday 0600-2100

3.2.2. What is the agency's tolerance for down time during peak periods, i.e., time before management-level intervention occurs (*e.g., 5 min, 15 min, 60 min*)?

15 minutes

3.2.3. Are there any federal, state, or agency privacy policies or restrictions applicable to this IT Service?

- Yes
- No

If yes, please specify and describe:

3.2.4. Are there any agency-unique service requirements?

- Yes
- No

If yes, specify (*include any applicable constitutional, statutory, or rule requirements*)

4. User/customer satisfaction

4.1. Are service level metrics reported to business stakeholders or agency management?

- Yes
- No

If yes, briefly describe the frequency of reports and how they are provided:

Department Chief Information Officer briefs Assistant Secretary weekly on overall IT programs and services status. On as needed basis, the Information Management Resource Council, which serves as the department's IT governance board, will meet to review any policy level IT issues. The

department's three assistant secretaries and the CIO, who sits as a non-voting member of the council, make up the IRMC.

4.2. Are currently defined IT service levels adequate to support the business needs of the agency?

Yes No

If no, what changes need to be made to the current IT service? *(Briefly explain)*

4.2.1. List any significant projects that are underway or planned to upgrade or enhance any system, resource, or process associated with this IT service. *Please indicate the D3-A issue number in the Description for any projects that require funds in a legislative budget request for FY 2011-12.*

Project Name	Description	Start Date	End Date	Estimated Total Cost to Complete

5. Additional Information

5.1. Please describe the funding source(s), i.e., general revenue, trust fund, federal grant, or other, that is used to provide this service, and describe any anticipated adjustments to the funding source(s) or funding level for FY 2011-12

State Transportation Trust Fund

5.2. Please indicate whether there is a cost recovery or cost allocation plan for this service, and describe any anticipated adjustments or needed changes in the service funding model (e.g., charge-back, cost allocation, fee-per-transaction, etc.)

5.3. Other pertinent information related to this service

IT Administration and Management Service

Agency: **Transportation**

Prepared by: **Nelson Hill**

Phone: **850- 414- 4499**

of Assets & Resources Apportioned to this IT Service in FY 2011- 12

Service Provisioning - - Assets & Resources (Cost Elements)		Footnote Number	# of Assets & Resources Apportioned to this IT Service in FY 2011- 12		Estimated IT Service Costs			
			Number used for this service	Number w/ costs in FY 2011- 12	A Initial Estimate for Fiscal Year 2010- 11	B Estimated FY 2010- 11 Allocation of Recurring Base Budget (based on Column G64 minus G65)	C Estimated FY 2011- 12 Allocation of Recurring Base Budget (based on Column G64 minus G65)	D Planned Increase/Decrease Use of Recurring Base Funding (Columns C - B)
A. Personnel			34.55		\$3,149,300	\$3,149,300	\$2,414,246	-\$735,054
A-1	State FTE		32.30		\$2,324,900	\$2,324,900	\$2,109,573	-\$215,327
A-2	OPS FTE		0.00		\$18,800	\$18,800	\$0	-\$18,800
A-3	Contractor Positions (Staff Augmentation)		2.25		\$805,600	\$805,600	\$304,673	-\$500,927
B. Hardware			0	0	\$4,000	\$4,000	\$4,000	\$0
B-1	Servers		0	0	\$0	\$0	\$0	\$0
B-2	Server Maintenance & Support		0	0	\$0	\$0	\$0	\$0
B-3	Other Hardware Assets (Please specify in Footnote Section below)		0	0	\$4,000	\$4,000	\$4,000	\$0
C. Software					\$1,200	\$1,200	\$1,200	\$0
D. External Service Provider(s)		1	1	0	\$84,000	\$84,000	\$125,655	\$41,655
E. Other (Please describe in Footnotes Section below)		2,3			\$377,000	\$377,000	\$734,437	\$357,437
F. Total for IT Service					\$3,615,500	\$3,615,500	\$3,279,538	- \$335,962

G. Footnotes - Please be sure to indicate there is a footnote for the corresponding row above. Maximum footnote length is 1024 characters.

1	Includes Gartner Service contract and DMS Copesview Costs .
2	Includes travel, training, supplies, and etc.
3	Includes contingencies (unexpected increases in consultants, telephone charges, and software)
4	
5	
6	
7	
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10	
11	
12	
13	
14	
15	

Dept/Agency: **Florida Department of Transportation**
 Submitted by: **Nelson Hill, Chief Information Officer**
 Phone: **850-414-4771**
 Date submitted: **October 15th, 2010**

B.4 - Network Service

This service includes the positions, assets, and services needed to develop, maintain, and operate the network, system, and server management infrastructure associated with LAN/WAN. This service also includes technical assistance dedicated to LAN/WAN problem resolution, physical and logical security, training, and consulting services specifically related to the LAN/WAN Service that are not otherwise included in any other IT service. It includes Voice over Internet Protocol (VoIP) and video conferencing technology. Please consult the *Guidelines for Schedule IV-C: IT Costs and Service Requirements* for the complete definition of this IT Service and specific direction on how to complete this document.

Identify the major hardware and commercial software associated with this service:			
1	B.4.a.1 File & Print Services	4	B.4.a.4 Wide Area Data Transport
2	B.4.a.2 Network, System and Server Management	6	B.4.b Technical Assistance and Support
3	B.4.a.3 Off-site backup	7	B.4.c IT Security

1. IT Service Definition

1.1. Who is the LAN service provider? *(Indicate all that apply)*

- Central IT staff
- Program staff
- Other state agency *(non-primary data center)*
- Other External Service Provider *(specify)* _____
- Southwood Shared Resource Center
- Northwood Shared Resource Center
- Northwest Regional Data Center

1.2. Who is the WAN service provider? *(Indicate all that apply)*

- Central IT staff
- Program staff
- Another State agency
- External service provider

1.3. Who uses the service? *(Indicate all that apply)*

- Agency staff (state employees or contractors)
- Employees or contractors from one or more additional state agencies
- External service providers
- Public (please explain in Question 5.3)

1.4. Please identify the number of users of the Network Service. 8,200

1.5. How many locations currently host IT assets and resources used to provide LAN services? 314

1.6. How many locations currently use WAN services? 314

1.7. What types of WAN connections are included in this service? *(Indicate all that apply)*

- ATM
- SUNCOM RTS
- Frame Relay
- Internet
- Cellular Network
- Dedicated Wired connection

- Radio

 Satellite

 Dial-up connection
 Other Point to Point Wireless and Point to Point Fiber

2. Service Unique to Agency

2.1. Is a similar or identical IT service provided by another agency or external service provider?
(Identical, Very Similar, No)

Very Similar

2.2. If the same level of service could be provided through another agency or source for less than the current cost of the IT service, could your agency change to another service provider?

- Yes

 No

2.2.1. If yes, what must happen for your agency to use another IT service provider?

This service is provided by DMS. DMS would have to approve changing the service provider. The new service provider would have to maintain the current level of service and provide growth as needed. Additionally central IT staff provide support for this service.

2.2.2. If not, why does your agency need to maintain the current provider for this IT service?

3. IT Service Levels Required to Support Business Functions

3.1. Has the agency specified the service level requirements for LAN service?

- Yes; formal Service Level Agreement(s)
 Yes; informal agreement(s)
 No; specific requirements have not been determined and approved by the department

If you answered "Yes," identify major (formal or informal) service level requirements:

3.2. Has the agency specified the service level requirements for WAN service?

- Yes; formal Service Level Agreement(s)
 Yes; informal agreement(s)
 No; specific requirements have not been determined and approved by the department

If you answered "Yes," identify major (formal or informal) service level requirements:

The hours of availability are approved by the Department's Information Resource Management Council.

3.3. Timing and Service Delivery Requirements

3.3.1. Hours/Days that service is required *(e.g., 0800-1600 M-F, 24/7)* for:

3.3.1.1. Online availability 24/7

3.3.1.2. Offline and availability for maintenance The maintenance window is midnight-0600 M-F and 1900 Saturday to 1000 Sunday. All maintenance must be scheduled and approved in advance.

3.3.2. What is the agency's tolerance for down time during peak periods, i.e., time before management-level intervention occurs *(e.g., 5 min, 15 min, 60 min)*? 15 minutes

3.3.2.1. What are the impacts on the agency's business if this down-time standard is exceeded?

The WAN provides connectivity between the department facilities throughout the state. Department employees would not be able to access critical and strategic applications and services during down-time. This would result in a significant impact to the Department's business processes.

3.3.3. Does the agency have a standard for required bandwidth its locations? Yes No
If yes, indicate the standard (*e.g. fiber channels for certain locations*)

The agency has established the following standards: Central Office T3, District Office 4 T1 mux, Sub Office T1.

3.3.4. Are there any agency-unique service requirements? Yes No
If yes, specify (*include any applicable constitutional, statutory, or rule requirements*)

The WAN firewall must accommodate VPN ports/protocols.

3.3.5. What are security requirements for this IT service? (*Indicate all that apply*)

- User ID/Password
- Access through internal network only
- Other _____
- Access through Internet or external network
- Access through Internet with secure encryption

3.3.6. Are there any federal, state, or agency privacy policies or restrictions applicable to this IT Service?
 Yes No

3.3.6.1. If yes, please specify and describe:

Users of the Office of Motor Carrier Compliance network infrastructure may have access to criminal justice information systems. As such, the Office of Motor Carrier Compliance requires a law enforcement level of security.

4. User/customer satisfaction

4.1. Are service level metrics reported to business stakeholders or agency management?
 Yes No

If yes, briefly describe the frequency of reports and how they are provided:

Periodic customer satisfaction surveys are conducted and the results are reviewed with DOT Management.

4.2. Are currently defined IT service levels adequate to support the business needs?
 Yes No

4.2.1. If no, what changes need to be made to the current IT service? (*Briefly explain*)

4.2.2. List any significant projects that are underway or planned to upgrade or enhance any system, resource, or process associated with this IT service. *Please indicate the D3-A issue number in the Description for any projects that require funds in a legislative budget request for FY 2011-12.*

Project Name	Description	Start Date	End Date	Estimated Total Cost to Complete

5. Additional Information

5.1. Please describe the funding source(s), i.e., general revenue, trust fund, federal grant, or other, that is used to provide this service, and describe any anticipated adjustments to the funding source(s) or funding level for FY 2011-12

State Transportation Trust Fund

5.2. Please indicate whether there is a cost recovery or cost allocation plan for this service, and describe any anticipated adjustments or needed changes in the service funding model (e.g., charge-back, cost allocation, fee-per-transaction, etc.)

5.3. Other pertinent information related to this service

Non- Strategic IT Service:		Network Service		Resources				Combined v.2011-12			
Dept/Agency: Transportation		Resources		AppORTioned to this				IT Service in FY			
Prepared by: Nelson Hill		IT Service in FY		2011- 12				Estimated IT Service Costs			
Phone: 850- 414- 4499		2011- 12									
Service Provisioning - - Assets & Resources (Cost Elements)		Footnote Number	Number used for this service	Number w/ costs in FY 2011- 12	A	B	C	D	Planned Increase/Decrease Use of Recurring Base Funding (Columns C - B)		
					Initial Estimate for Fiscal Year 2010- 11	Estimated FY 2010- 11 Allocation of Recurring Base Budget (based on Column G64 minus G65)	Estimated FY 2011- 12 Allocation of Recurring Base Budget (based on Column G64 minus G65)				
A. Personnel			55.24		\$3,345,000	\$3,345,000	\$3,419,432	\$74,432			
A-1.1	State FTE		48.84		\$2,854,900	\$2,854,900	\$2,892,635	\$37,735			
A-2.1	OPS FTE		0.00		\$0	\$0	\$0	\$0			
A-3.1	Contractor Positions (Staff Augmentation)		6.40		\$490,100	\$490,100	\$526,797	\$36,697			
B. Hardware				230	\$369,200	\$369,200	\$736,046	\$366,846			
B-1	Servers	1	255	58	\$99,800	\$99,800	\$140,000	\$40,200			
B-2	Server Maintenance & Support	2	254	142	\$5,000	\$5,000	\$0	-\$5,000			
B-3	Network Devices & Hardware (e.g., routers, switches, hubs, cabling, etc.)	3	82625	30	\$3,200	\$3,200	\$21,000	\$17,800			
B-4	Online Storage for file and print (indicate GB of storage)	8	192305		\$0	\$0	\$0	\$0			
B-5	Archive Storage for file and print (indicate GB of storage)	9	143996		\$0	\$0	\$0	\$0			
B-6	Other Hardware Assets (Please specify in Footnote Section below)	4			\$261,200	\$261,200	\$575,046	\$313,846			
C. Software					\$640,400	\$640,400	\$617,520	-\$22,880			
D. External Service Provider(s)					\$2,247,800	\$2,247,800	\$2,412,821	\$165,021			
D-1	MyFloridaNet	5			\$491,300	\$491,300	\$1,403,116	\$911,816			
D-2	Other (Please specify in Footnote Section below)	6			\$1,756,500	\$1,756,500	\$1,009,705	-\$746,795			
E. Other (Please describe in Footnotes Section below)		7			\$139,500	\$139,500	\$137,655	-\$1,845			
F. Total for IT Service					\$6,741,900	\$6,741,900	\$7,323,474	\$581,574			
G. Footnotes - Please be sure to indicate there is a footnote for the corresponding row above. Maximum footnote length is 1024 characters.											
1	Includes file and print servers, SUS, SMS< DHCP and infrastructure servers to support Active Directory environment										
2	Server maintenance for servers covered in this service not under warranty										
3	Maintenance and replacement for switches, routers, wireless access points, and etc. (Note - some costs, for example WAN routers, are included in external service)										
4	Maintenance and annual replacement for network printers, UPS.										
5	Includes Nortel maintenance contract, offsite tape backup contracts and Microsoft premier suport contract.										
6	Includes Suncom data services, VPN and Sofia costs										
7	Includes travel and training, supplies, and etc.										
8											
9											
10											
11											
12											
13											
14											
15											

Dept/Agency: **Florida Department of Transportation**
 Submitted by: **Nelson Hill, Chief Information Officer**
 Phone: **850-414-4771**
 Date submitted: **October 15th, 2010**

B.5 - E-Mail, Messaging, and Calendaring Service

This service enables users to send and receive e-mail and attachments, perform departmental calendaring, manage address lists, create and maintain shared or private folders, and store message data provided through the e-mail service. Please consult the *Guidelines for Schedule IV-C: IT Costs and Service Requirements* for the complete definition of this IT Service and specific direction on how to complete this document.

Identify the major hardware and commercial software associated with the E-Mail Service:			
1	B.5.a MS Outlook / Lotus Notes	3	B.5.c IT Security
2	B.5.b Technical Assistance and Support	4	B.5.d Notes Application Password Management (NAPM)

1. IT Service Definition

1.1. Who is the service provider? (*Indicate all that apply*)

- Central IT staff
- Program staff
- Other state agency (*non-primary data center*)
- Other External Service Provider (*specify*)
- Southwood Shared Resource Center
- Northwood Shared Resource Center
- Northwest Regional Data Center

1.2. Who uses the service? (*Indicate all that apply*)

- Agency staff (state employees or contractors)
- Employees or contractors from one or more additional state agencies
- External service providers
- Public (please explain in Question 5.3)

1.3. Please identify the number of users (e-mail accounts/mailboxes) of this service. 8,000

1.4. How many locations currently host IT assets and resources used to provide e-mail, messaging, and calendaring services? 1

2. Service Unique to Agency

2.1. Is a similar or identical IT service provided by another agency or external service provider? (*Identical, Very Similar, No*)
Very Similar

2.2. If the same level of service could be provided through another agency or source for less than the current cost of the IT service, could your agency change to another service provider?

- Yes
- No

2.2.1. If yes, what must happen for your agency to use another IT service provider?

The agency would require that the IT service provider have an understanding of DOT e-mail/calendaring environment including in house customization, archiving requirements, public

records processing, and remote access via blackberry. Services must be provided in an accurate and responsive manner.

2.2.2. If not, why does your agency need to maintain the current provider for this IT service?

3. IT Service Levels Required to Support Business Functions

3.1. Has the agency specified the service level requirements for this IT Service?

- Yes; formal Service Level Agreement(s)
- Yes; informal agreement(s)
- No; specific requirements have not been determined and approved by the department

If you answered "Yes," identify major (formal or informal) service level requirements:

All received mail, sent mail, and calendaring appointments are kept for five years. DOT has a 5MB limitation for e-mail attachments.

3.2. Timing and Service Delivery Requirements

3.2.1. Hours/Days that service is required (*e.g., 0600-2100 M-F, 24/7*):

0600-Midnight M-F; 0600-1700 Saturday; 1000-Midnight Sunday

3.2.2. What is the agency's tolerance for down time during peak periods, i.e., time before management-level intervention occurs (*e.g., 5 min, 15 min, 60 min*)? 15 minutes

3.2.2.1. What are the impacts on the agency's business if this down-time standard is exceeded?

Many Department business functions have a direct dependency on e-mail and therefore excessive downtimes would have a significant impact on many critical and strategic business processes.

3.2.3. Are there any agency-unique service requirements? Yes No

If yes, specify (*include any applicable constitutional, statutory, or rule requirements*)

Service must accommodate the traveling users. The mail system can be accessed via a web browser by links on the Department's Intranet Web Server.

3.2.4. What are security requirements for this IT service? (*Indicate all that apply*)

- User ID/Password
- Access through internal network only
- Other _____
- Access through Internet or external network
- Access through Internet with secure encryption

3.2.5. Are there any federal, state, or agency records retention or privacy policies, restrictions, or requirements applicable to this IT Service?

- Yes
- No

3.2.5.1. If yes, please specify and describe:

Sensitive data must be protected in accordance with State Law. Some e-mails are exempt from public records such as bridge information, personal information (SSN), and etcetera.

4. User/customer satisfaction

4.1. Are service level metrics reported to business stakeholders or agency management?

Yes No

If yes, briefly describe the frequency of reports and how they are provided:

Periodic customer satisfaction surveys are conducted and reviewed with DOT management.

4.2. Are currently defined IT service levels adequate to support the business needs?

Yes No

4.2.1. If no, what changes need to be made to the current IT service? *(Briefly explain)*

4.2.2. List any significant projects that are underway or planned to upgrade or enhance any system, resource, or process associated with this IT service. *Please indicate the D3-A issue number in the Description for any projects that require funds in a legislative budget request for FY 2011-12.*

Project Name	Description	Start Date	End Date	Estimated Total Cost of Completion

5. Additional Information

5.1. Please describe the funding source(s), i.e., general revenue, trust fund, federal grant, or other, that is used to provide this service, and describe any anticipated adjustments to the funding source(s) or funding level for FY 2011-12

State Transportation Trust Fund

5.2. Please indicate whether there is a cost recovery or cost allocation plan for this service, and describe any anticipated adjustments or needed changes in the service funding model (e.g., charge-back, cost allocation, fee-per-transaction, etc.)

5.3. Other pertinent information related to this service

Non-Strategic IT Service:

E- Mail, Messaging, and Calendaring Service

Agency: **Transportation**

Prepared by: **Nelson Hill**

Phone: **850-414-4499**

of Assets & Resources Apportioned to this IT Service in FY 2011-12

Form: FY 2011-12 Schedule IV-C -

Service Provisioning - - Assets & Resources (Cost Elements)				Estimated IT Service Costs			
				A	B	C	D
Footnote Number	Number used for this service	Number w/ costs in FY 2011-12	Initial Estimate for Fiscal Year 2010-11	Estimated FY 2010-11 Allocation of Recurring Base Budget (based on Column G64 minus G65)	Estimated FY 2011-12 Allocation of Recurring Base Budget (based on Column G64 minus G65)	Planned Increase/Decrease Use of Recurring Base Funding (Columns C - B)	
A. Personnel							
	7.90		\$762,100	\$762,100	\$531,137	-\$230,963	
A-1	State FTE	6.85	\$502,100	\$502,100	\$422,880	-\$79,220	
A-2	OPS FTE	0.00	\$0	\$0	\$0	\$0	
A-3	Contractor Positions (Staff Augmentation)	1.05	\$260,000	\$260,000	\$108,257	-\$151,743	
B. Hardware							
			\$8,200	\$8,200	\$70,000	\$61,800	
B-1	Servers	1,2 34	\$0	\$0	\$0	\$0	
B-2	Server Maintenance & Support	1 34	\$0	\$0	\$0	\$0	
B-3	Wireless Communication Devices (e.g., Blackberries, I-phones, PDAs, etc.)	3 185	\$8,200	\$8,200	\$0	-\$8,200	
B-4	Online Storage (indicate GB of storage)	6829	\$0	\$0	\$20,000	\$20,000	
B-5	Archive Storage (indicate GB of storage)	23115	\$0	\$0	\$50,000	\$50,000	
B-6	Other Hardware Assets (Please specify in Footnote Section below)		\$0	\$0	\$0	\$0	
C. Software							
	4		\$148,200	\$148,200	\$136,520	-\$11,680	
D. External Service Provider(s)							
			\$395,600	\$395,600	\$86,639	-\$308,961	
D-1	Southwood Shared Resource Center		\$0	\$0	\$0	\$0	
D-2	Northwood Shared Resource Center		\$0	\$0	\$0	\$0	
D-3	Northwest Regional Data Center		\$0	\$0	\$0	\$0	
D-4	Other Data Center External Service Provider (specify in Footnotes below)		\$395,600	\$395,600	\$86,639	-\$308,961	
E. Other (Please describe in Footnotes Section below)							
	5		\$19,200	\$19,200	\$21,138	\$1,938	
F. Total for IT Service			\$1,333,300	\$1,333,300	\$845,434	-\$178,905	

G. Administrative Overhead - Percentage of Other Non- Strategic IT Service Costs Supporting Email Service

Non- Strategic Service	Footnote	%	Cost
Network			
Desktop IT Service		5.80%	\$ 415,215
Help Desk	6	0.00%	
IT Security & Risk Mitigation			
IT Administration & Management			
SUBTOTAL			\$ 415,215
Fully- loaded IT Service Cost \$			1,260,649

To determine the fully-loaded cost of the e-mail service, agencies must estimate the amount (percentage) of the other non-strategic IT services that are "consumed" by the e-mail service. For example, desktop support personnel install and configure the e-mail software on the desktop, which is used in the e-mail service, so to obtain a fully-loaded cost for the e-mail service, it is important to include the indirect workload and associated costs of the desktop service expended in support of the e-mail service. The portion of Network, IT Security & Risk Mitigation, and IT Administration & Management services will be estimated by the AEIT based on the agency Schedule IV-C submissions for these IT services. For the purposes of the Schedule IV-C analysis, the data submitted in this section will NOT be added to the cost of the e-mail service.

H. Footnotes - Please be sure to indicate there is a footnote for the corresponding row above. Maximum footnote length is 1024 characters.

1	E-mail servers and mail infrastructure servers (includes Archive servers for mail retention)
2	Replacement Servers
3	Blackberries - (Note: costs for service is reflected in external service).
4	Includes mail sweeper(spam) filter maintenance
5	Includes travel and training, supplies and etc.
6	All moderately complex problems are handled by the Desktop Support staff
7	
8	
9	

Dept/Agency: **Florida Department of Transportation**
 Submitted by: **Nelson Hill, Chief Information Officer**
 Phone: **850-414-4771**
 Date submitted: **October 15th, 2010**

B.6 - Desktop Computing Service

This service enables use of standard office automation functions, as well as access to other applications that require standard desktop functionality. Please consult the *Guidelines for Schedule IV-C: IT Costs and Service Requirements* for the complete definition of this IT Service and specific direction on how to complete this document.

Identify the major hardware and commercial software associated with the Desktop Computer Service:			
1	B.6.a Desktop Computing Environment Components and Activities	3	B.6.c Automated Software Distribution, Anti-virus Software Updates, and Remote Desktop Management
2	B.6.b Standard Office Automation Software Acquisition, Installation and Configuration	4	B.6.d Technical Assistance and Support

1. IT Service Definition

1.1. Who is the service provider? *(Indicate all that apply)*

- Central IT staff
- Program staff
- Other state agency *(non-primary data center)*
- Other External Service Provider *(specify)* _____
- Southwood Shared Resource Center
- Northwood Shared Resource Center
- Northwest Regional Data Center

1.2. Who uses the service? *(Indicate all that apply)*

- Agency staff (state employees or contractors)
- Employees or contractors from one or more additional state agencies
- External service providers
- Public (please explain in Question 5.3)

1.3. Please identify the number of users of this service. 8,200

1.4. How many locations currently use desktop computing services? 314

2. Service Unique to Agency

2.1. Is a similar or identical IT service provided by another agency or external service provider? *(Identical, Very Similar, No, Unknown)*

2.2. If the same level of service could be provided through another agency or source for less than the current cost of the IT service, could your agency change to another service provider?

- Yes
- No

2.2.1. If yes, what must happen for your agency to use another IT service provider?

Understanding of the DOT computing environment. Service level requirements must be validated to ensure another IT service provider could install and update desktop software and trouble-shoot the desktop/laptops for all of our 8200 users in 314 locations throughout the state in an accurate responsive manner.

2.2.2. If not, why does your agency need to maintain the current provider for this IT service?

[Redacted]

3. IT Service Levels Required to Support Business Functions

3.1. Has the agency specified the service level requirements for this IT Service?

- Yes; formal Service Level Agreement(s)
- Yes; informal agreement(s)
- No; specific requirements have not been determined and approved by the department

If you answered "Yes," identify major (formal or informal) service level requirements:

PC hardware needs to be refreshed frequently enough to run current versions of business software. DOT's current objective is to refresh laptops on a 4 year cycle and desktops on a 5 year cycle. Reductions in funding have negatively impacted the ability to meet this objective.

3.2. Timing and Service Delivery Requirements

3.2.1. Hours/Days that service is required (e.g., 0800-1600 M-F, 24/7)

0730-1700 M-F

3.2.2. What are the impacts on the agency's business if the Desktop Service is not available?

Department employees would not be able to access critical and strategic applications and services. This would result in a significant impact to the department's business processes.

3.2.3. Are there any agency-unique service requirements? Yes No

If yes, specify (Include any applicable constitutional, statutory, or rule requirements)

[Redacted]

3.2.4. What are security requirements for this IT service? (Indicate all that apply)

- User ID/Password
- Access through Internet or external network
- Access through internal network only
- Access through Internet with secure encryption
- Other _____

3.2.5. Are there any federal, state, or agency privacy policies or restrictions applicable to this IT Service?

- Yes
- No

3.2.5.1. If yes, please specify and describe:

DOT has department policy on the use of IT resources. This includes the frequency and use of passwords to protect our resources.

4. User/customer satisfaction

4.1. Are service level metrics reported to business stakeholders or agency management

- Yes
- No

If yes, briefly describe the frequency of reports and how they are provided:

Periodic customer satisfaction survey is conducted and reviewed with the DOT management.

4.2. Are currently defined IT service levels adequate to support the business needs?

Yes No

4.2.1. If no, what changes need to be made to the current IT service? *(Briefly explain)*

4.2.2. List any significant projects that are underway or planned to upgrade or enhance any system, resource, or process associated with this IT service. *Please indicate the D3-A issue number in the Description for any projects that require funds in a legislative budget request for FY 2011-12.*

Project Name	Description	Start Date	End Date	Estimated Total Cost of Completion

5. Additional Information

5.1. Please describe the funding source(s), i.e., general revenue, trust fund, federal grant, or other, that is used to provide this service, and describe any anticipated adjustments to the funding source(s) or funding level for FY 2011-12

State Transportation Trust Fund

5.2. Please indicate whether there is a cost recovery or cost allocation plan for this service, and describe any anticipated adjustments or needed changes in the service funding model (e.g., charge-back, cost allocation, fee-per-transaction, etc.)

5.3. Other pertinent information related to this service

Non-Strategic IT Service:

Desktop Computing Service

Agency: **Transportation**

Prepared by: **Nelson Hill**

Phone: **850-414-4499**

Form: FY 2011-12 Schedule IV-C -

of Assets & Resources Apportioned to this IT Service in FY 2011-12

Service Provisioning - - Assets & Resources (Cost Elements)	Footnote Number	Number used for this service	Number w/ costs in FY 2011-12	Estimated IT Service Costs			
				A	B	C	D
				Initial Estimate for Fiscal Year 2010-11	Estimated FY 2010-11 Allocation of Recurring Base Budget (based on Column G64 minus G65)	Estimated FY 2011-12 Allocation of Recurring Base Budget (based on Column G64 minus G65)	Planned Increase/Decrease Use of Recurring Base Funding (Columns C - B)
A. Personnel		73.36		\$3,810,700	\$3,810,700	\$3,723,283	-\$87,417
A-1 State FTE		64.36		\$3,330,600	\$3,330,600	\$3,231,124	-\$99,476
A-2 OPS FTE		1.00		\$22,000	\$22,000	\$25,000	\$3,000
A-3 Contractor Positions (Staff Augmentation)		8.00		\$458,100	\$458,100	\$467,159	\$9,059
B. Hardware		14133	1339	\$2,183,100	\$2,183,100	\$1,990,715	-\$192,385
B-1 Servers		0	0	\$0	\$0	\$0	\$0
B-2 Server Maintenance & Support		0	0	\$0	\$0	\$0	\$0
B-3.1 Desktop Computers	1	6943	640	\$466,500	\$466,500	\$400,000	-\$66,500
B-3.2 Mobile Computers (e.g., Laptop, Notebook, Handheld, Wireless Computer)	2	2884	609	\$1,399,600	\$1,399,600	\$700,000	-\$699,600
B-3.3 Other Hardware Assets (Please specify in Footnote Section below)	3	4306	90	\$317,000	\$317,000	\$890,715	\$573,715
C. Software	4			\$1,006,000	\$1,006,000	\$1,164,129	\$158,129
D. External Service Provider(s)	5	2	1	\$84,500	\$84,500	\$90,560	\$6,060
E. Other (Please describe in Footnotes Section below)	6			\$199,100	\$199,100	\$190,188	-\$8,912
F. Total for IT Service				\$7,283,400	\$7,283,400	\$7,158,875	- \$124,525

G. Footnotes - Please be sure to indicate there is a footnote for the corresponding row above. Maximum footnote length is 1024 characters.

1	Counts include primary desktop machines, test machines, training center machines, and special prpose machines.
2	Counts include laptop primary machines, test machines, training center machines, special purpose machines, and statewdie PDA's
3	Includes printers, facsimiles, scanners, UPS's and etc
4	Includes licenses for the Desktop share of Microsoft Enterprise Agreement maintenance contract, miscellaneous software purchases, and etc.
5	Includes PC support contract (currently BLM)
6	Includes travel, training, supplies, and etc.
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Dept/Agency: **Florida Department of Transportation**
 Submitted by: **Nelson Hill, Chief Information Officer**
 Phone: **850-414-4771**
 Date submitted: **October 15th, 2010**

B.7 – Help Desk Service

This service involves the centralized or consolidated intake and resolution of IT system problems for users and stakeholders throughout the department. Please consult the *Guidelines for Schedule IV-C: IT Costs and Service Requirements* for the complete definition of this IT Service and specific direction on how to complete this document.

Identify any major hardware and commercial software associated with the Helpdesk Service:			
1	B.7.a Help Desk Licensing, Servers, and Other Specific Products	3	B.7.c IT Security
2	B.7.b Technical Assistance and Support	4	B.7.d Service Desk Application (SVCDESK)

1. IT Service Definition

1.1. Who is the service provider? (*Indicate all that apply*)

- Central IT staff
- Program staff
- Other state agency (*non-primary data center*)
- Other External Service Provider (*specify*)
- Southwood Shared Resource Center
- Northwood Shared Resource Center
- Northwest Regional Data Center

1.2. Who uses the service? (*Indicate all that apply*)

- Agency staff (state employees or contractors)
- Employees or contractors from one or more additional state agencies
- External service providers
- Public (please explain in Question 5.3)

1.3. Please identify the number of users of this service: 8,000

1.4. How many locations currently host IT assets and resources used to provide helpdesk services? 1

1.5. What communication channels are used for the service? (*Indicate all that apply*)

- On-line self-serve
- Telephone/IVR
- Remote desktop (e.g., PC Anywhere)
- Other Help Desk issues/tickets are also submitted via e-mail
- On-line interactive
- Face-to-face

1.6. What is the scope of the service provided by the Help Desk: (*Check all boxes that apply*)

Help Desk Action	Simple problems	Moderately complex problems	Complex problems
Accepting and logging	X	X	X
Referring/escalating	X	X	X
Tracking and reporting	X	X	
Resolving/closing	x		

1.7. Please identify the major IT systems or services for which the Help Desk must provide assistance:

1		5	
2		6	
3		7	
4		8	

2. Service Unique to Agency

2.1. Is a similar or identical IT service provided by another agency or external service provider?
(Identical, Very Similar, No, Unknown)

Very similar

2.2. If the same level of service could be provided through another agency or source for less than the current cost of the IT service, could your agency change to another service provider?

Yes No

2.2.1. If yes, what must happen for your agency to use another IT service provider?

The agency would require an external service provider to have an understanding of the DOT computing environment. Service level requirements would need to be validated to ensure that another IT service provider could answer questions and resolve problems related to all aspects of DOT business applications in an accurate and responsive manner.

2.2.2. If not, why does your agency need to maintain the current provider for this IT service?

3. IT Service Levels Required to Support Business Functions

3.1. Has the agency specified the service level requirements for this IT Service?

- Yes; formal Service Level Agreement(s)
- Yes; informal agreement(s)
- No; specific requirements have not been determined and approved by the department

If you answered "Yes," identify major (formal or informal) service level requirements:

All customers should be contacted within one hour of the call being logged by the initial HelpDesk staff.

3.2. Timing and Service Delivery Requirements

3.2.1. Hours/Days the Help Desk service is required (*e.g., 0800-1600 M-F, 24/7*) 24/7

3.2.2. What are the impacts on the agency's business if the Help Desk service is not available?

Employees would not be able to get timely responses to IT problems, which would reduce their efficient utilization of the DOT systems.

3.2.3. What is the average monthly volume of calls/cases/tickets? 5,500

3.2.4. Are there any agency-unique service requirements? Yes No

If yes, specify (*include any applicable constitutional, statutory, or rule requirements*)

3.2.5. What are security requirements for this IT service? *(Indicate all that apply)*

- User ID/Password
- Access through internal network only
- Other _____
- Access through Internet or external network
- Access through Internet with secure encryption

3.2.6. Are there any federal, state, or agency privacy policies or restrictions applicable to this IT Service?

- Yes
- No

3.2.6.1. If yes, please specify and describe:

4. User/customer satisfaction

4.1. Are service level metrics reported to business stakeholders or agency management?

- Yes
- No

If yes, briefly describe the frequency of reports and how they are provided:

Random automated customer service satisfactions surveys are issued to customers once a call is closed out to tabulate their level of satisfaction with how the call was handled.

4.2. Are currently defined IT service levels adequate to support the business needs?

- Yes
- No

4.2.1. If no, what changes need to be made to the current IT service? *(Briefly explain)*

4.2.2. List any significant projects that are underway or planned to upgrade or enhance any system, resource, or process associated with this IT service. *Please indicate the D3-A issue number in the Description for any projects that require funds in a legislative budget request for FY 2011-12.*

Project Name	Description	Start Date	End Date	Estimated Total Cost of Completion

5. Additional Information

5.1. Please describe the funding source(s), i.e., general revenue, trust fund, federal grant, or other, that is used to provide this service, and describe any anticipated adjustments to the funding source(s) or funding level for FY 2011-12

State Transportation Trust Fund

5.2. Please indicate whether there is a cost recovery or cost allocation plan for this service, and describe any anticipated adjustments or needed changes in the service funding model (e.g., charge-back, cost allocation, fee-per-transaction, etc.)

5.3. Other pertinent information related to this service

Helpdesk Service

Agency: **Transportation**
 Prepared by: **Nelson Hill**
 Phone: **850-414-4499**

of Assets & Resources Apportioned to this IT Service in FY 2011-12

Service Provisioning - - Assets & Resources (Cost Elements)	Footnote Number	# of Assets & Resources Apportioned to this IT Service in FY 2011-12		Estimated IT Service Costs			
		Number used for this service	Number w/ costs in FY 2011-12	A Initial Estimate for Fiscal Year 2010-11	B Estimated FY 2010-11 Allocation of Recurring Base Budget (based on Column G64 minus G65)	C Estimated FY 2011-12 Allocation of Recurring Base Budget (based on Column G64 minus G65)	D Planned Increase/Decrease Use of Recurring Base Funding (Columns C - B)
A. Personnel		7.91		\$584,300	\$584,300	\$423,745	-\$160,555
A-1 State FTE		2.91		\$228,900	\$228,900	\$138,499	-\$90,401
A-2 OPS FTE		0.00		\$0	\$0	\$0	\$0
A-3 Contractor Positions (Staff Augmentation)		5.00		\$355,400	\$355,400	\$285,246	-\$70,154
B. Hardware		0	0	\$0	\$0	\$0	\$0
B-1 Servers	1	0	0	\$0	\$0	\$0	\$0
B-2 Server Maintenance & Support	1	0	0	\$0	\$0	\$0	\$0
B-3 Other Hardware Assets (Please specify in Footnote Section below)		0	0	\$0	\$0	\$0	\$0
C. Software				\$55,400	\$55,400	\$112,550	\$57,150
D. External Service Provider(s)		0	0	\$12,000	\$12,000	\$7,200	-\$4,800
E. Other (Please describe in Footnotes Section below)	2			\$1,800	\$1,800	\$1,590	-\$210
F. Total for IT Service				\$641,500	\$653,500	\$545,085	-\$108,415

G. Footnotes - Please be sure to indicate there is a footnote for the corresponding row above. Maximum footnote length is 1024 characters.

1	Production and Test servers for CA's Unicenter are virtual servers. Physical server is reported as Data Center server.
2	Includes travel, training, supplies, and etc.
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Dept/Agency: **Florida Department of Transportation**
 Submitted by: **Nelson Hill, Chief Information Officer**
 Phone: **850-414-4771**
 Date submitted: **October 15th, 2010**

B.8 - Portal/Web Management Service

The Portal/Web Management service enables the publishing of the agency's standard, mission-critical information with its employees and the public. This service involves the development, maintenance, operations, and support of an agency's Internet and intranet web presences. Please consult the Guidelines for Schedule IV-C: IT Costs and Service Requirements for the complete definition of this IT Service and specific direction on how to complete this document.

Identify the major hardware and commercial software associated with this service:			
1	B.8.a Enterprise Information Portal (EIP)	5	B.8.e Web Application Development and Maintenance
2	B.8.b Internet and Intranet Servers Monitoring and Maintenance	6	B.8.f Web Publishing/Development Support
3	B.8.c GIS Enterprise View (GEV)	7	B.8.g Technical Assistance and Support
4	B.8.d Development, Installation, and Maintenance		

1. IT Service Definition

1.1. Who is the service provider? *(Indicate all that apply)*

- Central IT staff
- Program staff
- Other state agency *(non-primary data center)*
- Other External Service Provider *(specify)*
- Northwood Shared Resource Center
- Southwood Shared Resource Center
- Northwest Regional Data Center

1.2. Who uses the service? *(Indicate all that apply)*

- Agency staff (state employees or contractors)
- Employees or contractors from one or more additional state agencies
- External service providers
- Public (please explain in Question 5.3)

- 1.3. Please identify the number of Internet users of this service. 500,000
- 1.4. Please identify the number of intranet users of this service. 8,200
- 1.5. How many locations currently host IT assets and resources used to provide this service? 1

2. Service Unique to Agency

2.1. Is a similar or identical IT service provided by another agency or external service provider? *(Identical, Very Similar, No)* Very
similar for some applications in this service

2.2. If the same level of service could be provided through another agency or source for less than the current cost of the IT service, could your agency change to another service provider?

- Yes
- No

2.2.1. If yes, what must happen for your agency to use another IT service provider?

DOT- specific requirements would have to be defined to the developer (vendor) and the applications would have to be customized to meet the agency's needs before changing to another provider. After implementation, the service provider would have to ensure that applications were accurate and responsive.

2.2.2. If not, why does your agency need to maintain the current provider for this IT service?

3. IT Service Levels Required to Support Business Functions

3.1. Has the agency specified the service level requirements for this IT Service?

- Yes; formal Service Level Agreement(s)
- Yes; informal agreement(s)
- No; specific requirements have not been determined and approved by the department

If you answered "Yes," identify major (formal or informal) service level requirements:

The agency has formal Service Level Agreements (SLA) with the Southwood Shared Resource Center (SSRC) for processes related to their operations.

In addition, the agency's Information Resource Management Council (IRMC) adopts a WorkPlan defining the scope and resource levels for each application prior to beginning of each fiscal year. The IRMC provides prioritization of projects and approves planned projects. In addition, information regarding the hours of availability for applications and the method by which support and services can be requested is published.

3.2. Timing and Service Delivery Requirements

3.2.1. Hours/Days that service is required (e.g., 0600-2100 M-F, 24/7): 0600 – 2100 Monday – Friday; 0600 – 1900 Saturday

3.2.2. What is the agency's tolerance for down time during peak periods, i.e., time before management-level intervention occurs (e.g., 5 min, 15 min, 60 min)? 15 minutes

3.2.2.1. What are the impacts on the agency's business if this down-time standard is exceeded?

3.2.3. Are there any agency-unique service requirements? Yes No

If yes, specify (Include any applicable constitutional, statutory, or rule requirements)

3.2.4. What are security requirements for this IT service? (Indicate all that apply)

- User ID/Password
- Access through Internet or external network
- Access through internal network only
- Access through Internet with secure encryption
- Other _____

3.2.5. Are there any federal, state, or agency privacy policies or restrictions applicable to this IT Service?

- Yes
- No

3.2.5.1. If yes, please specify and describe:

4. User/customer satisfaction

4.1. Are service level metrics reported to business stakeholders or agency management?

Yes No

4.1.1. If yes, briefly describe the frequency of reports and how they are provided:

Periodic customer satisfaction survey is conducted and reviewed with DOT Management.

4.2. Are currently defined IT service levels adequate to support the business needs?

Yes No

4.2.1. If no, what changes need to be made to the current IT service? *(Briefly explain)*

4.2.2. List any significant projects that are underway or planned to upgrade or enhance any system, resource, or process associated with this IT service. *Please indicate the D3-A issue number in the Description for any projects that require funds in a legislative budget request for FY 2011-12.*

Project Name	Description	Start Date	End Date	Estimated Total Cost to Complete

5. Additional Information

5.1. Please describe the funding source(s), i.e., general revenue, trust fund, federal grant, or other, that is used to provide this service, and describe any anticipated adjustments to the funding source(s) or funding level for FY 2011-12

State Transportation Trust Fund

5.2. Please indicate whether there is a cost recovery or cost allocation plan for this service, and describe any anticipated adjustments or needed changes in the service funding model (e.g., charge-back, cost allocation, fee-per-transaction, etc.)

5.3. Other pertinent information related to this service

Non-Strategic IT Service:

Portal/Web Management Service

Dept/Agency: **Transportation**

Prepared by: **Nelson Hill**

Phone: **850-414-4499**

of Assets & Resources
Apportioned to this IT Service
In FY 2011-12

Form: Schedule IV-C -Combined v.2011-12

Service Provisioning -- Assets & Resources (Cost Elements)

	Footnote Number	# of Assets & Resources Apportioned to this IT Service In FY 2011-12		Estimated IT Service Costs			
		Number used for this service	Number w/ costs In FY 2011-12	A Initial Estimate for Fiscal Year 2010-11	B Estimated FY 2010-11 Allocation of Recurring Base Budget (based on Column G64 minus G65)	C Estimated FY 2011-12 Allocation of Recurring Base Budget (based on Column G64 minus G65)	D Planned Increase/Decrease Use of Recurring Base Funding
A. Personnel		8.70		\$850,300	\$850,300	\$761,516	-\$88,784
A-1.1 State FTE		4.95		\$416,000	\$416,000	\$308,213	-\$107,787
A-2.1 OPS FTE		0.00		\$0	\$0	\$0	\$0
A-3.1 Contractor Positions (Staff Augmentation)		3.75		\$434,300	\$434,300	\$453,303	\$19,003
B. Hardware				\$0	\$0	\$0	\$0
B-1 Servers	1	2	1	\$0	\$0	\$0	\$0
B-2 Server Maintenance & Support	2	2	1	\$0	\$0	\$0	\$0
B-3 Other Hardware Assets (Please specify in Footnotes Section below)		0	0	\$0	\$0	\$0	\$0
C. Software				\$118,200	\$118,200	\$85,200	-\$33,000
D. External Service Provider(s)		0	0	\$20,000	\$20,000	\$30,350	\$10,350
E. Other (Please describe in Footnotes Section below)	3			\$9,500	\$9,500	\$11,470	\$1,970
F. Total for IT Service				\$998,000	\$998,000	\$888,536	-\$109,464

G.	Footnotes - Please be sure to indicate there is a footnote for the corresponding row above. Maximum footnote length is 1024 characters.
1	Includes web servers in CO as well as web servers reported from the districts
2	Only six web servers are under warranty
3	Includes travel, training, supplies, and etc.
4	This service was reported as a strategic service in previous years
5	
6	
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15	

Dept/Agency: **Florida Department of Transportation**
 Submitted by: **Nelson Hill, Chief Information Officer**
 Phone: **850-414-4771**
 Date submitted: **October 15th, 2010**

Data Center Service

This service provides the centralized operation and management of data center services through (a) data centers and computing facilities as defined in s. 282.0041, F.S., and (b) single logical-server installations. It includes all resources required to perform data center functions identified in s. 282.201(2)(d)1.e., F.S. for agency strategic and non-strategic IT services.

Statutory definitions from s. 282.0041, F.S., are provided here for convenience:

- **"Primary data center"** means a state or non-state agency data center that is a recipient entity for consolidation of non-primary data centers and computing facilities. A primary data center may be authorized in law or designated by the Agency for Enterprise Information Technology pursuant to s. [282.201](#).
- **"Data center"** means agency space containing 10 or more physical or logical servers any of which supports a strategic or nonstrategic information technology service, as described in budget instructions developed pursuant to s. [216.023](#).
- **"Computing facility"** means agency space containing fewer than a total of 10 physical or logical servers, any of which supports a strategic or nonstrategic information technology service, as described in budget instructions developed pursuant to s. [216.023](#), but excluding single, logical-server installations that exclusively perform a utility function such as file and print servers.

Please consult the *Guidelines for Schedule IV-C: IT Costs and Service Requirements* for the complete definition of this IT Service and specific direction on how to complete this document.

Identify the non-strategic and strategic IT services that are supported (in whole or part) by data center services offered at the following data centers and computing facilities.		
1	Northwood Shared Resource Center	None
2	Southwood Shared Resource Center	Data Center Facilities, Mainframe Environment, Technical Assistance & Support, IT Security/Risk Management Services, Helpdesk Services.
3	Northwest Regional Data Center	None
4	Agency (non-primary) Data Center	All services listed in FDOT IT Services Catalog
5	Agency Computing Facilities	All services listed in FDOT IT Services Catalog
6	Other External Data Center(s)	None

1. IT Service Definition

1.1. Who is the service provider? *(Indicate all that apply)*

- | | |
|---|--|
| <input checked="" type="checkbox"/> Central IT staff | <input type="checkbox"/> Northwood Shared Resource Center |
| <input checked="" type="checkbox"/> Program staff | <input checked="" type="checkbox"/> Southwood Shared Resource Center |
| <input type="checkbox"/> Other state agency (<i>non-primary data center</i>) | <input type="checkbox"/> Northwest Regional Data Center |
| <input type="checkbox"/> Other External Service Provider (<i>specify</i>) _____ | |

1.2. Who uses the service? *(Indicate all that apply)*

- Agency staff (state employees or contractors)
- Employees or contractors from one or more additional state agencies
- External service providers
- Public (please explain in Question 5.3)

1.3. Provide the following information regarding agency data centers included in this service:

1.3.1. Number of agency data center(s) 15

1.3.2. List the major IT application systems¹ hosted at each of these facilities:

Name of Agency Data Center	Major IT Application Systems Supported	External Agency Supported <i>(if applicable)</i>
Burns	Please see attached Applications Chart	
Magnolia Bldg		
Rhyne Bldg		
Miracle Plaza		
9 District Offices (with Lake City and Jacksonville)		
Turnpike		
Tolls		

1.4. Provide the following information regarding agency computing facilities included in this service:

1.4.1. Number of agency computing facilities 1

1.4.2. List the major IT application systems¹ hosted at each of these facilities:

Name of Computing Facility	Major IT Application Systems Supported	External Agency Supported <i>(if applicable)</i>
Motor Carrier and Compliance	A.4.c.1 Office and/or User Developed Applications and Systems	

1.5. Provide the following information regarding single logical-server installations included in this service:

1.5.1. Total number of logical servers not housed in an agency data center, agency computing facility, or primary data center 8

1.5.2. Total number of single logical-server installations 8

1.5.3. List all major IT application systems¹ supported by these servers in 1.5.1 and 1.5.2:

Firewall Management , File and Print

2. Data Center Consolidation

2.1. When are your agency data center and computing facilities scheduled for consolidation into a primary data center? *If not yet scheduled, indicate "Not Available."*

December 2011

¹ Any custom developed system, commercially acquired, or open- source software product that is included in the definition of a non- strategic or strategic IT service. *Note: Strategic IT Services and their constituent systems are defined in Worksheets SC- 1 & SC- 2.*

2.2. Has your agency specified service level requirements for this IT service in a service level agreement (SLA) executed with a primary data center in compliance with s. 282.203, F.S.?

Yes No

2.2.1. If no, please explain the specific issues preventing execution, and describe your plan and schedule for resolving those issues.

[Redacted]

2.2.2. If yes, please provide an electronic copy of the executed service level agreement with your Schedule IV-C submission.

3. IT Service Levels Required to Support Business Functions

3.1. Timing and Service Delivery Requirements

3.1.1. Hours/Days that service is required (*e.g., 0600-2400 M-F, 24/7*) All timing

and Service Delivery Requirements are defined in the Service Level Agreement with the Southwood Shared Resource Center for (1) IBM Mainframe Services dated June 22, 2009, (2) Co-location Services FY 2010-2011, (3) Open Systems Managed Service FY 2010-2011, (4) Backup Services FY 2010-2011, (5) Shared Transitional Service FY 2010-2011.

3.1.2. What is the agency's tolerance for down time during peak periods, i.e., time before management-level intervention occurs (e.g., 5 min, 15 min, 60 min)? 15 min

3.1.3. Are there any federal, state, or agency privacy policies or restrictions applicable to this IT Service?

Yes No

If yes, please specify and describe:

[Redacted]

3.1.4. Are there any agency-unique service requirements? Yes No

If yes, specify (*Include any applicable constitutional, statutory, or rule requirements*)

[Redacted]

3.1.5. What are the security requirements for this IT service? (*Indicate all that apply*)

- | | |
|--|--|
| <input type="checkbox"/> Restricted system administration rights | <input checked="" type="checkbox"/> Secured entrance to facility |
| <input checked="" type="checkbox"/> Systems access through internal network only | <input checked="" type="checkbox"/> Systems access through secure encryption |
| <input type="checkbox"/> Criminal background check for data center staff | <input type="checkbox"/> Other [Redacted] |

4. User/customer satisfaction

4.1. Are service level metrics reported to business stakeholders or agency management?

Yes No

4.1.1. If yes, briefly describe the frequency of reports and how they are provided:

Periodic customer satisfaction survey is conducted and reviewed with FDOT Management.

4.2. Are currently defined IT service levels adequate to support the business needs of the agency?

Yes No

4.2.1. If no, what changes need to be made to the current IT service? (*Briefly explain*)

4.2.2. List any significant projects that are underway or planned to upgrade or enhance any system, resource, or process associated with this IT service. *Please indicate the D3-A issue number in the Description for any projects that require funds in a legislative budget request for FY 2011-12.*

Project Name	Description	Start Date	End Date	Estimated Total Cost of Completion
None				

5. Additional Information

5.1. Please describe the funding source(s), i.e., general revenue, trust fund, federal grant, or other, that is used to provide this service, and describe any anticipated adjustments to the funding source(s) or funding level for FY 2011-12

State Transportation Trust Fund.

5.2. Please indicate whether there is a cost recovery or cost allocation plan for this service, and describe any anticipated adjustments or needed changes in the service funding model (e.g., charge-back, cost allocation, fee-per-transaction, etc.)

Cost allocation. No adjustments or changes anticipated.

5.3. Other pertinent information related to this service

None

Non-Strategic IT Service: **Data Center Service**

Dept/Agency: **Transportation**

Prepared by: **Nelson Hill**

Phone: **850-414-4499**

Form: Schedule IV-C -Combined v.2011-12

of Assets & Resources Apportioned to this IT Service in FY 2011-12

Estimated IT Service Costs

Service Provisioning -- Assets & Resources (Cost Elements)	Footnote Number	# of Assets & Resources Apportioned to this IT Service in FY 2011-12		Estimated IT Service Costs			
		Number used for this service	Number w/ costs in FY 2011-12	A Initial Estimate for Fiscal Year 2010-11 (if submitted)	B Estimated FY 2010-11 Allocation of Recurring Base Budget (based on Column G64 minus G65)	C Estimated FY 2011-12 Allocation of Recurring Base Budget (based on Column G64 minus G65)	D Planned Increase/Decrease Use of Recurring Base Funding
A. Personnel (performing data center functions defined in w. 282.201(2)(d)1.e., F.S.)		0.00		\$0	\$0	\$0	\$0
A-1.1 State FTE		0.00		\$0	\$0	\$0	\$0
A-2.1 OPS FTE		0.00		\$0	\$0	\$0	\$0
A-3.1 Contractor Positions (Staff Augmentation)		0.00		\$0	\$0	\$0	\$0
B. Hardware				\$0	\$0	\$0	\$0
Calculated total non-mainframe servers from all IV-C services	503						
Calculated total mainframes from all IV-C services	0						
B-1 Non-Mainframe Servers (including single-function logical servers not assigned to another service)		0	0	\$0	\$0	\$0	\$0
B-2 Servers - Mainframe	4	0	0	\$0	\$0	\$0	\$0
B-3 Server Maintenance & Support	4	0	0	\$0	\$0	\$0	\$0
B-4 Online or Archival Storage Systems (indicate GB of storage)	4	0		\$0	\$0	\$0	\$0
B-5 Data Center/ Computing Facility Internal Network	4			\$0	\$0	\$0	\$0
B-6 Other Hardware (Please specify in Footnotes Section below)	4			\$0	\$0	\$0	\$0
C. Software				\$98,100	\$98,100	\$98,130	\$30
D. External Service Provider(s)				\$71,200	\$71,200	\$440,483	\$369,283
D-1 Southwood Shared Resource Center (indicate # of Board votes)		0		\$0	\$0	\$0	\$0
D-2 Northwood Shared Resource Center (indicate # of Board votes)		0		\$0	\$0	\$372,296	\$372,296
D-3 Northwest Regional Data Center (indicate # of Board votes)		0		\$0	\$0	\$0	\$0
D-4 Other Data Center External Service Provider (specify in Footnotes below)	2			\$71,200	\$71,200	\$68,187	-\$3,013
E. Plant & Facility		Total	Est Utilized	\$764,300	\$764,300	\$747,731	-\$16,569
E-1 Agency Data Center (indicate total square feet)	8	117415	117415	\$0	\$0	\$0	\$0
E-2 Computing Facilities (indicate total square feet)		925	925	\$0	\$0	\$0	\$0
E-3 Office Space (indicate total square feet)	9	2625	2625	\$0	\$0	\$0	\$0
E-4 Backup Generator, Power Distribution Units, UPS, etc. (indicate capacity in KW)	11	9825000	0	\$0	\$0	\$23,187	\$23,187
E-5 Utilities (e.g., electricity and water) (estimated total annual KWH)	10	287942754		\$0	\$0	\$679,544	\$679,544
E-6 Environmentals (e.g., HVAC, fire control, and physical security)				\$0	\$0	\$45,000	\$45,000
E-7 Other (please specify in Footnotes Section below)	12			\$764,300	\$764,300	\$0	-\$764,300
F. Other (Please describe in Footnotes Section below)	3			\$11,000	\$11,000	\$6,688	-\$4,312
G. Total for IT Service				\$944,600	\$944,600	\$1,293,032	\$348,432
H. Footnotes - Please be sure to indicate there is a footnote for the corresponding row above. Maximum footnote length is 1024 characters.							
1	IBM 3900 printer						
2	Generator and UPS maintenance						
3	Travel training, office supplies, dp supplies, and printing supplies for data center staff.						
4	The Department used apportionment methodologies to distribute shared infrastructure costs for this service						
5	Costs were distributed based on three different factors of data noted below:						
6	1) DASD utilization 2) Document Counts (for costs specific to EDMS) 3) Number of employees per strategic service.						
7	This service was reported as a strategic service in previous years						
8	Includes 7 remotes servers from District 1						
9	1 remotes server reported by DISO for Gainesville office						

	Currently Authorized Positions		OPS FTE	OPS FTE Cost	Contracted Services FTE	Contracted Services FTE Cost	Total Personnel	Total Personnel Cost	Servers - Mainframe	Servers - Non-Mainframe	Hardware	Software	External Service Provider	Other	TOTAL
	State FTE	State FTE Cost													
Non-Strategic IT Services															
IV-C Service															
Network	48.84	\$ 2,892,635	0.00	\$ -	6.40	\$ 526,797	55.24	\$ 3,419,432		255	\$ 736,046	\$ 617,520	\$ 2,412,821	\$ 137,655	\$ 7,323,474
Email, Messaging, @ Calendaring	6.85	\$ 422,880	0.00	\$ -	1.05	\$ 108,257	7.90	\$ 531,137		34	\$ 70,000	\$ 136,520	\$ 86,639	\$ 21,138	\$ 845,434
Desktop Computing	64.36	\$ 3,231,124	1.00	\$ 25,000	8.00	\$ 467,159	73.36	\$ 3,723,283		0	\$ 1,990,715	\$ 1,164,129	\$ 90,560	\$ 190,188	\$ 7,158,875
Help Desk	2.91	\$ 138,499	0.00	\$ -	5.00	\$ 285,246	7.91	\$ 423,745		0	\$ -	\$ 112,550	\$ 7,200	\$ 1,590	\$ 545,085
IT Security/Risk Mitigation	20.32	\$ 1,286,501	0.00	\$ -	0.45	\$ 44,740	20.77	\$ 1,331,241		113	\$ 120,750	\$ 314,571	\$ 45,572	\$ 72,360	\$ 1,884,494
Financial and Administrative Systems Support	8.35	\$ 538,355	0.00	\$ -	3.32	\$ 443,710	11.67	\$ 982,065		10	\$ -	\$ 19,923	\$ 4,601	\$ 30,698	\$ 1,037,287
IT Administration & Management	32.30	\$ 2,109,573	0.00	\$ -	2.25	\$ 304,673	34.55	\$ 2,414,246		0	\$ 4,000	\$ 1,200	\$ 125,655	\$ 734,437	\$ 3,279,538
Portal/Web Management	4.95	\$ 308,213	0.00	\$ -	3.75	\$ 453,303	8.70	\$ 761,516		2	\$ -	\$ 85,200	\$ 30,350	\$ 11,470	\$ 888,536
Data Center	0.00	\$ -	0.00	\$ -	0.00	\$ -	0.00	\$ -	0	0	\$ -	\$ 98,130	\$ 440,483	\$ 6,688	\$ 1,293,032
Total	188.88	\$ 10,927,780	1.00	\$ 25,000	30.22	\$ 2,633,885	220.10	\$ 13,586,665	0.00	414.00	\$ 2,921,511	\$ 2,549,743	\$ 3,243,881	\$ 1,206,224	\$ 24,255,755

Data Center Plant & Facility: \$ 747,731 (included in Data Center total)

	Currently Authorized Positions		OPS FTE	OPS FTE Cost	Contracted Services FTE	Contracted Services FTE Cost	Total Personnel	Total Personnel Cost	Servers - Mainframe	Servers - Non-Mainframe	Hardware	Software	External Service Provider	Other	TOTAL
	State FTE	State FTE Cost													
Strategic IT Services															
IV-C Service															
Plan Strategic IT Service #1	6.60	\$ 434,593	1.00	\$ 25,000	8.53	\$ 1,148,411	16.13	\$ 1,608,004	0	3	\$ 9,302	\$ 1,896,965	\$ 1,166,687	\$ 13,481	\$ 4,694,439
Produce Strategic IT Service #2	30.27	\$ 1,920,342	1.00	\$ 25,000	16.34	\$ 2,193,701	47.61	\$ 4,139,043	0	14	\$ 223,540	\$ 817,325	\$ 1,323,523	\$ 64,656	\$ 6,568,087
Deliver Strategic IT Service #3	6.71	\$ 429,048	0.00	\$ -	3.78	\$ 505,246	10.49	\$ 934,294	0	6	\$ 13,790	\$ 304,101	\$ 668,946	\$ 18,433	\$ 1,939,564
Maintain & Operate Strategic IT Service #4	20.42	\$ 1,319,877	1.00	\$ 25,000	6.75	\$ 881,388	28.17	\$ 2,226,265	0	37	\$ 251,220	\$ 1,138,142	\$ 3,932,443	\$ 190,444	\$ 7,738,514
Turnpike Enterprise IT Service #5	20.20	\$ 1,334,940	0.00	\$ -	12.43	\$ 843,568	32.63	\$ 2,178,508	0	29	\$ 5,138	\$ 217,167	\$ 2,204,320	\$ 1,126,804	\$ 5,731,937
Agency Strategic IT Service #6	0.00	\$ -	0.00	\$ -	0.00	\$ -	0.00	\$ -	0	0	\$ -	\$ -	\$ -	\$ -	\$ -
Agency Strategic IT Service #7	0.00	\$ -	0.00	\$ -	0.00	\$ -	0.00	\$ -	0	0	\$ -	\$ -	\$ -	\$ -	\$ -
Agency Strategic IT Service #8	0.00	\$ -	0.00	\$ -	0.00	\$ -	0.00	\$ -	0	0	\$ -	\$ -	\$ -	\$ -	\$ -
Agency Strategic IT Service #9	0.00	\$ -	0.00	\$ -	0.00	\$ -	0.00	\$ -	0	0	\$ -	\$ -	\$ -	\$ -	\$ -
Agency Strategic IT Service #10	0.00	\$ -	0.00	\$ -	0.00	\$ -	0.00	\$ -	0	0	\$ -	\$ -	\$ -	\$ -	\$ -
Agency Strategic IT Service #11	0.00	\$ -	0.00	\$ -	0.00	\$ -	0.00	\$ -	0	0	\$ -	\$ -	\$ -	\$ -	\$ -
Agency Strategic IT Service #12	0.00	\$ -	0.00	\$ -	0.00	\$ -	0.00	\$ -	0	0	\$ -	\$ -	\$ -	\$ -	\$ -
Agency Strategic IT Service #13	0.00	\$ -	0.00	\$ -	0.00	\$ -	0.00	\$ -	0	0	\$ -	\$ -	\$ -	\$ -	\$ -
Agency Strategic IT Service #14	0.00	\$ -	0.00	\$ -	0.00	\$ -	0.00	\$ -	0	0	\$ -	\$ -	\$ -	\$ -	\$ -
Agency Strategic IT Service #15	0.00	\$ -	0.00	\$ -	0.00	\$ -	0.00	\$ -	0	0	\$ -	\$ -	\$ -	\$ -	\$ -
Agency Strategic IT Service #16	0.00	\$ -	0.00	\$ -	0.00	\$ -	0.00	\$ -	0	0	\$ -	\$ -	\$ -	\$ -	\$ -
Total	84.20	\$ 5,438,800	3.00	\$ 75,000	47.83	\$ 5,572,314	135.03	\$ 11,086,114	0.00	89.00	\$ 502,990	\$ 4,373,700	\$ 9,295,919	\$ 1,413,818	\$ 26,672,541

	Currently Authorized Positions		OPS FTE	OPS FTE Cost	Contracted Services FTE	Contracted Services FTE Cost	Total Personnel	Total Personnel Cost	Servers - Mainframe	Servers - Non-Mainframe	Hardware	Software	External Service Provider	Other	TOTAL
	State FTE	State FTE Cost													
TOTALS															
All Schedule IV-C Services															
Non-Strategic IT Services	188.88	\$ 10,927,780	1.00	\$ 25,000	30.22	\$ 2,633,885	220.10	\$ 13,586,665	0	414	\$ 2,921,511	\$ 2,549,743	\$ 3,243,881	\$ 1,206,224	\$ 23,508,024
Strategic IT Services	84.20	\$ 5,438,800	3.00	\$ 75,000	47.83	\$ 5,572,314	135.03	\$ 11,086,114	0	89	\$ 502,990	\$ 4,373,700	\$ 9,295,919	\$ 1,413,818	\$ 26,672,541
Total	84.20	\$ 5,438,800	3.00	\$ 75,000	47.83	\$ 5,572,314	355.13	\$ 24,672,779	0.00	503.00	\$ 3,424,501	\$ 6,923,443	\$ 12,539,800	\$ 2,620,042	\$ 50,180,565

+ Data Center Plant & Facility: \$ 50,928,296

	Currently Authorized Positions		OPS FTE	OPS FTE Cost	Contracted Services FTE	Contracted Services FTE Cost	Total Personnel	Total Personnel Cost
	State FTE	State FTE Cost						
COST BREAKDOWN								
All Schedule IV-C Services	% IT Positions	% Hardware	% Software	% External Service Provider	% Other	% of Total Reported IT Cost		
Non-Strategic IT Services	57.80%	12.43%	10.85%	13.80%	5.13%	46.85%		
Strategic IT Services	41.56%	1.89%	16.40%	34.85%	5.30%	53.15%		
% of Total Reported IT Cost	49.168%	6.824%	13.797%	24.989%	5.221%			

Data Center Summary	Total	Total Utilized
Total Data Center Personnel		0.00
Total Servers from All IT Services - Mainframe		0
Total Servers from All IT Services - Non-Mainframe		503
Agency Data Center (TOTAL SQUARE FEET)	117415	117415
Computing Facilities (TOTAL SQUARE FEET)	925	925
Office Space (TOTAL SQUARE FEET)	2625	2625
Backup Generator, Power Distribution Units, UPS, etc. (CAPACITY IN KW)	9825000	
Utilities-Electricity (ESTIMATED TOTAL ANNUAL KWH)	287942754	



Southwood Shared Resource Center

SERVICE LEVEL AGREEMENT

Between the

Department of Transportation

And

The Southwood Shared Resource Center


IBM Mainframe Managed Service

Fiscal Year 2009 – 2010

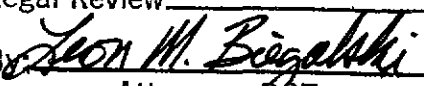
Service Level Agreement: IBM Mainframe Managed Service

Signature Authorization

Department of Transportation:


Nelson Hill, Chief Information Officer
Department of Transportation

6-15-09
Date

Legal Review:
By 
Attorney - DOT

Southwood Shared Resource Center:


John Wade, Executive Director
Southwood Shared Resource Center

6-22-09
Date

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Glossary of Terms

Agency for Enterprise Information Technology (AEIT)	A State of Florida agency charged with developing strategies for the design, delivery, and management of enterprise information technology services; monitoring delivery and management of those services; and establishing rules and policies for managing those services.
Addendum	Subsequent amendments to this SLA which formalize additional customer specific requirements. Section 6 will be revised to present any addendums. Organizationally, addendums shall immediately follow the base SLA and amendments.
Amendment	Formal statement of any changes made to the initial SSRC SLA. Customer specific requirements which have been identified as amendments are referenced in Section 6. Organizationally, amendments shall immediately follow the base SLA.
Attachment	Additional information provided to clarify or assist Provider in meeting terms of this SLA. Section 6 will be revised to present any attachments. Organizationally, attachments shall immediately follow the base SLA, amendments, and addendums.
Business Day	Monday through Friday, excluding State holidays.
Business Hours	8:00 a.m. EST through 6:00 p.m. EST each Business Day.
Incident	Any request for service through the Services Desk – includes general service inquiries, incident reports, invoice discrepancy reviews, etc.
Change Control Board	A board internal to the SSRC that meets regularly to review all desired and planned IT platform changes to evaluate impact to customers and ensure proper customer communication.
Customer	Customer shall mean those “state parties” or “parties” as defined in Section 282 and Section 216.011(1)(gg) of the Florida Statutes and those “public bodies” or “political subdivisions” as defined in Section 1.01(8) and Section 252.34(8) of the Florida Statutes.
Parties	Authorized representative of both the Provider and the Customer.

Professional Services	Additional technical services not included in a Service Level Agreement. These services include, but are not limited to, IT consulting, research, strategic planning, architectural design, implementation, migration assistance, security response, and issue resolution.
Provider	The Southwood Shared Resource Center.
Service Change	A service change is defined as any change to hardware, software, network environment, etc., which directly affects the production environment within the Shared Resource Center. All service changes must be reviewed by the Change Control Board.
Service Change – Emergency	Any Service Change which must be made in less than 24 hours.
Service Change Management	Change Control procedures necessary to affect a service change, ensuring communication with customers and the least amount of risk for service disruption.
Service Level Agreement (SLA)	A formal agreement entered into jointly by the Provider and the Customer that outlines the description of a service, the service level targets, costs, and the Provider and Customer responsibilities in delivering and receiving service from the Provider.
Service Response	Provider action taken in response to a customer’s incident.
Services Desk	The Customer’s first point of contact for service requests, problem resolution, invoicing discrepancies and other service related issues.
Southwood Shared Resource Center (SSRC)	A full-service information-processing facility offering hardware, software, operations, networking, and co-location services. Also referred to as the Southwood Shared Resource Center or Data Center.
SSRC Board of Trustees	Board created by Section 282.203, Florida Statutes and appointed by the agency head or chief executive officer of the representative customer entities.

1 Statement of Purpose

This SLA formalizes the service terms between the Customer and the Provider, including the scope and definition of technical services, responsibilities for operational areas, service level targets, and the pricing for services delivered. This SLA also communicates the commitment of the Provider to furnish quality and prompt service to the Customer in a manner that contributes to the successful accomplishment of the Customer’s mission.

In the event that any other entity assumes the present responsibilities of the Provider (e.g., through legislative enactment, contractual agreement, etc.), these services shall be provided under these same terms by the successor, under the provisions of the SLA, to the extent allowed under Florida Statute.

2 Legal Authority

This SLA is entered into by and between the Southwood Shared Resource Center (herein referred to as "Provider") and the Department of Transportation (herein referred to as "Customer"), pursuant to Section 282.203(1)(g), Florida Statutes.

All matters, whether sounding in tort or contract, relating to the validity, construction, interpretation, performance and enforcement of this agreement shall be determined by the laws of the State of Florida.

Any amendment to this SLA, as agreed to by the Customer and Provider, shall take precedence over any conflicting provision in this SLA without invalidating the remaining provisions of this agreement. Customer specific requirements which have been identified as amendments are referenced in Section 6.

Any provision or amendment of this agreement in violation of the laws of the State of Florida shall be ineffective to the extent of such violation, without invalidating the remaining provisions of this agreement.

Any provision or amendment of this agreement in violation of rules adopted by the Agency for Enterprise Information Technology pursuant to Section 282.201, Florida Statutes shall be ineffective to the extent of such violation, without invalidating the remaining provisions of this agreement.

No delay or omission to exercise any right, power or remedy accruing to either party upon breach or default by either party under this agreement, shall impair any such right, power or remedy of either party; nor shall such delay or omission be construed as a waiver of any such breach or default, or any similar breach or default thereafter occurring; nor shall any waiver of single breach or default be deemed a waiver of any subsequent breach or default. All waivers must be in writing.

This agreement shall bind the successors, assigns and legal representatives of the Provider and of any legal entity that succeeds to the obligations of the Customer.

This agreement shall be unilaterally canceled by the Customer for refusal to allow public access to all documents, papers, letters or other material subject to the provisions of Chapter 119, Florida Statutes, and made or received in conjunction with the agreement.

In accordance with Section 287.134, Florida Statutes, the Provider will not enter in to a contract with an entity or affiliate who has: been placed on the discriminatory vendor list; may not submit a bid, proposal, or reply on a contract to provide any goods or services to a public entity; may not submit a bid, proposal, or reply on a contract with a public entity for the construction or repair of a public building or public work; may not submit bids, proposals, or replies on leases of real property to a public entity; may not be awarded or perform work as a contractor, supplier, subcontractor, or consultant under a contract with any public entity; and may not transact business with any public entity.

The Customer shall consider the employment by any contractor of unauthorized aliens a violation of section 274(e) of the Federal Immigration and Nationality Act. Such violation shall be cause for unilateral cancellation of this agreement.

3 Roles and Responsibilities

General roles and responsibilities are defined below. Additional roles and responsibilities may be outlined in the Product/Service Description section:

Service Responsibilities	Customer	Provider
Utilize the Provider’s Services Desk for all Provider service needs or service related inquiries	C	
Provide and maintain a single customer contact for service notifications (client-side distribution list recommended)	C	
Provide and maintain a single customer contact for billing related issues (client-side distribution list recommended)	C	
Provide and maintain a single customer contact for technical issues (client-side distribution list recommended)	C	
Provide timely notification of changes to the Provider’s Change Control Board	C	
Provide prompt payment for services rendered	C	
Shall adhere to the data center duties related to primary data centers as outlined in Chapter 282.203, Florida Statutes		P
Provide timely notification of any service changes		P
Provide timely notification of any planned outages		P

Customer Contacts	
Service Notifications Contact / Distribution List	FDOT-SSRC.ServiceNotification@dot.state.fl.us
Billing Related Issues Contact / Distribution List	FDOT-SSRC.BillingIssues@dot.state.fl.us
Technical Contact / Distribution List	FDOT-SSRC.TechnicalIssues@dot.state.fl.us

4 Term and Renewal Conditions

SLAs may not have a term exceeding three (3) years but may include an option to renew for up to three (3) years, contingent on approval by the SSRC Board of Trustees. SLAs will be defined and approved by the Board in compliance with rules of the Agency for Enterprise Information Technology (AEIT). Terms and rates for services are reviewed periodically to assure cost-recovery and are subject to change by the SSRC Board of Trustees. Payment for services on an invoice constitutes acceptance of all terms and conditions of the SLA for services paid.

5 Transfer of Computing Services

Pursuant to Section 282.203(1)(g), Florida Statutes, the transfer of computing services between primary data center facilities without at least 180 days' notice of service cancellation is prohibited.

6 Product / Service Definition

IBM Mainframe Managed Service provides customers a fully-managed mainframe solution for their processing needs. The hardware, operating system, software, storage and mainframe network infrastructure is fully managed by the Provider.

Scope of Work

The Provider is responsible for the support of hardware, operating system, mainframe network, and selected applications. This service may include: installation, set-up and connectivity for all software products; problem resolution; addition/removal of users, maintaining access rights; scheduled maintenance approved by the Provider Change Control Board. The mainframe is hosted through the Southwood Shared Resource Center that includes redundant network and power components for continuous availability of service, industry standard security controls and 24 hours a day/7 days a week staffing, maintenance and operations.

The following amendments are reviewed and approved and constitute additional customer requirements:

- Amendment A – Agency Amendment for Standard Mainframe Services
- Amendment B – Agency Amendment for Specialized Mainframe Services
- Amendment C – Agency Amendment for Right to Audit

Addendums: None

Additionally, the customer has provided the following attachments for this SLA:

- Attachment A – Agency Attachment for Incident Process
- Attachment B – Agency Attachment for SSRC Rate Schedule
- Attachment C – Agency Attachment for COOP Instructions

Service Description

IBM Mainframe Managed Services offers a fully-managed solution including comprehensive management of the Z series mainframe and associated services may include:

- Fully managed hardware, operating system, network infrastructure;
- Fully managed Online CICS Transaction Processing;
- System-level database administration support – this may includes installation, implementation, upgrades, monitoring and management of workload, allocation of system resources, troubleshooting, application database backup and recovery, security administration, database reorg's, middleware solution installation;
- Middleware Access and Management – install and maintain middleware products that interfaces with Database and Unix or Windows servers;
- Application Hosting – host a diverse mix of mission-critical and administrative applications for customers;
- Business Backup and Recovery – Data is backed up daily to ensure recovery at the SSRC due to hardware or system failure;
- Mainframe security – System security is used to protect customer resources and guard against unauthorized access of customer data and applications maintained at the SSRC;
- Batch Processing – is available 24 hours a day/7 days a week basis; however the window from 7:00 PM to 6:00 AM on weekdays is dedicated almost totally to batch processing;
- TSO Processing – is available 24 hours a day/7days a week basis. TSO users are required to obtain a security controlled security logon ID which grants them system access only to those facilities required for their particular needs and requirements;
- Print Processing – is available through both SYSTEM attached printers and local IP attached printers. The system printers are controlled through pre-defined DJDE fonts and can be adjusted to meet a customer's needs;
- Production Control – is available as part of the service offering through the IBM mainframe. These services include job scheduling, batch job submission/monitoring, output archival/retrieval, application code change promotion through the change management software;
- Development/Test - services available for full application life cycle management;
- Continuous availability of service - industry standard security controls; and 24 hours a day/7 days a week staffing, maintenance and operations is monitored 24 hours a day/7 days a week;
- HVAC System - Monthly service includes HVAC system redundancy for the SSRC Computer Room floor. This redundant system includes multiple chillers from a central

plant, two pumps within the facility for continued water circulation and outside connections for a portable chiller;

- Power Management - Power redundancy is supplied to IT equipment through two (2) 500 KVA uninterruptible power supply (UPS) systems that are backed up by (2) 1000 KW generators. Generators are activated in the case of a primary power feed interruption. In the event of a prolonged power interruption (more than 1 week) agencies will be billed a prorated charge for fuel. (Please note to date the SSRC has not sustained an outage in duration of more than 12 hours.) The UPS systems provide clean power through continuous monitoring and filtering reducing the RF, EMI and other line noise that can degrade power. Static switches and power distribution units provide the efficient use of power delivery to the customer cabinet. Power and temperature thresholds are continuously monitored, providing early detection of emerging failures. Thermal scans are performed monthly to circumvent problems and potential power failures;
- Tier III Data Center - hardened facility with redundant power and multiple levels of security.

Service Specific Roles and Responsibilities

Roles and responsibilities only relate to those services offered by the Provider and resources owned and/or managed by the Provider. Roles and Responsibilities do not relate to services that are performed by Customer’s internal information technology (IT) section or other designated staff on Customer property.

On the following table: a “P” is placed in the column under the party that will be primarily responsible for performing the task and an “A” is placed in the column under the party that will be assisting the primarily responsible party.

General roles and responsibilities are grouped according to the operational level objectives/categories and the general activities identified that are associated with providing mainframe services.

Item No.	General Roles & Responsibilities	SSRC	FDOT OIS	FDOT User Office
A. System Performance, Availability & Reliability				
1	Manage mainframe event and workload processes.	P		
2	Manage and make performance tuning changes to service classes of work.	P		
3	Provide technical support for all hardware/equipment of the data center computing infrastructure.	P		
4	Support data center network operations (i.e., systems monitoring, Problem diagnostics, troubleshooting, resolution and escalation,	P		

	security management and capacity planning/analysis).			
5	Provide storage services to support data management.	P		
6	Provide data center environmental support (HVAC, UPS, power, cable plant, etc.) for mainframe environment.	P		
7	Provide input for mainframe availability and service continuity requirements and measurements.	P	A	A
B. Protection				
1	Provide disk backup and restoration services in accordance with State of Florida and FDOT established policies.	P		
2	Provide disaster recovery services across all platforms per the State of Florida and FDOT formally-defined disaster-recovery planning requirements (i.e., business continuity plan, annual test plan, infrastructure/facilities to which recovery can be made).	P		
3	Identify and protect data that requires additional security control/measures.	P	A	A
C. Organizational Responsiveness				
1	Provide input for service level objectives, service level metrics, reporting periods, escalation and resolution procedures.	P	A	A
2	Define and obtain approval for service level targets.	P	A	A
3	Coordinate all changes to the Data Center infrastructure that may affect the Service Levels of any other service area.	P	A	
4	Align IT requirements for mainframe services with customer's business requirements.	A	P	A
5	Create and maintain all appropriate project plans, project time and cost estimates, technical specifications, management documentation and management reporting in a form/format that is acceptable to the customer.	P	A	
6	Report performance against service levels.	P		
7	Identify data retention requirements.	P		
8	Coordinate service delivery with the Service Desk as well as other support customer groups, customer user offices, customer's OIS and all appropriate third-parties as necessary.	P	A	A
9	Notify the customer of any security issues immediately.	P		
10	Submit change requests and incident (problem) tickets for upgrades, problem resolution, new application installs and application changes requiring system level resources.	P	P	
11	Support and maintain the reciprocal and alternative backup, restore and processing agreements.	P		

Operations and Administration Services are the activities associated with the provisioning and daily management of the installed systems and software in the mainframe environment.

Item No.	Operations and Administration Roles & Responsibilities	SSRC	FDOT OIS	FDOT User Office
D. Monitoring & Reporting				
1	Identify a Service Level Manager that will be responsible for monitoring and reporting on service level metrics and statistics.	P		
2	Develop and document in the procedures manual monitoring procedures that meet requirements and adhere to defined policies.	P		
3	Review monitoring procedures.	P		
4	Provide console operations for centralized and remote computer processing unit (CPU) processing.	P		
5	Provide console monitoring, troubleshooting, repair and escalation of problems in the data center computing environment.	P		
6	Provide preventative measures for proactive monitoring and self-healing capabilities to limit outages that impact service delivery.	P		
7	Monitor systems as scheduled and respond accordingly to system messages.	P		
8	Identify and report application software problems.	A	P	
9	Resolve or assist in resolving application software problems in accordance with service levels. Escalate as required.	A	P	
10	Conduct applications test-to-production migration activities.	P	A	
11	Identify and maintain critical mainframe software list.	P	A	
12	Notify customer vendor software upgrades and new releases are available for the critical mainframe software list items within 30 days after software vendor announcement.	P		
13	Adjust priorities by allocating additional server CPU resources based on predefined parameters when production batch and/or response times begin to degrade to where Service Levels would be impacted.	P		
E. Job Scheduling and Execution Operations				
1	Define job scheduling requirements, application software interdependencies, contacts and requirements for all production jobs.	P	P	
2	Provide job scheduling, job execution, reporting and resolution taking into account infrastructure and system interdependencies.	P		
3	Implement and manage scheduling tools for managing/automating job execution (i.e., job workflow processes, interdependencies and requirements file exchange functions and print management).	P		
4	Define test and demand batch scheduling requirements.	P	P	A
5	Prepare and execute batch jobs for the mainframe.	P		
6	Monitor progress of scheduled jobs and identify and resolve issues in scheduling process.	P		

8	Start-up and shut-down online/interactive Systems according to defined schedules or upon approved request.	P		
9	Maintain database of job scheduling, contact, rerun and interdependencies.	P		
10	Provide quality control for reprocessing activities, such as batch reruns.	P	A	
11	Notify customer of any problems or errors of jobs.	P	A	
12	Maintain a history of job completion results.	P		
F. Output Management				
1	Develop and document Output Management requirements and policies.	P	A	
2	Develop and document in the Procedures Manual procedures for performing Output Management that meet requirements and conform to defined policies, including the management of transport, delivery locations and scheduling requirements.	P	A	
3	Review output management procedures.	P	A	
4	Ensure that output devices are functioning, including performing or coordinating routine maintenance.	P	A	
5	Create and distribute data products including volume creation (CDs, cartridges, FTP, etc.).	P	A	
G. Storage and Data				
1	Develop and document storage and data management requirements and policies.	P	P	
2	Develop and document in the procedures manual procedures for performing storage and data management that meet requirements and conform to defined policies.	P	P	
3	Review storage and data management procedures.	P	A	
4	Provide data storage services (e.g., RAID array, SAN, NAS, tape, optical).	P		
5	Monitor and control storage performance according to storage and data management policies.	P		
6	Maintain and improve storage resource efficiency and space requirements.	P		
7	Maintain data set placement and manage data catalogs.	P		
8	Perform data backups and restores per established procedures and service levels.	P		
9	Manage file transfers and other data movement activities.	A	P	
10	Provide input processing, for activities such as loading third-party media (e.g., tape) and receipt and/or transmission of batch files.	P		
11	Support send and receive electronic data transmissions (i.e., EDI, FTP).	P		
12	Acquire and manage consumables, such as tape, disks, etc., in	P		

	support of the customer's backup requirements for the data center.			
H. Tape Media Operations				
1	Develop and document in the procedures manual media processing procedures that meet requirements and adhere to defined policies.	P		
2	Review tape media processing procedures.	P		
3	Maintain a tape media library and media management system.	P		
4	Manage the tape media inventory to ensure that adequate media resources are available. Coordinate acquisition of additional media as needed.	P		
5	Manage input tape media availability to meet processing service levels.	P		
6	Load and manage third-party media (software, maintenance, etc).	P		
7	Provide secure offsite storage for designated media and transport tape media to offsite location as required.	P		
8	Perform periodic audits to ensure proper cataloging of tape media.	P		
I. Mainframe System Administration				
1	Develop, review and approve mainframe system administration requirements, policies and procedures.	P	A	
2	Perform system and component configuration changes necessary to support enterprise computing services in conformance with Change Management requirements.	P		
3	Install and maintain operating system and supporting software.	P		
4	Install and maintain software necessary to run business transactions and/or support end-user computing efforts.	P		

Specialized software is software which requires system-level interfaces or provide applications software which must be created using specialized system functions as requested. This specialized software is developed by staff trained in system-level coding. This includes operating system customizations as well as application-enabling functions requiring system-level interfaces or programming which requires software interfaces not available to high-level languages.

Item No.	Specialized Software Development & Management Roles & Responsibilities	SSRC	FDOT OIS	FDOT User Office
1	Define specialized software requirements.	P	P	A
2	Manage requirements for customers users, roles, objects, etc.	A	P	
3	Implement specialized software configurations.	P		
4	Establish and maintain configuration and system parameters in a consistent manner in the mainframe environments.	P		
5	Execute processes for the proper maintenance and functioning of	P		

	software systems (e.g., load balancing, tuning, configuration management).			
6	Execute authorized change requests.	P		
7	Execute specialized software creation, upgrade and refresh.	P		
8	Execute all specialized system level changes.	P		
9	Execute all object changes for all instances.	P		
10	Maintain consistent specialized software parameters and system settings across all like instances according to established development to QA to production life cycle.	P		
11	Maintain specialized software as needed according to established development to QA to production life cycle.	P		
12	Maintain security tables which are installed in product software libraries and for which no external security product alternative exists.	P	P	
13	Maintain public and private key pairs.	P		
14	Provide consultation for SSH (Secure Shell) products.	P		
15	Provide assistance and technical support for SURF (Security Utility Resource Facility).	P		
16	Maintain permissions on the HIS (Host Integration Server).	P		
17	Provide technical expertise and consulting for new security technologies which might be implemented on the mainframe.	P		
18	Provide technical security consultation for the customer's applications group.	P		

Performance Measures

Measurement criteria of this SLA will be applied to coincide with the Provider's calendar month billing cycle. Measurement criteria of this SLA referenced as 12-month period shall be applied to coincide with the State's fiscal year. The fiscal year July 1, 2009 to June 30, 2010 shall serve to establish the baseline for all performance measurement criteria in this SLA.

The Provider is committed to meeting an annual service up time of at least 99.5% of scheduled availability.

Calculation Methodologies:

Service availability =

$$\frac{\text{Scheduled availability (Minutes)} - \text{Reported out-of-service time (Minutes)}}{\text{Scheduled Availability}}$$

Out-of-service:

Defined as the time from receipt of problem notification involving the service outage of a production system, until the time of resolution as reported by the Provider (Days/Hours/Minutes).

Measurement Period:

Monthly

Tracking Mechanisms:

The Provider will use industry standard system monitoring tools and techniques to monitor availability, and alert staff of system outages.

System Availability Monitoring:

System availability is monitored on an on-going basis, with immediate alerts sent to support staff when systems are detected as being down or degraded.

Service Response

The Provider's Services Desk serves as the first point of contact for all Customers. All requests for services including general services inquiries, incident reports and invoice discrepancy reviews, should be placed through the Services Desk by contacting 850-487-1746 or 1-866-693-5873. Requests of lower severity can be submitted to the Services Desk via email at <http://help@ssrc.myflorida.com> or the web at <http://ServicesDesk.myflorida.com>.

In addition, an incident process has been developed between the agencies and SSRC Service Desk. This process is located in Attachment A.

Service severity, response times, and hours of operation for Provider's services are defined in Section 21 of this SLA.

Rate Information

Provider current rate information is located in Attachment B. Requests for services beyond those outlined may be billed to the Customer as the listed Professional Services Rate.

Right to Audit

Provider agrees to Customer specific requirements as defined in Amendment C.

FDOT Business Hours

8:00 a.m. to 5:00 p.m. Monday through Friday Eastern Time

7 Customer Funding Source

Acceptance of this SLA certifies the customer has secured appropriate funding to cover costs associated with service. Customer must have legal authority to incur costs and enter into agreement. All state agency funding is contingent upon annual appropriation by the legislature.

8 Billing Methodology

Recovery of costs by the Provider will be executed in accordance with a federally approved state cost rate proposal, based on the requirements of Attachment E to Federal OMB Circular No. A-87. When combined, direct and indirect service charges constitute the total cost to the Customer for the service being provided.

The Provider will invoice Customer on a monthly basis for service or services provided the preceding month based on utilization. Invoicing will begin in the first applicable billing cycle following delivery, installation, and implementation of the service. The Customer agrees to pay Provider for these services according to the current published Provider rates specified on Provider's website.

If for any reason an amount invoiced by the Provider to the Customer is shown to be incorrect, the appropriate credits and/or charges will be included on a subsequent invoice.

Invoice corrections that span fiscal years will be handled as a service fee credit if the Provider is overpaid and a debit for service fees if the Provider is underpaid for services. The Customer cannot make deposits or pay for goods and/or services in advance unless approved under rules issued by the Florida Chief Financial Officer.

The Customer agrees to pay for services provided within 40 calendar days of invoice receipt. Invoice amounts in question by the Customer may be placed in dispute and handled according to the process outlined in the Escalation Procedures. The Customer may withhold payment only on the disputed portion of the invoice until the problem or issue is resolved by the Provider and the Customer or through remediation. The Customer will have 15 calendar days after receipt of an invoice to file a written dispute of any charges with the Provider. If a written dispute is not filed with the Provider within 15 calendar days, the Customer will be held responsible for payment of the amount invoiced for services provided. The Customer acknowledges that it is in the best interest of the state for the Provider to meet its financial obligations to the entities with which the Provider has contracted to provide these services, and that prompt Customer payment of undisputed amounts on Provider invoices is a necessary component of satisfying these obligations. Therefore, failure to pay undisputed amounts promptly may subject the Customer to any necessary remedial actions and limitation or discontinuation of services.

Any service provided stemming from agreements entered into with the Provider, shall be invoiced for specific cost of services and shall be submitted with sufficient detail for a proper pre-audit and post-audit thereof.

Invoice payments will be made via journal transfer (JT) or electronic funds transfer (EFT) whenever possible. If unable to pay by JT or EFT, other arrangements can be made in advance.

All bills incurred by the Provider for any Customer related travel expenses shall be submitted and paid in accordance with the rates specified in Section 112.061, Florida Statutes, governing payments by the State for travel expenses. Travel expenses shall be pre-approved by the Customer and Provider prior to travel being taken. All State of Florida travel forms and receipts must be submitted as supporting documentation prior to travel being paid by the Customer.

All Parties recognize that the State of Florida, by virtue of its sovereignty, is not required to pay any taxes on the services and/or goods or equipment purchases as an incident to such service.

9 Service Rate Adjustment Process

The Provider uses a double step-down cost accounting process in accordance with a federally approved state cost rate methodology, based on the requirements of Attachment E to Federal OMB Circular No. A87 to determine cost based rates on a periodic basis. Currently, this activity occurs on an annual basis.

10 Service Termination

All SLAs have a contract term of up to 3 years, but may include an option to renew for up to 3 additional years contingent on approval by the board, and require at least a 180-day notice of service cancellation.

In the event the Customer wishes to terminate a Service Level Agreement, the Customer shall notify the Provider’s authorized representative at SSRC.ServiceCancellation@SSRC.MyFlorida.com and AEIT in writing with at least 180-day notice of service cancellation. The Provider will continue to invoice the Customer until the effective service termination date.

A service may be terminated by either party for cause only after giving the other party and the AEIT notice in writing of the cause for termination and an opportunity for the other party to resolve the identified cause within an agreed upon time frame by both parties.

11 Dispute Mediation

In the event that disputes or performance issues arise; the Customer will document and deliver the specific issues to the Provider’s SLA Coordinator. The Provider will be given an opportunity to address and resolve the issue(s). Likewise, issues associated with the Customer’s performance under this agreement shall be documented by the Provider and transmitted to the Customer’s SLA Coordinator. Issues(s) will be addressed and resolved in an agreed upon time frame by both parties.

12 Escalation Process

If a dispute or issue is not resolved between the Customer’s SLA Coordinator and the Provider’s SLA Coordinator, the dispute or issue will be escalated through the escalation levels in the table below.

Level	Customer	Provider
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Level	Customer	Provider
1	SLA Coordinator	SLA Coordinator
2	Bureau Chief Level Manager	Bureau Chief Level Manager
3	Director Level Manager (i.e.,CIO)	Executive Director
4	Agency/Deputy Head	Chairperson, SSRC Board of Trustees

Failing a satisfactory resolution through this escalation process the following entities will preside over the mediation process:

- a) AEIT or a neutral third-party shall act as mediator (pursuant to s. 282.203)
- b) Division of Administrative Hearings (pursuant to s. 120.573)

13 Performance Penalties

Services are provided solely on a cost recovery basis. Any costs associated with established penalties would have to be recovered through rates charged for services. Federal OMB Circular No. A-87 requires equitable cost allocation for services to customer agencies. For these reasons the Provider cannot establish direct or indirect financial penalties for not meeting service level targets. However, the Provider may report to the Board of Trustees their success in meeting service levels for each service provided, and identify and speak to any shortfalls.

The Provider is committed to meet or exceed service level targets specified in the SLAs and will conduct performance reviews when requested with the Customer to assess the level of service provided.

14 Change Control Process Adherence

The Provider has internal change management procedures for reducing the risk of impact to the Customer when changes to production environments occur, and increasing the level of appropriate communication to the Customer. A Change Control Board meets regularly to review all changes impacting services prior to any changes being implemented. Change control procedures exist for all scheduled and emergency changes associated with services covered by this Agreement. Advance notification of changes is required and sufficient time for the review and approval process to take place is necessary. The Customer agrees to provide contact information for change control notification, scheduled change activities, and emergency change approval. It is the responsibility of the Customer to notify Provider, through the Services Desk, that a change as communicated may impact or disrupt Customer business functions.

15 Standard Maintenance Window

The standard maintenance window for all Provider platforms, unless otherwise noted within this agreement, is as follows:

Name	Time	Characteristics
<i>Service Availability Window (Scheduled Availability)</i>	Normal Business Days: 7:00AM – 6:00PM (EST)	This is scheduled availability. Production services will not be purposefully brought down or impacted without an emergency change request.
<i>Normal IT Maintenance Window</i>	Normal Business Days: 6:00PM – 7:00AM (EST)	Normal maintenance, changes, and system enhancements will be performed during this time.

	Saturday and Sunday: All day	Notification of expected down time between 7:00AM and 6:00PM on non-Normal Business Days (weekends and holidays) will be provided to customers. Routine facility maintenance and testing.
<i>Normal Backup Maintenance Window</i>	Normal Business Days: 8:00AM – 5:00PM (EST)	Normal maintenance, changes and system enhancements will be performed during this time.
<i>DMS SUNCOM Network Maintenance Window</i>	Monday 12:30AM – 7:00AM (EST)	Normal network maintenance, changes and system enhancements will be performed by DMS SUNCOM during this time.

16 IT Security Management

To ensure the integrity of the State of Florida network and all associated information technology systems, pursuant to Rule 60DD-2, F.A.C., the Provider requires the Customer to cooperate with the Office of Information Security (OIS) within the Agency for Enterprise Information Technology (AEIT). Provider is responsible for security of all Provider services outlined within this document.

17 Security Incidents

Customer is responsible for application-level security, including, but not limited to, security patches for Customer managed applications. Application security should be done according to industry accepted best practices and include data validation to mitigate against malicious activity.

Security incidents caused by Customer application which requires Provider assistance will be billed at professional service rate. Provider will initiate internal CSIRT procedures as defined by Provider security policies.

18 Disaster Recovery

Disaster Recovery is not automatically a part of this service unless otherwise specified in this agreement. The Provider offers a Disaster Recovery (DR) option through which agencies can meet their statutory requirements for the disaster recovery of their mission critical computing systems and applications.

19 Continuity of Operations Planning (COOP)

Agencies are responsible for their own COOP planning. It is the responsibility of the Customer to maintain an up-to-date COOP plan and to provide COOP instructions to the Provider with regard to any service the Provider provides in support of mission critical business functions.

If, following the declaration of a COOP activation by the Customer, the Customer's COOP Plan calls for specific actions/procedures to be taken relative to a service provided to the Customer by the Provider and that action must be performed by Provider's staff, then the Customer is responsible for providing detailed instructions/procedures to the Provider for implementing said actions/procedures. The instructions/procedures should also include the notification process whereby the Customer notifies the Provider of a COOP activation as well as

reconstitution (resumption of normal operations following a COOP activation) and the steps to be taken (if any) relative to reconstitution.

All costs related to Customer COOP activities shall be billed at the Provider’s Professional Services rate.

20 Services Desk Operations

The Provider maintains a Services Desk which serves as the single point of contact for customer support needs. All requests for services including general service inquiries, incident reports and invoice discrepancy reviews, etc. should be placed through the Services Desk by contacting 850-487-1746 or 877-299-7772 (for calls outside of Tallahassee). Requests of lower severity can be submitted to the Services Desk via email at help@ssrc.myflorida.com.

21 Services Desk Severity and Incident Escalation

All requests for service are assigned an incident number and a severity level, and prioritized using the following table:

Severity	Defined Severity	Description
1	Critical	The majority of customers are experiencing a complete failure of a mission critical application, platform or connection that is interrupting the customers’ business function.
2	High	A customer is experiencing a partial failure of a supported application, platform, connection or environment that is disrupting the customer’s business function.
3	Medium	A customer is experiencing a reduced level of efficiency and performance of a supported application, platform, connection or environment that is impacting the customer’s business productivity.
4	Low	A customer has a functional (how-to) question that they cannot answer through the Services Desk or wants to submit a request for installation or change of service in their technical or physical environment.

The following table outlines the standard response time for escalation for each severity.

	Standard Tier Two Plus Response Times – By Severity			
	1 – Critical	2 – High	3 – Medium	4 - Low
Platform Support Group	1 Hours	2 Hours	8 Business Hours	3 Business Days
Automatic Escalation to Platform Management	30 Minutes	1 Hour	2 Business Hours	4 Business Hours
Automatic Escalation to Second Level Management	30 Minutes	1 Hour	2 Business Hours	N/A
Automatic Escalation to Upper Level Management and notification sent to the SSRC Executive Management	30 Minutes	1 Hour	4 Business Hours	N/A
Total Escalation Time	2.5 Hours	5 Hours	2 Business Days	3.5 Business Days

22 Hours of Operation

The Services Desk provides customer support 24 hours per day, 7 days per week including holidays.

23 Document Change Management

It is mutually understood and agreed that any communications, promises, representations or agreements not included in writing in this agreement shall not be binding upon any party and that the agreement may not be altered, modified or otherwise changed at any time except with the written consent of each of the parties hereto.

The performance of additional work or additional expense incurred by the Provider beyond that expressly authorized in an SLA will not be accepted or approved for payment by the Customer unless previously authorized in a written amendment to the SLA signed by the Customer and the Provider.

This Service Level Agreement will remain in effect unless amended or replaced with an updated version. To make modifications to this agreement, the Coordinators listed below must be contacted and modifications jointly approved by Customer’s and Provider’s representatives or their designees.

SLA Coordinators		
	Name	Phone
Customer SLA Coordinator	Molly Eichhoefer	(850) 414-4214
Provider SLA Coordinator	Sue McBride	(850) 414-9149

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On August 30, 2006, the customer agency adopted an Information Technology (IT) Services Catalog. The initial catalog was developed in part to comply with legislative requirements for recurring IT budget planning. The IT Services Catalog assists OIS in better aligning the customer agency investments in IT resources with the customer agency's business needs. OIS has aligned its services into two components: Strategic IT Services, and Non-strategic IT Services. The IT Services Catalog describes strategic IT services in terms of the business applications used by the business units to complete the customer agency's mission. The IT Services Catalog describes the non-strategic IT services in terms of the applications being used, the infrastructure components, and the activities being performed by IT staff.

Strategic IT services are those that directly enable fulfillment of the customer agency's statutory responsibilities. Strategic IT services sub-components are Plan Services, Produce Services, Deliver Services, and Maintain and Operate Services. Additionally, the Turnpike Enterprise is reported as a strategic service. The delivery of all strategic IT services is subject to a dependency upon common processes currently referred to by the customer agency as non-strategic IT services.

Non-strategic IT services are those common utility-type services that facilitate or enable the day-to-day business activities of the customer agency. They provide the generic IT infrastructure needed to provide all other IT services. Non-strategic IT services sub-components are Data Center Facilities Services (Mainframe), IT Security/Risk Mitigation Services, Helpdesk Services, Network Services, E-mail, Messaging and Calendaring Services, Desktop Computing Services, Portal/Web Management Services, IT Support for Agency Financial and Administrative System Services and IT Administration and Management Services.

Standard Mainframe Services

OIS has developed a performance framework to deliver standard mainframe services to our customers. The purpose of this amendment is to document the standard mainframe services that are available to all users and the performance measures associated with meeting the needs of our customers. Standard mainframe services, with the exception of Availability Management, will be organizationally structured to align with the non-strategic IT services identified in the OIS IT Services Catalog.

Standard mainframe services and related performance measures within this framework are grouped under Availability Management Services, Systems Services, Operations Services, Output Services, and Scheduling and Migration Services. As related components, System Security and Risk Management Services and the associated performance measures aligned with standard mainframe services are referenced in this document. Specialized mainframe services that have a performance requirement beyond what the standard services can provide are documented in the Agency Amendment for Specialized Mainframe Services (Amendment B) for the customer agency.

The initial phase of the data center consolidation is to move the customer agency's mainframe to the Southwood Shared Resource Center (SSRC). Therefore, only those standard services impacted by this move will be addressed in this Amendment.

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Availability Management Services

The availability management service is provided to customers as a means to monitor mainframe components to ensure those services that are dependent upon standard hours of availability are delivered consistently and cost-effectively based upon the level of availability required by the customer within the constraints of the established standard hours of availability, as well as the availability of the personnel who support these components.

Mainframe Systems Hours of Availability

<i>IT Services Catalog – Service#B.9.c – Data Center Facilities – Technical Assistance & Support</i>		Monday - Friday	Saturday	Sunday
<i>OIS ENTERPRISE APLICATIONS (FM, CITS, Trns*port, etc.)</i>	Available	6am-9pm	6am-7pm	Unavailable
	Maintenance	9pm-6am	7pm -11:59pm	12am-6am Mon
<i>MAINFRAME, DATABASE, NETWORK (Internet, intranet, TSO, FOCUS, SAS, and access to application databases for ad hoc reporting)</i>	Available	6am-11:59pm	7am-7pm	10am-11:59pm
	Maintenance	12am-6am	7pm-11:59pm	12am-10am

Technical Support Hours of Availability

<i>IT Services Catalog – Service#B.9.c – Data Center Facilities – Technical Assistance & Support</i>	
Technical Support is available 24 hours per day/365 days per year.	
After Hours On-call Support	
Monday-Thursday Evenings and Overnight (on-call)	5:00P – 7:00A
Weekends (on-call)	5:00P (Friday) – 7:00A (Monday)
Holidays (on-call)	12A – 11:59P

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For clarity, the standard mainframe services are documented in matrices. This presentation methodology of standard mainframe services reduces the opportunity for redundancy to occur. Additionally, this amendment is supported by reference in Sections 2 and 6 of the service level agreement for IBM Mainframe Managed Services between the FDOT and the SSRC which states the following: “Any amendment to this SLA, as agreed upon by the Customer and Provider, shall take precedence over any conflicting provision in this SLA without invalidating the remaining provisions of this agreement. Customer-specific requirements which have been identified as amendments are referenced in Section 6.” This amendment contains detailed information in matrix format regarding the description of services, performance targets, and minimum performance measures for the following service categories and the corresponding indexes:

<u>Service Category</u>	<u>Index</u>
Systems Services.....	A
Systems Management & Administration.....	B
Mainframe Systems Response Time	C
Batch Processing	D
Operations Services	E
Output Services.....	F
Scheduling & Migration Services.....	G
System Security & Risk Management Services.....	H

Metric Objective/Service	Description of Service	Expected Result(s)	Performance Target	Minimum Performance (%)
Title of the service being provided to the customer agency by the provider agency.	Aspects and attributes of the service necessary for the provider agency to understand the customer agency’s service requirements.	Non-quantitative outcome necessary for the customer agency to meet its agency business requirements.	<i>Quantitative indicator for evaluation of acceptable service to the customer agency. Performance targets may include but are not limited to accuracy, completeness and timeframe.</i>	<i>Lowest performance rate by which the service can be measured against the performance target and is a quantitative representation in percentage.</i>

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Systems Services

Systems services support the mainframe environment infrastructure. Systems services include the installation and administration of all hardware and software, systems management and administration of availability/unavailability of mainframe resources, response time, batch processing, and other components associated with the mainframe computing environment. Systems services also include the related communications software and mainframe attached printing, capacity planning, and performance measurement. In addition, systems services encompasses contract management of all mainframe based software/hardware service, repair and maintenance, technical support for software, hardware, mainframe applications, and the customer agency's offices.

Unavailability of Services

Unavailability Performance Measures						
A	Metric Objective/Service	Description of Service	Expected Result(s)	Performance Target	Minimum Performance (%)	
A1	Notification of Scheduled Downtime Occurring Within Hours of Availability (Outages)	Notification to users of any scheduled outages that are considered preventative or maintenance occurring within the hours of availability will be posted in various mainframe message areas. Additionally, for certain major systems such as Financial Management (FM), e-mail notification will be sent to "power users."	All users will be notified of scheduled outages with the performance target timeframe; so any necessary adjustments can be made prior to the downtime occurring.	<i>Outage notifications will occur at least ten business days before the outage.</i>	<i>99% of scheduled outages have notifications sent at least ten business days before the outage.</i>	99%
A2	Notification of Scheduled Downtime Occurring Outside Hours of Availability (Outages)	Notification to technical staff of any scheduled outages that will happen outside the hours of availability will be posted in various mainframe message areas. Additionally, for certain	Technical staff will be notified of scheduled outages with the performance target timeframe; so any necessary adjustments can be made prior to the downtime occurring.	<i>Outage notifications will occur at least three business days before the outage.</i>	<i>99% of scheduled outages have notifications sent at least three business days before the outage.</i>	99%

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Unavailability Performance Measures						
A	Metric Objective/Service	Description of Service	Expected Result(s)	Performance Target	Minimum Performance (%)	
		major systems such as Financial Management (FM), e-mail notification will be sent to “power users.”				
A3	Unscheduled Downtime or Unavailability of Mainframe Services (Outages)	A failure of any one service in a way which renders the service unavailable for its intended use.	The mainframe services will be available when scheduled.	<i>There will be no more than one unscheduled outage per month.</i>	<i>Within a 12-month period, there will be no more than two unscheduled outages for any one service.</i>	99%
A4	Incident Detection and Reporting	Unscheduled outages and incidents/major problems (those causing unavailability or degradation of services) will be detected and reported to the customer agency (CO-OIS Problem distribution list).	Unscheduled outages and incidents will be detected and reported within performance target timeframe.	<i>Unscheduled outages and incidents/major problems will be detected within one hour of the occurrence and reported to the customer agency within 30 minutes of detection.</i>	<i>99% of unplanned outages and major problems/incidents will be detected and reported.</i>	99%
A5	Prevent Repeat Failures	Outages or major problems will be resolved in such a way that the root cause of the problem is determined and fixed.	Outages or major problems will be determined and resolved within the performance target timeframe.	<i>No instances where outages repeat for the same reason within the same day.</i>	<i>95% of outages or major problems are resolved and do not recur within the same day.</i>	95%
A6	Minimize Recovery Time	The amount of time from the outage of a service until the service is again available to its users will be minimized.	Services will be returned to operation within performance target timeframe.	<i>The majority of services will be repaired and/or restarted within one hour of detection and will not reoccur in less than five minutes.</i>	<i>In 95% of outages the service will be available within one hour after being reported as unavailable.</i>	95%

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Unavailability Performance Measures						
A	Metric Objective/Service	Description of Service	Expected Result(s)	Performance Target	Minimum Performance (%)	
A7	Incident Resolution Reporting of Unscheduled Outages	Resolution of unscheduled outages and /or incidents (those causing unavailability or degradation of services) are reported to appropriate customer agency personnel.	Verifiable incident resolution will be reported within performance target timeframes.	<i>Resolution of unscheduled outages and/ or incidents will be reported within 30 minutes of the resolution.</i>	<i>99% of the incidents resolution will be reported within 30 minutes.</i>	99%

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Systems Management & Administration

Systems Management & Administration Performance Measures						
B	Metric Objective/Service	Description of Service	Expected Result(s)	Performance Target	Minimum Performance (%)	
B1	Maintain Software at Supported Levels	As vendors release new versions of software, ensure that current supported levels of software are installed.	New software versions will be installed within performance target timeframes.	<p><i>All software will be on one of the two latest versions for each product.</i></p> <p><i>The latest version of the software will be installed within 24 months of general availability.</i></p>	<p><i>There will be at most one instance of running software older than the last two versions for production use per year.</i></p> <p><i>Unless there is a compelling management-approved reason, there will be no instances of running unsupported software for production use.</i></p>	99%
B2	Software Configuration Specifics Coordinated With Technical Personnel	As software is installed, coordination with the customer agency will take place to ensure that customization meets the customer agency needs.	Software is configured to meet the requirements and preferences of the customer agency within performance target timeframe.	<i>At installation, software parameters will be set up works as expected and reliably within the customer agency.</i>	<i>There will be at most one instance per year of an incorrectly configured software parameter for any one product.</i>	99%
B3	Provide/Manage Customer Agency Specific Software Customization	Create and maintain system interface code (exits) and/or programs which require system-level interfaces.	The system interfaces will be customized within performance target timeframe.	<i>System interfaces will be created and migrated from old software version to new software version prior to the new version going into production.</i>	<i>There will be no more than two instances per year of system interface issues in production system-level code.</i>	99%
B4	Manage Customer Agency Specific Software Started Tasks JCL	Manage setup and startup for all started tasks <i>JCL</i> (background services) required for the customer	Started task <i>JCL</i> will be created and maintained according to performance target.	<i>Started task JCL required for customer agency software configuration will be</i>	<i>There will be at most one instance per year of a started task being created incorrectly or</i>	99%

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Systems Management & Administration Performance Measures						
B	Metric Objective/Service	Description of Service	Expected Result(s)	Performance Target	Minimum Performance (%)	
		agency's software configuration.		<i>managed without error.</i>	<i>omitted from automated scheduling.</i>	
B5	Participate in New Software Evaluations to Address Customer Agency Needs	When new software needs are identified by the customer agency, provide technical evaluation assistance to determine whether proposed software acquisitions work securely and efficiently in the customer agency's environment.	New software evaluations will be completed within performance target timeframe.	<i>Personnel with appropriate expertise will complete evaluations of new software, with formal documentation of the evaluation, within the customer agency specified timeframe.</i>	<i>Documentation and recommendations will be provided on time for 95% of the requests for assistance in this area.</i>	95%
B6	Provide Support to Resolve Software Problems	Provide diagnostic and resolution assistance all mainframe related software problems. Software will be returned to proper operation consistent with the customer agency's change management practices.	Software problems will be resolved within performance target timeframe.	<i>Software issues will be resolved in 1-4 hours, or passed to the software vendor by the end of the business day.</i>	<i>95% of the software issues will be resolved in 1-4 hours, or passed to the software vendor by the end of the business day.</i>	95%
B7	Assist with Solving Customer Agency Application Software Problems Involving Mainframe Related Software	Upon request, provide diagnostic assistance to the customer agency application development and technical support teams for problems they are unable to resolve.	Causes of problem are identified and problems are resolved within performance target timeframe.	<i>Problems will be resolved in a customer agency specified timeframe.</i>	<i>In 95% of the application problems are resolved.</i>	95%
B8	Create/Manage Logon Procs	JCL procedures needed for TSO logons to user TSO environments will be provided and maintained to keep up with changing software needs.	Users successfully logon within performance target to needed environment to do their work.	<i>The logon procedures will be maintained with no more than two errors in logon procedures per year.</i>	<i>95% of logon procedures are provided and maintained.</i>	95%

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Systems Management & Administration Performance Measures						
B	Metric Objective/Service	Description of Service	Expected Result(s)	Performance Target	Minimum Performance (%)	
B9	Manage Job Classes and Initiators	<p>Provide definition for batch job classes and provide initiators to run and optimize batch work.</p> <p>Batch job classes will be communicated to user community and initiators will be managed to allow work to run in an efficient manner.</p>	All batch work will be processed as needed, and initiators managed to performance target timeframe.	<i>Batch jobs in class A or X will start to execute within 5 minutes of entering the system. Class Y work will be allowed to run after 5PM and will execute when resources are available. Other managed job classes will start within 15 minutes of entering the system.</i>	<i>In 95% of measured intervals, batch jobs run within designated execution time.</i>	95%
B10	Offer Advice On More Efficient Processing	Either upon request or upon discovery of an inefficient process provides technical advice for improvement.	Improved processing experience for all customers upon implementation of advice provided within performance target timeframe.	<i>Continuously offer advice to the customer agency's users, application programmers and technical support staff to improve efficient utilization of mainframe resources and reduce the elapsed time necessary for them to accomplish their tasks. Provider agency and customer agency will agree upon specified timeframe as issue is identified.</i>	<i>90% of suggestions will result in improved performance.</i>	90%

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Systems Management & Administration Performance Measures						
B	Metric Objective/Service	Description of Service	Expected Result(s)	Performance Target	Minimum Performance (%)	
B11	Support Special Processes (ex. year-end process, roll forward, cost purge, etc.)	Provide necessary system support for special processes, including regular on-call support.	Special application processes supported within performance target timeframe.	<i>All year-end and other special processes complete with no errors and on schedule as specified by the customer agency.</i>	<i>100% of the special process are completed and be supported. 95% of the time there will be no errors in the support provided.</i>	95%
B12	Monitors/Reports Communication Issues With Other Agencies (ex. DHSMV, DFS, FHWA, US DOT)	Check for outages of communication links with other entities with which the customer agency does business, and communicate such outages to the customer agency's service desk and other groups.	Communication outages are communicated within performance target timeframe.	<i>All impacted personnel will be aware of outages within one hour of the outage.</i>	<i>95% of outages are reported within one hour.</i>	95%
B13	Coordinate/Communicates Mainframe Outages	Coordinate any planned mainframe outages; communicate all outages.	Mainframe outages will be communicated to customer agency personnel within performance target timeframe.	<i>All impacted personnel will be made aware of outages within one hour of the outage. Planned outages will be communicated at least three business days in advance so that customer agency personnel have the opportunity to adjust any necessary work processes.</i> <i>Notification of planned outages that correct non-emergency production problems will be posted in various mainframe message</i>	<i>95% of outages are communicated will be reported within designated notification time.</i>	95%

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Systems Management & Administration Performance Measures						
B	Metric Objective/Service	Description of Service	Expected Result(s)	Performance Target	Minimum Performance (%)	
				<p><i>areas and at least three business days before the outage.</i></p> <p><i>Notification of planned outages that are considered preventative or maintenance, will be posted in various mainframe message areas and at least 10 business days before the outage.</i></p>		
B14	Provide 24 Hour General Systems On-Call Support	Provide personnel for on-call support during off hours, 5 PM to 7 AM weekdays, and 24 hours on weekends or holidays. Ensure reachable coverage for customer agency business hours of 7AM-5PM weekdays.	On-call periods will never be unstaffed and problems during-off hours will be resolved within performance target timeframe.	<i>One person will be designated as the Mainframe Systems on-call person each week. This person will respond to phone call or page within 30 minutes of the initial call.</i>	<i>95% of calls will be answered within the timeframe and problems promptly resolved.</i>	95%
B15	Coordinate Mainframe Disaster Recovery (DR) and Planning	Provide planning, software, and personnel for recovery from catastrophic events as outlined in the DR plan, including performing periodic disaster recovery tests.	DR plan will be maintained to address all the customer agency's needs. DR test will successfully install the operating environment off-site, including Production DB2 sub-system, and provide connectivity from other platforms. In the event of a disaster, the DR will be executed in accordance with the DR plan.	<i>DR plan will be continually maintained and will be tested at a minimum of once per year and executed in accordance with the plan in the event of a disaster.</i>	<i>DR plan kept current and at least one successful test per year.</i> <i>The DR plan is executed in the event of a disaster.</i>	99.9%
B16	Provide Secure Off-site Vaulting of Backups And	For each backup or archive run, a duplicate copy will be	Offsite copies of backups and archives are available when	<i>Offsite copies will be made at the same time</i>	<i>99.9 % of offsite copies are moved offsite on the</i>	99.9%

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Systems Management & Administration Performance Measures						
B	Metric Objective/Service	Description of Service	Expected Result(s)	Performance Target	Minimum Performance (%)	
	Archived Data	made and transported offsite.	needed within performance target timeframe.	<p><i>as primary copies.</i></p> <p><i>Offsite copies will be placed in fireproof safe as soon as ejected from the tape silo, transported offsite Monday through Friday (or on weekends if the offsite storage vendor permits and it is not cost-prohibitive).</i></p> <p><i>Offsite copies are available in accordance for the Master Copy retention period as specified in the customer agencies Records Retention Schedule.</i></p>	<p><i>correct date. 100% of offsite copies are available when needed.</i></p>	
B17	Address Customer Agency's Needs For Hours of Operation	As needed, modify schedules of software availability to meet the customer agency's business hours.	Software will be available to customer agency users within the performance target timeframe.	<p><i>Required software will be available during of the established and/or modified customer agency's business hours.</i></p>	<p><i>99% of software is available to users during customer agency's business hours.</i></p>	99%
B18	Workload Manager Policies (Which Determine System Execution Priority)	Define workload service classes, parameters, and relative importance values to ensure that priority work gets resources needed and that the mainframe is utilized efficiently.	Workload Manager policies are defined or changed within performance target timeframes and provide response times to meet performance target.	<p><i>Changes to Workload Manager policies should be correctly completed within one business week.</i></p> <p><i>Response time for online work will meet needs of customer agency users and batch or batch-like work will execute as</i></p>	<p><i>WorkLoad Manager meets goals 95% of the time.</i></p>	95%

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Systems Management & Administration Performance Measures						
B	Metric Objective/Service	Description of Service	Expected Result(s)	Performance Target	Minimum Performance (%)	
				<i>resources become available.</i>		
B19	Make Changes To Service Classes Of Work On An On-Demand Basis	To meet special processing needs, modify the service class(s) of work to provide special priority on request.	The service class is modified within performance target timeframe and specified tasks get resources necessary to run quicker than previously run.	<i>The service class is modified correctly within one hour of On-Demand request and provides the expected improvement.</i>	95% of service classes are modified within one hour.	95%
B20	Administer System Components Needed by Applications	On request, assist in planning screen changes to include adding/deleting applications to/from various menus.	Objects will be maintained within performance target timeframes.	<i>Change requests will be addressed within three business days after receiving the request.</i>	95% of the time, verifiable change requests are completed within three business days.	95%
B21	Manage Automated Operations	As needed, create and maintain automated operations software components to provide for the automation of repetitive tasks or handling of errors.	Problems with automated operations are resolved within performance target timeframes.	<i>Problems in automation will be resolved within one business day and requests for changes will be completed within one business week.</i>	95% of the problems resolved in one business day and 95% of requests completed within one business week.	95%
B22	Manage Production Application Environment Performance Involving Mainframe Related Resources	Investigate and help solve performance issues with production applications.	Performances issues will be resolved within performance target timeframe.	<i>Problem identified within one day and resolved within one month. Priority will always be given to production problems.</i>	95% of production performance problems identified in one business day and resolved within one month.	95%
B23	Manage Test Application Environment Performance Involving Mainframe Related Resources	Investigate and help solve performance issues with test applications.	Performances issues will be resolved within performance target timeframe.	<i>Problem identified within one business day and resolved within one month. Priority given to production problems.</i>	95% of test performance problems identified in one business day and resolved within one month.	95%
B24	Provide Output Archival Management & Support	As requested, define special retention requirements for reports in the output archival	Special retention requirements are defined; problems are resolved and	<i>Requirements defined within three business days.</i>	95% of definition requests finished within 3 business days.	95%

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Systems Management & Administration Performance Measures						
B	Metric Objective/Service	Description of Service	Expected Result(s)	Performance Target	Minimum Performance (%)	
		report retention product.	reports are accumulated and provided within performance target timeframe.	<p><i>Problems with output going into output archival will be resolved within one business day.</i></p> <p><i>Reports will be accumulated and provided to the customer agency according to the new definition on the first cycle after the request is completed.</i></p>	<p><i>95% of problems resolved within one business day.</i></p> <p><i>95% of reports accumulated and provided on first cycle after request is completed.</i></p>	
B25	Assist With Mainframe To Server Connection Issues	When necessary resolve connectivity issues between other platforms and the mainframe for multi-tiered applications.	Connectivity issues will be resolved within performance target timeframe.	<p><i>Connectivity problem identified and resolved within one business day. If resolution complexity is such that one business day is not feasible; provider agency and customer agency will agree upon specified timeframe.</i></p>	<p><i>95% of connectivity issues identified and resolved within one business day.</i></p>	95%
B26	Manage Mainframe Communication Protocols (i.e. SNA, TCP/IP)	Provide new definitions or parameter changes for mainframe communications configuration as needed and without adverse impact to application systems or end users.	Communication configuration changes made within performance target timeframe.	<p><i>Communication configuration changes researched as needed and implemented following a published schedule and consistent with the customer agency change management practices.</i></p> <p><i>Problems resolved within one business day.</i></p>	<p><i>95% of communication configuration changes will occur without adverse impact.</i></p> <p><i>95% of problems resolved within one business day.</i></p>	95%

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Systems Management & Administration Performance Measures						
B	Metric Objective/Service	Description of Service	Expected Result(s)	Performance Target	Minimum Performance (%)	
B27	Provide E-Mail Support (FDOT: SMTP MF E-Mail Connection, SENDNOTE, RDSS)	Configure SMTP, and maintain SENDNOTE and RDSS programs to provide for outgoing e-mail from the mainframe.	Mainframe batch jobs will be able to send e-mail via SENDNOTE, or reports via RDSS within performance target timeframe.	<i>Any necessary changes or problems resolved in less than one business day.</i>	<i>95% of SENDNOTE/RDSS changes or problems resolved within one business day.</i>	95%
B28	Storage Administration	Maintain backups and archives and system-managed storage definitions to allow archival of unreferenced data. Change definitions as needed to meet new requirements.	Backup, archives, and storage management follow the new rules upon activation.	<i>Changes will be made within one business week.</i>	<i>95% changes will be done within one business week.</i>	95%
			Changes and problems are made within performance target timeframe.	<i>Problems will be resolved within one business day.</i>	<i>Storage management will maintain available capacity (free space) on disk at approximately 15% of total storage defined.</i>	99%
B29	Provide Tape Management Support For Customer Agency Needs	Configure and administer the tape management software to protect non-scratch tapes while maintaining the level of scratch volumes sufficient to meet the need of backup and archive utilities.	Backups will have adequate tapes within performance target.	<i>Approximately 20 scratch tapes per each night and 50 scratch tapes on the night of the full backup are required.</i> <i>Monitoring should be performed to account for growth needs with no more than 5 instances per year where new tape media needs to be bought to meet backup needs.</i> <i>Media replacement plans are exempted from this performance target.</i>	<i>99% of tape needs will be sufficiently met on a day to day basis; After allowing for growth due to increased DASD capacity, if any, there will be no more than 5 instances per year where new tape media needs to be bought to meet backup needs.</i>	99%
B30	Define Remote Printer Destination	Add definitions to system software to allow routing	A remote destination will be created and user will be able	<i>Definitions should be completed within five</i>	<i>99% of definition requests will be</i>	99%

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Systems Management & Administration Performance Measures						
B	Metric Objective/Service	Description of Service	Expected Result(s)	Performance Target	Minimum Performance (%)	
		mainframe print to desktop or workgroup printers.	to send print to that destination within performance target timeframe.	<i>business days of the request.</i>	<i>completed within five business days.</i>	
B31	End User Assistance	Provide general assistance to end users for mainframe software, answering 'how to questions' or resolving issues.	Users are contacted within performance target timeframe and user will be able to better complete their task(s) after the assistance has been provided.	<i>Users who request help will be contacted within the same business day.</i>	<i>99% of requests handled within the same business day.</i>	99%
B32	Coordinate Software Configuration Specifics With Technical Personnel	As software is installed, coordination with the customer agency will take place to ensure that customization meet's the customer's needs and any customer- written applications continue to perform successfully.	Software is configured to meet the requirements and/or preferences of the customer within performance target timeframe.	<i>Software parameters will be set up such that processes work successfully within the customer's infrastructure. There will be at most one instance per year of incorrectly configured software parameters for any one product.</i> <i>Provider agency and customer agency will agree upon specified timeframe as part of coordination.</i>	<i>99% of software products is installed with at most one instance per year of incorrectly configured software parameters.</i>	99%
B33	Maintain And Coordinate SFTP (Secure Shell File Transfer Protocol) And FTP (File Transfer Protocol) Configurations And Use With Security	Maintain and support protocols to address the customer agency's needs. Provide understanding and consultation for SFTP use in applications.	Ensure the customer agency's file transfer protocols needs are met within performance target timeframe.	<i>Protocols are maintained, supported and correctness verified based on formal testing within one business day.</i> <i>If problem effects Federal Highway</i>	<i>99% of protocols are maintained, supported and verified within one business day.</i> <i>99% of problems effecting FHWA transmittals are</i>	99%

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Systems Management & Administration Performance Measures						
B	Metric Objective/Service	Description of Service	Expected Result(s)	Performance Target	Minimum Performance (%)	
				<i>Administration transmittals, response is required in less than five minutes.</i>	<i>responded to in less than five minutes.</i>	

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Mainframe Systems Response Time

Response Time Performance Measures						
C	Metric Objective/Service	Description of Service	Expected Result(s)	Performance Target	Minimum Performance (%)	
C1	CICS Transaction Response Time	A measurement of the elapsed time to process a given transaction. This is an internal measurement, not including network time.	The response time goal will be met within performance target timeframe.	<i>2 seconds (or less) by default, or the measured/agreed-upon value for other individual transactions as determined by customer agency.</i>	<i>The goal will be met in at least 90% of the "prime time" intervals currently defined as 8:00AM to 4:59PM, Monday – Friday.</i>	90%
C2	TSO Response Time	A measurement of the response time of individual TSO commands or actions.	The response time goal will be met within performance target timeframe.	<i>Sub-second, for 'period 1' (small resource usage) TSO transactions.</i>	<i>The goal will be met in at least 90% of the "prime time" intervals currently defined as 8:00AM to 4:59PM, Monday – Friday.</i>	90%

Batch Processing

Batch Processing Performance Measures						
D	Metric Objective/Service	Description of Service	Expected Result(s)	Performance Target	Minimum Performance (%)	
D1	Scheduled Production Batch	Provide for Production work to be submitted by an automated job scheduler, based on schedule definitions and predecessor dependencies.	All production work will be completed within performance target timeframe.	<i>Submit scheduled batch job streams no later than approved schedule without error.</i>	<i>95% of scheduled batch work meets schedule definitions.</i>	95%
D2	Demand Batch	Support work submitted to the system by end users or other agencies (i.e. not through the production job scheduler).	Jobs submitted begin to execute within performance target timeframe after entering the system as measured by SMF records.	<i>Submitted jobs wait less than 15 minutes to initiation.</i>	<i>In 90% of the jobs measured, the read-in time until the job initiation time will be less than or equal to 15 minutes.</i>	95%

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Operations Services

Operations services primarily provide support for data center operations that include managing, monitoring and reporting the conditions of data center environmental and other components (i.e. temperature, UPS, HVAC, generator and backup power), monitoring and managing batch job priorities, flow of batch jobs, initiators, reporting problems and errors, monitoring both systems and DB2 backups for completion, and preparing data backups to and from the offsite storage facility. Operations services also provide data center coverage and contact during emergencies and State closures.

Operations Services Performance Measures						
E	Metric Objective/Service	Description of Service	Expected Result(s)	Performance Target	Minimum Performance (%)	
E1	Increase Priority Of Batch Jobs Awaiting Execution	If there is a backlog of batch jobs waiting to process, increase the priority of a requested job so it will move to the number one position of jobs waiting to execute.	Job priority of requested job is increased to execute within performance target timeframe. Display job name/number, or mainframe initiators to verify.	<i>Requested job should start processing in less than 30 minutes.</i>	<i>99% of requested jobs have priority increased and execute in less than 30 minutes.</i> <i>99% of requested job name/number is displayed to allow verification of execution.</i>	99%
E2	Off-site Storage	Transport backups to and from the offsite storage facility/location.	Take backups to or pick them up from storage within performance target timeframe. Contact customer agency user when tape was retrieved and is available for pickup.	<i>Same business day or as requested or the next work day if requested on a weekend except for emergencies.</i> <i>Contact customer agency user within one hour of retrieval.</i>	<i>99% of backup delivered same day of request. 99% of users contacted within one hour.</i>	99%
E3	Contact On-Call Personnel	Call the person on call responsible for problems in a specific area after normal business hours.	Inform the person on call of a problem in their area of responsibility within performance target timeframe.	<i>On call person contacted within 15 – 30 minutes of problem notification.</i>	<i>Technical staff will contact on-call personnel for the responsible area using all of the available contact information 100% of the time.</i> <i>98% of contact made within 15-30 minutes of problem notification.</i>	98%

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Operations Services Performance Measures						
E	Metric Objective/Service	Description of Service	Expected Result(s)	Performance Target	Minimum Performance (%)	
E4	Cancel Batch Jobs	Stop a requested job from processing on the mainframe.	Job will end and stop processing within performance target timeframe. Job name/number is displayed on mainframe for verification.	<i>Command to cancel job is issued in less than 5 minutes for someone with the appropriate authority to make request.</i> <i>Customer feedback or Operations display job name/number on mainframe to verify.</i>	99% of command to cancel a job is issued in less than 5 minutes. 100% of request must come from someone with the appropriate authority to make the request. 100% of job cancellations display job name/number to allow verification.	99%
E5	Data Center Coverage During Emergencies	Cover responsibilities of Data Center operations during emergencies when the State may be closed for normal business.	An operator is in the data center during emergencies for performance target timeframe.	<i>24 hours a day/7days a week as long as the emergency exist.</i>	<i>99% of data Center responsibilities are covered during emergencies as long as there is no danger to employees getting to work.</i>	99%
E6	Monitor Uninterruptable Power Supply (UPS)	Monitor enunciator panel for the Burns Building Data Center's UPS.	Contact appropriate personnel, within performance target timeframe, when city utilities go down and the generator does not pick up the load by 1 minute after power failure.	<i>The UPS is monitored 24 hours a day/7days a week including holidays using software that is connected to the UPS.</i> <i>This monitoring system will contact the Operations Supervisor in less than five minutes if there is a problem.</i>	<i>99% the generator is manually started before UPS backup batteries run down after about 40 minutes.</i>	99%
E7	Monitor Generator That Provides Backup Power When City Utilities Are Not Available	Monitor enunciator panel for the Burns Building Data Center's Generator.	Notify mainframe and server groups, within performance target timeframe, of the generator failure when city utilities go down & contact vendor to fix.	<i>The generator is monitored 24 hours a day/7days a week including holidays using software that is connected to the UPS.</i>	<i>99% of mainframe and servers are powered down before UPS backup batteries run down after about 40 minutes.</i>	99%

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Operations Services Performance Measures						
E	Metric Objective/Service	Description of Service	Expected Result(s)	Performance Target	Minimum Performance (%)	
				<i>This monitoring system will contact the Operations Supervisor in less than five minutes if there is a problem.</i>		
E8	Monitor HVACs Units	Monitor environmental conditions of the Burns Building Data Center rooms that contain IT equipment.	Receive automated text message alerting Operations Supervisor of HVAC problems. Contact vendor to correct overheating, water leaks, etc. in a room and loss of power on HVAC units within performance target.	<i>Software monitors equipment for problems. An audible alarm is sent to operations and a text message is sent to the Supervisor's cell phone upon detection of a problem with a HVACs unit.</i>	99% of HVAC problems are reported 24 hours a day/7days a week including holidays.	99%
E9	Manage Mainframe Initiators	Setup mainframe initiators to process specified job classes or stop identified job classes from processing during projects, backups and for special requests. FDOT has 1-20 mainframe initiators defined.	Use job class setup in mainframe initiators to control batch job processing within performance target.	<i>Batch jobs in specified class process or do not process as expected. Changes to mainframe initiators are completed within 1-4 hours.</i>	99% of changes to mainframe initiator setups are completed within 1-4 hours.	99%
E11	Restart Remote Printers (FDOT-only)	Restart printer to allow waiting user jobs to print.	Print and verify customer jobs within performance target timeframe.	<i>Printer is restarted and job printed within 30 minutes. Customer contacted to verify successful print within 30 minutes.</i>	85% of printer restarts completed within 30 minutes.	85%

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Output Services

Output services provide assistance to the customer agency with end-user mainframe data processing needs that include managing output archival system, defining remote print destinations, initiating and canceling print jobs, monitoring printing priorities, hardcopy printing routed to the mainframe printers and to printers located in end user offices.

Output Services Performance Measures						
F	Metric Objective/Service	Description of Service	Expected Result(s)	Performance Target	Minimum Performance (%)	
F1	Definition of a New Remote Print Destination	Necessary system software configurations are modified to include definitions necessary to relate a desktop printer (the printer might actually be attached to a print server or LAN) to a mainframe print destination.	Definitions are modified, within performance target timeframe, to enable user to print a mainframe report to a "desktop" printer.	<i>New destination is defined within five business days of request.</i>	<i>99% of requests defined within 5 business days.</i>	<i>99%</i>
F2	Resolution of Remote Printing Problems	When notified of remote printing problems, help diagnose and resolve.	The remote destination printing returned to service within performance target timeframe.	<i>Remote printing problems resolved within four hours of notification.</i>	<i>99% of problems resolved within four hours.</i>	<i>99%</i>
F3	Hardcopy Priority	User requested job is printed and distributed as priority job.	User requested job is acknowledged, printed and received by user within performance target timeframe.	<i>User request is acknowledged within 15 minutes of receiving the request. Job is printed and distributed in bin or given to requesting user within one hour.</i>	<i>Requested hardcopy is in user's bin, or given to user within one hour.</i>	<i>90%</i>
F4	Cancel Jobs Printing On Remote Printers (FDOT-only)	Upon request stop unwanted hardcopy from printing.	Delete print job from print queue within performance target timeframe.	<i>Print job is stopped in less than five minutes of receiving request.</i>	<i>99% of print jobs are stopped as requested.</i>	<i>99%</i>
F5	Print Hardcopy Jobs Over 100,000 Lines (FDOT - only)	Customer request jobs to be printed that are over 100,000 lines.	Allow customer job to print by changing mainframe printer line limit parameter to exceed 100,000 lines within	<i>Change parameters within one hour of receiving request. Provider agency and</i>	<i>99% of parameters are changed within one hour and job printed.</i>	<i>99%</i>

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Output Services Performance Measures						
F	Metric Objective/Service	Description of Service	Expected Result(s)	Performance Target	Minimum Performance (%)	
			performance target timeframe.	<i>customer agency will agree upon specified timeframe.</i>		
F6	Manage Output Archival System	Provide product support & training.	Output archival system support & training is successful within performance target timeframe.	<i>Support is provided within four hours of request. Provider agency and customer agency will agree upon specified timeframe for training.</i>	<i>90% of supported is provided within four hours. 90% of training is scheduled and provided within specified timeframe.</i>	<i>90%</i>
F7	Release Batch Job Output Held in Queue (FDOT-only)	Hardcopy is released to print. Users submit batch jobs in class Q so it can be viewed from their work station and will not print until it is released.	Job will print as soon as printer resources are available within performance target timeframe.	<i>Class Q print jobs are released in less than 5 minutes of request. Provider agency and customer agency will agree upon specified timeframe.</i>	<i>99% of class Q jobs are released to print in less than five minutes.</i>	<i>99%</i>

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Scheduling & Migration Services

Scheduling and migration services support workload scheduling and code migration services in support of the customer agency's applications and ensures that workload scheduling and code migration service requests are processed in a proficient and timely manner. Included is weekend and planned implementations, which could range from new software/hardware installs to scheduling/re-scheduling tasks within both the test and production multi-platform environments. Scheduling and Migration Services requires technical staff to manage, support and provide training for workload scheduling and code migration tools.

Scheduling & Migration Performance Measures						
G	Metric Objective/Service	Description of Service	Expected Result(s)	Performance Target	Minimum Performance (%)	
G1	Job Scheduling, Monitoring & Abend Reporting	Schedule/rescheduling tasks as needed and ensure job completion status is determined and notification sent to requestor. Also include primary/secondary application developer notification if abend occurs.	Job completed successful or unsuccessful processing results are reported per instructions within performance target timeframe.	<p><i>Issues are reported to user within one hour of discovery.</i></p> <p><i>Tasks are scheduled or rescheduled within 1-4 hours on business days.</i></p> <p><i>Weekend issues addressed on the first business day morning no later than 9:00 a.m. unless on-call person was notified.</i></p>	<p><i>95% of issues reported within 1 hour of discovery.</i></p> <p><i>95% of tasks are scheduled or rescheduled within 1-4 hours on business days.</i></p> <p><i>95% of weekend issues are resolved on first business day no later than 9:00 am.</i></p>	95 %
G2	On-Call Support for Scheduling & Migration Services	Weekend & planned implementations to test and support workload scheduling and object promotion.	On-call periods will be covered and problems during off-hours will be resolved within performance target timeframe.	On-call support is provided in less than five minutes. Resolution to occur in less than five minutes from identification of root cause.	<p><i>99% of on-call support provided in less than five minutes. Issues resolved in less than five minutes from identification of root cause.</i></p>	99%
G3	Automated Scheduler Administration & Support	Product administration and service that supports the function of the mainframe workload scheduler and database management.	Product would be administered and supported within performance target timeframe.	<i>Provider agency and customer agency will agree upon specified timeframe as support is requested.</i>	<p><i>90% of supported is provided within agreed upon timeframe.</i></p>	90%

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Scheduling & Migration Performance Measures						
G	Metric Objective/Service	Description of Service	Expected Result(s)	Performance Target	Minimum Performance (%)	
G4	Consulting/Support for Scheduling & Migration Services	Coordinates and support special projects as requested by the customer agency (end-user offices).	Special project coordination and support provided within performance target timeframe.	<i>Provider agency and customer agency will agree upon specified timeframe as support is requested.</i>	<i>90% of supported is provided within agreed upon timeframe.</i>	90%
G5	External Events –CLISTS (FDOT-only)	Create, manage and support the scheduling feature within the automated scheduler that provides the user the ability to control the submission of jobs by posting an event through the automated scheduler to be executed immediately or scheduled based on pre-determined runtime using CLISTS and screens. Provide user training as needed.	CLISTS execute successful within performance target timeframe. Users trained successfully within performance target timeframe.	<i>CLISTS are supported as requested in less than 4 business days.</i> <i>User training is scheduled and completed within two business weeks of request for training.</i>	<i>90% of CLISTS are supported as requested in less than 4 business days.</i> <i>90% of user training is scheduled and completed within two business weeks of request for training.</i>	90%

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System Security & Risk Management Services

System security and risk management services provide and support measures that reduce risk and ensure continuity of the mainframe services and operations supporting the customer agency's strategic business processes.

System Security Performance Measures						
H	Metric Objective/Service	Description of Service	Expected Result(s)	Performance Target	Minimum Performance (%)	
H1	Maintain Security Tables	Some software products contain security tables which either replace calls to system security or determine how system security is called. It is necessary to maintain these tables from time to time.	Security tables or calls to system security are maintained and replaced within performance target timeframe.	<i>Tables will be maintained on request within one business day with no errors affecting end users.</i>	<i>95% of maintenance completed within one business day of request with no errors affecting end users.</i>	95%
H2	Maintain Public/Private Key Pairs	For SecureShell-based tools, including but not limited to Secure FTP (SFTP) maintain any key pairs required for applications to function.	Key pairs will be generated correctly within performance target timeframe.	<i>Key pairs will be generated within one business day of request.</i>	<i>95% of key pairs will be generated within one business day of request.</i>	95%
H3	Provide Consultation for Open Secure Shell (SSH)-Based Products	When requested, provide consultation about how to implement use of Open Secure Shell based tools such as SFTP, on the mainframe.	Consultation will provide the necessary knowledge to the application team within performance target timeframe.	<i>Provider agency and customer agency will agree upon specified timeframe as support is requested.</i>	<i>95% of time aid to developers will be provided as needed.</i>	95%
H4	Provide Technical Expertise and Consulting	When requested, provide technical expertise and consulting for mainframe security issues.	The consultation will provide appropriate information and guidance within performance target timeframe.	<i>Provider agency and customer agency will agree upon specified timeframe, within one business month, as support is requested.</i>	<i>99% of solutions or answers will be provided within one business month or otherwise agree upon.</i>	99%

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H5	Report Breaches/Security Incidents Timely (CSIRT)	Upon notification / discovery; investigate involving appropriate technical staff and determine proper course of action to mitigate.	The customer agency's ISM along with appropriate technical staff investigates and takes appropriate action to mitigate breach within performance target timeframe.	<i>Provider agency technical staff is made available in less than five minutes of security breach notification.</i>	<i>99% of Provider agency technical staff is made available in less than five minutes of security breach notification.</i>	99%
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On August 30, 2006, the FDOT adopted an Information Technology (IT) Services Catalog. The initial catalog was developed as part to comply with legislative requirements for recurring IT Budget Planning. An IT Services Catalog assists OIS in better aligning the FDOT investments in IT resources with the FDOT's usiness needs. OIS has aligned its services into two components: Strategic IT Services and Non-strategic IT Services. The IT Services Catalog describes strategic IT services in terms of the business applications used by the business units to complete FDOT's mission. The IT Services Catalog describes the non-strategic IT services in terms of the applications being used, the infrastructure components and the activities being performed by IT staff.

Strategic IT Services are those that directly enable the FDOT's statutory or constitutional responsibilities and policy objectives. Strategic IT Services are Plan Services, Produce Services, Deliver Services, and Maintain and Operate Services. Additionally, the Turnpike Enterprise is reported as a strategic service. The delivery of all strategic IT services is subject to dependency upon common processes currently referred to by the FDOT as non-strategic IT services.

Non-strategic IT Services are those common utility-type services that facilitate or enable the day-to-day business activities of the FDOT. They provide the generic IT infrastructure needed to provide all other IT services. Non-strategic IT Services are Data Center Facilities Services (Mainframe), IT Security/Risk Mitigation Services, Helpdesk Services, Network Services, Email, Messaging and Calendaring Services, Desktop Computing Services, Portal/Web Management Services, IT Support for Agency Financial and Administrative System Services and IT Administration and Management Services.

Specialized Mainframe Services

Specialized mainframe services have a service delivery and performance requirement beyond the standard services as documented in the Agency Amendment for Standard Mainframe Services (Amendment A). Specialized services are only available within the FDOT OIS: Business Systems & Support Office (BSSO), District Information Systems Office (DISO) and Computer Services Office (CSO).

This amendment serves as a performance framework for the delivery of these services and documents their associated performance measures and requirements. Specialized services and related performance measures are grouped under under Availability Management Services, Systems Services, Operations Services, Output Services, and Scheduling and Migration Services.

The initial phase of the data center consolidation moves FDOT's mainframe to the Southwood Shared Resource Center (SSRC). The specialized mainframe services being impacted by this move will be addressed in this amendment.

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For clarity, the specialized services are documented in matrices. This presentation methodology of specialized services reduces the opportunity for redundancy to occur. Additionally, this amendment is supported by reference in Sections 2 and 6 of the service level agreement for IBM Mainframe Managed Services between the FDOT and the SSRC which states the following: “Any amendment to this SLA, as agreed upon by the Customer and Provider, shall take precedence over any conflicting provision in this SLA without invalidating the remaining provisions of this agreement. Customer-specific requirements which have been identified as amendments are referenced in Section 6.” This amendment contains detailed information in matrix format regarding the description of services, performance targets, and minimum performance measures for the following service categories and the corresponding indexes:

<u>Service Category</u>	<u>Index</u>
Operations Services.....	A
Scheduling & Migration Services	B
Systems Services	C

An explanation for each of the column titles is below:

Metric Objective/Service	Description of Service	Expected Result(s)	Performance Target	Minimum Performance (%)
Title of the service being provided to the customer agency by the provider agency.	Aspects and attributes of the service necessary for the provider agency to understand the customer agency’s service requirements.	Non-quantitative outcome necessary for the customer agency to meet its agency business requirements resulting in accordance with the performance target timeframe.	<i>Quantitative indicator for evaluation of acceptable service to the customer agency. Performance targets may include but are not limited to accuracy, completeness and timeframe.</i>	<i>Lowest performance rate by which the service can be measured against the performance target and is a quantitative representation in percentage</i>

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Operations Services

Specialized operations services include support for application implementation, on-call, managing, monitoring and reporting of batch job priorities, flow of batch jobs, initiators, reporting problems and errors, monitoring both systems and DB2 backups for completion, and preparing data backups to and from the offsite storage facility, and disaster-recovery and emergency operations for the offices within FDOT OIS.

Operations Services Performance Measures					
A	Metric Objective/Service	Description of Service	Expected Result	Performance Target	Minimum Performance (%)
A1	Emergency Operations On-Call Responsibilities	Contact and notify appropriate FDOT OIS on-call groups that the Transportation Emergency Operations Center (TEOC) has requested to go On/Off Emergency Status. Issue the command on the mainframe to put it On/Off Emergency Status.	<p>Emergency Status periods will be staffed at all times during the emergency. All Emergency Status tasks will be successfully initiated and completed.</p> <p>Only staff with appropriate experience will be assigned Emergency Status responsibilities and will occur in accordance with the performance target timeframe.</p> <p>Notifications will be communicated to FDOT OIS staff in accordance with the performance target timeframe.</p>	<p><i>Assigned staff and on-call personnel will be notified of emergency status in less than five minutes of TEOC notification of emergency status.</i></p> <p><i>All Tasks and \$TSO will stay up; Saturday IPL will not be done unless requested by Systems; DB2 will stay up unless advised by FDOT Management or FDOT data base administration staff.</i></p> <p><i>After emergency ends, Operations staff should assume that all normal operations should be done unless instructions to the contrary are received from FDOT Management or FDOT data base</i></p>	100%

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Operations Services Performance Measures					
A	Metric Objective/Service	Description of Service	Expected Result	Performance Target	Minimum Performance (%)
				<i>administration staff.</i>	
A2	Coordinate Disaster Recovery (DR) Contract Between The Disaster-Recovery Service Provider And FDOT OIS	<p>Coordinate Continuity Program Management Services between SunGard & FDOT OIS. Coordinate maintenance and annual test of FDOT OIS DR Plans at a SunGard facility.</p> <p>Retrieve scratch tapes from the storage facility and enter them into the LSM for DR drill.</p>	DR plans, equipment are kept current and annual testing occurs within the performance target timeframe.	<p><i>FDOT OIS DR Plans are reviewed and updated annually.</i></p> <p><i>Provider agency and customer agency will agree upon specified timeframe as part of coordination to address issues with the DR plan.</i></p>	<i>100%</i>
A3	Backup And Storage Of FDOT OIS Team Disaster Recovery (DR) Documentation	<p>Make media copies of DR documentation directory which contains all information needed for FDOT OIS to implement a successful recovery.</p> <p>Store On-site backup of DR documentation in Data Center.</p> <p>Transport Off-site backup of DR documentation to and from the Record Storage Center.</p>	<p>Data on backup media copies can be accessed. On-site backups are available. Off-site backups are taken to or picked up from storage on agreed upon schedule or as requested.</p> <p>All staff assigned responsibilities for transporting or otherwise having access must have signed the FDOT OIS Elevated Access Form.</p> <p>Expectations will be met in accordance with the performance target timeframe.</p>	<p><i>Backup media copies made weekly.</i></p> <p><i>FDOT OIS Elevated Access Form signed and returned within first business week of having access to FDOT backups.</i></p> <p><i>Same business day or as requested or the next business day if requested on a weekend except for emergencies.</i></p>	<i>100%</i>

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Operations Services Performance Measures					
A	Metric Objective/Service	Description of Service	Expected Result	Performance Target	Minimum Performance (%)
A4	Manage Mainframe Tape Inventory	Mange the mainframe tape inventory as needed (low scratch pool) to ensure that tapes are available for scheduled mainframe backups.	Scheduled mainframe backups are never delayed or missed due to unavailability of mainframe tapes. Bar coded labels must be placed on the tapes and new tapes must be initialized. Expectations will be met in accordance with the performance target timeframe.	<i>Surplus mainframe tape inventory is always available. A minimum surplus of 40 tapes is required weekly.</i>	100%
A5	Contact On-Call Personnel When The State Is Closed For Business	Call the person on-call responsible for problems in a specific area after customer agency business hours. After customer agency business hours includes all periods when the state is closed for business.	Inform the person on-call of a problem in their area of responsibility using the available contact information and will be done within the performance target timeframe.	<i>On-call personnel are contactd within 15-30 minutes of notification of problem.</i>	100%
A6	Support Special Processes (Ex. Year-End Processes Roll Forward, Cost Purge, etc.)	Actively participate in development of schedules for special processes. Provide necessary support for special processes, including regular on-call support.	Support provided for scheduled development and special processes required by FDOT will be in accordance with the performance target timeframe.	<i>When requested, provider agency will respond within 1 business day on request for staff availability to participate in FDOT scheduled development.</i> <i>Within 2 business days, staff will be identified and designated to</i>	95%

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Operations Services Performance Measures					
A	Metric Objective/Service	Description of Service	Expected Result	Performance Target	Minimum Performance (%)
				<i>support special processes.</i>	
A7	Participate In The Planning Of Application Implementations	<p>Actively participate in development of schedules for application implementations.</p> <p>Monitor schedules for changes impacting Operations support.</p>	<p>Implementation schedules includes Operations specifics.</p> <p>Application implementations required by FDOT will be in accordance with the performance target timeframe.</p>	<p><i>Operations staff will respond within 1 business day on availability to participate in schedule development.</i></p> <p><i>Within 2 business days experienced staff will be designated to support application implementations.</i></p>	100%
A8	Support Weekend Application Implementations	Provide necessary Operations support that FDOT applications environment needs for weekend application implementations, including regular on-call support.	Support for weekend application implementations will be in accordance with the performance target timeframe.	<i>During weekend application implementations, response to FDOT applications change requests for support must be made within 5 minutes of receiving the request.</i>	95%
A9	Coordinate And Communicate Mainframe And Data Center Outages	<p>Coordinate any planned mainframe or Data Center outages; communicate all planned outages by publishing a schedule.</p> <p>Solicit non-impact windows from all impacted personnel.</p>	<p>Mainframe and Data Center outages are planned so as to not impact FDOT applications development and implementation schedules or the application environment.</p> <p>Whenever possible, solicitation for non-impact windows from all</p>	<i>Notification of planned outages that correct non-emergency production problems will be posted in various mainframe message areas and at least 3 business days before the outage.</i>	100%

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Operations Services Performance Measures					
A	Metric Objective/Service	Description of Service	Expected Result	Performance Target	Minimum Performance (%)
			impacted personnel must be made. Notification of outages will occur in accordance with the performance target timeframe.	<i>Notification of planned outages that are considered preventative or maintenance will be posted in various mainframe message areas and at least 2 business weeks before the outage.</i>	

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Scheduling & Migration Services

Scheduling and migration services support workload scheduling and code migration services in support of the customer agency's applications and ensures that workload scheduling and code migration service requests are processed in a proficient and timely manner. Included is weekend and planned implementations, which could range from new software/hardware installs to scheduling/re-scheduling tasks within both the test and production multi-platform environments. Scheduling and Migration Services requires technical staff to manage, support and provide training for workload scheduling and code migration tools.

Scheduling & Migration Services Performance Measures					
B	Metric Objective/Service	Description of Service	Expected Result	Performance Target	Minimum Performance (%)
B1	Establish /Maintain Application Promotion Process	<p>Establish and maintain the documentation associated with the promotion of application components between the System Test and Production libraries.</p> <p>Ensure the associated software is defined according to the promotion process.</p> <p>Ensure FDOT application staff is informed when the process changes.</p>	<p>Documentation is kept current to accurately reflect the actual processes performed and is readily available upon request.</p> <p>FDOT application staff follows the documentation for promotion of application components; there is no confusion about what should be done because it is documented.</p> <p>Application functions as expected using the promoted objects.</p> <p>Expectations will be met in accordance with the performance target timeframe.</p>	<p><i>Documented promotion processes. Notification to appropriate staff at 2 business weeks prior to a process change is required.</i></p> <p><i>Documentation is provided same business day or next business day.</i></p> <p><i>Promote application objects to the correct environment when using automated tools, such as PANAPT. Provider agency and customer agency will agree upon specified timeframe as part of coordination.</i></p> <p><i>Notification at least 2 weeks prior to a process change that one is being considered.</i></p>	100%

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Scheduling & Migration Services Performance Measures					
B	Metric Objective/Service	Description of Service	Expected Result	Performance Target	Minimum Performance (%)
B2 FDOT ONLY	Maintain PANAPT Models	Create (as needed) and maintain all PANAPT models required by FDOT.	When the new model is needed for use, the promotion of code is accomplished successfully and in accordance with the performance target timeframe.	<p><i>Have PANAPT models established or updated before they are required for code migration by FDOT applications staff.</i></p> <p><i>Provider agency and customer agency will agree upon specified timeframe as part of coordination for new or updated PANAPT model deadlines.</i></p>	100%
B3	Provide Assistance On General PANAPT Issues	<p>Provide assistance on various PANAPT software functions such as Move Requests, Libcodes, Inventory Records and APJJ* jobs when called upon by FDOT applications staff.</p> <p>Knowledgeable to work with FDOT applications staff to determine why a PANAPT request may not have ended as expected.</p> <p>Able to look at the PANAPT job for error messages if FDOT application staff indicates it did not process</p>	<p>Technical expertise in the area of the question by FDOT applications staff has been answered in a knowledgeable and accurate manner so that the FDOT application staff can continue using the PANAPT features.</p> <p>Expectations will be met in accordance with the performance target timeframe.</p>	<p><i>Provide assistance related to the PANAPT software which allows FDOT applications staff to use PANAPT to perform the required job function.</i></p> <p><i>Provider agency and customer agency will agree upon specified timeframe as part of coordination.</i></p>	100%

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Scheduling & Migration Services Performance Measures					
B	Metric Objective/Service	Description of Service	Expected Result	Performance Target	Minimum Performance (%)
		successfully.			
B4	Approve Production PANAPT Move Request for Weekend Implementations	Approve Production PANAPT move requests as requested in e-mail by FDOT applications staff for weekend implementations such as product upgrades like DB2, CICS Operating System, and new mainframe.	<p>Move request should process correctly to Production in accordance with the performance target timeframe.</p> <p>Those involved in the production request (ex. "Reply to All" people) receive notification of successful completion of the production migration in accordance with the performance target timeframe.</p> <p>Application performs as expected in the production environment. FDOT application staff will only follow up if execution of requested tasks is unsuccessful.</p>	<p><i>Correctly approve Production PANAPT move requests on weekend implementations as directed by FDOT applications staff.</i></p> <p><i>PANAPT requests are properly approved within the timeframe specified in the e-mail request from FDOT applications staff and so they can run at the timeframe specified in the e-mail request from FDOT. If an error occurs, then be able to review the output of the PANAPT request to determine the cause.</i></p> <p><i>Notification of completion is required within 15 minutes of approving the PANAPT. Send a "Reply to All" on the request e-mail.</i></p>	100%
B5 FDOT ONLY	Address PANAPT Backouts	<p>Backout PANAPT moves as directed by FDOT application staff.</p> <p>Note: Backouts are rare</p>	Correctly back out PANAPT move request so that the PANAPT can be re-run with no errors in processing in accordance	<i>Correctly reset in less than 5 minutes, as directed by FDOT applications staff, PANAPT objects to what they were before the</i>	100%

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Scheduling & Migration Services Performance Measures					
B	Metric Objective/Service	Description of Service	Expected Result	Performance Target	Minimum Performance (%)
		and create an emergency type request to get the PANAPT reset to what it was before the first PANAPT run failed.	to the performance target timeframe.	<i>promotion at issue.</i>	
B6 FDOT ONLY	Provide Training On PANAPT For FDOT Applications Staff	<p>Train FDOT OIS staff on the use of PANAPT.</p> <p>Assign knowledgeable staff to perform the training so that questions can be accurately answered.</p>	<p>Training will be provided as requested and in accordance with the performance target timeframe.</p> <p>Customer satisfaction with training and use of PANAPT will be acknowledged to FDOT OIS staff.</p>	<p><i>Schedule a time for the training to occur within 1-4 hours of receiving the request by FDOT OIS staff.</i></p> <p><i>Training should occur within 1-5 business days of request.</i></p>	100%
B7	Perform The NEWCOPY Command In Production CICS As Requested By The FDOT Application Staff	Perform the NEWCOPY command for specified CICS transactions in the CICS production environment as requested by the FDOT application staff.	<p>The production CICS transaction within the affected application will be tested. FDOT application staff will only follow up if execution of requested tasks is unsuccessful.</p> <p>Expectations will be met in accordance with the performance target timeframe.</p>	<p><i>NEWCOPY commands are performed within 15 minutes of receiving the request from FDOT Application Coordinator.</i></p> <p><i>The requested CICS transaction reflects the changes in the application code that was loaded to production, usually on an emergency basis or weekend implementation within 15 minutes.</i></p> <p><i>The affected application receives the updated</i></p>	99%

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Scheduling & Migration Services Performance Measures					
B	Metric Objective/Service	Description of Service	Expected Result	Performance Target	Minimum Performance (%)
				<i>application code in the production environment within 15 minutes and the NEWCOPY command allows for access of the new application code in less than five minutes. The application has reduced down-time.</i>	
B8	Ensure Documentation Compliance (JCL)	<p>Reviews JCL documentation according to the CO-PRODCNTL documentation standards before the JCL is migrated to the production environment.</p> <p>Report non-compliance to the FDOT application staff submitting the JCL job request.</p> <p>Do not load JCL as requested until it complies with documentation standards.</p>	<p>FDOT JCL documentation complies to the documentation standard.</p> <p>Corrections made and necessary processes are not additionally delayed due to reporting non-compliance within performance target timeframe.</p> <p>Expectations will be met in accordance with the performance target timeframe.</p>	<p><i>All JCL is reviewed for adherence with the CO-PRODCNTL documentation standards within 1 hour of receiving the request.</i></p> <p><i>Non-compliance is reported in less than 5 minutes</i></p> <p><i>Requests are processed within 4 hours.</i></p>	100%
B9 FDOT ONLY	Load JCL (Job Control Language) Into The DB2 Table Named ISSTBL60	Load JCL for Test from FDOT applications staff catalog to appropriate DB2 test environment (DSNZ, DSNT) as requested by FDOT applications staff in e-mail.	<p>FDOT application staff ensures the correct JCL is loaded in the correct DB2 environment as requested.</p> <p>Expectations will be met in accordance with the</p>	<i>Correctly load JCL from users catalog to appropriate environment (DSNZ, DSNT, DSN) within 1-4 hours of receiving the request according to the</i>	100%

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Scheduling & Migration Services Performance Measures					
B	Metric Objective/Service	Description of Service	Expected Result	Performance Target	Minimum Performance (%)
		<p>Load JCL for Production from System Test (DSNT) DB2 environment to the Production (DSN) environment as requested by the FDOT application staff.</p> <p>“Reply to All” to the request e-mail to notify when completed.</p>	<p>performance target timeframe.</p>	<p><i>parameters provided by FDOT applications staff.</i></p> <p><i>JCL is found in ISSTBL60 in the appropriate DB2 environment as requested by FDOT application staff within 1-4 hours of receiving the request.</i></p> <p><i>Notifies by a “Reply to All” on the request e-mail within 15 minutes of completion.</i></p>	
B10	Create/Update/Maintain Mainframe Scheduler Tasks/External Events As Required	<p>Process Mainframe Scheduler Requests as submitted on the FDOT Beta42 Request Form by FDOT application staff.</p> <p>Create/update/maintain mainframe scheduler Tasks.</p> <p>Coordinate work center event entries with Systems for CLISTS. Ensure work center events are tested to perform the function(s) indicated in the request.</p> <p>Provide training to FDOT OIS staff as needed to</p>	<p>Make sure jobs execute correctly and according to the desired schedule.</p> <p>Make sure external events and CLISTS perform correctly. Requests are to be processed according to parameters provided by FDOT applications staff and in accordance with the performance target timeframe.</p>	<p><i>Acknowledge request by sending a “Reply to All” on the request within 1-4 hours.</i></p> <p><i>Correctly create/update/maintain mainframe scheduler tasks. Successfully coordinate with Systems on CLISTS/additions/changes.</i></p> <p><i>Provider agency and customer agency will agree upon specified timeframes.</i></p>	100%

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Scheduling & Migration Services Performance Measures					
B	Metric Objective/Service	Description of Service	Expected Result	Performance Target	Minimum Performance (%)
		demonstrate how the new/changed functionality works.			
B11	Monitor/Report Mainframe Scheduler Task Issues	<p>Monitor/report mainframe scheduler task issues.</p> <p>Notify FDOT applications staff when jobs fail.</p>	<p>FDOT applications run as required.</p> <p>Monitoring and reporting of scheduled task failures and errors will be done in accordance with the performance target timeframe.</p>	<p><i>On a daily, regular basis monitor/report mainframe scheduler task issues to ensure processing is performed without error. Scheduled task failures are to be reported within 15 minutes.</i></p> <p><i>Any errors that occur after customer agency business hours are to be reported to the FDOT applications staff within 1 hour of start of customer agency business hours.</i></p>	100%
B12	Provide Mainframe Scheduler Forecast Report For Special Circumstances (Ex. FM YE Roll-Forward, A Production Implementation Of An Application, A Software Upgrade, etc.)	<p>Run forecast for requested period at least 2 weeks prior to the special circumstance occurring.</p> <p>Provide the forecast report to FDOT applications staff identifying the special circumstance that is being requested and the deadline to submit adjustments to the mainframe scheduler.</p>	<p>FDOT applications staff reviews the report and is accurately able to request adjustments to the Mainframe Scheduler based on what is actually running in accordance with the performance target timeframe.</p> <p>Jobs run as expected during the time frame of the special circumstance.</p>	<p><i>The Forecast Report correctly reflects all jobs that run within the specified time period of the special circumstance.</i></p> <p><i>Provide the Forecast Report to the FDOT applications staff within 1-4 hours of receiving the request.</i></p> <p><i>If it is determined that the provided Forecast Report</i></p>	100%

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Scheduling & Migration Services Performance Measures					
B	Metric Objective/Service	Description of Service	Expected Result	Performance Target	Minimum Performance (%)
				<i>is inaccurate, provider agency staff must respond in less than five minutes.</i>	
B13	Adjust Mainframe Scheduler Schedule As Required To Address Special Circumstances	Make adjustments to mainframe scheduler as requested by FDOT applications staff.	Jobs run as expected during the time frame of the special circumstance. Expectations will be met in accordance with the performance target timeframe.	<i>Correctly make adjustments to the mainframe scheduler within 1-4 hours of receiving the request from applications staff to ensure adjustments are correct before the special event occurs. Failures are to be reported in less than 5 minutes.</i>	100%
B14	Schedule Jobs In Mainframe Scheduler Manually Upon Request	Manually schedule requested jobs in the mainframe scheduler as requested by FDOT applications staff.	Jobs run successfully within the performance target timeframe.	<i>Manually schedule requested jobs in the mainframe scheduler with no errors within 1-4 hours of receiving the request.</i>	100%
B15	Perform/Manages Mainframe Scheduler Job Scheduling	Perform/manages mainframe scheduler Job scheduling.	Manage and verify that mainframe scheduled jobs run successfully. Failures reported to FDOT applications staff in accordance with the performance target timeframe.	<i>All mainframe scheduler jobs run successfully. When mainframe scheduled jobs fail, the job logs are reported to the FDOT applications staff within 1 hour of the job executing with failures.</i>	99%
B16	Verify/Compile/Explain/Coordinate JCL Variable Substitutions	Review JCL variable needs in the test environment.	Check that variables substitute correctly when executed in the test	<i>JCL variables substitute correctly as executed in the test environment within</i>	100%

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B	Metric Objective/Service	Description of Service	Expected Result	Performance Target	Minimum Performance (%)
		<p>Define and test variables to ensure they work correctly prior to the production load.</p> <p>Variables can be placed in either the JCL statements, or in in-line data statements.</p>	<p>environment so that when the production move occurs and the JCL runs successfully with the substitution in accordance with the performance target timeframe.</p>	<p><i>3-4 days of receiving the test load request.</i></p> <p><i>Response to FDOT applications staff regarding problems in the test environment in less than 5 minutes.</i></p>	
B17	Provide Output Archival Support And Training	<p>Provide assistance on \$AVRS software functions when requested by FDOT applications staff.</p> <p>Knowledgeable to work with FDOT applications staff to view \$AVRS output.</p> <p>Ability for FDOT applications staff to view \$AVRS output.</p>	<p>Technical expertise provided in a knowledgeable and accurate manner, in performance target timeframes, so that the FDOT applications staff can continue using the \$AVRS features.</p> <p>FDOT applications staff demonstrate that they can use \$AVRS.</p> <p>Expectations will be met in accordance with the performance target timeframe.</p>	<p><i>Assistance provided within 1-4 hours of request.</i></p> <p><i>Schedule training within 1-4 hours of receiving the request.</i></p>	100%
B18	Participate In And Available For Application Implementations	<p>Actively participates in planning meetings to assist in the preparation and timing of application implementation plans for</p>	<p>Provider agency staff attend and participate in scheduled meetings.</p> <p>Application</p>	<p><i>Provider agency staff attend and participate in all scheduled meetings.</i></p> <p><i>Perform application</i></p>	100%

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B	Metric Objective/Service	Description of Service	Expected Result	Performance Target	Minimum Performance (%)
		<p>the tasks necessary to be performed by Production Control staff.</p> <p>Perform the associated tasks when required for application implementations.</p> <p>Production implementations normally occur outside the hours of availability so as not to adversely impact production applications.</p> <p>Production Control staff must be available during those hours to perform the tasks.</p>	<p>implementation tasks are successfully executed within performance target timeframe.</p> <p>Successful and unsuccessful implementation tasks in System Test are documented and communicated to the FDOT applications staff within the performance target timeframe.</p> <p>Failure results in update to implementation plan to allow the production implementation run within performance target timeframe.</p> <p>Application is implemented in the production environment and made available to the FDOT end-users within the performance target timeframe.</p>	<p><i>implementation plan tasks within the agreed upon timeframes.</i></p> <p><i>Notification of completion and/or problems is made within 15 minutes of discovery.</i></p> <p><i>Failure results in implementation plan update to allow the Production implementation to run without error.</i></p> <p><i>Application is implemented in the production environment and made available to the FDOT end-users by the next business day following implementation.</i></p>	
B19	Provide Direct Contact With FDOT Applications Staff For Discussions On Issues And “What If” Scenarios When Seeking Recommendation For	Production Control administrators are partners with application developers and other	Technical expertise in the areas of Production Control will be provided reasonable solutions can	<i>Requests are acknowledged within 1-4 hours.</i>	100%

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Scheduling & Migration Services Performance Measures					
B	Metric Objective/Service	Description of Service	Expected Result	Performance Target	Minimum Performance (%)
	Implementation(s) Or New Processing Requirements	<p>cross-functional teams to meet FDOT's OIS Work Plan objectives.</p> <p>Provide workload scheduling and code migration expertise to help with application development analysis and decision making issues.</p>	<p>be developed for the specific scenario within the performance target timeframe.</p> <p>Active participation and feedback to derive recommendations to solve the specific scenario within performance target timeframe.</p>	<p><i>Provider agency staff attend and participate in all scheduled meeting.</i></p> <p><i>The provider agency and customer agency will agree upon a specified timeframe with consideration given to complexity and FDOT's priority.</i></p>	
B20	Participate In The Development Of New Requirements/Processes Requiring Production Control Support	<p>Staff with process analysis and documentation skills participate in the development of new requirements/processes requiring Production Control support.</p> <p>New requirements from FDOT applications staff will be sent via e-mail.</p>	<p>Active participation and feedback from staff with process analysis and documentation skills to derive new requirements and process improvements within performance target timeframe.</p> <p>Improved processes related to new requirements that require Production Control support.</p> <p>Communication of the new processes to FDOT applications staff.</p>	<p><i>Requests are acknowledged within 1-4 hours.</i></p> <p><i>Provider agency staff attend and participate in all scheduled meetings.</i></p> <p><i>The provider agency and customer agency will agree upon a specified timeframe with consideration given to complexity and FDOT's priority.</i></p> <p><i>Notification at least 2 weeks prior to implementation of a provider agency proposed process change.</i></p>	100%

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B	Metric Objective/Service	Description of Service	Expected Result	Performance Target	Minimum Performance (%)
				<i>New processes implemented without errors.</i>	
B21	Application Function Analysis/Support Consultation	<p>Work with application development and support projects as needed related to Production Control functions performed upon request by FDOT applications staff.</p> <p>Technical expertise in application processing to provide that knowledge to FDOT application projects.</p> <p>Efficient methods utilized within FDOT applications relating to Production Control areas of support.</p>	<p>Active participation in the discussions and technical knowledge of the Production Control functions when requested within performance target timeframe.</p> <p>Successful implementation and improvements of techniques presented relating to Production Control areas of support within performance target timeframe.</p>	<p><i>Requests are acknowledged and meeting scheduled within 1-4 hours of receipt of request. Provider agency staff attend and participate in all scheduled meetings.</i></p> <p><i>The provider agency and customer agency will agree upon a specified timeframe with consideration given to complexity and FDOT's priority.</i></p>	100%
B22	Participate In New Product Evaluation	<p>When new product needs are identified by FDOT, provide technical evaluation assistance, including setup and testing, to determine whether proposed acquisitions work in FDOT's environment, are secure, and efficient.</p>	<p>Personnel with appropriate expertise in techniques to evaluate new products participate in evaluations within performance target timeframe.</p> <p>Product evaluations will be completed, within performance target timeframe, with formal</p>	<p><i>Requests are acknowledged and meeting scheduled within 1-4 hours of receipt of request.</i></p> <p><i>Provider agency staff attend and participate in all scheduled meetings.</i></p> <p><i>The provider agency and customer agency will agree upon a specified</i></p>	95%

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Scheduling & Migration Services Performance Measures					
B	Metric Objective/Service	Description of Service	Expected Result	Performance Target	Minimum Performance (%)
			documentation identifying pros and cons of new product.	<i>timeframe with consideration given to complexity and FDOT's priority.</i>	
B23	Support Obsolescence Of Applications And/Or Some Of Their Components When Requested	<p>Backup and obsolete requested Source, JCL, Copy, Control, Load, Proc, and CLIST Libraries.</p> <p>Back up and obsolete requested ISPF members, and PANAPT Inventory Records.</p> <p>Back up and obsolete requested workload scheduler task definitions and external events.</p>	<p>Obsolete components are no longer found in production libraries in accordance with the performance target timeframe.</p> <p>Any items made obsolete by Production Control should be backed up for potential recovery.</p> <p>Confirmation request, back up completion and obsolescence by "Reply to All," within performance target timeframe.</p>	<p><i>Requests are acknowledged within 1-4 hours of receipt of request.</i></p> <p><i>Requests to obsolete components are to be processed within 5 business days.</i></p> <p><i>Problems or questions encountered during the obsolescence process should be addressed in less than 5 minutes, within the 5 business day period of the request.</i></p> <p><i>Back ups of obsolete times should be retained for 2 years.</i></p> <p><i>Notice of back up and completion made within 1-4 hours.</i></p>	100%
B24	Support Special FDOT Processes (Ex. Year-End, Roll Forward, Cost Purge, etc.)	Coordinate and support special processes as mandated by the FDOT OIS Work Plan.	Technical knowledge to run and/or support the Production Control areas of responsibility within the performance target	<i>Successful execution of the special FDOT application staff processes for applications.</i>	100%

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Scheduling & Migration Services Performance Measures					
B	Metric Objective/Service	Description of Service	Expected Result	Performance Target	Minimum Performance (%)
		<p>Participate in special processes schedule development.</p> <p>Designate a central point of contact for Production Control for the special event.</p> <p>Communicate to the designated FDOT applications staff responsible for the special process.</p>	<p>timeframe.</p> <p>Successful execution of the special FDOT application processes for applications within the performance target timeframe.</p>	<p><i>The provider agency and customer agency will agree upon a specified timeframe with consideration given to complexity and FDOT's priority.</i></p> <p><i>Confirmation of successful task completion within 15 minutes of the agreed upon timeframe to perform the task.</i></p> <p><i>Communication problems to the designated FDOT applications staff, responsible for the special process, in less than 5 minutes.</i></p>	
B25	Produce Mainframe Scheduler, PANAPT Report (Generic or Customized)	Produce Mainframe scheduler, PANAPT Report (generic or customized) as requested by a FDOT applications staff.	The Mainframe scheduler, PANAPT Report (generic or customized) is accurate for the period and parameters requested in accordance with the performance target timeframe.	<i>Within 4 hours of being requested, the Mainframe/Scheduler, PANAPT Report (generic or customized) is provided to FDOT applications staff for planning purposes related to applications.</i>	100%
B26	Troubleshooting Support	Monitor and provide diagnostic and resolution support to FDOT for any production process issues.	Support and timely communications within the performance target timeframes.	<p><i>Staff responds within 1 hour of problem notification.</i></p> <p><i>Issues or problems will be</i></p>	99%

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Scheduling & Migration Services Performance Measures					
B	Metric Objective/Service	Description of Service	Expected Result	Performance Target	Minimum Performance (%)
			Support and communication with FDOT staff is maintained to facilitate efficient resolution in accordance with the performance target timeframe.	<i>addressed and resolved.</i> <i>The provider agency and customer agency will agree upon a specified timeframe with consideration given to complexity and FDOT's priority.</i>	
B27	Coordinate Automated Scheduler Problem Alert/Resolution	Monitor CPU utilization to ensure optimization and determine job completion status. Reports abnormal scheduler CPU Utilization.	The automated scheduler completes jobs with optimal CPU utilization. Notification of utilization and processing results is made in accordance with the performance target timeframe.	<i>Notify FDOT within 1 hour when utilization is outside of agreed upon optimal utilization ranges.</i> <i>Job completed successful or unsuccessful.</i> <i>Processing results are reported in less than 5 minutes.</i>	95%
B28	Comprehensive Documentation Of All Production Control Functions, Processes, And Responsibilities	Coordinates with FDOT OIS to ensure comprehensive documentation is kept up-to-date, is accurate and is readily available to FDOT OIS. Ensure FDOT OIS is notified when processes/functions change.	FDOT OIS has documentation quickly accessible to them in accordance with the performance target timeframe.	<i>Have documentation intact and available for review upon request.</i> <i>Response to notification of inaccessibility during customer agency business hours in less than 5 minutes.</i>	100%

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Scheduling & Migration Services Performance Measures					
B	Metric Objective/Service	Description of Service	Expected Result	Performance Target	Minimum Performance (%)
B29 FDOT ONLY	Grant Authorities To Select Production Control Datasets (ISQAANDC.*)	Grant access for high level qualifier ISQAANDC.* datasets as requested from the FDOT applications staff. Confirmation by “Reply to All” when complete.	FDOT applications staff should be able to view, create, update, and/or delete members within the ISQAANDC.* datasets as authorized in accordance with the performance target timeframe.	<i>Grant only authorized FDOT applications staff access to high level qualifier ISQAANDC.* datasets within 4 hours of receiving the request. Developers should be able to continue their work related to the required access of ISQAANDC.* within 4 hours of request.</i>	99%

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Systems Services

Systems services support the mainframe environment infrastructure. Systems services include the installation and administration of all hardware and software, systems management and administration of availability/unavailability of mainframe resources, response time, batch processing, and other components associated with the mainframe computing environment. Systems services also include the related communications software and mainframe attached printing, capacity planning, and performance measurement. In addition, systems services encompasses contract management of all mainframe based software/hardware service, repair and maintenance, technical support for software, hardware, mainframe applications, and the customer agency's offices.

Systems Services Performance Measures					
C	Metric Objective/Service	Description of Service	Expected Result	Performance Target	Minimum Performance (%)
C1	Create CICS Transactions For New And/Or Existing Applications	Upon receipt of a request to define transactions to one or more CICS environments, those transactions will be correctly defined within a reasonable time period.	Verify correctness based on formal testing within performance target timeframe. Requests are to be completed in accordance with the performance target timeframe.	<i>Complete definition requests within 2 business days of receipt.</i>	95%
C2	Provide Support For CICS Transaction Issues	Be available during customer agency business hours to provide support and assistance in resolving issues with CICS transactions in all environments. Be available in an on-call capacity outside of customer agency business hours when the CICS Production region(s) are available to provide support and assistance in resolving issues with Production CICS	Verify CICS Transaction issue(s) has been resolved. User acceptance is validated upon success of transaction. Resolution to transaction issues in both production and test CICS in accordance with the performance target timeframe.	<i>Respond and provide support and assistance to resolve production CICS transaction issues within 1 hour of problem notification.</i> <i>Respond and provide support to resolved test CICS transaction issues within 4 hours of problem notification.</i>	95%

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Systems Services Performance Measures					
C	Metric Objective/Service	Description of Service	Expected Result	Performance Target	Minimum Performance (%)
		transactions.			
C3	Support/Coordinate Software Upgrades And Fixes	SSRC staff will coordinate with FDOT applications staff on software upgrades or application of maintenance with major impact.	Software upgrades and fixes do not conflict with FDOT OIS work plan and are coordinated in accordance with the performance target timeframe.	<p><i>All major software upgrades or major maintenance will be communicated to, and coordinated with FDOT applications staff.</i></p> <p><i>The provider agency and customer agency will agree upon a specified timeframe as part of coordination.</i></p>	95%
C4	Provide Support Of Applications Software Needs, Requirements And Issues (Including Availability)	Stay current on FDOT applications staff software needs and requirements. Make recommendations for new software, software upgrades and fixes, and new features of existing software. Stay current on new and developing software that may more optimally address FDOT applications staff software needs and requirements. Ensure security encryption protocols are supported and configured for all FDOT needs.	FDOT application staff software needs are met in accordance with the performance target timeframe.	<p><i>Respond to software based inquiries within 1-2 business days.</i></p> <p><i>Support staff are available during customer agency business hours to provide support and assistance on software issues within 1 hour,</i></p> <p><i>Support staff are available in an on-call capacity to provide support and assistance on software issues within 1-2 hours.</i></p>	99%

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Systems Services Performance Measures					
C	Metric Objective/Service	Description of Service	Expected Result	Performance Target	Minimum Performance (%)
C5 FDOT ONLY	Coordinate Software Changes That Require DB2 Installation With FDOT Data Base Administration Staff	If installation of a piece of software requires databases or DB2 plans, these needs will be met through coordination with FDOT Data Base Administration Staff.	Coordinate all DB2 impacts of software change. Coordination will occur in accordance to the performance target timeframe.	<i>No DB2 plans or tables created without coordination with FDOT Data Base Administration Staff.</i> <i>The provider agency and customer agency will agree upon a specified timeframe as part of coordination.</i>	100%
C6	Coordinate/Participates In Testing Of Software Changes	When software changes take place, Systems personnel will coordinate testing efforts off hours with FDOT application staff and database administration staff and will be available to help with any issues which appear during testing. Test plans should include known FDOT uses of software and test cases for previously encountered problems.	Coordination of changes will be made in accordance with the performance target timeframe.	<i>Changes are not made without coordination.</i> <i>Systems will have appropriate personnel available for all test periods.</i> <i>The provider agency and customer agency will agree upon a specified timeframe as part of coordination.</i>	100%
C7	Coordinate Scheduling Of Software Upgrades And Fixes (Provide Advance Notice Of Upgrades And Fixes And Solicits Best “Non-Impact” Time Windows (Considering The Impact And Possible Interruptions To FDOT Applications))	FDOT application staff will be consulted on the scheduling of all maintenance and upgrades which have applications impact.	Software upgrades and fixes are scheduled without impact to FDOT applications in accordance with the performance target timeframe.	<i>All upgrades will be scheduled as agreed upon by the provider agency and customer agency as part of coordination.</i>	99%

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Systems Services Performance Measures					
C	Metric Objective/Service	Description of Service	Expected Result	Performance Target	Minimum Performance (%)
				<i>Address conflicts to published System schedule and changes to said schedule within 1 business day.</i>	
C8	Assist With Solving Problems Involving Mainframe Software	SSRC Systems personnel will provide diagnostic and resolution help for any and all mainframe software problems.	Software problems will be resolved in accordance with the performance target timeframe. Software will be returned to proper operation as soon as possible consistent with FDOT change management practices and in accordance with the performance target timeframe.	<i>Mainframe software problems will be diagnosed, and resolved in 1 business day or passed to the software vendor by within 3 business days.</i>	95%
C9	Provide Mainframe Component Infrastructure Support	Stay current on FDOT application development infrastructure needs and requirements. Make recommendations for improvements. Provide support and advice on new application development projects as requested.	Verify correctness based on formal testing and evaluation. Infrastructure is in place to allow applications to run efficiently in accordance with the performance target timeframe.	<i>Infrastructure is created, coordinated and maintained to optimally support FDOT applications at all times.</i> <i>The provider agency and customer agency will agree upon a specified timeframe as part of coordination.</i>	99%
C10	Provide Consultation And Advice On How To Make FDOT's Mainframe	Either upon request, or upon discovery of an	Continuously offer advice to FDOT applications staff	<i>Requests for consultation must be</i>	90%

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Systems Services Performance Measures					
C	Metric Objective/Service	Description of Service	Expected Result	Performance Target	Minimum Performance (%)
	Infrastructure Applications More Efficient	inefficient process, provide FDOT applications staff technical advice for improvement.	to improve efficient utilization of mainframe resources and reduce the elapsed time necessary for them to accomplish their tasks. Consultation should lead to improved processing experience for all FDOT applications staff developed processes.	<i>responded to within 2 business days.</i> <i>The provider agency and customer agency will agree upon a specified timeframe.</i>	
C11	Provide Application Development Support	Provide support of application planning. Advise on perceived issues and “what if” scenarios and make recommendations for applications and their associated implementation. Provide application function analysis/support consultation; Participate in the planning of application implementations; Manage application environment performance.	Ensure Systems involvement in the application development life cycle starting in the planning stage within performance target timeframe. Stay current on FDOT application staffs application needs and requirements and application development initiatives to help ensure that applications run as efficiently as possible. Expectations will be met in accordance with the performance target	<i>Respond to requests for participation in FDOT applications development planning within 1 business day of request receipt.</i>	95%

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Systems Services Performance Measures					
C	Metric Objective/Service	Description of Service	Expected Result	Performance Target	Minimum Performance (%)
			timeframe.		
C12	Provide Application Tuning And Analysis	Monitor application performance when requested.	Provide reports and recommendations on results of application tuning. Provide recommendations for application changes needed to improve performance in order to ensure applications run as efficiently. Expectations will be met in accordance with the performance target timeframe.	<i>FDOT applications staff receives notification of application tuning and performance issues upon completion of requested analysis.</i> <i>The provider agency and customer agency will agree upon a specified timeframe as part of coordination.</i>	95%
C13	Support Non-Business Day Production Application Implementations	Participate in application implementation schedule development. Schedule support for non-business day implementations based on developed schedule.	Verify correctness based on implementation plan completion in adherence to the formalized plan and in accordance with the performance target timeframe.	<i>Implement applications without error.</i> <i>The provider agency and customer agency will agree upon a specified timeframe as part of coordination.</i>	99%
C14	Coordinate Mainframe Disaster Recovery (DR) Process	Coordinate automation and processes for providing DR backups, including providing for off-site copies. Ensure process is maintained through all software and systems	Process will provide for the support of a stable mainframe recovery. No issues go unresolved that prevents the successful completion of the next execution of the process.	<i>Incremental backups will occur nightly and full volume backups once weekly in place of an incremental backup. These backups will include volumes which</i>	99%

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Systems Services Performance Measures					
C	Metric Objective/Service	Description of Service	Expected Result	Performance Target	Minimum Performance (%)
		changes and upgrades. Coordinate with FDOT data base administration staff to included required DB2 backups; Support issues with the process on an on-call basis.	Outcomes and problems from DR testing will be used to correct or improve the process as appropriate. Ensure the FDOT DB2 DR process is fully supported. Expectations will be met in accordance with the performance target timeframe.	<i>contain database image copies.</i> <i>Issues in the process need to be addressed prior to the next run of the process.</i> <i>Issues encountered in the nightly run of the process are resolved within 4 hours so the process can complete.</i>	
C15	Maintain And Support Mainframe Test Systems	Establish and maintain test systems (Infrastructures, Environments, Settings) to ensure support of the FDOT's application development needs. The test systems are configured to match the Production Systems as closely as possible, and are adjusted as required for new applications' needs. Manage and optimize test system performance.	Test system exist and function as required in accordance with the performance target timeframe.	<i>Provide the required test systems for FDOT application development.</i> <i>The provider agency and customer agency will agree upon a specified timeframe as part of coordination.</i>	95%
C16	Maintains/Coordinate FDOT's Test Hours Of Operation (Environment/Systems Availability/DB2)	Maintain and, as needed, modify system scheduling or parameters for the operation of the test environments.	Test system hours are set to optimize test system utilization. Requests for modification occur in accordance with the	<i>Maintain established test system hours of operations. Respond to requests for modification and take</i>	95%

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Systems Services Performance Measures					
C	Metric Objective/Service	Description of Service	Expected Result	Performance Target	Minimum Performance (%)
			performance target timeframe.	<i>action within 3 business days.</i>	
C17	Manage FDOT Specific -System-Level Or Assembler-Language Programs	Maintain programs which were developed by Systems for the use of FDOT applications staff, and which require assembler experience to maintain or system-level interfaces to perform their function.	FDOT application staff will verify that programs perform the requested function(s) acceptably in accordance with the performance target timeframe.	<i>Software will continue to function and will be modified if required by future system upgrades.</i> <i>The provider agency and customer agency will agree upon a specified timeframe as part of coordination.</i>	99%
C18	Define Work Load Manager (WLM) Policy	Work with FDOT data base administration staff on identifying the exact started tasks and WLM needs. Establish started tasks and WLM specifics for identified needs. Ensure established started tasks and WLM specifics are maintained through software upgrades.	Establish tasks as required and establish WLM thresholds to maintain them to address the particular software needs. Verify correctness based on testing after initial establishment. Verify continued correctness through daily assignments. Expectations will be met in accordance with the performance target timeframe.	<i>Respond to the customer agency with the corrective action within 1 business day or if the issue has critical impact, within 1 hour.</i>	98%
C19	Assist With Mainframe To Server Connection Issues	When necessary provide diagnostic assistance and resolve connectivity issues between other platforms	Connectivity issues will be resolved in accordance with the performance target timeframe.	<i>Problems with connectivity will be resolved within 1 business day.</i>	99%

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Systems Services Performance Measures					
C	Metric Objective/Service	Description of Service	Expected Result	Performance Target	Minimum Performance (%)
		and the mainframe for multi-tiered applications.		<i>Note: The resolution timeframe depends upon what needs to be changed and whether it relates to the production or test environment and therefore, can exceed the performance target timeframe at which time, the provider agency and customer agency will agree upon a specified timeframe as part of coordination for issue resolution.</i>	
C20	Provide Special Dataset Support Of DB2 Image Copies For Daily And Disaster Recovery Procedures	Create and maintain required dataset definitions to address FDOT's DB2 image copy requirements for disaster recovery. Ensure DASD to tape migrations occur at the proper time to ensure DASD is available for subsequent need for disaster recovery.	Verify correctness as image copy processes run. No issues go unresolved that prevents the successful execution of an image copy process. Notification of issues will occur in accordance with the performance target timeframe.	<i>FDOT's DB2 image copies have the space needed to complete without issue. The customer agency will be notified within 5 minutes of any issues concerning DB2 image copies.</i>	99%
C21	Define DB2 Database Storage For FDOT DBAT Controlled Database Object Allocation	Address requests defining specific Application needs for DB2 space for all environments. Ensure it is	Verify database datasets can be allocated as required. FDOT database administration will	<i>Provide DASD space for DB2 database storage within 3 business days of</i>	98%

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Systems Services Performance Measures					
C	Metric Objective/Service	Description of Service	Expected Result	Performance Target	Minimum Performance (%)
		properly defined to allow/restrict specified database dataset allocation.	document acceptance. Expectations will be met in accordance with the performance target timeframe.	<i>request.</i> <i>The customer agency will be notified immediately of any issues concerning DASD storage unavailability with corrective action within 1 business day.</i>	
C22	Produce Schedule IV-C Performance Management Reports	Develop mainframe utilization reports as required by the Schedule IVC process. Ensure breakout of reports adheres to the IT Services Catalog strategic and non-strategic service categories for the upcoming year.	FDOT application staff verifies correctness when folded into overall reporting. Expectations will be met in accordance with the performance target timeframe.	<i>Provide reports in the format required in the timeframe requested by the customer agency.</i> <i>Corrective actions acknowledged within 1 hour and resolved within 1 business day.</i>	99%
C23 FDOT ONLY	Assist With The Administration And Management Of The Barr Systems Remote Job Entry (RJE) Software	Assist with the administration and management of RJE software used to support spooled print output in the FDOT Districts, State Materials Office, Burns Data Center and Rhyme Building. This includes software configuration on the servers, licensing, maintenance, upgrades and technical support related to	FDOT customers verify that RJE printer is working and spooled print output is received as requested. Customer satisfaction in that technical assistance is provided in accordance with the performance target timeframe.	<i>Respond to technical support requests for non-critical issues such as planned upgrades, maintenance, etc., within 1 business day of the request. Issue resolution should occur as scheduled.</i> <i>Respond to technical support requests for critical issues or</i>	99%

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Systems Services Performance Measures					
C	Metric Objective/Service	Description of Service	Expected Result	Performance Target	Minimum Performance (%)
		RJE printing.		<i>emergencies immediately.</i> <i>If resolution cannot be achieved in accordance to the performance target, the customer agency will be notified within 5 minutes.</i>	

Agency Amendment for Right to Audit Florida Department of Transportation (FDOT)

Provider shall permit onsite visits by State and Department authorized employees, officers and agents to conduct audits to ensure compliance with Section 20.055, Florida Statutes. Provider shall grant access to all records pertaining to the contract including access to all computers, communications devices and any other equipment used to store, monitor, produce, or transmit such records at any premises, whether onsite or offsite.

Provider shall maintain all records and other evidence of the Provider, and any or all subcontractors, to support the costs incurred, and compensation received, directly or indirectly, by the Provider. The Department or its designated representatives, shall have the right to audit, copy, inspect said records and accounts at all reasonable times during the performance of this agreement and the retention period of three years after the cancellation, termination, or final payment, or until the conclusion of any claim, litigation, settlement or appeal; or for such longer period, if any, required by applicable law or regulation, whichever date is latest.

Acceptance of funds under this Agreement by Provider acts as acceptance of the authority of the Auditor General's Office, the Department of Financial Services and any successor or federal governmental authorities to conduct audits and investigations in connection with those funds. The Auditor General's Office, the Department of Financial Services and any federal governmental authority shall at any time have access to and rights to examine, audit, excerpt and transcribe any pertinent books, documents, working papers and records of Provider relating to this Agreement, notwithstanding any other provision of this Agreement. Provider shall fully cooperate with and provide all assistance requested by the Auditor General's Office and the Department of Financial Services and any successor or federal governmental authorities in the conduct of such audits or investigations, including providing all records requested.

Agency Addendum for IBM Mainframe Incident Process Florida Department of Transportation (FDOT)

IBM Mainframe Incident Process

- All mainframe incidents are to be initially reported to the Agency's Service Desk.
- The Agency Service Desk Technician/Analyst will enter a work order/incident ticket into the Agency's service desk application and provide the customer/affected user with a work order/incident ticket number.
- The Agency Service Desk Technician/Analyst will perform an initial investigation, which may involve contacting the agency's applications programmer(s) to verify if there is a known issue related to the incident.
- Incidents appearing to be application-related issues will be routed to the applications programmer(s) first. If it is determined that the incident is a mainframe-related issue, then the incident will be transferred back to the Agency's Service Desk so that a case can be opened with the SSRC Service Desk.
- Mainframe-related incidents are reported to the SSRC Service Desk via telephone (850-487-1746) or email (help@ssrc.myflorida.com) with pertinent information including: Agency, Agency Service Desk Technician/Analyst's name along with the customer's/affected user's name, E-mail address, location, telephone number, description of the issue, and the Agency's internal service desk work order/incident ticket number associated with the incident. The SSRC Service Desk Technician will input the information into a SSRC incident and provide to the Agency Service Desk Technician/Analyst the incident number. A follow-up automated SSRC E-mail notification will be sent to the Agency's Service Desk. The incident number is re-assigned to IBM-Mainframe-Support. The Agency Service Desk Technician/Analyst will document the information into the Agency's internal service desk work order/incident ticket.
- If the issue is not resolved within one business day, the SSRC Services Desk will contact the Agency Service Desk with a status update. Daily updates will be maintained and sent to the Agency Service Desk until the issue is resolved or transferred back to the Agency.
- When the issue is resolved with confirmation from the affected user, the SSRC Systems Programming Technician will notify the SSRC Services Desk that the issue is resolved.
- Within one business day of issue resolution, an SSRC Services Desk Technician will follow-up with the Agency Service Desk to confirm that the issue is resolved. The Agency Service Desk will contact the user to confirm that the issue is resolved and request permission to close the incident ticket at which point both the Agency Service Desk and the SSRC Services Desk will

Agency Addendum for IBM Mainframe Incident Process Florida Department of Transportation (FDOT)

close their respective work order/incident ticket and case. If it is confirmed that the issue is not resolved, the Agency Service Desk Technician will notify the SSRC Service Desk Technician and/or Systems Programming Technician. The Agency Service Desk Technician/Analyst will continue to monitor and follow-up until the issue is satisfactorily resolved.

- An automated SSRC notification is sent to the Agency Service Desk after closure of the incident.

Agency Attachment for SSRC Rate Schedule Florida Department of Transportation (FDOT)

Attachment B SSRC Rate Schedule as of 06/17/09 for Cycle 3 fiscal year 2009-2010.



STATE OF FLORIDA SOUTHWOOD SHARED RESOURCE CENTER

UPDATED FY 2010 FORECASTED RATE SUMMARY FOR EXTERNAL CUSTOMERS (CYCLE 3) **(WITH SRC RAISED FLOOR BUILDOUT AND NO E-MAIL / SPAM FILTERING)**

06/17/09

Service	FY 2010 (07/01/09-06/30/10)			FY 2009 Published Rates	Billable Unit
	(A) External Cust. Forecasted Cost of Svc	(B) External Cust. Forecasted Billable Util	Forecasted Cost-Based Rates (A / B)		
LABOR SERVICES					
Labor Services - Graphics Staff	\$179,356	2,912	\$61.59	\$62.83	/Labor Hour
Labor Services Subtotal	\$179,356				
DATA CENTER MANAGEMENT					
<i>Data Center Hosting:</i>					
Additional Electrical Circuit	\$191,153	6,612	\$28.91	\$40.00	/Circuit/Mo
SRC Floor Tiles	\$979,769	8,100	\$120.96	\$97.00	/Tile/Mo
Offsite Tape Storage	No external customers; costs allocated to benefiting services.			Actual Cost	/Tape/Mo
SRC Tape Vault	\$26,250	504	\$52.08	\$52.74	/20-Slots/Mo
SRC Rack Mounts	\$189,470	3,980	\$47.61	\$35.00	/1u/Mo
<i>Print Services:</i>					
Print	\$566,232	28,285,309	\$0.02	\$0.04	/Impression
-	-	-	-	-	-
<i>Communications:</i>					
Communications Ports	\$544,076	5,712	\$95.25	New in FY 10	/Port/Month

Agency Attachment for SSRC Rate Schedule Florida Department of Transportation (FDOT)

Data Center Management Subtotal	\$2,496,950				
MAINFRAME SERVICES					
<i>Processing (z9 BC Model S07 2096-V04 speed):</i>					
Batch Processing	\$1,466,948	2,950	\$497.27	Negotiated Amt	/CPU Hour
CICS Processing	\$1,229,085	575	\$2,137.54		/CPU Hour
DB2 Processing Surcharge	\$1,857,840	3,189	\$582.58		/CPU Hour
Middleware Processing	\$613,598	509	\$1,205.50		/CPU Hour
TSO Processing	\$172,399	317	\$543.85		/CPU Hour
<i>Blended CPU Rate</i>			\$708.21		
<i>Mainframe Managed Storage:</i>					
Mainframe Unmirrored Disk Stor (Tier 1)	\$171,962	1,019,629	\$0.1687	Negotiated Amt	/Gigabyte/Day
Mainframe Mirrored Disk Stor (Tier 1)	Rate is double unmirrored rate		\$0.3373	Negotiated Amt	/Gigabyte/Day
IBM Tape Cartridges	\$92,112	5,887,048	\$0.02	Negotiated Amt	/Tape/Day
IBM Backup Service	Built into Mainframe CPU Rates			Not Applicable	Not Applicable
<i>Mainframe Managed Services:</i>					
IBM Print Management	\$129,639	993,702	\$0.130	Negotiated Amt	/1K Print Lines Built
UNISYS Managed Service	\$308,831	Not Applicable		Negotiated Amt	Not Applicable
Mainframe Services Subtotal	\$6,042,414				
OPEN SYSTEMS PLATFORM					
<i>Open Systems Application Hosting:</i>					
Managed Server-UNIX	\$504,175	528	\$954.88	\$1,320.93	/UNIX-Unit/Mo
Managed Server-UNIX EOSL Surchr	\$0	To be det.		20%	% Surcharge
Managed Server-Oracle Prem	\$892,860	456	\$1,958.03	\$1,423.82	/Resrc-Unit/Mo
<i>Open Systems Web Site Hosting:</i>					
Network Services (Bronze/Silver/Gold/Plat.)	\$225,717	3,600	\$62.70	\$50/75/100/150 Site/Mo	/Web-Unit/Mo

Agency Attachment for SSRC Rate Schedule Florida Department of Transportation (FDOT)

<i>Open Systems Managed Services:</i>					
EDI Translation	\$223,250	19,692,384	\$0.01	\$0.19 / \$0.08	/Kilobyte Transf
Open Systems Platform Subtotal	\$1,846,002				
STORAGE MANAGEMENT					
<i>Backup Services:</i>					
Backup Service	\$827,580	3,400,606	\$0.1747	\$0.1900	/Gigabyte/Day
<i>Distrib Platform Managed Disk Storage:</i>					
Distributed Unmirrored Disk Stor (Tier 1)	\$13,228	51,840	\$0.1595	\$0.2225	/Gigabyte/Day
Distributed Unmirrored Disk Stor (Tier 2)	\$30,118	164,409	\$0.1105	\$0.1305	/Gigabyte/Day
Distributed Unmirrored Disk Stor (Tier 3)	\$150,352	1,656,028	\$0.0566	\$0.0894	/Gigabyte/Day
Distributed Mirrored Disk Stor (Tier 1)	Rate is double unmirrored rate		\$0.3190	\$0.4451	/Gigabyte/Day
Distributed Mirrored Disk Stor (Tier 2)	Rate is double unmirrored rate		\$0.2209	\$0.2610	/Gigabyte/Day
Distributed Mirrored Disk Stor (Tier 3)	Rate is double unmirrored rate		\$0.1132	\$0.1789	/Gigabyte/Day
Storage Management Subtotal	\$1,021,278				
WINDOWS PLATFORM					
<i>Hosted Windows Services:</i>					
Managed Windows Server	\$487,528	240	\$1,265.78	\$1,100.00	/Server-Unit/Mo
Windows Platform Subtotal	\$487,528				
OTHER COSTS					
Pass-Through (AWI)	\$719,171	Not Applicable	Not Applicable	Not Applicable	Not Applicable
Pass-Through (DMS)	\$0	Not Applicable	Not Applicable	Not Applicable	Not Applicable
Pass-Through (DOE)	\$0	Not Applicable	Not Applicable	Not Applicable	Not Applicable
Pass-Through (DOT)	\$2,013,221	Not Applicable	Not Applicable	Not Applicable	Not Applicable
Pass-Through (FWC)	\$0	Not Applicable	Not Applicable	Not Applicable	Not Applicable

Agency Attachment for SSRC Rate Schedule Florida Department of Transportation (FDOT)

Pass-Through (HSMV)	\$494,857	Not Applicable	Not Applicable	Not Applicable	Not Applicable
Pass-Through (SPURS)	\$7,936	Not Applicable	Not Applicable	Not Applicable	Not Applicable
Pass-Through (SUNCOM)	\$0	Not Applicable	Not Applicable	Not Applicable	Not Applicable
Unallocable	\$45,910	Not Applicable	Not Applicable	Not Applicable	Not Applicable
Other Costs Subtotal	\$3,281,095				
TOTAL	\$15,354,623				

Storage and Back-up rates have been modified to reflect the halfway point between cycle 2 and cycle 3 rates.
Managed Server rate was reduced due to increased utilization from email

Agency Attachment for COOP Instructions Florida Department of Transportation (FDOT)

The Customer's COOP is being revised for this consolidation. The Customer will provide instructions upon the completion of this revision.



Southwood Shared Resource Center

STANDARD SERVICE LEVEL AGREEMENT

Between the

Department of Transportation

And

The Southwood Shared Resource Center

Backup Services

SLA Term

Fiscal Year 2010 – 2011

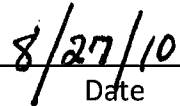
Service Level Agreement: Backup Services

Signature Authorization

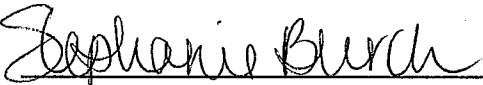
Department of Transportation:



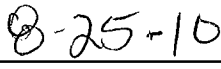
Nelson Hill, Chief Information Officer
Department of Transportation



Date



Additional Customer Signature (Name, Title)
Assistant General Counsel

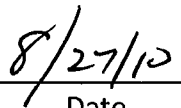


Date

Southwood Shared Resource Center:



John M. Wade, Executive Director
Southwood Shared Resource Center



Date

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Glossary of Terms

TERM	DEFINITION
Agency for Enterprise Information Technology (AEIT)	A State of Florida agency charged with developing strategies for the design, delivery, and management of enterprise information technology services; monitoring delivery and management of those services; and establishing rules and policies for managing those services.
Addendum	Subsequent amendments to this Service Level Agreement (SLA) which formalize additional Customer specific requirements. Section 6 will be revised to reference any Addendums. Organizationally, Addendums shall immediately follow the base SLA and Amendments.
Amendment	Formal statement of any changes made to the initial Southwood Shared Resource Center (SSRC) SLA. Customer specific requirements which have been identified as Amendments are referenced in Section 6. Organizationally, Amendments shall immediately follow the base SLA.
Attachment	Additional information provided to clarify or assist Provider in meeting terms of this SLA. Section 6 will be revised to reference any Attachments. Organizationally, Attachments shall immediately follow the base SLA, Amendments, and Addendums.
Business Day	The SSRC business hours are 8:00 am EST Monday through 6:00 pm EST Friday, excluding State holidays.
Change Control Board	A board that meets regularly to review all desired and planned IT platform changes to evaluate impact to Customers and ensure proper Customer communication.
Customer	Customer shall mean those "state parties" or "parties" as defined in Chapter 282 and Section 216.011(1)(gg), Florida Statutes and those "public bodies" or "political subdivisions" as defined in Section 1.01(8) and Section 252.34(8), Florida Statutes.
Incident	Any request for service through the SSRC Services Desk – includes general service inquiries, incident reports, invoice discrepancy reviews, etc.
Parties	Authorized representative of both the Provider and the Customer.

TERM	DEFINITION
Professional Services	Additional technical services not included in a Service Level Agreement. These services include, but are not limited to, Information Technology (IT) consulting, research, strategic planning, architectural design, implementation, migration assistance, security response, and issue resolution.
Provider	The Southwood Shared Resource Center (SSRC).
Service Change	A service change is defined as any change to hardware, software, network environment, etc., which directly affects the production environment within the SSRC. All service changes must be reviewed by the Change Control Board.
Service Change – Emergency	An Emergency Service Change is one that must be made in less than 24 hours.
Service Change Management	Change Control procedures necessary to affect a service change, ensuring communication with Customers and the least amount of risk for service disruption.
Service Level Agreement (SLA)	A formal agreement pursuant to Section 282.203, Florida Statutes, entered into by the Provider and the Customer that outlines the description of a service(s), the service level targets, costs, and the Provider and Customer responsibilities in delivering and receiving service(s) from the Provider.
Service Response	Provider action taken in response to a Customer's Incident.
Southwood Shared Resource Center (SSRC)	A full-service, information-processing facility offering hardware, software, operations, networking, and co-location services. Also referred to as the Southwood Data Center.
SSRC Services Desk	The Customer's first point of contact for service requests, problem resolution, invoicing discrepancies and other service related issues.
SSRC Board of Trustees	Board created by Section 282.203, Florida Statutes and appointed by the agency head or chief executive officer of the representative Customer entities.

TERM	DEFINITION
Standard Platform Managed Services	Standard Platform Services are services identified in the SSRC Services Catalog that provide infrastructure related support services to SSRC customers on the following platforms: Windows, Storage, Mainframe, Network, and Open Systems. These services typically include system monitoring, support services, hardware refresh, and license costs into a single utilization-based rate to recover the cost of common services performed.

1 Statement of Purpose

This Service Level Agreement (SLA) formalizes the service terms between the Customer and the Provider, including the scope and definition of technical services, responsibilities for operational areas, service level targets, and the pricing for services delivered. This SLA also communicates the commitment of the Provider to furnish quality and prompt service to the Customer in a manner that contributes to the successful accomplishment of the Customer's mission.

In the event that any other entity assumes the present responsibilities of the Provider (e.g., through legislative enactment, contractual agreement, etc.), these services shall be provided under these same terms by the successor, under the provisions of the SLA, to the extent allowed under Florida law.

2 Legal Authority

Florida law requires the finalization of a SLA between the Southwood Shared Resource Center and each customer entity for each service provided by the SSRC pursuant to Section 282.203(1)(g) and Section 282.203(3)(e)2., Florida Statutes. Under certain circumstances, the failure of a customer entity to execute an agreement may result in the SSRC ceasing services pursuant to Section 282.203(1)(g)3., Florida Statutes.

This SLA is entered into by and between the Southwood Shared Resource Center (herein referred to as "Provider") and the Department of Transportation (herein referred to as "Customer"), pursuant to Section 282.203(1)(g), Florida Statutes.

All matters, whether sounding in tort or contract, relating to the validity, construction, interpretation, performance and enforcement of this Agreement shall be determined by the laws of the State of Florida.

Any amendment to this SLA, as agreed to by the Customer and Provider, shall take precedence over any conflicting provision in this SLA without invalidating the remaining provisions of this Agreement. Customer specific requirements which have been identified as amendments are referenced in Section 6.

Any provision or amendment of this Agreement in violation of the laws of the State of Florida shall be ineffective to the extent of such violation, without invalidating the remaining provisions of this agreement.

Any provision or amendment of this Agreement in violation of rules adopted by the Agency for Enterprise Information Technology (AEIT) pursuant to Section 282.201, Florida Statutes shall be ineffective to the extent of such violation, without invalidating the remaining provisions of this agreement.

No delay or omission to exercise any right, power or remedy accruing to either party upon breach or default by either party under this agreement, shall impair any such right, power or remedy of either party; nor shall such delay or omission be construed as a waiver of any such breach or default, or any similar breach or default thereafter occurring; nor shall any waiver of single breach or default be deemed a waiver of any subsequent breach or default. All waivers must be in writing.

This Agreement shall bind the successors, assigns and legal representatives of the Provider and of any legal entity that succeeds to the obligations of the Customer.

This Agreement shall be unilaterally canceled by the Customer for refusal to allow public access to all documents, papers, letters or other material subject to the provisions of Chapter 119, Florida Statutes, and made or received in conjunction with the Agreement.

In accordance with Section 287.134, Florida Statutes, the Provider will not enter in to a contract with an entity or affiliate who has: been placed on the discriminatory vendor list; may not submit a bid, proposal, or reply on a contract to provide any goods or services to a public entity; may not submit a bid, proposal, or reply on a contract with a public entity for the construction or repair of a public building or public work; may not submit bids, proposals, or replies on leases of real property to a public entity; may not be awarded or perform work as a contractor, supplier, subcontractor, or consultant under a contract with any public entity; and may not transact business with any public entity.

Employment by the Provider of any individual or contractor who is an unauthorized alien(s) is a violation of section 274(e) of the Federal Immigration and Nationality Act. Such violation shall be cause for unilateral cancellation of this Agreement.

3 Roles and Responsibilities

General roles and responsibilities are defined below. Additional roles and responsibilities may be outlined in the Product/Service Description section:

Service Responsibilities	Customer	Provider
Utilize the Provider’s Services Desk for all Provider service needs or service related inquiries	C	

Service Responsibilities	Customer	Provider
Provide and maintain a single Customer contact for service notifications (client-side distribution list recommended)	C	
Provide and maintain a single Customer contact for billing related issues (client-side distribution list recommended)	C	
Provide and maintain a single Customer contact for technical issues (client-side distribution list recommended)	C	
Provide timely notification of changes to the Provider's Change Control Board	C	
Provide prompt payment for services rendered	C	
Provide and maintain a list of Change Control Board Members		P
Shall adhere to the data center duties related to primary data centers as outlined in Chapter 282.203, Florida Statutes		P
Provide timely notification of any service changes		P
Provide timely notification of any planned outages		P

Customer Contacts	
Service Notifications Contact / Distribution List	FDOT-SSRC.ServiceNotification@dot.state.fl.us
Billing Related Issues Contact / Distribution List	FDOT-SSRC.BillingIssues@dot.state.fl.us
Technical Contact / Distribution List	FDOT-SSRC.technicalissues@dot.state.fl.us

4 Term and Renewal Conditions

SLAs may not have a term exceeding three (3) years but may include an option to renew for up to three (3) years, contingent on approval by the SSRC Board of Trustees. SLAs will be defined and approved by the Board in compliance with rules of the Agency for Enterprise Information Technology (AEIT). Terms and rates for services are reviewed periodically to assure cost-recovery and are subject to change by the SSRC Board of Trustees.

5 Transfer of Computing Services

Pursuant to Section 282.203(1)(g), Florida Statutes, the transfer of computing services between primary data center facilities without at least 180 days' notice of service cancellation is prohibited.

6 Product / Service Description

Backup services provide customers with an online system for backing up and storing computer files. This system uses a client base software program that runs on a schedule, typically once a day. The software collects, compresses, encrypts and transfers the data to a remote device such as disk, tape, CD or DVD.

Scope of Work

Backup services provide critical risk mitigation against the potential of hardware, system, or data integrity failure with Off-site storage of backup data. The Provider is responsible for ensuring all hardware and software is in proper working order. Backup failures as the result of

network or server configuration changes will not be the responsibility of the Provider. Backup services are charged a standard gigabyte per day rate based on storage allocation or gigabyte per day based on a full backup.

The following amendments are reviewed and approved and constitute additional customer requirements:

Amendment A - Agency Amendment for Right to Audit

Additionally, the Provider has provided the following attachments for this SLA:

Attachment A - Attachment for SSRC Order Document

Service Description – Backup

The Backup Service provides a daily backup of data for all contracted devices. It allows for full weekly backups with daily backups taken on an incremental basis. The backup service includes an archive of the monthly full backups for a three year period. Backup services are typically performed in a Disk-to-Disk-to-Tape (DDT) process configuration. Backups are cloned to tape for off-site storage daily to reduce the risk associated with data loss in the event something happens to the facility or hardware. The Provider has implemented and maintains high quality backup software for managing the backup process and reporting on issues encountered. Restoration of data due to hardware, system, or data integrity failure is managed by the same backup software to ensure a successful restore to allow a back-to-business result.

Backup Archives

Archiving is the process of storing backed up data on tape for an extended period of time. The archive process is fully managed such that data is retained on tape, with a copy being stored at an off-site facility.

Standard Backup and Retention Definition

Standard backup processing consists of disk-to-disk-to-tape processing on a daily basis. Incremental backups (changed data only) are taken 6 days per week, and full backups are taken one day per week. Data is stored in an on-line/near-line (disk/tape) location until policy retention expires. Standard backups will be maintained for a six (6) week period. Backup data is cloned to tape for on-site and off-site storage. Retention associated with the 6-week backup schedule allows backup space to be recycled.

This service includes the archival of three (3) years of monthly full backups to be stored at an off-site location. Upon customer request LTO tapes can be provided for non-scheduled customer storage for additional fees.

Alternative backup and retention schedules are available to meet specific customer requirements for an additional charge.

The standard backup schedule and retention are as follows:

Backup Schedule	
Type	Frequency
Incremental Backup	Daily
Full Backup	Weekly
Full Backup for Archive	Monthly

Retention Schedule	
Type	Frequency
Tape	1 Monthly Full Backups 6 Full Weekly Backups
Archives	36 Monthly Full Backups (Monthly's maintained for 3 Years)

When new services are developed for backup and data restoration, the Provider will review the new services with the Customer. This agreement will be amended for any and all agreed upon changes.

Roles and Responsibilities

Roles and responsibilities only relate to those services offered by the Provider and resources owned and/or managed by the Provider. Roles and Responsibilities do not relate to services that are performed by Customer’s internal information technology (IT) section or other designated staff on Customer property.

Specific Roles and Responsibilities are defined in the following responsibility matrix. Each responsibility associated with the service is described and the owner of the responsibility is included. A “P” indicates Primary ownership of the responsibility; an “A” indicates an Assist responsibility.

Service Responsibilities	Customer	Provider
Identify any special backup schedule or retention periods which differ from SLA	P	A
Perform application testing following Provider patch services	A	P
Maintain Server/Service security; Comply with FL Administrative Code 60DD-2 with respect to server, network and service security		P
Monitor Services 24 hours a day x 7 days a week		P
Perform nightly backups and Monthly archive backups		P
Ensure all backup processes are accurately and timely completed		P
Inform Customers of scheduled change activity		P

Inform Customers of incidents or issues		P
---	--	---

Performance Measures

The Backup Server will be available at least 99.5% of scheduled availability and provide 95.0% success rate on production data set backups. The table listed in Section 15 shows the scheduled availability and maintenance windows for potential non-availability.

Calculation Methodologies:

Service availability:

$$\frac{\text{Scheduled availability (Minutes)} - \text{Reported out-of-service time (Minutes)}}{\text{Scheduled Availability}}$$

Out-of-service:

Defined as the time from receipt of problem notification involving the service outage of a production system, until the time of resolution as reported by the Provider (Days/Hours/Minutes).

Successful backup rate:

$$\frac{\text{Number of successful data set backups}}{\text{Number of attempted data set backups}}$$

Measurement Period: Monthly

Tracking Mechanisms:

The Provider will use industry standard system monitoring tools and techniques to monitor availability, and alert staff of system outages.

System Availability Monitoring

System availability is monitored on an on-going basis, with immediate alerts sent to support staff when systems are detected as being down.

Rate Information

All Provider current rate information is available on the Provider's web site located at the following URL: http://ssrc.myflorida.com/price_sheet.html Requests for services beyond those outlined may be billed to the Customer at the listed Professional Services Rate.

7 Customer Funding Source

Acceptance of this SLA certifies the Customer has secured appropriate funding to cover costs associated with service. Customer must have legal authority to incur costs and enter into agreement. The State of Florida's performance and obligation to pay under this contract is contingent upon an annual appropriation by the Legislature.

8 Billing Methodology

Costs will be recovered by the Provider in accordance with a federally approved state cost rate proposal, based on the requirements of Attachment E to Federal OMB Circular No. A-87. When combined, direct and indirect service charges constitute the total cost to the Customer for the service provided.

The Provider will invoice Customer monthly for services provided the preceding month based on utilization. Invoicing will begin in the first applicable billing cycle following delivery, installation, and implementation of the service. The Customer agrees to pay Provider for these services according to the current published Provider rates specified on Provider's website.

If for any reason an amount invoiced by the Provider to the Customer is shown to be incorrect, the appropriate credits and/or charges will be included on a subsequent invoice.

The Customer agrees to pay for services provided within forty (40) calendar days of invoice receipt. Invoice amounts in question by the Customer may be placed in dispute and handled according to the process outlined in the Escalation Process. The Customer may withhold payment only on the disputed portion of the invoice until the issue is resolved by the Provider and the Customer, or through mediation. The Customer will have fifteen (15) calendar days after receipt of an invoice to file a written dispute of any charges with the Provider. If a written dispute is not filed with the Provider within fifteen (15) calendar days, the Customer will be held responsible for payment of the amount invoiced for services provided. The Customer acknowledges it is in the best interest of the State for the Provider to meet its financial obligations to the entities with which the Provider has contracted to provide these services, and that prompt Customer payment of undisputed amounts on Provider invoices is a necessary component of satisfying these obligations. Therefore, failure to pay undisputed amounts promptly may subject the Customer to any necessary remedial actions and limitation or discontinuation of services.

Invoice corrections that span fiscal years will be handled as a service fee credit if the Provider is overpaid and a debit for service fees if the Provider is underpaid for services. Invoicing errors discovered after the fifteen (15) day written dispute window, will be handled as service fee credits or debits consistent with the process for handling errors which span fiscal years. The Customer cannot make deposits or pay for goods and/or services in advance unless approved under rules issued by the Florida Chief Financial Officer.

Any service provided stemming from agreements entered into with the Provider, shall be invoiced for specific cost of services and shall be submitted with sufficient detail for a proper pre-audit and post-audit.

Invoice payments will be made via journal transfer (JT) or electronic funds transfer (EFT) whenever possible. If unable to pay by JT or EFT, other arrangements can be made in advance.

All bills incurred by the Provider for any Customer related travel expenses shall be submitted and paid in accordance with the rates specified in Section 112.061, Florida Statutes, governing payments by the State for travel expenses. Travel expenses shall be pre-approved by the Customer and Provider prior to travel. All State of Florida travel forms and receipts must be submitted as supporting documentation prior to travel being paid by the Customer.

All Parties recognize that the State of Florida, by virtue of its sovereignty, is not required to pay taxes on the services and/or goods or equipment purchases as an incident to such service.

9 Service Rate Adjustment Process

The Provider uses a double step-down cost accounting process in accordance with a federally approved state cost rate methodology, based on the requirements of Attachment E to Federal OMB Circular No. A87 to determine cost based rates on a periodic basis. Currently, this activity occurs annually.

10 Service Termination

All SLAs have a contract term of up to three (3) years, but may include an option to renew for up to three (3) additional years contingent on approval by the board, and require at least a 180-day notice of service cancellation.

In the event the Customer wishes to terminate this or any SLA, the Customer shall notify the Provider's authorized representative via email at SSRC.ServiceCancellation@SSRC.MyFlorida.com and AEIT in writing with at least 180-day notice of service cancellation. The Provider will continue to invoice the Customer until the effective service termination date.

A service may be terminated by either party for cause only after giving the other party and the AEIT notice in writing of the cause for termination and an opportunity for the other party to resolve the identified cause within an agreed upon time frame by both parties.

11 Dispute Mediation

In the event that disputes or performance issues arise; the Customer will document and deliver the specific issues to the Provider's SLA Coordinator. The Provider will be given an opportunity to address and resolve the issue(s). Likewise, issues associated with the Customer's performance under this agreement shall be documented by the Provider and transmitted to the Customer's SLA Coordinator. Issues(s) will be addressed and resolved in an agreed upon time frame by both parties. If informal resolution between the parties is unsuccessful, the parties

will proceed to mediation according to s.120.573 F.S. The parties will designate a mutually acceptable mediator who shall be certified by the Florida Supreme Court and each party will share equally in the cost of mediation.

12 Escalation Process

If a dispute or issue is not resolved between the Customer's SLA Coordinator and Provider's Platform Manager, the dispute or issue will be escalated through the escalation levels in the table below.

Level	Customer	Provider
1	SLA Coordinator	SLA Coordinator
2	Customer's Authorized Representative	Platform Manager / Chief, Enterprise Planning & Management
3	CIO	Executive Director
4	Agency/Deputy Head/CIO	Chairperson, SSRC Board of Trustees
5	Division of Administrative Hearings (pursuant to s. 120.573, Florida Statutes)	

13 Performance Penalties

Services are provided solely on a cost recovery basis. Any costs associated with established penalties would have to be recovered through rates charged for services. Federal OMB Circular No. A-87 requires equitable cost allocation for services to customer agencies. For these reasons the Provider cannot establish direct or indirect financial penalties for not meeting service level targets. However, the Provider may report to the Board of Trustees their success in meeting service levels for each service provided, and identify and speak to any shortfalls.

The Provider is committed to meet or exceed service level targets specified in the SLAs and will conduct performance reviews when requested with the Customer to assess the level of service provided. Additionally, the Provider's employee performance standards and reviews will include appropriate individual accountability for the applicable service level metrics.

14 Change Control Process Adherence

The Provider has internal change management procedures for reducing the risk of impact to the Customer when changes to production environments occur, and providing an appropriate level of communication to the Customer. The Provider's Change Control Board meets regularly to review all changes impacting services prior to any changes being implemented. Change Control Procedures exist for all scheduled and emergency changes associated with services covered by this Agreement. Advance notification of changes is required and sufficient time for the review and approval process to take place is necessary. The Customer will provide contact information for change control notification, scheduled change activities, and emergency change approval. It is the responsibility of the Customer to notify Provider, through the SSRC Services Desk, that a change (as communicated) may impact or disrupt Customer business functions.

Provider change management documentation and procedures are available for download at the following location: http://ssrc.myflorida.com/client_services.html

15 Standard Maintenance Window

The standard maintenance window for all Provider platforms, unless otherwise noted within Section 6 Product / Service Definition, is as follows:

Name	Time	Characteristics
<i>Service Availability Window (Scheduled Availability)</i>	Business Days: 7:00AM – 5:59PM (EST)	This is scheduled availability. Production services will not be purposefully brought down or impacted without an emergency service change request.
<i>Normal IT Maintenance Window</i>	Business Days: 6:00PM – 6:59AM (EST) Saturday and Sunday: All day	Normal maintenance, changes, and system enhancements will be performed during this time. Notification of expected down time between 7:00AM and 5:59PM on non-Business Days (weekends and holidays) will be provided to Customers. Routine facility maintenance and testing.
<i>Normal Backup Maintenance Window</i>	Business Days: 8:00AM – 5:00PM (EST)	Normal maintenance, changes and system enhancements will be performed during this time.
<i>DMS SUNCOM Network Maintenance Window</i>	Monday 12:30AM – 7:00AM (EST)	Normal network maintenance, changes and system enhancements will be performed by DMS SUNCOM during this time.

16 IT Security Management

To ensure the integrity of the State of Florida network and all associated information technology systems, pursuant to Rule 60DD-2, Florida Administrative Code, and any subsequent amendments, the Provider requires the Customer to cooperate with the Office of Information Security (OIS) within the Agency for Enterprise Information Technology (AEIT). Provider is responsible for security of all Provider services outlined within this document. The SSRC acknowledges its duty to maintain security of data and information technology resources pursuant to Section 282.318, Florida Statutes.

The Customer is the “custodian” of data/records transferred to the SSRC, especially for public records purposes, and as such the Customer determines data retention requirements, as well as access to these records/data pursuant to Florida law. The SSRC is designated to house these records pursuant to Sections 282.201-205, Florida Statutes, and as such the SSRC agrees to limit access to Customer’s data/records, other than those persons who require access as a part of their daily work activities, except as required under Chapter 119, the Florida Public Records Act or other applicable Florida or Federal law.

17 Security Incidents

Customer is responsible for application-level security, including, but not limited to, security patches for Customer managed applications. Application security should be done according to industry accepted best practices and include data validation to mitigate against risks of malicious activity.

Security incidents caused by Customer application, which require Provider assistance, will be billed at professional service rates. If the security incident is within the Provider responsibilities, Customer will not be billed. Provider will initiate internal Computer Security Incident Response Team (CSIRT) procedures as defined by Provider security policies.

18 Disaster Recovery

Disaster Recovery (DR) is not automatically a part of this service. The Provider offers DR services through a DR provider, which agencies can utilize to meet their statutory requirements for DR of their mission critical computing systems and applications. All DR services contracted through the SSRC must be specified in Section 6, and all associated costs will be the responsibility of the Customer.

19 Continuity of Operations Planning (COOP)

The SSRC is required to develop its own business continuity plan pursuant to Section 282.203(1)(f), Florida Statutes. All costs related to SSRC’s own COOP activities shall be paid by Provider.

Customers are responsible for their own COOP planning. It is the responsibility of the Customer to provide COOP instructions to the Provider regarding any Provider delivered service that may be affected by a Customer COOP activation. All Provider costs related to Customer COOP activities shall be billed at the Provider’s Professional Services rate plus any applicable travel and materials cost.

The Customer COOP shall provide detailed instructions specifying the Provider delivered actions/procedures to be performed. The Customer COOP instructions shall also include the Customer’s notification processes for COOP activation and subsequent resumption of normal operations. Drills of COOP activities must be coordinated at least four (4) months in advance with the Provider. Standard Professional Services rates (time, travel and materials) will apply.

20 Services Desk Operations

The Provider maintains a Services Desk which serves as the single point of contact for the Customer support needs. All requests for services including general service inquiries, incident reports and invoice discrepancy reviews, etc. should be placed through the Services Desk by contacting 850-487-1746 or 877-299-7772 (for calls outside of Tallahassee). Requests of lower severity can also be submitted to the Services Desk via email at help@ssrc.myflorida.com.

21 Services Desk Severity and Incident Escalation

All requests for service are assigned an incident number and a severity level, and prioritized using the following table, unless otherwise noted within Section 6 product/Service Definition, is as follows:

Severity	Defined Severity	Description	Maximum Response Times
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Severity	Defined Severity	Description	Maximum Response Times
1	Critical	The majority of Customers are experiencing a work stoppage of a mission critical function, application, platform, connection or environment that is interrupting the Customers' business.	2.5 Hour
2	High	A Customer is experiencing a partial failure of a supported function, application, platform, connection or environment that is disrupting the Customer's business.	5 Hours
3	Medium	A Customer is experiencing a reduced level of efficiency and performance of a supported function, application, platform, connection or environment that is impacting the Customer's business productivity.	2 Business Days
4	Low	A Customer has a functional (how-to) question they cannot answer through the SSRC Services Desk or wants to submit a request for installation or change of service in their technical or physical environment.	3.5 Business Days

The following table outlines the standard response time for escalation for each severity.

Group	Standard Tier Two Plus Response Times – By Severity			
	1 – Critical	2 – High	3 – Medium	4 – Low
Platform Support Group	1 Hour	2 Hours	8 Business Hours	3 Business Days
Automatic Escalation to Platform Management	30 Minutes	1 Hour	2 Business Hours	4 Business Hours
Automatic Escalation to Second Level Management	30 Minutes	1 Hour	2 Business Hours	N/A
Automatic Escalation to Upper Level Management and notification sent to the SSRC Executive Management	30 Minutes	1 Hour	4 Business Hours	N/A
Total Escalation Time	2.5 Hours	5 Hours	2 Business Days	3.5 Business Days

22 Hours of Operation

The Provider's Services Desk provides Customer support 24 hours per day, 7 days per week including holidays.

23 Document Change Management

It is mutually understood and agreed that any communications, promises, representations or agreements not included in writing in this agreement shall not be binding upon any party and that the agreement may not be altered, modified or otherwise changed at any time except with the written consent of each of the parties hereto.

The performance of additional work or additional expense incurred by the Provider beyond that expressly authorized in this SLA will not be accepted or approved for payment by the Customer unless previously authorized in a written amendment to the SLA signed by the Customer and the Provider.

This SLA will remain in effect unless amended or replaced with an updated version. To make modifications to this agreement, the Coordinators listed below must be contacted and modifications jointly approved by Customer's and Provider's representatives or their designees.

SLA Coordinators		
	Name	Phone
Customer SLA Coordinator	Molly Eichhoefer	(850) 414-4214
Provider SLA Coordinator	John A. Morden	(850) 488-5236

Agency Amendment for Right to Audit Florida Department of Transportation (FDOT)

Provider shall permit onsite visits by State and Department authorized employees, officers and agents to conduct audits to ensure compliance with Section 20.055, Florida Statutes. Provider shall grant access to all records pertaining to the contract including access to all computers, communications devices and any other equipment used to store, monitor, produce, or transmit such records at any premises, whether onsite or offsite.

Provider shall maintain all records and other evidence of the Provider, and any or all subcontractors, to support the costs incurred, and compensation received, directly or indirectly, by the Provider. The Department or its designated representatives, shall have the right to audit, copy, inspect said records and accounts at all reasonable times during the performance of this agreement and the retention period of three years after the cancellation, termination, or final payment, or until the conclusion of any claim, litigation, settlement or appeal; or for such longer period, if any, required by applicable law or regulation, whichever date is latest.

Acceptance of funds under this Agreement by Provider acts as acceptance of the authority of the Auditor General's Office, the Department of Financial Services and any successor or federal governmental authorities to conduct audits and investigations in connection with those funds. The Auditor General's Office, the Department of Financial Services and any federal governmental authority shall at any time have access to and rights to examine, audit, excerpt and transcribe any pertinent books, documents, working papers and records of Provider relating to this Agreement, notwithstanding any other provision of this Agreement. Provider shall fully cooperate with and provide all assistance requested by the Auditor General's Office and the Department of Financial Services and any successor or federal governmental authorities in the conduct of such audits or investigations, including providing all records requested.



Southwood Shared Resource Center
 2585 Shumard Oaks Blvd.
 Tallahassee, FL 32399-0950

**Quote/Order Document
 Attachment A**

Customer Name: *Department of Transportation*
 Agency ID: *DOT*
 Customer Location:

Date: 6/28/2010
 Prepared by: SSRC
 Phone Number:
 Fax Number:
 E-Mail:

Contact Name: Nelson Hill
 Contact Number:
 Contact Email:

For SSRC Use Only
Service Start Date:
Approved By:
Date:

Service Level Agreement Information

Agreement Title: DOT Managed SLA FY10-11
 SSRC Backup Service

This Order Document is placed in accordance with the agreement specified above ('Agreement Title').

Customer - Account	Description	FY Quantity	Unit Price	FY Costs
DOT	SSRC Backup Platform			
	<i>Storage</i>	0	variable	\$ -
	<i>Backup</i>	23360	\$ 0.1221	\$ 2,852.26
	Total			\$ 2,852.26

Total Service Costs: \$2,852.26

Comments: FY10-11 Cycle 5 pricing

Software and external services dedicated to the customer will be passed-thru as direct charges.



Southwood Shared Resource Center

STANDARD SERVICE LEVEL AGREEMENT

Between the

Department of Transportation

And

The Southwood Shared Resource Center

Co-location Services

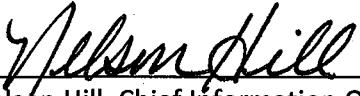
SLA Term

Fiscal Year 2010 – 2011

Service Level Agreement: Co-location Services

Signature Authorization


Department of Transportation:



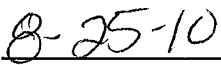
Nelson Hill, Chief Information Officer
Department of Transportation



Date




Additional Customer Signature (Name, Title)
Assistant General Counsel

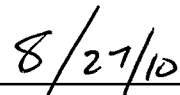


Date

Southwood Shared Resource Center:



John M. Wade, Executive Director
Southwood Shared Resource Center



Date

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Glossary of Terms

TERM	DEFINITION
Agency for Enterprise Information Technology (AEIT)	A State of Florida agency charged with developing strategies for the design, delivery, and management of enterprise information technology services; monitoring delivery and management of those services; and establishing rules and policies for managing those services.
Addendum	Subsequent amendments to this Service Level Agreement (SLA) which formalize additional Customer specific requirements. Section 6 will be revised to reference any Addendums. Organizationally, Addendums shall immediately follow the base SLA and Amendments.
Amendment	Formal statement of any changes made to the initial Southwood Shared Resource Center (SSRC) SLA. Customer specific requirements which have been identified as Amendments are referenced in Section 6. Organizationally, Amendments shall immediately follow the base SLA.
Attachment	Additional information provided to clarify or assist Provider in meeting terms of this SLA. Section 6 will be revised to reference any Attachments. Organizationally, Attachments shall immediately follow the base SLA, Amendments, and Addendums.
Business Day	The SSRC business hours are 8:00 am EST Monday through 6:00 pm EST Friday, excluding State holidays.
Change Control Board	A board that meets regularly to review all desired and planned IT platform changes to evaluate impact to Customers and ensure proper Customer communication.
Customer	Customer shall mean those "state parties" or "parties" as defined in Chapter 282 and Section 216.011(1)(gg), Florida Statutes and those "public bodies" or "political subdivisions" as defined in Section 1.01(8) and Section 252.34(8), Florida Statutes.
Incident	Any request for service through the SSRC Services Desk – includes general service inquiries, incident reports, invoice discrepancy reviews, etc.
Parties	Authorized representative of both the Provider and the Customer.

TERM	DEFINITION
Professional Services	Additional technical services not included in a Service Level Agreement. These services include, but are not limited to, Information Technology (IT) consulting, research, strategic planning, architectural design, implementation, migration assistance, security response, and issue resolution.
Provider	The Southwood Shared Resource Center (SSRC).
Service Change	A service change is defined as any change to hardware, software, network environment, etc., which directly affects the production environment within the SSRC. All service changes must be reviewed by the Change Control Board.
Service Change – Emergency	An Emergency Service Change is one that must be made in less than 24 hours.
Service Change Management	Change Control procedures necessary to affect a service change, ensuring communication with Customers and the least amount of risk for service disruption.
Service Level Agreement (SLA)	A formal agreement pursuant to Section 282.203, Florida Statutes, entered into by the Provider and the Customer that outlines the description of a service(s), the service level targets, costs, and the Provider and Customer responsibilities in delivering and receiving service(s) from the Provider.
Service Response	Provider action taken in response to a Customer's Incident.
Southwood Shared Resource Center (SSRC)	A full-service, information-processing facility offering hardware, software, operations, networking, and co-location services. Also referred to as the Southwood Data Center.
SSRC Services Desk	The Customer's first point of contact for service requests, problem resolution, invoicing discrepancies and other service related issues.
SSRC Board of Trustees	Board created by Section 282.203, Florida Statutes and appointed by the agency head or chief executive officer of the representative Customer entities.

TERM	DEFINITION
Standard Platform Managed Services	Standard Platform Services are services identified in the SSRC Services Catalog that provide infrastructure related support services to SSRC customers on the following platforms: Windows, Storage, Mainframe, Network, and Open Systems. These services typically include system monitoring, support services, hardware refresh, and license costs into a single utilization-based rate to recover the cost of common services performed.

1 Statement of Purpose

This Service Level Agreement (SLA) formalizes the service terms between the Customer and the Provider, including the scope and definition of technical services, responsibilities for operational areas, service level targets, and the pricing for services delivered. This SLA also communicates the commitment of the Provider to furnish quality and prompt service to the Customer in a manner that contributes to the successful accomplishment of the Customer’s mission.

In the event that any other entity assumes the present responsibilities of the Provider (e.g., through legislative enactment, contractual agreement, etc.), these services shall be provided under these same terms by the successor, under the provisions of the SLA, to the extent allowed under Florida law.

2 Legal Authority

Florida law requires the finalization of a SLA between the Southwood Shared Resource Center and each customer entity for each service provided by the SSRC pursuant to Section 282.203(1)(g) and Section 282.203(3)(e)2., Florida Statutes. Under certain circumstances, the failure of a customer entity to execute an agreement may result in the SSRC ceasing services pursuant to Section 282.203(1)(g)3., Florida Statutes.

This SLA is entered into by and between the Southwood Shared Resource Center (herein referred to as “Provider”) and the Department of Transportation (herein referred to as “Customer”), pursuant to Section 282.203(1)(g), Florida Statutes.

All matters, whether sounding in tort or contract, relating to the validity, construction, interpretation, performance and enforcement of this Agreement shall be determined by the laws of the State of Florida.

Any amendment to this SLA, as agreed to by the Customer and Provider, shall take precedence over any conflicting provision in this SLA without invalidating the remaining provisions of this Agreement. Customer specific requirements which have been identified as amendments are referenced in Section 6.

Any provision or amendment of this Agreement in violation of the laws of the State of Florida shall be ineffective to the extent of such violation, without invalidating the remaining provisions of this agreement.

Any provision or amendment of this Agreement in violation of rules adopted by the Agency for Enterprise Information Technology (AEIT) pursuant to Section 282.201, Florida Statutes shall be ineffective to the extent of such violation, without invalidating the remaining provisions of this agreement.

No delay or omission to exercise any right, power or remedy accruing to either party upon breach or default by either party under this agreement, shall impair any such right, power or remedy of either party; nor shall such delay or omission be construed as a waiver of any such breach of default, or any similar breach or default thereafter occurring; nor shall any waiver of single breach or default be deemed a waiver of any subsequent breach or default. All waivers must be in writing.

This Agreement shall bind the successors, assigns and legal representatives of the Provider and of any legal entity that succeeds to the obligations of the Customer.

This Agreement shall be unilaterally canceled by the Customer for refusal to allow public access to all documents, papers, letters or other material subject to the provisions of Chapter 119, Florida Statutes, and made or received in conjunction with the Agreement.

In accordance with Section 287.134, Florida Statutes, the Provider will not enter in to a contract with an entity or affiliate who has: been placed on the discriminatory vendor list; may not submit a bid, proposal, or reply on a contract to provide any goods or services to a public entity; may not submit a bid, proposal, or reply on a contract with a public entity for the construction or repair of a public building or public work; may not submit bids, proposals, or replies on leases of real property to a public entity; may not be awarded or perform work as a contractor, supplier, subcontractor, or consultant under a contract with any public entity; and may not transact business with any public entity.

Employment by the Provider of any individual or contractor who is an unauthorized alien(s) is a violation of section 274(e) of the Federal Immigration and Nationality Act. Such violation shall be cause for unilateral cancellation of this Agreement.

3 Roles and Responsibilities

General roles and responsibilities are defined below. Additional roles and responsibilities may be outlined in the Product/Service Description section:

Service Responsibilities	Customer	Provider
Utilize the Provider's Services Desk for all Provider service needs or service related inquiries	C	

Service Responsibilities	Customer	Provider
Provide and maintain a single Customer contact for service notifications (client-side distribution list recommended)	C	
Provide and maintain a single Customer contact for billing related issues (client-side distribution list recommended)	C	
Provide and maintain a single Customer contact for technical issues (client-side distribution list recommended)	C	
Provide timely notification of changes to the Provider's Change Control Board	C	
Provide prompt payment for services rendered	C	
Provide and maintain a list of Change Control Board Members		P
Shall adhere to the data center duties related to primary data centers as outlined in Chapter 282.203, Florida Statutes		P
Provide timely notification of any service changes		P
Provide timely notification of any planned outages		P

Customer Contacts	
Service Notifications Contact / Distribution List	FDOT-SSRC.ServiceNotification@dot.state.fl.us
Billing Related Issues Contact / Distribution List	FDOT-SSRC.BillingIssues@dot.state.fl.us
Technical Contact / Distribution List	FDOT-SSRC.technicalIssues@dot.state.fl.us

4 Term and Renewal Conditions

SLAs may not have a term exceeding three (3) years but may include an option to renew for up to three (3) years, contingent on approval by the SSRC Board of Trustees. SLAs will be defined and approved by the Board in compliance with rules of the Agency for Enterprise Information Technology (AEIT). Terms and rates for services are reviewed periodically to assure cost-recovery and are subject to change by the SSRC Board of Trustees.

5 Transfer of Computing Services

Pursuant to Section 282.203(1)(g), Florida Statutes, the transfer of computing services between primary data center facilities without at least 180 days' notice of service cancellation is prohibited.

6 Product / Service Description

Co-location Services are hosted through the Southwood Shared Resource Center (SSRC) facility and consist of Raised Floor Services and Rack Mount Services. Each of these services provide redundant network and power components for continuous availability of service, industry standard security controls; and 24x7 staffing, maintenance, and operations.

The following amendments are reviewed and approved and constitute additional customer requirements:

Amendment A - Agency Amendment for Right to Audit

Additionally, the Provider has provided the following attachments for this SLA:

Attachment A - Attachment for SSRC Rate Schedule / Order Document

Scope of WorkRaised Floor Services

Provides a physically secure footprint for a customer owned cabinet within the SSRC computer room that utilizes under floor cable management and is monitored 24X7. This service consumes floor tiles.

Note: The SSRC has two (2) raised floor areas. These areas are certified Tier III and non-certified Tier II. The classifications for Tiers are as follows:

- **Tier III data center is concurrently maintainable and has redundant capacity components and multiple independent distribution paths serving the computer equipment. The suggested utilization of this floor space should be critical production systems that need to be available 24 x 7.**
- **Tier II data center has redundant capacity components and a single, not-redundant distribution path serving the computer equipment. The suggested utilization of this floor space should be test and development equipment.**

A Floor Tile is a 2' X 2' tile used as the unit of measurement on the Computer Room raised floor at the SSRC. A standard single paramount cabinet occupies 2 tiles and a tower of cool occupies 3 tiles. Mandatory front and back access to the cabinet utilize 2 additional Floor Tiles. Total minimum fees for a standard single paramount cabinet is 4 floor tiles and minimum fees for a tower of cool is 5 Floor Tiles. A percentage of floor tile used shall be rounded up to the nearest Floor Tile.

The standard single cabinets listed above consume four (4) or five (5) Floor Tiles and includes one (1) 30 AMP – 208V (2) pole circuit. If, redundant power is requested or required by the customer the customer will be responsible for the installation cost of new circuit (s) and any additional monthly recurring charges at the current fiscal year rate per pole. Cabinet should not exceed 1.25 kW per tile. Any cabinet exceeding 5 kW will be charged additional floor space. Circuits are monitored for current, voltage and power. Unused receptacles are disabled to avoid overloading circuits.

The standard cabinets utilized by the SSRC are Wrightline Paramount Enclosures or Wrightline Tower of Cool (TOC's). The standard color is NuGrey. Exceptions to the standard cabinet must be approved in advance prior to installation.

Cabinets must:

- Lock (front and back) with a set of keys provided to the SSRC;

- Provide for Power and Cable Management;
- Provide rack mount laptop(s) as Control Unit(s)(KVM's)
- Adequately ventilate to prevent overheating (fan units).

Data center requirements assure proper cooling for any cabinet located within the facility, the temperature within a cabinet is the responsibility of the Customer. Internal temperature monitoring systems with remote paging capabilities are suggested if the Customer requires monitoring of internal cabinet temperatures.

The Provider recommends that the Customer space equipment properly such that ventilation is not hampered.

All equipment installations will be done in accordance with the current version of the following codes:

- NFPA 101 - Life Safety Code
- NFPA 75 - Standard for the Protection of Information Technology Equipment
- NFPA 70E - Standard for Electrical Safety in the Workplace"
- NFPA 70 - National Electrical Code
- NFPA 72 - National Fire Alarm Code

Any equipment installations that do not meet these requirements may be forbidden in the SSRC. We reserve the right to shutdown any piece of customer owned /operated equipment that we deem to be faulty, unsafe, or that places our operation at risk. The SSRC will work with our customers on any non-emergency issues that may arise.

Rack Mount Services

Provide a physically secured 19" standard cabinet for customer owned equipment within the SSRC. Servers must be rack mountable. The standard rack mount unit measurement is one (1) Rack Unit = 1U or 1.75". KVM (monitor units with Keyboard, Video, and Mouse) units are provided for Customer use; if the Customer requires an independent control unit then the unit must be a fold down rack mount KVM approved by the Provider. Control units shall be assessed fees related to size. Independent Control Unit cables are not provided by the SSRC. The Customer shall provide and install all hardware for the rack mount (server rails are not included in SSRC fees).

Two (2) power strip receptacles (one primary and one backup) within the cabinet are provided per Rack Unit. Power is supplied to each cabinet from two (2) separate Power Distribution Units that are each fed from separate Uninterruptible Power Supply systems. Additional fees may apply to additional receptacles.

Service Description

Specific Roles and Responsibilities

Roles and responsibilities will only relate to those services offered by the Provider and its Contractors on resources owned and/or managed by the Provider. Roles and Responsibilities would not relate to services that are performed by Customer’s internal information technology (IT) section or other designated staff on Customer property.

Service Responsibilities	Customer	Provider
Continuously review and evaluate security procedures		X
Monitor all areas of the SSRC 24 hours a day		X
Authorize identified persons with access to computer room before admission		X
Verify that all cabinets within the computer room are locked when not being accessed		X
Ensure a staff member is assigned to receive and inspect any equipment received		X
Assign data center staff for cleaning all secure areas of the facility		X
Ensure only authorized personnel access restricted areas		X
Ensure redundant facility components through tests		X
Customers must supply the following before entering the computer room 1. Have proper authorization from their agency to access their equipment 2. Have a security record and photo identification on file 3. Be prepared to show proper identification 4. Be escorted under certain conditions (All vendors are escorted)	X	
Notify the SSRC Computer Operators of deliveries	X	
Submit proper forms (as identified) for equipment installation, removal, and changes 1. Submit an Equipment Installation Request Document (Attachment 1) before any equipment is brought into the facility including the delivery of equipment 2. Completed Equipment Installation Request document are to be returned to Computer Operations at the SSRC 3. If all required information is not provided, installation of Customer equipment may be delayed 4. Submit an Equipment Removal / Transfer document (Attachment 2) before any equipment is removed from the facility 5. Must submit a CSA form to SUNCOM for SSRC ports to be installed	X	
Ensure all hardware for installation is accurately inventoried	X	
Provide and install the hardware for the rack mount	X	
Ensure a list of those requiring access to the equipment is submitted to the SSRC Computer Operators and is kept up-to-date	X	
Follow Computer Room Operational Guidelines (Attachment 3) 1. The customer is responsible for adhering to all Computer Room Operational Guidelines as set forth in the Attachment 3. Failure to comply with these Guidelines may lead to termination of this Agreement and the subsequent removal of Customer equipment at the SSRC. Compliance with Computer Room Operational Guidelines is determined at the discretion of the SSRC Manager.	X	

The SSRC maintains the following standards in support of the co-location service offerings:

Power Management

Power redundancy for the Tier III floor is supplied to IT equipment through two (2) 500 KVA and two (2) 80 KVA Uninterruptible Power Supply (UPS) Systems that are backed up by (2) 1000 kW generators. Power redundancy for the Tier II floor is supplied to IT equipment through one (1) Scalable 225-500 KVA Uninterruptible Power Supply (UPS) that is backed up by one (1) 1000 kW generator. Generators are activated in the case of a primary power feed interruption. In the event of a prolonged power interruption (more than 1 week) agencies will be billed a prorated charge for fuel. (Please note to date the SSRC has not sustained an outage in duration of more than 12 hours.) The UPS Systems provide clean power through continuous monitoring and filtering reducing the RF, EMI, and other line noise that can degrade power. Static Switches and Power Distribution Units provide for efficient use of power delivery to the Customer cabinet. Power and temperature thresholds are continuously monitored, providing early detection of emerging failures. Thermal scans are performed monthly to circumvent problems and potential power failures.

Additional Circuit Installation

New installation of standard one (1) 30 AMP – 208V (2) pole circuit shall be assessed a onetime fee for materials. Additional circuits or larger circuits may be purchased at an additional cost.

Note: For the safety of computer operators, individual / independent Rack Mount UPS systems are not allowed in the SSRC Computer Room. Redundant power for Customer equipment is only available if the installed Customer equipment has multiple internal power supplies.

HVAC System

Monthly service includes HVAC system redundancy for the SSRC Computer Room Floor. This redundant system includes multiple chillers from a central plant and two pumps within the facility for continued water circulation and is further supported by two (2) 200-ton stand alone back up chillers located at the SSRC. There are additional outside connections for a portable emergency chiller.

Security and Safety

Network surveillance cameras monitor areas inside and out of the facility.

Network Services

The customer shall be responsible for ordering and canceling the required network port/s and connections that will be utilized for their equipment at the SSRC. This shall be done by submission of a Communications Service Authorization (CSA) form into the on-line CSA system at the following URL.

<http://fvrs.state.fl.us/onlinecsa/app/homepage>

The standard network port connection is via one RJ45, Cat5E cable per customer owned device. Any exceptions to this standard must be approved by the Provider. At no point shall a secured network connection and an unsecured (DMZ) address be permitted on the same device. All

connections shall be set to auto speed and auto duplex unless requested by the customer and approved by the Provider.

Services per Port consist of Internet and State Intranet access, back-up network, monitoring and on-site staff to troubleshoot the network and network security services such as firewall configuration and intrusion detection services.

Equipment requiring Gigabit Ethernet speeds will be evaluated for technical feasibility on a case-by-case basis and approved by the Provider prior to installation. The Network Engineering and Deployment group will be responsible for providing Gigabit Ethernet Switch connections as needed within the Southwood Shared Resource Center.

Any analog voice grade circuits required for dial up or diagnostic services shall be ordered by the customer separately through the CSA process. To avoid installation delays the customer must notify the SSRC communications group with a circuit I.D. and phone numbers 48 hours prior to installation date.

Network Cable Requirements and Standards

Compliance with the SSRC network cable standards is mandatory to install and maintain equipment at the SSRC. Cables shall be supplied and installed by the Provider or in accordance with SSRC guidelines. At no time shall any cabling work be performed by anyone other than the Provider or without the express consent of the Provider.

All cabling materials shall meet the following standards:

Copper Jumper Cables

The only authorized copper jumper cables to be installed within the SSRC are:

Manufacturer: Ortronics

Description: Cable Assembly Mod Cat5E
 Four pair stranded copper
 T568A/B pin configuration

Color Code: Red – Standard data connections only
 Blue – T-1 circuits only
 Green – Voice circuits only
 White – Cross-over cables only (T568A to T568B)

Length: Standard cable lengths: 3', 5', 7', 9', 15', 20', and 30'

Exceptions: Longer cable lengths than those listed above are special order and may lengthen the installation process.

NOTE: Per NFPA 70 - All Cabling That Runs Under the Raised Floor Shall Be Plenum Rated

Fiber Jumper Cables

The only authorized fiber jumper cables to be installed within the SSRC are:

Manufacturer: Corning Siecor

Description:	Single-mode Fiber Duplex SC-SC 8.3 micron	Multi-mode Fiber Duplex SC-SC 62.5 micron
Colors:	Standard Yellow	Standard Orange
Lengths:	Standard cable lengths (meters): 1, 2, 3, 4, 5, 7, 10, and 15	
Exceptions:	Longer cable lengths than those listed above and other connectors than SC to SC are special order and may lengthen the installation process.	

Patch Panels – Copper Cable

All patch panels within the SSRC shall be Ortronics only.

Fiber Interconnect Cabinets

All Fiber Interconnect Cabinets (FICS) shall be Corning only.

Performance Measures

Customer’s Co-location Service will be available at least 99.5% of scheduled availability.

Calculation Methodologies:

$$\text{Service availability} = \frac{\text{Scheduled availability (Minutes)} - \text{Reported out-of-service time (Minutes)}}{\text{Scheduled Availability}}$$

Out-of-service:

Defined as the time from receipt of problem notification involving the service outage of a production system, until the time of resolution as reported by the Provider (Days/Hours/Minutes).

Measurement Period: Monthly

Tracking Mechanisms:

The Provider will use industry standard system monitoring tools and techniques to monitor availability, and alert staff of system outages.

System Availability Monitoring

System availability is monitored on an on-going basis, with immediate alerts sent to support staff when systems are detected as being down.

Rate Information

All Provider current rate information is available on the Provider's web site located at the following URL: http://ssrc.myflorida.com/price_sheet.html Requests for services beyond those outlined may be billed to the Customer at the listed Professional Services Rate.

7 Customer Funding Source

Acceptance of this SLA certifies the Customer has secured appropriate funding to cover costs associated with service. Customer must have legal authority to incur costs and enter into agreement. The State of Florida's performance and obligation to pay under this contract is contingent upon an annual appropriation by the Legislature.

8 Billing Methodology

Costs will be recovered by the Provider in accordance with a federally approved state cost rate proposal, based on the requirements of Attachment E to Federal OMB Circular No. A-87. When combined, direct and indirect service charges constitute the total cost to the Customer for the service provided.

The Provider will invoice Customer monthly for services provided the preceding month based on utilization. Invoicing will begin in the first applicable billing cycle following delivery, installation, and implementation of the service. The Customer agrees to pay Provider for these services according to the current published Provider rates specified on Provider's website.

If for any reason an amount invoiced by the Provider to the Customer is shown to be incorrect, the appropriate credits and/or charges will be included on a subsequent invoice.

The Customer agrees to pay for services provided within forty (40) calendar days of invoice receipt. Invoice amounts in question by the Customer may be placed in dispute and handled according to the process outlined in the Escalation Process. The Customer may withhold payment only on the disputed portion of the invoice until the issue is resolved by the Provider and the Customer, or through mediation. The Customer will have fifteen (15) calendar days after receipt of an invoice to file a written dispute of any charges with the Provider. If a written dispute is not filed with the Provider within fifteen (15) calendar days, the Customer will be held responsible for payment of the amount invoiced for services provided. The Customer acknowledges it is in the best interest of the State for the Provider to meet its financial obligations to the entities with which the Provider has contracted to provide these services, and that prompt Customer payment of undisputed amounts on Provider invoices is a necessary component of satisfying these obligations. Therefore, failure to pay undisputed amounts

promptly may subject the Customer to any necessary remedial actions and limitation or discontinuation of services.

Invoice corrections that span fiscal years will be handled as a service fee credit if the Provider is overpaid and a debit for service fees if the Provider is underpaid for services. Invoicing errors discovered after the fifteen (15) day written dispute window, will be handled as service fee credits or debits consistent with the process for handling errors which span fiscal years. The Customer cannot make deposits or pay for goods and/or services in advance unless approved under rules issued by the Florida Chief Financial Officer.

Any service provided stemming from agreements entered into with the Provider, shall be invoiced for specific cost of services and shall be submitted with sufficient detail for a proper pre-audit and post-audit.

Invoice payments will be made via journal transfer (JT) or electronic funds transfer (EFT) whenever possible. If unable to pay by JT or EFT, other arrangements can be made in advance.

All bills incurred by the Provider for any Customer related travel expenses shall be submitted and paid in accordance with the rates specified in Section 112.061, Florida Statutes, governing payments by the State for travel expenses. Travel expenses shall be pre-approved by the Customer and Provider prior to travel. All State of Florida travel forms and receipts must be submitted as supporting documentation prior to travel being paid by the Customer.

All Parties recognize that the State of Florida, by virtue of its sovereignty, is not required to pay taxes on the services and/or goods or equipment purchases as an incident to such service.

9 Service Rate Adjustment Process

The Provider uses a double step-down cost accounting process in accordance with a federally approved state cost rate methodology, based on the requirements of Attachment E to Federal OMB Circular No. A87 to determine cost based rates on a periodic basis. Currently, this activity occurs annually.

10 Service Termination

All SLAs have a contract term of up to three (3) years, but may include an option to renew for up to three (3) additional years contingent on approval by the board, and require at least a 180-day notice of service cancellation.

In the event the Customer wishes to terminate this or any SLA, the Customer shall notify the Provider's authorized representative via email at SSRC.ServiceCancellation@SSRC.MyFlorida.com and AEIT in writing with at least 180-day notice of service cancellation. The Provider will continue to invoice the Customer until the effective service termination date.

A service may be terminated by either party for cause only after giving the other party and the AEIT notice in writing of the cause for termination and an opportunity for the other party to resolve the identified cause within an agreed upon time frame by both parties.

11 Dispute Mediation

In the event that disputes or performance issues arise; the Customer will document and deliver the specific issues to the Provider’s SLA Coordinator. The Provider will be given an opportunity to address and resolve the issue(s). Likewise, issues associated with the Customer’s performance under this agreement shall be documented by the Provider and transmitted to the Customer’s SLA Coordinator. Issues(s) will be addressed and resolved in an agreed upon time frame by both parties. If informal resolution between the parties is unsuccessful, the parties will proceed to mediation according to s.120.573 F.S. The parties will designate a mutually acceptable mediator who shall be certified by the Florida Supreme Court and each party will share equally in the cost of mediation.

12 Escalation Process

If a dispute or issue is not resolved between the Customer’s SLA Coordinator and Provider’s Platform Manager, the dispute or issue will be escalated through the escalation levels in the table below.

Level	Customer	Provider
1	SLA Coordinator	SLA Coordinator
2	Customer’s Authorized Representative	Platform Manager / Chief, Enterprise Planning & Management
3	CIO	Executive Director
4	Agency/Deputy Head/CIO	Chairperson, SSRC Board of Trustees
5	Division of Administrative Hearings (pursuant to s. 120.573, Florida Statutes)	

13 Performance Penalties

Services are provided solely on a cost recovery basis. Any costs associated with established penalties would have to be recovered through rates charged for services. Federal OMB Circular No. A-87 requires equitable cost allocation for services to customer agencies. For these reasons the Provider cannot establish direct or indirect financial penalties for not meeting service level targets. However, the Provider may report to the Board of Trustees their success in meeting service levels for each service provided, and identify and speak to any shortfalls.

The Provider is committed to meet or exceed service level targets specified in the SLAs and will conduct performance reviews when requested with the Customer to assess the level of service provided. Additionally, the Provider’s employee performance standards and reviews will include appropriate individual accountability for the applicable service level metrics.

14 Change Control Process Adherence

The Provider has internal change management procedures for reducing the risk of impact to the Customer when changes to production environments occur, and providing an appropriate level

of communication to the Customer. The Provider's Change Control Board meets regularly to review all changes impacting services prior to any changes being implemented. Change Control Procedures exist for all scheduled and emergency changes associated with services covered by this Agreement. Advance notification of changes is required and sufficient time for the review and approval process to take place is necessary. The Customer will provide contact information for change control notification, scheduled change activities, and emergency change approval. It is the responsibility of the Customer to notify Provider, through the SSRC Services Desk, that a change (as communicated) may impact or disrupt Customer business functions.

Provider change management documentation and procedures are available for download at the following location: http://ssrc.myflorida.com/client_services.html

15 Standard Maintenance Window

The standard maintenance window for all Provider platforms, unless otherwise noted within Section 6 Product / Service Definition, is as follows:

Name	Time	Characteristics
<i>Service Availability Window (Scheduled Availability)</i>	Business Days: 7:00AM – 5:59PM (EST)	This is scheduled availability. Production services will not be purposefully brought down or impacted without an emergency service change request.
<i>Normal IT Maintenance Window</i>	Business Days: 6:00PM – 6:59AM (EST) Saturday and Sunday: All day	Normal maintenance, changes, and system enhancements will be performed during this time. Notification of expected down time between 7:00AM and 5:59PM on non-Business Days (weekends and holidays) will be provided to Customers. Routine facility maintenance and testing.
<i>Normal Backup Maintenance Window</i>	Business Days: 8:00AM – 5:00PM (EST)	Normal maintenance, changes and system enhancements will be performed during this time.
<i>DMS SUNCOM Network Maintenance Window</i>	Monday 12:30AM – 7:00AM (EST)	Normal network maintenance, changes and system enhancements will be performed by DMS SUNCOM during this time.

16 IT Security Management

To ensure the integrity of the State of Florida network and all associated information technology systems, pursuant to Rule 60DD-2, Florida Administrative Code, and any subsequent amendments, the Provider requires the Customer to cooperate with the Office of Information Security (OIS) within the Agency for Enterprise Information Technology (AEIT). Provider is responsible for security of all Provider services outlined within this document. The SSRC acknowledges its duty to maintain security of data and information technology resources pursuant to Section 282.318, Florida Statutes.

The Customer is the “custodian” of data/records transferred to the SSRC, especially for public records purposes, and as such the Customer determines data retention requirements, as well as access to these records/data pursuant to Florida law. The SSRC is designated to house these records pursuant to Sections 282.201-205, Florida Statutes, and as such the SSRC agrees to limit access to Customer’s data/records, other than those persons who require access as a part of their daily work activities, except as required under Chapter 119, the Florida Public Records Act or other applicable Florida or Federal law.

17 Security Incidents

Customer is responsible for application-level security, including, but not limited to, security patches for Customer managed applications. Application security should be done according to industry accepted best practices and include data validation to mitigate against risks of malicious activity.

Security incidents caused by Customer application, which require Provider assistance, will be billed at professional service rates. If the security incident is within the Provider responsibilities, Customer will not be billed. Provider will initiate internal Computer Security Incident Response Team (CSIRT) procedures as defined by Provider security policies.

18 Disaster Recovery

Disaster Recovery (DR) is not automatically a part of this service. The Provider offers DR services through a DR provider, which agencies can utilize to meet their statutory requirements for DR of their mission critical computing systems and applications. All DR services contracted through the SSRC must be specified in Section 6, and all associated costs will be the responsibility of the Customer.

19 Continuity of Operations Planning (COOP)

The SSRC is required to develop its own business continuity plan pursuant to Section 282.203(1)(f), Florida Statutes. All costs related to SSRC’s own COOP activities shall be paid by Provider.

Customers are responsible for their own COOP planning. It is the responsibility of the Customer to provide COOP instructions to the Provider regarding any Provider delivered service that may be affected by a Customer COOP activation. All Provider costs related to Customer COOP activities shall be billed at the Provider’s Professional Services rate plus any applicable travel and materials cost.

The Customer COOP shall provide detailed instructions specifying the Provider delivered actions/procedures to be performed. The Customer COOP instructions shall also include the Customer’s notification processes for COOP activation and subsequent resumption of normal operations. Drills of COOP activities must be coordinated at least four (4) months in advance with the Provider. Standard Professional Services rates (time, travel and materials) will apply.

20 Services Desk Operations

The Provider maintains a Services Desk which serves as the single point of contact for the Customer support needs. All requests for services including general service inquiries, incident reports and invoice discrepancy reviews, etc. should be placed through the Services Desk by contacting 850-487-1746 or 877-299-7772 (for calls outside of Tallahassee). Requests of lower severity can also be submitted to the Services Desk via email at help@ssrc.myflorida.com.

21 Services Desk Severity and Incident Escalation

All requests for service are assigned an incident number and a severity level, and prioritized using the following table, unless otherwise noted within Section 6 product/Service Definition, is as follows:

Severity	Defined Severity	Description	Maximum Response Times
1	Critical	The majority of Customers are experiencing a work stoppage of a mission critical function, application, platform, connection or environment that is interrupting the Customers' business.	2.5 Hour
2	High	A Customer is experiencing a partial failure of a supported function, application, platform, connection or environment that is disrupting the Customer's business.	5 Hours
3	Medium	A Customer is experiencing a reduced level of efficiency and performance of a supported function, application, platform, connection or environment that is impacting the Customer's business productivity.	2 Business Days
4	Low	A Customer has a functional (how-to) question they cannot answer through the SSRC Services Desk or wants to submit a request for installation or change of service in their technical or physical environment.	3.5 Business Days

The following table outlines the standard response time for escalation for each severity.

Group	Standard Tier Two Plus Response Times – By Severity			
	1 – Critical	2 – High	3 – Medium	4 - Low
Platform Support Group	1 Hour	2 Hours	8 Business Hours	3 Business Days
Automatic Escalation to Platform Management	30 Minutes	1 Hour	2 Business Hours	4 Business Hours
Automatic Escalation to Second Level Management	30 Minutes	1 Hour	2 Business Hours	N/A
Automatic Escalation to Upper Level Management and notification sent to the SSRC Executive Management	30 Minutes	1 Hour	4 Business Hours	N/A

Group	Standard Tier Two Plus Response Times – By Severity			
	1 – Critical	2 – High	3 – Medium	4 - Low
Total Escalation Time	2.5 Hours	5 Hours	2 Business Days	3.5 Business Days

22 Hours of Operation

The Provider’s Services Desk provides Customer support 24 hours per day, 7 days per week including holidays.

23 Document Change Management

It is mutually understood and agreed that any communications, promises, representations or agreements not included in writing in this agreement shall not be binding upon any party and that the agreement may not be altered, modified or otherwise changed at any time except with the written consent of each of the parties hereto.

The performance of additional work or additional expense incurred by the Provider beyond that expressly authorized in this SLA will not be accepted or approved for payment by the Customer unless previously authorized in a written amendment to the SLA signed by the Customer and the Provider.

This SLA will remain in effect unless amended or replaced with an updated version. To make modifications to this agreement, the Coordinators listed below must be contacted and modifications jointly approved by Customer’s and Provider’s representatives or their designees.

SLA Coordinators		
	Name	Phone
Customer SLA Coordinator	Molly Eichhoefer	(850) 414-4214
Provider SLA Coordinator	John A. Morden	(850) 488-5236

Agency Amendment for Right to Audit Florida Department of Transportation (FDOT)

Provider shall permit onsite visits by State and Department authorized employees, officers and agents to conduct audits to ensure compliance with Section 20.055, Florida Statutes. Provider shall grant access to all records pertaining to the contract including access to all computers, communications devices and any other equipment used to store, monitor, produce, or transmit such records at any premises, whether onsite or offsite.

Provider shall maintain all records and other evidence of the Provider, and any or all subcontractors, to support the costs incurred, and compensation received, directly or indirectly, by the Provider. The Department or its designated representatives, shall have the right to audit, copy, inspect said records and accounts at all reasonable times during the performance of this agreement and the retention period of three years after the cancellation, termination, or final payment, or until the conclusion of any claim, litigation, settlement or appeal; or for such longer period, if any, required by applicable law or regulation, whichever date is latest.

Acceptance of funds under this Agreement by Provider acts as acceptance of the authority of the Auditor General's Office, the Department of Financial Services and any successor or federal governmental authorities to conduct audits and investigations in connection with those funds. The Auditor General's Office, the Department of Financial Services and any federal governmental authority shall at any time have access to and rights to examine, audit, excerpt and transcribe any pertinent books, documents, working papers and records of Provider relating to this Agreement, notwithstanding any other provision of this Agreement. Provider shall fully cooperate with and provide all assistance requested by the Auditor General's Office and the Department of Financial Services and any successor or federal governmental authorities in the conduct of such audits or investigations, including providing all records requested.



Southwood Shared Resource Center
 2585 Shumard Oaks Blvd.
 Tallahassee, FL 32399-0950

**Quote/Order Document
 Attachment A**

Customer Name: **Department of Transportation**
 Agency ID: **DOT**
 Customer Location:

Date: **6/28/2010**
 Prepared by: **SSRC**
 Phone Number:
 Fax Number:
 E-Mail:

Contact Name: **Nelson Hill**
 Contact Number:
 Contact Email:

For SSRC Use Only Service Start Date: Approved By: Date:
--

Service Level Agreement Information

Agreement Title: **DOT Managed SLA FY10-11
 SSRC CoLo Service**

This Order Document is placed in accordance with the agreement specified above ('Agreement Title').

Customer - Account	Description	FY Quantity	Unit Price	FY Costs
DOT	SSRC Co-Lo Services			
	SRC Floor Tiles	0	\$ 110.20	\$ -
	Additional Electrical Circuits	0	\$ 22.21	\$ -
	SRC Rack Mounts	12	\$ 78.19	\$ 938.28
	Print Impressions		\$ 0.016	\$ -
	SRC Tape Vault		\$ 72.42	\$ -
	Total			\$ 938.28

Total Service Costs: \$938.28

Comments: FY10-11 Cycle 5 pricing

Software and external services dedicated to the customer will be passed-thru as direct charges.



Southwood Shared Resource Center

STANDARD SERVICE LEVEL AGREEMENT

Between the

Department of Transportation
Financial Management Services

And

The Southwood Shared Resource Center

Open Systems Managed Service

SLA Term

Fiscal Year 2010 – 2011

Service Level Agreement: Open Systems Managed Service

Signature Authorization

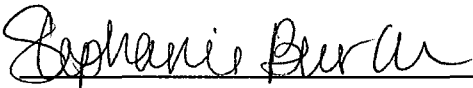
Department of Transportation:



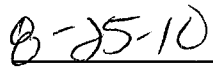
Nelson Hill, Chief Information Officer
Department of Transportation



Date

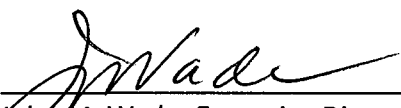


Additional Customer Signature (Name, Title)
Assistant General Counsel

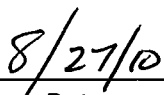


Date

Southwood Shared Resource Center:



John M. Wade, Executive Director
Southwood Shared Resource Center



Date

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Glossary of Terms

TERM	DEFINITION
Agency for Enterprise Information Technology (AEIT)	A State of Florida agency charged with developing strategies for the design, delivery, and management of enterprise information technology services; monitoring delivery and management of those services; and establishing rules and policies for managing those services.
Addendum	Subsequent amendments to this Service Level Agreement (SLA) which formalize additional Customer specific requirements. Section 6 will be revised to reference any Addendums. Organizationally, Addendums shall immediately follow the base SLA and Amendments.
Amendment	Formal statement of any changes made to the initial Southwood Shared Resource Center (SSRC) SLA. Customer specific requirements which have been identified as Amendments are referenced in Section 6. Organizationally, Amendments shall immediately follow the base SLA.
Attachment	Additional information provided to clarify or assist Provider in meeting terms of this SLA. Section 6 will be revised to reference any Attachments. Organizationally, Attachments shall immediately follow the base SLA, Amendments, and Addendums.
Business Day	The SSRC business hours are 8:00 am EST Monday through 6:00 pm EST Friday, excluding State holidays.
Change Control Board	A board that meets regularly to review all desired and planned IT platform changes to evaluate impact to Customers and ensure proper Customer communication.
Customer	Customer shall mean those "state parties" or "parties" as defined in Chapter 282 and Section 216.011(1)(gg), Florida Statutes and those "public bodies" or "political subdivisions" as defined in Section 1.01(8) and Section 252.34(8), Florida Statutes.
Incident	Any request for service through the SSRC Services Desk – includes general service inquiries, incident reports, invoice discrepancy reviews, etc.
Parties	Authorized representative of both the Provider and the Customer.

TERM	DEFINITION
Professional Services	Additional technical services not included in a Service Level Agreement. These services include, but are not limited to, Information Technology (IT) consulting, research, strategic planning, architectural design, implementation, migration assistance, security response, and issue resolution.
Provider	The Southwood Shared Resource Center (SSRC).
Service Change	A service change is defined as any change to hardware, software, network environment, etc., which directly affects the production environment within the SSRC. All service changes must be reviewed by the Change Control Board.
Service Change – Emergency	An Emergency Service Change is one that must be made in less than 24 hours.
Service Change Management	Change Control procedures necessary to affect a service change, ensuring communication with Customers and the least amount of risk for service disruption.
Service Level Agreement (SLA)	A formal agreement pursuant to Section 282.203, Florida Statutes, entered into by the Provider and the Customer that outlines the description of a service(s), the service level targets, costs, and the Provider and Customer responsibilities in delivering and receiving service(s) from the Provider.
Service Response	Provider action taken in response to a Customer's Incident.
Southwood Shared Resource Center (SSRC)	A full-service, information-processing facility offering hardware, software, operations, networking, and co-location services. Also referred to as the Southwood Data Center.
SSRC Services Desk	The Customer's first point of contact for service requests, problem resolution, invoicing discrepancies and other service related issues.
SSRC Board of Trustees	Board created by Section 282.203, Florida Statutes and appointed by the agency head or chief executive officer of the representative Customer entities.

TERM	DEFINITION
Standard Platform Managed Services	Standard Platform Services are services identified in the SSRC Services Catalog that provide infrastructure related support services to SSRC customers on the following platforms: Windows, Storage, Mainframe, Network, and Open Systems. These services typically include system monitoring, support services, hardware refresh, and license costs into a single utilization-based rate to recover the cost of common services performed.

1 Statement of Purpose

This Service Level Agreement (SLA) formalizes the service terms between the Customer and the Provider, including the scope and definition of technical services, responsibilities for operational areas, service level targets, and the pricing for services delivered. This SLA also communicates the commitment of the Provider to furnish quality and prompt service to the Customer in a manner that contributes to the successful accomplishment of the Customer’s mission.

In the event that any other entity assumes the present responsibilities of the Provider (e.g., through legislative enactment, contractual agreement, etc.), these services shall be provided under these same terms by the successor, under the provisions of the SLA, to the extent allowed under Florida law.

2 Legal Authority

Florida law requires the finalization of a SLA between the Southwood Shared Resource Center and each customer entity for each service provided by the SSRC pursuant to Section 282.203(1)(g) and Section 282.203(3)(e)2., Florida Statutes. Under certain circumstances, the failure of a customer entity to execute an agreement may result in the SSRC ceasing services pursuant to Section 282.203(1)(g)3., Florida Statutes.

This SLA is entered into by and between the Southwood Shared Resource Center (herein referred to as “Provider”) and the Department of Transportation (herein referred to as “Customer”), pursuant to Section 282.203(1)(g), Florida Statutes.

All matters, whether sounding in tort or contract, relating to the validity, construction, interpretation, performance and enforcement of this Agreement shall be determined by the laws of the State of Florida.

Any amendment to this SLA, as agreed to by the Customer and Provider, shall take precedence over any conflicting provision in this SLA without invalidating the remaining provisions of this Agreement. Customer specific requirements which have been identified as amendments are referenced in Section 6.

Any provision or amendment of this Agreement in violation of the laws of the State of Florida shall be ineffective to the extent of such violation, without invalidating the remaining provisions of this agreement.

Any provision or amendment of this Agreement in violation of rules adopted by the Agency for Enterprise Information Technology (AEIT) pursuant to Section 282.201, Florida Statutes shall be ineffective to the extent of such violation, without invalidating the remaining provisions of this agreement.

No delay or omission to exercise any right, power or remedy accruing to either party upon breach or default by either party under this agreement, shall impair any such right, power or remedy of either party; nor shall such delay or omission be construed as a waiver of any such breach of default, or any similar breach or default thereafter occurring; nor shall any waiver of single breach or default be deemed a waiver of any subsequent breach or default. All waivers must be in writing.

This Agreement shall bind the successors, assigns and legal representatives of the Provider and of any legal entity that succeeds to the obligations of the Customer.

This Agreement shall be unilaterally canceled by the Customer for refusal to allow public access to all documents, papers, letters or other material subject to the provisions of Chapter 119, Florida Statutes, and made or received in conjunction with the Agreement.

In accordance with Section 287.134, Florida Statutes, the Provider will not enter in to a contract with an entity or affiliate who has: been placed on the discriminatory vendor list; may not submit a bid, proposal, or reply on a contract to provide any goods or services to a public entity; may not submit a bid, proposal, or reply on a contract with a public entity for the construction or repair of a public building or public work; may not submit bids, proposals, or replies on leases of real property to a public entity; may not be awarded or perform work as a contractor, supplier, subcontractor, or consultant under a contract with any public entity; and may not transact business with any public entity.

Employment by the Provider of any individual or contractor who is an unauthorized alien(s) is a violation of section 274(e) of the Federal Immigration and Nationality Act. Such violation shall be cause for unilateral cancellation of this Agreement.

3 Roles and Responsibilities

General roles and responsibilities are defined below. Additional roles and responsibilities may be outlined in the Product/Service Description section:

Service Responsibilities	Customer	Provider
Utilize the Provider's Services Desk for all Provider service needs or service related inquiries	C	

Service Responsibilities	Customer	Provider
Provide and maintain a single Customer contact for service notifications (client-side distribution list recommended)	C	
Provide and maintain a single Customer contact for billing related issues (client-side distribution list recommended)	C	
Provide and maintain a single Customer contact for technical issues (client-side distribution list recommended)	C	
Provide timely notification of changes to the Provider's Change Control Board	C	
Provide prompt payment for services rendered	C	
Provide and maintain a list of Change Control Board Members		P
Shall adhere to the data center duties related to primary data centers as outlined in Chapter 282.203, Florida Statutes		P
Provide timely notification of any service changes		P
Provide timely notification of any planned outages		P

Customer Contacts	
Service Notifications Contact / Distribution List	FDOT-SSRC.ServiceNotification@dot.state.fl.us
Billing Related Issues Contact / Distribution List	FDOT-SSRC.BillingIssues@dot.state.fl.us
Technical Contact / Distribution List	FDOT-SSRC.technicalIssues@dot.state.fl.us

4 Term and Renewal Conditions

SLAs may not have a term exceeding three (3) years but may include an option to renew for up to three (3) years, contingent on approval by the SSRC Board of Trustees. SLAs will be defined and approved by the Board in compliance with rules of the Agency for Enterprise Information Technology (AEIT). Terms and rates for services are reviewed periodically to assure cost-recovery and are subject to change by the SSRC Board of Trustees.

5 Transfer of Computing Services

Pursuant to Section 282.203(1)(g), Florida Statutes, the transfer of computing services between primary data center facilities without at least 180 days' notice of service cancellation is prohibited.

6 Product / Service Description

The Providers UNIX and Database Managed Service provide customers the opportunity to utilize Provider provisioned infrastructure at the Shared Resource Center. The hardware, operating system, storage, network infrastructure and Oracle/MySQL RDBMS is fully managed by the Provider, and system availability is monitored 24 hours a day. Application layer management is maintained by the Customer.

The following amendments are reviewed and approved and constitute additional customer requirements:

Amendment A - Agency Amendment for Right to Audit

Additionally, the Provider has provided the following attachments for this SLA:
 Attachment A - Attachment for SSRC Rate Schedule / Order Document

Scope of Work

The Provider is responsible for ensuring all hardware, software, network and storage related systems are in proper working order. Hardware and software failures which occur within the Provider’s domain shall not be the responsibility of the Customer. Time spent by the Provider configuring, managing or performing security response to Customer supplied applications will be charged at the published Professional Services rate. See below for further rate information.

Service Description

This service offering provides for the use of fully managed dedicated and virtual servers for Customer processing.

Some benefits of utilizing managed services with the Southwood Shared Resource Center include:

- Fully managed hardware, operating system, network infrastructure and OS based security;
- Fully managed and monitored MySQL and Oracle RDBMS with an extensive list of HA Network applications to leverage this service;
- Hardened, high quality Tier III data center facility with redundant power and multiple levels of security;
- Multiple storage and service HA options available;
- Support for SSH, SFTP and FTP server access;
- Backup/Recovery – All data is backed up daily to ensure recovery at the SRC due to hardware or system failure. Oracle RDBMS services are logged and archived;
- Development/Test services available for full application life cycle management;
- Print Processing is available through high speed IP based printers.

Subscription Plan

Managed services (UNIX and Database Premium) are available as a Customer definable set of services supported by the Provider. Customers customize the application and resource set they wish to utilize allowing for a great deal of service flexibility. The Managed Service provides the Customer resources via a guaranteed minimum resource allocation of CPU and memory resources. An additional Storage Service agreement is required for dedicated storage allocation. Resource units requested by the Customer are allocated based on the CPU hardware supplied by the Provider. The Database Premium service is an add-on service to either a Provider UNIX managed server or a Windows managed server.

Application	Notes on included	Units of Measure	Qty
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UNIX Managed Service	1 per Any single-core CPU; 1 per dual-core Intel/AMD CPU; 1 per T2 quad-core CPU; 2 per T2 eight-core CPU; 2 per T2+ quad-core CPU; 2 per quad-core Intel/AMD CPU; 3 per SPARC VII quad-core CPU; 3 per AMD six-core CPU; 4 per T2+ eight-core CPU	UNIX-Unit	variable
Database Premium Service	1 per Any single-core CPU; 1 per dual-core Intel/AMD CPU; 1 per T2 quad-core CPU; 2 per T2 eight-core CPU; 2 per T2+ quad-core CPU; 2 per quad-core Intel/AMD CPU; 3 per SPARC VII quad-core CPU; 3 per AMD six-core CPU; 4 per T2+ eight-core CPU; Requires UNIX or Windows Managed Service	Database-Unit	variable
EOSL	Additional charge applied to any service utilizing End of Service Life Hardware or Software	Customers will be given 11 months advance notice of any EOSL charges	+20%

The Database Premium service provides licensing and professional management of RDBMS services within the SRC. It may also be used to provide customized Oracle application based services, such as ERP and BI systems for Customers who require services not provided for in the Provider Network Services offering. Additional costs of licensing beyond the RDBMS license will be billed to the Customer.

All remaining UNIX-Unit and Database-Unit fractions are rounded up. Memory allocation per unit varies by server type.

Additional information may be available on the <http://ssrc.myflorida.com/> web site under Application Hosting.

Service Specific Roles and Responsibilities

Roles and responsibilities only relate to those services offered by the Provider and resources owned and/or managed by the Provider. Roles and Responsibilities do not relate to services that are performed by Customer’s internal information technology (IT) section or other designated staff on Customer property.

Specific Roles and Responsibilities are defined in the following responsibility matrix. Each responsibility associated with the service is described and the owner of the responsibility is included. A “P” indicates Primary ownership of the responsibility; an “A” indicates an Assist responsibility.

Service Responsibilities	Customer	Provider
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Manage Customer provided application	P	
Perform logical database user administration and application code tuning	P	A
Perform physical database administration and tuning		P
Perform application testing following Provider patch services	P	
Maintain Customer application code; Comply with FL Administrative Code 60DD-2 with respect to Customer application security and logical separation of test and production services	P	
Maintain Server/Service security; Comply with FL Administrative Code 60DD-2 with respect to server, network and service security		P
Monitor Services 24x7		P
Perform nightly backups and Monthly archive backups		P
Inform Customers of scheduled change activity		P

Service Supported Task Matrix

Some example tasks and their estimated number of business days required to perform assuming that all server, software, licensing and data required to perform the task is available. Times of 1 day mean same day service. Some tasks must be scheduled in advance with the Provider. It is the Customers responsibility to confirm scheduling with the Provider before planning any service changes.

Standard Tasks	Std Lead Time	Critical Lead Time	Schedule Required	Description
Provision UNIX managed virtual server	5	2	Y	Configure Solaris Zone, Resources must be available
Provision UNIX managed hardware server	10	5	Y	Additional time may be required if hardware resources need to be acquired

Deploy to Production Database RDBMS application	5	2	Y	Schedule required for production
Provision new Database instance	5	3	Y	Hardware and software resources must be available
Performance analysis of SQL Query	2	1	N	
Install Customer supplied application software requiring root access	5	2	Y	
Modify configuration of Customer supplied application	2	1	N	

The Customer is encouraged to contact the Provider for further scheduling information.

Performance Measures

The Provider is committed to meeting a minimum yearly service up time of 99.5%. UNIX and Database Premium managed services may be configured to provide SRC based hot-standby failover at Customer request. Additional off-site DR services may be purchased separately.

Service Maintenance

Application	
Oracle	Quarterly Oracle security patches, downtime scheduled between 8-11PM
MySQL	Annual MySQL version update, downtime scheduled between 9-11PM
Operating System	Quarterly security patches, downtime scheduled between 9-11PM
UNIX based application	Periodic version updates, downtime scheduled with Customer

Rate Information

All Provider current rate information is available on the Provider’s web site located at the following URL: http://ssrc.myflorida.com/price_sheet.html Requests for services beyond those outlined may be billed to the Customer at the listed Professional Services Rate.

7 Customer Funding Source

Acceptance of this SLA certifies the Customer has secured appropriate funding to cover costs associated with service. Customer must have legal authority to incur costs and enter into

agreement. The State of Florida's performance and obligation to pay under this contract is contingent upon an annual appropriation by the Legislature.

8 Billing Methodology

Costs will be recovered by the Provider in accordance with a federally approved state cost rate proposal, based on the requirements of Attachment E to Federal OMB Circular No. A-87. When combined, direct and indirect service charges constitute the total cost to the Customer for the service provided.

The Provider will invoice Customer monthly for services provided the preceding month based on utilization. Invoicing will begin in the first applicable billing cycle following delivery, installation, and implementation of the service. The Customer agrees to pay Provider for these services according to the current published Provider rates specified on Provider's website.

If for any reason an amount invoiced by the Provider to the Customer is shown to be incorrect, the appropriate credits and/or charges will be included on a subsequent invoice.

The Customer agrees to pay for services provided within forty (40) calendar days of invoice receipt. Invoice amounts in question by the Customer may be placed in dispute and handled according to the process outlined in the Escalation Process. The Customer may withhold payment only on the disputed portion of the invoice until the issue is resolved by the Provider and the Customer, or through mediation. The Customer will have fifteen (15) calendar days after receipt of an invoice to file a written dispute of any charges with the Provider. If a written dispute is not filed with the Provider within fifteen (15) calendar days, the Customer will be held responsible for payment of the amount invoiced for services provided. The Customer acknowledges it is in the best interest of the State for the Provider to meet its financial obligations to the entities with which the Provider has contracted to provide these services, and that prompt Customer payment of undisputed amounts on Provider invoices is a necessary component of satisfying these obligations. Therefore, failure to pay undisputed amounts promptly may subject the Customer to any necessary remedial actions and limitation or discontinuation of services.

Invoice corrections that span fiscal years will be handled as a service fee credit if the Provider is overpaid and a debit for service fees if the Provider is underpaid for services. Invoicing errors discovered after the fifteen (15) day written dispute window, will be handled as service fee credits or debits consistent with the process for handling errors which span fiscal years. The Customer cannot make deposits or pay for goods and/or services in advance unless approved under rules issued by the Florida Chief Financial Officer.

Any service provided stemming from agreements entered into with the Provider, shall be invoiced for specific cost of services and shall be submitted with sufficient detail for a proper pre-audit and post-audit.

Invoice payments will be made via journal transfer (JT) or electronic funds transfer (EFT) whenever possible. If unable to pay by JT or EFT, other arrangements can be made in advance.

All bills incurred by the Provider for any Customer related travel expenses shall be submitted and paid in accordance with the rates specified in Section 112.061, Florida Statutes, governing payments by the State for travel expenses. Travel expenses shall be pre-approved by the Customer and Provider prior to travel. All State of Florida travel forms and receipts must be submitted as supporting documentation prior to travel being paid by the Customer.

All Parties recognize that the State of Florida, by virtue of its sovereignty, is not required to pay taxes on the services and/or goods or equipment purchases as an incident to such service.

9 Service Rate Adjustment Process

The Provider uses a double step-down cost accounting process in accordance with a federally approved state cost rate methodology, based on the requirements of Attachment E to Federal OMB Circular No. A87 to determine cost based rates on a periodic basis. Currently, this activity occurs annually.

10 Service Termination

All SLAs have a contract term of up to three (3) years, but may include an option to renew for up to three (3) additional years contingent on approval by the board, and require at least a 180-day notice of service cancellation.

In the event the Customer wishes to terminate this or any SLA, the Customer shall notify the Provider's authorized representative via email at SSRC.ServiceCancellation@SSRC.MyFlorida.com and AEIT in writing with at least 180-day notice of service cancellation. The Provider will continue to invoice the Customer until the effective service termination date.

A service may be terminated by either party for cause only after giving the other party and the AEIT notice in writing of the cause for termination and an opportunity for the other party to resolve the identified cause within an agreed upon time frame by both parties.

11 Dispute Mediation

In the event that disputes or performance issues arise; the Customer will document and deliver the specific issues to the Provider's SLA Coordinator. The Provider will be given an opportunity to address and resolve the issue(s). Likewise, issues associated with the Customer's performance under this agreement shall be documented by the Provider and transmitted to the Customer's SLA Coordinator. Issues(s) will be addressed and resolved in an agreed upon time frame by both parties. If informal resolution between the parties is unsuccessful, the parties will proceed to mediation according to s.120.573 F.S. The parties will designate a mutually acceptable mediator who shall be certified by the Florida Supreme Court and each party will share equally in the cost of mediation.

12 Escalation Process

If a dispute or issue is not resolved between the Customer's SLA Coordinator and Provider's Platform Manager, the dispute or issue will be escalated through the escalation levels in the table below.

Level	Customer	Provider
1	SLA Coordinator	SLA Coordinator
2	Customer's Authorized Representative	Platform Manager / Chief, Enterprise Planning & Management
3	CIO	Executive Director
4	Agency/Deputy Head/CIO	Chairperson, SSRC Board of Trustees
5	Division of Administrative Hearings (pursuant to s. 120.573, Florida Statutes)	

13 Performance Penalties

Services are provided solely on a cost recovery basis. Any costs associated with established penalties would have to be recovered through rates charged for services. Federal OMB Circular No. A-87 requires equitable cost allocation for services to customer agencies. For these reasons the Provider cannot establish direct or indirect financial penalties for not meeting service level targets. However, the Provider may report to the Board of Trustees their success in meeting service levels for each service provided, and identify and speak to any shortfalls.

The Provider is committed to meet or exceed service level targets specified in the SLAs and will conduct performance reviews when requested with the Customer to assess the level of service provided. Additionally, the Provider's employee performance standards and reviews will include appropriate individual accountability for the applicable service level metrics.

14 Change Control Process Adherence

The Provider has internal change management procedures for reducing the risk of impact to the Customer when changes to production environments occur, and providing an appropriate level of communication to the Customer. The Provider's Change Control Board meets regularly to review all changes impacting services prior to any changes being implemented. Change Control Procedures exist for all scheduled and emergency changes associated with services covered by this Agreement. Advance notification of changes is required and sufficient time for the review and approval process to take place is necessary. The Customer will provide contact information for change control notification, scheduled change activities, and emergency change approval. It is the responsibility of the Customer to notify Provider, through the SSRC Services Desk, that a change (as communicated) may impact or disrupt Customer business functions.

Provider change management documentation and procedures are available for download at the following location: http://ssrc.myflorida.com/client_services.html

15 Standard Maintenance Window

The standard maintenance window for all Provider platforms, unless otherwise noted within Section 6 Product / Service Definition, is as follows:

Name	Time	Characteristics
<i>Service Availability Window (Scheduled Availability)</i>	Business Days: 7:00AM – 5:59PM (EST)	This is scheduled availability. Production services will not be purposefully brought down or impacted without an emergency service change request.
<i>Normal IT Maintenance Window</i>	Business Days: 6:00PM – 6:59AM (EST) Saturday and Sunday: All day	Normal maintenance, changes, and system enhancements will be performed during this time. Notification of expected down time between 7:00AM and 5:59PM on non-Business Days (weekends and holidays) will be provided to Customers. Routine facility maintenance and testing.
<i>Normal Backup Maintenance Window</i>	Business Days: 8:00AM – 5:00PM (EST)	Normal maintenance, changes and system enhancements will be performed during this time.
<i>DMS SUNCOM Network Maintenance Window</i>	Monday 12:30AM – 7:00AM (EST)	Normal network maintenance, changes and system enhancements will be performed by DMS SUNCOM during this time.

16 IT Security Management

To ensure the integrity of the State of Florida network and all associated information technology systems, pursuant to Rule 60DD-2, Florida Administrative Code, and any subsequent amendments, the Provider requires the Customer to cooperate with the Office of Information Security (OIS) within the Agency for Enterprise Information Technology (AEIT). Provider is responsible for security of all Provider services outlined within this document. The SSRC acknowledges its duty to maintain security of data and information technology resources pursuant to Section 282.318, Florida Statutes.

The Customer is the “custodian” of data/records transferred to the SSRC, especially for public records purposes, and as such the Customer determines data retention requirements, as well as access to these records/data pursuant to Florida law. The SSRC is designated to house these records pursuant to Sections 282.201-205, Florida Statutes, and as such the SSRC agrees to limit access to Customer’s data/records, other than those persons who require access as a part of their daily work activities, except as required under Chapter 119, the Florida Public Records Act or other applicable Florida or Federal law.

17 Security Incidents

Customer is responsible for application-level security, including, but not limited to, security patches for Customer managed applications. Application security should be done according to industry accepted best practices and include data validation to mitigate against risks of malicious activity.

Security incidents caused by Customer application, which require Provider assistance, will be billed at professional service rates. If the security incident is within the Provider

responsibilities, Customer will not be billed. Provider will initiate internal Computer Security Incident Response Team (CSIRT) procedures as defined by Provider security policies.

18 Disaster Recovery

Disaster Recovery (DR) is not automatically a part of this service. The Provider offers DR services through a DR provider, which agencies can utilize to meet their statutory requirements for DR of their mission critical computing systems and applications. All DR services contracted through the SSRC must be specified in Section 6, and all associated costs will be the responsibility of the Customer.

19 Continuity of Operations Planning (COOP)

The SSRC is required to develop its own business continuity plan pursuant to Section 282.203(1)(f), Florida Statutes. All costs related to SSRC's own COOP activities shall be paid by Provider.

Customers are responsible for their own COOP planning. It is the responsibility of the Customer to provide COOP instructions to the Provider regarding any Provider delivered service that may be affected by a Customer COOP activation. All Provider costs related to Customer COOP activities shall be billed at the Provider's Professional Services rate plus any applicable travel and materials cost.

The Customer COOP shall provide detailed instructions specifying the Provider delivered actions/procedures to be performed. The Customer COOP instructions shall also include the Customer's notification processes for COOP activation and subsequent resumption of normal operations. Drills of COOP activities must be coordinated at least four (4) months in advance with the Provider. Standard Professional Services rates (time, travel and materials) will apply.

20 Services Desk Operations

The Provider maintains a Services Desk which serves as the single point of contact for the Customer support needs. All requests for services including general service inquiries, incident reports and invoice discrepancy reviews, etc. should be placed through the Services Desk by contacting 850-487-1746 or 877-299-7772 (for calls outside of Tallahassee). Requests of lower severity can also be submitted to the Services Desk via email at help@ssrc.myflorida.com.

21 Services Desk Severity and Incident Escalation

All requests for service are assigned an incident number and a severity level, and prioritized using the following table, unless otherwise noted within Section 6 product/Service Definition, is as follows:

Severity	Defined Severity	Description	Maximum Response Times
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Severity	Defined Severity	Description	Maximum Response Times
1	Critical	The majority of Customers are experiencing a work stoppage of a mission critical function, application, platform, connection or environment that is interrupting the Customers' business.	2.5 Hour
2	High	A Customer is experiencing a partial failure of a supported function, application, platform, connection or environment that is disrupting the Customer's business.	5 Hours
3	Medium	A Customer is experiencing a reduced level of efficiency and performance of a supported function, application, platform, connection or environment that is impacting the Customer's business productivity.	2 Business Days
4	Low	A Customer has a functional (how-to) question they cannot answer through the SSRC Services Desk or wants to submit a request for installation or change of service in their technical or physical environment.	3.5 Business Days

The following table outlines the standard response time for escalation for each severity.

Group	Standard Tier Two Plus Response Times – By Severity			
	1 – Critical	2 – High	3 – Medium	4 - Low
Platform Support Group	1 Hour	2 Hours	8 Business Hours	3 Business Days
Automatic Escalation to Platform Management	30 Minutes	1 Hour	2 Business Hours	4 Business Hours
Automatic Escalation to Second Level Management	30 Minutes	1 Hour	2 Business Hours	N/A
Automatic Escalation to Upper Level Management and notification sent to the SSRC Executive Management	30 Minutes	1 Hour	4 Business Hours	N/A
Total Escalation Time	2.5 Hours	5 Hours	2 Business Days	3.5 Business Days

22 Hours of Operation

The Provider's Services Desk provides Customer support 24 hours per day, 7 days per week including holidays.

23 Document Change Management

It is mutually understood and agreed that any communications, promises, representations or agreements not included in writing in this agreement shall not be binding upon any party and that the agreement may not be altered, modified or otherwise changed at any time except with the written consent of each of the parties hereto.

The performance of additional work or additional expense incurred by the Provider beyond that expressly authorized in this SLA will not be accepted or approved for payment by the Customer unless previously authorized in a written amendment to the SLA signed by the Customer and the Provider.

This SLA will remain in effect unless amended or replaced with an updated version. To make modifications to this agreement, the Coordinators listed below must be contacted and modifications jointly approved by Customer's and Provider's representatives or their designees.

SLA Coordinators		
	Name	Phone
Customer SLA Coordinator	Molly Eichhoefer	(850) 414-4214
Provider SLA Coordinator	John A. Morden	(850) 488-5236

Agency Amendment for Right to Audit Florida Department of Transportation (FDOT)

Provider shall permit onsite visits by State and Department authorized employees, officers and agents to conduct audits to ensure compliance with Section 20.055, Florida Statutes. Provider shall grant access to all records pertaining to the contract including access to all computers, communications devices and any other equipment used to store, monitor, produce, or transmit such records at any premises, whether onsite or offsite.

Provider shall maintain all records and other evidence of the Provider, and any or all subcontractors, to support the costs incurred, and compensation received, directly or indirectly, by the Provider. The Department or its designated representatives, shall have the right to audit, copy, inspect said records and accounts at all reasonable times during the performance of this agreement and the retention period of three years after the cancellation, termination, or final payment, or until the conclusion of any claim, litigation, settlement or appeal; or for such longer period, if any, required by applicable law or regulation, whichever date is latest.

Acceptance of funds under this Agreement by Provider acts as acceptance of the authority of the Auditor General's Office, the Department of Financial Services and any successor or federal governmental authorities to conduct audits and investigations in connection with those funds. The Auditor General's Office, the Department of Financial Services and any federal governmental authority shall at any time have access to and rights to examine, audit, excerpt and transcribe any pertinent books, documents, working papers and records of Provider relating to this Agreement, notwithstanding any other provision of this Agreement. Provider shall fully cooperate with and provide all assistance requested by the Auditor General's Office and the Department of Financial Services and any successor or federal governmental authorities in the conduct of such audits or investigations, including providing all records requested.



Southwood Shared Resource Center
 2585 Shumard Oaks Blvd.
 Tallahassee, FL 32399-0950

**Quote/Order Document
 Attachment A**

Customer Name: **Department of Transportation**
 Agency ID: **DOT**

Date: **6/28/2010**
 Prepared by: **SSRC**
 Phone Number:
 Fax Number:
 E-Mail:

Customer Location:

Contact Name: **Nelson Hill**
 Contact Number:
 Contact Email:

For SSRC Use Only
Service Start Date:
Approved By:
Date:

Service Level Agreement Information

Agreement Title: **DOT Managed SLA FY10-11
 SSRC Open Systems Service**

This Order Document is placed in accordance with the agreement specified above ('Agreement Title').

Customer - Account	Description	FY Quantity	Unit Price	FY Costs
DOT	SSRC Open Systems Platform			
	<i>UNIX Managed Server</i>	24	\$ 828.90	\$ 19,893.60
	<i>Oracle Managed Database</i>	0	\$ 2,017.07	\$ -
	<i>Open Systems Net Based Services</i>	0	\$ 63.17	\$ -
	<i>EDI Translation</i>		\$ 0.007	\$ -
	<i>Open Systems Pass Thru Software</i>		variable	\$ 1,000.00
	Total			\$ 20,893.60

Total Service Costs: \$20,893.60

Comments: FY10-11 Cycle 5 pricing
 This quote is intended to identify estimated annual cost for the DOT SSRC Open Systems Production and DR environment. The estimated configuration is as follows:
 Servers utilized: Sun89 (DOT Production Cash Forecasting - located within the DOT data center), Sun7 (DOT DR Cash Forecasting - located within the SRC data center)
 The SSRC Open Systems servers for DOT come with internal storage for use in running this app. Storage charges, other than backup do not apply.
 Software and external services dedicated to the customer will be passed-thru as direct charges.



Southwood Shared Resource Center

SHARED TRANSITIONAL SERVICE SERVICE LEVEL AGREEMENT

Between the

Department of Transportation
Office of Information Systems

And

The Southwood Shared Resource Center

Shared Transitional Service


SLA Term

Fiscal Year 2010 – 2011

Service Level Agreement: Shared Transitional Service

Signature Authorization

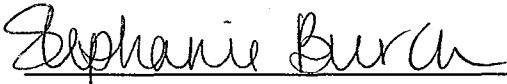
Department of Transportation:



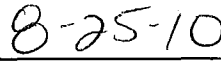
Nelson Hill, Chief Information Officer
Department of Transportation



Date



Additional Customer Signature (Name, Title)
Assistant General Counsel

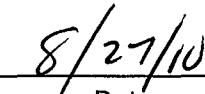


Date

Southwood Shared Resource Center:



John M. Wade, Executive Director
Southwood Shared Resource Center



Date

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Glossary of Terms

TERM	DEFINITION
Agency for Enterprise Information Technology (AEIT)	A State of Florida agency charged with developing strategies for the design, delivery, and management of enterprise information technology services; monitoring delivery and management of those services; and establishing rules and policies for managing those services.
Addendum	Subsequent amendments to this Service Level Agreement (SLA) which formalize additional Customer specific requirements. Section 6 will be revised to reference any Addendums. Organizationally, Addendums shall immediately follow the base SLA and Amendments.
Amendment	Formal statement of any changes made to the initial Southwood Shared Resource Center (SSRC) SLA. Customer specific requirements which have been identified as Amendments are referenced in Section 6. Organizationally, Amendments shall immediately follow the base SLA.
Attachment	Additional information provided to clarify or assist Provider in meeting terms of this SLA. Section 6 will be revised to reference any Attachments. Organizationally, Attachments shall immediately follow the base SLA, Amendments, and Addendums.
Business Day	The SSRC business hours are 8:00 am EST Monday through 6:00 pm EST Friday, excluding State holidays.
Change Control Board	A board that meets regularly to review all desired and planned IT platform changes to evaluate impact to Customers and ensure proper Customer communication.
Customer	Customer shall mean those "state parties" or "parties" as defined in Section 282 and Section 216.011(1)(gg), Florida Statutes and those "public bodies" or "political subdivisions" as defined in Section 1.01(8) and Section 252.34(8), Florida Statutes.
Customer Service Matrix	The Customer Service Matrix contains a list of FST customer servers, devices, and appliances that will be supported by the SSRC under the STS definition. The matrix defines the particular service to the particular device.

TERM	DEFINITION
Full Service Transition (FST)	The legislative initiative mandating the transfer of agency managed equipment housed at the SSRC to the control and management by the SSRC as of July 1, 2010.
Full Service Transition Customer	An agency that has taken part in the Full Service Transition.
Incident	Any request for service through the SSRC Services Desk – includes general service inquiries, incident reports, invoice discrepancy reviews, etc.
Parties	Authorized representative of both the Provider and the Customer.
Professional Services	Additional technical services not included in a Service Level Agreement. These services include, but are not limited to, Information Technology (IT) consulting, research, strategic planning, architectural design, implementation, migration assistance, security response, and issue resolution.
Provider	The Southwood Shared Resource Center (SSRC).
Service Change	A service change is defined as any change to hardware, software, network environment, etc., which directly affects the production environment within the SSRC. All service changes must be reviewed by the Change Control Board.
Service Change – Emergency	An Emergency Service Change is one that must be made in less than 24 hours.
Service Change Management	Change Control procedures necessary to affect a service change, ensuring communication with Customers and the least amount of risk for service disruption.
Service Level Agreement (SLA)	A formal agreement pursuant to Section 282.203, Florida Statutes, entered into by the Provider and the Customer that outlines the description of a service(s), the service level targets, costs, and the Provider and Customer responsibilities in delivering and receiving service(s) from the Provider.
Service Response	Provider action taken in response to a Customer's Incident.

TERM	DEFINITION
Standard Platform Managed Services	Standard Platform Services are services identified in the SSRC Services Catalog that provide infrastructure related support services to SSRC customers on the following platforms: Windows, Storage, Mainframe, Network, and Open Systems. These services typically include system monitoring, support services, hardware refresh, and license costs into a single utilization-based rate to recover the cost of common services performed.
Shared Transitional Service (STS)	The service was born out of the FST initiative, and is based on actual work performed and billed at an hourly Professional Services rate. The service reflects a shared approach to service delivery to satisfy the FST legislative requirements between the SSRC (provider) and the participating agencies (customers), and differs from Standard Managed Services in the nature, scope and consistency of services offered.
SSRC Services Desk	The Customer's first point of contact for service requests, problem resolution, invoicing discrepancies and other service related issues.
Southwood Shared Resource Center (SSRC)	A full-service, information-processing facility offering hardware, software, operations, networking, and co-location services. Also referred to as the Southwood Data Center.
SSRC Board of Trustees	Board created by Section 282.203, Florida Statutes and appointed by the agency head or chief executive officer of the representative Customer entities.

1 Statement of Purpose

This Service Level Agreement (SLA) formalizes the service terms between the Customer and the Provider, including the scope and definition of technical services, responsibilities for operational areas, service level targets, and the pricing for services delivered. This SLA also communicates the commitment of the Provider to furnish quality and prompt service to the Customer in a manner that contributes to the successful accomplishment of the Customer's mission.

In the event that any other entity assumes the present responsibilities of the Provider (e.g., through legislative enactment, contractual agreement, etc.), these services shall be provided under these same terms by the successor, under the provisions of the SLA, to the extent allowed under Florida law.

2 Legal Authority

Florida law requires the finalization of a SLA between the Southwood Shared Resource Center and each customer entity for each service provided by the SSRC pursuant to Section

282.203(1)(g) and Section 282.203(3)(e)2., Florida Statutes. Under certain circumstances, the failure of a customer entity to execute an agreement may result in the SSRC ceasing services pursuant to Section 282.203(1)(g)3., Florida Statutes.

This SLA is entered into by and between the Southwood Shared Resource Center (herein referred to as "Provider") and the Department of Transportation (herein referred to as "Customer"), pursuant to Section 282.203(1)(g), Florida Statutes.

All matters, whether sounding in tort or contract, relating to the validity, construction, interpretation, performance and enforcement of this Agreement shall be determined by the laws of the State of Florida.

Any amendment to this SLA, as agreed to by the Customer and Provider, shall take precedence over any conflicting provision in this SLA without invalidating the remaining provisions of this Agreement. Customer specific requirements which have been identified as amendments are referenced in Section 6.

Any provision or amendment of this Agreement in violation of the laws of the State of Florida shall be ineffective to the extent of such violation, without invalidating the remaining provisions of this agreement.

Any provision or amendment of this Agreement in violation of rules adopted by the Agency for Enterprise Information Technology (AEIT) pursuant to Section 282.201, Florida Statutes shall be ineffective to the extent of such violation, without invalidating the remaining provisions of this agreement.

No delay or omission to exercise any right, power or remedy accruing to either party upon breach or default by either party under this agreement, shall impair any such right, power or remedy of either party; nor shall such delay or omission be construed as a waiver of any such breach of default, or any similar breach or default thereafter occurring; nor shall any waiver of single breach or default be deemed a waiver of any subsequent breach or default. All waivers must be in writing.

This Agreement shall bind the successors, assigns and legal representatives of the Provider and of any legal entity that succeeds to the obligations of the Customer.

This Agreement shall be unilaterally canceled by the Customer for refusal to allow public access to all documents, papers, letters or other material subject to the provisions of Chapter 119, Florida Statutes, and made or received in conjunction with the Agreement.

In accordance with Section 287.134, Florida Statutes, the Provider will not enter in to a contract with an entity or affiliate who has: been placed on the discriminatory vendor list; may not submit a bid, proposal, or reply on a contract to provide any goods or services to a public entity; may not submit a bid, proposal, or reply on a contract with a public entity for the construction

or repair of a public building or public work; may not submit bids, proposals, or replies on leases of real property to a public entity; may not be awarded or perform work as a contractor, supplier, subcontractor, or consultant under a contract with any public entity; and may not transact business with any public entity.

Employment by the Provider of any individual or contractor who is an unauthorized alien(s) is a violation of section 274(e) of the Federal Immigration and Nationality Act. Such violation shall be cause for unilateral cancellation of this Agreement.

3 Roles and Responsibilities

General roles and responsibilities are defined below. Additional roles and responsibilities may be outlined in the Product/Service Description section:

Service Responsibilities	Customer	Provider
Utilize the Provider’s Services Desk for all Provider service needs or service related inquiries	X	
Provide and maintain a single Customer contact for service notifications (client-side distribution list recommended)	X	
Provide and maintain a single Customer contact for billing related issues (client-side distribution list recommended)	X	
Provide and maintain a single Customer contact for technical issues (client-side distribution list recommended)	X	
Provide timely notification of changes to the Provider’s Change Control Board	X	
Provide prompt payment for services rendered	X	
Provide and maintain a list of Change Control Board Members		X
Shall adhere to the data center duties related to primary data centers as outlined in Chapter 282.203, Florida Statutes		X
Provide timely notification of any service changes		X
Provide timely notification of any planned outages		X

Customer Contacts	
Service Notifications Contact / Distribution List	FDOT-SSRC.ServiceNotification@dot.state.fl.us
Billing Related Issues Contact / Distribution List	FDOT-SSRC.BillingIssues@dot.state.fl.us
Technical Contact / Distribution List	FDOT-SSRC.TechnicalIssues@dot.state.fl.us

4 Term and Renewal Conditions

SLAs may not have a term exceeding three (3) years but may include an option to renew for up to three (3) years, contingent on approval by the SSRC Board of Trustees. SLAs will be defined and approved by the Board in compliance with rules of the Agency for Enterprise Information Technology (AEIT). Terms and rates for services are reviewed periodically to assure cost-recovery and are subject to change by the SSRC Board of Trustees.

5 Transfer of Computing Services

Pursuant to Section 282.203(1)(g), Florida Statutes, the transfer of computing services between primary data center facilities without at least 180 days' notice of service cancellation is prohibited.

6 Product / Service Description

Scope of Work

The Shared Transitional service provides for the use of dedicated equipment to the Full Service Transition Customer preparing to migrate into standard Platform services. The Provider and Customer are responsible for ensuring the overall health and availability of the hardware, operating system, standard application services, basic network connectivity and security of the equipment. This is a transitional service, designed to provide "as-is" services (as identified and budgeted during the FST Data Collection effort) for a limited time frame, while FST Customers migrate to the standard platform services. Per the intent of FST, application management is the responsibility of the Customer. This SLA Service Description includes the following and the attached Customer Service Matrix.

The following amendments are reviewed and approved and constitute additional customer requirements:

Amendment A - Agency Amendment for Right to Audit

Amendment B - Customer Service Matrix

Additionally, the Provider has provided the following attachments for this SLA:

Attachment A - Attachment for SSRC Rate Schedule / Order Document

Service Description

Shared Transitional services encompass all previously provisioned Customer hardware, system software, monitoring systems, backups and storage administration located within and transferred to the SSRC during Full Service Transition. This service includes any administrative support relating to the transitioned software and equipment. The Shared Transitional service will support equipment and services for a limited time frame, not to exceed a three (3) year time frame.

The Shared Transitional service provides hourly billing for all technical activities performed by SSRC staff. Other billable service activities include, but are not limited to research, training, security, documentation and project management activities required to support the Customer.

After hours and on-call support is available for all transitioned equipment and services. Customers must identify what equipment and services on the Customer Service Matrix which are to be covered and may provide the SSRC with automated alerts or contact the SSRC help desk to report incidents. Additional billable charges for on-call support and after hour's rates will apply.

Specific roles and responsibilities are defined in the attached Customer Service Matrix. Each responsibility associated with the service is described and the owner of the responsibility is included.

Service Responsibilities	Customer	Provider
Operating System Security and Administration		X
Server Management and Administration		X
Server Connectivity to Wide Area Network (as provided by Customer prior to FST)		X
Server Operating System License Purchase and Maintenance (Pass through)		X
Adhere to Provider Change Management procedures	X	X
Perform logical database user administration and application code tuning	X	
Perform physical database administration		X
Perform application testing following Provider patch services	X	
Application management	X	
Use Provider Service Desk for all service needs	X	
Purchase and maintain application licenses and maintenance	X	

Performance Measures

The Shared Transitional service provides performance at the levels as designated through the budgeted transfer by the transitioning Customers. This level will be determined by each Customer agency in the Customer Service Matrix. Monthly tracking of expenditure for service delivery will be supplied to the Customer. Customers may adjust the service activity level based on this information.

System Availability Monitoring

System availability is monitored via the Customer transitioned monitoring tools. Systems and services without a transitioned monitoring system need to extend their alerts to Provider. Customer maintenance windows are defined within the Customer Service Matrix.

Service Response

The Provider's Service Desk is the first point of contact for all Customers. All requests for services including general service inquiries, incident reports and invoice discrepancy reviews, should be placed through the Services Desk by contacting 850-487-1746 or 866-693-5873 (for Outside Tallahassee). Requests of lower severity can be submitted to the Services Desk via email at Help@ssrc.myflorida.com.

Rate Information

The Shared Transitional service rate information is available on the Provider's web site located at the following URL: http://ssrc.myflorida.com/price_sheet.html.

7 Customer Funding Source

Acceptance of this SLA certifies the Customer has secured appropriate funding to cover costs associated with service. Customer must have legal authority to incur costs and enter into agreement. The State of Florida's performance and obligation to pay under this contract is contingent upon an annual appropriation by the Legislature.

8 Billing Methodology

Costs will be recovered by the Provider in accordance with a federally approved state cost rate proposal, based on the requirements of Attachment E to Federal OMB Circular No. A-87. When combined, direct and indirect service charges constitute the total cost to the Customer for the service provided.

The Provider will invoice Customer monthly for services provided the preceding month based on utilization. Invoicing will begin in the first applicable billing cycle following delivery, installation, and implementation of the service. The Customer agrees to pay Provider for these services according to the current published Provider rates specified on Provider's website.

If for any reason an amount invoiced by the Provider to the Customer is shown to be incorrect, the appropriate credits and/or charges will be included on a subsequent invoice.

The Customer agrees to pay for services provided within forty (40) calendar days of invoice receipt. Invoice amounts in question by the Customer may be placed in dispute and handled according to the process outlined in the Escalation Process. The Customer may withhold payment only on the disputed portion of the invoice until the issue is resolved by the Provider and the Customer, or through mediation. The Customer will have fifteen (15) calendar days after receipt of an invoice to file a written dispute of any charges with the Provider. If a written dispute is not filed with the Provider within fifteen (15) calendar days, the Customer will be held responsible for payment of the amount invoiced for services provided. The Customer acknowledges it is in the best interest of the State for the Provider to meet its financial obligations to the entities with which the Provider has contracted to provide these services, and that prompt Customer payment of undisputed amounts on Provider invoices is a necessary component of satisfying these obligations. Therefore, failure to pay undisputed amounts promptly may subject the Customer to any necessary remedial actions and limitation or discontinuation of services.

Invoice corrections that span fiscal years will be handled as a service fee credit if the Provider is overpaid and a debit for service fees if the Provider is underpaid for services. Invoicing errors discovered after the fifteen (15) day written dispute window, will be handled as service fee credits or debits consistent with the process for handling errors which span fiscal years. The Customer cannot make deposits or pay for goods and/or services in advance unless approved under rules issued by the Florida Chief Financial Officer.

Any service provided stemming from agreements entered into with the Provider, shall be invoiced for specific cost of services and shall be submitted with sufficient detail for a proper pre-audit and post-audit.

Invoice payments will be made via journal transfer (JT) or electronic funds transfer (EFT) whenever possible. If unable to pay by JT or EFT, other arrangements can be made in advance.

All bills incurred by the Provider for any Customer related travel expenses shall be submitted and paid in accordance with the rates specified in Section 112.061, Florida Statutes, governing payments by the State for travel expenses. Travel expenses shall be pre-approved by the Customer and Provider prior to travel. All State of Florida travel forms and receipts must be submitted as supporting documentation prior to travel being paid by the Customer.

All Parties recognize that the State of Florida, by virtue of its sovereignty, is not required to pay taxes on the services and/or goods or equipment purchases as an incident to such service.

9 Service Rate Adjustment Process

The Provider uses a double step-down cost accounting process in accordance with a federally approved state cost rate methodology, based on the requirements of Attachment E to Federal OMB Circular No. A87 to determine cost based rates on a periodic basis. Currently, this activity occurs annually.

10 Service Termination

All SLAs have a contract term of up to three (3) years, but may include an option to renew for up to three (3) additional years contingent on approval by the board, and require at least a 180-day notice of service cancellation.

In the event the Customer wishes to terminate this or any SLA, the Customer shall notify the Provider's authorized representative via email at SSRC.ServiceCancellation@SSRC.MyFlorida.com and AEIT in writing with at least 180-day notice of service cancellation. The Provider will continue to invoice the Customer until the effective service termination date.

A service may be terminated by either party for cause only after giving the other party and the AEIT notice in writing of the cause for termination and an opportunity for the other party to resolve the identified cause within an agreed upon time frame by both parties.

11 Dispute Mediation

In the event that disputes or performance issues arise; the Customer will document and deliver the specific issues to the Provider's SLA Coordinator. The Provider will be given an opportunity to address and resolve the issue(s). Likewise, issues associated with the Customer's performance under this agreement shall be documented by the Provider and transmitted to the Customer's SLA Coordinator. Issues(s) will be addressed and resolved in an agreed upon time frame by both parties. If informal resolution between the parties is unsuccessful, the parties

will proceed to mediation according to s.120.573 F.S. The parties will designate a mutually acceptable mediator who shall be certified by the Florida Supreme Court and each party will share equally in the cost of mediation.

12 Escalation Process

If a dispute or issue is not resolved between the Customer's SLA Coordinator and Provider's Platform Manager, the dispute or issue will be escalated through the escalation levels in the table below.

Level	Customer	Provider
1	SLA Coordinator	SLA Coordinator
2	Customer's Authorized Representative	Platform Manager / Chief, Enterprise Planning & Management
3	CIO	Executive Director
4	Agency/Deputy Head/CIO	Chairperson, SSRC Board of Trustees
5	Division of Administrative Hearings (pursuant to s. 120.573, Florida Statutes)	

13 Performance Penalties

Services are provided solely on a cost recovery basis. Any costs associated with established penalties would have to be recovered through rates charged for services. Federal OMB Circular No. A-87 requires equitable cost allocation for services to customer agencies. For these reasons the Provider cannot establish direct or indirect financial penalties for not meeting service level targets. However, the Provider may report to the Board of Trustees their success in meeting service levels for each service provided, and identify and speak to any shortfalls.

The Provider is committed to meet or exceed service level targets specified in the SLAs and will conduct performance reviews when requested with the Customer to assess the level of service provided. Additionally, the Provider's employee performance standards and reviews will include appropriate individual accountability for the applicable service level metrics.

14 Change Control Process Adherence

The Provider has internal change management procedures for reducing the risk of impact to the Customer when changes to production environments occur, and providing an appropriate level of communication to the Customer. The Provider's Change Control Board meets regularly to review all changes impacting services prior to any changes being implemented. Change Control Procedures exist for all scheduled and emergency changes associated with services covered by this Agreement. Advance notification of changes is required and sufficient time for the review and approval process to take place is necessary. The Customer will provide contact information for change control notification, scheduled change activities, and emergency change approval. It is the responsibility of the Customer to notify Provider, through the SSRC Services Desk, that a change (as communicated) may impact or disrupt Customer business functions.

Provider change management documentation and procedures are available for download at the following location: http://ssrc.myflorida.com/client_services.html

15 Standard Maintenance Window

The standard maintenance window for all Provider platforms, unless otherwise noted within Customer Service Matrix, is as follows:

Name	Time	Characteristics
<i>Service Availability Window (Scheduled Availability)</i>	Business Days: 7:00AM – 5:59PM (EST)	This is scheduled availability. Production services will not be purposefully brought down or impacted without an emergency service change request.
<i>Normal IT Maintenance Window</i>	Business Days: 6:00PM – 6:59AM (EST) Saturday, Sunday and State Holidays: All day	Normal maintenance, changes, and system enhancements will be performed during this time. Notification of expected down time between 7:00AM and 5:59PM on non-Business Days (weekends and holidays) will be provided to Customers. Routine facility maintenance and testing.
<i>Normal Backup Maintenance Window</i>	Business Days: 8:00AM – 5:00PM (EST)	Normal maintenance, changes and system enhancements will be performed during this time.
<i>DMS SUNCOM Network Maintenance Window</i>	Monday 12:30AM – 7:00AM (EST)	Normal network maintenance, changes and system enhancements will be performed by DMS SUNCOM during this time.

16 IT Security Management

To ensure the integrity of the State of Florida network and all associated information technology systems, pursuant to Rule 60DD-2, Florida Administrative Code, and any subsequent amendments, the Provider requires the Customer to cooperate with the Office of Information Security (OIS) within the Agency for Enterprise Information Technology (AEIT). Provider is responsible for security of all Provider services outlined within this document. The SSRC acknowledges its duty to maintain security of data and information technology resources pursuant to Section 282.318, Florida Statutes.

The Customer is the “custodian” of data/records transferred to the SSRC, especially for public records purposes, and as such the Customer determines data retention requirements, as well as access to these records/data pursuant to Florida law. The SSRC is designated to house these records pursuant to Sections 282.201-205, Florida Statutes, and as such the SSRC agrees to limit access to Customer’s data/records, other than those persons who require access as a part of their daily work activities, except as required under Chapter 119, the Florida Public Records Act.

17 Security Incidents

Customer is responsible for application-level security, including, but not limited to, security patches for Customer managed applications. Application security should be done according to industry accepted best practices and include data validation to mitigate against risks of malicious activity.

Security incidents caused by Customer application, which require Provider assistance, will be billed at professional service rates. If the security incident is within the Provider responsibilities, Customer will not be billed. Provider will initiate internal Computer Security Incident Response Team (CSIRT) procedures as defined by Provider security policies.

18 Disaster Recovery

Disaster Recovery (DR) is not automatically a part of this service. The Provider offers DR services through a DR provider, which agencies can utilize to meet their statutory requirements for DR of their mission critical computing systems and applications. All DR services contracted through the SSRC must be specified in Section 6, and all associated costs will be the responsibility of the Customer.

19 Continuity of Operations Planning (COOP)

The SSRC is required to develop its own business continuity plan pursuant to Section 282.203(1)(f), Florida Statutes. All costs related to SSRC's own COOP activities shall be paid by Provider.

Customers are responsible for their own COOP planning. It is the responsibility of the Customer to provide COOP instructions to the Provider regarding any Provider delivered service that may be affected by a Customer COOP activation. All Provider costs related to Customer COOP activities shall be billed at the Provider's Professional Services rate plus any applicable travel and materials cost.

The Customer COOP shall provide detailed instructions specifying the Provider delivered actions/procedures to be performed. The Customer COOP instructions shall also include the Customer's notification processes for COOP activation and subsequent resumption of normal operations. Drills of COOP activities must be coordinated at least four (4) months in advance with the Provider. Standard Professional Services rates (time, travel and materials) will apply.

20 Services Desk Operations

The Provider maintains a Services Desk which serves as the single point of contact for the Customer support needs. All requests for services including general service inquiries, incident reports and invoice discrepancy reviews, etc. should be placed through the Services Desk by contacting 850-487-1746 or 877-299-7772 (for calls outside of Tallahassee). Requests of lower severity can also be submitted to the Services Desk via email at help@ssrc.myflorida.com.

21 Services Desk Severity and Incident Escalation

All requests for service are assigned an incident number and a severity level, and prioritized using the following table, unless otherwise noted within Customer Service Matrix Definition, is as follows:

Severity	Defined Severity	Description	Maximum Response Times
1	Critical	The majority of Customers are experiencing a work stoppage of a mission critical function, application, platform, connection or environment that is interrupting the Customers' business.	2.5 Hour
2	High	A Customer is experiencing a partial failure of a supported function, application, platform, connection or environment that is disrupting the Customer's business.	5 Hours
3	Medium	A Customer is experiencing a reduced level of efficiency and performance of a supported function, application, platform, connection or environment that is impacting the Customer's business productivity.	2 Business Days
4	Low	A Customer has a functional (how-to) question they cannot answer through the SSRC Services Desk or wants to submit a request for installation or change of service in their technical or physical environment.	3.5 Business Days

The following table outlines the standard response time for escalation for each severity.

Group	Standard Tier Two Plus or above Response Times – By Severity			
	1 – Critical	2 – High	3 – Medium	4 - Low
Platform Support Group	1 Hour	2 Hours	8 Business Hours	3 Business Days
Automatic Escalation to Platform Management	30 Minutes	1 Hour	2 Business Hours	4 Business Hours
Automatic Escalation to Second Level Management	30 Minutes	1 Hour	2 Business Hours	N/A
Automatic Escalation to Upper Level Management and notification sent to the SSRC Executive Management	30 Minutes	1 Hour	4 Business Hours	N/A
Total Escalation Time	2.5 Hours	5 Hours	2 Business Days	3.5 Business Days

22 Hours of Operation

The Provider's Services Desk provides Customer support 24 hours per day, 7 days per week including holidays.

23 Document Change Management

It is mutually understood and agreed that any communications, promises, representations or agreements not included in writing in this agreement shall not be binding upon any party and that the agreement may not be altered, modified or otherwise changed at any time except with the written consent of each of the parties hereto.

The performance of additional work or additional expense incurred by the Provider beyond that expressly authorized in this SLA will not be accepted or approved for payment by the Customer unless previously authorized in a written amendment to the SLA signed by the Customer and the Provider.

This SLA will remain in effect unless amended or replaced with an updated version. To make modifications to this agreement, the Coordinators listed below must be contacted and modifications jointly approved by Customer’s and Provider’s representatives or their designees.

SLA Coordinators		
	Name	Phone
Customer SLA Coordinator	Molly Eichhoefer	(850) 414-4214
Provider SLA Coordinator	John A. Morden	(850) 488-5236

Agency Amendment for Right to Audit Florida Department of Transportation (FDOT)

Provider shall permit onsite visits by State and Department authorized employees, officers and agents to conduct audits to ensure compliance with Section 20.055, Florida Statutes. Provider shall grant access to all records pertaining to the contract including access to all computers, communications devices and any other equipment used to store, monitor, produce, or transmit such records at any premises, whether onsite or offsite.

Provider shall maintain all records and other evidence of the Provider, and any or all subcontractors, to support the costs incurred, and compensation received, directly or indirectly, by the Provider. The Department or its designated representatives, shall have the right to audit, copy, inspect said records and accounts at all reasonable times during the performance of this agreement and the retention period of three years after the cancellation, termination, or final payment, or until the conclusion of any claim, litigation, settlement or appeal; or for such longer period, if any, required by applicable law or regulation, whichever date is latest.

Acceptance of funds under this Agreement by Provider acts as acceptance of the authority of the Auditor General's Office, the Department of Financial Services and any successor or federal governmental authorities to conduct audits and investigations in connection with those funds. The Auditor General's Office, the Department of Financial Services and any federal governmental authority shall at any time have access to and rights to examine, audit, excerpt and transcribe any pertinent books, documents, working papers and records of Provider relating to this Agreement, notwithstanding any other provision of this Agreement. Provider shall fully cooperate with and provide all assistance requested by the Auditor General's Office and the Department of Financial Services and any successor or federal governmental authorities in the conduct of such audits or investigations, including providing all records requested.



Southwood Shared Resource Center
 2585 Shumard Oaks Blvd.
 Tallahassee, FL 32399-0950

**Quote/Order Document
 Attachment A**

Customer Name: *Department of Transportation*
 Agency ID: *DOT*
 Customer Location:

Date: 6/28/2010
 Prepared by: SSRC
 Phone Number:
 Fax Number:
 E-Mail:

Contact Name: Nelson Hill
 Contact Number:
 Contact Email:

For SSRC Use Only
Service Start Date:
Approved By:
Date:

Service Level Agreement Information

Agreement Title: DOT Managed SLA FY10-11
 Shared Transitional Service

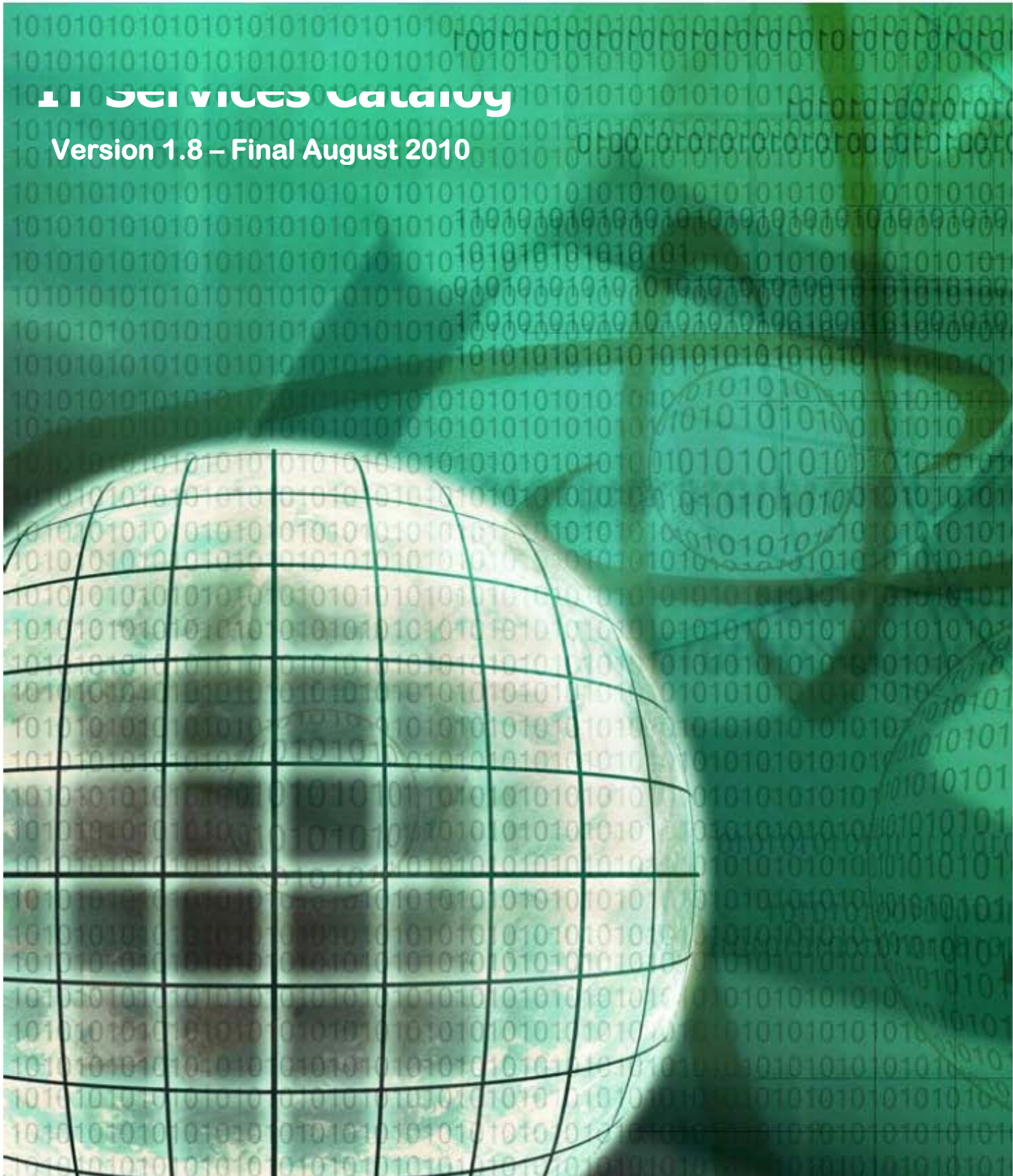
This Order Document is placed in accordance with the agreement specified above ('Agreement Title').

Customer - Account	Description	FY Quantity	Unit Price	FY Costs
DOT	Shared Transitional Service			
	<i>STS Pass-thru Costs</i>			\$ 7,380.00
	<i>STS Hour's of Support</i>	0.0	\$ 68.00	\$ -
	<i>DMS Network Costs</i>			\$ -
	Total			\$ 7,380.00

Total Service Costs: \$7,380.00

Comments: FY10-11 Cycle 5 pricing

Software and external services dedicated to the customer will be passed-thru as direct charges.



IT SERVICES CATALOG

Version 1.8 – Final August 2010

Florida Department of Transportation

*Office of Information Systems
Nelson Hill, Chief Information Officer
Tallahassee, Florida*

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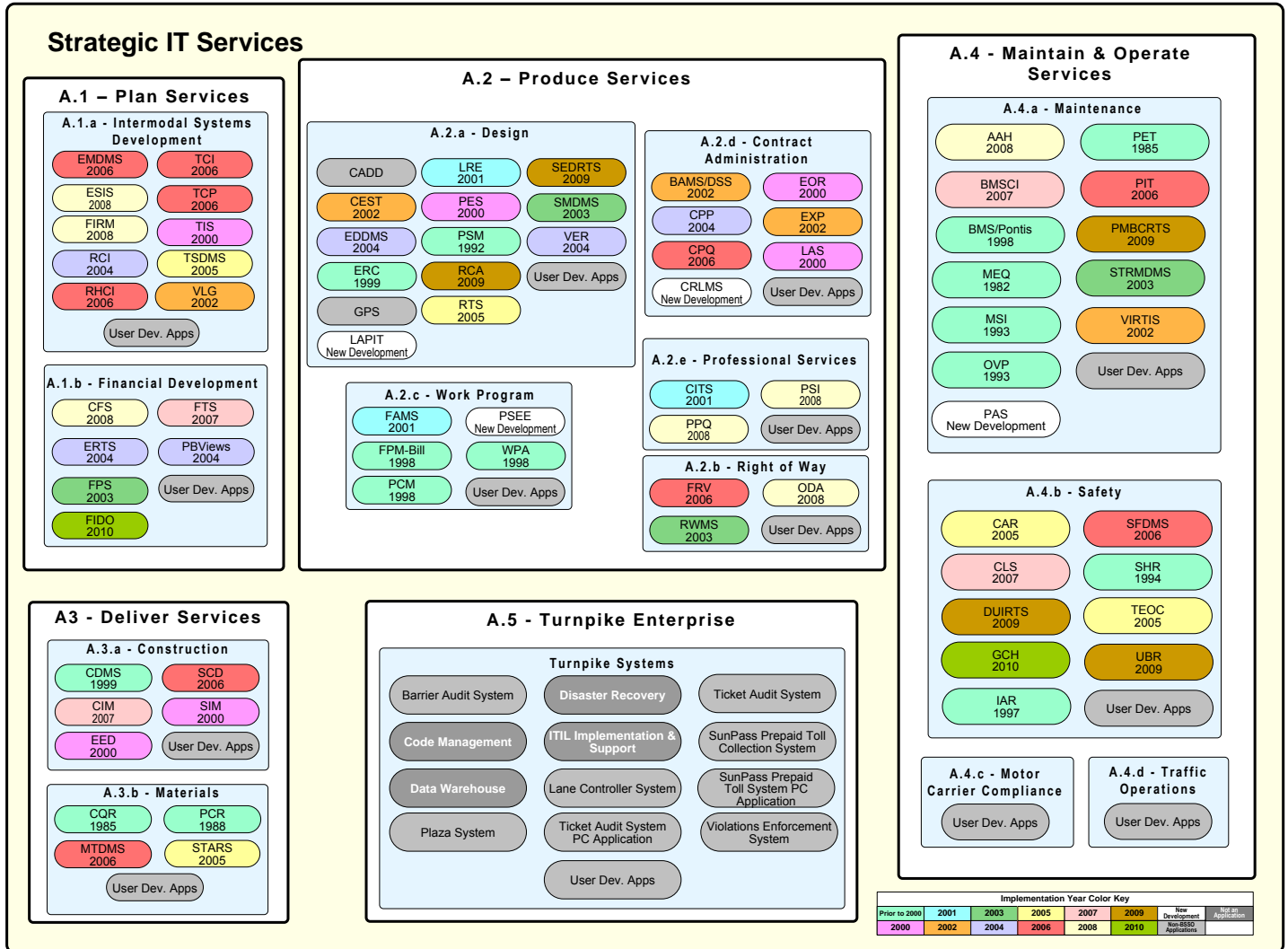
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A. Strategic IT Services

A.1 PLAN Services

Plan Services support the Department's Core Business Process "Plan." The "Plan" process includes the functions that develop short- and long-term transportation plans to deliver transportation facilities in a timely manner. These transportation plans include both the engineering and the financial requirements for delivery of transportation facilities. The offices of Planning, Public Transportation, Work Program, Financial Development, District Offices, Local Agencies, Metropolitan Planning Organizations, and Consultants are part of this process.

A.1.a Intermodal Systems Development

Intermodal Systems Development includes two program areas: the Florida Transportation Plan and public transportation. This service involves collecting, organizing, and maintaining data required to enable the establishment of a transportation policy framework, including coordination and development of the Florida Transportation Plan. This program also involves decision support systems that support the management of four principal modes of public transportation – aviation, transit, rail, intermodal access and seaports. The technical foundation consists of on-line application systems, some of which are web enabled, that provide real-time update and retrieval of data from DB2 and Oracle databases. Enterprise reports are provided. Additional technology is comprised of desktop applications along with a number of user-developed and commercial systems deployed on Wintel and Mainframe platforms accessing DB2, Oracle and SQL databases.

A.1.a.1 Environmental Management Document Management System (EMDMS) – This EDMS application manages the Environmental Management Business Area supporting documentation.

A.1.a.2 Rail Highway Crossing Inventory (RHCI) – Tracks all Florida railroad crossings. The data collected is used to update the corresponding data in the U.S. DOT crossing inventory system.

A.1.a.3 Roadway Characteristics Inventory (RCI) – Maintains an inventory of characteristics for roadways in Florida. The data includes highway routes, accident, and intersection and interchanges locations, inventory of items on and adjacent to roadways, and maintenance responsibility.

A.1.a.4 Transportation Statistics Document Management System (TSDMS) – This EDMS application manages Transportation Statistics Business Area supporting documentation.

A.1.a.5 Traffic Information System (TIS) – Provides statistical traffic information to emergency operation centers and the public.

A.1.a.6 Traffic Characteristics Inventory (TCI) – Archives & reports traffic volumes, classifications and related traffic data since 1970. Data is collected & stored using traffic count stations & distributed across the entire state highway system through the use of traffic breaks.

A.1.a.7 Enterprise Strategic Intermodal System (ESIS) – Enterprise application to consolidate strategic intermodal system databases into a single database application. Application consists of a web portal and GIS interactive map.

A.1.a.8 Video Log (VLG) – Video Log is an application that provides visual verification and association of the State Highway System (SHS) with other real world and virtual data.

A.1.a.9 Traffic Control Products (TCP) – This application lists the Department approved Official Traffic Control Devices (and related ancillary equipment or materials) used during the construction and/or maintenance of a signalized intersection. Listing is required before a product can be sold or installed in the State of Florida.

A.1.a.10 Facilities Reporting & Inventory Maintenance (FIRM) – Manage the facilities and the contents therein for which FDOT has an insurable interest. Creation of federal packets for FEMA and/or FHWA.

A.1.a.11 Office and/or User Developed Applications and Systems – This represents commercial software and applications acquired or developed by program areas or office-specific (non-enterprise) applications provided by central IT.

A.1.b Financial Development

Financial Development service involves collecting, organizing, and maintaining data related to roadway characteristics and Department projects to enable financial and work program planning and tracking, and to obtain federal authorization for approved projects. The technical foundation consists of on-line application systems, some of which are web enabled, that provide real-time update and retrieval of data from DB2 and Oracle databases. Enterprise reports are provided. Additional technology is comprised of desktop applications along with a number of user-developed and commercial systems deployed on Wintel and Mainframe platforms accessing DB2, Oracle and SQL databases.

A.1.b.1 Cash Forecasting System (CFS) – The Cash Forecast System is used to determine if a specific version of the Work Program Plan is financed by applying assumptions, trends, rates, etc. and also calculates how much cash is

forecasted to be available each month for ten years to meet contractual obligations of the Department.

A.1.b.2 Estimate Report Tracking System (ERTS) – The ERTS tracks/reports on Performance and Quality measure trends for Construction and Right of Way Cost Estimates.

A.1.b.3 Financial Project Search (FPS) – This system is a crosswalk for highway operations, construction, materials, maintenance, design, and right of way to search for project numbers and project information.

A.1.b.4 Panorama Business Views (PBViews) – COTS Information analysis system which tracks and monitors key performance measures for the Department. Data can be displayed in a variety of ways (raw data, trend charts, and graphs) for particular time intervals at different management levels or functional groupings.

A.1.b.5 Funds Transfer System (FTS) – This system tracks the transmission of funds (cash and checks) between offices and allows for the reconciliation of amounts received to amounts deposited.

A.1.b.6 Office and/or User Developed Applications and Systems – This represents commercial software and applications acquired or developed by program areas or office-specific (non-enterprise) applications provided by central IT.

A.1.b.7 FLAIR Information Delivery Options (FIDO) – This intranet application is a web-based ad-hoc reporting system for FLAIR data. It will provide point-and-click filtering and report production (on-screen, PDF and Excel).

A.2 PRODUCE Services

Produce Services support the Department's Core Business Process "Produce." The "Produce" Process includes the functions that design transportation facilities and perform related activities such as Right-of-Way, Environmental Management, Surveying, Mapping, Permits and Professional Services. In addition to these offices in the Central Office and the Districts; Local Agencies, Federal Agencies and Consultants are integral parts of the process. The technical foundation for Produce Services consists of on-line application systems, some of which are web enabled, that provide real-time update and retrieval of data from DB2 and Oracle databases. Enterprise reports are provided. Additional technology is comprised of desktop applications along with a number of user-developed and commercial systems deployed on Wintel and Mainframe platforms accessing DB2, Oracle and SQL databases.

A.2.a Design

Design service involves collecting, organizing, and maintaining data related to the activities and resources associated with environmental concerns, corridor location and other project development issues, project surveying and mapping, roadway and structural design phases, traffic engineering, project estimating, project specifications development, project management including both in-house and consultant development and support, and quality assurance in all of these areas as related to highway and bridge construction projects.

A.2.a.1 Engineering and Design Document Management System (EDDMS)

– This EDMS application manages Engineering and Design Business Area supporting documentation.

A.2.a.2 Surveying and Mapping Document Management System (SMDMS) –

This EDMS application manages Surveying and Mapping Business Area supporting documentation.

A.2.a.3 Computer Aided Drafting and Design (CADD) – Personnel, hardware, software, and services that provide the Department's engineering community with engineering/CADD oriented applications, support and training to be used in the planning, design, construction, and maintenance of transportation facilities.

A.2.a.4 Global Positioning System (GPS) – Personnel, hardware, software, and services that support the statewide Global Positioning (GPS) reference network. This network supplements the GPS surveying functions for the department.

A.2.a.5 Project Scheduling and Maintenance (PSM) – Provides the tools necessary to organize, track and report or chart a pre-construction project's progress.

A.2.a.6 Value Engineering Recommendation (VER) – Maintains an inventory of value engineering studies performed, which includes key subjects covered in the study, recommendations from the study and savings of practices subsequently implemented.

A.2.a.7 Resolution Tracking System (RTS) – This system provides an auditable trail of process information for the recovery of damages caused by Errors and Omissions in the contracting process. Formerly called Errors and Omissions Tracking System (EOTS).

A.2.a.8 Long Range Estimating (LRE) – The Long Range Estimating system produces conceptual construction cost estimates for road, bridge and related projects.

A.2.a.9 Trns*Port Proposal and Estimates System (PES) – Design and build of contract proposals through use of pay items and quantities.

A.2.a.10 Trns*Port CES Estimating System (CEST) – CES module for reporting and computations needed to produce accurate timely construction cost estimates needed in the analysis of construction bids.

A.2.a.12 Research Contract Application (RCA) – This application tracks and manages research projects and various program activities. It tracks project management activities, updates project records, and notifies affected persons when an action is required (e.g., when a contractor has submitted a report to the project manager to review).

A.2.a.13 Electronic Review Comments (ERC) – This application electronically tracks comments and subsequent responses for project submittals.

A.2.a.14 Research Expertise (SEDRTS) – This Internet application allowed external persons to enter their areas of transportation expertise, documentation related to their expertise, and contact information. This improved the speed with which management can locate and contact transportation experts throughout the world.

A.2.a.15 Office and/or User Developed Applications and Systems – This represents commercial software and applications acquired or developed by program areas or office-specific (non-enterprise) applications provided by central IT.

A.2.a.16 Local Agency Program Information Tool (LAPIT) – The Local Agency Program Information Tool (LAPIT) will consolidate and streamline FHWA, state and local agency processes to provide integrated application tools and value reporting to accommodate the Local Agency Program lifecycle.

A.2.b Right of Way

Right of way service involves collecting, organizing, and maintaining data related to the activities and resources associated with right of way appraisal, acquisition, eminent domain, relocation assistance and post-acquisition property management.

A.2.b.1 Right of Way Management System (RWMS) – This application supports the right of way business processes of certification of right of way, excess and surplus property management, and project closures.

A.2.b.2 Florida Residency Verification (FRV) – A web service that connects to the Department of Highway Services and Motor Vehicles (DHSMV) web service to allow the Right of Way Office to verify Florida residents.

A.2.b.3 Outdoor Advertising (ODA) – This system maintains an inventory of the outdoor advertising signs under the jurisdiction of the Department and generates notices as required to support license, permit and violation activity.

A.2.b.4 Office and/or User Developed Applications and Systems – This represents commercial software and applications acquired or developed by program areas or office-specific (non-enterprise) applications provided by central IT.

A.2.c Work Program

Work Program service involves collecting, organizing, and maintaining data related to the activities and resources associated with development and management of the Five Year Adopted Work Program which includes four functional areas: Financial Management Support, Production Management, Work Program Development and Operations and Federal Aid Management.

A.2.c.1 Work Program Administration (WPA) – This system is a component of the Financial Management suite used to develop and monitor the Department's multi-year work program. Projects are identified and programmed by phase cost and funded against their program and allocations.

A.2.c.2 Federal Authorization Management (FAMS) – This system is a component of the Financial Management suite used to enter and maintain the project information required by FHWA for federally funded work performed by the FDOT.

A.2.c.3 Federal Programs Management (FPM-BILL) – This system is a component of the Financial Management suite used to enter and maintain the

project information required by FHWA for federally funded work performed by the FDOT.

A.2.c.4 Project Cost Management (PCM) – This system is a component of the Financial Management suite used to track costs against both Federal and State projects. This system interfaces with the FLAIR Accounting System.

A.2.c.5 Office and/or User Developed Applications and Systems – This represents commercial software and applications acquired or developed by program areas or office-specific (non-enterprise) applications provided by central IT.

A.2.c.6 Project Suite Enterprise Edition (PSEE) – The Project Suite Enterprise Edition (PSEE) is a collaborative effort to provide an enterprise version of District Four's Project Suite application for all of FDOT.

A.2.d Contract Administration

Contract Administration service involves collecting, organizing, and maintaining data related to the activities and resources associated with the prequalification of contractors, the award and execution of major constructions contracts, and the evaluation of the competitive market environment relating to the Department's construction contracts.

A.2.d.1 Trns*Port Letting and Awards System (LAS) – Provides the data collection and reporting capability necessary to manage construction contracts through advertisement, letting, bid evaluation and award.

A.2.d.2 Trns*Port Expedite Electronic Bidding (EXP) – TRNS*PORT module which provides bidding data to bidding vendors for jobs in a current letting. A bid program and data sensitive bidding diskette is returned with pay item unit prices supplied by bidder. Data is uploaded to TRNS*PORT LAS.

A.2.d.3 Trns*Port Decision Support System (BAMS/DSS) – Monitors the State Highway Construction Contract information in order to analyze bid patterns and trends.

A.2.d.4 Contractor Pre-Qualification (CPQ) – The contractor pre-qualification (CPQ System) is a web based application. Its purpose is to provide for the users' ease in accessing data, ability to view, add, change, and delete pre-qualified vendor information.

A.2.d.5 Contract Proposal Processing (CPP) – CPP is an enterprise web application that replaces mainframe JOP application. Used by central office and districts to process monthly requests for contract bid documentation (job specs, plans and proposals) for the projects to be let.

A.2.d.6 Equal Opportunity Reporting (EOR) – A Customized Off-The-Shelf (COTS) System which is supported by Business Systems Support Office (BSSO) which contains three web applications: biznet, biznet ucp, bizweb, and biztrak. This application tracks contract DBE activity and the biztrak application is the management tool used to monitor and report on contract activity.

A.2.d.7 Civil Rights Legal Management System (CRLMS) – Transport CRLMS is a COTS system for tracking external civil rights and labor compliance activities such as contractor payrolls and labor compliance, wage decisions, DBE certification and commitments, vendor data management, On-the-Job Trainees, subcontractor and prompt pay, and trucking monitoring.

A.2.d.8 Office and/or User Developed Applications and Systems – This represents commercial software and applications acquired or developed by program areas or office-specific (non-enterprise) applications provided by central IT.

A.2.e Professional Services

Professional Services service involves collecting, organizing, and maintaining data related to the activities and resources associated with the prequalification of consultants and the administration of contracting for professional services.

A.2.e.1 Professional Prequalification (PPQ) – The Professional Services pre-qualification (PPQ) is a web based internet application. Its purpose is to provide a way for vendors to submit their pre-qualification application form to be approved to bid on Professional Services contracts.

A.2.e.2 Consultant Invoice Transmittal System (CITS) – This system allows for the electronic generation and submittal of invoices by consultants over the internet. CITS eases and streamlines the FDOT invoice review and approval process.

A.2.e.3 Professional Services Information (PSI) – The Professional Services Information (PSI) is a web based intranet application. Its purpose is to allow Professional Services staff to record initial contract information and amendments, as well as grade consultants on their performance with the contracts.

A.2.e.4 Office and/or User Developed Applications and Systems – This represents commercial software and applications acquired or developed by program areas or office-specific (non-enterprise) applications provided by central IT.

A.3 DELIVER Services

Deliver Services support the Department's Core Business Process "Deliver." The "Deliver" process includes the functions related to building transportation facilities. The offices of Construction, Materials, and Estimates are among those involved in this process. Federal Agencies, Local Agencies and Contractors also are integral to this process. The technical foundation for Deliver Services consists of on-line application systems, some of which are web enabled, that provide real-time update and retrieval of data from DB2 and Oracle databases. Enterprise reports are provided. Additional technology is comprised of desktop applications along with a number of user-developed and commercial systems deployed on Wintel and Mainframe platforms accessing DB2, Oracle and SQL databases.

A.3.a Construction

Construction service involves collecting, organizing, and maintaining data related to those activities which construct the transportation infrastructure.

A.3.a.1 Trns*Port SiteManager System (SIM) – Sitemanager is an AASHTO supported application used for managing projects during the construction phase and generating pay estimates for construction and maintenance projects. This is part of the TRNS*PORT system.

A.3.a.2 Contract Information & Monitoring System (CIM) – Provides a state-wide consolidated project issue tracking system that is integrated with the department's enterprise business data. The system incorporates appropriate features from other district project tracking software programs and processes and creates the ability to query project issues from an office and/or enterprise viewpoint.

A.3.a.3 Construction Document Management System (CDMS) – This EDMS application manages Construction Business Area supporting documentation.

A.3.a.4 Electronic Estimate Disbursement¹ (EED) – The Electronic Estimate Disbursement System automates the validation and payment of contract estimates. EED interfaces with CRS, TRNS*PORT, and CITS to send payment data to FLAIR.

A.3.a.5 Statewide Construction Database (SCD) – This database is used to store & search for all major construction throughout Florida. Will help the department avoid conflicts with local lettings. The department and local agencies should receive more competitive bids.

¹ Electronic Estimate Disbursement is functionally owned by the Office of Comptroller; however, it directly supports the strategic activities of Construction.

A.3.a.6 Office and/or User Developed Applications and Systems – This represents commercial software and applications acquired or developed by program areas or office-specific (non-enterprise) applications provided by central IT.

A.3.b Materials

Materials service involves collecting, organizing, and maintaining data related to those activities which ensure that the materials used in transportation construction projects meet the required department specifications and to investigate new and emerging technologies and innovative concepts to improve the long-term performance of transportation facilities.

A.3.b.1 State Materials Document Management System (MTDMS) – This EDMS application manages the State Materials Business Area supporting documentation.

A.3.b.2 Pavement Coring Reporting (PCR) – Maintains pavement layer type and thickness data from pre-construction coring operations on state roadways.

A.3.b.3 Construction Quality Reporting (CQR) – Documents and reports the results of testing construction materials used by contractors on Department projects.

A.3.b.4 State Transportation Ad-hoc Reporting System (STARS) – The STARS dashboard provides a means for functional users to develop and publish their own ad-hoc web based reports by utilizing data from the TERE (Transport End User Reporting Environment) and LRE (Long Range Estimating) data warehouse tables.

A.3.b.5 Office and/or User Developed Applications and Systems – This represents commercial software and applications acquired or developed by program areas or office-specific (non-enterprise) applications provided by central IT.

A.4 MAINTAIN & OPERATE Services

Maintain & Operate Services support the Department's Core Business Process "Maintain & Operate." The "Maintain & Operate" Process includes the functions that keep the transportation system safe and operational. The offices of Maintenance, Safety, Motor Carrier Compliance, Tolls, and Traffic Operations are part of this process. The technical foundation for Maintain & Operate Services consists of on-line application systems, some of which are web enabled, that provide real-time update and retrieval of data from DB2 and Oracle databases. Enterprise reports are provided. Additional technology is comprised of desktop applications along with a number of user-developed and commercial systems deployed on Wintel and Mainframe platforms accessing DB2, Oracle and SQL databases.

A.4.a Maintenance

Maintenance service involves collecting, organizing, and maintaining data to coordinate and administrate statewide road, bridge, preventive and emergency maintenance policies, procedures, standards; and optimize use of equipment at the lowest cost and maximize readiness and capability of equipment. Operation of the State Sign Shop, Structures Shop and State Warehouse manufacturing are included in this service.

A.4.a.1 Bridge Management (BMSPONTIS) – The Bridge Management System is used to store and schedule bridge inspection information. Part of the BMS application contains an AASHTO module (PONTIS).

A.4.a.2 Oversized Vehicle Permits (OVP) – Controls the granting and recording of permits, and provides the input data for the accounting system (ARI) that includes fees paid or accounts receivable generated for the permits.

A.4.a.3 Permits Information Tracking (PIT) – Maintains the permits that have been issued, and provides tracking information pertaining to permits pending issuance, as well as identifying where the work is being permitted.

A.4.a.4 Mobile Equipment (MEQ) – Maintains information about the utilization of mobile equipment (cars, trucks, and heavy equipment).

A.4.a.5 Materials Supply Inventory (MSI) – A record keeping process related to the receipt and issuing of materials and supplies through the DOT warehouse.

A.4.a.6 Petroleum (PET) – Petroleum inventory for the division of maintenance, and produces accounting data for the DOT office of comptroller.

A.4.a.7 Bridge Load Rating (VIRTIS) – VIRTIS is an AASHTO provided module used for load rating bridges.

A.4.a.8 Structures Maintenance Document Management System (STRMDMS) – This EDMS application manages the Structures Maintenance Management Business Area supporting documentation.

A.4.a.9 Bridge Management System Clearance Issue (BMSCI) – This application provides a web-based interactive map viewer, built using Geographical Information System (GIS) technology. BMSCI is used by the Federal Highway Administration (FHWA) for the electronic generation of maps and reports of bridges with clearance issues on the Strategic Highway Network (STRAHNET).

A.4.a.10 Adopt A Highway (AAH) – This application facilitate the distribution of information regarding the Adopt-a-Highway program to the public.

A.4.a.11 Maintenance Performance Based Contracting Scope (PMBCRTS) – This Internet application used the code-structure of the Asset Maintenance Scope application to produce a new system that allows State Maintenance to build the Performance Based Contracting documents with flexibility to apply to all districts.

A.4.a.12 Office and/or User Developed Applications and Systems – This represents commercial software and applications acquired or developed by program areas or office-specific (non-enterprise) applications provided by central IT.

A.4.a.13 Automated Permit Application Submission System (PAS) – Enables customers on the web to apply for oversized vehicle permits, transferring permit application information to OVP (oversized vehicle permits) system upon payment for permit issuance.

A.4.b Safety

Safety service involves collecting, organizing, and maintaining data to enable the department's analysis of traffic accident reporting, crash analysis, and skid testing to improve the safety of the state's roadways.

A.4.b.1 Crash Analysis and Reporting (CAR) – This system allows for the collection of data and reporting automobile crashes throughout the state.

A.4.b.2 Industrial Accident Reporting (IAR) – Provides an automated interface for the Safety Office's Industrial Safety Information Management System (ISIMS), which is a client/server application.

A.4.b.3 Skid Hazard Reporting (SHR) – A data collection of skid tests taken at the state materials office on state maintained roadways. The results will be

analyzed to determine if problem areas exist which should be address to lessen potential crash problems.

A.4.b.4 Safety Document Management System (SFDMS) – This EDMS application manages the Safety Crash Reports supporting documentation.

A.4.b.5 Transportation Emergency Ops Center Tracking (TEOC) – TEOC is used to track the Department’s response to missions assigned to DOT by the state EOC, as well as track those generated internally.

A.4.b.6 Crash Locator System (CLS) – This application allows for the collection of data on where, how causality and when accidents occur throughout the state on local roads.

A.4.b.7 Unified Basemap Repository (UBR) – This application provides a method for Florida government agencies to gain access to the Florida unified roadway and crash statistics data.

A.4.b.8 GIS Clearinghouse (GCH) – This web-based statewide GIS application stores, retrieves, analyzes, and displays the department’s business data on a map.

A.4.b.9 DUI Sustained Enforcement Initiative (DUIRTS) – This Internet application supported the Safety Office initiative to reduce driving-under-the-influence events by providing law enforcement groups an automated way to document statistics related to their measures taken to curb driving-under-the-influence events. The Safety Office uses this information to show the efforts being made to reduce DUI situations and to award high achievers throughout the state.

A.4.b.10 Office and/or User Developed Applications and Systems – This represents commercial software and applications acquired or developed by program areas or office-specific (non-enterprise) applications provided by central IT.

A.4.c Motor Carrier Compliance

Motor Carrier Compliance service involves collecting, organizing, and maintaining data to protect the transportation infrastructure from accelerated damage caused by oversize and overweight vehicles and ensure public safety through a program of enforcing applicable state and federal laws.

A.4.c.1 Office and/or User Developed Applications and Systems – This represents commercial software and applications acquired or developed by

program areas or office-specific (non-enterprise) applications provided by central IT.

A.4.d Traffic Operations²

Traffic Operations service involves collecting, organizing, and maintaining data only modern traffic engineering and traffic control technology to provide for optimal utilization of new and existing highways, in the interest of orderly, safe, and convenient and expeditious movement of vehicles and pedestrians. Intelligent Transportation Systems, Elder Roadway User Program and Incident Management are included in this service.

A.4.d.1 Office and/or User Developed Applications and Systems – This represents commercial software and applications acquired or developed by program areas or office-specific (non-enterprise) applications provided by central IT.

A.5 Turnpike Enterprise

This service involves collecting and processing data to enable the toll collection operations of the department's Turnpike Enterprise. A major component of this service is comprised of systems and services to collect and manage toll proceeds from patrons traveling on Turnpike and off Turnpike facilities. There is also Sunpass electronic toll collection interoperability with OOCEA, Lee County, and MDX Expressway Authorities. The Sunpass CRM application handles in excess of 2 million customer accounts and supports over 200 call center agents located in Boca Raton and Orlando. The technical foundation specific to Tolls consists of on line and batch applications running in an OpenVMS Alpha server and Wintel server computing environment. There are HP Alpha servers at each toll plaza and maintenance facility throughout the State and mainframe level Alpha servers at the data center in Boca Raton as well as the recovery facility in Orlando. The only exception to this is the Violation Enforcement System (VES) server which runs in a True 64 Unix environment. The data bases are a combination of Oracle 8I, Oracle 7.3 (VES), and RDB 7.1. The 800+ lane controllers throughout the State run under the QNX real time UNIX operating system.

A.5.a Barrier Audit System – This system is the automated system for collection and accounting of tolls for the Barrier Plazas. This system runs on HP GS160 Open VMS v7.3.2. Applications are running using Oracle RDB database, Rally, Pro C, and DCL.

A.5.b Ticket Audit System – This system is the automated system for collection of tolls on ticket section of the Turnpike. This system runs on HP GS160 Open VMS v7.3.2. Applications are running using Oracle database, Oracle Stored Procedure, Pro C, and DCL.

² Traffic Operations applications Traffic Information System and Traffic Characteristics Inventory are shown as directly supporting strategic Intermodal Systems Development.

A.5.c Ticket Audit System PC Application – This is the Workstation application for auditing ticket Tolls. This system runs on Windows XP. Applications are running using Oracle database client, and Delphi.

A.5.d SunPass Prepaid Toll Collection System – This system is the SunPass Non-stop tolling system. This system runs on HP GS160 Open VMS v7.3.2. Applications are running using Oracle database, Oracle Stored Procedure, Pro C, and DCL. This system includes IVR, B2C, Web (SunPass.COM).

A.5.e SunPass Prepaid Toll System PC Application – This is the Workstation application for the SunPass Tolls. This system runs on Windows XP. Applications are running using Oracle database client, and Delphi.

A.5.f Violations Enforcement System – This is the system for Tracking down Toll violators. VES run on HP's Tru64 Unix version 4.0 and Oracle version 7.3. We are currently in the process of upgrading both the OS and DB to Tru64 V5.1 and Oracle 9i. It has a 2+ terabyte Raid Array. The Application is a Collection of Oracle Forms, Reports and Stored Procedures as well as number shell scripts. Some key functionality has been migrated to Java to improve auditing and performance. VES also has a number of Windows Based Support Servers for Printing, Image Loading, License Plate Recognition and FDLE Plate Lookups.

A.5.g Disaster Recovery – The Disaster Recovery system used is LDRPS by Strohl. This is an Access database system which is resident on (a single user license) using Windows XP Professional. Reports are generated utilizing an embedded Crystal Reports program as well as linked Word and Excel documents.

A.5.h Data Warehouse – The primary purpose of the data warehouse is to provide timely and accurate traffic and revenue reporting for the Turnpike Enterprise. Additionally, comparison of the transaction level detailed data against prior periods facilitates decision support for the Financial Services directorate. Oracle 9i on Sun Solaris (E2900) Cognos ReportNet and Crystal V11 on Sun Solaris (V890) ETL is currently custom PL/SQL with plans to migrate to a commercial off-the-shelf tool suite.

A.5.i Plaza System – This is the system that manages all toll lanes. Alpha Server 1000, 1200, DS20/2 – 4.3 Gig HD/500 Mhz/1 Gig RAM. Software: DEC C V5.6 on OpenVMS Alpha 7.1-2 and RDB DEC SQL v6.1-13. Language: C/SQL Mods/Screen Language, Data Definition Language, Reporting Language.

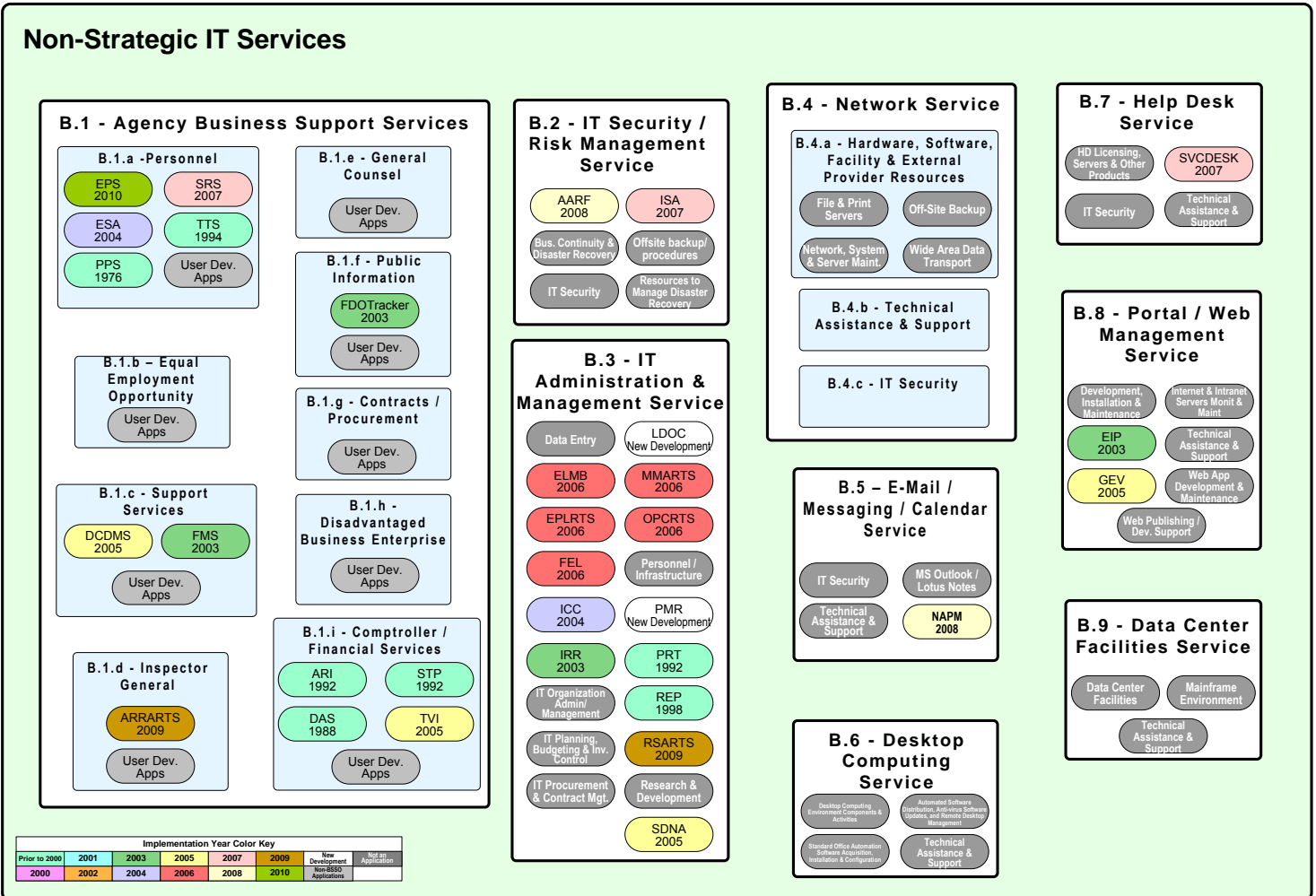
A.5.j Lane Controller System – Point of fare and data collection (AVI/CASH) for Turnpike usage. Produces traffic information of data collected. Hardware: 233 Mhz/64 MB Ram/2 Gig HD/DIO to devices and serial input to plaza and CCTV/Laser AVC System. Software: QNX 4.25 with custom application to drive LC. Language: C.

A.5.k Code Management – AllFusion(R) Harvest Change Manager – An automated system whose major objective is Change and Configuration Management. This is the repository for all source code for all systems. Client runs on WINDOWS XP Personal Computer. The tool will be loaded on a WINDOWS XP server.

A.5.l ITIL Implementation & Support – Detailed guidelines for best practices observed in the IT service industry. Incident & SLA management.

A.5.m Office and/or User Developed Applications and Systems – This represents commercial software and applications acquired or developed by program areas or office-specific (non-enterprise) applications provided by central IT.

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B. Non-Strategic IT Services

B.1 Agency Business Support Services

This service enables users in the agency's administrative and support areas to collect, process, and distribute financial, inventory, and human resources data to effectively manage the agency's resources. It also includes shadow systems associated with the state's enterprise administrative systems (e.g., FLAIR, LAS/PBS, SPURS, MyFlorida Marketplace, PeopleFirst) that enable the agency to manipulate and analyze the data received from these systems. The following IT Systems are constituent elements of this IT Service.

B.1.a Personnel

B.1.a.2 Personnel / Payroll (PPS) – Maintains Departmental information related to employee and position information to support internal functions such as batch submission of Maintenance employee timesheets to PeopleFirst, Federal Reimbursements, rate management, etc.

B.1.a.3 Training Tracking (TTS) – Tracks historical and projected training classes for DOT employees.

B.1.a.4 Employee Survey Application (ESA) – This application provides the annual survey instrument for the FDOT's Human Resource Practices and Leadership Survey. ESA provides an email invitation to all FDOT employees with a link to a web based version of the survey. Management reports are provided with survey results.

B.1.a.5 Staff Repository System (SRS) – A system that allows for the central management of individual information for all Department staff including consultants and contractors.

B.1.a.7 Employee Performance System (EPS) – This application provides on-line access and processing for employee performance evaluations.

B.1.a.8 Office and/or User Developed Applications and Systems – This represents commercial software and applications acquired or developed by program areas or office-specific (non-enterprise) applications provided by central IT.

B.1.b Equal Employment Opportunity

B.1.b.1 Office and/or User Developed Applications and Systems – This represents commercial software and applications acquired or developed by program areas or office-specific (non-enterprise) applications provided by central IT.

B.1.c Support Services

B.1.c.1 Document Control Document Management System (DCDMS) – This EDMS application manages Document Control Business Area supporting documentation.

B.1.c.2 Forms Management System (FMS) – To allow on-line completion, submittal and storage of official DOT forms .

B.1.c.3 Office and/or User Developed Applications and Systems – This represents commercial software and applications acquired or developed by program areas or office-specific (non-enterprise) applications provided by central IT.

B.1.d Inspector General

B.1.d.1 ARRA Employment Reporting (ARRARTS) – This Internet application enabled contractors to input employee counts and payroll associated with federally funded projects under the American Recovery and Reinvestment Act. The associated Intranet application allowed DOT staff to administer accesses, run reports, and provide overall management of the ARRA accounting initiative.

B.1.d.2 Office and/or User Developed Applications and Systems – This represents commercial software and applications acquired or developed by program areas or office-specific (non-enterprise) applications provided by central IT.

B.1.e General Counsel

B.1.e.1 Office and/or User Developed Applications and Systems – This represents commercial software and applications acquired or developed by program areas or office-specific (non-enterprise) applications provided by central IT.

B.1.f Public Information

B.1.f.1 Florida DOT Tracker (FDOTRACKER) – Maintains essential information for tracking all correspondence received by an office. Critical dates and pertinent information are recorded, and overdue notices for replies are generated.

B.1.f.2 Office and/or User Developed Applications and Systems – This represents commercial software and applications acquired or developed by program areas or office-specific (non-enterprise) applications provided by central IT.

B.1.g Contracts/Procurement

B.1.g.1 Office and/or User Developed Applications and Systems – This represents commercial software and applications acquired or developed by program areas or office-specific (non-enterprise) applications provided by central IT.

B.1.h Disadvantaged Business Enterprise

B.1.h.1 Office and/or User Developed Applications and Systems – This represents commercial software and applications acquired or developed by program areas or office-specific (non-enterprise) applications provided by central IT.

B.1.i Comptroller/Financial Services³

B.1.i.1 Accounts Receivable Invoicing (ARI) – Standard Accounts Receivable functions: Purchase Activity, Invoice Mailings, Account and Invoice Aging, Escrow Tracking.

B.1.i.2 Departmental Accounting System (DAS) – Maintains vendor, contract, and petroleum billing information using files supplied by the statewide automated Florida Accounting Information Resource FLAIR System.

B.1.i.3 SAMAS Table Processing (STP) – Contains information from FLAIR, consisting of primarily FLAIR accounting codes.

B.1.i.4 Transportation Vendor Information (TVI) – This system maintains information about legal entities outside the Department with whom FDOT has a business relationship.

B.1.i.5 Office and/or User Developed Applications and Systems – This represents commercial software and applications acquired or developed by program areas or office-specific (non-enterprise) applications provided by central IT.

³ Transportation Vendor Information (TVI) [FD] moved to Non-Strategic Service

B.2 IT Security / Risk Management Service

This service involves the implementation of measures to reduce risk and ensure continuity of the IT Services supporting the agency's strategic business processes.

B.2.a IT Security – Physical and logical security of all agency information as provided by IT resources (e.g., firewalls, intrusion detection, encryption, public key infrastructure (PKI), authentication and authorization, passwords, virus protection, etc.) if not specifically covered in the Risk Mitigation IT Service or any another IT service in the agency's IT service catalog.

B.2.b Business Continuity and Disaster Recovery – The ability to recover critical IT and agency services to be used in the event that the production IT infrastructure is disabled by a catastrophe. This would include activities the needed to be performed to implement a Continuity of Operations Plan (COOP).

B.2.c Hardware, software and personnel resources needed to plan, test, manage and implement business continuity and or disaster recovery operations – If not specifically covered in any other IT Service in the agency's IT Service Catalog.

B.2.d Off-site backup as well as procedures for activating necessary information systems – If not specifically covered in any other IT Service in the agency's IT Service Catalog.

B.2.e Internet Subscriber Account (ISA) – Provides a login component that authenticates internet users provides authorization to FDOT applications based on application role assignments. The internet users must be non-RACF and require only specific application access.

B.2.f Automated Access Request Form (AARF) – Allow the security access request process to move electronically through the approval process. Store request data in a database for easy reporting, maintenance, and recertification across platforms. Improve process timelines.

B.3 IT Administration and Management Service

This service includes all general planning, management, and administrative activities that the agency's central IT program or unit performs to support the provision of IT Services for the agency.

B.3.a IT Organization Administrative Management – Executive and Senior Level IT personnel responsible for implementing the planning, management, and operation of all

IT systems throughout the agency, including quality assurance and administrative support. (e.g., CIOs, Data Center Directors, etc.).

B.3.b Research and Development – If not specifically covered in any another IT service.

B.3.c IT Planning, Budgeting & Investment Control – Predicting, estimating, prioritizing, tracking and balancing expenses. This also entails documenting future activities to support the agency's IT needs.

B.3.d IT Procurement and Contract Management – Justifying, confirming adequacy of funding, and acquiring approved IT resources.

B.3.e Data Entry – Data entry staff, related hardware and software.

B.3.f Personnel and corresponding hardware, software, and infrastructure assets and resources needed to provision this service – If not specifically covered in any other IT Service in the agency's IT Service Catalog.

B.3.g Project Reporting and Tracking (PRT) – Provides a project reporting and tracking system for OIS/BSSO staff to use for tracking hours worked on OIS Application projects.

B.3.h Information Resource Request (IRR) – Automated system for tracking information resource requests.

B.3.i Platinum® Repository (REP) – The Department's repository for all enterprise information systems and data.

B.3.j Software Distribution Notification (SDNA) – Software distribution notification application is used to request track and document the installation of specialty software that originates in one district but is installed in others. This ensures implementation coordination among data centers.

B.3.k Infrastructure Change Control Application (ICC) – This application is used to document all OIS infrastructure changes, the review of such, and the approval of such, and insure the changes are properly communicated to all impacted resources.

B.3.l FDOT Enterprise Library (FEL) – FDOT infrastructure code repository.

B.3.m Enterprise Library Message Board (ELMB) – This application is a discussion forum for the use of the FDOT Enterprise library.

B.3.n Report Subscriptions (RSARTS) – This plug-in application enabled any office to gather the e-mail addresses of persons who want to be contacted on a particular

topic. The plug-in can work in Internet or Intranet browser-based systems, as well as straight hypertext markup language (html) sites.

B.3.o OIS Project Coordination System (OPCRTS) – To enable an office or offices to coordinate project efforts with dependencies upon each other and outside areas.

B.3.p EPlause System (EPLRTS) – Allows employees to electronically acknowledge and recognize other employees for their contribution on a project.

B.3.q MergeMaster System (MMARTS) – MergeMaster application allows the server team to efficiently run reports cross-referencing information from their database to Flair, System Managed Services and Active Directory.

B.3.r The Loading Doc (LDOC) – Loads and retrieves documents for scanned/electronic documents into the Enterprise Electronic Document Management System (EEDMS).

B.3.s Project Management Reporting (PMR) – Improve BSSO resource management processes and automation resulting in more effective utilization and accuracy of reporting..

B.4 Network Service

This service includes the positions, assets, and services needed to develop, maintain, and operate the network, system, and server management infrastructure associated with LAN/WAN. This service also includes technical assistance dedicated to LAN/WAN problem resolution, physical and logical security, training, and consulting services specifically related to the LAN/WAN Service that are not otherwise included in any other IT service. It includes Voice over Internet Protocol (VoIP) and video conferencing technology.

B.4.a Hardware, Software, Facility, and External Provider Resources

B.4.a.1 File & Print Services – (e.g., the server and routing hardware plus associated software resources required for end-user network storage, file sharing, and use of network shared printers).Servers, routers, switches and hubs, and cabling, etc. This includes all hardware and software infrastructure required to provision the LAN service.

B.4.a.2 Network, System and Server Management – Infrastructure required provisioning the LAN service.

B.4.a.3 Off-site backup – Off-site backup as well as procedures for activating necessary information systems in a new location.

B.4.a.4 Wide Area Data Transport – In most cases, the agency WAN service is provided through SUNCOM services.

B.4.b Technical Assistance and Support – Resources necessary to maintain and operate local and wide area networks, which may include the technology personnel and systems required to receive and dispatch or resolve customer requests for network related problems (e.g., Tier 2 through Tier N technician support) not otherwise provided through a centralized help desk any other IT service, but dedicated to network problem resolution.

B.4.c IT Security – Physical and logical security of all agency information as provided by IT resources (e.g., firewalls, intrusion detection, encryption, public key infrastructure (PKI), authentication and authorization, passwords, virus protection, etc.) if not specifically covered in the Risk Mitigation IT Service or any another IT service in the agency's IT service catalog.

B.5 E-Mail / Messaging / Calendar Service

This service enables users to send and receive e-mail and attachments, perform calendaring, manage address lists, create and maintain shared or private folders, and store message data provided through the e-mail service. The E-mail Service may be provided over the LAN/WAN/MAN (wired or wireless) or other Internet mail resources (e.g., X.400, X.500, and LDAP). This service could allow e-mail access through desktop PCs, handheld devices, e-mail client applications, or other web-browser client device.

B.5.a MS Outlook / Lotus Notes associated licensing, servers, and other e-mail specific products. This includes security products not otherwise covered in any another IT service in the agency's IT Service Catalog.

B.5.b Technical Assistance and Support – Resources necessary to maintain and operate the E-Mail Service, which may include the technology personnel and systems required to receive and dispatch or resolve customer requests for E-Mail/Messaging/Calendar-related problems (e.g., Tier 2 through Tier N technician support) not otherwise provided through a centralized help desk or any other IT service, but dedicated to E-Mail Service problem resolution.

B.5.c IT Security – Physical and logical security of all agency information as provided by IT resources (e.g., firewalls, intrusion detection, encryption, public key infrastructure (PKI), authentication and authorization, passwords, virus protection, etc.) if not specifically covered in the Risk Mitigation IT Service or any another IT service in the agency's IT service catalog.

B.5.d Notes Application Password Management (NAPM) – NAPM allows users of Lotus Notes based application to reset their password, as well as create a password recovery question and answer that allows them to have self service password reset in the case of a forgotten password.

B.6 Desktop Computing Service

This service enables use of standard office automation functions, as well as access to other applications that require standard desktop functionality.

B.6.a Desktop Computing Environment Components and Activities – This includes hardware and software acquisition, installation, and configuration support for PCs (Macintosh or Intel based machines).

B.6.b Standard Office Automation Software Acquisition, Installation and Configuration – This includes word processing, spreadsheet, presentations, web browser, project management, etc. It also includes related peripherals that need to be connected to desktop PCs to support standard office automation functions.

B.6.c Automated Software Distribution, Anti-virus Software Updates, and Remote Desktop Management – Resources and applications, if not otherwise covered in any another IT service in the agency's IT Service Catalog.

B.6.d Technical Assistance and Support – Resources necessary to maintain and operate the Desktop Computing Service, which may include the technology personnel and systems required to receive and dispatch or resolve customer requests for desktop-related problems (e.g., Tier 2 through Tier N technician support) not otherwise provided through a centralized help desk or any other IT service, but dedicated to Desktop Computing Service problem resolution.

B.7 Help Desk Service

This service involves the centralized or consolidated intake and resolution of IT system problems for users and stakeholders throughout the department. This includes Tier 1 personnel that actually operate the help desk and any associated resources that support the operation of the help desk that are not included in other IT services. This service includes the hardware, software, and personnel resources necessary to provide the required support for technology problem resolution. It could include call center infrastructure.

B.7.a Help Desk Licensing, Servers, and Other Specific Products – This includes security products not otherwise covered in any another IT service in the agency's IT Service Catalog.

B.7.b Technical Assistance and Support – Resources necessary to maintain and operate the Help Desk Service, which is comprised of dedicated Tier 1 technician.

B.7.c IT Security – Physical and logical security of all agency information as provided by IT resources (e.g., firewalls, intrusion detection, encryption, public key infrastructure

(PKI), authentication and authorization, passwords, virus protection, etc.) if not specifically covered in the Risk Mitigation IT Service or any another IT service in the agency's IT service catalog.

B.7.d Service Desk Application (SVCDESK) – Automated application for managing the assignment, monitoring, and resolution of requests to OIS for support and assistance of OIS-maintained hardware, software, and applications.

B.8 Portal / Web Management Service

This service enables the publishing of an agency's standard information for its employees and the public. This service involves the development, maintenance, operations, and support of an agency's Internet and intranet web presences. This service includes the establishment and operation of general website search capability, web analysis tools, and content management functionality.

B.8.a Enterprise Information Portal (EIP) – This portal implementation provides the ability to have a visual representation of department data, which includes the ability to access information via a user-friendly web interface. This will include but not be limited to: maps, menus and text.

B.8.b Internet and Intranet Servers Monitoring and Maintenance – Includes computers that host the website(s), which are not otherwise covered in any another IT Service.

B.8.c GIS Enterprise View (GEV) – This web-based statewide GIS application stores, retrieves, analyzes, and displays the department's business data on a map.

B.8.d Development, Installation, and Maintenance – Provisioning resources needed to operate agency portals or web sites, including software, hardware and service contracts.

B.8.e Web Application Development and Maintenance -Includes web applications other than those specifically supporting a strategic agency function.

B.8.f Web Publishing/Development Support – Includes content management resources used for creating or maintaining informational web pages, and assistance to web developers/ publishers for ADA compliance and broken link review/audit/remediation.

B.8.g Technical Assistance and Support – Resources necessary to maintain and operate the Web/Portal service, including the technology personnel and systems required to receive and dispatch or resolve customer requests for web- or portal-related problems (e.g., Tier 2 through Tier N technician support) not otherwise provided through

a centralized help desk or any other IT service, but dedicated to Web/Portal Service problem resolution.

B.9 Data Center Facilities Service

This service provides the centralized operation and management of data centers and server rooms and shared and/or centralized servers and mainframes that are not specifically accounted for in another IT service. The service includes agency-supported facilities that enable convenient access to, manipulation of, and/or distribution of technology assets for the agency. The service also includes power management and environmental for the data center facilities.

B.9.a Data Center Facilities – Environmental, fire suppression, power & UPS component.

B.9.b Mainframe Environment – Personnel and corresponding hardware, software, and infrastructure assets and resources needed to provision the availability and operation of the mainframe environment, which are not specifically covered in strategic services or applications.

B.9.c Technical Assistance and Support – Resources necessary to maintain and operate data center, which may include the technology personnel and systems required to receive and dispatch or resolve customer requests for data center-related problems (e.g., Tier 2 through Tier N technician support) not otherwise provided through a centralized help desk or any other IT service, but dedicated to data center problem resolution.

Appendix -

Applications by Abbreviation Chart

Abbreviation	ITSC Catalog Name/Description	IT Catalog Index
AAH	Adopt A Highway	A.4.a.10
AARF	Automated Access Request Form	B.2.f
ARI	Accounts Receivable Invoicing	B.1.i.1
ARRARTS	ARRA Employment Reporting	B.1.d.1
BAMSDSS	Trns*Port Decision Support System	A.2.d.3
BMSCI	Bridge Management System Clearance Issue	A.4.a.9
BMSPONTIS	Bridge Management	A.4.a.1
CADD	Computer Aided Drafting and Design	A.2.a.3
CAR	Crash Analysis and Reporting	A.4.b.1
CDMS	Construction Document Management System	A.3.a.3
CEST	Trns*Port CES Estimating System	A.2.a.10
CFS	Cash Forecasting System	A.1.b.1
CIM	Contract Information & Monitoring System	A.3.a.2
CITS	Consultant Invoice Transmittal System	A.2.e.2
CLS	Crash Locator System	A.4.b.6
CPP	Contract Proposal Processing	A.2.d.5
CPQ	Contractor Pre-Qualification	A.2.d.4
CQR	Construction Quality Reporting	A.3.b.3
CRLMS	Civil Rights and Labor Management System	A.2.d.7
DAS	Departmental Accounting System	B.1.i.2
DCDMS	Document Control Document Management System	B.1.c.1
DUIRTS	DUI Sustained Enforcement Initiative	A.4.b.9
EDDMS	Engineering and Design Document Management System	A.2.a.1
EED	Electronic Estimate Disbursement	A.3.a.4
EIP	Enterprise Information Portal	B.8.a
ELMB	Enterprise Library Message Board	B.3.m
EMDMS	Environmental Management Document Management System	A.1.a.1
EOR	Equal Opportunity Reporting	A.2.d.6
EPLRTS	Eplause System	B.3.p
EPS	Employee Performance System	B.1.a.7
ERC	Electronic Review Comments	A.2.a.13
ERTS	Estimate Report Tracking System	A.1.b.2
ESA	Employee Survey Application	B.1.a.4
ESIS	Enterprise Strategic Intermodal System	A.1.a.7
EXP	Trns*Port Expedite Electronic Bidding	A.2.d.2

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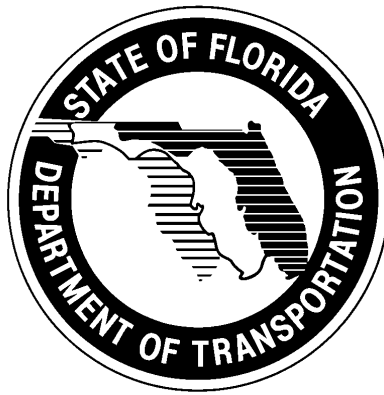
Abbreviation	ITSC Catalog Name/Description	IT Catalog Index
FAMS	Federal Authorization Management	A.2.c.2
FDOTRACKER	Florida DOT Tracker	B.1.f.1
FEL	FDOT Enterprise Library	B.3.l
FIDO	FLAIR Information Delivery Options [New]	A.1.b.7
FIRM	Facilities Reporting & Inventory Maintenance	A.1.a.10
FMS	Forms Management System	B.1.c.2
FPM	Federal Programs Management	A.2.c.3
FPS	Financial Project Search	A.1.b.3
FRV	Florida Residency Verification	A.2.b.2
FTS	Funds Transfer System	A.1.b.5
GCH	GIS Clearinghouse	A.4.b.8
GEV	GIS Enterprise View	B.8.c
GPS	Global Positioning System	A.2.a.4
IAR	Industrial Accident Reporting	A.4.b.2
ICC	Infrastructure Change Control Application	B.3.k
IRR	Information Resource Request	B.3.h
ISA	Internet Subscriber Account	B.2.e
LAPIT	Local Agency Program Information Tool [New]	A.2.a.16
LAS	Trns*Port Letting and Awards System	A.2.d.1
LDOC	The Loading Doc [New]	B.3.r
LRE	Long Range Estimating	A.2.a.8
MEQ	Mobile Equipment	A.4.a.4
MMARTS	Merge Master	B.3.q
MSI	Materials Supply Inventory	A.4.a.5
MTDMS	State Materials Document Management System	A.3.b.1
NAPM	Notes Application Password Manager Support	B.5.d
ODA	Outdoor Advertising System	A.2.b.3
OPCRTS	OIS Project Coordination System	B.3.o
OVP	Oversized Vehicle Permits	A.4.a.2
PAS	Automated Permit Application Submission System [New]	A.4.a.13
PBViews	Panorama Business Views	A.1.b.4
PCM	Project Cost Management	A.2.c.4
PCR	Pavement Coring Reporting	A.3.b.2
PES	Trns*Port Proposal and Estimates System	A.2.a.9
PET	Petroleum	A.4.a.6
PIT	Permits Information Tracking	A.4.a.3
PMBCRTS	Maintenance Performance Based Contracting	A.4.a.11
PMR	Project Management Reporting [New]	B.3.s
PPQ	Professional Prequalification	A.2.e.1
PPS	Personnel / Payroll	B.1.a.2
PRT	Project Reporting and Tracking	B.3.g
PSEE	Project Suite Enterprise Edition [New]	A.2.c.6

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Abbreviation	ITSC Catalog Name/Description	IT Catalog Index
PSI	Professional Services Information	A.2.e.3
PSM	Project Scheduling and Maintenance	A.2.a.5
RCA	Research Contract Application	A.2.a.12
RCI	Roadway Characteristics Inventory	A.1.a.3
REP	Platinum® Repository	B.3.i
RHCI	Rail Highway Crossing Inventory	A.1.a.2
RSARTS	Report Subscriptions	B.3.n
RTS	Resolution Tracking System	A.2.a.7
RWMS	Right of Way Management System	A.2.b.1
SCD	Statewide Construction Database	A.3.a.5
SDNA	Software Distribution Notification	B.3.j
SEDRTS	Research Expertise	A.2.a.14
SFDMS	Safety Document Management System	A.4.b.4
SHR	Skid Hazard Reporting	A.4.b.3
SIM	Trns*Port SiteManager System	A.3.a.1
SMDMS	Surveying and Mapping Document Management System	A.2.a.2
SRS	Staff Repository System	B.1.a.5
STARS	State Transportation Ad-hoc Reporting System aka Trns*port End User Reporting	A.3.b.4
STP	SAMAS Table Processing	B.1.i.3
STRMDMS	Structures Maintenance Document Management System	A.4.a.8
SVCDESK	Service Desk Application	B.7.d
TCI	Traffic Characteristics Inventory	A.1.a.6
TCP	Traffic Control Products	A.1.a.9
TEOC	Transportation Emergency Ops Center Tracking	A.4.b.5
TIS	Traffic Information System	A.1.a.5
TSDMS	Transportation Statistics Document Management System	A.1.a.4
TTS	Training Tracking	B.1.a.3
TVI	Transportation Vendor Information	B.1.i.4
UBR	Unified Basemap Repository	A.4.b.7
VER	Value Engineering Recommendation	A.2.a.6
VIRTIS	Bridge Load Rating	A.4.a.7
VLG	Video Log	A.1.a.8
WPA	Work Program Administration	A.2.c.1



LEGISLATIVE BUDGET REQUEST 2011-2012

Schedule VII - Agency Litigation Inventory



Florida Department of Transportation

CHARLIE CRIST
GOVERNOR

605 Suwannee Street
Tallahassee, FL 32399-0450

STEPHANIE C. KOPELOUSOS
SECRETARY

MEMORANDUM

TO: Kimberly Ferrell
Budget Officer

FROM: Alexis M. Yarbrough *Alexis M. Yarbrough for Amy*
General Counsel

DATE: September 29, 2010

SUBJECT: Schedule VII: Agency Litigation Inventory
2011/2012 Legislative Budget Request

We have attached an inventory of the Department's current litigation in accordance with the Planning and Budgeting Instructions. Based on your August 13, 2010, request, we have exercised due diligence by requesting that each attorney assigned to the Office of the General Counsel in Tallahassee and each of the District Chief Counsels, including the Chief Counsel for the Turnpike Enterprise, identify any litigation cases known to them that have a potential fiscal impact of more than \$500,000.

If you have any questions, or need additional information, please feel free to contact me at 414-5270.



Florida Department of Transportation


CHARLIE CRIST
GOVERNOR

605 Suwannee Street
Tallahassee, FL 32399-0450

STEPHANIE C. KOPELOUSOS
SECRETARY

MEMORANDUM

TO: Robert M. Burdick, Deputy General Counsel
Bruce R. Conroy, Chief of Administrative Law
Erik R. Fenniman, Chief of Contracts and Real Property
Calvin L. Johnson, Chief of Civil Litigation

FROM: Alexis M. Yarbrough, General Counsel 

DATE: September 22, 2010

SUBJECT: Delegation of Authority

Please be advised that Robert M. Burdick, Deputy General Counsel, has been delegated authority to approve all actions of the Department of Transportation's Office of the General Counsel in my absence. In the absence of Mr. Burdick, Bruce R. Conroy, Chief of Administrative Law, shall assume aforesaid authority. In the absence of Mr. Conroy, Mr. Erik R. Fenniman, Chief of Contracts and Real Property, will assume aforesaid authority. In the absence of Mr. Conroy and Mr. Fenniman, Mr. Calvin L. Johnson, Chief of Civil Litigation, will assume aforesaid authority.

This delegation does not include authority to appoint, take pay actions, discipline, or terminate employees.

I request that my staff ensure that my assistant, Ginger Franks, receives a "reading file" copy of all correspondence (i.e. letters, memos, etc.) requiring the signature and/or approval set forth in this delegation.

AMY:ggf

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Greg Costas	Phone Number:	414-5265
Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	AMEC Civil, LLC, Plaintiff, v. FDOT, Defendant.		
Court with Jurisdiction:	Florida Supreme Court		
Case Number:	SC10-1699		
Summary of the Complaint:	FDOT contracted with AMEC for reconstruction of the intersection of I-95 and I-295 south of Jacksonville. AMEC sought money damages for delays and extra costs, which claims were previously litigated in a separate action.		
Amount of the Claim:	\$37,000,000		
Law Challenged:			
Status of Case:	AMEC served a Summons and Complaint on 02/07/08. On 02/20/09, the Court entered final summary judgment in favor of FDOT. AMEC appealed the order. Oral argument was held on 10/13/09. The judgment was affirmed by an opinion issued on 04/20/10. On 05/05/10, AMEC filed a motion for rehearing, a motion for rehearing en banc, and a request that the 1st DCA to certify to the Florida Supreme Court that the opinion involved questions of great public importance and conflicted with one or more decisions by other District Courts of Appeal. The motions and request were denied on 08/05/10. AMEC filed its notice seeking discretionary review by the Florida Supreme Court on 08/30/10, and served its brief on jurisdiction on 9/09/10. FDOT's brief on jurisdiction is due on 10/04/10.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	X	Agency General Counsel's Office	
		Office of the Attorney General or Risk Management	
		Outside Contract Counsel	

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Leonard Collins	Phone Number:	414-5265
Names of the Parties:	Anderson Columbia Company, Inc., Plaintiff v. FDOT, Defendant.		
Court with Jurisdiction:	8th Judicial Circuit, Union County		
Case Number:	63-2009-CA-0096		
Summary of the Complaint:	Anderson claimed that it was owed additional compensation on a construction contract for extra work it claimed FDOT required it to perform.		
Amount of the Claim:	\$480,000		
Specific Law(s) Challenged:			
Status of Case:	Anderson served its Summons and Complaint on 7/29/09. FDOT served a Motion to Dismiss and propounded initial discovery on 09/04/09. On 09/10/10, the parties agreed to a settlement and dismissal of this case.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	X	Agency General Counsel's Office	
		Office of the Attorney General or Division of Risk Management	
		Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Leonard Collins	Phone Number:	414-5265
Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	Astaldi Construction Corporation, Plaintiff, v. FDOT and KCCS, Inc., Defendants.		
Court with Jurisdiction:	20th Judicial Circuit, Lee County		
Case Number:	09-CA-000978		
Summary of the Complaint:	Astaldi claims that it is owed additional money on a construction contract because of delays and disruption of its work it alleges were caused by FDOT. FDOT has counterclaimed for liquidated damages it has withheld on the project.		
Amount of the Claim:	Astaldi claims \$7,000,000. FDOT's counterclaim is \$2,000,000.		
Specific Law(s) Challenged:			
Status of Case:	Astaldi served a Complaint against FDOT and KCCS, the CEI on the project, on 03/05/09. On 4/1/2009 KCCS served a Motion to Dismiss. On 4/17/09 FDOT served a Motion to Dismiss and propounded initial discovery. On 6/16/09, the Court denied FDOT's Motion to Dismiss and granted KCCS's Motion. FDOT filed its Answer on 08/07/09. On 7/27/09, Astaldi served its answers to FDOT's initial discovery. Mediation was held on 10/29/09, resulting in impasse. On 03/26/10, the Court entered an order staying this case pending further Dispute Resolution Board proceedings. Additional DRB proceedings were held on 08/20/10. Additional DRB proceedings will be scheduled by October 2010.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	X	Agency General Counsel's Office	
		Office of the Attorney General or Division of Risk Management	
		Outside Contract Counsel	

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Roger B. Wood	Phone Number:	414-5265

Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	Bay Drum Superfund Site		
Court with Jurisdiction:	US DC Middle District		
Case Number:	97-1564-CIV-T-26(A)		
Summary of the Complaint:	The EPA has told FDOT it is responsible for groundwater contamination at this site. EPA is overseeing the cleanup of this site under CERCLA, the Comprehensive Environmental Response, Compensation, and Liability Act. FDOT has entered into a consent decree that requires it to clean this site.		
Amount of the Claim:	Potential exposure is estimated to be \$10,000,000		
Specific Law(s) Challenged (including GAA Challenged:			
Status of Case:	FDOT has responded to EPA's information request and has joined a Potential Responsible Party group. FDOT is a major participant due to its allocation. On 01/21/05, EPA agreed to amend the Record of Decision to provide for monitoring and natural attenuation as the remedy for the deep Floridian Aquifer. No assessments for cleanup costs were made in 2009 and no assessment is expected for 2010.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Erik R. Fenniman	Phone Number:	414-5265

Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	Blue Springs Investments, Inc., Plaintiff, v. FDOT, Defendant.		
Court with Jurisdiction:	7th Judicial Circuit, Volusia County		
Case Number:	2008 11845 CIDL		
Summary of the Complaint:	This was an inverse condemnation case. The Plaintiff sought damages for alleged flooding of its property as a result of FDOT road improvements.		
Amount of the Claim:	\$898,000		
Specific Law(s) Challenged (including GAA Challenged:			
Status of Case:	Complaint served on 06/06/08. On 06/18/08, FDOT filed its Answer and Affirmative Defenses. On 11/05/09, the parties agreed to a settlement and dismissal of this case.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Erik R. Fenniman	Phone Number:	414-5265

Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	Bob's Butler Carpet Company, Plaintiff, v. FDOT, Defendant.		
Court with Jurisdiction:	6th Judicial Circuit, Pinellas County		
Case Number:	08-13469 CI 15		
Summary of the Complaint:	This was an inverse condemnation case. The Plaintiff sought damages for alleged loss of access, flooding, and physical invasion attributed to FDOT's improvements to U.S. 19.		
Amount of the Claim:	\$ 2,000,000		
Specific Law(s) Challenged (including GAA Challenged:			
Status of Case:	Complaint for physical invasion, flooding and loss of access served 9/15/08. FDOT's Answer and Affirmative Defenses filed 05/21/09. Plaintiff's Stipulated Motion to Amend its complaint granted 08/25/09. FDOT's Motion to Dismiss and Motion for More Definite Statement filed 9/15/09. On 04/28/10, the Plaintiff filed a Voluntary Dismissal without prejudice.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Erik R. Fenniman	Phone Number:	414-5265

Names of the Parties:	Break Time USA, Inc., Plaintiff, v. FDOT, Defendant.		
Court with Jurisdiction:	6 th Judicial Circuit, Pinellas County		
Case Number:	06-586-CI-21		
Summary of the Complaint:	This is an inverse condemnation case. Break Time seeks severance damages and damages for an alleged loss of access attributed to FODT's reconstruction of US 19 from an at grade divided highway to grade separated interchanges with one-way frontage roads.		
Amount of the Claim:	\$2,000,000		
Specific Law(s) Challenged:			
Status of Case:	The Complaint was filed on 01/26/06. The Court entered an Order abating the case pending the outcome of the appeal of Fisher v. FDOT, SC07-1394, in which a private property owner asserted legally and factually similar claims. FDOT received a favorable decision in Fisher on 10/11/07. Plaintiff filed a Motion for Leave to Amend Complaint on 07/14/09 and a Motion to Abate on 06/21/10. FDOT filed a Motion for Summary Judgment on 7/14/10. FDOT's Motion for Summary Judgment and Plaintiff's Motion to Abate are scheduled for hearing on 10/05/10.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Leonard Collins	Phone Number:	414-5265
Names of the Parties:	Joseph Capitano & Alfonso Garcia Jr., Plaintiffs, v. FDOT, Defendant.		
Court with Jurisdiction:	13 th Circuit (Hillsborough)		
Case Number:	09-06083		
Summary of the Complaint:	Plaintiffs sued FDOT for declaratory relief seeking an order voiding FDOT's 2008 sale/transfer of property previously owned by Plaintiffs. FDOT obtained the property from Plaintiffs through eminent domain in 1999 and transferred the property to another landowner as part of the resolution of a 2008 eminent domain proceeding. Plaintiffs claim that FDOT was required to put their former property up for public bid.		
Amount of the Claim:	The claim could have a potential impact of approximately \$2,500,000		
Specific Law(s) Challenged:			
Status of Case:	The Complaint was served on 03/17/09. On 07/14/09, the Court granted FDOT's Motion to Dismiss without prejudice. On 08/28/09 Plaintiffs filed an Amended Complaint. On 09/10/09 FDOT filed a Motion to Dismiss the Amended Complaint. The Court denied FDOT's motion on 03/03/10. FDOT filed its answer on 03/18/10. Discovery is ongoing.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Arthur L. Berger	Phone Number:	414-5265

Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	John Carlo, Inc., Plaintiff, v. FDOT, Defendant.		
Court with Jurisdiction:	13th Judicial Circuit, Hillsborough County		
Case Number:	09-9244		
Summary of the Complaint:	John Carlo sued for breach of a construction contract, claiming that it is entitled to a "no-excuse" bonus of \$1,000,000, or, alternatively, money damages in the amount of \$3,200,000.		
Amount of the Claim:	\$3,200,000		
Specific Law(s) Challenged (including GAA Challenged:			
Status of Case:	John Carlo filed its Complaint on 04/02/09. FDOT filed a Motion to Dismiss on 06/03/09, which was denied on 10/14/09. On 11/02/09, FDOT served its answer and counterclaim for declaratory judgment regarding the application of contract specifications. On 11/30/09, John Carlo filed a Motion to Dismiss FDOT's counterclaim. FDOT voluntarily dismissed its counterclaim without prejudice on 04/08/10. FDOT served a motion for partial summary judgment on 03/26/10, which was denied on 07/22/10. Discovery is ongoing.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Erik R. Fenniman	Phone Number:	414-5265

Names of the Parties:	CHK, LLC, Plaintiff, v. FDOT, Defendant.		
Court with Jurisdiction:	6 th Judicial Circuit, Pinellas County		
Case Number:	06-730-CI-8		
Summary of the Complaint:	This is an inverse condemnation case. CHK seeks damages for an alleged loss of access and physical invasion attributed to FDOT's reconstruction of US 19 from an at grade divided highway to grade separated interchanges with one-way frontage roads.		
Amount of the Claim:	\$2,000,000		
Specific Law(s) Challenged:			
Status of Case:	The Summons and Complaint were served on 02/09/06. The Court entered an Order abating the case pending the outcome of the appeal of Fisher v. FDOT, SC07-1394, in which a private property owner asserted legally and factually similar claims. FDOT received a favorable decision in Fisher on 10/11/07. On 08/18/09, Plaintiff amended its complaint to add a count for physical invasion. FDOT filed its Answer on 04/02/10. FDOT filed a Motion for Summary Judgment on 06/04/10. Plaintiff filed a Motion to Abate on 06/04/10. FDOT's Motion for Summary Judgment and Plaintiff's Motion to Abate are scheduled to be heard on 10/04/10.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Erik R. Fenniman	Phone Number:	414-5265

Names of the Parties:	Citrus County, Petitioner, and FDOT, Intervenor, v. Jack L. Waldron, et. al., Defendants.		
Court with Jurisdiction:	5 th Judicial Circuit, Citrus County		
Case Number:	93-1686-CA		
Summary of the Complaint:	This was an inverse condemnation case. Pursuant to a Joint Project Agreement with FDOT, Citrus County filed this action to acquire land for improvements to State Road 44. Defendant Boris Pastuch filed a counterclaim for flooding of his remainder property. Citrus County declined to defend this claim. FDOT intervened, investigated the basis of the counterclaim and subsequently admitted to the flooding.		
Amount of the Claim:	In excess of \$1,000,000		
Specific Law(s) Challenged:			
Status of Case:	The original eminent domain proceeding and the counterclaim by Pastuch were filed in 1993. FDOT intervened in 2004 and filed an answer to the counterclaim, admitting that Pastuch's property was flooded and denying damages. On 10/22/09, the parties agreed to a settlement and dismissal of this case.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Greg Costas	Phone Number:	414-5265

Names of the Parties:	Crosspointe Baptist Church, Inc., Plaintiff, v. FDOT, Defendant.		
Court with Jurisdiction:	2nd District Court of Appeal		
Case Number:	2D 10-4254		
Summary of the Complaint:	This is an inverse condemnation case. Plaintiff seeks damages for physical invasion of its property, flooding, and loss of access attributed to FDOT's reconstruction of US 19 from an at grade divided highway to grade separated interchanges with one-way frontage roads.		
Amount of the Claim:	\$2,000,000		
Specific Law(s) Challenged:			
Status of Case:	The Summons and Complaint were served on 07/28/08. On 08/04/08, Crosspointe filed an Amended Complaint. FDOT filed its Answer on 11/24/08. On 02/16/10, the Court entered an Order of Taking, concluding that FDOT was liable only for a temporary taking for periodic ponding during significant rain events. On 08/18/10, a Stipulated Final Judgment was entered for the temporary taking. On 09/01/10, Crosspointe appealed the Court's denial of its other claims. Crosspointe's initial brief is due on 11/08/10.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Erik R. Fenniman	Phone Number:	414-5265

Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	Deery Harris, Inc., Plaintiff, v. FDOT, Defendant.		
Court with Jurisdiction:	6th Judicial Circuit, Pinellas County		
Case Number:	06-585 CI 7		
Summary of the Complaint:	This is an inverse condemnation case. The plaintiff seeks damages for alleged loss of access and physical taking attributed to FDOT's improvements to U.S. 19.		
Amount of the Claim:	\$ 2,000,000		
Specific Law(s) Challenged (including GAA Challenged:			
Status of Case:	Complaint received on 01/30/06. FDOT filed a Motion for Summary Judgment on 06/26/09. The Court allowed Plaintiffs to file an Amended Complaint on 07/08/09, which FDOT moved to dismiss on 08/07/09. FDOT filed its Answer on 04/02/10. FDOT filed an Amended Motion for Summary Judgment on 05/26/10. Plaintiffs filed a Motion to Abate on 06/21/10. FDOT's Motion for Summary Judgment and Plaintiff's Motion to Abate are set for hearing on 10/12/10.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Erik R. Fenniman	Phone Number:	414-5265

Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	FDOT, Plaintiff, v. Anchorage Inn, Defendant.		
Court with Jurisdiction:	7th Judicial Circuit, St. Johns County		
Case Number:	CA03-0753		
Summary of the Complaint:	FDOT brought an eminent domain action to acquire certain property owned by Anchorage. Anchorage responded to the eminent domain petition by filing a counterclaim seeking damages for an alleged inverse condemnation. Anchorage seeks damages for alleged loss of riparian view resulting from the reconstruction of the Bridge of Lions.		
Amount of the Claim:	\$ 3,500,000		
Specific Law(s) Challenged (including GAA Challenged:			
Status of Case:	Anchorage filed its Third Amended Counterclaim on 11/23/06. The Court granted FDOT's Motion to Dismiss without prejudice on 02/18/10. Anchorage filed its Fourth Amended Counterclaim on 04/16/10. FDOT moved to dismiss the fourth counterclaim on 04/29/10. Mediation was held on 09/20/10, resulting in impasse. Discovery is ongoing.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Wayne Lambert	Phone Number:	414-5265

Names of the Parties:	FDOT, Plaintiff, v. APAC Southeast, Defendant/Third Party Plaintiff, v. PennSummit Tubular, Third Party Defendant.		
Court with Jurisdiction:	17th Judicial Circuit, Broward County		
Case Number:	09-CA-03329025		
Summary of the Complaint:	FDOT sued APAC for breach of a construction contract seeking damages for defective mast arms. APAC then sued the mast arm supplier, PennSummit Tubular, for all damages it might owe to FDOT.		
Amount of the Claim:	\$600,000		
Specific Law(s) Challenged:			
Status of Case:	Complaint filed on 09/08/09. APAC answered and filed its third party complaint against PennSummit on 11/16/09. On 08/11/10, FDOT reached a settlement agreement with Penn Summit, under which PennSummit will correct the defective work, resolving all claims by FDOT.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Ted A. Avellone	Phone Number:	414-5265

Names of the Parties:	FDOT, Plaintiff, v. Aramark Uniform & Career Apparel, LLC, Defendant.		
Court with Jurisdiction:	4 th Circuit Court, Duval County		
Case Number:	07-CA-010434		
Summary of the Complaint:	FDOT is seeking to recover damages for environmental contamination of FDOT right-of-way caused by Aramark's, or its predecessor's, discharge of vinyl chloride and other toxic substances.		
Amount of the Claim:	\$1,108,591.15		
Specific Law(s) Challenged:			
Status of Case:	Complaint filed 11/09/07 and Answer filed 09/02/08. Discovery is ongoing.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Leonard Collins	Phone Number:	414-5265
Names of the Parties:	FDOT, Plaintiff, v. Dyer, Riddle, Mills & Precourt, Inc., Defendant.		
Court with Jurisdiction:	2 nd Circuit (Leon)		
Case Number:	2008CA004158		
Summary of the Complaint:	FDOT sued DRMP for its failure to correctly design certain roadway improvements		
Amount of the Claim:	\$2,498,000		
Specific Law(s) Challenged:			
Status of Case:	FDOT filed its Complaint on 12/22/08. DRMP served its Answer on 2/9/09. On 03/05/10, FDOT filed a Motion for Partial Summary Judgment on liability that was heard on 04/29/10. The Court has not ruled on FDOT's Motion for Partial Summary Judgment. On 08/30/10, FDOT filed a Motion for Summary Judgment that is set for hearing on 10/25/10. Trial is set for 11/28/10.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Arthur L. Berger	Phone Number:	414-5265

Names of the Parties:	FDOT, Plaintiff, v. Earth Tech Consulting, Inc., Defendant.		
Court with Jurisdiction:	2 nd Circuit, Leon County		
Case Number:	08-CA-002231		
Summary of the Complaint:	Earth Tech performed design work under a consulting contract with FDOT. Earth Tech designed a scenic wetlands area to mitigate environmental impacts from various FDOT road construction projects in Jacksonville. The mitigation project did not function as expected. FDOT is seeking to recover money damages from Earth Tech.		
Amount of the Claim:	Approximately \$1,000,000		
Specific Law(s) Challenged:			
Status of Case:	The Complaint was filed on 07/10/08. Earth Tech filed its Answer on 03/30/09. Discovery is ongoing.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Calvin L. Johnson, Sr.	Phone Number:	414-5265

Names of the Parties:	FDOT, Plaintiff, v. Modern Continental South, US Fidelity and Guarantee Company, and Lumberman's Mutual, Defendants.		
Court with Jurisdiction:	2nd Judicial Circuit, Leon County		
Case Number:	37-2007-CA-00799		
Summary of the Complaint:	Modern entered into a contract with FDOT to construct an on-ramp from the West Palm Beach Airport to I-95. The work included installation of expansion joints between segments of the deck slabs. During inspection, FDOT discovered that the expansion joints did not function as required. FDOT sued Modern and its sureties for damages caused by the defective work.		
Amount of the Claim:	\$3,000,000		
Specific Law(s) Challenged:			
Status of Case:	The Complaint was filed on 03/20/07. The Defendants were served on 07/13/07. The Defendants served their answers and affirmative defenses on 09/13/07. On 05/19/10, the parties reached a settlement agreement resolving this suit.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Alice Copek	Phone Number:	414-5265
Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	FDOT, Plaintiff, v. P&L Towing, Inc., Defendant.		
Court with Jurisdiction:	11th Judicial Circuit, Miami-Dade County		
Case Number:	06-16612 CA 16		
Summary of the Complaint:	A tug and barge operated by P & L struck the 5th Street Bridge on the Miami River. About two weeks later a tug and barge operated by P & L again struck the bridge. FDOT sued to recover for the damage to the bridge.		
Amount of the Claim:	FDOT's claim is for \$1,300,000 P&L Towing's counterclaim is for approximately \$200,000		
Specific Law(s) Challenged (including GAA Challenged):			
Status of Case:	On 08/22/06, FDOT served a Complaint for damages from two separate vessel collisions with a bridge on 07/15/05 and 08/04/05. On 09/01/06, P&L served an Answer and Counterclaim. On 10/06/06, FDOT filed a Motion to Dismiss Counterclaim. FDOT's Motion to Dismiss was granted on 01/07/09. P&L filed an amended Answer and Counterclaim on 01/18/09. FDOT answered the counterclaim on 02/05/09. Discovery is ongoing.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Adam Brand	Phone Number:	414-5265

Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	1) FDOT, Plaintiff, v. Grace and Naeem Udin, Inc., et al., Defendants. 2) FDOT, Plaintiff, v. Bermello, AJAMIL & Partners, Inc., and FRH Construction Services, Inc., Defendants.		
Court with Jurisdiction:	11th Judicial Circuit, Miami-Dade		
Case Number:	05-23353CA 20 07-28205 CA 11		
Summary of the Complaint:	1) FDOT sued the contractor for defective construction of the Sunguide Building. 2) FDOT sued the architects and project managers for defective design of the Sunguide Building.		
Amount of the Claim:	\$3,000,000		
Specific Law(s) Challenged (including GAA Challenged:			
Status of Case:	Suits were filed against the contractor, architect and inspectors. The contractor in turn filed Third Party Complaints against its subcontractors. On 07/01/09, the two suits were consolidated. On 07/28/10, the parties agreed to a settlement and dismissal of these cases.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Arthur L. Berger	Phone Number:	414-5265

Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	FDOT, Plaintiff, v. White Construction Co., Inc., Defendant.		
Court with Jurisdiction:	5th Judicial Circuit , Marion County		
Case Number:	05-35-CA-G		
Summary of the Complaint:	FDOT sued White for return of an overpayment made on a construction contract. White counterclaimed against FDOT, alleging FDOT was liable to it for damages relating to alleged utility conflicts, unforeseen conditions, and improperly assessing liquidated damages.		
Amount of the Claim:	FDOT's claim was for \$359,432.90 White's counterclaim was for \$3,000,000		
Specific Law(s) Challenged (including GAA Challenged:			
Status of Case:	FDOT filed its Complaint on 01/06/05. On 01/28/05, White filed its Answer and Counterclaim. On 06/01/10, the parties agreed to a settlement and dismissal of this case.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Roger Wood	Phone Number	414-5265

Names of the Parties:	Florida Gas Transmission Company, Plaintiff, v. FDOT, Defendant.
Court:	17th Judicial Circuit, Broward County
Case Number:	07-01922-08
Summary of the Complaint:	Florida Gas Transmission Company (FGT) has natural gas pipelines that are located in the Turnpike right of way. The Turnpike Enterprise is designing road widening projects in the vicinity of the pipelines. After a dispute arose over responsibility for the costs of relocating the pipelines, FGT filed this suit against FDOT for breach of easement agreements. Additionally, FGT claims that FDOT must compensate FGT for the cost of relocating its natural gas pipelines. FGT also claimed that its easement was taken by FDOT without compensation. FDOT counterclaimed against FGT for breach of easement, unjust enrichment, promissory estoppel, fraud in the inducement, and trespass, seeking damages and injunctive and declaratory relief.
Amount of the Claim:	FGT is seeking damages for relocation costs. FGT alleges damages for relocating the pipelines in excess of \$90,000,000. FDOT's counterclaims exceed \$30,000,000.
Specific Law Challenged:	
Status of Case:	The lawsuit was served on 02/13/07. FDOT has filed an Answer and Affirmative Defenses. The case has been assigned to the Business Court. On 07/30/08, FDOT filed Amended Counterclaims. On 09/12/08, the parties attended a voluntary mediation that resulted in an impasse. On 04/03/09, FDOT filed Third Amended and Supplemental Counterclaims. On 06/19/09, the Court granted FGT's Motion to Dismiss FDOT's counterclaim for declaratory relief based on FGT's failure to comply with paragraph 4 of the 1967 easement; however this order was vacated on 05/04/10 and by Order dated 06/29/10 FGT's motion to dismiss was denied. On 08/07/09, the Court granted FGT's Motion for Judgment on the Pleadings on FDOT's counterclaims for unjust enrichment and promissory estoppel; however the Court reconsidered this Order and ruled from the bench on 07/12/10 that the motion was denied. On 12/23/09,

	<p>FGT filed five motions for summary judgment. On 12/30/09, FDOT filed a Second Amended Motion for Partial Summary Judgment. On 03/18/10 the Court entered orders on the cross motions for summary judgment granting FGT's request for a declaration that FDOT could not pave over FGT's pipelines and ordering that FGT was entitled to a declaration of the width of its easements, which will be defined by the jury. However, the Court reconsidered these Orders and denied these motions in a ruling from the bench on 07/12/10. The Court denied all other motions for summary judgment.</p> <p>On 05/04/10, FGT voluntarily dismissed its claims that its easements were taken by FDOT without compensation. On 5/4/10, FGT also voluntarily dismissed its request to enjoin road widening projects, including two projects from the Homestead Extension of Florida's Turnpike to Griffin Road and one project from Atlantic Boulevard to the Sawgrass Expressway, as well as its request to enjoin the I-595 express lane project. The estimated exposure on the dismissed claims was in excess of \$160,000,000. As a result of the dismissal of FGT's claims for loss of its easements, the overall exposure for FDOT is now limited to the damages claimed for relocating the pipelines. On 05/04/10, FDOT voluntarily dismissed its claim against FGT for fraud in the inducement.</p> <p>On 05/28/10, FDOT requested the disqualification of Judge Rosenberg on the grounds that FDOT was being denied due process and that improper ex parte communications between FGT and the Court had occurred. On 06/01/10, Judge Rosenberg recused himself. Judge Streitfeld has been appointed as the successor judge. The case is set for Calendar Call on 12/17/10 at which time a date for trial will be set for the trial period of 01/03/11 to 03/25/11.</p>	
Who is representing (of record) the state in this lawsuit? Check all that apply.	X	Agency General Counsel's Office
		Office of the Attorney General or Division of Risk Management
	X	Outside Contract Counsel

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Adam Brand	Phone Number:	414-5265

Names of the Parties:	Freedom Pipeline Corporation, Plaintiff, v. FDOT, URS Corporation, & James Sauls, P.E., Defendants.		
Court with Jurisdiction:	10 th Circuit (Hardee)		
Case Number:	25 09CA000292		
Summary of the Complaint:	Freedom claims that it is entitled to money damages on a construction contract for wrongful default by FDOT and for extra work to repair a sewer pipe it alleges was required by FDOT. Freedom's surety, Liberty Mutual Insurance, has also sued FDOT on the same contract.		
Amount of the Claim:	Approximately \$1,000,000		
Specific Law(s) Challenged:			
Status of Case:	FDOT was served with the Complaint on 05/21/09. Freedom served an Amended Complaint on 06/19/09. On 07/22/09, FDOT filed a Motion to Dismiss. On 04/22/10, the Motion was granted in part, limiting Freedom's claim for damages to extra work and delay. FDOT filed its Answer on 05/14/10. On 08/09/10, the Court entered an agreed Order consolidating this case with Liberty Mutual Insurance v. FDOT, Case No. 25-2008CA000530. Discovery is ongoing.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Greg Costas	Phone Number:	414-5265

Names of the Parties:	Haddi Hakki and Istabrak Hakki, Plaintiffs, v. FDOT, Defendant.		
Court with Jurisdiction:	2nd District Court of Appeal		
Case Number:	2D 10-4254		
Summary of the Complaint:	This is an inverse condemnation case. Plaintiffs seek damages for an alleged loss of access attributed to FDOT's reconstruction of US 19 from an at grade divided highway to grade separated interchanges with one-way frontage roads.		
Amount of the Claim:	\$2,000,000		
Specific Law(s) Challenged:			
Status of Case:	The Complaint was filed on 6/19/06. Hakkis filed an Amended Complaint on 10/24/08. The Department filed its Answer on 11/17/08. On 02/16/10, the Court entered an Order of Taking, concluding that FDOT was liable only for a temporary taking for periodic ponding during significant rain events. On 08/18/10, a Stipulated Final Judgment was entered for the temporary taking. On 09/01/10, Hakkis appealed the Court's denial of their other claims. Hakkis' initial brief is due on 11/08/10.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Erik R. Fenniman	Phone Number:	414-5265

Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	Home Expo Corporation, Plaintiff, v. FDOT, Defendant.		
Court with Jurisdiction:	6th Judicial Circuit, Pinellas County		
Case Number:	09-9624 CI 08		
Summary of the Complaint:	This was an inverse condemnation case. The plaintiff sought severance damages and damages for alleged loss of access attributed to FDOT's reconstruction of US 19 from an at grade divided highway to grade separated interchanges with one-way frontage roads.		
Amount of the Claim:	\$ 2,000,000		
Specific Law(s) Challenged (including GAA Challenged):			
Status of Case:	Complaint served on 06/03/09. FDOT filed its Answer on 06/23/09. Plaintiff filed a Stipulated Motion for Leave to Amend its Complaint on 7/16/09. FDOT filed a Motion to Dismiss the Amended Complaint on 09/14/09. Home Expo voluntarily dismissed its complaint, without prejudice, on 08/02/10.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Jason Watts	Phone Number:	414-5265

Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	Hubbard Construction Company, Plaintiff, v. FDOT, Defendant.		
Court with Jurisdiction:	15th Judicial Circuit, Palm Beach County		
Case Number:	50 2008 CA 026417		
Summary of the Complaint:	Hubbard sued seeking payment for a "no-excuse" bonus under a construction contract with FDOT, alleging that it was wrongfully prevented from completing work within the required time. FDOT counterclaimed for declaratory relief.		
Amount of the Claim:	\$ 1,250,000		
Specific Law(s) Challenged (including GAA Challenged:			
Status of Case:	The Complaint was served on 08/27/08. On 05/15/09, FDOT filed an Amended Motion to Dismiss, which was denied on 11/09/09. On 11/23/09, FDOT filed its Answer, Affirmative Defenses, and Counterclaims. On 07/16/10, the parties agreed to a settlement and dismissal of this case.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Greg Costas	Phone Number:	414-5265

Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	Jody Development Corporation, Plaintiff, v. FDOT, Defendant.		
Court with Jurisdiction:	2nd District Court of Appeal		
Case Number:	2D 10-3707		
Summary of the Complaint:	This is an inverse condemnation case. Plaintiff is seeking money damages for its alleged loss of access resulting from FDOT's relocation of a county road.		
Amount of the Claim:	\$ 750,000		
Specific Law(s) Challenged (including GAA Challenged:			
Status of Case:	The Complaint was served on 09/22/08. FDOT filed a Motion to Dismiss on 10/24/08, which was denied on 02/23/09. FDOT filed an Answer on 03/11/09. FDOT filed a Motion for Partial Summary Judgment on 07/01/09, which was granted on 11/21/09. A non-jury trial on Plaintiff's remaining claims was held on 05/10/10. On 07/08/10, the Court entered a Final Judgment awarding Plaintiff no damages. Plaintiff filed its Notice of Appeal on 08/02/10. Plaintiff's initial brief is due on 10/06/10.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Adam Brand	Phone Number:	414-5265
Names of the Parties:	Liberty Mutual Insurance, Plaintiff, v. FDOT, Defendant.		
Court with Jurisdiction:	10 th Circuit (Hardee)		
Case Number:	25-2008CA000530		
Summary of the Complaint:	Liberty, as surety for Freedom Pipeline Corporation, sued FDOT for money damages, claiming that FDOT breached its construction contract with Freedom.		
Amount of the Claim:	Approximately \$1,000,000		
Specific Law(s) Challenged:			
Status of Case:	Liberty served its Complaint on 10/21/08. On 01/16/09, Liberty served its Amended Complaint. On 02/13/09, FDOT served a Motion to Dismiss Complaint, which was granted in part and denied in part on 07/06/09. On 08/17/09, Liberty filed its Third Amended Complaint. On 09/08/09 FDOT filed its Answer. On 08/09/10, the Court entered an agreed Order consolidating this case with Freedom Pipeline Corporation v. FDOT, Case No. 25 09CA000292. Discovery is ongoing.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Erik R. Fenniman	Phone Number:	414-5265

Names of the Parties:	Mallards Cove LLP, Plaintiff, v. Clerk of the Pasco County Circuit Court and FDOT, Defendants.		
Court with Jurisdiction:	6th Judicial Circuit, Pasco County		
Case Number:	51-2008-CA-7689ES, Division Y		
Summary of the Complaint:	The Plaintiff claims that Section 74.051(4), Florida Statutes, unlawfully deprives landowners of the interest earned on deposits with the Clerks of the Circuit Courts in eminent domain cases. Under the law, FDOT and other condemning authorities receive 90% of the interest earned on deposits made with the Court for the value of property they acquire through eminent domain.		
Amount of the Claim:	Unknown, but if a class is certified will likely exceed \$1,000,000		
Specific Law(s) Challenged:	Section 74.051(4), Florida Statutes		
Status of Case:	The Complaint was served on 08/19/09. FDOT moved to dismiss the Complaint on 09/14/09. The motion was denied on 06/01/10. FDOT served its Answer on 07/12/10. On 07/15/10, FDOT filed a Motion for Summary Judgment, which is scheduled to be heard on 12/10/10.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).	Christa L. Collins, Esquire Jackson Bowman, Esquire		

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Erik R. Fenniman	Phone Number:	414-5265

Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	Manakamana, Inc., Plaintiff, v. FDOT, Defendant.		
Court with Jurisdiction:	6th Judicial Circuit, Pinellas County		
Case Number:	06-3942 CI 20		
Summary of the Complaint:	This was an inverse condemnation case. The plaintiff sought severance damages and damages for alleged loss of access attributed to FDOT's improvements to U.S. 19.		
Amount of the Claim:	\$ 2,000,000		
Specific Law(s) Challenged (including GAA Challenged:			
Status of Case:	The Complaint was served on 06/19/06. FDOT filed a Motion for Summary Judgment on 06/26/09. Plaintiff filed a Notice of Voluntary Dismissal Without Prejudice on 09/20/09.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Nancy J. Aliff	Phone Number:	414-5265

Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	<p>1) Mitchell Brothers, Inc., Plaintiff, v. FDOT, Defendant.</p> <p>2) Westfield Insurance Co., Plaintiff, v. Mitchell Brothers, Inc., Defendant/Third Party Plaintiff, v. FDOT, Third Party Defendant.</p> <p>3) FDOT, Plaintiff, v. Westfield Insurance Company, Defendant.</p>
Court with Jurisdiction:	<p>1) 1st District Court of Appeal 2) 2nd Judicial Circuit, Leon County 3) 2nd Judicial Circuit, Leon County</p>
Case Number:	<p>1) 1D08-2705 2) 2007 CA 1139 3) 2008-CA-002971</p>
Summary of the Complaint:	<p>1) Mitchell sued FDOT claiming breach of contract. FDOT counterclaimed for liquidated damages and work FDOT had to perform to correct deficient work by Mitchell.</p> <p>2) Mitchell's surety, Westfield, sued Mitchell for money it paid to complete the road project. Mitchell, in turn, sued FDOT for the amount claimed by Westfield.</p> <p>3) FDOT sued Westfield for liquidated damages owed on the Mitchell contract and for work FDOT had to perform to correct deficient work by Mitchell. Westfield counterclaimed for extra costs it claimed FDOT caused it to incur to complete the project.</p>
Amount of the Claim:	<p>1) Mitchell informally claimed \$40,000,000. FDOT counterclaimed for \$1,827,139.</p> <p>2) Mitchell sought \$5,200,000, which represented identical damage claims to the claims brought by Mitchell in case 1.</p> <p>3) FDOT sought the same \$1,827,139 that was the FDOT counterclaim in case 1. The amount of Westfield's counterclaim was unknown.</p>
Specific Law Challenged:	

<p>Status of Case:</p>	<p>1) Mitchell served its Complaint and Motion for Preliminary Injunction on 12/22/05. FDOT answered the complaint and served a counterclaim on 03/17/06. Final Summary Judgment in favor of FDOT was entered on 03/26/08. Mitchell appealed the judgment. The judgment in favor of FDOT was affirmed on 06/23/09. FDOT voluntarily dismissed its counterclaim at the hearing on its Motion for Summary Judgment on 02/26/08. FDOT then filed case 3 against Mitchell's surety for the same damages. The only remaining claim in this case is FDOT's motion seeking an award of its attorney fees and costs, which is scheduled for hearing on 10/13/10.</p> <p>2) The Third Party Complaint was served on FDOT on 08/20/07. Mitchell served a Second and Third Amended Third Party Complaint. FDOT answered on 11/18/08. On 09/11/09, Mitchell dismissed its third party complaint against FDOT.</p> <p>3) FDOT filed its Complaint on 09/09/08. The matter was stayed pending the appeal of case 1, which was resolved in favor of FDOT on 08/13/09. Westfield filed an Answer and Counterclaim on 09/11/09. On 04/22/10, the parties agreed to a settlement agreement and dismissal of this case.</p>	
<p>Who is representing (of record) the state in this lawsuit? Check all that apply.</p>	<p>X</p>	<p>Agency General Counsel's Office</p>
		Office of the Attorney General or Division of Risk Management
	X	Outside Contract Counsel

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Greg Costas	Phone Number:	414-5265

Names of the Parties:	Modern, Inc., and First Omni Service Corporation, Plaintiffs v. Florida Department of Transportation, U.S. Fish & Wildlife Services, and St. Johns River Water Management District, Defendants.		
Court with Jurisdiction:	U.S. Court of Appeals for the 11th Circuit		
Case Number:	08-11799-E		
Summary of the Complaint:	Modern claimed that its property was flooded by FDOT and the other defendants without payment of compensation.		
Amount of the Claim:	Plaintiffs claimed damages of \$34,000,000		
Specific Law(s) Challenged:			
Status of Case:	Suit was filed on 05/21/97, and was removed to federal court on 06/04/03. Trial began in August 2006, resumed on 04/16/07 and concluded on 05/02/07. Final judgment in favor of all defendants was entered on 01/30/08. Plaintiffs appealed the judgment. The judgment was affirmed on 01/21/09. Plaintiffs, motion for rehearing was denied on 04/17/09. Plaintiffs served a petition for review in the U.S. Supreme Court on 07/16/09. StJWMD served its brief in opposition on 08/19/09. The U.S. Supreme Court denied review on 11/30/09, and the matter was closed.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	X	Agency General Counsel's Office	
		Office of the Attorney General or Division of Risk Management	
		Outside Contract Counsel	

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Leonard Collins	Phone Number:	414-5265
Names of the Parties:	Tomer Nadler, Plaintiff, v. FDOT, Defendant.		
Court with Jurisdiction:	11 th Judicial Circuit, Miami-Dade County		
Case Number:	0878290CA08		
Summary of the Complaint:	Mr. Nadler filed a class action suit for breach of contract, unfair trade practices, and restitution to recover damages alleged to have been caused by FDOT not properly posting the tolls for use of the I-95 Express Lane in Miami.		
Amount of the Claim:	Unknown, but if a class is certified, may exceed \$500,000		
Specific Law(s) Challenged:			
Status of Case:	On 12/17/08, Nadler filed a Complaint. On 12/30/09, Nadler served FDOT with an Amended Complaint. On 04/23/09, Nadler served a Second Amended Complaint. On 08/14/09 Nadler served a Third Amended Complaint. On 08/21/09, FDOT filed a Motion to Dismiss the Third Amended Complaint with Prejudice. A hearing on the motion is set for 11/02/10.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).	Gilbert & Kaufman, P.A. A class has not been certified.		

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Roger B. Wood	Phone Number:	414-5265

Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	Peak Oil Superfund Site		
Court with Jurisdiction:	US DC Middle District		
Case Number:	97-1564-CIV-T-26(A)		
Summary of the Complaint:	The EPA has told FDOT it is responsible for groundwater contamination at this site. EPA is overseeing the cleanup of this site under CERCLA, the Comprehensive Environmental Response, Compensation, and Liability Act. FDOT has entered into a consent decree that requires it to clean this site.		
Amount of the Claim:	In excess of \$10,000,000		
Specific Law(s) Challenged (including GAA Challenged:			
Status of Case:	FDOT has responded to the EPA's information request. FDOT made payment pursuant to consent decree in 03/98. Implementation of remedial design in progress. Evaluation of the need for remedy in wetlands and deep aquifer is ongoing. No assessments for cleanup costs were made in 2009 and no assessment is expected for 2010.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Greg Costas	Phone Number:	414-5265

Names of the Parties:	PGA North II of Florida LLC, Plaintiff, v. FDOT, Defendant.		
Court with Jurisdiction:	4th District Court of Appeal		
Case Number:	4D 10-1895		
Summary of the Complaint:	This is an action to enforce a judgment, seeking damages for the alleged failure of FDOT to provide access between Plaintiff's property and PGA Boulevard.		
Amount of the Claim:	\$16,000,000		
Specific Law(s) Challenged:			
Status of Case:	The Summons and Complaint were served on 01/03/02. An Answer was filed on 08/07/02. Motions for Summary Judgment were argued on 06/27/08, and were denied. On 03/11/09, the Court entered an agreed order providing for separate trials on liability and damages. A non-jury trial on the liability phase was held on 03/08/10. On 04/16/10, the Court entered final judgment in favor of FDOT. PGA filed a Notice of Appeal on 05/03/10. PGA's initial brief is due on 10/10/10.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Erik R. Fenniman	Phone Number:	414-5265

Names of the Parties:	Pierce 100, Inc., Plaintiff, v. FDOT & City of Clearwater, Defendants.		
Court with Jurisdiction:	6th Judicial Circuit, Pinellas County		
Case Number:	07-013950-CI-15		
Summary of the Complaint:	The Pierce 100 condominium association and its individual unit members are suing the City of Clearwater and FDOT for money damages for the Plaintiffs' alleged loss of riparian view resulting from construction of the Clearwater Memorial Causeway.		
Amount of the Claim:	Unknown, but is expected to exceed \$1,000,000		
Specific Law(s) Challenged:			
Status of Case:	The Complaint was served on 02/20/06. FDOT moved to dismiss the complaint on 03/08/06. Plaintiffs amended their Complaint on 04/16/07 and again on 02/13/09 and 09/15/09. FDOT moved to dismiss the last amended complaint on 10/05/09. On 06/02/10, FDOT served its Answer. Trial is set to begin on 04/04/11.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).	This is a condominium class action pursuant to Florida Rule of Civil Procedure 1.221. Plaintiffs' counsel is Jackson H. Bowman of the firm Brigham Moore LLP.		

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Erik R. Fenniman	Phone Number:	414-5265

Names of the Parties:	RBF Properties, Inc., Plaintiff, v. FDOT, Defendant.		
Court with Jurisdiction:	6 th Judicial Circuit, Pinellas County		
Case Number:	06-728-CI-15		
Summary of the Complaint:	This is an inverse condemnation case. Plaintiff seeks severance damages and damages for alleged loss of access attributed to FDOT's reconstruction of US 19 from an at grade divided highway to grade separated interchanges with one-way frontage roads.		
Amount of the Claim:	\$2,000,000		
Specific Law(s) Challenged:			
Status of Case:	The Complaint was served on 02/09/06. The Court entered an Order abating the case pending the outcome of the appeal of Fisher v. FDOT, SC07-1394, in which a private property owner asserted legally and factually similar claims. FDOT received a favorable decision in Fisher on 10/11/07. On 08/18/09, an Amended Complaint was filed. FDOT filed its Answer on 04/02/10. FDOT filed a Motion for Summary Judgment on 06/04/10. RBF filed a Motion to Abate on 06/04/10. FDOT's Motion for Summary Judgment and RBF's Motion to Abate are scheduled to be heard on 10/12/10.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	P. Gregory Jones	Phone Number:	414-5265

Names of the Parties:	Rendon, Plaintiff Class Representative, v. Florida Department of Transportation and Florida Department of Highway Safety and Motor Vehicles, Defendants.		
Court with Jurisdiction:	11 th Circuit Court, Dade County, Florida		
Case Number:	96-18090		
Summary of the Complaint:	This is a class action brought under the Americans with Disabilities Act. The plaintiff class has sued to recover parking permit fees paid for disabled parking permits.		
Amount of the Claim:	\$20,000,000 was claimed before settlement		
Specific Law(s) Challenged:			
Status of Case:	<p>The 3rd DCA found that the State of Florida is not required to refund the permit fees. Plaintiffs filed a Petition for Review to the U.S. Supreme Court. The U.S. Supreme Court remanded the case to the 3rd DCA based on its ruling in Tennessee v. Lane. The 3rd DCA enjoined FDHSMV from charging a fee for disabled parking placards and authorized reimbursement of fees only to persons who timely filed requests for reimbursements.</p> <p>A settlement agreement was reached through mediation. FDOT transferred \$1,000,000 to FDHSMV for payment to designated charities. The distribution of funds was completed by FDHSMV in 2009 and the case was closed.</p>		
Who is representing (of record) the state in this lawsuit? Check all that apply.		Agency General Counsel's Office	
	X	Office of the Attorney General or Division of Risk Management	
		Outside Contract Counsel	
If the lawsuit is a class action, (whether the class is certified or not) provide the names of the firm or	J. Davis Connor Peterson & Myers, P.A. P.O. Drawer 7608 Winter Haven, FL 33883-7608 863/294-3360		

firms representing the plaintiff(s).

Robert G. Fegers,
Antonello, Fegers & CEA
P. O. Box 7692
Winter Haven, FL 33883-7692
941/294-2898

Raymond E. Thompson
628 S.E. 17th St.
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Gievers, P.A.
524 E. College Ave.
Tallahassee, FL 32301

Marc Cooper
Colson Hicks Eidson
255 Aragon Ave., 2nd Floor
Coral Gables, FL 33134

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Erik R. Fenniman	Phone Number:	414-5265

Names of the Parties:	Nicholas R. Sayat, Plaintiff v. FDOT, Defendant.		
Court with Jurisdiction:	6 th Judicial Circuit, Pinellas County		
Case Number:	06-588-CI-15		
Summary of the Complaint:	Plaintiff sought severance damages and damages for an alleged loss of access attributed to FDOT's reconstruction of US 19 from an at grade divided highway to grade separated interchanges with one-way frontage roads.		
Amount of the Claim:	\$2,000,000		
Specific Law(s) Challenged:			
Status of Case:	The Complaint was filed on 01/26/06. The Court entered an Order abating the case pending the outcome of the appeal of Fisher v. FDOT, SC07-1394, in which a private property owner asserted legally and factually similar claims. FDOT received a favorable decision in Fisher on 10/11/07. FDOT filed a Motion for Summary Judgment on 07/08/09. Plaintiff filed a Notice of Voluntary Dismissal without prejudice on 11/23/09.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Ted A. Avellone	Phone Number:	414-5265
Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	Wekiva River Mitigation Bank, L.L.C., Plaintiff, v. FDOT and Orlando-Orange County Expressway Authority, Defendants.		
Court with Jurisdiction:	9th Judicial Circuit, Orange County		
Case Number:	2009-CA-25884		
Summary of the Complaint:	Wekiva filed a breach of contract action against OOCEA and FDOT seeking money damages it claimed it suffered as a result of OOCEA's and FDOT's alleged breach of a land purchase contract. OOCEA counterclaimed against Wekiva seeking specific performance of the contract and declaratory and injunctive relief. OOCEA also filed a third party complaint against FDEP seeking specific performance of the contract by FDEP, declaratory and injunctive relief, and indemnity for any damages awarded to Wekiva. FDOT counterclaimed against Wekiva for anticipatory breach of the contract, seeking relief from any current obligations under the contract and abatement of the proceeding.		
Amount of the Claim:	Wekiva claimed approximately \$38,000,000 in damages		
Specific Law(s) Challenged:			
Status of Case:	The Summons and Complaint were served on FDOT on 08/24/09. On 12/10/09, OOCEA filed counterclaims for specific performance, and declaratory and injunctive relief, and a third party claim against FDEP for specific performance, indemnity, and declaratory and injunctive relief. FDOT filed its answer and counterclaim asserting anticipatory breach on 03/17/10. On 08/16/10, the parties entered into a settlement agreement resolving all claims in this suit against FDOT.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Marc Peoples	Phone Number:	414-5265
Names of the Parties:	White Construction Company, Inc., Plaintiff, v. FDOT, Defendant.		
Court with Jurisdiction:	5th Judicial Circuit, Marion County		
Case Number:	05-264-CA-G		
Summary of the Complaint:	White contracted with FDOT to expand and resurface portions of US 27 in Marion County. White failed to timely perform the work and FDOT terminated the contract. FDOT required White's surety to obtain a replacement contractor and complete the work. White sued for money damages, seeking compensation for extra work it claimed that FDOT required it to perform, and lost profits and consequential damages allegedly caused by FDOT's termination of the contract. FDOT counterclaimed for liquidated damages and overpayment on the contract.		
Amount of the Claim:	White's claim is for approximately \$6,000,000 FDOT's counterclaim is for \$1,800,000		
Law Challenged:			
Status of Case:	The Summons and Complaint were served on 02/08/05. A lawsuit against White by its surety St. Paul, who paid for work after FDOT terminated the contract, is consolidated with this suit. White filed a counterclaim against St. Paul. On 08/16/06, FDOT served its Answer, Defenses and Counterclaim. Discovery is ongoing. On 08/04/10, the Court granted FDOT's motion for partial summary judgment on the proper interest rate, limiting White to the 6% rate specified in the contract. On 08/20/10, the Court denied FDOT's motion for summary judgment asserting lack of standing. On 09/08/10, FDOT filed a motion for partial summary judgment on White's indemnity claim. A pretrial conference is scheduled for 11/10/10, with trial set to commence on 12/06/10.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	X	Agency General Counsel's Office	
		Office of the Attorney General or Division of Risk Management	
		Outside Contract Counsel	



Florida Department of Transportation

CHARLIE CRIST
GOVERNOR

605 Suwannee Street
Tallahassee, FL 32399-0450

STEPHANIE C. KOPELOUSOS
SECRETARY

MEMORANDUM

TO: Kimberly Ferrell
Budget Officer

FROM: Alexis M. Yarbrough *Alexis M. Yarbrough for Amy*
General Counsel

DATE: September 29, 2010

SUBJECT: Schedule VII: Agency Litigation Inventory
2011/2012 Legislative Budget Request

We have attached an inventory of the Department's current litigation in accordance with the Planning and Budgeting Instructions. Based on your August 13, 2010, request, we have exercised due diligence by requesting that each attorney assigned to the Office of the General Counsel in Tallahassee and each of the District Chief Counsels, including the Chief Counsel for the Turnpike Enterprise, identify any litigation cases known to them that have a potential fiscal impact of more than \$500,000.

If you have any questions, or need additional information, please feel free to contact me at 414-5270.



Florida Department of Transportation


CHARLIE CRIST
GOVERNOR

605 Suwannee Street
Tallahassee, FL 32399-0450

STEPHANIE C. KOPELOUSOS
SECRETARY

MEMORANDUM

TO: Robert M. Burdick, Deputy General Counsel
Bruce R. Conroy, Chief of Administrative Law
Erik R. Fenniman, Chief of Contracts and Real Property
Calvin L. Johnson, Chief of Civil Litigation

FROM: Alexis M. Yarbrough, General Counsel 

DATE: September 22, 2010

SUBJECT: Delegation of Authority

Please be advised that Robert M. Burdick, Deputy General Counsel, has been delegated authority to approve all actions of the Department of Transportation's Office of the General Counsel in my absence. In the absence of Mr. Burdick, Bruce R. Conroy, Chief of Administrative Law, shall assume aforesaid authority. In the absence of Mr. Conroy, Mr. Erik R. Fenniman, Chief of Contracts and Real Property, will assume aforesaid authority. In the absence of Mr. Conroy and Mr. Fenniman, Mr. Calvin L. Johnson, Chief of Civil Litigation, will assume aforesaid authority.

This delegation does not include authority to appoint, take pay actions, discipline, or terminate employees.

I request that my staff ensure that my assistant, Ginger Franks, receives a "reading file" copy of all correspondence (i.e. letters, memos, etc.) requiring the signature and/or approval set forth in this delegation.

AMY:ggf

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Greg Costas	Phone Number:	414-5265

Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	AMEC Civil, LLC, Plaintiff, v. FDOT, Defendant.		
Court with Jurisdiction:	Florida Supreme Court		
Case Number:	SC10-1699		
Summary of the Complaint:	FDOT contracted with AMEC for reconstruction of the intersection of I-95 and I-295 south of Jacksonville. AMEC sought money damages for delays and extra costs, which claims were previously litigated in a separate action.		
Amount of the Claim:	\$37,000,000		
Law Challenged:			
Status of Case:	AMEC served a Summons and Complaint on 02/07/08. On 02/20/09, the Court entered final summary judgment in favor of FDOT. AMEC appealed the order. Oral argument was held on 10/13/09. The judgment was affirmed by an opinion issued on 04/20/10. On 05/05/10, AMEC filed a motion for rehearing, a motion for rehearing en banc, and a request that the 1st DCA to certify to the Florida Supreme Court that the opinion involved questions of great public importance and conflicted with one or more decisions by other District Courts of Appeal. The motions and request were denied on 08/05/10. AMEC filed its notice seeking discretionary review by the Florida Supreme Court on 08/30/10, and served its brief on jurisdiction on 9/09/10. FDOT's brief on jurisdiction is due on 10/04/10.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	X	Agency General Counsel's Office	
		Office of the Attorney General or Risk Management	
		Outside Contract Counsel	

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Leonard Collins	Phone Number:	414-5265
Names of the Parties:	Anderson Columbia Company, Inc., Plaintiff v. FDOT, Defendant.		
Court with Jurisdiction:	8th Judicial Circuit, Union County		
Case Number:	63-2009-CA-0096		
Summary of the Complaint:	Anderson claimed that it was owed additional compensation on a construction contract for extra work it claimed FDOT required it to perform.		
Amount of the Claim:	\$480,000		
Specific Law(s) Challenged:			
Status of Case:	Anderson served its Summons and Complaint on 7/29/09. FDOT served a Motion to Dismiss and propounded initial discovery on 09/04/09. On 09/10/10, the parties agreed to a settlement and dismissal of this case.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Leonard Collins	Phone Number:	414-5265
Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	Astaldi Construction Corporation, Plaintiff, v. FDOT and KCCS, Inc., Defendants.		
Court with Jurisdiction:	20th Judicial Circuit, Lee County		
Case Number:	09-CA-000978		
Summary of the Complaint:	Astaldi claims that it is owed additional money on a construction contract because of delays and disruption of its work it alleges were caused by FDOT. FDOT has counterclaimed for liquidated damages it has withheld on the project.		
Amount of the Claim:	Astaldi claims \$7,000,000. FDOT's counterclaim is \$2,000,000.		
Specific Law(s) Challenged:			
Status of Case:	Astaldi served a Complaint against FDOT and KCCS, the CEI on the project, on 03/05/09. On 4/1/2009 KCCS served a Motion to Dismiss. On 4/17/09 FDOT served a Motion to Dismiss and propounded initial discovery. On 6/16/09, the Court denied FDOT's Motion to Dismiss and granted KCCS's Motion. FDOT filed its Answer on 08/07/09. On 7/27/09, Astaldi served its answers to FDOT's initial discovery. Mediation was held on 10/29/09, resulting in impasse. On 03/26/10, the Court entered an order staying this case pending further Dispute Resolution Board proceedings. Additional DRB proceedings were held on 08/20/10. Additional DRB proceedings will be scheduled by October 2010.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	X	Agency General Counsel's Office	
		Office of the Attorney General or Division of Risk Management	
		Outside Contract Counsel	

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Roger B. Wood	Phone Number:	414-5265

Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	Bay Drum Superfund Site		
Court with Jurisdiction:	US DC Middle District		
Case Number:	97-1564-CIV-T-26(A)		
Summary of the Complaint:	The EPA has told FDOT it is responsible for groundwater contamination at this site. EPA is overseeing the cleanup of this site under CERCLA, the Comprehensive Environmental Response, Compensation, and Liability Act. FDOT has entered into a consent decree that requires it to clean this site.		
Amount of the Claim:	Potential exposure is estimated to be \$10,000,000		
Specific Law(s) Challenged (including GAA Challenged:			
Status of Case:	FDOT has responded to EPA's information request and has joined a Potential Responsible Party group. FDOT is a major participant due to its allocation. On 01/21/05, EPA agreed to amend the Record of Decision to provide for monitoring and natural attenuation as the remedy for the deep Floridian Aquifer. No assessments for cleanup costs were made in 2009 and no assessment is expected for 2010.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Erik R. Fenniman	Phone Number:	414-5265

Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	Blue Springs Investments, Inc., Plaintiff, v. FDOT, Defendant.		
Court with Jurisdiction:	7th Judicial Circuit, Volusia County		
Case Number:	2008 11845 CIDL		
Summary of the Complaint:	This was an inverse condemnation case. The Plaintiff sought damages for alleged flooding of its property as a result of FDOT road improvements.		
Amount of the Claim:	\$898,000		
Specific Law(s) Challenged (including GAA Challenged:			
Status of Case:	Complaint served on 06/06/08. On 06/18/08, FDOT filed its Answer and Affirmative Defenses. On 11/05/09, the parties agreed to a settlement and dismissal of this case.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Erik R. Fenniman	Phone Number:	414-5265

Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	Bob's Butler Carpet Company, Plaintiff, v. FDOT, Defendant.		
Court with Jurisdiction:	6th Judicial Circuit, Pinellas County		
Case Number:	08-13469 CI 15		
Summary of the Complaint:	This was an inverse condemnation case. The Plaintiff sought damages for alleged loss of access, flooding, and physical invasion attributed to FDOT's improvements to U.S. 19.		
Amount of the Claim:	\$ 2,000,000		
Specific Law(s) Challenged (including GAA Challenged:			
Status of Case:	Complaint for physical invasion, flooding and loss of access served 9/15/08. FDOT's Answer and Affirmative Defenses filed 05/21/09. Plaintiff's Stipulated Motion to Amend its complaint granted 08/25/09. FDOT's Motion to Dismiss and Motion for More Definite Statement filed 9/15/09. On 04/28/10, the Plaintiff filed a Voluntary Dismissal without prejudice.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Erik R. Fenniman	Phone Number:	414-5265

Names of the Parties:	Break Time USA, Inc., Plaintiff, v. FDOT, Defendant.		
Court with Jurisdiction:	6 th Judicial Circuit, Pinellas County		
Case Number:	06-586-CI-21		
Summary of the Complaint:	This is an inverse condemnation case. Break Time seeks severance damages and damages for an alleged loss of access attributed to FODT's reconstruction of US 19 from an at grade divided highway to grade separated interchanges with one-way frontage roads.		
Amount of the Claim:	\$2,000,000		
Specific Law(s) Challenged:			
Status of Case:	The Complaint was filed on 01/26/06. The Court entered an Order abating the case pending the outcome of the appeal of Fisher v. FDOT, SC07-1394, in which a private property owner asserted legally and factually similar claims. FDOT received a favorable decision in Fisher on 10/11/07. Plaintiff filed a Motion for Leave to Amend Complaint on 07/14/09 and a Motion to Abate on 06/21/10. FDOT filed a Motion for Summary Judgment on 7/14/10. FDOT's Motion for Summary Judgment and Plaintiff's Motion to Abate are scheduled for hearing on 10/05/10.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Leonard Collins	Phone Number:	414-5265
Names of the Parties:	Joseph Capitano & Alfonso Garcia Jr., Plaintiffs, v. FDOT, Defendant.		
Court with Jurisdiction:	13 th Circuit (Hillsborough)		
Case Number:	09-06083		
Summary of the Complaint:	Plaintiffs sued FDOT for declaratory relief seeking an order voiding FDOT's 2008 sale/transfer of property previously owned by Plaintiffs. FDOT obtained the property from Plaintiffs through eminent domain in 1999 and transferred the property to another landowner as part of the resolution of a 2008 eminent domain proceeding. Plaintiffs claim that FDOT was required to put their former property up for public bid.		
Amount of the Claim:	The claim could have a potential impact of approximately \$2,500,000		
Specific Law(s) Challenged:			
Status of Case:	The Complaint was served on 03/17/09. On 07/14/09, the Court granted FDOT's Motion to Dismiss without prejudice. On 08/28/09 Plaintiffs filed an Amended Complaint. On 09/10/09 FDOT filed a Motion to Dismiss the Amended Complaint. The Court denied FDOT's motion on 03/03/10. FDOT filed its answer on 03/18/10. Discovery is ongoing.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Arthur L. Berger	Phone Number:	414-5265

Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	John Carlo, Inc., Plaintiff, v. FDOT, Defendant.		
Court with Jurisdiction:	13th Judicial Circuit, Hillsborough County		
Case Number:	09-9244		
Summary of the Complaint:	John Carlo sued for breach of a construction contract, claiming that it is entitled to a "no-excuse" bonus of \$1,000,000, or, alternatively, money damages in the amount of \$3,200,000.		
Amount of the Claim:	\$3,200,000		
Specific Law(s) Challenged (including GAA Challenged:			
Status of Case:	John Carlo filed its Complaint on 04/02/09. FDOT filed a Motion to Dismiss on 06/03/09, which was denied on 10/14/09. On 11/02/09, FDOT served its answer and counterclaim for declaratory judgment regarding the application of contract specifications. On 11/30/09, John Carlo filed a Motion to Dismiss FDOT's counterclaim. FDOT voluntarily dismissed its counterclaim without prejudice on 04/08/10. FDOT served a motion for partial summary judgment on 03/26/10, which was denied on 07/22/10. Discovery is ongoing.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Erik R. Fenniman	Phone Number:	414-5265

Names of the Parties:	CHK, LLC, Plaintiff, v. FDOT, Defendant.		
Court with Jurisdiction:	6 th Judicial Circuit, Pinellas County		
Case Number:	06-730-CI-8		
Summary of the Complaint:	This is an inverse condemnation case. CHK seeks damages for an alleged loss of access and physical invasion attributed to FDOT's reconstruction of US 19 from an at grade divided highway to grade separated interchanges with one-way frontage roads.		
Amount of the Claim:	\$2,000,000		
Specific Law(s) Challenged:			
Status of Case:	The Summons and Complaint were served on 02/09/06. The Court entered an Order abating the case pending the outcome of the appeal of Fisher v. FDOT, SC07-1394, in which a private property owner asserted legally and factually similar claims. FDOT received a favorable decision in Fisher on 10/11/07. On 08/18/09, Plaintiff amended its complaint to add a count for physical invasion. FDOT filed its Answer on 04/02/10. FDOT filed a Motion for Summary Judgment on 06/04/10. Plaintiff filed a Motion to Abate on 06/04/10. FDOT's Motion for Summary Judgment and Plaintiff's Motion to Abate are scheduled to be heard on 10/04/10.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Erik R. Fenniman	Phone Number:	414-5265

Names of the Parties:	Citrus County, Petitioner, and FDOT, Intervenor, v. Jack L. Waldron, et. al., Defendants.		
Court with Jurisdiction:	5 th Judicial Circuit, Citrus County		
Case Number:	93-1686-CA		
Summary of the Complaint:	This was an inverse condemnation case. Pursuant to a Joint Project Agreement with FDOT, Citrus County filed this action to acquire land for improvements to State Road 44. Defendant Boris Pastuch filed a counterclaim for flooding of his remainder property. Citrus County declined to defend this claim. FDOT intervened, investigated the basis of the counterclaim and subsequently admitted to the flooding.		
Amount of the Claim:	In excess of \$1,000,000		
Specific Law(s) Challenged:			
Status of Case:	The original eminent domain proceeding and the counterclaim by Pastuch were filed in 1993. FDOT intervened in 2004 and filed an answer to the counterclaim, admitting that Pastuch's property was flooded and denying damages. On 10/22/09, the parties agreed to a settlement and dismissal of this case.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Greg Costas	Phone Number:	414-5265

Names of the Parties:	Crosspointe Baptist Church, Inc., Plaintiff, v. FDOT, Defendant.		
Court with Jurisdiction:	2nd District Court of Appeal		
Case Number:	2D 10-4254		
Summary of the Complaint:	This is an inverse condemnation case. Plaintiff seeks damages for physical invasion of its property, flooding, and loss of access attributed to FDOT's reconstruction of US 19 from an at grade divided highway to grade separated interchanges with one-way frontage roads.		
Amount of the Claim:	\$2,000,000		
Specific Law(s) Challenged:			
Status of Case:	The Summons and Complaint were served on 07/28/08. On 08/04/08, Crosspointe filed an Amended Complaint. FDOT filed its Answer on 11/24/08. On 02/16/10, the Court entered an Order of Taking, concluding that FDOT was liable only for a temporary taking for periodic ponding during significant rain events. On 08/18/10, a Stipulated Final Judgment was entered for the temporary taking. On 09/01/10, Crosspointe appealed the Court's denial of its other claims. Crosspointe's initial brief is due on 11/08/10.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Erik R. Fenniman	Phone Number:	414-5265

Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	Deery Harris, Inc., Plaintiff, v. FDOT, Defendant.		
Court with Jurisdiction:	6th Judicial Circuit, Pinellas County		
Case Number:	06-585 CI 7		
Summary of the Complaint:	This is an inverse condemnation case. The plaintiff seeks damages for alleged loss of access and physical taking attributed to FDOT's improvements to U.S. 19.		
Amount of the Claim:	\$ 2,000,000		
Specific Law(s) Challenged (including GAA Challenged:			
Status of Case:	Complaint received on 01/30/06. FDOT filed a Motion for Summary Judgment on 06/26/09. The Court allowed Plaintiffs to file an Amended Complaint on 07/08/09, which FDOT moved to dismiss on 08/07/09. FDOT filed its Answer on 04/02/10. FDOT filed an Amended Motion for Summary Judgment on 05/26/10. Plaintiffs filed a Motion to Abate on 06/21/10. FDOT's Motion for Summary Judgment and Plaintiff's Motion to Abate are set for hearing on 10/12/10.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Erik R. Fenniman	Phone Number:	414-5265

Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	FDOT, Plaintiff, v. Anchorage Inn, Defendant.		
Court with Jurisdiction:	7th Judicial Circuit, St. Johns County		
Case Number:	CA03-0753		
Summary of the Complaint:	FDOT brought an eminent domain action to acquire certain property owned by Anchorage. Anchorage responded to the eminent domain petition by filing a counterclaim seeking damages for an alleged inverse condemnation. Anchorage seeks damages for alleged loss of riparian view resulting from the reconstruction of the Bridge of Lions.		
Amount of the Claim:	\$ 3,500,000		
Specific Law(s) Challenged (including GAA Challenged:			
Status of Case:	Anchorage filed its Third Amended Counterclaim on 11/23/06. The Court granted FDOT's Motion to Dismiss without prejudice on 02/18/10. Anchorage filed its Fourth Amended Counterclaim on 04/16/10. FDOT moved to dismiss the fourth counterclaim on 04/29/10. Mediation was held on 09/20/10, resulting in impasse. Discovery is ongoing.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Wayne Lambert	Phone Number:	414-5265

Names of the Parties:	FDOT, Plaintiff, v. APAC Southeast, Defendant/Third Party Plaintiff, v. PennSummit Tubular, Third Party Defendant.		
Court with Jurisdiction:	17th Judicial Circuit, Broward County		
Case Number:	09-CA-03329025		
Summary of the Complaint:	FDOT sued APAC for breach of a construction contract seeking damages for defective mast arms. APAC then sued the mast arm supplier, PennSummit Tubular, for all damages it might owe to FDOT.		
Amount of the Claim:	\$600,000		
Specific Law(s) Challenged:			
Status of Case:	Complaint filed on 09/08/09. APAC answered and filed its third party complaint against PennSummit on 11/16/09. On 08/11/10, FDOT reached a settlement agreement with Penn Summit, under which PennSummit will correct the defective work, resolving all claims by FDOT.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Ted A. Avellone	Phone Number:	414-5265

Names of the Parties:	FDOT, Plaintiff, v. Aramark Uniform & Career Apparel, LLC, Defendant.		
Court with Jurisdiction:	4 th Circuit Court, Duval County		
Case Number:	07-CA-010434		
Summary of the Complaint:	FDOT is seeking to recover damages for environmental contamination of FDOT right-of-way caused by Aramark's, or its predecessor's, discharge of vinyl chloride and other toxic substances.		
Amount of the Claim:	\$1,108,591.15		
Specific Law(s) Challenged:			
Status of Case:	Complaint filed 11/09/07 and Answer filed 09/02/08. Discovery is ongoing.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Leonard Collins	Phone Number:	414-5265
Names of the Parties:	FDOT, Plaintiff, v. Dyer, Riddle, Mills & Precourt, Inc., Defendant.		
Court with Jurisdiction:	2 nd Circuit (Leon)		
Case Number:	2008CA004158		
Summary of the Complaint:	FDOT sued DRMP for its failure to correctly design certain roadway improvements		
Amount of the Claim:	\$2,498,000		
Specific Law(s) Challenged:			
Status of Case:	FDOT filed its Complaint on 12/22/08. DRMP served its Answer on 2/9/09. On 03/05/10, FDOT filed a Motion for Partial Summary Judgment on liability that was heard on 04/29/10. The Court has not ruled on FDOT's Motion for Partial Summary Judgment. On 08/30/10, FDOT filed a Motion for Summary Judgment that is set for hearing on 10/25/10. Trial is set for 11/28/10.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Arthur L. Berger	Phone Number:	414-5265

Names of the Parties:	FDOT, Plaintiff, v. Earth Tech Consulting, Inc., Defendant.		
Court with Jurisdiction:	2 nd Circuit, Leon County		
Case Number:	08-CA-002231		
Summary of the Complaint:	Earth Tech performed design work under a consulting contract with FDOT. Earth Tech designed a scenic wetlands area to mitigate environmental impacts from various FDOT road construction projects in Jacksonville. The mitigation project did not function as expected. FDOT is seeking to recover money damages from Earth Tech.		
Amount of the Claim:	Approximately \$1,000,000		
Specific Law(s) Challenged:			
Status of Case:	The Complaint was filed on 07/10/08. Earth Tech filed its Answer on 03/30/09. Discovery is ongoing.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Calvin L. Johnson, Sr.	Phone Number:	414-5265
Names of the Parties:	FDOT, Plaintiff, v. Modern Continental South, US Fidelity and Guarantee Company, and Lumberman's Mutual, Defendants.		
Court with Jurisdiction:	2nd Judicial Circuit, Leon County		
Case Number:	37-2007-CA-00799		
Summary of the Complaint:	Modern entered into a contract with FDOT to construct an on-ramp from the West Palm Beach Airport to I-95. The work included installation of expansion joints between segments of the deck slabs. During inspection, FDOT discovered that the expansion joints did not function as required. FDOT sued Modern and its sureties for damages caused by the defective work.		
Amount of the Claim:	\$3,000,000		
Specific Law(s) Challenged:			
Status of Case:	The Complaint was filed on 03/20/07. The Defendants were served on 07/13/07. The Defendants served their answers and affirmative defenses on 09/13/07. On 05/19/10, the parties reached a settlement agreement resolving this suit.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Alice Copek	Phone Number:	414-5265
Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	FDOT, Plaintiff, v. P&L Towing, Inc., Defendant.		
Court with Jurisdiction:	11th Judicial Circuit, Miami-Dade County		
Case Number:	06-16612 CA 16		
Summary of the Complaint:	A tug and barge operated by P & L struck the 5th Street Bridge on the Miami River. About two weeks later a tug and barge operated by P & L again struck the bridge. FDOT sued to recover for the damage to the bridge.		
Amount of the Claim:	FDOT's claim is for \$1,300,000 P&L Towing's counterclaim is for approximately \$200,000		
Specific Law(s) Challenged (including GAA Challenged):			
Status of Case:	On 08/22/06, FDOT served a Complaint for damages from two separate vessel collisions with a bridge on 07/15/05 and 08/04/05. On 09/01/06, P&L served an Answer and Counterclaim. On 10/06/06, FDOT filed a Motion to Dismiss Counterclaim. FDOT's Motion to Dismiss was granted on 01/07/09. P&L filed an amended Answer and Counterclaim on 01/18/09. FDOT answered the counterclaim on 02/05/09. Discovery is ongoing.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Adam Brand	Phone Number:	414-5265

Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	1) FDOT, Plaintiff, v. Grace and Naeem Udin, Inc., et al., Defendants. 2) FDOT, Plaintiff, v. Bermello, AJAMIL & Partners, Inc., and FRH Construction Services, Inc., Defendants.		
Court with Jurisdiction:	11th Judicial Circuit, Miami-Dade		
Case Number:	05-23353CA 20 07-28205 CA 11		
Summary of the Complaint:	1) FDOT sued the contractor for defective construction of the Sunguide Building. 2) FDOT sued the architects and project managers for defective design of the Sunguide Building.		
Amount of the Claim:	\$3,000,000		
Specific Law(s) Challenged (including GAA Challenged:			
Status of Case:	Suits were filed against the contractor, architect and inspectors. The contractor in turn filed Third Party Complaints against its subcontractors. On 07/01/09, the two suits were consolidated. On 07/28/10, the parties agreed to a settlement and dismissal of these cases.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Arthur L. Berger	Phone Number:	414-5265

Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	FDOT, Plaintiff, v. White Construction Co., Inc., Defendant.		
Court with Jurisdiction:	5th Judicial Circuit , Marion County		
Case Number:	05-35-CA-G		
Summary of the Complaint:	FDOT sued White for return of an overpayment made on a construction contract. White counterclaimed against FDOT, alleging FDOT was liable to it for damages relating to alleged utility conflicts, unforeseen conditions, and improperly assessing liquidated damages.		
Amount of the Claim:	FDOT's claim was for \$359,432.90 White's counterclaim was for \$3,000,000		
Specific Law(s) Challenged (including GAA Challenged:			
Status of Case:	FDOT filed its Complaint on 01/06/05. On 01/28/05, White filed its Answer and Counterclaim. On 06/01/10, the parties agreed to a settlement and dismissal of this case.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Roger Wood	Phone Number	414-5265

Names of the Parties:	Florida Gas Transmission Company, Plaintiff, v. FDOT, Defendant.
Court:	17th Judicial Circuit, Broward County
Case Number:	07-01922-08
Summary of the Complaint:	Florida Gas Transmission Company (FGT) has natural gas pipelines that are located in the Turnpike right of way. The Turnpike Enterprise is designing road widening projects in the vicinity of the pipelines. After a dispute arose over responsibility for the costs of relocating the pipelines, FGT filed this suit against FDOT for breach of easement agreements. Additionally, FGT claims that FDOT must compensate FGT for the cost of relocating its natural gas pipelines. FGT also claimed that its easement was taken by FDOT without compensation. FDOT counterclaimed against FGT for breach of easement, unjust enrichment, promissory estoppel, fraud in the inducement, and trespass, seeking damages and injunctive and declaratory relief.
Amount of the Claim:	FGT is seeking damages for relocation costs. FGT alleges damages for relocating the pipelines in excess of \$90,000,000. FDOT's counterclaims exceed \$30,000,000.
Specific Law Challenged:	
Status of Case:	The lawsuit was served on 02/13/07. FDOT has filed an Answer and Affirmative Defenses. The case has been assigned to the Business Court. On 07/30/08, FDOT filed Amended Counterclaims. On 09/12/08, the parties attended a voluntary mediation that resulted in an impasse. On 04/03/09, FDOT filed Third Amended and Supplemental Counterclaims. On 06/19/09, the Court granted FGT's Motion to Dismiss FDOT's counterclaim for declaratory relief based on FGT's failure to comply with paragraph 4 of the 1967 easement; however this order was vacated on 05/04/10 and by Order dated 06/29/10 FGT's motion to dismiss was denied. On 08/07/09, the Court granted FGT's Motion for Judgment on the Pleadings on FDOT's counterclaims for unjust enrichment and promissory estoppel; however the Court reconsidered this Order and ruled from the bench on 07/12/10 that the motion was denied. On 12/23/09,

	<p>FGT filed five motions for summary judgment. On 12/30/09, FDOT filed a Second Amended Motion for Partial Summary Judgment. On 03/18/10 the Court entered orders on the cross motions for summary judgment granting FGT's request for a declaration that FDOT could not pave over FGT's pipelines and ordering that FGT was entitled to a declaration of the width of its easements, which will be defined by the jury. However, the Court reconsidered these Orders and denied these motions in a ruling from the bench on 07/12/10. The Court denied all other motions for summary judgment.</p> <p>On 05/04/10, FGT voluntarily dismissed its claims that its easements were taken by FDOT without compensation. On 5/4/10, FGT also voluntarily dismissed its request to enjoin road widening projects, including two projects from the Homestead Extension of Florida's Turnpike to Griffin Road and one project from Atlantic Boulevard to the Sawgrass Expressway, as well as its request to enjoin the I-595 express lane project. The estimated exposure on the dismissed claims was in excess of \$160,000,000. As a result of the dismissal of FGT's claims for loss of its easements, the overall exposure for FDOT is now limited to the damages claimed for relocating the pipelines. On 05/04/10, FDOT voluntarily dismissed its claim against FGT for fraud in the inducement.</p> <p>On 05/28/10, FDOT requested the disqualification of Judge Rosenberg on the grounds that FDOT was being denied due process and that improper ex parte communications between FGT and the Court had occurred. On 06/01/10, Judge Rosenberg recused himself. Judge Streitfeld has been appointed as the successor judge. The case is set for Calendar Call on 12/17/10 at which time a date for trial will be set for the trial period of 01/03/11 to 03/25/11.</p>	
<p>Who is representing (of record) the state in this lawsuit? Check all that apply.</p>	<p>X</p>	<p>Agency General Counsel's Office</p>
		<p>Office of the Attorney General or Division of Risk Management</p>
	<p>X</p>	<p>Outside Contract Counsel</p>

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Adam Brand	Phone Number:	414-5265

Names of the Parties:	Freedom Pipeline Corporation, Plaintiff, v. FDOT, URS Corporation, & James Sauls, P.E., Defendants.		
Court with Jurisdiction:	10 th Circuit (Hardee)		
Case Number:	25 09CA000292		
Summary of the Complaint:	Freedom claims that it is entitled to money damages on a construction contract for wrongful default by FDOT and for extra work to repair a sewer pipe it alleges was required by FDOT. Freedom's surety, Liberty Mutual Insurance, has also sued FDOT on the same contract.		
Amount of the Claim:	Approximately \$1,000,000		
Specific Law(s) Challenged:			
Status of Case:	FDOT was served with the Complaint on 05/21/09. Freedom served an Amended Complaint on 06/19/09. On 07/22/09, FDOT filed a Motion to Dismiss. On 04/22/10, the Motion was granted in part, limiting Freedom's claim for damages to extra work and delay. FDOT filed its Answer on 05/14/10. On 08/09/10, the Court entered an agreed Order consolidating this case with Liberty Mutual Insurance v. FDOT, Case No. 25-2008CA000530. Discovery is ongoing.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Greg Costas	Phone Number:	414-5265

Names of the Parties:	Haddi Hakki and Istabrak Hakki, Plaintiffs, v. FDOT, Defendant.		
Court with Jurisdiction:	2nd District Court of Appeal		
Case Number:	2D 10-4254		
Summary of the Complaint:	This is an inverse condemnation case. Plaintiffs seek damages for an alleged loss of access attributed to FDOT's reconstruction of US 19 from an at grade divided highway to grade separated interchanges with one-way frontage roads.		
Amount of the Claim:	\$2,000,000		
Specific Law(s) Challenged:			
Status of Case:	The Complaint was filed on 6/19/06. Hakkis filed an Amended Complaint on 10/24/08. The Department filed its Answer on 11/17/08. On 02/16/10, the Court entered an Order of Taking, concluding that FDOT was liable only for a temporary taking for periodic ponding during significant rain events. On 08/18/10, a Stipulated Final Judgment was entered for the temporary taking. On 09/01/10, Hakkis appealed the Court's denial of their other claims. Hakkis' initial brief is due on 11/08/10.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	X	Agency General Counsel's Office	
		Office of the Attorney General or Division of Risk Management	
		Outside Contract Counsel	

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Erik R. Fenniman	Phone Number:	414-5265

Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	Home Expo Corporation, Plaintiff, v. FDOT, Defendant.		
Court with Jurisdiction:	6th Judicial Circuit, Pinellas County		
Case Number:	09-9624 CI 08		
Summary of the Complaint:	This was an inverse condemnation case. The plaintiff sought severance damages and damages for alleged loss of access attributed to FDOT's reconstruction of US 19 from an at grade divided highway to grade separated interchanges with one-way frontage roads.		
Amount of the Claim:	\$ 2,000,000		
Specific Law(s) Challenged (including GAA Challenged):			
Status of Case:	Complaint served on 06/03/09. FDOT filed its Answer on 06/23/09. Plaintiff filed a Stipulated Motion for Leave to Amend its Complaint on 7/16/09. FDOT filed a Motion to Dismiss the Amended Complaint on 09/14/09. Home Expo voluntarily dismissed its complaint, without prejudice, on 08/02/10.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Jason Watts	Phone Number:	414-5265

Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	Hubbard Construction Company, Plaintiff, v. FDOT, Defendant.		
Court with Jurisdiction:	15th Judicial Circuit, Palm Beach County		
Case Number:	50 2008 CA 026417		
Summary of the Complaint:	Hubbard sued seeking payment for a "no-excuse" bonus under a construction contract with FDOT, alleging that it was wrongfully prevented from completing work within the required time. FDOT counterclaimed for declaratory relief.		
Amount of the Claim:	\$ 1,250,000		
Specific Law(s) Challenged (including GAA Challenged:			
Status of Case:	The Complaint was served on 08/27/08. On 05/15/09, FDOT filed an Amended Motion to Dismiss, which was denied on 11/09/09. On 11/23/09, FDOT filed its Answer, Affirmative Defenses, and Counterclaims. On 07/16/10, the parties agreed to a settlement and dismissal of this case.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Greg Costas	Phone Number:	414-5265

Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	Jody Development Corporation, Plaintiff, v. FDOT, Defendant.		
Court with Jurisdiction:	2nd District Court of Appeal		
Case Number:	2D 10-3707		
Summary of the Complaint:	This is an inverse condemnation case. Plaintiff is seeking money damages for its alleged loss of access resulting from FDOT's relocation of a county road.		
Amount of the Claim:	\$ 750,000		
Specific Law(s) Challenged (including GAA Challenged:			
Status of Case:	The Complaint was served on 09/22/08. FDOT filed a Motion to Dismiss on 10/24/08, which was denied on 02/23/09. FDOT filed an Answer on 03/11/09. FDOT filed a Motion for Partial Summary Judgment on 07/01/09, which was granted on 11/21/09. A non-jury trial on Plaintiff's remaining claims was held on 05/10/10. On 07/08/10, the Court entered a Final Judgment awarding Plaintiff no damages. Plaintiff filed its Notice of Appeal on 08/02/10. Plaintiff's initial brief is due on 10/06/10.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Adam Brand	Phone Number:	414-5265
Names of the Parties:	Liberty Mutual Insurance, Plaintiff, v. FDOT, Defendant.		
Court with Jurisdiction:	10 th Circuit (Hardee)		
Case Number:	25-2008CA000530		
Summary of the Complaint:	Liberty, as surety for Freedom Pipeline Corporation, sued FDOT for money damages, claiming that FDOT breached its construction contract with Freedom.		
Amount of the Claim:	Approximately \$1,000,000		
Specific Law(s) Challenged:			
Status of Case:	Liberty served its Complaint on 10/21/08. On 01/16/09, Liberty served its Amended Complaint. On 02/13/09, FDOT served a Motion to Dismiss Complaint, which was granted in part and denied in part on 07/06/09. On 08/17/09, Liberty filed its Third Amended Complaint. On 09/08/09 FDOT filed its Answer. On 08/09/10, the Court entered an agreed Order consolidating this case with Freedom Pipeline Corporation v. FDOT, Case No. 25 09CA000292. Discovery is ongoing.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Erik R. Fenniman	Phone Number:	414-5265

Names of the Parties:	Mallards Cove LLP, Plaintiff, v. Clerk of the Pasco County Circuit Court and FDOT, Defendants.		
Court with Jurisdiction:	6th Judicial Circuit, Pasco County		
Case Number:	51-2008-CA-7689ES, Division Y		
Summary of the Complaint:	The Plaintiff claims that Section 74.051(4), Florida Statutes, unlawfully deprives landowners of the interest earned on deposits with the Clerks of the Circuit Courts in eminent domain cases. Under the law, FDOT and other condemning authorities receive 90% of the interest earned on deposits made with the Court for the value of property they acquire through eminent domain.		
Amount of the Claim:	Unknown, but if a class is certified will likely exceed \$1,000,000		
Specific Law(s) Challenged:	Section 74.051(4), Florida Statutes		
Status of Case:	The Complaint was served on 08/19/09. FDOT moved to dismiss the Complaint on 09/14/09. The motion was denied on 06/01/10. FDOT served its Answer on 07/12/10. On 07/15/10, FDOT filed a Motion for Summary Judgment, which is scheduled to be heard on 12/10/10.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).	Christa L. Collins, Esquire Jackson Bowman, Esquire		

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Erik R. Fenniman	Phone Number:	414-5265

Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	Manakamana, Inc., Plaintiff, v. FDOT, Defendant.		
Court with Jurisdiction:	6th Judicial Circuit, Pinellas County		
Case Number:	06-3942 CI 20		
Summary of the Complaint:	This was an inverse condemnation case. The plaintiff sought severance damages and damages for alleged loss of access attributed to FDOT's improvements to U.S. 19.		
Amount of the Claim:	\$ 2,000,000		
Specific Law(s) Challenged (including GAA Challenged:			
Status of Case:	The Complaint was served on 06/19/06. FDOT filed a Motion for Summary Judgment on 06/26/09. Plaintiff filed a Notice of Voluntary Dismissal Without Prejudice on 09/20/09.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Nancy J. Aliff	Phone Number:	414-5265

Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	<p>1) Mitchell Brothers, Inc., Plaintiff, v. FDOT, Defendant.</p> <p>2) Westfield Insurance Co., Plaintiff, v. Mitchell Brothers, Inc., Defendant/Third Party Plaintiff, v. FDOT, Third Party Defendant.</p> <p>3) FDOT, Plaintiff, v. Westfield Insurance Company, Defendant.</p>
Court with Jurisdiction:	<p>1) 1st District Court of Appeal 2) 2nd Judicial Circuit, Leon County 3) 2nd Judicial Circuit, Leon County</p>
Case Number:	<p>1) 1D08-2705 2) 2007 CA 1139 3) 2008-CA-002971</p>
Summary of the Complaint:	<p>1) Mitchell sued FDOT claiming breach of contract. FDOT counterclaimed for liquidated damages and work FDOT had to perform to correct deficient work by Mitchell.</p> <p>2) Mitchell's surety, Westfield, sued Mitchell for money it paid to complete the road project. Mitchell, in turn, sued FDOT for the amount claimed by Westfield.</p> <p>3) FDOT sued Westfield for liquidated damages owed on the Mitchell contract and for work FDOT had to perform to correct deficient work by Mitchell. Westfield counterclaimed for extra costs it claimed FDOT caused it to incur to complete the project.</p>
Amount of the Claim:	<p>1) Mitchell informally claimed \$40,000,000. FDOT counterclaimed for \$1,827,139.</p> <p>2) Mitchell sought \$5,200,000, which represented identical damage claims to the claims brought by Mitchell in case 1.</p> <p>3) FDOT sought the same \$1,827,139 that was the FDOT counterclaim in case 1. The amount of Westfield's counterclaim was unknown.</p>
Specific Law Challenged:	

<p>Status of Case:</p>	<p>1) Mitchell served its Complaint and Motion for Preliminary Injunction on 12/22/05. FDOT answered the complaint and served a counterclaim on 03/17/06. Final Summary Judgment in favor of FDOT was entered on 03/26/08. Mitchell appealed the judgment. The judgment in favor of FDOT was affirmed on 06/23/09. FDOT voluntarily dismissed its counterclaim at the hearing on its Motion for Summary Judgment on 02/26/08. FDOT then filed case 3 against Mitchell's surety for the same damages. The only remaining claim in this case is FDOT's motion seeking an award of its attorney fees and costs, which is scheduled for hearing on 10/13/10.</p> <p>2) The Third Party Complaint was served on FDOT on 08/20/07. Mitchell served a Second and Third Amended Third Party Complaint. FDOT answered on 11/18/08. On 09/11/09, Mitchell dismissed its third party complaint against FDOT.</p> <p>3) FDOT filed its Complaint on 09/09/08. The matter was stayed pending the appeal of case 1, which was resolved in favor of FDOT on 08/13/09. Westfield filed an Answer and Counterclaim on 09/11/09. On 04/22/10, the parties agreed to a settlement agreement and dismissal of this case.</p>	
<p>Who is representing (of record) the state in this lawsuit? Check all that apply.</p>	<p>X</p>	<p>Agency General Counsel's Office</p>
		Office of the Attorney General or Division of Risk Management
	X	Outside Contract Counsel

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Greg Costas	Phone Number:	414-5265

Names of the Parties:	Modern, Inc., and First Omni Service Corporation, Plaintiffs v. Florida Department of Transportation, U.S. Fish & Wildlife Services, and St. Johns River Water Management District, Defendants.		
Court with Jurisdiction:	U.S. Court of Appeals for the 11th Circuit		
Case Number:	08-11799-E		
Summary of the Complaint:	Modern claimed that its property was flooded by FDOT and the other defendants without payment of compensation.		
Amount of the Claim:	Plaintiffs claimed damages of \$34,000,000		
Specific Law(s) Challenged:			
Status of Case:	Suit was filed on 05/21/97, and was removed to federal court on 06/04/03. Trial began in August 2006, resumed on 04/16/07 and concluded on 05/02/07. Final judgment in favor of all defendants was entered on 01/30/08. Plaintiffs appealed the judgment. The judgment was affirmed on 01/21/09. Plaintiffs, motion for rehearing was denied on 04/17/09. Plaintiffs served a petition for review in the U.S. Supreme Court on 07/16/09. StJWMD served its brief in opposition on 08/19/09. The U.S. Supreme Court denied review on 11/30/09, and the matter was closed.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Leonard Collins	Phone Number:	414-5265

Names of the Parties:	Tomer Nadler, Plaintiff, v. FDOT, Defendant.		
Court with Jurisdiction:	11 th Judicial Circuit, Miami-Dade County		
Case Number:	0878290CA08		
Summary of the Complaint:	Mr. Nadler filed a class action suit for breach of contract, unfair trade practices, and restitution to recover damages alleged to have been caused by FDOT not properly posting the tolls for use of the I-95 Express Lane in Miami.		
Amount of the Claim:	Unknown, but if a class is certified, may exceed \$500,000		
Specific Law(s) Challenged:			
Status of Case:	On 12/17/08, Nadler filed a Complaint. On 12/30/09, Nadler served FDOT with an Amended Complaint. On 04/23/09, Nadler served a Second Amended Complaint. On 08/14/09 Nadler served a Third Amended Complaint. On 08/21/09, FDOT filed a Motion to Dismiss the Third Amended Complaint with Prejudice. A hearing on the motion is set for 11/02/10.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).	Gilbert & Kaufman, P.A. A class has not been certified.		

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Roger B. Wood	Phone Number:	414-5265

Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	Peak Oil Superfund Site		
Court with Jurisdiction:	US DC Middle District		
Case Number:	97-1564-CIV-T-26(A)		
Summary of the Complaint:	The EPA has told FDOT it is responsible for groundwater contamination at this site. EPA is overseeing the cleanup of this site under CERCLA, the Comprehensive Environmental Response, Compensation, and Liability Act. FDOT has entered into a consent decree that requires it to clean this site.		
Amount of the Claim:	In excess of \$10,000,000		
Specific Law(s) Challenged (including GAA Challenged:			
Status of Case:	FDOT has responded to the EPA's information request. FDOT made payment pursuant to consent decree in 03/98. Implementation of remedial design in progress. Evaluation of the need for remedy in wetlands and deep aquifer is ongoing. No assessments for cleanup costs were made in 2009 and no assessment is expected for 2010.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Greg Costas	Phone Number:	414-5265

Names of the Parties:	PGA North II of Florida LLC, Plaintiff, v. FDOT, Defendant.		
Court with Jurisdiction:	4th District Court of Appeal		
Case Number:	4D 10-1895		
Summary of the Complaint:	This is an action to enforce a judgment, seeking damages for the alleged failure of FDOT to provide access between Plaintiff's property and PGA Boulevard.		
Amount of the Claim:	\$16,000,000		
Specific Law(s) Challenged:			
Status of Case:	The Summons and Complaint were served on 01/03/02. An Answer was filed on 08/07/02. Motions for Summary Judgment were argued on 06/27/08, and were denied. On 03/11/09, the Court entered an agreed order providing for separate trials on liability and damages. A non-jury trial on the liability phase was held on 03/08/10. On 04/16/10, the Court entered final judgment in favor of FDOT. PGA filed a Notice of Appeal on 05/03/10. PGA's initial brief is due on 10/10/10.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Erik R. Fenniman	Phone Number:	414-5265

Names of the Parties:	Pierce 100, Inc., Plaintiff, v. FDOT & City of Clearwater, Defendants.		
Court with Jurisdiction:	6th Judicial Circuit, Pinellas County		
Case Number:	07-013950-CI-15		
Summary of the Complaint:	The Pierce 100 condominium association and its individual unit members are suing the City of Clearwater and FDOT for money damages for the Plaintiffs' alleged loss of riparian view resulting from construction of the Clearwater Memorial Causeway.		
Amount of the Claim:	Unknown, but is expected to exceed \$1,000,000		
Specific Law(s) Challenged:			
Status of Case:	The Complaint was served on 02/20/06. FDOT moved to dismiss the complaint on 03/08/06. Plaintiffs amended their Complaint on 04/16/07 and again on 02/13/09 and 09/15/09. FDOT moved to dismiss the last amended complaint on 10/05/09. On 06/02/10, FDOT served its Answer. Trial is set to begin on 04/04/11.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).	This is a condominium class action pursuant to Florida Rule of Civil Procedure 1.221. Plaintiffs' counsel is Jackson H. Bowman of the firm Brigham Moore LLP.		

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Erik R. Fenniman	Phone Number:	414-5265

Names of the Parties:	RBF Properties, Inc., Plaintiff, v. FDOT, Defendant.		
Court with Jurisdiction:	6 th Judicial Circuit, Pinellas County		
Case Number:	06-728-CI-15		
Summary of the Complaint:	This is an inverse condemnation case. Plaintiff seeks severance damages and damages for alleged loss of access attributed to FDOT's reconstruction of US 19 from an at grade divided highway to grade separated interchanges with one-way frontage roads.		
Amount of the Claim:	\$2,000,000		
Specific Law(s) Challenged:			
Status of Case:	The Complaint was served on 02/09/06. The Court entered an Order abating the case pending the outcome of the appeal of Fisher v. FDOT, SC07-1394, in which a private property owner asserted legally and factually similar claims. FDOT received a favorable decision in Fisher on 10/11/07. On 08/18/09, an Amended Complaint was filed. FDOT filed its Answer on 04/02/10. FDOT filed a Motion for Summary Judgment on 06/04/10. RBF filed a Motion to Abate on 06/04/10. FDOT's Motion for Summary Judgment and RBF's Motion to Abate are scheduled to be heard on 10/12/10.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	P. Gregory Jones	Phone Number:	414-5265

Names of the Parties:	Rendon, Plaintiff Class Representative, v. Florida Department of Transportation and Florida Department of Highway Safety and Motor Vehicles, Defendants.		
Court with Jurisdiction:	11 th Circuit Court, Dade County, Florida		
Case Number:	96-18090		
Summary of the Complaint:	This is a class action brought under the Americans with Disabilities Act. The plaintiff class has sued to recover parking permit fees paid for disabled parking permits.		
Amount of the Claim:	\$20,000,000 was claimed before settlement		
Specific Law(s) Challenged:			
Status of Case:	<p>The 3rd DCA found that the State of Florida is not required to refund the permit fees. Plaintiffs filed a Petition for Review to the U.S. Supreme Court. The U.S. Supreme Court remanded the case to the 3rd DCA based on its ruling in Tennessee v. Lane. The 3rd DCA enjoined FDHSMV from charging a fee for disabled parking placards and authorized reimbursement of fees only to persons who timely filed requests for reimbursements.</p> <p>A settlement agreement was reached through mediation. FDOT transferred \$1,000,000 to FDHSMV for payment to designated charities. The distribution of funds was completed by FDHSMV in 2009 and the case was closed.</p>		
Who is representing (of record) the state in this lawsuit? Check all that apply.		Agency General Counsel's Office	
	X	Office of the Attorney General or Division of Risk Management	
		Outside Contract Counsel	
If the lawsuit is a class action, (whether the class is certified or not) provide the names of the firm or	J. Davis Connor Peterson & Myers, P.A. P.O. Drawer 7608 Winter Haven, FL 33883-7608 863/294-3360		

firms representing the plaintiff(s).

Robert G. Fegers,
Antonello, Fegers & CEA
P. O. Box 7692
Winter Haven, FL 33883-7692
941/294-2898

Raymond E. Thompson
628 S.E. 17th St.
Ocala, FL 34471-4429

Michael F. Lanham
1102 Biscayne Building
19 W. Flagler St.
Miami, FL 33130

Karen A. Gievers
Gievers, P.A.
524 E. College Ave.
Tallahassee, FL 32301

Marc Cooper
Colson Hicks Eidson
255 Aragon Ave., 2nd Floor
Coral Gables, FL 33134

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Erik R. Fenniman	Phone Number:	414-5265

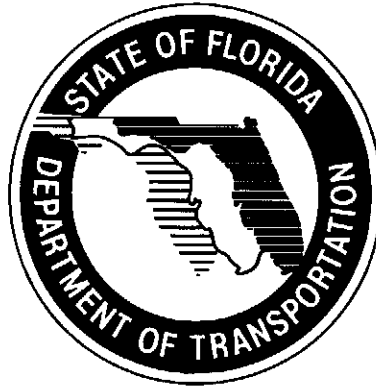
Names of the Parties:	Nicholas R. Sayat, Plaintiff v. FDOT, Defendant.		
Court with Jurisdiction:	6 th Judicial Circuit, Pinellas County		
Case Number:	06-588-CI-15		
Summary of the Complaint:	Plaintiff sought severance damages and damages for an alleged loss of access attributed to FDOT's reconstruction of US 19 from an at grade divided highway to grade separated interchanges with one-way frontage roads.		
Amount of the Claim:	\$2,000,000		
Specific Law(s) Challenged:			
Status of Case:	The Complaint was filed on 01/26/06. The Court entered an Order abating the case pending the outcome of the appeal of Fisher v. FDOT, SC07-1394, in which a private property owner asserted legally and factually similar claims. FDOT received a favorable decision in Fisher on 10/11/07. FDOT filed a Motion for Summary Judgment on 07/08/09. Plaintiff filed a Notice of Voluntary Dismissal without prejudice on 11/23/09.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

Agency:	Department of Transportation		
Contact Person:	Ted A. Avellone	Phone Number:	414-5265
Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	Wekiva River Mitigation Bank, L.L.C., Plaintiff, v. FDOT and Orlando-Orange County Expressway Authority, Defendants.		
Court with Jurisdiction:	9th Judicial Circuit, Orange County		
Case Number:	2009-CA-25884		
Summary of the Complaint:	Wekiva filed a breach of contract action against OOCEA and FDOT seeking money damages it claimed it suffered as a result of OOCEA's and FDOT's alleged breach of a land purchase contract. OOCEA counterclaimed against Wekiva seeking specific performance of the contract and declaratory and injunctive relief. OOCEA also filed a third party complaint against FDEP seeking specific performance of the contract by FDEP, declaratory and injunctive relief, and indemnity for any damages awarded to Wekiva. FDOT counterclaimed against Wekiva for anticipatory breach of the contract, seeking relief from any current obligations under the contract and abatement of the proceeding.		
Amount of the Claim:	Wekiva claimed approximately \$38,000,000 in damages		
Specific Law(s) Challenged:			
Status of Case:	The Summons and Complaint were served on FDOT on 08/24/09. On 12/10/09, OOCEA filed counterclaims for specific performance, and declaratory and injunctive relief, and a third party claim against FDEP for specific performance, indemnity, and declaratory and injunctive relief. FDOT filed its answer and counterclaim asserting anticipatory breach on 03/17/10. On 08/16/10, the parties entered into a settlement agreement resolving all claims in this suit against FDOT.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input checked="" type="checkbox"/>	Agency General Counsel's Office	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	

Schedule VII: Agency Litigation Inventory

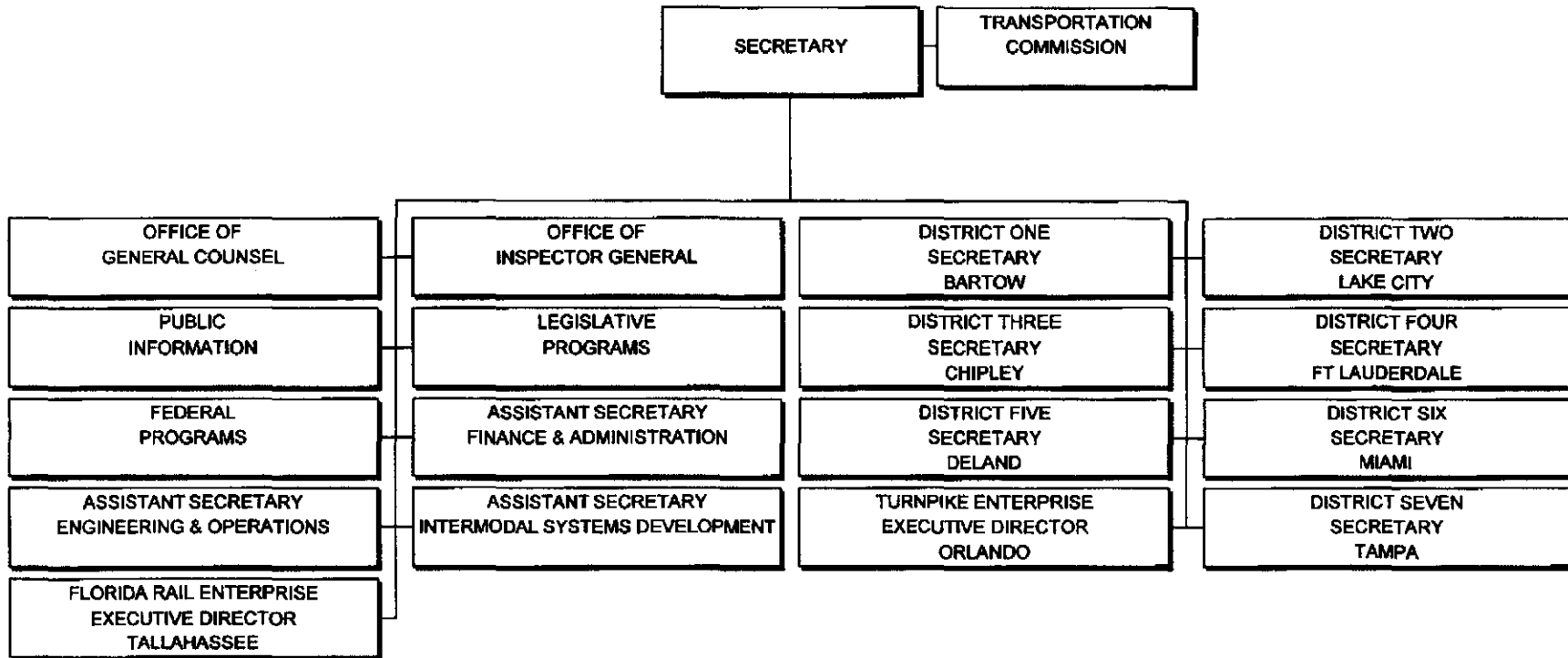
Agency:	Department of Transportation		
Contact Person:	Marc Peoples	Phone Number:	414-5265
Names of the Parties:	White Construction Company, Inc., Plaintiff, v. FDOT, Defendant.		
Court with Jurisdiction:	5th Judicial Circuit, Marion County		
Case Number:	05-264-CA-G		
Summary of the Complaint:	White contracted with FDOT to expand and resurface portions of US 27 in Marion County. White failed to timely perform the work and FDOT terminated the contract. FDOT required White's surety to obtain a replacement contractor and complete the work. White sued for money damages, seeking compensation for extra work it claimed that FDOT required it to perform, and lost profits and consequential damages allegedly caused by FDOT's termination of the contract. FDOT counterclaimed for liquidated damages and overpayment on the contract.		
Amount of the Claim:	White's claim is for approximately \$6,000,000 FDOT's counterclaim is for \$1,800,000		
Law Challenged:			
Status of Case:	The Summons and Complaint were served on 02/08/05. A lawsuit against White by its surety St. Paul, who paid for work after FDOT terminated the contract, is consolidated with this suit. White filed a counterclaim against St. Paul. On 08/16/06, FDOT served its Answer, Defenses and Counterclaim. Discovery is ongoing. On 08/04/10, the Court granted FDOT's motion for partial summary judgment on the proper interest rate, limiting White to the 6% rate specified in the contract. On 08/20/10, the Court denied FDOT's motion for summary judgment asserting lack of standing. On 09/08/10, FDOT filed a motion for partial summary judgment on White's indemnity claim. A pretrial conference is scheduled for 11/10/10, with trial set to commence on 12/06/10.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	X	Agency General Counsel's Office	
		Office of the Attorney General or Division of Risk Management	
		Outside Contract Counsel	



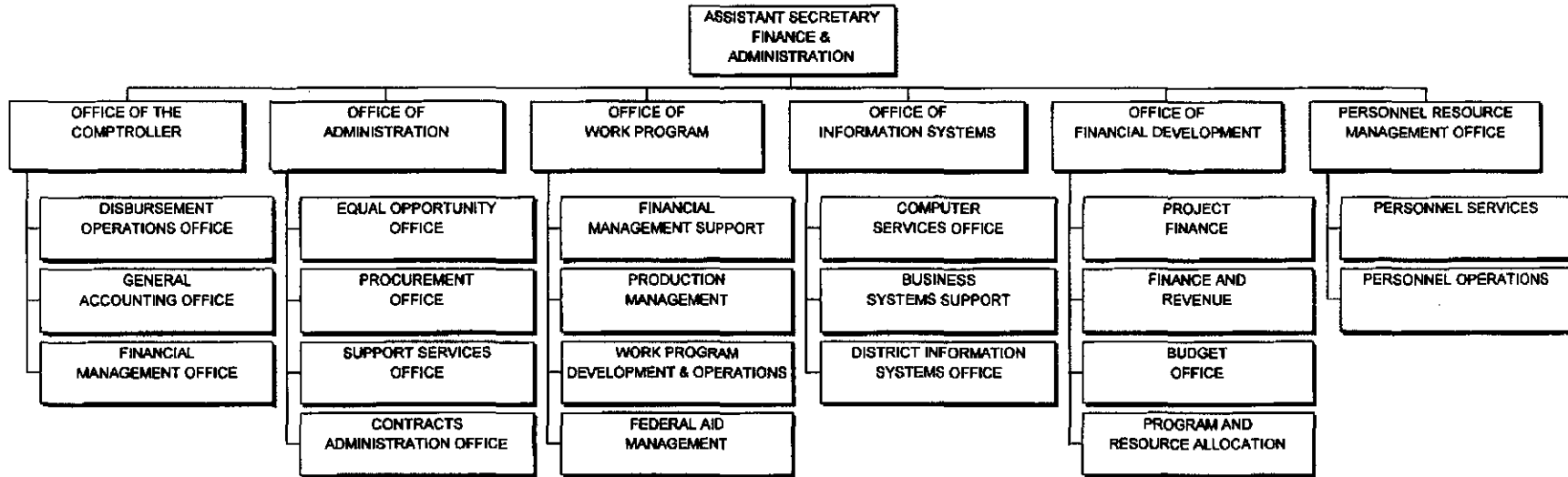
LEGISLATIVE BUDGET REQUEST 2011-2012

Schedule X – Organization Structure

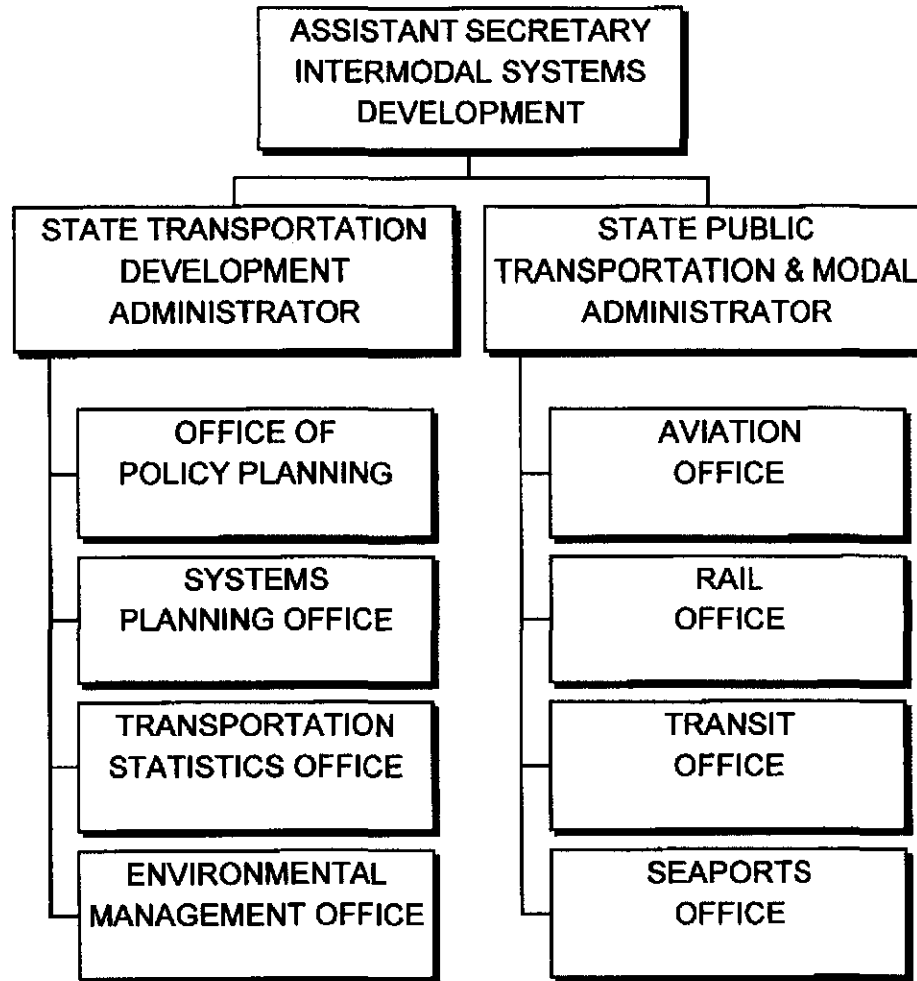
**FLORIDA DEPARTMENT OF TRANSPORTATION
OFFICE OF THE SECRETARY**



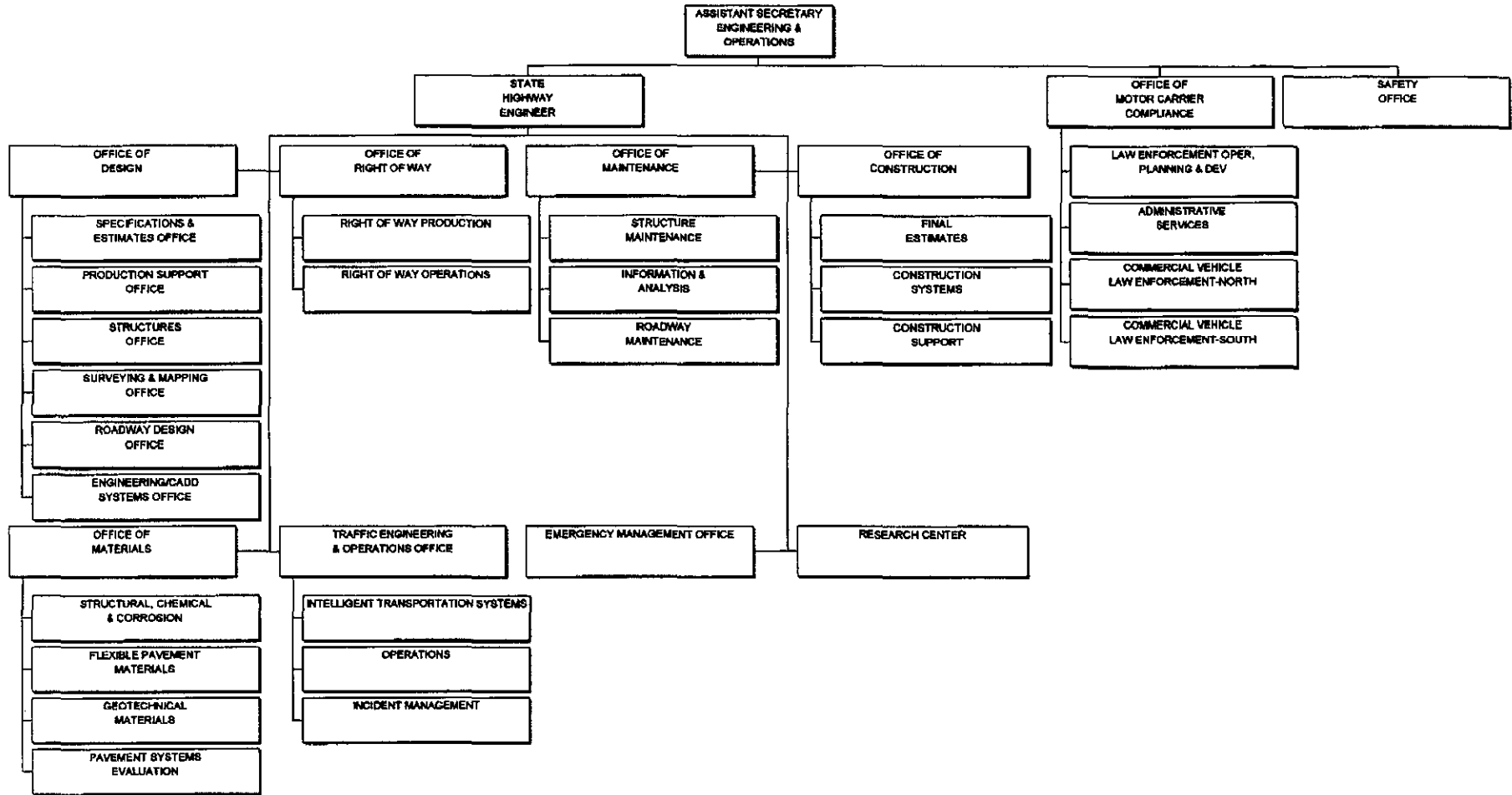
**FLORIDA DEPARTMENT OF TRANSPORTATION
ASSISTANT SECRETARY FOR FINANCE & ADMINISTRATION**

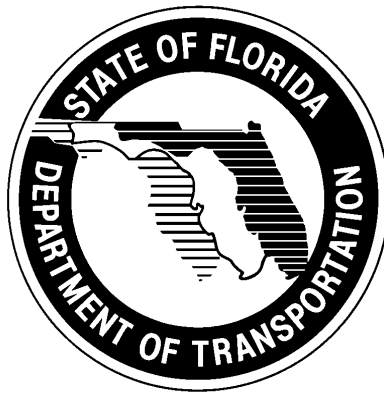


**FLORIDA DEPARTMENT OF TRANSPORTATION
ASSISTANT SECRETARY FOR INTERMODAL SYSTEMS DEVELOPMENT**



**FLORIDA DEPARTMENT OF TRANSPORTATION
ASSISTANT SECRETARY FOR ENGINEERING AND OPERATIONS**





LEGISLATIVE BUDGET REQUEST 2011-2012

Schedule XI - Agency Unit Cost Summary

TRANSPORTATION, DEPARTMENT OF		FISCAL YEAR 2009-10			
SECTION I: BUDGET		OPERATING		FIXED CAPITAL OUTLAY	
TOTAL ALL FUNDS GENERAL APPROPRIATIONS ACT		910,504,298		5,636,590,995	
ADJUSTMENTS TO GENERAL APPROPRIATIONS ACT (Supplementals, Vetoes, Budget Amendments, etc.)		3,328,635		3,839,970,212	
FINAL BUDGET FOR AGENCY		913,832,933		9,476,561,207	
SECTION II: ACTIVITIES * MEASURES		Number of Units	(1) Unit Cost	(2) Expenditures (Allocated)	(3) FCO
<i>Executive Direction, Administrative Support and Information Technology (2)</i>					0
Intrastate Highways * Intrastate highway lane miles contracted for highway capacity improvements.		95	0.00		873,400,448
Arterial Highways * Arterial highway lane miles contracted for highway capacity improvements.		82	0.00		894,221,215
Resurface Roads * Number of lane miles contracted for resurfacing.		3,021	0.00		436,919,428
Repair And Replace Bridges * Number of bridges contracted for repair or replacement.		153	0.00		152,850,782
Preliminary Engineering * Number of projects with preliminary engineering provided.		891	127,627.48	113,716,081	482,524,778
Materials Testing And Research * Number of projects with materials and research provided.		14	2,587,946.07	36,231,245	11,893,849
Construction Engineering Inspection * Number of projects with construction engineering inspection provided.		691	113,857.87	78,675,790	311,336,119
Planning * Number of projects with planning provided.		182	147,549.35	26,853,981	67,683,283
Right Of Way Land * Number of Right-of-Way parcels acquired.		631	0.00		206,644,753
Right Of Way Support * Number of projects with right of way support provided.		347	99,945.92	34,681,234	26,838,720
Aviation * Number of aviation projects.		211	0.00		160,626,740
Transit * Number of public transit passenger trips provided.		248,614,394	0.00		200,189,082
Transportation Disadvantaged * Number of trips provided (transportation disadvantaged).		13,263,111	7.85	104,085,136	
Rail * Number of rail projects.		103	0.00		55,925,827
Intermodal * Number of intermodal projects.		42	0.00		46,250,601
Seaports * Number of seaport projects.		21	0.00		21,923,765
Public Transportation Operations * Number of projects in public transportation operations.		3	4,085,705.00	12,257,115	
Bridge Inspection * Number of bridge inspections conducted.		7,162	0.00		24,519,533
Routine Maintenance * Lane miles maintained on the State Highway System.		40,650	5,750.52	233,758,811	399,707,194
Traffic Engineering * Number of projects with traffic engineering provided.		40	704,821.13	28,192,845	48,191,059
Motor Carrier Compliance * Number of commercial vehicle weighings performed.		22,327,679	2.03	45,298,677	
Toll Operations * Number of toll transactions.		787,571,375	0.18	141,198,852	21,152,766
TOTAL				854,949,767	4,442,799,942
SECTION III: RECONCILIATION TO BUDGET					
PASS THROUGHS					
TRANSFER - STATE AGENCIES					
AID TO LOCAL GOVERNMENTS					
PAYMENT OF PENSIONS, BENEFITS AND CLAIMS					
OTHER				38,750	446,063,802
REVERSIONS				36,755,911	4,587,697,463
TOTAL BUDGET FOR AGENCY (Total Activities + Pass Throughs + Reversions) - Should equal Section I above. (4)				891,744,428	9,476,561,207

SCHEDULE XI/EXHIBIT VI: AGENCY-LEVEL UNIT COST SUMMARY

(1) Some activity unit costs may be overstated due to the allocation of double budgeted items.

(2) Expenditures associated with Executive Direction, Administrative Support and Information Technology have been allocated based on FTE. Other allocation methodologies could result in significantly different unit costs per activity.

(3) Information for FCO depicts amounts for current year appropriations only. Additional information and systems are needed to develop meaningful FCO unit costs.

(4) Final Budget for Agency and Total Budget for Agency may not equal due to rounding.

Footnotes to Schedule XI, Agency Level Unit Cost
Summary - October 2010 Submission

1. The following table shows the calculated unit costs with FCO expenditures included.

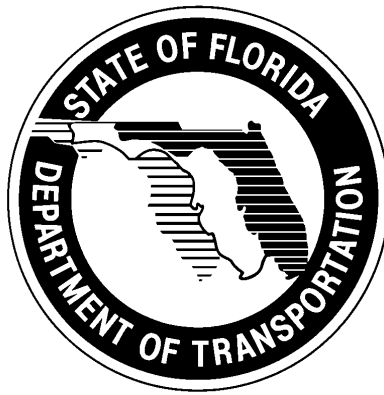
Activity/Measure	Number of Units	Unit Cost	FY 2009/10 Expenditures		
			Allocated	FCO	Total
Exec Direction and Info Tech					
Intrastate Highways (Intrastate highways lane miles contracted for highway capacity improvements)	95	9,193,688.93		873,400,448	873,400,448
Arterial Highways (Arterial highways lane miles contracted for highway capacity improvements)	82	10,905,136.77		894,221,215	894,221,215
Resurface Roads (Number of lane miles contracted for resurfacing)	3,021	144,627.42		436,919,428	436,919,428
Repair and Replace Bridges (Number of bridges contracted for repair or replacement)	153	999,024.72		152,850,782	152,850,782
Preliminary Engineering (Number of projects with preliminary engineering provided)	891	669,181.66	113,716,081	482,524,778	596,240,859
Material Testing and Research (Number of projects with materials and testing provided)	14	3,437,506.71	36,231,245	11,893,849	48,125,094
Construction Engineering Inspection (Number of projects with Construction Engr provided)	691	564,416.66	78,675,790	311,336,119	390,011,909
Planning (Number of projects with planning provided)	182	519,435.52	26,853,981	67,683,283	94,537,264
Right of Way Land (Number of Right-of-Way parcels acquired)	631	327,487.72		206,644,753	206,644,753

Footnotes to Schedule XI, Agency Level Unit Cost
Summary - October 2010 Submission

Activity/Measure	Number of Units	Unit Cost	FY 2009/10 Expenditures		
			Allocated	FCO	Total
Right of Way Support (Number of projects with right-of-way support provided)	347	177,290.93	34,681,234	26,838,720	61,519,954
Aviation (Number of aviation projects)	211	761,264.17		160,626,740	160,626,740
Transit (Number of public transit passenger trips provided)	248,614,394	0.81		200,189,082	200,189,082
Transportation Disadvantaged (Number of trips provided (transportation disadvantaged))	13,263,111	7.85	104,085,136	0	104,085,136
Rail (Number of rail projects)	103	542,969.19		55,925,827	55,925,827
Intermodal (Number of intermodal projects)	42	1,101,204.79		46,250,601	46,250,601
Seaports (Number of Seaport projects)	21	1,043,988.81		21,923,765	21,923,765
Public Transportation Operations (Number of projects in public transportation operations)	3	4,085,705.00	12,257,115		12,257,115
Bridge Inspection (Number of bridges inspected)	7,162	3,423.56		24,519,533	24,519,533
Routine Maintenance (Lane miles maintained on the State Highway System)	40,650	15,583.42	233,758,811	399,707,194	633,466,005
Traffic Engineering (Number of projects with traffic engineering provided)	40	1,909,597.60	28,192,845	48,191,059	76,383,904
Motor Carrier Compliance (Number of commercial vehicles weighed)	22,327,679	2.03	45,298,677		45,298,677
Toll Operations (Number of toll transactions)	787,571,375	0.21	141,198,852	21,152,766	162,351,618
Total			854,949,767	4,442,799,942	5,297,749,709

Footnotes to Schedule XI, Agency Level Unit Cost
Summary - October 2010 Submission

2. The expenditures exception of \$22,088,505 noted at the end of Section III relates to the Carry Forward budget for the Turnpike entity. It shows that Sections II and III (expenditures plus reversions) do not account for \$22,088,505 of budget that was available in 2009/10 as reflected in Section I. Turnpike operating budget that was eligible to be retained as Carry Forward budget in 2010/11 is not reflected as either a reversion in Column G69 nor as an expenditure in Column A01. Therefore, it is not captured in either Section II or III totals. However, it is appropriate that this amount not be counted as a 2009/10 expenditure in Section II because this budget was neither disbursed nor committed at June 30, 2010.



LEGISLATIVE BUDGET REQUEST 2011-2012

Schedule XII – Major Outsourcing and Privatization

**SCHEDULE XIIB: MAJOR OUTSOURCING AND PRIVATIZATION INITIATIVES
INITIALLY UNDERTAKEN IN THE LAST FIVE YEARS**

Schedule XII-B Cover Sheet and Agency Project Approval	
Agency: Department of Transportation	Schedule XII-B Submission Date: 10/15/10
Project Name: Maintenance Outsource	Is this project included in the Agency's LRPP? _____ Yes _____ No
FY 2011-2012 LBR Issue Code: Not Applicable	FY 2011-2012 LBR Issue Title: Not Applicable
Agency Contact for Schedule XII-B (Name, Phone #, and E-mail address): Kimberly Ferrell, 850-414-4449, Kimberly.Ferrell@dot.state.fl.us	
AGENCY APPROVAL SIGNATURES	
I am submitting the attached Schedule XII-B in support of our legislative budget request. I have reviewed and agree with the information in the attached Schedule XII-B.	
Agency Head:	Date:
Printed Name: Stephanie C. Kopelousos	
Agency Chief Information Officer: <i>(If applicable)</i>	Date:
Printed Name:	
Budget Officer:	Date:
Printed Name: Kimberly Ferrell	
Planning Officer:	Date:
Printed Name: Kathleen Neill	
Project Sponsor:	Date:
Printed Name: Tim Lattner	

**SCHEDULE XIIB-1: MAJOR OUTSOURCING AND PRIVATIZATION INITIATIVES
INITIALLY UNDERTAKEN IN THE LAST FIVE YEARS – BACKGROUND
INFORMATION**

Background Information

1. Provide a narrative summary describing the agency's decision to outsource or privatize the service or activity.

Attach to Schedule XII-B copies of the original business case and cost benefit analysis. If these documents are unavailable, attach any documents which state the original intention of the outsourcing or privatization initiative that will detail its goals, objectives, and expected outcomes. Such documents may include (a) original legislative budget requests, (b) original budget amendments, (c) legislative presentations, or (d) agency planning documents.

The maintenance activity provides for the routine maintenance of all lane miles on the state highway system, roadside maintenance, operation, maintenance and inspection of the state's more than 6,500 bridges, and a tunnel in Fort Lauderdale. Routine maintenance activities are needed to assure the continuation of a safe and efficient roadway system for our customers. This includes preventative maintenance and repair of roadway surfaces, maintenance of roadside shoulder and clear recovery zones, vegetation and aesthetic treatments, traffic guidance and safety devices and roadway drainage structures. The quality and effectiveness of the maintenance activity is monitored by periodic field inspection surveys. A Maintenance Rating Program has been developed which evaluates and rates actual field conditions. The department outsources functions for a number of reasons, including: when it can get equal or better service for equal or better cost; to handle fluctuations in the work program; and to ensure the expertise needed for a specific job in a certain geographic area is provided. Relating to maintenance activities, the department ensures a balance of in-house forces and contracted services. In 1994 a study was done to determine the optimal mix of in-house forces to contracted forces. At the time, a long term goal of 20% in-house and 80% contracted was suggested. In 2000, the first project was implemented to pilot asset maintenance. Based on the success of this contract, the department expanded the use of asset maintenance contracts by converting additional traditional maintenance contracts to performance based asset maintenance contracts, and also by incorporating some of the additional workload from transportation system growth within asset maintenance contracts. The long term goal of an optimal mix is now 40% asset maintenance contracts, 40% traditional contracts, and 20% in-house.

See Attachment A which contains copies of D-3A operating budget issues from prior years. Attachment B reflects the related work program categories included in the budget request for prior years.

<p>2. Have the anticipated cost savings and benefits of the initiative been realized? Explain.</p>
<p>Outsourcing a portion of the maintenance function, and asset maintenance contracts in particular, offers many benefits to the Florida Department of Transportation (FDOT) including, fixed long term prices, cost savings, risk reduction, fewer contracts to administer, savings in administrative staff & resources, program stability, performance results and rapid incident response preparation.</p> <p>Asset maintenance offers many new opportunities to the contracting industry to apply innovative management and maintenance techniques to cost effectively maintain the transportation infrastructure, while meeting statewide FDOT maintenance performance requirements.</p>
<p>3. Provide a narrative description of the competitive solicitation used to outsource or privatize the service or activity.</p> <p>Attach a copy of any solicitation documents, requests for quote, or similar document issued by the agency for this procurement.</p>
<p>Maintenance contracts are currently procured under Chapter 337, Florida Statutes. Such contracts are advertised through the State of Florida, Vendor Bid System. For asset maintenance contracts a request for proposal allows the contractor to submit a written sealed proposal detailing the contractor's plans for conducting the work, along with a written sealed price proposal.</p> <p>See Attachment C for a sample project advertisement and standard request for proposal.</p>
<p>4. Section 287.057(13)(a), <i>Florida Statutes</i>, allows for the renewal of contracts for commodities and contractual services for a period that may not exceed 3 years or the term of the original contract, whichever period is longer. Such renewals are contingent upon satisfactory performance evaluations by the agency and subject to the availability of funds.</p> <p>For the outsourced or privatized service or activity, identify the number of times the contract has been renewed and specify the renewal period of each. Attach a copy of the documentation verifying the contractor's satisfactory performance compliance required prior to each renewal.</p>
<p>Maintenance work is performed: in-house; via asset maintenance or performance based contracts; or via specific work order contract. Maintenance contracts are currently procured under Chapter 337, Florida Statutes.</p> <p>Asset maintenance contracts are usually large multi-year contracts. There are currently thirty five asset maintenance contracts in place. They are generally for a term of 5 to 10 years with options to renew. Asset maintenance contractor performance is monitored using FDOT's maintenance rating program system, other specification performance based contracts and an asset maintenance monitoring plan, which identifies areas of review and evaluation, and minimum frequencies of review, generally every six months. The results of the asset maintenance monitoring are documented in the contract file, and will be considered in the future decision regarding whether the contract should be renewed.</p>

<p>5. For the outsourced or privatized service or activity, has the contractor satisfactorily complied with all service level requirements? Provide a narrative summary describing service level requirements compliance or noncompliance and the method used by the agency for monitoring progress in achieving the specified performance standards within the contract.</p>
<p>Please see Attachment D which contains the standard scope of services for a highway asset maintenance contract. The contract requires performance consistent with statewide maintenance practices, and includes performance measures which must be met by the contractor and the associated invoice deductions for not achieving them. Overall performance under these contracts is good to very good. However, as with any large contracting program, there are isolated cases where performance concerns are being addressed.</p>
<p>6. Describe any unexpected benefits from outsourcing or privatization of the service or activity.</p>
<p>7. Describe any unexpected problems or issues with the outsourcing or privatization of the service or activity.</p>
<p>Implementing the asset maintenance contracting program has required many levels of our agency to re-think the administration of maintenance contracts.</p> <p>FDOT has been contracting for routine maintenance for over 20 years. Traditional maintenance contracts have required a great deal of contract administration by department personnel including daily roadway inspection, identification of work needs and completion of contract work order documents, inspection of completed work, and monthly processing of invoices which have varied in amount depending upon the quantity of work completed.</p> <p>Because asset maintenance contracts include the planning, administration & management, performance, and inspection of routine maintenance activities, the FDOT contract administrator has been expected to take a different approach in administering these contracts. Asset maintenance procedures and asset maintenance monitoring plans have been developed to guide FDOT personnel in how to most effectively and efficiently administer these new performance based contracts. This change in administration method has required executive direction, leadership, and commitment at all levels within the agency in order to successfully implement this new way of doing business. While tremendous progress has been made, we continue to work with our districts to achieve uniform, effective, and efficient contract administration and monitoring.</p>
<p>8. Briefly describe your agency's overall level of satisfaction with the results of outsourcing or privatization of the service or activity.</p>
<p>Overall, the performance and cost effectiveness of the asset maintenance program is good to very good.</p>

9. What lessons learned should be shared with other agencies considering the outsourcing or privatization of a similar service or activity?

Communicate as much as possible with all levels of the organization to ensure a unified understanding of the program. Executive Leadership, an agency level policy supporting the program, and continuing leadership support in each district is critical in ensuring the agency-wide staff commitment to successfully implementing a program as innovative and unique as the asset maintenance program.

In terms of the scope of the contract, require the contractor to meet the same performance requirements as the rest of the agency's program. Avoid the temptation to require the contractor to perform at a higher level than others. Incorporate existing agency-wide performance measures by reference, as contract requirements, to ensure a uniform program. For long term contracts such as the asset maintenance contract, require work to be done to the standards in place at the time of the work, so that the contract dynamically addresses changes in federal, state and FDOT program standards which are likely to occur over the 5-10 year contract period.

Office of Policy and Budget – July, 2010

SCHEDULE XIIB-2: MAJOR OUTSOURCING AND PRIVATIZATION INITIATIVES INITIALLY UNDERTAKEN IN THE LAST FIVE YEARS - COST AND DELIVERABLES DATA

Maintenance Outsource

Section I: Cost Data

For each outsourced or privatized service or activity, complete the cost analysis below:

Fiscal Year	Planned Costs	Actual/Revised Costs	Planned Savings	Actual/Revised Savings
FY 2010 - 2011	\$0	\$0	\$	\$
FY 2009 - 2010	\$0	\$0		
FY 2008 - 2009	\$0	\$0	\$	\$
FY 2007 - 2008	\$0	\$0	\$	\$
FY 2006 - 2007	\$726,082	\$726,082	\$	\$
FY 2005 - 2006	\$1,169,060	\$1,169,060	\$	\$
FY 2004 - 2005	\$580,902	\$580,902	\$	\$
FY 2003 - 2004	\$7,429,278	\$7,429,278	\$	\$
FY 2002 - 2003	\$5,479,035	\$5,479,035	\$	\$
FY 2001 - 2002	\$5,898,645	\$5,898,645	\$	\$

Note: Section 334.046(4)(a)3, Florida Statutes requires the Department to achieve 100 percent of the acceptable maintenance standard on the State Highway System. Resources needed to achieve this standard are based on an inventory of features located within the right of way and the unit costs associated with the performance of routine maintenance activities. The total amount needed to meet the standard is zero based and is calculated annually. The maintenance work is then provided with a mix of in-house and contracted forces. In-house forces are reflected in the Department's operating budget. Contracted forces are reflected in the work program. When the Department reduces in-house forces, the work program is increased by a like amount. The overall costs to maintain the system is then assessed the next year in total.

Variance	Reasons			
Cost				
Savings				

Section II: Deliverables and Milestones Schedule

For each outsourced or privatized service or activity, complete the deliverables and milestones schedule below:

Deliverables and Milestones	Original	Actual Date/ Revised Date

Variance	Reasons			
Schedule				

	COL A12	COL A14	COL A14-A12 AGY AMD REQ FY 2001-02 OVER(UNDER)	COL A15	COL A16	CODES
	AGY FNL REQ FY 2001-02 POS AMOUNT	AGY AMD REQ FY 2001-02 POS AMOUNT	AGY FNL REQ FY 2001-02 POS AMOUNT	AGY AMD N/R FY 2001-02 POS AMOUNT	AGY AMD ANZ FY 2001-02 POS AMOUNT	
STATE OPERATIONS						A
TRANSPORTATION, DEPT OF						5500000
TRANSP SYSTEMS OPERATIONS						55150000
<u>PGM: HIGHWAY OPERATIONS</u>						55150200
<u>GOV OPERATIONS/SUPPORT</u>						16
<u>MAINTENANCE</u>						<u>1601.01.01.00</u>
INTRODUCING COMPETITION INTO						19C0000
GOVERNMENT SERVICES AND ACTIVITIES						19C1000
MAINTENANCE ACTIVITIES						010000
SALARIES AND BENEFITS						
ST TRANSPORT (PRIMARY) TF - STATE		181.00- 4,011,490-	181.00- 4,011,490-	5,408,645-		2540 1
EXPENSES						040000
ST TRANSPORT (PRIMARY) TF - STATE		362,000-	362,000-	490,000-		2540 1
TOTAL: MAINTENANCE ACTIVITIES BY FUND						19C1000
ST TRANSPORT (PRIMARY) TF - STATE		181.00- 4,373,490-	181.00- 4,373,490-	5,898,645-		2540 1

01/02

*Deleted
in 6AA
245-*

*Narrative was
not updated to
account for
positions advanced
into 01/02.*

Atch A

AGENCY ISSUE NARRATIVE:
 2001-2002 BUDGET YEAR NARRATIVE:

 Amended 2001-02 Narrative after January 17, 2001

This issue is being added to conform to the Governor's recommendations. It was originally requested under issue code 19G1000, titled Privatization of State Programs, Maintenance Activities.

The revised issue adjusts the number of positions deleted from 149 to 181. This accounts for the advance of 33 OMS I positions and the deletion of one OMS III position to eliminate double count in the transfer to the State Technology Office. The Expenses category is adjusted to maintain the \$2,000 per position reduction.

Revised distribution is as follows:

Class Code	D-1	D-2	D-3	D-4	D-5	D-6	D-7	D Tpk	Total
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COL A12		COL A14		COL A14-A12 AGY AMD REQ FY 2001-02 OVER(UNDER)		COL A15		COL A16		CODES
AGY FNL REQ FY 2001-02 POS	AMOUNT	AGY AMD REQ FY 2001-02 POS	AMOUNT	AGY FNL REQ FY 2001-02 POS	AMOUNT	AGY AMD N/R FY 2001-02 POS	AMOUNT	AGY AMD ANZ FY 2001-02 POS	AMOUNT	

STATE OPERATIONS

TRANSPORTATION, DEPT OF
 TRANSP SYSTEMS OPERATIONS
 PGM: HIGHWAY OPERATIONS
 GOV OPERATIONS/SUPPORT
 MAINTENANCE

A
 55000000
 55150000
 55150200
 16
 1601.01.01.00
 19C0000
 19C1000

INTRODUCING COMPETITION INTO
 GOVERNMENT SERVICES AND ACTIVITIES
 MAINTENANCE ACTIVITIES

OS I			1			1				2
AMS I						1				1
EAS I							1			1
EAS II								2		2
EAS IV								1		1
OMS I	15	19	29	8	43	28	7	2		151
OMS II	5	18								23
Total	20	37	30	8	43	30	8	5		181

Summary: Issue added to conform to issue code structure in Governor's recommendations and to account for a net advance of 32 position reductions to FY 01/02.

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
-----	-----------	-----------	----------	----------	---------	------------------------------

A14 - AGY AMD REQ FY 2001-02

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

9200 OFFICE SUPPORT-LEVEL I						
C1109 001	2.00-	30,270-	12,448-	42,718-	0.00	42,718-
9260 ADMINISTRATIVE/MGT SUPT-LEVEL I						
C1105 001	1.00-	19,392-	6,990-	26,382-	0.00	26,382-
9620 ENGINEERING/ARCH/SURVEY-LEVEL I						
C1110 001	1.00-	17,973-	6,735-	24,708-	0.00	24,708-
9630 ENGINEERING/ARCH/SURVEY-LEVEL II						
C1111 001	2.00-	45,864-	15,256-	61,120-	0.00	61,120-
9650 ENGINEERING/ARCH/SURVEY-LEVEL IV						
C1112 001	1.00-	34,791-	9,764-	44,555-	0.00	44,555-
9740 OPERATION/MAINT/SUPT/TRADE-LEVEL I						
C1106 001	151.00-	2,285,385-	939,850-	3,225,235-	0.00	3,225,235-

COL A12		COL A14		COL A14-A12 AGY AMD REQ FY 2001-02 OVER(UNDER)		COL A15		COL A16		CODES
AGY FNL REQ FY 2001-02 POS	AMOUNT	AGY AMD REQ FY 2001-02 POS	AMOUNT	AGY FNL REQ FY 2001-02 POS	AMOUNT	AGY AMD N/R FY 2001-02 POS	AMOUNT	AGY AMD ANZ FY 2001-02 POS	AMOUNT	

STATE OPERATIONS

TRANSPORTATION, DEPT OF										A
TRANSP SYSTEMS OPERATIONS										55000000
PGM: HIGHWAY OPERATIONS										55150000
GOV OPERATIONS/SUPPORT										55150200
MAINTENANCE										16
INTRODUCING COMPETITION INTO										1601.01.01.00
GOVERNMENT SERVICES AND ACTIVITIES										19C0000
MAINTENANCE ACTIVITIES										19C1000

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2001-02							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
9750 OPERATION/MAINT/SUPT/TRADE-LEVEL II							
C1107 001	23.00-	429,042-		157,730-	586,772-	0.00	586,772-
TOTALS FOR ISSUE BY FUND							
2540 ST TRANSPORT (PRIMARY) TF							4,011,490-
	181.00-	2,862,717-		1,148,773-	4,011,490-		4,011,490-

	COL A03	COL A04	COL A05	CODES
	AGY REQUEST FY 2002-03 POS AMOUNT	AGY REQ N/R FY 2002-03 POS AMOUNT	AG REQ ANZ FY 2002-03 POS AMOUNT	
TRANSPORTATION, DEPT OF				55000000
TRANSF SYSTEMS OPERATIONS				55150000
PGM: HIGHWAY OPERATIONS				55150200
GOV OPERATIONS/SUPPORT				16
<u>MAINTENANCE</u>				<u>1601.01.01.00</u>
INTRODUCING COMPETITION INTO				19C0000
GOVERNMENT SERVICES AND ACTIVITIES				19C1000
MAINTENANCE ACTIVITIES				010000
SALARIES AND BENEFITS				
ST TRANSPORT (PRIMARY) TF - STATE	179.00-			2540 1
	4,095,002-			
EXPENSES				040000
ST TRANSPORT (PRIMARY) TF - STATE	1,074,000-			2540 1
TOTAL: MAINTENANCE ACTIVITIES				19C1000
TOTAL POSITIONS	179.00-			
TOTAL ISSUE	5,169,002-			

02/03 102

AGENCY ISSUE NARRATIVE:
 2002-2003 BUDGET YEAR NARRATIVE:
 Fund Source: 100% State
 =====

Activity: Routine Maintenance
 =====

Performance Measure Affected: Lane miles maintained on the State Highway System.
 =====

Description:
 =====
 This issue deletes positions and related budget to reflect privatization of roadway maintenance activities being implemented by the Department. Project phases in the Department's Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget. Expense reductions in the amount of \$6,000 per position are also included in this issue.

Distribution:

Class Code	Class Title	D1	D2	D3	D4	D5	D6	D7	Tpk	Tr	Pol	Total
-----	-----	---	---	---	---	---	---	---	---	---	---	-----

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2002-03 POS	AMOUNT	AGY REQ N/R FY 2002-03 POS	AMOUNT	AG REQ ANZ FY 2002-03 POS	AMOUNT	
TRANSPORTATION, DEPT OF							55000000
TRANSF SYSTEMS OPERATIONS							55150000
PGM: HIGHWAY OPERATIONS							55150200
GOV OPERATIONS/SUPPORT							16
MAINTENANCE							1601,01,01,00
INTRODUCING COMPETITION INTO							
GOVERNMENT SERVICES AND ACTIVITIES							19C0000
MAINTENANCE ACTIVITIES							19C1000
9200 OS I	1				1		
9320 AAT I					1		
9620 EAS I	4		1		1		
9621 EAS I					4		
9740 OMS I	19	31	17	6	27	2	4
9741 OMS I			26		15		4
9742 OMS I					17		4
	19	31	22	32	27	15	19
						12	2
							179

District	FTE	Sal & Ben	Expenses	Total
One	(19)	\$(415,874)	\$(114,000)	\$(529,874)
Two	(31)	(678,532)	(186,000)	(864,532)
Three	(22)	(494,938)	(132,000)	(626,938)
Four	(32)	(766,641)	(192,000)	(958,641)
Five	(27)	(590,979)	(162,000)	(752,979)
Six	(15)	(366,530)	(90,000)	(456,530)
Seven	(19)	(432,991)	(114,000)	(546,991)
Turnpike	(12)	(297,237)	(72,000)	(369,237)
Tr Policy	(2)	(51,280)	(12,000)	(63,280)
Total	(179)	\$(4,095,002)	\$(1,074,000)	\$(5,169,002)

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2002-03							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
9200 OFFICE SUPPORT-LEVEL I							
C7009 001	1.00-	15,513-		6,375-	21,888-	0.00	21,888-
9320 ACCOUNTING, AUDIT AND TAX-LEVEL I							
C7021 001	1.00-	19,120-		6,922-	26,042-	0.00	26,042-
9620 ENGINEERING/ARCH/SURVEY-LEVEL I							

	COL A03	COL A04	COL A05	CODES
	AGY REQUEST FY 2002-03 POS AMOUNT POS	AGY REQ N/R FY 2002-03 AMOUNT POS	AG REQ ANZ FY 2002-03 AMOUNT	
TRANSPORTATION, DEPT OF				55000000
TRANSP SYSTEMS OPERATIONS				55150000
PGM: HIGHWAY OPERATIONS				55150200
GOV OPERATIONS/SUPPORT				16
MAINTENANCE				<u>1601,01,01.00</u>
INTRODUCING COMPETITION INTO				
GOVERNMENT SERVICES AND ACTIVITIES				19C0000
MAINTENANCE ACTIVITIES				19C1000

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2002-03							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
C7010 001	6.00-	110,532-		40,896-	151,428-	0.00	151,428-
9621 ENGINEERING/ARCH/SURVEY-LEVEL I							
C7011 001	4.00-	82,536-		28,605-	111,141-	0.00	111,141-
9740 OPERATION/MAINT/SUPT/TRADE-LEVEL I							
C7012 001	106.00-	1,644,378-		675,762-	2,320,140-	0.00	2,320,140-
9741 OPERATION/MAINT/SUPT/TRADE-LEVEL I							
C7013 001	44.00-	779,900-		295,256-	1,075,156-	0.00	1,075,156-
9742 OPERATION/MAINT/SUPT/TRADE-LEVEL I							
C7014 001	17.00-	278,579-		110,628-	389,207-	0.00	389,207-

TOTALS FOR ISSUE BY FUND							
2540 ST TRANSPORT (PRIMARY) TF							4,095,002-
	179.00-	2,930,558-		1,164,444-	4,095,002-		4,095,002-
	=====	=====	=====	=====	=====		=====

	COL A03 AGY REQUEST FY 2003-04 POS AMOUNT	COL A04 AGY REQ N/R FY 2003-04 POS AMOUNT	COL A05 AG REQ ANZ FY 2003-04 POS AMOUNT	CODES
TRANSPORTATION, DEPT OF FLORIDA'S TURNPIKE SYSTEMS FL'S TURNPIKE ENTERPRISE GOV OPERATIONS/SUPPORT MAINTENANCE				55000000 55180000 55180100 16 <u>1601.01.01.00</u>
OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES MAINTENANCE ACTIVITIES SALARIES AND BENEFITS				33J0000 33J1000 010000
ST TRANSPORT (PRIMARY) TF - STATE	9.00-	256,033-		2540 1
EXPENSES				040000
ST TRANSPORT (PRIMARY) TF - STATE		54,000-		2540 1
TOTAL: MAINTENANCE ACTIVITIES				33J1000
TOTAL POSITIONS	9.00-			
TOTAL ISSUE.....		310,033-		

02/03 2162

AGENCY ISSUE NARRATIVE:
 2003-2004 BUDGET YEAR NARRATIVE:
 Fund Source: 100% State
 =====

IT COMPONENT? NO

Activity: Routine Maintenance
 =====

Performance Measure Affected: Lane miles maintained on the State Highway System.
 =====

Description:
 =====

This issue deletes positions and related budget to reflect the privatization of roadway maintenance activities being implemented by the Department. Project phases in the Department's Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget. Expenses reductions in the amount of \$6,000 per position are also included in this issue.

Class Code	Class Title	FTE
9201	OS-I	(1)
9621	FAS-I	(4)
9741	OMS-I	(3)

			COL A03	COL A04	COL A05				
			AGY REQUEST	AGY REQ N/R	AG REQ ANZ				
			FY 2003-04	FY 2003-04	FY 2003-04				
			POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	CODES
TRANSPORTATION, DEPT OF									55000000
FLORIDA'S TURNPIKE SYSTEMS									55180000
FL'S TURNPIKE ENTERPRISE									55180100
GOV OPERATIONS/SUPPORT									16
MAINTENANCE									<u>1601.01.01.00</u>
OUTSOURCING OF STATE PROGRAMS,									33J0000
SERVICES OR ACTIVITIES									33J1000
MAINTENANCE ACTIVITIES									
9761	OMS-III	(1)							
		(9)							

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2003-04							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
9201 OFFICE SUPPORT-LEVEL I - SES							
C0314 001	1.00-	17,361-		8,186-	25,547-	0.00	25,547-
9621 ENGINEERING/ARCH/SURVEY-LEVEL I - SES							
C0315 001	4.00-	84,600-		34,906-	119,506-	0.00	119,506-
9741 OPERATION/MAINT/SUPT/TRADE-LEVEL I - SES							
C0316 001	3.00-	54,501-		24,900-	79,401-	0.00	79,401-
9761 OPERATION/MAINT/SUPT/TRADE-LEVEL III - SES							
C0317 001	1.00-	22,640-		8,939-	31,579-	0.00	31,579-

TOTALS FOR ISSUE BY FUND							
2540 ST TRANSPORT (PRIMARY) TF							256,033-
	9.00-	179,102-		76,931-	256,033-		256,033-
	-----	-----	-----	-----	-----	-----	-----

	COL A03 AGY REQUEST FY 2003-04 POS	COL A04 AGY REQ N/R FY 2003-04 POS	COL A05 AG REQ ANZ FY 2003-04 POS	AMOUNT	AMOUNT	AMOUNT	CODES
TRANSPORTATION, DEPT OF TRANS SYSTEMS OPERATIONS PGM: HIGHWAY OPERATIONS GOV OPERATIONS/SUPPORT MAINTENANCE							55000000 55150000 55150200 16 <u>1601.01.01.00</u>
OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES MAINTENANCE ACTIVITIES SALARIES AND BENEFITS							33J0000 33J1000 010000
ST TRANSPORT (PRIMARY) TF - STATE				247.00-	5,947,278-		2540 1
EXPENSES							040000
ST TRANSPORT (PRIMARY) TF - STATE				1,482,000-			2540 1
TOTAL: MAINTENANCE ACTIVITIES							33J1000
TOTAL POSITIONS	247.00-						
TOTAL ISSUE	7,429,278-						

03/04

AGENCY ISSUE NARRATIVE:
 2003-2004 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Fund Source: 100% State
 =====

Activity: Routine Maintenance
 =====

Performance Measure Affected: Lane miles maintained on the State Highway System.
 =====

Description:
 =====

This issue deletes positions and related budget to reflect privatization of roadway maintenance activities (e.g.; centerline striping, mowing, bridge tending, guardrail/sign inspections, routine bridge maintenance) being implemented by the Department. Project phases in the Department's Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget. Expense reductions in the amount of \$6,000 per position are also included in this issue.

Distribution:

Class Code	Class Title	D1	D2	D3	D4	D5	D6	D7	Tr Policy	Total
------------	-------------	----	----	----	----	----	----	----	-----------	-------

COL A03		COL A04		COL A05		CODES
AGY REQUEST	AGY REQ N/R	AG REQ ANZ				
FY 2003-04	FY 2003-04	FY 2003-04				
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

TRANSPORTATION, DEPT OF
 TRANSP SYSTEMS OPERATIONS
 PGM: HIGHWAY OPERATIONS
 GOV OPERATIONS/SUPPORT
 MAINTENANCE

55000000
 55150000
 55150200
 16
1601.01.01.00

OUTSOURCING OF STATE PROGRAMS,
 SERVICES OR ACTIVITIES
 MAINTENANCE ACTIVITIES

33J0000
 33J1000

9200	OS I	1		2		1		2	6
9201	OS I					1			1
9220	OS III							1	1
9260	AMS I							2	2
9290	AMS IV-SES			1					1
9320	AAT I	1						2	3
9620	EAS I	2		11		5	1	1	20
9622	EAS I						5		5
9630	EAS II					1			1
9640	EAS III	2							2
9740	OMST I	11	60	34	12	10	7		134
9741	OMST I				23		20		43
9742	OMST I						17		17
9752	OMST II						1		1
9760	OMST III						2		2
9762	OMST III						1		1
9780	OMST V	3							3
9780	OMST V-SES			4					4
Total		20	60	52	35	17	21	34	247

District	FTE	Sal & Ben	Expenses
One	(20)	\$(508,934)	\$(120,000)
Two	(60)	(1,356,790)	(360,000)
Three	(52)	(1,248,936)	(312,000)
Four	(35)	(850,649)	(210,000)
Five	(17)	(410,673)	(102,000)
Six	(21)	(528,002)	(126,000)
Seven	(34)	(831,129)	(204,000)
Tr Policy	(8)	(212,165)	(40,000)
Total	(247)	\$(5,947,278)	\$(1,482,000)

COL A03		COL A04		COL A05	
AGY REQUEST	AGY REQ N/R	AGY REQUEST	AGY REQ N/R	AGY REQUEST	AGY REQ ANZ
FY 2003-04	FY 2003-04	FY 2003-04	FY 2003-04	FY 2003-04	FY 2003-04
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT

CODES

TRANSPORTATION, DEPT OF
 TRANSP SYSTEMS OPERATIONS
 PGM: HIGHWAY OPERATIONS
 GOV OPERATIONS/SUPPORT
 MAINTENANCE

55000000
 55150000
 55150200
 16
 1601.01.01.00

OUTSOURCING OF STATE PROGRAMS,
 SERVICES OR ACTIVITIES
 MAINTENANCE ACTIVITIES

33J0000
 33J1000

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2003-04							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
9200 OFFICE SUPPORT-LEVEL I							
C0201 001	6.00-	95,412-		40,267-	135,679-	0.00	135,679-
9201 OFFICE SUPPORT-LEVEL I							
C0202 001	1.00-	17,361-		6,910-	24,271-	0.00	24,271-
9220 OFFICE SUPPORT-LEVEL III							
C0203 001	1.00-	24,095-		7,827-	31,922-	0.00	31,922-
9260 ADMINISTRATIVE/MGT SUPT-LEVEL I							
C0204 001	2.00-	40,748-		14,640-	55,388-	0.00	55,388-
9320 ACCOUNTING, AUDIT AND TAX-LEVEL I							
C0206 001	3.00-	58,797-		21,644-	80,441-	0.00	80,441-
9620 ENGINEERING/ARCH/SURVEY-LEVEL I							
C0207 001	20.00-	377,680-		142,344-	520,024-	0.00	520,024-
9622 ENGINEERING/ARCH/SURVEY-LEVEL I							
C0208 001	5.00-	97,245-		35,970-	133,215-	0.00	133,215-
9630 ENGINEERING/ARCH/SURVEY-LEVEL II							
C0209 001	1.00-	24,095-		7,827-	31,922-	0.00	31,922-
9640 ENGINEERING/ARCH/SURVEY-LEVEL III							
C0210 001	2.00-	65,228-		17,973-	83,201-	0.00	83,201-
9740 OPERATION/MAINT/SUPT/TRADE-LEVEL I							
C0211 001	134.00-	2,130,868-		899,297-	3,030,165-	0.00	3,030,165-
9741 OPERATION/MAINT/SUPT/TRADE-LEVEL I							
C0212 001	43.00-	781,181-		301,841-	1,083,022-	0.00	1,083,022-
9742 OPERATION/MAINT/SUPT/TRADE-LEVEL I							
C0213 001	17.00-	285,532-		116,159-	401,691-	0.00	401,691-
9752 OPERATION/MAINT/SUPT/TRADE-LEVEL II							
C0214 001	1.00-	20,494-		7,336-	27,830-	0.00	27,830-
9760 OPERATION/MAINT/SUPT/TRADE-LEVEL III							
C0215 001	2.00-	40,748-		14,640-	55,388-	0.00	55,388-
9762 OPERATION/MAINT/SUPT/TRADE-LEVEL III							
C0216 001	1.00-	21,269-		7,442-	28,711-	0.00	28,711-
9780 OPERATION/MAINT/SUPT/TRADE-LEVEL V							

COL A03		COL A04		COL A05		CODES
AGY REQUEST	AGY REQ N/R	AGY REQ N/R	AGY REQ N/R	AG REQ ANZ	AG REQ ANZ	
FY 2003-04	FY 2003-04	FY 2003-04	FY 2003-04	FY 2003-04	FY 2003-04	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

TRANSPORTATION, DEPT OF
 TRANSP SYSTEMS OPERATIONS
 PGM: HIGHWAY OPERATIONS
 GOV OPERATIONS/SUPPORT
 MAINTENANCE

55000000
 55150000
 55150200
 16
1601.01.01.00
 33J0000
 33J1000

OUTSOURCING OF STATE PROGRAMS,
 SERVICES OR ACTIVITIES
 MAINTENANCE ACTIVITIES

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2003-04							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
C0217 001	3.00-	54,501-		21,058-	75,559-	0.00	75,559-
9290 ADMINISTRATIVE/MGT SUPT-LEVEL IV - SES							
C0205 001	1.00-	32,614-		10,366-	42,980-	0.00	42,980-
9780 OPERATION/MAINT/SUPT/TRADE-LEVEL V - SES							
C0218 001	4.00-	72,668-		33,201-	105,869-	0.00	105,869-

TOTALS FOR ISSUE BY FUND							
2540 ST TRANSPORT (PRIMARY) TF							5,947,278-
	247.00-	4,240,536-		1,706,742-	5,947,278-		5,947,278-
	=====	=====	=====	=====	=====		=====

	COL A12	COL A14	COL A14-A12 AGY AMD REQ FY 2004-05 OVER(UNDER)	COL A15	COL A16	CODES
	AGY FNL REQ FY 2004-05 POS AMOUNT	AGY AMD REQ FY 2004-05 POS AMOUNT	AGY FNL REQ FY 2004-05 POS AMOUNT	AGY AMD N/R FY 2004-05 POS AMOUNT	AGY AMD ANZ FY 2004-05 POS AMOUNT	
TRANSPORTATION, DEPT OF TRANSP SYSTEMS OPERATIONS PGM: HIGHWAY OPERATIONS GOV OPERATIONS/SUPPORT MAINTENANCE						55000000 55150000 55150200 16 1601.01.01.00
OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES MAINTENANCE ACTIVITIES SALARIES AND BENEFITS						33J0000 33J1000 010000
ST TRANSPORT (PRIMARY) TF.....		19.00- 466,902-	19.00- 466,902-			2540
SPECIAL CATEGORIES TRANS MATERIALS & EQUIP						100000 103892
ST TRANSPORT (PRIMARY) TF.....		114,000-	114,000-			2540
TOTAL: MAINTENANCE ACTIVITIES BY FUND						33J1000
ST TRANSPORT (PRIMARY) TF.....		19.00- 580,902-	19.00- 580,902-			2540

04/05

AGENCY ISSUE NARRATIVE:
 2004-2005 BUDGET YEAR NARRATIVE: IT COMPONENT? NO

 Amended 2004-05 Narrative after January 20, 2004

Fund Source: 100% State
 =====
 Activity: Routine Maintenance
 =====

Performance Measure Affected: Lane miles maintained on the State Highway System.
 =====

Description:
 =====
 This issue deletes positions and related budget to reflect outsourcing of roadway maintenance related activities implemented by the Department. District 3 has determined that additional outsourcing in this activity is appropriate. Project phases in the Department's Tentative Work Program will be modified to

COL A12		COL A14		COL A14-A12 AGY AMD REQ FY 2004-05 OVER(UNDER)		COL A15		COL A16		CODES
AGY FNL REQ FY 2004-05 POS	AMOUNT	AGY AMD REQ FY 2004-05 POS	AMOUNT	AGY FNL REQ FY 2004-05 POS	AMOUNT	AGY AMD N/R FY 2004-05 POS	AMOUNT	AGY AMD ANZ FY 2004-05 POS	AMOUNT	

TRANSPORTATION, DEPT OF
 TRANSP SYSTEMS OPERATIONS
 PGM: HIGHWAY OPERATIONS
 GOV OPERATIONS/SUPPORT
 MAINTENANCE

55000000
 55150000
 55150200
 16
 1601.01.01.00
 33J0000
 33J1000

OUTSOURCING OF STATE PROGRAMS,
 SERVICES OR ACTIVITIES
 MAINTENANCE ACTIVITIES

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2004-05						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
	9780 OPERATION/MAINT/SUPT/TRADE-LEVEL V - SES					
1.00-	18,530-		8,224-	26,754-	0.00	26,754-
TOTALS FOR ISSUE BY FUND						
19.00-	318,093-		148,809-	466,902-		466,902-

BPEADL01 LAS/PBS SYSTEM
BUDGET PERIOD: 1995-2006
STATE OF FLORIDA

EXHIBIT D-3A
EXPENDITURES BY
ISSUE AND APPROPRIATION CATEGORY

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	COL A03 AGY REQUEST FY 2005-06 POS	COL A04 AGY REQ N/R FY 2005-06 POS	COL A05 AG REQ ANZ FY 2005-06 POS	AMOUNT	AMOUNT	AMOUNT	CODES
TRANSPORTATION, DEPT OF TRANSP SYSTEMS OPERATIONS PGM: HIGHWAY OPERATIONS GOV OPERATIONS/SUPPORT MAINTENANCE							55000000 55150000 55150200 16 1601.01.01.00
OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES MAINTENANCE ACTIVITIES SALARIES AND BENEFITS							33J0000 33J1000 010000
ST TRANSPORT (PRIMARY) TF - STATE	37.00-			1,095,060-			2540 1
EXPENSES							040000
ST TRANSPORT (PRIMARY) TF - STATE				4,000-			2540 1
SPECIAL CATEGORIES TRANS MATERIALS & EQUIP							100000 103892
ST TRANSPORT (PRIMARY) TF - STATE				70,000-			2540 1
TOTAL: MAINTENANCE ACTIVITIES							33J1000
TOTAL POSITIONS	37.00-						
TOTAL ISSUE				1,169,060-			

05/06

AGENCY ISSUE NARRATIVE:
2005-2006 BUDGET YEAR NARRATIVE:
Fund Source: 100% State
=====

IT COMPONENT? NO

LRPP Reference: Goal #3: Organization excellence by promoting and encouraging continuous improvement.
=====

Description:
=====

This issue deletes positions and related budget to reflect privatization of roadway maintenance activities (e.g.; asset management) being implemented by the Department. Project phases in the Department's Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget. Expense or Transportation Materials and Equipment (TME) reductions in the amount of \$2,000 per position are also included in this issue.

COL A03 COL A04 COL A05
 AGY REQUEST AGY REQ N/R AG REQ ANZ
 FY 2005-06 FY 2005-06 FY 2005-06
 POS AMOUNT POS AMOUNT POS AMOUNT

CODES

TRANSPORTATION, DEPT OF
 TRANSP SYSTEMS OPERATIONS
 PGM: HIGHWAY OPERATIONS
 GOV OPERATIONS/SUPPORT
 MAINTENANCE

55000000
 55150000
 55150200
 16
 1601.01.01.00
 33J0000
 33J1000

OUTSOURCING OF STATE PROGRAMS,
 SERVICES OR ACTIVITIES
 MAINTENANCE ACTIVITIES

Distribution:

Class Code	Class Code Title	Pay Plan	Occupation Code #	Occupation Level Title	D2	D3	Total
4605	Eng Tech I	CS	17-3029-1	Eng Tech, AO L1		21	21
4738	Hwy Maint Tech I	CS	47-4051-1	Hwy Maint Wkrs	2	14	16
Total					2	35	37

Issue Summary:

District	FTE	Sal & Ben	Expenses	TME	Total
Dist 2	(2)	\$(57,190)	\$(4,000)		\$(61,190)
Dist 3	(35)	\$(1,037,870)		\$(70,000)	\$(1,107,870)
Total	(37)	\$(1,095,060)	\$(4,000)	\$(70,000)	\$(1,169,060)

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2005-06							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
4605 ENGINEERING TECHNICIAN I							
C3003 001	21.00-	445,032-		192,512-	637,544-	0.00	637,544-
4738 HIGHWAY MAINTENANCE TECHNICIAN I							
C3004 001	16.00-	314,576-		142,940-	457,516-	0.00	457,516-

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2005-06	POS	AGY REQ N/R FY 2005-06	POS	AG REQ ANZ FY 2005-06	POS	
TRANSPORTATION, DEPT OF							55000000
TRANSP SYSTEMS OPERATIONS							55150000
<u>PGM: HIGHWAY OPERATIONS</u>							55150200
<u>GOV OPERATIONS/SUPPORT</u>							16
<u>MAINTENANCE</u>							<u>1,601,011.00</u>
OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES MAINTENANCE ACTIVITIES							33J0000 33J1000

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2005-06							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
TOTALS FOR ISSUE BY FUND 2540 ST TRANSPORT (PRIMARY) TF							1,095,060-
	37.00-	759,608-		335,452-	1,095,060-		1,095,060-

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2006-07 POS	AMOUNT	AGY REQ N/R FY 2006-07 POS	AMOUNT	AG REQ ANZ FY 2006-07 POS	AMOUNT	
TRANSPORTATION, DEPT OF TRANSP SYSTEMS OPERATIONS PGM: HIGHWAY OPERATIONS GOV OPERATIONS/SUPPORT MAINTENANCE							55000000 55150000 55150200 16 <u>1601.01.01.00</u>
OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES MAINTENANCE ACTIVITIES SALARY RATE							33J0000 33J1000 000000
SALARY RATE.....		488,653-					
=====							
SALARIES AND BENEFITS							010000
ST TRANSPORT (PRIMARY) TF - STATE		25.00-		726,082-			2540 1
TOTAL: MAINTENANCE ACTIVITIES							33J1000
TOTAL POSITIONS		25.00-					
TOTAL ISSUE.....				726,082-			
TOTAL SALARY RATE.....		488,653-					
=====							

06/07

AGENCY ISSUE NARRATIVE:
 2006-2007 BUDGET YEAR NARRATIVE:
 Fund Source: 100% State
 =====

IT COMPONENT? NO

LRPP Reference: Goal #3: Organization excellence by promoting and encouraging continuous improvement.
 =====

District 3

Description:

=====

This issue deletes positions and related budget to reflect additional outsourcing of roadway maintenance activities implemented in District 3. The District has determined that additional asset management contracts (the Department term used to describe roadway maintenance outsourcing) is appropriate. Project phases in the Department's Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program Section of the budget.

EXHIBIT D-3A
 EXPENDITURES BY
 ISSUE AND APPROPRIATION CATEGORY

COL A03	COL A04	COL A05
AGY REQUEST	AGY REQ N/R	AG REQ ANZ
FY 2006-07	FY 2006-07	FY 2006-07
POS	AMOUNT	POS

CODES

TRANSPORTATION, DEPT OF
 TRANSP SYSTEMS OPERATIONS
 PGM: HIGHWAY OPERATIONS
 GOV OPERATIONS/SUPPORT
 MAINTENANCE

55000000
 55150000
 55150200
 16
 1601.01.01.00

OUTSOURCING OF STATE PROGRAMS,
 SERVICES OR ACTIVITIES
 MAINTENANCE ACTIVITIES

33J0000
 33J1000

Position Detail:

Pos. #	Class Code	Class Title	Pay Plan	Broadband Level Code	Occupation Title	FTE
05276	4605	Engineering Tech I	CS	17-3029-1	Eng Tech, AO	(1)
05627	4605	Engineering Tech I	CS	17-3029-1	Eng Tech, AO	(1)
05674	4605	Engineering Tech I	CS	17-3029-1	Eng Tech, AO	(1)
05925	4605	Engineering Tech I	CS	17-3029-1	Eng Tech, AO	(1)
06040	4605	Engineering Tech I	CS	17-3029-1	Eng Tech, AO	(1)
06056	4605	Engineering Tech I	CS	17-3029-1	Eng Tech, AO	(1)
06190	4605	Engineering Tech I	CS	17-3029-1	Eng Tech, AO	(1)
06347	4605	Engineering Tech I	CS	17-3029-1	Eng Tech, AO	(1)
06359	4605	Engineering Tech I	CS	17-3029-1	Eng Tech, AO	(1)
06097	4605	Engineering Tech I	CS	17-3029-1	Eng Tech, AO	(1)
05680	4741	Highway Mt Tech II	CS	47-4051-1	Hwy Maint Wkr	(1)
05683	4741	Highway Mt Tech II	CS	47-4051-1	Hwy Maint Wkr	(1)
05715	4741	Highway Mt Tech II	CS	47-4051-1	Hwy Maint Wkr	(1)
05752	4741	Highway Mt Tech II	CS	47-4051-1	Hwy Maint Wkr	(1)
05788	4741	Highway Mt Tech II	CS	47-4051-1	Hwy Maint Wkr	(1)
05800	4741	Highway Mt Tech II	CS	47-4051-1	Hwy Maint Wkr	(1)
06026	4741	Highway Mt Tech II	CS	47-4051-1	Hwy Maint Wkr	(1)
06098	4741	Highway Mt Tech II	CS	47-4051-1	Hwy Maint Wkr	(1)
06121	4741	Highway Mt Tech II	CS	47-4051-1	Hwy Maint Wkr	(1)
06126	4741	Highway Mt Tech II	CS	47-4051-1	Hwy Maint Wkr	(1)
06409	4741	Highway Mt Tech II	CS	47-4051-1	Hwy Maint Wkr	(1)
06500	4741	Highway Mt Tech II	CS	47-4051-1	Hwy Maint Wkr	(1)
10482	4741	Highway Mt Tech II	CS	47-4051-1	Hwy Maint Wkr	(1)
10496	6373	Maint Repairman	SES	49-9042-1	Maint/Rep Wkr	(1)
06181	0011	Receptionist	CS	43-4171-1	Ofc/Adm Suppt	(1)

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2006-07	POS	AGY REQ N/R FY 2006-07	POS	AG REQ ANZ FY 2006-07	POS	
TRANSPORTATION, DEPT OF							55000000
TRANSP SYSTEMS OPERATIONS							55150000
<u>PGM: HIGHWAY OPERATIONS</u>							55150200
<u>GOV OPERATIONS/SUPPORT</u>							16
<u>MAINTENANCE</u>							<u>1601.01.01.00</u>
OUTSOURCING OF STATE PROGRAMS,							33J0000
SERVICES OR ACTIVITIES							33J1000
MAINTENANCE ACTIVITIES							

Issue Summary:
 =====

Unit	Class Code	Class Title	FTE	Salaries & Benefits
District 3	4605	Eng Tech I	(10)	(\$291,060)
	4741	Hwy Mt Tech II	(13)	(\$378,378)
	6373	Maint Repr-SES	(1)	(\$30,543)
	0011	Receptionist	(1)	(\$26,101)
Total:			(25)	(\$726,082)

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2006-07							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
0011 RECEPTIONIST							
C6181 001	1.00-	17,053-		9,048-	26,101-	0.00	26,101-
4605 ENGINEERING TECHNICIAN I							
C5276 001	1.00-	19,650-		9,456-	29,106-	0.00	29,106-
C5627 001	1.00-	19,650-		9,456-	29,106-	0.00	29,106-
C5674 001	1.00-	19,650-		9,456-	29,106-	0.00	29,106-
C5925 001	1.00-	19,650-		9,456-	29,106-	0.00	29,106-
C6040 001	1.00-	19,650-		9,456-	29,106-	0.00	29,106-
C6056 001	1.00-	19,650-		9,456-	29,106-	0.00	29,106-
C6097 001	1.00-	19,650-		9,456-	29,106-	0.00	29,106-
C6190 001	1.00-	19,650-		9,456-	29,106-	0.00	29,106-
C6347 001	1.00-	19,650-		9,456-	29,106-	0.00	29,106-
C6359 001	1.00-	19,650-		9,456-	29,106-	0.00	29,106-
4741 HIGHWAY MAINTENANCE TECHNICIAN II							
C0482 001	1.00-	19,650-		9,456-	29,106-	0.00	29,106-

	COL A03	COL A04	COL A05	CODES		
	AGY REQUEST FY 2006-07	AGY REQ N/R FY 2006-07	AG REQ ANZ FY 2006-07			
	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
TRANSPORTATION, DEPT OF				55000000		
TRANSP SYSTEMS OPERATIONS				55150000		
PGM: HIGHWAY OPERATIONS				55150200		
GOV OPERATIONS/SUPPORT				16		
MAINTENANCE				1601.01.01.00		
OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES MAINTENANCE ACTIVITIES				33J0000		
				33J1000		

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2006-07							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
C5680 001	1.00-	19,650-		9,456-	29,106-	0.00	29,106-
C5683 001	1.00-	19,650-		9,456-	29,106-	0.00	29,106-
C5715 001	1.00-	19,650-		9,456-	29,106-	0.00	29,106-
C5752 001	1.00-	19,650-		9,456-	29,106-	0.00	29,106-
C5788 001	1.00-	19,650-		9,456-	29,106-	0.00	29,106-
C5800 001	1.00-	19,650-		9,456-	29,106-	0.00	29,106-
C6026 001	1.00-	19,650-		9,456-	29,106-	0.00	29,106-
C6098 001	1.00-	19,650-		9,456-	29,106-	0.00	29,106-
C6121 001	1.00-	19,650-		9,456-	29,106-	0.00	29,106-
C6126 001	1.00-	19,650-		9,456-	29,106-	0.00	29,106-
C6409 001	1.00-	19,650-		9,456-	29,106-	0.00	29,106-
C6500 001	1.00-	19,650-		9,456-	29,106-	0.00	29,106-
6373 MAINTENANCE REPAIRMAN - SES							
C0496 001	1.00-	19,650-		10,893-	30,543-	0.00	30,543-
TOTALS FOR ISSUE BY FUND							726,082-
2540 ST TRANSPORT (PRIMARY) TF							726,082-
=====							=====
25.00- 488,653- 237,429- 726,082-							726,082-
=====							=====

	COL A62 AGY AMD REQ FY 01-2002 POS AMOUNT	CODES
STATE CAPITAL OUTLAY - DOT		K
FIXED CAPITAL OUTLAY		080000
HIGHWAY MAINTENANCE CONTR.....	196,245,000	088712
CONTRACT MAINT W/ DOC.....	14,256,000	088810
HWY BEAUTIFICATION GRANTS.....	2,000,000	088850
BRIDGE INSPECTION.....	8,030,000	088864
TOTAL: REPORT		
BY FUND		
ST TRANSPORT (PRIMARY) TF.....	220,531,000	2540

Attachment B

	COL A63 AGY AMD REQ FY 2002-03 POS AMOUNT	CODES
STATE CAPITAL OUTLAY - DOT		K
FIXED CAPITAL OUTLAY		080000
HIGHWAY MAINTENANCE CONTR.....	230,449,000	088712
CONTRACT MAINT W/ DOC.....	14,681,000	088810
HWY BEAUTIFICATION GRANTS.....	3,000,000	088850
BRIDGE INSPECTION.....	12,241,000	088864
TOTAL: REPORT		
BY FUND		
ST TRANSPORT (PRIMARY) TF.....	260,371,000	2540

	COL A64 AGY AMD REQ FY 2003-04 POS AMOUNT	CODES
STATE CAPITAL OUTLAY - DOT		K
FIXED CAPITAL OUTLAY		080000
HIGHWAY MAINTENANCE CONTR.....	233,816,621	088712
CONTRACT MAINT W/ DOC.....	15,118,000	088810
BRIDGE INSPECTION.....	10,002,124	088864
TOTAL: REPORT		
BY FUND		
ST TRANSPORT (PRIMARY) TF.....	258,936,745	2540
	=====	

	COL A65 AGY AMD REQ FY 2004-05 POS AMOUNT	CODES
STATE CAPITAL OUTLAY - DOT		K
FIXED CAPITAL OUTLAY		080000
HIGHWAY MAINTENANCE CONTR.....	251,095,538	088712
CONTRACT MAINT W/ DOC.....	15,566,000	088810
HWY BEAUTIFICATION GRANTS.....	3,000,000	088850
BRIDGE INSPECTION.....	11,020,000	088864
TOTAL: REPORT		
BY FUND		
ST TRANSPORT (PRIMARY) TF.....	280,681,538	2540

	COL A66 AGY AMD REQ FY 2005-06 POS AMOUNT	CODES
STATE CAPITAL OUTLAY - DOT		K
FIXED CAPITAL OUTLAY		080000
HIGHWAY MAINTENANCE CONTR.....	273,520,190	088712
CONTRACT MAINT W/ DOC.....	16,033,000	088810
HWY BEAUTIFICATION GRANTS.....	3,000,000	088850
BRIDGE INSPECTION.....	9,924,000	088864
TOTAL: REPORT		
BY FUND		
ST TRANSPORT (PRIMARY) TF.....	302,477,190	2540

	COL A58 AGY AMD REQ FY 2006-07 POS AMOUNT	CODES
STATE CAPITAL OUTLAY - DOT		K
FIXED CAPITAL OUTLAY		080000
HIGHWAY MAINTENANCE CONTR.....	293,340,204	088712
CONTRACT MAINT W/ DOC.....	16,515,000	088810
HWY BEAUTIFICATION GRANTS.....	3,000,000	088850
BRIDGE INSPECTION.....	9,826,000	088864
TOTAL: REPORT		
BY FUND		
ST TRANSPORT (PRIMARY) TF.....	322,681,204	2540
	=====	

	COL A52 AGY AMD REQ FY 2007-08 POS AMOUNT	CODES
STATE CAPITAL OUTLAY - DOT		K
FIXED CAPITAL OUTLAY		080000
HIGHWAY MAINTENANCE CONTR.....	311,713,983	088712
CONTRACT MAINT W/ DOC.....	17,011,000	088610
BRIDGE INSPECTION.....	10,379,289	088864
TOTAL: REPORT		
BY FUND		
ST TRANSPORT (PRIMARY) TF.....	339,104,272	2540

	COL AS1 AGY AMD REQ FY 2008-09 POS AMOUNT	CODES
STATE CAPITAL OUTLAY - DOT		K
FIXED CAPITAL OUTLAY		080000
HIGHWAY MAINTENANCE CONTR.....	339,641,424	088712
CONTRACT MAINT W/ DOC.....	17,521,000	088810
BRIDGE INSPECTION.....	11,629,000	088864
TOTAL: REPORT BY FUND		
ST TRANSPORT (PRIMARY) TF.....	368,791,424	2540

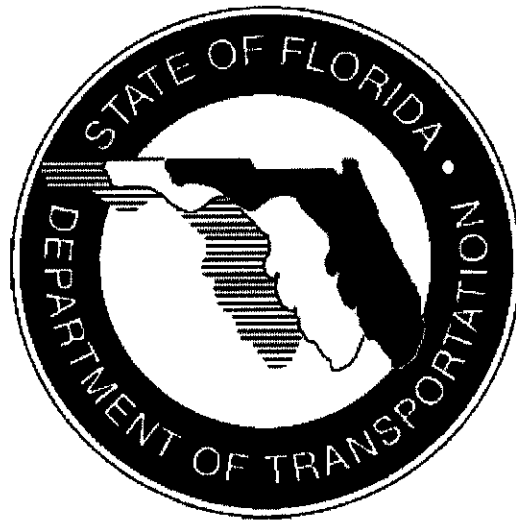
	COL A20 AGY AMD REQ FY 2009-10 POS AMOUNT	CODES
STATE CAPITAL OUTLAY - DOT		K
FIXED CAPITAL OUTLAY		080000
HIGHWAY MAINTENANCE CONTR.....	378,762,423	088712
CONTRACT MAINT W/ DOC.....	18,046,000	088810
BRIDGE INSPECTION.....	32,888,386	088864
TOTAL: REPORT		
BY FUND		
ST TRANSPORT (PRIMARY) TF.....	429,696,809	2540
	=====	

	COL A20 AGY AMD REQ FY 2010-11 POS AMOUNT	CODES

STATE CAPITAL OUTLAY - DOT		K
FIXED CAPITAL OUTLAY		080000
HIGHWAY MAINTENANCE CONTR.....	390,598,353	088712
CONTRACT MAINT W/ DOC.....	18,588,000	088810
BRIDGE INSPECTION.....	17,580,984	088864

TOTAL: REPORT		
BY FUND		
ST TRANSPORT (PRIMARY) TF.....	426,767,337	2540
	=====	

**State of Florida
Department of Transportation**



Contract # E _____,
Financial Project Number (s) _____
DBE Availability _____

REQUEST FOR PROPOSAL (RFP)
ASSET MAINTENANCE CONTRACT
PROPOSAL REQUIREMENTS

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ATTACHMENTS:

ASSET MAINTENANCE SCOPE OF SERVICE

Proposal Blank Form # 375-020-56

Asset Maintenance Pay Item, (Lump Sum) Price Sheet

Drug Free Workplace Program Certification, #375-040-18

Letter of Signature Authority (if applicable)

Addenda, if applicable

SPECIAL CONDITIONS

1) TECHNICAL PROPOSAL SUBMITTAL

The State of Florida Department of Transportation hereinafter referred to as the "Department" will accept proposals to:

Project Location (s) and Work Description

The Department intends to award this contract to the responsive and responsible Proposer whose Proposal Package receives the highest score (Technical Proposal Score plus Price Score) upon evaluation by the Department. After the award, said Proposer will be referred to as the "Contractor". For the purpose of this document, the term "Proposer" means the prime Contractor acting on their behalf. The term "Proposal Package" means the complete Proposal Package of the Proposer, including properly completed forms and supporting documentation.

Details of the services, information and items to be furnished by the Contractor are described in the Asset Maintenance Scope of Services with Attachments, attached hereto and made a part hereof.

2) CONTRACTOR REGISTRATION

All contractors that have not re-registered with the State of Florida since March 31, 2003, must go to <http://contractor.myfloridamarketplace.com/> to complete on-line registration, or call 1-866-352-3776 for assisted registration.

3) MYFLORIDAMARKETPLACE TRANSACTION FEE

This contract is exempt from the MyFloridaMarketPlace Transaction Fee, pursuant to Rule 60A-1.032, Florida Administrative Code.

4) SCHEDULE OF EVENTS

Below is the current schedule of the remaining events that will take place in the selection process. The Department reserves the right to make changes or alterations to the schedule as necessary to serve the best interests of the public. Proposers will be notified sufficiently in advance of any changes or alterations in the schedule. Unless otherwise notified in writing by the Department, the dates indicated below for submission of items or for other actions on the part of a Proposer will constitute absolute deadlines for those activities and failure to fully comply by the time stated will cause a Proposer to be disqualified.

Schedule of Events

ACTIVITY	DATE	TIME (Local)	LOCATION
Deadline for submission of written questions prior to the pre-proposal meeting			
*Mandatory Pre-Proposal Meeting			
**Final Deadline for Technical Proposal Questions (Written)			
Department reply to Proposer Technical Questions.			
Technical and Price Proposals Due			
Technical Review Committee Meeting and Public Announcement of Technical Scores, Public Opening of Price Proposals and Public Announcement of Prices			
Posting of Department's Decision to Award			

*** MANDATORY PRE- PROPOSAL MEETING**

The meeting will be for the purpose of providing an open forum for discussion on the Scope of Services, the Proposal Requirements and/or any other matter associated with this Request for Proposals. Proposal Blanks will only be issued to attendees. Attendance at the Pre-proposal meeting is mandatory and any Proposer who fails to attend will be deemed non-responsive and automatically disqualified from further consideration.

LATE ARRIVALS TO MANDATORY PREBID MEETINGS: Anyone not present and signed in after the start of the mandatory pre-bid meeting commencement time will be considered late and will not be allowed to bid on the project.

During and after the meeting, it is the responsibility of the Project Manager/Contracting Unit to ensure that each Proposer develops their technical proposal with the same information. If a Proposer receives information from the Department relating to the project prior to the information cutoff date, the Department will ensure that all Proposers receive the same information in a timely fashion. The project file will clearly document all communications by the Contracting Unit or the Project Manager with any Firm regarding the contract details.

Any person requiring special accommodations at any meeting, because of a disability or physical impairment should contact the District Contracts Office at (AC) ___ - ___ not later than five (5) days prior to the meeting. If you are hearing or speech impaired, please contact the Department using the Florida Relay Service at (800) 955 – 8771 TDD.

**** RFP QUESTIONS & ANSWERS**

Any technical questions arising from this Request for Proposals must be forwarded, in writing, to the procurement agent at the address indicated above. In order for technical questions to be answered in a timely fashion, technical questions must be received no later than the date and time shown in the RFP section above.

The Department's written responses to written inquiries submitted timely by potential Proposers will be provided to all perspective Proposers by hard copy or Internet at www.dot.state.fl.us/contractsadministrationdistrict/. It is the responsibility of all potential Proposers to monitor this site for any changing information prior to submitting their proposal.

Only written inquiries from potential Proposers, which are signed by persons authorized to contractually bind the Proposers, will be recognized by the Department as duly authorized expressions on behalf of the potential Proposers.

5) CONTRACT RENEWAL

It is anticipated that the term of the contract will begin on (Date) _____ and be effective through (Date) _____ with one or more renewals.

Renewals shall be at the sole discretion and option of the Department and must be agreed to in writing by both parties. If the renewal option is exercised, the Department will adjust the original lump sum amount for inflation according to the Asset Maintenance Scope of Services.

6) ORAL INSTRUCTIONS / CHANGES TO PROPOSAL REQUIREMENTS (ADDENDA)

No negotiations, decisions, or actions will be initiated or executed by a potential Proposer as a result of any oral discussions with a State employee. Only those communications which are in writing from the Department will be considered as a duly authorized expression on behalf of the Department.

Notices of changes (addenda) will be posted on the Department of Transportation Contracts Administration Web Site under this contract number at <http://www.dot.state.fl.us/contractsadministrationdistrict/>. It is the responsibility of all potential Proposers to monitor this site for any changing information prior to submitting your Proposal. All addenda will be acknowledged by signature and subsequent submission of addenda with the technical proposal when so stated in the addenda.

7) PROPOSER QUALIFICATIONS

7.1 General

The Department will determine whether the Proposer is qualified to perform the services being contracted based upon their Proposal Package demonstrating satisfactory experience and capability in the work area. The Proposer shall identify necessary experienced personnel and facilities to support the activities associated with this Proposal.

7.2 Qualifications of Key Personnel

Those individuals who will be directly involved in the project will have demonstrated experience in the areas delineated in the scope of work. Project Management and key personnel within each area of required services will be identified in the Technical Proposal as well as past experience of each, as it relates to this project. Any changes in the indicated personnel will be subject to review and approval by the Department's Project Manager. Where State of Florida

registration or certification is required, a copy of the registration or certificate will be included in the Proposal Package.

7.3 Authorized To Do Business in the State of Florida

In accordance with sections 607.1501 and 620.169, Florida Statutes, foreign corporations and foreign limited partnerships must be authorized to do business in the State of Florida. Such authorization should be obtained by the Proposal Package due date and time, but in any case, must be obtained prior to posting of the intended award of the contract. For authorization, contact:

Florida Department of State
Tallahassee, Florida 32399
(850) 245-6051
<http://www.MyFlorida.com>

7.4 Licensed to Conduct Business in the State of Florida

If the services being provided require that individuals be licensed by the Department of Business and Professional Regulation, such licenses should be obtained by the Proposal Package due date and time. For licensing, contact:

Florida Department of Business and Professional Regulation
Tallahassee, Florida 32399-0797
(850) 487-1395
<http://www.MyFlorida.com>

7.5 Review of Facilities and Qualifications

After the Proposal Package due date and prior to contract award, the Department may review various phases of the on-site operations, such as verification of data submitted by the Proposer to determine whether the Proposer has an adequate, qualified, and experienced staff, and can provide overall management facilities. The review may also serve to verify whether the Proposer has the financial capability adequate to meet the contract requirements, the review may also verify the size or nature of the Proposer's facilities and if the number of experienced personnel (including technical staff) are adequate to ensure satisfactory contract performance. The Department has the right to reject the Proposal if not in compliance with submitted proposal.

8) DEPARTMENT RESERVATIONS AND RESPONSIVENESS OF PROPOSALS

8.1 General

The Department reserves the right to accept or reject any or all Proposals received and reserves the right to make an award without further discussion of the Proposal Packages submitted. It is understood that the Proposal Package will become a part of the Department's official file, without obligation to the Department.

8.2 Responsiveness of Proposals

Proposals will not be considered if received by the Department after the date and time specified as the due date for submission. If this contract features a maximum bid cap, Proposals will be considered non-responsive if the established maximum allowed bid cap is exceeded.

All Proposals must be typed or printed in ink. Proposals found to be non-responsive shall not be considered. Proposals may be rejected if found to be irregular or not in conformance with the requirements and instructions herein contained.

8.3 Multiple Proposals

Proposals may be rejected if more than one Proposal is received from a Proposer. Such duplicate interest may cause the rejection of all Proposals in which such Proposer has participated. Subcontractors may appear in more than one Proposal.

8.4 Other Conditions

Other conditions which may cause rejection of Proposals include, but are not limited to, evidence of collusion among Proposers, obvious lack of experience or expertise to perform the required work, failure to perform or meet contractual obligations on previous contracts, or in the event an individual, firm, partnership, or corporation is on the Listing of Parties Excluded from Federal Procurement and Non procurement Programs, or the Proposer's or it's affiliate (s) qualification to propose is suspended, revoked, or denied by any public agency or semi-public agency.

8.5 Waivers

The Department may waive minor informalities or irregularities in Proposals received where such is merely a matter of form and not substance, and the correction or waiver of which is not prejudicial to other Proposers. Minor irregularities are defined as those that will not have an adverse effect on the Department's interest and will not affect the price of the Proposal by giving a Proposer an advantage or benefit not enjoyed by other Proposers.

9) CONTRACTUAL OBLIGATIONS

The Contractor will be required to ensure that each individual, partnership, firm or corporation that is part of the Proposer team, by subcontract, will be subject to, and comply with, the contractual requirements.

9.1 Unauthorized Aliens

The employment of unauthorized aliens by any contractor is considered a violation of Section 274A (e) of the Immigration and Nationality Act. If the contractor knowingly employs unauthorized aliens or knowingly hires subcontractors who employ unauthorized aliens, such violation shall be cause for unilateral cancellation of the contract.

9.2 Discrimination

A person or affiliate who has been placed on the convicted vendor list following a conviction for a public entity crime may not be awarded or perform work as a contractor, supplier, subcontractor, or consultant under a contract with any public entity, and may not transact business with any public entity in excess of the threshold amount provided in Section 287.017 F.S., for Category Two. All restrictions apply for a period of thirty six (36) months from the date of placement on the convicted vendor list.

9.3 Liability Insurance

The Contractor must carry and keep in force during the term of this contract, a general liability insurance policy or policies with a company (ies) authorized to do business in Florida, affording public liability insurance with a combined bodily injury limits of at least \$1,000,000 per person and \$5,000,000 each occurrence, and property damage insurance of at least \$100,000 each occurrence to be rendered in accordance with this contract. The Contractor will submit certificates of insurance upon contract execution.

The Contractor must have and maintain during the term of this contract, a professional liability insurance policy or policies or an irrevocable letter of credit established pursuant to Chapter 675 and Section 337.106, Florida Statutes, with a company or companies authorized to do business in the State of Florida, affording professional liability coverage for the professional services to be rendered in accordance with this contract in the amount of at least \$1,000,000.

9.4 Method of Compensation (Contingent upon an approved Legislative budget and funds availability.)

See Section 16.4, Payment Schedule, of this RFP. Also see Attachment I, Payment Schedule, of the Scope of Services.

Purpose:

This Exhibit defines the limits and method of compensation to be made to the Contractor for services set forth in the Attachments and the method by which payments shall be made.

Compensation:

For the satisfactory performance of the services detailed in the Scope of Services, the Contractor shall be paid a Lump Sum Amount.

9.5 Payment and Compensation of Funds

Payments and compensation will be as per the Asset Maintenance Scope of Service.

9.6 Performance Bond

Upon award, the Contractor shall furnish to the Department, and thereafter continue to furnish to the Department during the term of the Contract, a Payment and Performance Bond guaranteeing the Contractor's contract obligations for each twelve month period of the Contract.

No later than the date of Contract execution the Contractor shall provide to the Department a Payment and Performance Bond in a penal sum equal to the first year's annual contract amount under the Contract. Annually thereafter, between thirty (30) and forty-five (45)

days prior to the contract anniversary date, the Contractor shall provide to the Department a Payment and Performance Bond in a penal sum equal to the upcoming year's annual contract amount. Regardless of the number of separate bonds or bond continuations provided by the Surety hereunder, the Surety's liability for each bond or bond continuation shall be limited to the contract amount for the twelve (12) month period for which the bond or bond continuation is provided.

Each Payment and Performance Bond shall be provided by a surety company authorized to conduct business in the State of Florida. Each Payment and Performance Bond shall be executed only on the forms provided by the Department. Failure to provide any of the required Payment and Performance Bond's to the Department within the aforementioned time frames shall entitle the Department to annul the award, declare the Contractor in default, terminate the Contract, or decline to renew the Contract, all in the Department's sole discretion.

10) COSTS INCURRED IN PROPOSAL SUBMITTAL

This Request for Proposals does not commit the Department or any other public agency to pay any costs incurred by an individual firm, partnership, or corporation in the submission of a Proposal Package or to make necessary studies or designs for the preparation thereof, nor to procure or contract for any articles or services.

11) CANCELLATION PRIVILEGES

Pursuant to Chapter 339.135 6(a) During any fiscal year the Department shall not expend money, incur any liability, or enter into any contract which, by its terms, involves the expenditure of money in excess of the amounts budgeted as available for expenditure during such fiscal year. Any contract, verbal or written, made in violation of this subsection is null and void, and no money may be paid on such contract. A statement from the Department's Office of Comptroller declaring that funds are available shall be required prior to entering into any such contract or other binding commitment of funds. Nothing herein contained shall prevent the making of contracts for periods exceeding one year, but any contract so made shall be executory only for the value of the services to be rendered or agreed to be paid for in succeeding fiscal years. Accordingly, the State of Florida's performance and obligation to pay under this Contract is contingent upon an annual appropriation by the Legislature. If the Department deems at any time during the term of this agreement that monies lawfully applicable to this agreement shall not be available for the remainder of this term, or that for cause the agreement shall be cancelled, the Department shall notify the Contractor in writing, with instructions as to the effective date of cancellation, whereupon the obligations of the parties herein shall end and this agreement shall be considered cancelled by mutual consent. This Contract may be canceled by the Contractor only by mutual consent of both parties.

12) DRUG-FREE WORKPLACE PROGRAMS

Whenever two or more proposals which are equal with respect to price, quality and service are received for the contract of services, a Proposal received from a business that certifies that it has implemented a drug-free workplace program in accordance with Section 287.087, Florida Statutes, shall be given preference in the award process. Attach the Drug-Free Workplace Certification Form in the Price Proposal (if applicable).

13) ATTACHMENT TO PROPOSAL PACKAGE SUBMITTAL - CONFIDENTIAL MATERIAL

The Proposer must include any materials it asserts to be exempted from public disclosure under Chapter 119, Florida Statutes, in a separate bound document labeled "Name of Proposer, Attachment to Proposal Package, Technical or Price Proposal (as applicable), Contract # E___ - Confidential Material". The Proposer must identify the specific Statute that authorizes exemption from the Public Records Law. Any claim of confidentiality on materials the Proposer asserts to be exempt from public disclosure and placed elsewhere in the Proposal will be considered waived by the Proposer upon submission, effective after opening.

14) COPYRIGHTED MATERIAL

Copyrighted material will be accepted as part of a technical proposal only if accompanied by a waiver that will allow the Department to make paper and electronic copies necessary for the use of Department staff and agents. It is noted that copyrighted material is not exempt from the Public Records Law, Chapter 119, Florida Statutes. Therefore, such material will be subject to viewing by the public, but copies of the material will not be provided to the public.

15) PROPOSAL PACKAGE MODIFICATION, WITHDRAWAL AND RESUBMITTAL PRIOR TO DUE DATE

15.1 Modification, Withdrawal and Resubmittal

Proposers may modify submitted Proposal Packages at any time prior to the Proposal Package due date. Requests for modification of a submitted Proposal Package shall be in writing and shall be signed by an authorized signatory of the Proposer. Upon receipt and acceptance of such a request, the entire Proposal Package will be returned to the Proposer and not considered unless resubmitted by the due date and time. Proposers may also send a change in a sealed envelope to be opened at the same time as the applicable proposal. The Contract Number, Proposer's Name & Vendor Number, the phrase "Technical Proposal Modification" or "Price Proposal Modification" as appropriate, as well as the applicable opening date and time should appear on the envelope.

Requests for withdrawal of Proposal Packages after Proposal Package due date and time will be considered if received by the Department, in writing, prior to the Technical Proposal Package opening date and time. Resubmittal in this event will not be allowed.

16) PROPOSAL FORMAT INSTRUCTIONS

16.1 General Information

This section contains instructions that describe the required format for the Proposal Package. All submitted Proposal Packages shall contain two parts and be marked as follows:

PART I TECHNICAL PROPOSAL - CONTRACT NUMBER E-_____
(One Separately Sealed Package for Technicals)

PART II PRICE PROPOSAL - CONTRACT NUMBER E-_____
(One Separately Sealed Package for Prices)

SEPARATELY SEALED PACKAGES MAY BE MAILED TOGETHER IN ONE ENVELOPE OR BOX.

**16.2 Technical Proposal (Part I) (1 original + ____ copies)
(Do not include price information in Part I)**

The Proposer must submit one (1) original and (____) copies of the technical proposal which are to be divided into the sections described in 17.2 below. Since the Department will expect all technical proposals to be in this format, failure of the Proposer to follow this outline may result in the rejection of the Proposal Package. Understand that the submitted Technical Proposal will become a part of the Contract and the Contractor will be expected to adhere to commitments made in the Technical Proposal.

16.3 Price Proposal (Part II) (1 original + ____ copies)

The price proposal information is to be submitted as one (1) original and (____) copies in a separate sealed package marked "PRICE PROPOSAL – CONTRACT NUMBER E____". The Price Proposal information shall be submitted on the forms provided in the Proposal Package when issued.

16.4 Payment Schedule

The Contractor shall be paid monthly according to the schedule below. After the contract is awarded, these factors will be used to fill in actual monthly payment amounts into the Payment Schedule Chart in Attachment I of the Asset Maintenance Scope of Services. For all contract years of the original contract term, the monthly dollar amount will be the total Contract amount multiplied by the monthly factor listed below divided by number of years of original contract term. For each renewal year, the monthly dollar amount will be the total Renewal amount multiplied by the monthly factor listed below divided by number of years of renewal term.

Month	Contract Year 1	Middle Contract Years	Final Year of Original Term	Each Renewal Year
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1	0.100	0.084	0.090	0.084
2	0.100	0.084	0.090	0.084
3	0.075	0.084	0.090	0.084
4	0.075	0.084	0.090	0.084
5	0.075	0.083	0.090	0.083
6	0.075	0.083	0.090	0.083
7	0.070	0.083	0.090	0.083
8	0.070	0.083	0.090	0.083
9	0.070	0.083	0.090	0.083
10	0.070	0.083	0.090	0.083
11	0.070	0.083	0.090	0.083
12	0.070	0.083	0.090	0.083

16.5 Presenting the Proposal Packages

The Proposal Packages shall be limited to a page size of eight and one-half by eleven inches (8½" x 11"). Foldout pages may be used, where appropriate, but should not exceed five (5) percent of the total number of pages comprising the proposal. Type size shall not be less than 10 point font. The packages should be indexed and all pages sequentially numbered. Bindings and covers will be at the Proposer's discretion. The Technical Proposal shall not use words such as may, might, should, etc. Use only statements of what the Proposer will or will not accomplish.

Unnecessarily elaborate special brochures, art work, expensive paper and expensive visual and other presentation aids are neither necessary nor desired.

It is recognized that existing financial reports, documents, or brochures, such as those that delineate the Proposer's general capabilities and experience, may not comply with the prescribed format. It is not the intent to have these documents reformatted and they will be acceptable in their existing form.

16.6 Diversity Achievement

The Department encourages the recruitment and utilization of certified and non-certified minority businesses. The Department, its contractors, consultants, and suppliers should take all necessary and reasonable steps to ensure that minority businesses have the opportunity to compete for and perform contract work for the Department in a nondiscriminatory environment.

16.7 Affirmative Action

The State of Florida Department of Transportation, in accordance with the provisions of Title VI of the Civil Rights Act of 1964 and other nondiscrimination authorities, hereby notifies all proposers that it will affirmatively ensure that in any contract entered into pursuant to this advertisement, Disadvantaged Business Enterprises will be afforded full opportunity to submit proposals in response to this invitation. Further, the Florida Department of Transportation will not discriminate against proposers on the basis of race, color, sex, or national origin in consideration for an award. No company will be awarded a contract unless they have an approved DBE Affirmative Action Program Plan. Please review the "DBE Bid Package" and the

Maintenance Special Provisions for instructions for submission of a DBE Affirmative Action Plan.

16.8 Disadvantaged Business Enterprise (DBE) Utilization

The Department encourages DBE firms to compete for Department contracts, and also encourages non-DBE and other minority contractors to use DBE firms as sub-contractors. The successful contractor shall indicate their intention regarding DBE participation on the Anticipated DBE Participation Statement. Submission of this Statement is required at the Pre-Work Conference and annually, thereafter. The Anticipated DBE Participation Statement form will be supplied to the successful contractor by the District Contracts Office upon award.

To request certification or to locate DBEs, call the Department of Transportation's Equal Opportunity Office at (850) 414-4747, or access an application or listing of DBEs on the Internet at www.dot.state.fl.us/equalopportunityoffice.

17) EVALUATION OF PROPOSALS

17.1 Evaluation Process:

A Technical Evaluation Committee, hereinafter referred to as the "Committee", will be established to review and evaluate each Proposal Package submitted in response to this Proposal Solicitation. The Committee will consist of at least three persons, with no more than five persons with background, experience, and/or professional credentials in relative service areas.

The District Contracts Office will distribute to each member of the Committee a copy of each technical proposal. The committee members will independently evaluate the Proposals on the criteria established in the section below entitled "Criteria for Evaluation" in order to assure that Proposals are uniformly rated. The Committee will then assign points, utilizing the technical evaluation criteria identified herein and complete a technical summary. Proposing firms must receive an average technical proposal score of at least (70) percent of the maximum attainable points established for scoring the Technical Proposal to be considered responsive

The District Contracts Office and/or the Project Manager/Technical Evaluation Committee will review and evaluate the price packages and prepare a summary of its price evaluation. Points will be assigned based on price evaluation criteria identified herein.

During the process of evaluation, the District Contracts Office will conduct examinations of Proposals for responsiveness to requirements of the Proposal Solicitation. Those determined to be non-responsive will be automatically rejected.

17.2 Criteria for Evaluation

Proposals will be evaluated and graded in accordance with the criteria detailed below.

17.2.1 Technical Proposal (accounts for 60 to 70% of score) (established by the District to meet the specific needs of a particular contract)

Technical evaluation is the process of reviewing the Proposer's Executive Summary, Administration Plan, Management and Technical Plan, Operation Plan, Plan for Compliance

with Standards and Plan for understanding of project, qualifications, approach and capabilities, to assure a quality product.

$$\text{Technical Score} = \text{Average Tech Score} \times \text{_____\%}$$

The following maximum attainable point values are established for scoring the technical proposal:

ITEM	VALUE
1. Executive Summary	00
2. Administration Plan	20
a. Identification of Key Personnel	
b. Contractor Experience	
c. DBE/Respect/Agency Participation	
d. Proposed Facilities Capabilities	
3. Management and Technical Plan	30
a. Plan to Achieve and Maintain MRP	
b. Permit Processing Plan	
c. Bridge Inspection	
d. Customer Service Resolution Plan	
4. Operation Plan	30
a. Incident Response Operations	
b. Routine/Periodic Maintenance Operations	
c. Bridge Maintenance Operations	
d. Rest Area Maintenance Operations	
5. Plan for Compliance with Standards	20
a. Compliance with current Department Procedures, FL Statues and FL Administrative Code	
b. Compliance with current Department Manuals, Guides and Handbooks	
Max Technical Raw Score =	100

1. EXECUTIVE SUMMARY

The Proposer shall provide an Executive Summary to be written in non technical language to summarize the Proposer's overall capabilities and approaches for accomplishing the services specified herein. The Proposer is encouraged to limit the Executive Summary to no more than ten (10) pages.

2. ADMINISTRATION PLAN

The Proposer shall provide an administration plan which identifies key personnel and describes the functions and responsibilities of each key person relative to the task to be performed. In addition, the administration plan shall describe the contractor's experience, and may include any plans for DBE participation. The Proposer must include a description of the organizational structure, administrative methodology used to provide services reliability, and

means of coordination and communication. The Proposer must provide a description and location of the Proposer's facilities as they currently exist, proposed facilities, and the manner in which these facilities will be employed for the purpose of this work.

3. MANAGEMENT and TECHNICAL PLAN

The Proposer shall provide a management and technical plan which explains the management and technical approach and plans to achieve and maintain the required Maintenance Rating (MRP), permit processing plan, bridge inspection plan and customer service resolution plan. The Proposer should explain the approach, capabilities, and means to be used in accomplishing the tasks in the Asset Maintenance Scope of Services, and where significant development difficulties may be anticipated and resolved. Any specific techniques to be used should also be addressed.

4. OPERATIONS PLAN

The Proposer must provide an operations plan which explains the approach and methods to be used in managing and conducting incident response, routine & periodic*-maintenance, bridge maintenance and rest area maintenance.

5. PLAN FOR COMPLIANCE WITH STANDARDS

The Proposer must provide a plan for ensuring compliance with current Department Procedures, Department and Federal Manuals, Guides and Handbooks, Florida Statutes and Florida Administrative Code Rules and other requirements as referenced in the Scope of Services. The Proposer must describe the approach and methods to be used to monitor, report and ensure compliance with the Scope of Services.

17.2.2 Price Proposal (accounts for 30to 40% of score)

Price evaluation is the process of examining a prospective price without evaluation of the separate cost elements and proposed profit of the potential provider. Price analysis is conducted through the comparison of price quotations submitted.

The criteria for price evaluation shall be based upon the following formula:

$$\text{Price Score} = 100 \times (\text{Lowest Bid} / \text{Proposer's Price}) \times \text{___ (established Price Proposal) \%}$$

17.2.3 Total Proposal Score

Assuming all other criteria are met, the Contract will be awarded to the Proposer with the highest Total Proposal Score.

$$\text{Total Proposal Score} = \text{Technical Score} + \text{Price Score}$$

18) AWARD OF THE CONTRACT/NOTICE TO PROCEED

The Contractor will be authorized to begin when they receive the following documents indicating the encumbrance of funds and award of the contract:

- a) An executed contract

And

- b) A written Notice to Proceed, issued by the Project Manager.

**STATE OF FLORIDA
DEPARTMENT OF TRANSPORTATION
ASSET MAINTENANCE BID PROPOSAL REQUIREMENTS**

CONTRACT NO.: _____ **FPID:** _____

PROJECT LOCATION/DESCRIPTION: _____

Item Description	Unit	Quantity	Price
Asset Maintenance	LS	1	
Traffic Operations WorkOrder* (No bid item)	LS	1	
Partnering * (No bid item)	LS	1	

Dispute Review Board (DRB) * (No bid item)	Each Day	-	

TOTAL LUMP SUM PRICE WRITTEN OUT:

\$ _____

ASSET MAINTENANCE CONTRACTOR

COMPANY NAME: _____

ADDRESS: _____

ASSET MAINTENANCE CONTRACTOR

SIGNATURE: _____

PRINTED NAME: _____

TITLE: _____

*The Department has established a traffic operations work order fund, Partnering and DRB items in the amount of \$ _____. These funds are to be utilized in the event Partnering and DRB items are used and approved work orders are issued against the Asset Maintenance Agreement. If the Partnering, DRB and no work orders are executed, the funds will be reverted to the Department and are not part of the Total Lump Sum Bid Price.

**SCOPE OF SERVICES
HIGHWAY ASSET MAINTENANCE CONTRACT**

(REV. 10-13-2006)

CONTRACT ADMINISTRATION

Contract Number: NEW-ALL OP

Financial Project Number (s): 10-13-2009

OBJECTIVE

This performance-based contract requires the management and performance of the maintenance of all components of the transportation facility as identified herein. All maintenance activities the Department's Maintenance Division formerly performed within the limits of this contract are now to be performed by the Contractor, unless otherwise exempted in this contract. Rather than the Department directing specific work as in most traditional maintenance contracts, this performance-based contract requires the Contractor to continually produce a quality product. The Department will continually evaluate the Contractor's quality of work performed and if applicable rules and procedures were followed to achieve results. The Department is entrusting the Contractor to care for and maintain select segments of Florida's State Roads and fully expects the Contractor to take pride in performing a high level of maintenance. The continual quality of the maintenance of the roadways will be a direct reflection, under public scrutiny, of the quality and integrity of the Contractor. The roadways and facilities included in the scope of this contract are as follows:

Example Scope including every option

GENERAL REQUIREMENTS

1. Current Standards and Subsequent Updates

Perform all work to current Department Standards and Specifications throughout the contract duration, as may be updated throughout the life of the contract. Manage and perform the maintenance of all assets within the project limits as identified in this scope, perform work that is consistent with the Department's maintenance practices, and produce end results in accordance with Contract Documents in effect at the time of the performance of any work. Contract Documents include *Florida Statutes, Florida Administrative Code, Design Standards, Maintenance Activity Standards*, Rules, Procedures, Handbooks, Guides, Manuals, and applicable *Department Specifications*. Obtain the latest *Department Specifications*, including the *Standard Specifications for Road and Bridge Construction, Workbook of Implemented Modifications to the Standard Specifications*, and *Mandatory Revisions to the Workbook*, from the State Specifications Office Website on the Internet (<http://www.dot.state.fl.us/specificationoffice/>). Obtain the latest *Standard Maintenance Special Provisions* from the Maintenance Office Website on the Internet <http://www.dot.state.fl.us/statemaintenanceoffice/>.

Attachment D

2. Contract Length

Contract duration is ____ years with a renewal option for one or more additional terms. The combined length of all renewal terms is not to exceed the length of the original term of the contract. Renewals will be made at the sole discretion and option of the Department and must be agreed upon in writing by both parties. If the Department elects to renew, the Department will negotiate with the Contractor an adjustment factor to be applied to the original annual contract amount. The adjustment factor may be positive, negative, or zero. If negotiations do not lead to a mutually agreed upon adjustment factor, the contract shall not be renewed. The renewed contract amount will be calculated by applying the adjustment factor to the original contract amount, then adjusting for supplemental agreements as appropriate.

Renewal is contingent upon the availability of funds, satisfactory performance of the Contractor, and other factors as determined by the Department.

3. Invoicing and Compensation

This is a lump sum contract. Invoice the Department monthly according to the monthly amounts shown in payout schedule. Breakdown the monthly invoice by maintenance area for all work units completed for each Maintenance Management System (MMS) activity number.

4. Organizational Structure

Upon contract execution, provide a detailed organizational structure. Clearly define the responsibilities of each position identified in the organization structure. Provide qualifications of all personnel. Throughout the contract duration, submit all changes to organizational structure or position responsibility to the Department.

Decisions regularly require engineering judgment that may affect public health and safety. These decisions require the services of a Professional Engineer registered in the State of Florida. Identify at least one (1) individual licensed as a Professional Engineer in the State of Florida to perform these services. Identify a person in responsible charge of the contract who has the ability to instill public confidence and can responsibly act as a representative of the State of Florida Department of Transportation.

5. Performance Expectations and Evaluation

This contract is a performance-based contract. Maintain the road system uniformly and consistently throughout the contract period by meeting the performance specifications/measures established in this scope. The Department will evaluate Contractor performance in two ways: 1) by comparing actual work performance to the performance criteria established within this scope, and 2) by semiannually grading the Contractor according to the *Asset Maintenance Contracts Procedure*. Unsatisfactory performance of work or failure to perform in accordance with the Contractor's technical proposal or other contract documents will affect the Contractor's semiannual grade and may further result in contract default.

6. Partnering

For this Contract, a non-bid pay item has been established for Partnering in the amount of \$0.00. The objective of partnering is to establish a partnership charter and action plan between the Contractor, the Department and other parties associated with this Contract.

7. Contractor Responsibilities

Review and confirm for validity the data contained on the project CD, which is provided by the Department in conjunction with the Request for Proposal (RFP). Use the supplied project CD to assist in developing a complete understanding of all quantities and workloads pertaining to this scope of work.

Continually monitor all Department policies, procedures, specifications, and other Contract Documents for changes and updates. Be prepared to comply with any revisions.

Manage the maintenance of all assets identified in this scope. Tasks include work needs assessment; resource management; work activity planning and execution; and quality control performance to ensure work complies with contractual requirements. Develop an annual work plan to ensure the desired maintenance is performed.

Perform Routine and Periodic maintenance activities at a frequency that ensures uniform and consistent compliance with the Maintenance Rating Program (MRP) criteria, the required maintenance rating level, and any other established requirements of the Department. Research maintenance activity numbers, activity descriptions, and units of measure in the Department's *Maintenance Cost Handbook*.

Take proper health and safety measures to ensure safety for the traveling public, Department employees, Contractor employees, and Subcontractor employees.

Manage and coordinate existing Department contracts within the limits of this contract until expiration of the Department contracts (Attachment I). Existing Department contracts will continue until money exhaustion or time expiration on the contract, whichever comes first. Document any instances of poor performance by the existing Department Contractor. If the Department prematurely terminates any existing contract, the Department will compensate the Asset Maintenance Contractor, in accordance with *Asset Maintenance Contracts* Procedure, for assuming the unanticipated workload remaining on the terminated contract.

Comply with current lane closure restrictions, requirements, and individual lane closure analysis results. In some locations this may require nighttime work. Lane closure restrictions are subject to change due to updated traffic counts or various other events. Work with Department staff to coordinate lane closures during special events.

Develop and implement a Customer Service Resolution Plan. Maintain a customer service log detailing complaints and requests and the resolution of the items contained in the log. Include Customer name and contact information in the log.

Before performing any non-standard repairs or implementing any innovative ideas, submit the non-standard repair or innovative idea to the Department for approval.

Purchase (via monthly invoice deduction) all needed sign panels from the State Sign Shop in Lake City using the statewide process for requesting and receiving finished sign panels; or, as an alternative, obtain sign panels from a source of the Contractor's choosing. Before installation, ensure sign panels meet minimum design requirements. Maintain a record of all Department provided sign panels.

Monitor and report to the Department all DBE utilization properly detailed as required in Specifications.

Participate in the Adopt-A-Highway program as required by Chapter 403.4131 (3), *Florida Statutes*.

Consider participation in the Department's Youth Work Experience Program, as provided by Chapter 334.351, *Florida Statutes*.

Provide any lists, summaries, logs, reports, or other documents to the Department for review as requested.

Pay all fines, fees, and penalties levied to the Department by any Governmental Agency resulting from negligent maintenance.

Pay all tolls required for usage of roads and bridges.

8. Department Responsibilities

The Department will provide all potential Contractors (bidders) with a project CD containing a variety of data and information about the roadways within the limits of this contract. Although the information on the CD is not complete, it will be useful in determining the extent of expected maintenance activities. The Department will place the following items on the project CD:

- (a) Historical Contract Reports
- (b) Historical MRP Reports
- (c) Summary Spreadsheet for MRP Workloads
- (d) RCI Converted Inventory
- (e) General Bridge Information
- (f) In-House-Crew Unit Cost Reports

Upon request, the Department will provide finished sign panels to the Contractor for sign maintenance and repair on the State Highway System within the project limits. The Department will verify that the Contractor has made a deduction from the Contractor's monthly invoice for the cost of each sign panel obtained from the Lake City Sign Shop.

Annually, the Department will provide additional compensation to the Contractor via Supplemental Agreement or Unilateral Payment if the Contractor experiences a combined substantial economic impact during the previous year due to compliance with any of the following four (4) possible occurrences.

- (a) A change to Statewide maintenance programs or practices
- (b) A change to any of the policies, procedures, standards, manuals, handbooks, guides, specs, or any other State, Local, or Federal documents used to monitor the performance of this contract.
- (c) Increased maintenance due to the construction of roadways, structures, and facilities that were not included in the Department's Work Program at the time of the Contractor's proposal due date or renewal date.
- (d) Increased maintenance due to the transfer of ownership to the Department of non-state roadways, structures, and facilities within the contract limits.

A substantial economic impact is defined as documented financial burden on the Contractor exceeding five percent (5%) of the annual contract amount. If additional compensation is warranted, the Department will compensate only for the value of economic impact beyond the five percent (5%) threshold. The five percent (5%) is not cumulative year to year; it is reset each

anniversary of contract start date. The Contractor will not receive any additional compensation for maintenance of projects scheduled in the Department's Work Program at the time of the proposal due date or renewal dates.

Similarly, the Department will reduce payment to the Contractor if the Contractor experiences a combined substantial economic savings during the previous year due to occurrence of any of the following four (4) possibilities:

- (a) A change to Statewide maintenance programs or practices
- (b) A change to any of the policies, procedures, standards, manuals, handbooks, guides, specs, or any other State, Local, or Federal documents used to monitor the performance of this contract.
- (c) Reduced maintenance due to the elimination or planned destruction of roadways, structures, and facilities.
- (d) Reduced maintenance due to the transfer of ownership of Department-owned roadways, structures, and facilities to other non-Department entities.

A substantial economic savings is defined as a cost savings exceeding five percent (5%) of the annual contract amount. If cost savings are identified, payment to the Contractor will be reduced only for savings greater than the five percent (5%) threshold. The five percent (5%) is not cumulative year to year; it is reset each anniversary of contract start date.

EMERGENCY MANAGEMENT

1. General

The Department categorizes Emergency Management into two classifications: "Governor Declared Emergencies" and "Other Emergencies". For Governor Declared Emergencies, perform pre-event preparation and provide initial response post-event to protect the traveling public from grievous hazards created by the event. For Other Emergencies, perform all aspects of responding to the incident/event, including pre-event preparation, post-event initial response, and post-event cleanup and repair. For both classifications of Emergency Management, perform the following six (6) activities before every foreseeable Emergency Management incident/event:

- (a) Contact vendors and subcontractors to verify quantity, availability, and priority of appropriate equipment and personnel (e.g. MOT devices, variable message boards, chainsaws, sand spreaders, etc.). Develop a complete up-to-date list of equipment resources and staging locations and of all stockpiled materials and their locations.
- (b) In case of possible area evacuations, prepare for implementation of one-way evacuation plans including the pre-staging of necessary one-way evacuation resources.
- (c) If directed by the Department implement one-way evacuation and remove one-way evacuation devices when complete.
- (d) Secure and lockdown bascule structures covered under this contract.
- (e) In preparation for high winds, rains, and other impending elements, secure all existing worksites associated with this contract.
- (f) Lower all high mast lights within the projected path of a hurricane where wind speeds are projected to be category two or higher at location of high mast lights, or as otherwise directed by the Department. Lower lights to within ten (10) feet of the ground. If the lowered position of lights places them at risk of adjacent tree damage, place lights as low

as possible while avoiding tree damage risk. Do not lower high mast lights on routes that are expected to be used for one-way evacuation.

If high mast lighting has been lowered, repaired, or raised due to preparation/reaction to storm events, submit a separate informational invoice detailing the cost of such lowering, repairing, and raising of the high mast lights. Show the high mast lighting costs by maintenance area, by Financial Project Number, and by activity (lower, repair, raise). Retain all documentation required for the Department to apply for Federal Reimbursement for this activity.

For any one-way evacuation activities performed to preparation/reaction to storm events, submit a separate informational invoice detailing the cost of such one-way evacuation activities. Show the one-way evacuation costs by maintenance area, by Financial Project Number, and by activity (lower, repair, raise). Retain all documentation required for the Department to apply for Federal Reimbursement for one-way evacuation activities.

For any activities associated with securing bascule bridges in preparation/reaction to storm events, submit a separate informational invoice detailing the cost of such activities. Show the costs to secure bascule bridges by maintenance area, by Financial Project Number, and by activity (lower, repair, raise). Retain all documentation required for the Department to apply for Federal Reimbursement for these activities.

Unless otherwise noted in this contract, the Department will not provide additional compensation to the Contractor through this contract for any Emergency Management activities, including the six activities described above.

For all Emergency Management activities, the Department reserves the right to take control of the incident and/or perform recovery work with its own or other contracted forces when the Department determines it is in the Department's best interest to do so.

2. Emergency Management Plan

Know the applicable District Comprehensive Emergency Management Plan as well as the FHWA and FEMA guidelines for federal reimbursement. Ensure compliance with all State and Federal Emergency Management Requirements. Administer all response and recovery efforts in accordance with these documents. Develop an Emergency Management Plan that sufficiently replicates the intent of the District's Comprehensive Emergency Management Plan and incorporate this plan with the Technical Proposal. Include details in the Emergency Management Plan including, but not limited to:

- procedures for incident/event management
- agency & public notifications
- assurance of motorist safety
- handling of hazardous waste
- coordination with Law Enforcement and other appropriate agencies
- traffic control
- coordination with the Department and other agencies to establish or implement pre-established detour routes
- maintenance of detour routes
- making emergency repairs
- debris removal

- evacuation/ one-way evacuation response
- submission of incident/event reports
- plan for compliance with the Open Roads Policy
- detailed organizational structure with the functions, qualifications, experience level, and contact information of staff assigned to respond to incidents/events

Comply with all Department plans and with all Local, State, and Federal laws and regulations concerning evacuation routes and the handling and disposal of hazardous waste. Update the Emergency Management Plan in April of each year by engaging in an iterative process of discussion between the Department and the Contractor whereby lessons learned from past experience can be implemented for future use. Prior to the occurrence of any incidents/events, ensure an approved Emergency Management Plan is in effect and be prepared to act upon that plan.

3. Specific Contractor Responsibilities for Governor Declared Emergencies

Governor Declared Emergencies are incidents/events that prompt the Governor of Florida to declare a State of Emergency in response to the incident/event. Governor Declared Emergencies will most commonly be major hurricanes and other natural disasters, but can include smaller natural disasters/events/storms (Acts of God), marine collisions with bridges and/or bridge fender systems, and incidents/events resulting from human interactions.

If directed by the Department in writing, perform the following three (3) Pre-Event activities and separately track and invoice the Department for associated costs. The Department will compensate the Contractor for their direct costs of performing these three (3) Pre-Event activities as described in the Department's written directions to the Contractor:

- (a) Supplement Road Ranger Service Patrols and provide fuel assistance to stranded motorists.
- (b) Provide additional security at Rest Areas and Welcome Center facilities.
- (c) Provide additional portable rest room facilities at Rest Areas, Weigh Stations, Welcome Centers, and other locations as directed by the Department.

Perform the following six (6) Post-Event activities. The Department will not provide additional compensation to the Contractor for the performance of these six (6) Post-Event activities:

- (a) Search all roadways covered by this contract for grievous hazards (roadway washouts/cave-ins, downed electrical lines, non-traversable bridges, etc.). This may include clearing some debris from the roadway in order to access these hazardous areas. Minimal clearing required to access hazardous areas will not be considered first-push roadway clearing.
- (b) Immediately respond to perform traffic control, set up safety devices, and layout established or improvised detour routes in order to protect the traveling public from grievous hazards created by the incident/event. When detour routes are required due to an incident/event occurring on a roadway covered by this contract, manage and maintain the entire detour route within the State of Florida, even if the route extends onto roadways not covered by this contract (state or non-state). For portions of a detour route extending outside Florida, coordinate detour setup and maintenance with the appropriate State.
- (c) Notify the Department's designated contact person immediately upon occurrence of all major incidents/events and immediately upon road closure for all roadway closures exceeding one (1) hour. Notify the Department again upon roadway reopening.

- (d) Inspect, perform any minor repairs, and raise all high mast lighting back to their original position as directed by the Department. "Minor repairs" is defined as repairs not eligible for Federal reimbursement.
- (e) Inspect, unlock, and perform any minor repairs to all bascule bridges. "Minor repairs" is defined as repairs not eligible for Federal reimbursement.
- (f) Assist the Department in performing damage assessment reviews.

Do not perform first-push activities, debris removal, cleanup, or Federally reimbursable repair work necessitated by a Governor Declared Emergency incident/event.

4. Specific Contractor Responsibilities for Other Emergencies

Other Emergencies are incidents/events that do not prompt the Governor of Florida to declare a State of Emergency in response to the incident/event. Other Emergencies will most commonly be traffic crashes, guardrail hits, severe potholes, debris within travel lanes, attenuator hits, roadway shoulder wash-outs, roadway cave-ins, and downed light poles but can include natural disasters/events/storms (Acts of God), marine collisions with bridges and/or bridge fender systems, and incidents/events resulting from human interactions.

Respond and deploy resources according to the goals established in the Open Roads Policy. Arrive on-site, prepared to take necessary action with necessary manpower and emergency response equipment. Working hours referenced under the Department responsibilities in the Open Roads Policy are defined as Monday through Friday 7:00 am to 5:30 pm. Be available to relieve Law Enforcement personnel of traffic control functions within fifteen (15) minutes of arriving onsite.

Manage all aspects of traffic control related to an incident/event, including coordination with Governmental agencies when incidents/events spill over onto roadways not covered by this contract. When detour routes are required due to an incident/event occurring on a roadway covered by this contract, manage and maintain the entire detour route, even if the route extends onto roadways not covered by this contract (state or non-state). Notify the Department's designated contact person immediately upon occurrence of all major incidents/events and immediately upon road closure for all roadway closures exceeding one (1) hour. Notify the Department again upon roadway reopening.

When an incident/event causes damage to any Department facilities, the Department authorizes the Contractor to pursue claims against any responsible party for reimbursement of expenses incurred as per Department procedure. The sequence of reimbursement for damages shall be as follows:

- (a) Pursue claims against the individual or entity responsible for causing damages, or their insurers.
- (b) If Department insurance coverage is available, request reimbursement through the District Property Insurance Coordinator. The Department has insurance coverage on some facilities such as Rest Areas, Weigh Stations and bridges. To the extent of coverage availability, the Department may transfer the qualifying reimbursement amounts to the Contractor to offset the Contractor's actual costs to restore the system.
- (c) Use Contractor insurance coverage.

Although the Contractor is responsible for repairing damage resulting from an act that is officially declared by the State of Florida as an "act of terrorism", the Department will compensate the Contractor via Supplemental Agreement or Unilateral Payment for "act of

terrorism” damage repair costs.

CONTRACT DOCUMENTS

Obtain the complete, up-to-date list of Contract Documents (Specifications, Procedures, Manual, Guides and Handbooks) incorporated as a part of this contract from the Maintenance Office Website on the Internet (<http://www.dot.state.fl.us/statemaintenanceoffice/AMContractDocuments.shtm>) All of the Contract Documents listed at this website are incorporated by reference as a part of this contract.

ASSET MAINTENANCE PERFORMANCE MEASURES		
PERFORMANCE CRITERIA NOTES:		
1) For ALL performance measures identified in all charts found in this scope, the “Time Allowed/Criteria” is PER APPLICABLE PROCEDURE . If the applicable procedure is non-specific for time allowed or criteria, then use the “Time Allowed/Criteria” given in the applicable chart.		
2) For all times allowed in all charts found in this scope, the District Maintenance Engineer/Administrator may grant a time extension for unusual circumstances if the extension is requested during the original time period allowed.		
3) All deductions withheld from the Contractor will occur through adjustments to the next monthly invoice amount.		
GUARDRAIL		
Deficiency Identification	Time Allowed/Criteria	Deduction
a. Failure to perform timely inspections	Per Procedure 850-050-003	\$500 per day per delinquent inspection
b. Failure to timely submit Inspection Sheets/Reports	Due within 15 days after completion of inspection	\$100 per day per delinquent report
c. Failure to make repairs identified in Inspection Reports	Within 30 days of identification	\$500 per day per guardrail
d. Failure to make temporary safety repairs resulting from incidents	Must secure with proper MOT before leaving the site	\$1,000 per day per guardrail
e. Failure to make permanent repairs resulting from incidents	Repair within 10 calendar days of Incident	\$1,000 per day per guardrail
ATTENUATORS		
Deficiency Identification	Time Allowed/Criteria	Deduction
a. Failure to perform timely inspections	Per Procedure 850-055-003	\$500 per day per delinquent inspection
b. Failure to timely submit Inspection Sheets/Reports	Per Procedure 850-055-003	\$100 per day per delinquent report
c. Failure to make repairs	Within 30 days of	\$500 per day per attenuator

identified in Inspection Reports	identification	
d. Failure to make temporary safety repairs resulting from incidents	Must secure with proper MOT before leaving the site	\$1,000 per day per attenuator
e. Failure to make permanent repairs resulting from incidents	Repair within 5 calendar days of Incident	\$1,000 per day per attenuator
SIGNS		
Deficiency Identification	Time Allowed/Criteria	Deduction
a. Failure to perform timely Inspections	Per Procedure 850-055-025	\$500 per day per delinquent inspection
b. Failure to timely submit Inspection Sheets/Reports	Due within 15 days after completion of inspection	\$100 per day per delinquent report
c. Failure to make repairs identified in Inspection Reports	Within 30 days of identification	\$500 per day per sign assembly
d. Failure to replace missing signs and signs downed by incidents	Permanent regulatory and warning Signs installed within 2 hours. Temporary signs installed within 7 days. Other permanent signs installed within 90 days.	Permanent regulatory and warning signs \$2,000 per day per sign assembly. Temporary signs \$100 per day per sign assembly. Permanent signs \$500 per day per sign assembly
CLEAR ZONE OBSTRUCTIONS		
Deficiency Identification	Time Allowed/Criteria	Deduction
a. Failure to temporarily secure reported or discovered clear zone obstructions	Must respond within 1 hour & secure with proper MOT before leaving the site	Response - \$100 per hour per location Secure - \$1,000 per day per location
b. Failure to remove or correct clear zone obstructions	Within 7 days	\$1,000 per day per location
BARRIER WALL		
Deficiency Identification	Time Allowed/Criteria	Deduction
a. Failure to replace or repair damaged barrier wall	Secure with proper MOT before leaving the site. Permanent Repairs within 7 days of notification.	Secure MOT \$1,000 per day per location Permanent repairs \$1,000 per day per location
CUSTOMER SERVICE RESOLUTION		
Deficiency Identification	Time Allowed/Criteria	Deduction
a. Failure to contact customer	Contact customer within 24 hours	\$500 per day per customer
b. Failure to resolve customer	Resolve within 2 weeks	\$500 per day per customer

service request to the satisfaction of the Department	of customer contact	request
EMERGENCY RESPONSE		
Deficiency Identification	Time Allowed/Criteria	Deduction
a. Failure to properly respond to incidents/events as required in Emergency Management section of this scope or according to the goals established in the Open Road Policy.	Per Emergency Management section requirements established in this scope and in the Open Road Policy.	\$1,000 per hour, prorated, per incident/event
EMERGENCY DEBRIS REMOVAL (INCLUDING DEAD ANIMALS)		
Deficiency Identification	Time Allowed/Criteria	Deduction
a. Failure to promptly and properly remove and dispose of emergency debris	Per Emergency Response for Debris Removal Specifications (SMSP)	\$500 per day per incident
GRAFFITI		
Deficiency Identification	Time Allowed/Criteria	Deduction
a. Failure to promptly remove or cover graffiti	Remove or cover graffiti within 36 hours of discovery	\$1,000 per day per roadway mile
SUBMISSION OF DEPARTMENT REQUESTED DOCUMENTS		
Deficiency Identification	Time Allowed/Criteria	Deduction
a. Upon Department request, failure to submit any documents the Contractor is required to maintain	Submit document by the end of the business day following the day of the Department's request	\$100 prorated per business day per requested document
DEPARTMENT POLICIES AND PROCEDURES		
Deficiency Identification	Deduction	
a. Violation of any Department procedures, policies, handbooks, or any other contract document	\$500 per occurrence of violation	
CONTRACTOR'S TECHNICAL PROPOSAL		
Deficiency Identification	Deduction	
a. Deviating from any claims, promises, statements, guarantees, or other assurances made within the Contractor's original Technical Proposal	\$500 per occurrence of violation	

- Interchanges, crossroads and ramps to the point at which the right-of-way terminates or as shown by the Department through supplemental description. Includes utility locates.
- Contract includes Overpasses and approach roadways over the transportation facilities within limits of right-of-way.

- Contract includes Waterways, canals, ditches, outfalls, and intermittent waterway canals to the right-of-way line including compliance with any permit requirements.
- Contract includes Stormwater management and mitigation areas associated with the highway corridor including compliance with all permit requirements.

MAINTENANCE RATING PROGRAM PERFORMED BY THE CONTRACTOR

Achieve and maintain a Maintenance Rating Program (MRP) rating as required in Department procedures for all elements and characteristics. Use the criteria established in Department procedures to constantly evaluate the level of maintenance attained to ensure a uniform and consistent level of maintenance at all times.

Perform a complete MRP rating three (3) times per year using the criteria outlined in the MRP Handbook. The Department will randomly generate locations to be rated each period. Upon receipt of the samples from the Department, complete the survey of those samples and submit all MRP coding sheets to the Department five (5) days before the last working day of the scheduled period. Certify the accuracy of each MRP rating to the Department. The Department will calculate the MRP score for the Contractor. If the MRP score for any period is disputed, the Department may perform an independent MRP rating as a quality control check of the Contractor using the same sample points to ensure that the Contractor is consistently maintaining the state highway system. If there is a difference between the Contractor's MRP score and the Department's MRP score, the Department will add a margin of error of two (2) points to the Department's MRP score to create an adjusted MRP score. The Department will add the two (2) point margin of error to the characteristic, element, and/or overall score, whichever is appropriate. The Department will use the adjusted MRP score to calculate retainage or deductions.

MRP SCORES	
MRP NOTES: The Department will hold the retainage withheld from MRP Periods 1 & 2 until the Department calculates the Final Annual Rating. If the Final Annual calculated deduction is less than the total accumulated retainage for the fiscal year, the balance of the retainage will be paid to the Contractor. If the Final Annual calculated deduction exceeds the total accumulated retainage for the fiscal year, the balance will be deducted from the Contractor's payment. All deductions withheld from the Contractor and all retainage refunds to the Contractor will occur through adjustments to the next appropriate monthly invoice amount.	
Deficiency Identification	Deduction/Retainage
a. Failure to meet overall MRP score requirements (Periods 1 & 2)	Retain one half percent (.5%) of one-third of the annual contract amount for each MRP point below procedural requirements for overall MRP score
b. Substandard MRP for individual elements (Periods 1 & 2)	Retain one quarter percent (.25%) of one-third of the annual contract amount for each MRP point below procedural requirements for each element rating
c. Substandard MRP for individual characteristics (Periods 1 & 2)	Retain one eighth percent (.125%) of one-third of the annual contract amount for each MRP point below procedural requirements for each characteristic rating
d. Failure to meet overall MRP score requirements	Deduct one half percent (.5%) of the annual contract amount for each MRP point below procedural requirements for overall

(Final Annual Rating)	MRP score
e. Substandard MRP for individual elements (Final Annual Rating)	Deduct one quarter percent (.25%) of the annual contract amount for each MRP point below procedural requirements for each element rating
f. Substandard MRP for individual characteristics (Final Annual Rating)	Deduct one eighth percent (.125%) of the annual contract amount for each MRP point below procedural requirements for each characteristic rating

HIGHWAY LIGHTING		
Deficiency Identification	Time Allowed/Criteria	Deduction
a. Unsatisfactory Department lighting outage survey	Per Procedure 850-000-015 and Per Performance Lighting Specifications (Standard Maintenance Special Provisions)	\$5,000 per survey identifying excessive outage
b. Failure to make temporary safety repairs resulting from Incidents	Must secure public safety from hazards and establish proper MOT before leaving the site	\$1,000 per day per light pole
c. Failure to replace light poles damaged by Incidents	Per Performance Lighting Specifications (Standard Maintenance Special Provisions)	\$500 per day per light pole

PERMITS COORDINATION, PROCESSING, ADMINISTRATION, & INSPECTION

Perform all permitting activities within or associated with Department Right of Way. Meet all the requirements in *Florida Administrative Code (F.A.C.)*.

Coordinate, process, administer, and inspect all permits on the roadways covered by this contract. Enter all permit related data into the Permits Information Tracking System. Collect all permit fees and turn them over to the Department's representative. The Department will retain all approvals and signatures as required by the *F.A.C.* Process all permits expeditiously as required by *Florida Statutes* and *F.A.C.* Process "General Use" Permits in accordance with District requirements. Process all permits to completion, which is either approval or denial. The scope of this contract does not include permitting of outdoor advertising billboards or permitting of overweight/overdimension vehicles.

In the Technical Proposal, include a Permit Processing Plan which details the permitting process, adherence to permitting requirements, and processes to ensure customer satisfaction.

Permits Performance Criteria

PERMITS		
Deficiency Identification	Time Allowed/Criteria	Deduction
a. Failure to timely processed permits via permits Information Tracking System	Per <i>Florida Statutes</i> and <i>F.A.C.</i>	\$500 per day per permit
b. Failure to inspect permitted activity as required	Per <i>Florida Statutes, F.A.C.</i> , procedures, permit requirements, etc.	\$500 per day per permit

CALL BOXES		
Deficiency Identification	Time Allowed/Criteria	Deduction
a. Failure to perform timely inspections and testing	Per Procedure 850-055-035	\$100 per day per delinquent inspection
b. Failure to timely repair deficiencies identified by inspection, testing, or highway patrol	Per Procedure 850-055-035	\$500 per day per call box
c. Failure to replace non-repairable call boxes identified by inspection, testing, or highway patrol	Within 3 days of identification	\$500 per day per call box
d. Failure to repair non-critical deficiencies identified by inspection, testing, or highway patrol	Per Procedure 850-055-035	\$100 per day per call box
e. Failure to replace call boxes damaged by Incidents	Per Procedure 850-055-035	\$500 per day per call box

ROADWAY CHARACTERISTICS INVENTORY (RCI)

Update the Maintenance Roadway Characteristics Inventory (RCI) when changes occur to any roadway characteristic within the project limits. Verify and update each RCI characteristic periodically as directed by the Maintenance Roadway Characteristics Inventory Manual. Maintain updated coding sheets in Contractor files.

RCI Performance Criteria

ROADWAY CHARACTERISTICS INVENTORY		
Deficiency Identification	Time Allowed/Criteria	Deduction
a. Failure to timely and accurately update RCI	Per RCI Manual	\$100 per day per delinquent or incorrect RCI data entry

REST AREAS, WELCOME CENTERS, and WAYSIDE PARKS

Manage, operate, and perform maintenance on all Rest Areas, Welcome Centers, and Wayside Parks within the contract limits in accordance with the requirements in the *Standard Scope of Services for Rest Area Maintenance (RA Scope)* found on the Office of Maintenance website. Maintain all components of the Rest Area and Welcome Center facilities including but not limited to buildings, roadways, parking lots, grounds, picnic areas, potable water facilities, stormwater management facilities, wastewater treatment facilities, and emergency generators. All items listed in the **RA Scope** as “Department Responsibility” will be the Contractor’s responsibility, up to but not including one hundred percent (100%) refurbishing or replacement of the entire facility. Keep all Rest Area and Welcome Center permits up-to-date, operate and maintain all facilities in accordance with those permits, and manage all environmental issues involving the Rest Areas and Welcome Centers. Perform frequent Rest Area and Welcome Center inspections as required in the *RA Scope* and record the inspection results using the *Quality Assessment Review - Rest Area Inspection Checklist (RA Checklist)* form. Calculate Rest Area and Welcome Center scores from *RA Checklists* and maintain copies of checklists and scores in Contractor files. Achieve and maintain at least the minimum Rest Area and Welcome Center scores required by Department Procedure.

For each Rest Area and Welcome Center inspection, invite the Department to perform a simultaneous independent inspection also utilizing the *RA Checklist*. Depending on historical Contractor performance, the Department may or may not accept the invitation. Discuss inspection score results with the Department in order to identify and rectify any differences in inspection techniques and opinions. When simultaneous inspections are performed, the Department’s inspection score will become the official score upon which performance deductions are based. When the Department elects not to perform a simultaneous inspection, the Contractor’s inspection score will become the official score upon which performance deductions are based. For each monthly invoice, reduce the monthly lump sum amount by the total of all Rest Area and Welcome Center performance deductions.

The scope of this contract does not include management or maintenance of Information Centers within the Rest Areas and Welcome Centers. Refer to Office of Maintenance website for a comprehensive list of all Rest Areas and Welcome Centers.

Rest Area/Welcome Center Performance Criteria

REST AREAS & WELCOME CENTERS		
Deficiency Identification	Time Allowed/Criteria	Deduction
a. Facility rating falls below minimum allowed	Per Department Procedure	\$1,000 per point below minimum per facility
b. Facility closure due to Contractor negligence	Facility closure	\$1,000 per hour per facility

REST AREA SECURITY

The Contractor will provide Security Guard Services in accordance with the requirements in *Standard Scope of Services for Security Guard Services (Security Scope)*. In addition, the Contractor will be assessed reductions, as defined in the *Security Scope*. The scope of this contract does not include providing Security Guard Services at Weigh Stations.

Security Guard Service Performance Measures

Deficiency Identification	Time Allowed/Criteria	Deduction
a. Not properly equipped	Per Rest Area Security Scope of Service	\$50.00 day per incident
b. No cellular phone or inoperative phone	Per Rest Area Security Scope of Service	\$100.00 day per incident
c. Inoperative vehicle or no vehicle	Per Rest Area Security Scope of Service	\$50.00 day per incident
d. No revolver or ammunition	Per Rest Area Security Scope of Service	\$ 100.00 day per incident
e. Inadequate literacy (unable to communicate verbally or in writing)	Per Rest Area Security Scope of Service	\$ 50.00 day per incident
f. Invalid driver's license	Per Rest Area Security Scope of Service	\$ 50.00 day per incident
g. Inadequate health interfering with performance of duty	Per Rest Area Security Scope of Service	\$ 50.00 day per incident
h. Lack of post/location coverage	Per Rest Area Security Scope of Service	\$ 250.00 day per incident
i. Lack of contract supervision	Per Rest Area Security Scope of Service	\$ 100.00 day per incident
j. Excessive hours on duty (not approved in advance by the Department)	Per Rest Area Security Scope of Service	\$ 50.00 day per incident
k. Reassignment of a security officer previously suspended from duty by the Department	Per Rest Area Security Scope of Service	\$ 200.00 day per incident
l. Ineligible person not meeting the contract criteria (not including drivers license)	Per Rest Area Security Scope of Service	\$ 500.00 day per incident
m. Late for duty	Per Rest Area Security Scope of Service	\$ 100.00 day per incident
n. Inappropriate behavior (reading, lounging, inattention, etc.)	Per Rest Area Security Scope of Service	\$ 50.00 day per incident
o. Sleeping on duty	Per Rest Area Security	\$ 100.00 day per

	Scope of Service	incident
p. Failing to make a report	Per Rest Area Security Scope of Service	\$ 50.00 day per incident
q. Improper rounds	Per Rest Area Security Scope of Service	\$ 50.00 day per incident
r. Failing to follow post orders	Per Rest Area Security Scope of Service	\$ 100.00 day per incident
s. Abandoning post	Per Rest Area Security Scope of Service	\$ 250.00 day per incident
t. Improper or badly soiled uniform	Per Rest Area Security Scope of Service	\$ 50.00 day per incident
u. Not having proper documentation on file or on them not showing compliance with Section 14-B and 14-C (this fine is per person)	Per Rest Area Security Scope of Service	\$ 100.00 day per incident
v. Missing Rest Area security sign	Per Rest Area Security Scope of Service	\$ 50.00 day per incident

WELCOME CENTER SECURITY

The Contractor will provide Security Guard Services in accordance with the requirements in *Standard Scope of Services for Security Guard Services (Security Scope)*. In addition, the Contractor will be assessed reductions, as defined in the *Security Scope*. The scope of this contract does not include providing Security Guard Services at Weigh Stations.

Security Guard Service Performance Measures

Deficiency Identification	Time Allowed/Criteria	Deduction
a. Not properly equipped	Per Rest Area Security Scope of Service	\$50.00 day per incident
b. No cellular phone or inoperative phone	Per Rest Area Security Scope of Service	\$100.00 day per incident
c. Inoperative vehicle or no vehicle	Per Rest Area Security Scope of Service	\$50.00 day per incident
d. No revolver or ammunition	Per Rest Area Security Scope of Service	\$ 100.00 day per incident
e. Inadequate literacy (unable to communicate verbally or in writing)	Per Rest Area Security Scope of Service	\$ 50.00 day per incident
f. Invalid driver's license	Per Rest Area Security Scope of Service	\$ 50.00 day per incident
g. Inadequate health interfering with performance of duty	Per Rest Area Security Scope of Service	\$ 50.00 day per incident
h. Lack of post/location coverage	Per Rest Area Security Scope of Service	\$ 250.00 day per incident
i. Lack of contract supervision	Per Rest Area Security Scope of Service	\$ 100.00 day per incident
j. Excessive hours on duty (not approved in advance by the Department)	Per Rest Area Security Scope of Service	\$ 50.00 day per incident
k. Reassignment of a security officer	Per Rest Area Security	\$ 200.00 day per

previously suspended from duty by the Department	Scope of Service	incident
l. Ineligible person not meeting the contract criteria (not including drivers license)	Per Rest Area Security Scope of Service	\$ 500.00 day per incident
m. Late for duty	Per Rest Area Security Scope of Service	\$ 100.00 day per incident
n. Inappropriate behavior (reading, lounging, inattention, etc.)	Per Rest Area Security Scope of Service	\$ 50.00 day per incident
o. Sleeping on duty	Per Rest Area Security Scope of Service	\$ 100.00 day per incident
p. Failing to make a report	Per Rest Area Security Scope of Service	\$ 50.00 day per incident
q. Improper rounds	Per Rest Area Security Scope of Service	\$ 50.00 day per incident
r. Failing to follow post orders	Per Rest Area Security Scope of Service	\$ 100.00 day per incident
s. Abandoning post	Per Rest Area Security Scope of Service	\$ 250.00 day per incident
t. Improper or badly soiled uniform	Per Rest Area Security Scope of Service	\$ 50.00 day per incident
u. Not having proper documentation on file or on them not showing compliance with Section 14-B and 14-C (this fine is per person)	Per Rest Area Security Scope of Service	\$ 100.00 day per incident
v. Missing Rest Area security sign	Per Rest Area Security Scope of Service	\$ 50.00 day per incident

ROAD RANGERS SERVICE PATROL

Provide Road Rangers Service Patrol in accordance with the requirements in *Standard Scope of Services for Road Rangers Service Patrol*. Delete the section within the *Standard Scope of Services for Road Ranger Service Patrol* that establishes deductions for non-performance (currently Section IX) and replace with the following Performance Measures:

Road Rangers Service Patrol Performance Measures

Deficiency Identification	Time Allowed/Criteria	Deduction
a. Truck not properly equipped or maintained	Per Road Ranger Scope of Service	\$50.00 per occurrence/per day
b. No cellular telephone or inoperative telephone	Per Road Ranger Scope of Service	\$100.00 per occurrence/per day
c. No alpha/numeric pagers	Per Road Ranger Scope of Service	\$100.00 per occurrence/per day
d. Sleeping on duty	Per Procedure 250-012-011 & <i>Florida Administrative Code</i>	\$100.00 per occurrence/per day
e. Incomplete motorist aid service log	Per Road Ranger Scope of Service	\$50.00 per occurrence/per day

f. Improper uniforms	Per Road Ranger Scope of Service	\$50.00 per occurrence/per day
g. Non-compliance of Department conduct standards	Per Procedure 250-012-011 & <i>Florida Administrative Code</i>	\$50.00 per occurrence/per day
h. Use of the vehicle for personal or other business	Per Procedure 250-012-011 & <i>Florida Administrative Code</i>	\$500.00 per occurrence/per day
i. Covering Department identification marking	Per Road Ranger Scope of Service	\$500.00 per occurrence/per day

PAYMENT OF REST AREA UTILITY BILLS

Pay all utility bills (water, electric, sewer) associated with each Rest Area before the due date each month.

Utility Bill Payment Performance Criteria

REST AREA UTILITY BILLS		
Deficiency Identification	Time Allowed/Criteria	Deduction
a. Failure to pay utility bill on time	As per specific utility bill due date	25% of total amount of utility bill per month
b. Utility is disconnected at facility due to non-payment	\$5,000 per day per occurrence until utility is restored	

PAYMENT OF WELCOME CENTER UTILITY BILLS

Pay all utility bills (water, electric, sewer) associated with the Rest Area portion of each Welcome Center before the due date each month.

Utility Bill Payment Performance Criteria

WELCOME CENTER UTILITY BILLS		
Deficiency Identification	Time Allowed/Criteria	Deduction
a. Failure to pay utility bill on time	As per specific utility bill due date	25% of total amount of utility bill per month
b. Utility is disconnected at facility due to non-payment	\$5,000 per day per occurrence until utility is restored	

PAYMENT OF ROADWAY ELECTRIC UTILITY BILLS

Pay all electric utility bills associated with each Roadway covered by this contract.

Utility Bill Payment Performance Criteria

ROADWAY ELECTRIC UTILITY BILLS		
Deficiency Identification	Time Allowed/Criteria	Deduction
a. Failure to pay utility bill on time	As per specific utility bill due date	5% of total amount of utility bill per month
b. Utility is disconnected at facility due to non-payment	\$5,000 per day per occurrence until utility is restored	

WEIGH STATIONS INCLUDING SCALES

Perform maintenance and repair of all Weigh Stations including facilities, buildings, pavement, grounds, and scales. Provide Weigh Station attendant(s) sufficient to comply with performance measures found in the *Standard Scope of Services for Rest Area Maintenance (RA Scope)* and in the *Standard Scope of Services for Weigh Scales & Lightning Systems (Scale Scope)*. Ensure the controlled pavement at weigh scales continually meets the requirements of the Department of Agriculture and Motor Carrier Compliance. Ensure Weight Station lights properly illuminate the immediate area around the weigh scales and the areas where trucks are being weighed. Repair malfunctioning illuminating lights within twenty-four (24) hours of discovery of light outage.

Perform frequent Weigh Station inspections as required in the *Scale Scope* and *RA Scope* and record the inspection results using the *Quality Assessment Review* and *“Weigh Station Inspection Checklist (WS Checklist)”* form. Calculate Weigh Station scores from *WS Checklists* and maintain copies of checklists and scores in Contractor files. Achieve and maintain at least the minimum Weigh Station scores required by Department Procedure.

For each Weigh Station inspection, invite the Department to perform a simultaneous independent inspection also utilizing the *WS Checklist*. Depending on historical Contractor performance, the Department may or may not accept the invitation. Discuss inspection score results with the Department in order to identify and rectify any differences in inspection techniques and opinions. When simultaneous inspections are performed, the Department’s inspection score will become the official score upon which performance deductions are based. When the Department elects not to perform a simultaneous inspection, the Contractor’s inspection score will become the official score upon which performance deductions are based. For each monthly invoice, reduce the monthly lump sum amount by the total of all Weigh Station performance deductions.

PAYMENT OF WEIGH STATION UTILITY BILLS

Pay all utility bills (water, electric, sewer) associated with each Weight Station before the due date each month.

Utility Bill Payment Performance Criteria

WEIGH STATION UTILITY BILLS		
Deficiency Identification	Time Allowed/Criteria	Deduction
a. Failure to pay utility bill on time	As per specific utility bill due date	25% of total amount of utility bill per month
b. Utility is disconnected at facility due to non-payment	\$5,000 per day per occurrence until utility is restored	

OVERLANE SIGN STRUCTURE INSPECTION AND MAINTENANCE

Perform overlane sign structure inspection and maintenance, including collision damage repair. Inspect overlane sign structures according to the Department’s *Bridge and Other Structures Inspection Reporting Procedures Manual*.

Overlane Sign Structure Performance Criteria

OVERLANE SIGN STRUCTURES		
Deficiency Identification	Time Allowed/Criteria	Deduction
a. Failure to perform timely Inspections	Per Procedure 850-010-030	\$1,000 per day per delinquent inspection
b. Failure to timely submit Inspection Sheets/Reports	Within 60 days from inspection	\$100 per day per delinquent report
c. Failure to make repairs identified in Inspection Reports	Within 30 days of identification	\$1,000 per day per overlane sign structure
d. Failure to make temporary safety repairs resulting from incidents	Must secure public safety from hazards and establish proper MOT before leaving the site	\$1,000 per day per location
e. Failure to replace sign structures damaged by incidents	Temporary signs installed within 7 days Permanent signs installed within 180 days	Temporary signs \$500 per day per location Permanent signs \$500 per day per location

HIGH MAST LIGHT STRUCTURE INSPECTION AND MAINTENANCE

Perform all high mast light structure inspection and maintenance, including collision damage repair. Inspect high mast light structures according to the Department's *Bridge and Other Structures Inspection Reporting Procedures Manual*.

High Mast Light Structure Inspection Performance Criteria

HIGH MAST LIGHT POLES		
Deficiency Identification	Time Allowed/Criteria	Deduction
a. Failure to perform timely Inspections	Per Procedure 850-010-030	\$500 per day per delinquent inspection
b. Failure to timely submit Inspection Sheets/Reports	Within 60 days from inspection	\$100 per day per delinquent report
c. Failure to make repairs identified in Inspection Reports.	Within 30 days of identification.	\$1,000 per day per overlane sign structure
d. Failure to make temporary safety repairs resulting from incidents.	Must secure public safety from hazards and establish proper MOT before leaving.	\$1,000 per day per location

MOVABLE BRIDGE INSPECTION (Including Locals)

In accordance with the *Florida Administrative Code (F.A.C.)*, request Department approval for all Bridge Inspection Team Leaders, Bridge Inspection Supervisors, and the approving Professional Engineer. Request for Department approval any changes in such personnel.

Inspect all publicly owned bridges, including off-system local bridges and other State Agency bridges, according to frequencies and criteria required by the *Code of Federal Regulations*, the *F.A.C.*, the Department's *Bridge and Other Structures Inspection Reporting Procedures Manual*, the Department's *Bridge Underwater*

Operations Manual, the Department's **Bridge Operations and Maintenance Manual**, and other applicable Contract Documents. Create inspection reports using the Department's Bridge Management System. Furnish the Department with original signed and sealed inspection reports within timeframes established in these same Contract Documents.

As a part of bridge inspection duties, determine if a review of the current load rating capacity is warranted for each inspection. If warranted, perform revised bridge load rating analyses.

Immediately notify the Department verbally if field observations reveal deficiencies sufficiently critical to warrant immediate and substantial traffic restriction or closing of the bridge. Confirm the verbal notification with a written notification within twenty-four (24) hours.

Maintain all bridge records in Contractor files at all times in preparation for audit reviews. Ensure bridge inspectors attend appropriate bridge inspection training as provided by the Department. The Department will provide District-specific Quality Control checklists/criteria to the Contractor. The Department will perform quality assurance reviews using these checklists by inspecting bridges that have been previously inspected by the Contractor and by reviewing the inspection records for conformity with the Department's findings.

Bridge Inspection Performance Criteria

BRIDGE INSPECTION		
Deficiency Identification	Time Allowed/Criteria	Deduction
a. Failure to perform timely Bridge Inspections	Per Procedure, Codes, Manuals, etc.	\$1,000 per day per delinquent inspection
b. Failure to timely submit Inspection Sheets/Reports	Within 60 days from inspection	\$500 per day per delinquent report
QUALITY CONTROL		
Deficiency Identification	Time Allowed/Criteria	Deduction
a. Bridge inspection QAR score falls below minimum allowed	Per Procedure, Codes, Manuals, District-provided QAR checklist, etc.	Per District-provided QAR checklist and criteria

NON-MOVABLE BRIDGE INSPECTION (Including Locals)

In accordance with the *Florida Administrative Code (F.A.C.)*, request Department approval for all Bridge Inspection Team Leaders, Bridge Inspection Supervisors, and the approving Professional Engineer. Request for Department approval any changes in such personnel.

Inspect all publicly owned bridges, including off-system local bridges and other State Agency bridges, according to frequencies and criteria required by the *Code of Federal Regulations*, the *F.A.C.*, the Department's **Bridge and Other Structures Inspection Reporting Procedures Manual**, the Department's **Bridge Underwater Operations Manual**, the Department's **Bridge Operations and Maintenance Manual**, and other applicable Contract Documents. Create inspection reports using the Department's Bridge Management System. Furnish the Department with original signed and sealed inspection reports within timeframes established in these same Contract Documents.

As a part of bridge inspection duties, determine if a review of the current load rating capacity is warranted for each inspection. If warranted, perform revised bridge load rating analyses.

Immediately notify the Department verbally if field observations reveal deficiencies sufficiently critical to warrant immediate and substantial traffic restriction or closing of the bridge. Confirm the verbal notification with a written notification within twenty-four (24) hours.

Maintain all bridge records in Contractor files at all times in preparation for audit reviews. Ensure bridge inspectors attend appropriate bridge inspection training as provided by the Department. The Department will provide District-specific Quality Control checklists/criteria to the Contractor. The Department will perform quality assurance reviews using these checklists by inspecting bridges that have been previously inspected by the Contractor and by reviewing the inspection records for conformity with the Department's findings.

Bridge Inspection Performance Criteria

BRIDGE INSPECTION		
Deficiency Identification	Time Allowed/Criteria	Deduction
a. Failure to perform timely Bridge Inspections	Per Procedure, Codes, Manuals, etc.	\$1,000 per day per delinquent inspection
b. Failure to timely submit Inspection Sheets/Reports	Within 60 days from inspection	\$500 per day per delinquent report
QUALITY CONTROL		
Deficiency Identification	Time Allowed/Criteria	Deduction
a. Bridge inspection QAR score falls below minimum allowed	Per Procedure, Codes, Manuals, District-provided QAR checklist, etc.	Per District-provided QAR checklist and criteria

MOVABLE BRIDGE MAINTENANCE (INCLUDE PERIODIC MAINTENANCE AND MAJOR REPAIR)

Bridge tasks are divided into appropriate maintenance activities as described in the Departments *Maintenance Cost Handbook*. Perform Routine/Preventive Bridge Maintenance and Minor Repair, and Periodic Maintenance and Major Repair, including collision damage repair, defined as follows:

(a) Routine/Preventive Maintenance: The preservation and upkeep of a structure, including all its appurtenances, maintenance and servicing of mechanical, electrical and hydraulic systems, in its original condition (or as subsequently improved) insofar as practical. Preventive maintenance includes any activity intended to maintain an existing condition or to prevent deterioration. Examples include but are not limited to: cleaning, lubrication, spot painting, dirt and debris removal, and application of protective systems. Ideally, preventive maintenance is anticipated (i.e. planned) routine maintenance and does not require a Bridge Work Order to accomplish the work.

(b) Minor Repair: The restoration of a structure, including all its appurtenances, to its original condition (or as subsequently improved) insofar as practical. Minor repairs include any activity intended to correct the effects of minor material deterioration by restoring the damaged member. Minor repairs are generally defined as repairs to bridge

elements that are structurally sound (i.e., no loss of strength), but may have minor section loss, cracking, spalling, or scour. Minor repairs are un-anticipated routine maintenance, usually identified by bridge inspectors and require a Work Order to schedule and accomplish the work. Examples include but are not limited to repair and/or replacement of an in-kind deck joint and localized material restoration of: deck expansion joints and joints system, deck surfaces, sidewalks, drainage systems, bridge railing systems, superstructure members and bearing devices, substructure members, waterway channels, approach slabs, anchorages, all fender system components, mechanical, electrical or hydraulic systems, replacement of individual parts of the mechanical, electrical or hydraulic systems and structural crack injection and matrix loss restoration. Should a joint or joint system be partially or completely damaged, then the entire bridge width of the joint and affected nosing portion of joint system shall be replaced.

(c) Periodic Maintenance and Major Repair: The restoration of a structure, including all its appurtenances, to its original condition (or as subsequently improved) insofar as practical. Major repairs include any activity intended to correct deteriorated members. Conditions requiring major repairs include loss of section, deterioration, spalling, or scour that affect the strength of the member, replacement or upgrading of the mechanical, electrical or hydraulic systems. Engineering analysis is often performed to determine the extent of the lost strength. With prior approval of the District Feasible Action Review Committee, the District will enter into the work program all periodic and major repair work. Examples include but are not limited to localized or full material restoration of: deck expansion joints and joint systems, deck surfaces, sidewalks, drainage systems, bridge railing systems, superstructure members and bearing devices, substructure members, waterway channels, approach slabs, anchorages, all fender system components, concrete restoration requiring reinforcement splicing, structural crack injection and matrix loss restoration, and metal fabrication to restore the integrity of or to replace structural elements.

The scope of this contract does not include performance of Bridge Rehabilitation defined as follows:

(a) Rehabilitation: The improvement or betterment of a structure, including all its appurtenances, to a condition meeting or exceeding current design standards, insofar as practical. Examples of rehabilitation include: widening a bridge to meet lane/shoulder width requirements, replacement of substandard bridge rails, raising a bridge to meet clearance requirements, strengthening a bridge to increase load carrying capacity to accepted limits, and upgrading the operational equipment of a movable span.

The Department will periodically perform quality assurance reviews by inspecting bridge repairs and maintenance activities recently completed by the Contractor.

Bridge Maintenance Performance Criteria

BRIDGE MAINTENANCE		
Deficiency Identification	Time Allowed/Criteria	Deduction
a. Failure to timely make repairs identified in bridge work orders (delinquent work orders)	Per Procedure, Codes, Manuals, etc.	\$1,000 per day per delinquent work order
b. Failure to make temporary	Must secure public safety	\$1,000 per day per location

safety repairs resulting from Incidents	from hazards and establish proper MOT before leaving the site	
c. Failure to replace or repair damaged bridge railing	Temporary Repairs within 24 hrs of notification Permanent Repairs within 7 days of notification	Temporary repairs \$2000 per day per location Permanent repairs \$1000 per day per location
NAVIGATION LIGHTING		
Deficiency Identification	Time Allowed/Criteria	Deduction
a. Failure to temporarily repair outage following notification or discovery	Per Performance Lighting Specifications (Standard Maintenance Special Provisions)	Per Performance Lighting Specifications (SMSP)
b. Failure to permanently repair outage following notification or discovery	Per Performance Lighting Specifications (Standard Maintenance Special Provisions)	Per Performance Lighting Specifications (SMSP)
QUALITY CONTROL		
Deficiency Identification	Time Allowed/Criteria	Deduction
a. Failure to properly complete bridge repairs as identified through QAR	Per Procedure, Codes, Manuals, Design Standards, etc.	\$500 per day per location until deficient repair is corrected

NON-MOVABLE BRIDGE MAINTENANCE (INCLUDING PERIODIC MAINTENANCE AND MAJOR REPAIR)

Bridge tasks are divided into appropriate maintenance activities as described in the Departments *Maintenance Cost Handbook*. Perform Routine/Preventive Bridge Maintenance and Minor Repair, and Periodic Maintenance and Major Repair, including collision damage repair, defined as follows:

(a) **Routine/Preventive Maintenance:** The preservation and upkeep of a structure, including all its appurtenances, maintenance and servicing of mechanical, electrical and hydraulic systems, in its original condition (or as subsequently improved) insofar as practical. Preventive maintenance includes any activity intended to maintain an existing condition or to prevent deterioration. Examples include but are not limited to: cleaning, lubrication, spot painting, dirt and debris removal, and application of protective systems. Ideally, preventive maintenance is anticipated (i.e. planned) routine maintenance and does not require a Bridge Work Order to accomplish the work.

(b) **Minor Repair:** The restoration of a structure, including all its appurtenances, to its original condition (or as subsequently improved) insofar as practical. Minor repairs include any activity intended to correct the effects of minor material deterioration by restoring the damaged member. Minor repairs are generally defined as repairs to bridge elements that are structurally sound (i.e., no loss of strength), but may have minor section loss, cracking, spalling, or scour. Minor repairs are un-anticipated routine maintenance, usually identified by bridge inspectors and require a Work Order to schedule and accomplish the work. Examples include but are not limited to repair and/or replacement of an in-kind deck joint and localized material restoration of: deck expansion joints and joints system, deck surfaces, sidewalks, drainage systems, bridge railing systems,

superstructure members and bearing devices, substructure members, waterway channels, approach slabs, anchorages, all fender system components, mechanical, electrical or hydraulic systems, replacement of individual parts of the mechanical, electrical or hydraulic systems and structural crack injection and matrix loss restoration. Should a joint or joint system be partially or completely damaged, then the entire bridge width of the joint and affected nosing portion of joint system shall be replaced.

(c) Periodic Maintenance and Major Repair: The restoration of a structure, including all its appurtenances, to its original condition (or as subsequently improved) insofar as practical. Major repairs include any activity intended to correct deteriorated members. Conditions requiring major repairs include loss of section, deterioration, spalling, or scour that affect the strength of the member, replacement or upgrading of the mechanical, electrical or hydraulic systems. Engineering analysis is often performed to determine the extent of the lost strength. With prior approval of the District Feasible Action Review Committee, the District will enter into the work program all periodic and major repair work. Examples include but are not limited to localized or full material restoration of: deck expansion joints and joint systems, deck surfaces, sidewalks, drainage systems, bridge railing systems, superstructure members and bearing devices, substructure members, waterway channels, approach slabs, anchorages, all fender system components, concrete restoration requiring reinforcement splicing, structural crack injection and matrix loss restoration, and metal fabrication to restore the integrity of or to replace structural elements.

The scope of this contract does not include performance of Bridge Rehabilitation defined as follows:

(a) Rehabilitation: The improvement or betterment of a structure, including all its appurtenances, to a condition meeting or exceeding current design standards, insofar as practical. Examples of rehabilitation include: widening a bridge to meet lane/shoulder width requirements, replacement of substandard bridge rails, raising a bridge to meet clearance requirements, strengthening a bridge to increase load carrying capacity to accepted limits, and upgrading the operational equipment of a movable span.

The Department will periodically perform quality assurance reviews by inspecting bridge repairs and maintenance activities recently completed by the Contractor.

Bridge Maintenance Performance Criteria

BRIDGE MAINTENANCE		
Deficiency Identification	Time Allowed/Criteria	Deduction
a. Failure to timely make repairs identified in bridge work orders (delinquent work orders)	Per Procedure, Codes, Manuals, etc.	\$1,000 per day per delinquent work order
b. Failure to make temporary safety repairs resulting from Incidents	Must secure public safety from hazards and establish proper MOT before leaving the site	\$1,000 per day per location
c. Failure to replace or repair damaged bridge railing	Temporary Repairs within 24 hrs of notification Permanent Repairs within 7	Temporary repairs \$2000 per day per location Permanent repairs \$1000 per

	days of notification	day per location
NAVIGATION LIGHTING		
Deficiency Identification	Time Allowed/Criteria	Deduction
a. Failure to temporarily repair outage following notification or discovery	Per Performance Lighting Specifications (Standard Maintenance Special Provisions)	Per Performance Lighting Specifications (SMSP)
b. Failure to permanently repair outage following notification or discovery	Per Performance Lighting Specifications (Standard Maintenance Special Provisions)	Per Performance Lighting Specifications (SMSP)
QUALITY CONTROL		
Deficiency Identification	Time Allowed/Criteria	Deduction
a. Failure to properly complete bridge repairs as identified through QAR	Per Procedure, Codes, Manuals, Design Standards, etc.	\$500 per day per location until deficient repair is corrected

MOVABLE BRIDGE TENDING & PREVENTATIVE MAINTENANCE

Perform movable bridge tending duties and preventative maintenance according to the *Standard Scope of Services for Bridge Tending and Preventative Maintenance*.

MOVABLE BRIDGE OPERATION		
Deficiency Identification	Time Allowed/Criteria	Deduction
a. Failure to operate bridges in accordance with procedures	Per schedule	\$1000 per occurrence

PAYMENT OF MOVABLE BRIDGE UTILITY BILLS

Pay all utility bills (water, electric, sewer) associated with each Movable Bridge before the due date each month.

Utility Bill Payment Performance Criteria

MOVABLE BRIDGE UTILITY BILLS		
Deficiency Identification	Time Allowed/Criteria	Deduction
a. Failure to pay utility bill on time	As per specific utility bill due date	50% of total amount of utility bill per month
b. Utility is disconnected at facility due to non-payment	\$10,000 per day per occurrence until utility is restored	

TRAFFIC SIGNAL MAINTENANCE

Maintain all flashing signs and traffic signals that are not covered by a maintenance agreement with a city or county. Perform maintenance according to applicable procedures, specifications, and other contract documents.

Traffic Signal Maintenance Performance Criteria

TRAFFIC SIGNAL MAINTENANCE		
Deficiency Identification	Time Allowed/Criteria	Deduction
a. Failure to make temporary	Must secure public safety from	\$1,000 per day per location

safety repairs resulting from Incidents	hazards and establish proper MOT before leaving the site	
b. Failure to repair damaged or malfunctioning signal or flashing sign	Repairs made within 48 hours of discovery	\$1,000 per day per location

TRAFFIC OPERATIONS WORK ORDERS (Generic Method)

Perform or construct all Traffic Operations Work Orders as directed by the Department. The Department will separately compensate the Contractor for all work performed on Traffic Operations Work Orders up to the amount provided in the Traffic Operations Work Orders Pay Item as established pre-bid.

Traffic Operations Work Orders Performance Criteria

TRAFFIC OPERATIONS WORK ORDERS		
Deficiency Identification	Time Allowed/Criteria	Deduction
a. Traffic Operations Work Order not completed within allowed timeframe	Work must be completed within timeframe established in Work Order.	1% of Work Order amount per day late
b. Traffic Operations Work Order not completed correctly or according to requirements	Work must be completed according to requirements established in the Work Order, procedures, specifications, and other Contract Documents.	Re-perform the work until correct plus any deductions due to exceeding allowed timeframe

INTELLIGENT TRANSPORTATION SYSTEMS (ITS)

INSERT DISTRICT-SPECIFIC ITS SCOPE HERE. Perform all ITS activities according to the ITS performance measures identified in the State Traffic Engineering & Operations Office website. INSERT DISTRICT-SPECIFIC ITS PERFORMANCE DEDUCTIONS HERE

PAYMENT SCHEDULE

The Contractor shall be paid monthly according to the schedule below. After the contract is awarded, the factors found in the Asset Maintenance RFP will be used to fill in actual monthly payment amounts into the Payment Schedule Chart below. For all contract years of the original contract term, the monthly dollar amount will be the total Contract amount multiplied by the monthly factor listed in the Asset Maintenance RFP divided by number of years of original contract term. For each renewal year, the monthly dollar amount will be the total Renewal amount multiplied by the monthly factor listed in the Asset Maintenance RFP divided by number of years of renewal term.

Month	Contract Year 1	Contract Years 2-4	Contract Year 5	Each Renewal Year
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				
11				
12				

Yearly Amount

OTHER CONTRACTUAL REQUIREMENTS

Example Scope including every option

ATTACHMENT I

**DEPARTMENT CONTRACTS THAT WILL CONTINUE INTO THE ASSET
MAINTENANCE CONTRACT**

DISTRICT/ AREA	CONTRACT NUMBER	DESCRIPTION	CONTRACT END DATE	CONTRACTOR

**SCHEDULE XIIB: MAJOR OUTSOURCING AND PRIVATIZATION INITIATIVES
INITIALLY UNDERTAKEN IN THE LAST FIVE YEARS**

Schedule XII-B Cover Sheet and Agency Project Approval	
Agency: Department of Transportation	Schedule XII-B Submission Date: 10/15/10
Project Name: Engineering Consulting Related Activities	Is this project included in the Agency's LRPP? _____ Yes _____ No
FY 2011-2012 LBR Issue Code: Not Applicable	FY 2011-2012 LBR Issue Title: Not Applicable
Agency Contact for Schedule XII-B (Name, Phone #, and E-mail address): Kimberly Ferrell, 850-414-4449, Kimberly.Ferrell@dot.state.fl.us	
AGENCY APPROVAL SIGNATURES	
I am submitting the attached Schedule XII-B in support of our legislative budget request. I have reviewed and agree with the information in the attached Schedule XII-B.	
Agency Head:	Date:
Printed Name: Stephanie C. Kopelousos	
Agency Chief Information Officer: <i>(If applicable)</i>	Date:
Printed Name:	
Budget Officer:	Date:
Printed Name: Kimberly Ferrell	
Planning Officer:	Date:
Printed Name: Kathleen Neill	
Project Sponsor:	Date:
Printed Name: Brian Blanchard	

**SCHEDULE XIIB-1: MAJOR OUTSOURCING AND PRIVATIZATION INITIATIVES
INITIALLY UNDERTAKEN IN THE LAST FIVE YEARS – BACKGROUND
INFORMATION**

Background Information	
1.	<p>Provide a narrative summary describing the agency’s decision to outsource or privatize the service or activity.</p> <p>Attach to Schedule XII-B copies of the original business case and cost benefit analysis. If these documents are unavailable, attach any documents which state the original intention of the outsourcing or privatization initiative that will detail its goals, objectives, and expected outcomes. Such documents may include (a) original legislative budget requests, (b) original budget amendments, (c) legislative presentations, or (d) agency planning documents.</p> <p>Over twenty years ago (in 1986) the Department was a centralized organization where all the decisions were made in Tallahassee. The Department had nearly 10,000 employees and construction lettings equaled about \$500 million. Most of the engineering work was performed by Department employees. The Department started outsourcing more as the amount and complexity of the work increased.</p> <p>In the Design area, for example, private firms were hired to handle the increased workload. As projects have become more and more complex, requiring expertise in a growing number of areas, the Department has experienced significant benefits from this outsourcing initiative. In addition, the work program is zero based and the mix of projects changes every year. Outsourcing has been necessary due to the size of our work program, inability to retain highly qualified engineers, expertise required, and the geographic location of the need from year to year. It is necessary to use consultants to maintain the quality, quantity and commitments in our work program as appropriated each year. This approach allows the Department to provide the right resources to the right project at the right time.</p> <p>80 percent of the engineering consulting related work identified in the Department’s budget is performed by private firms. This includes the following appropriation categories: Transportation Planning Consultants, Construction Engineering Inspection, Preliminary Engineering Consultants, Right of Way Support, Materials and Research, and Traffic Engineering Consultants.</p> <p>See attachment A which contains copies of D-3A operating budget issues from prior years. Attachment B reflects the related work program categories included in the budget request for prior years.</p>
2.	<p>Have the anticipated cost savings and benefits of the initiative been realized? Explain.</p> <p>Yes, the benefits have been realized. Outsourcing a portion of the work enables the Department to fully utilize the resources available to improve the State’s transportation system. It provides the Department with a flexible work force and enables the Department to acquire personnel with certain needed technical areas of expertise. It has also enabled the Department to maintain the necessary oversight required by the U.S. Code of Federal Regulations and Florida Statutes.</p>
3.	<p>Provide a narrative description of the competitive solicitation used to outsource or privatize the service or activity.</p>

Attach a copy of any solicitation documents, requests for quote, or similar document issued by the agency for this procurement.

Engineering consultant contracts are procured in accordance with Chapters 287.055 and 337, Florida Statutes. All consultant projects for the upcoming fiscal year are initially advertised on the Department's internet web site in February or March. See Attachment C for an example of an advertisement.

All prequalified firms may submit a letter of response, which is limited to two pages. The Department reviews the letters of response in addition to considerations of past performance and the volume of work previously awarded to the firm. Based on these considerations the list of firms who submitted a letter of response is narrowed down to a short list of at least three. The short listed firms then submit a technical proposal in response to our Request for Proposals. An example of a request for proposal is included in Attachment D. The technical proposals are then evaluated and ranked. Negotiations then begin with the top ranked firm.

4. Section 287.057(13)(a), *Florida Statutes*, allows for the renewal of contracts for commodities and contractual services for a period that may not exceed 3 years or the term of the original contract, whichever period is longer. Such renewals are contingent upon satisfactory performance evaluations by the agency and subject to the availability of funds.

For the outsourced or privatized service or activity, identify the number of times the contract has been renewed and specify the renewal period of each. Attach a copy of the documentation verifying the contractor's satisfactory performance compliance required prior to each renewal.

Engineering consultant related contracts are procured in accordance with Chapters 287.055 and 337 Florida Statutes.

The Department enters into a significant number of engineering consultant related contracts on an annual basis. In fact, in FY 2009/10, the Department entered into 363 such contracts.

See attachment E for the Department's procedure on the evaluation of professional services consultant work.

5. For the outsourced or privatized service or activity, has the contractor satisfactorily complied with all service level requirements? Provide a narrative summary describing service level requirements compliance or noncompliance and the method used by the agency for monitoring progress in achieving the specified performance standards within the contract.

The Department has a good process for selecting consultants and ensuring they are accountable for the work they are hired to do. Firms need to be prequalified before they are permitted to submit proposals. Their technical skill level and work history are assessed by the Department. They need to have certain processes and procedures in place before they are prequalified. Please see Attachment F for the procedure that addresses each of these elements.

The Department is provided with authority in section 337.105, F.S., to suspend consultants from consideration for award for professional service contracts. Rule 14-75, Florida Administrative Code also provides guidance in this area.

6. Describe any unexpected benefits from outsourcing or privatization of the service or activity.
While not unexpected, the outsourcing provides the Department with a flexible work force. It enables the Department to address specialty needs that occur on an infrequent basis and to staff for routine fluctuations in the work program.
7. Describe any unexpected problems or issues with the outsourcing or privatization of the service or activity.
A minor weakness is consistency across the state. It is a challenge to be consistent with the Department's Districts under a decentralized model and outsourcing further magnifies such issues. However, such inconsistencies would still exist if the Department had that many more employees.
8. Briefly describe your agency's overall level of satisfaction with the results of outsourcing or privatization of the service or activity.
The Department is satisfied.
9. What lessons learned should be shared with other agencies considering the outsourcing or privatization of a similar service or activity?

**SCHEDULE XIIB-2: MAJOR OUTSOURCING AND PRIVATIZATION INITIATIVES INITIALLY UNDERTAKEN IN THE
LAST FIVE YEARS - COST AND DELIVERABLES DATA**

Engineering Consulting Related Activities

Section I: Cost Data

For each outsourced or privatized service or activity, complete the cost analysis below:

Fiscal Year	Planned Costs	Actual/Revised Costs	Planned Savings	Actual/Revised Savings
FY 2010 - 2011	\$888,058,193	\$	\$	\$
FY 2009 - 2010	\$813,774,825			
FY 2008 - 2009	\$1,069,636,224	\$	\$	\$
FY 2007 - 2008	\$1,171,074,488	\$	\$	\$
FY 2006 - 2007	\$1,305,058,427	\$	\$	\$
FY 2005 - 2006	\$1,091,752,757	\$	\$	\$
FY 2004 - 2005	\$973,801,885	\$	\$	\$
FY 2003 - 2004	\$870,641,119	\$	\$	\$
FY 2002 - 2003	\$817,417,013	\$	\$	\$
FY 2001 - 2002	\$812,158,836	\$	\$	\$

Note: Total outsourced planned costs are associated with the categories listed in attachment B. The difficulty in documenting savings associated with these activities is documented in OPPAGA Report No. 03-30, published in April 2003.

Variance	Reasons			
Cost				
Savings				

Section II: Deliverables and Milestones Schedule

For each outsourced or privatized service or activity, complete the deliverables and milestones schedule below:

Deliverables and Milestones	Original	Actual Date/ Revised Date

Variance	Reasons			
Schedule				

	COL A12	COL A14	COL A14-A12 AGY AMD REQ FY 2001-02 OVER(UNDER)	COL A15	COL A16	
	AGY FNL REQ FY 2001-02 POS AMOUNT	AGY AMD REQ FY 2001-02 POS AMOUNT	AGY FNL REQ FY 2001-02 POS AMOUNT	AGY AMD N/R FY 2001-02 POS AMOUNT	AGY AMD ANZ FY 2001-02 POS AMOUNT	CODES
STATE OPERATIONS						A
TRANSPORTATION, DEPT OF TRANSP SYSTEMS DEVELOPMENT PGM: HIGHWAY/BRIDGE CONST ECONOMIC OPPORTUNITIES HIWAY CONSTRUCT/ENGINEER						55000000 55100000 55100100 11 <u>1101.01.01.00</u>
PRIVATIZATION OF STATE PROGRAMS, SERVICES OR ACTIVITIES CONSTRUCTION ENGINEERING ACTIVITIES SALARIES AND BENEFITS						19G0000 19G1100 010000
ST TRANSPORT (PRIMARY) TF - STATE	47.00- 1,205,164-		47.00 1,205,164	- 2,020,534		2540 1
EXPENSES						040000
ST TRANSPORT (PRIMARY) TF - STATE	94,000-		94,000	- 160,000		2540 1
TOTAL: CONSTRUCTION ENGINEERING ACTIVITIES BY FUND						19G1100
ST TRANSPORT (PRIMARY) TF - STATE	47.00- 1,299,164-		47.00 1,299,164	- 2,180,534		2540 1

*01/02
Construction*

*Actual
Approp
-80*

AGENCY ISSUE NARRATIVE:
 2001-2002 BUDGET YEAR NARRATIVE:

Fund Source: 100% State
 =====

Activity: Construction Engineering Inspection
 =====

Performance Measure Affected: Number of projects with construction engineering inspection funded.
 =====

Description:
 =====

This issue deletes positions and related operating budget to reflect additional privatization of construction contract engineering activities being implemented by the Department. Project phases in the Department's Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget.

*Narrative not
revised for
advances*

Atch A

COL A12		COL A14		COL A14-A12 AGY AMD REQ FY 2001-02 OVER(UNDER)		COL A15		COL A16		CODES
AGY FNL REQ FY 2001-02 POS	AMOUNT	AGY AMD REQ FY 2001-02 POS	AMOUNT	AGY FNL REQ FY 2001-02 POS	AMOUNT	AGY AMD N/R FY 2001-02 POS	AMOUNT	AGY AMD ANZ FY 2001-02 POS	AMOUNT	

STATE OPERATIONS

TRANSPORTATION, DEPT OF
 TRANSP SYSTEMS DEVELOPMENT
 PGM: HIGHWAY/BRIDGE CONST
 ECONOMIC OPPORTUNITIES
 HIWAY CONSTRUCT/ENGINEER

PRIVATIZATION OF STATE PROGRAMS,
 SERVICES OR ACTIVITIES
 CONSTRUCTION ENGINEERING ACTIVITIES

A
 55000000
 55100000
 55100100
 11
 1101.01.01.00
 19G0000
 19G1100

Distribution is as follows:

Class Title	Dist 1	Dist 3	Dist 4	Dist 5	Dist 6	Dist 7	Dist Tpk
EAS I	5	16	8	1	6	4	
EAS II	4				1		
EAS III							1
OS II							1
Total	9	16	8	1	7	4	2

Expense reductions in the amount of \$2,000 per position is also included in this issue.

 Amended 2001-02 Narrative after January 17, 2001

The Governor's recommendations funded this requirement in issue code 19C1100, titled Introducing Competition Into Government Services and Activities, Construction Engineering Activities.

The original issue is being deleted and requested under this new issue code to conform to the Governor's recommendations.

Summary: Issue deleted to conform to issue code structure in the Governor's recommendations.

COL A12		COL A14		COL A14-A12 AGY AMD REQ FY 2001-02 OVER(UNDER)		COL A15		COL A16		CODES
AGY FNL REQ FY 2001-02 POS	AMOUNT	AGY AMD REQ FY 2001-02 POS	AMOUNT	AGY FNL REQ FY 2001-02 POS	AMOUNT	AGY AMD N/R FY 2001-02 POS	AMOUNT	AGY AMD ANZ FY 2001-02 POS	AMOUNT	

STATE OPERATIONS

TRANSPORTATION, DEPT OF
 TRANSP SYSTEMS DEVELOPMENT
 PGM: HIGHWAY/BRIDGE CONST
 ECONOMIC OPPORTUNITIES
 HIWAY CONSTRUCT/ENGINEER

A
 55000000
 55100000
 55100100
 11
1101.01.01.00
 19G0000
 19G1100

PRIVATIZATION OF STATE PROGRAMS,
 SERVICES OR ACTIVITIES
 CONSTRUCTION ENGINEERING ACTIVITIES

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FNL REQ FY 2001-02							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
9210 OFFICE SUPPORT-LEVEL II							
C4105 001	1.00-	17,292-		6,613-	23,905-	0.00	23,905-
9620 ENGINEERING/ARCH/SURVEY-LEVEL I							
C4102 001	40.00-	718,920-		269,406-	988,326-	0.00	988,326-
9630 ENGINEERING/ARCH/SURVEY-LEVEL II							
C4103 001	5.00-	114,660-		38,140-	152,800-	0.00	152,800-
9640 ENGINEERING/ARCH/SURVEY-LEVEL III							
C4104 001	1.00-	31,044-		9,089-	40,133-	0.00	40,133-

TOTALS FOR ISSUE BY FUND							1,205,164-
2540 ST TRANSPORT (PRIMARY) TF							1,205,164-
	47.00-	881,916-		323,248-	1,205,164-		1,205,164-
	=====	=====	=====	=====	=====		=====

	COL A12	COL A14	COL A14-A12 AGY AMD REQ FY 2001-02 OVER(UNDER)	COL A15	COL A16	CODES
	AGY FNL REQ FY 2001-02 POS AMOUNT	AGY AMD REQ FY 2001-02 POS AMOUNT	AGY FNL REQ FY 2001-02 POS AMOUNT	AGY AMD N/R FY 2001-02 POS AMOUNT	AGY AMD ANZ FY 2001-02 POS AMOUNT	
STATE OPERATIONS						A
TRANSPORTATION, DEPT OF						55000000
TRANSP SYSTEMS DEVELOPMENT						55100000
PGM: HIGHWAY/BRIDGE CONST						55100100
ECONOMIC OPPORTUNITIES						11
PRE-CONSTRUCTN/DESIGN SVCS						<u>1101.01.02.00</u>
PRIVATIZATION OF STATE PROGRAMS, SERVICES OR ACTIVITIES						19G0000
DESIGN ACTIVITIES						19G1200
SALARIES AND BENEFITS						010000
ST TRANSPORT (PRIMARY) TF - STATE	19.00- 483,663-		19.00 483,663	-25 -628,563		2540 1
EXPENSES						040000
ST TRANSPORT (PRIMARY) TF - STATE	38,000-		38,000	-59,000		2540 1
TOTAL: DESIGN ACTIVITIES BY FUND						19G1200
ST TRANSPORT (PRIMARY) TF - STATE	19.00- 521,663-		19.00 521,663	-678,563		2540 1

*01/02
Design*

*Actual
Approp*

-25

-628,563

-59,000

-678,563

AGENCY ISSUE NARRATIVE:
 2001-2002 BUDGET YEAR NARRATIVE:

Fund Source: 100% State
 =====

Activity: Preliminary Engineering
 =====

Performance Measure Affected: Number of people with preliminary engineering funded.
 =====

Description:
 =====

This issue deletes positions and related operating budget to reflect additional privatization of roadway design activities being implemented by the Department. Project phases in the Department's Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget.

Distribution is as follows:

*Narrative not
revised for
advances*

COL A12		COL A14		COL A14-A12 AGY AMD REQ FY 2001-02 OVER(UNDER)		COL A15		COL A16		CODES
AGY FNL REQ FY 2001-02 POS	AMOUNT	AGY AMD REQ FY 2001-02 POS	AMOUNT	AGY FNL REQ FY 2001-02 POS	AMOUNT	AGY AMD N/R FY 2001-02 POS	AMOUNT	AGY AMD ANZ FY 2001-02 POS	AMOUNT	

STATE OPERATIONS

TRANSPORTATION, DEPT OF
 TRANSP SYSTEMS DEVELOPMENT
PGM: HIGHWAY/BRIDGE CONST
 ECONOMIC OPPORTUNITIES
PRE-CONSTRUCTN/DESIGN SVCS
 PRIVATIZATION OF STATE PROGRAMS,
 SERVICES OR ACTIVITIES
 DESIGN ACTIVITIES

A
 55000000
 55100000
 55100100
 11
1101.01.02.00
 19G0000
 19G1200

Class Title	Dist 1	Dist 2	Dist 3	Dist 4	Dist 5	Dist 6
EAS I	3		3	2	3	4
EAS II	1	2				
OS I				1		
Total	4	2	3	3	3	4

Expense reductions in the amount of \$2,000 per position is also included in this issue.

 Amended 2001-02 Narrative after January 17, 2001

The Governor's recommendations funded this requirement in issue code 19C1200, titled Introducing Competition Into Government Services and Activities, Design Activities.

The original issue is being deleted and requested under this new issue code to conform to the Governor's recommendations.

Summary: Issue deleted to conform to issue code structure in the Governors recommendations.

COL A12		COL A14		COL A14-A12 AGY AMD REQ FY 2001-02 OVER(UNDER)		COL A15		COL A16		CODES
AGY FNL REQ FY 2001-02 POS	AMOUNT	AGY AMD REQ FY 2001-02 POS	AMOUNT	AGY FNL REQ FY 2001-02 POS	AMOUNT	AGY AMD N/R FY 2001-02 POS	AMOUNT	AGY AMD ANZ FY 2001-02 POS	AMOUNT	

STATE OPERATIONS

TRANSPORTATION, DEPT OF
 TRANSP SYSTEMS DEVELOPMENT
 PGM: HIGHWAY/BRIDGE CONST
 ECONOMIC OPPORTUNITIES
 PRE-CONSTRUCTN/DESIGN SVCS

A
 55000000
 55100000
 55100100
 11
 1101.01.02.00
 19G0000
 19G1200

PRIVATIZATION OF STATE PROGRAMS,
 SERVICES OR ACTIVITIES
 DESIGN ACTIVITIES

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FNL REQ FY 2001-02							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
9200 OFFICE SUPPORT-LEVEL I							
C4113 001	1.00-	15,135-		6,224-	21,359-	0.00	21,359-
9620 ENGINEERING/ARCH/SURVEY-LEVEL I							
C4111 001	15.00-	269,595-		101,028-	370,623-	0.00	370,623-
9630 ENGINEERING/ARCH/SURVEY-LEVEL II							
C4112 001	3.00-	68,796-		22,885-	91,681-	0.00	91,681-
TOTALS FOR ISSUE BY FUND							
2540 ST TRANSPORT (PRIMARY) TF							483,663-
	19.00-	353,526-		130,137-	483,663-		483,663-

	COL A12	COL A14	COL A14-A12 AGY AMD REQ FY 2001-02 OVER(UNDER)	COL A15	COL A16	CODES
	AGY FNL REQ FY 2001-02 POS AMOUNT	AGY AMD REQ FY 2001-02 POS AMOUNT	AGY FNL REQ FY 2001-02 POS AMOUNT	AGY AMD N/R FY 2001-02 POS AMOUNT	AGY AMD ANZ FY 2001-02 POS AMOUNT	
STATE OPERATIONS						A
TRANSPORTATION, DEPT OF TRANSP SYSTEMS DEVELOPMENT PGM: HIGHWAY/BRIDGE CONST ECONOMIC OPPORTUNITIES MATERIAL TESTNG & RESEARCH PRIVATIZATION OF STATE PROGRAMS, SERVICES OR ACTIVITIES MATERIALS AND TESTING ACTIVITIES SALARIES AND BENEFITS						55000000 55100000 55100100 11 1101.01.03.00
ST TRANSPORT (PRIMARY) TF - STATE	9.00- 222,373-		9.00 222,373	-12 -296,498		1960000 1961300 010000 2540 1
EXPENSES						040000
ST TRANSPORT (PRIMARY) TF - STATE	18,000-		18,000	-24,000		2540 1
TOTAL: MATERIALS AND TESTING ACTIVITIES BY FUND						1961300
ST TRANSPORT (PRIMARY) TF - STATE	9.00- 240,373-		9.00 240,373	-320,498		2540 1

*01/02
Materials Test*

*Actual
Approp*

- 12

- 24,000

- 320,498

AGENCY ISSUE NARRATIVE:
 2001-2002 BUDGET YEAR NARRATIVE:

Fund Source: 100% State
 =====

Activity: Materials and Research
 =====

Performance Measure Affected: Number of projects with materials and research funded.
 =====

Description:
 =====

This issue deletes positions and related operating budget to reflect additional privatization of Materials Testing activities being implemented by the Department. Project phases in the Department's Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget.

Distribution is as follows:

*Narrative not
revised for
advances*

COL A12		COL A14		COL A14-A12 AGY AMD REQ FY 2001-02 OVER(UNDER)		COL A15		COL A16		CODES
AGY FNL REQ FY 2001-02 POS	AMOUNT	AGY AMD REQ FY 2001-02 POS	AMOUNT	AGY FNL REQ FY 2001-02 POS	AMOUNT	AGY AMD N/R FY 2001-02 POS	AMOUNT	AGY AMD ANZ FY 2001-02 POS	AMOUNT	

STATE OPERATIONS

TRANSPORTATION, DEPT OF
 TRANSP SYSTEMS DEVELOPMENT
 PGM: HIGHWAY/BRIDGE CONST
 ECONOMIC OPPORTUNITIES
 MATERIAL TESTING & RESEARCH
 PRIVATIZATION OF STATE PROGRAMS,
 SERVICES OR ACTIVITIES
 MATERIALS AND TESTING ACTIVITIES

A
 55000000
 55100000
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 11
1101.01.03.00
 19G0000
 19G1300

Class Title	Dist 3	Dist 4
EAS I	2	7
Total	2	7

Expense reductions in the amount of \$2,000 per position, is also included in this issue.

 Amended 2001-02 Narrative after January 17, 2001

The Governor's recommendations funded this requirement in issue code 19C1300, titled Introducing Competition Into Government Services and Activities, Materials and Testing Activities.

The original issue is being deleted and requested under this new issue code to conform to the Governor's recommendations.

Summary: Issue deleted to conform to issue code structure in the Governor's recommendations.

COL A12		COL A14		COL A14-A12 AGY AMD REQ FY 2001-02 OVER(UNDER)		COL A15		COL A16		CODES
AGY FNL REQ FY 2001-02	POS	AGY AMD REQ FY 2001-02	POS	AGY FNL REQ FY 2001-02	POS	AGY AMD N/R FY 2001-02	POS	AGY AMD ANZ FY 2001-02	POS	

STATE OPERATIONS

TRANSPORTATION, DEPT OF
 TRANSP SYSTEMS DEVELOPMENT
 PGM: HIGHWAY/BRIDGE CONST
 ECONOMIC OPPORTUNITIES
 MATERIAL TESTING & RESEARCH

A
 55000000
 55100000
 55100100
 11
 1101.01.03.00
 1960000
 1961300

PRIVATIZATION OF STATE PROGRAMS,
 SERVICES OR ACTIVITIES
 MATERIALS AND TESTING ACTIVITIES

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A12 - AGY FNL REQ FY 2001-02							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
9620 ENGINEERING/ARCH/SURVEY-LEVEL I	9.00-	161,757-		60,616-	222,373-	0.00	222,373-
C4118 001							
TOTALS FOR ISSUE BY FUND							
2540 ST TRANSPORT (PRIMARY) TF	9.00-	161,757-		60,616-	222,373-		222,373-

	COL A12	COL A14	COL A14-A12 AGY AMD REQ FY 2001-02 OVER(UNDER)	COL A15	COL A16	CODES
	AGY FNL REQ FY 2001-02 POS AMOUNT	AGY AMD REQ FY 2001-02 POS AMOUNT	AGY FNL REQ FY 2001-02 POS AMOUNT	AGY AMD N/R FY 2001-02 POS AMOUNT	AGY AMD ANZ FY 2001-02 POS AMOUNT	
STATE OPERATIONS						A
TRANSPORTATION, DEPT OF TRANSP SYSTEMS DEVELOPMENT PGM: HIGHWAY/BRIDGE CONST ECONOMIC OPPORTUNITIES RIGHT-OF-WAY ACQUISITION						55000000 55100000 55100100 11 <u>1101.01.04.00</u>
INTRODUCING COMPETITION INTO GOVERNMENT SERVICES AND ACTIVITIES RIGHT OF WAY ACTIVITIES SALARIES AND BENEFITS						19C0000 19C1400 010000
ST TRANSPORT (PRIMARY) TF - STATE		8.00- 207,545-	8.00- 207,545-	- 262,253		2540 1
EXPENSES						040000
ST TRANSPORT (PRIMARY) TF - STATE		16,000-	16,000-	- 20,000		2540 1
TOTAL: RIGHT OF WAY ACTIVITIES BY FUND						19C1400
ST TRANSPORT (PRIMARY) TF - STATE		8.00- 223,545-	8.00- 223,545-	- 282,253		2540 1

01/02
Row

Actual

-10

AGENCY ISSUE NARRATIVE:
 2001-2002 BUDGET YEAR NARRATIVE:

 Amended 2001-02 Narrative after January 17, 2001

This issue is being added to conform to the Governor's recommendations. It was originally requested under issue code 19G1400, titled Privatization of State Programs, Right of Way Activities.

Description:

 This issue requests deletion of 8 right-of-way positions throughout the Department by privatizing various right-of-way functions and Consultant Fees budget in anticipation of privatizing the Outdoor Advertising Program during FY 2001/02.

Distribution is as follows:

Class
 Title Dist 1 Dist 4 Dist 5 Dist 6 Total

Narrative
 Not revised
 for advances

2 additional Row positions
 deleted in issue 19C1500 for
 which there was no narrative

Sal & Ben - 43,858
 Exp - 4,000
 47,858

COL A12		COL A14		COL A14-A12 AGY AMD REQ FY 2001-02 OVER(UNDER)		COL A15		COL A16		CODES
AGY FNL REQ FY 2001-02 POS	AMOUNT	AGY AMD REQ FY 2001-02 POS	AMOUNT	AGY FNL REQ FY 2001-02 POS	AMOUNT	AGY AMD N/R FY 2001-02 POS	AMOUNT	AGY AMD ANZ FY 2001-02 POS	AMOUNT	

STATE OPERATIONS

TRANSPORTATION, DEPT OF
 TRANSP SYSTEMS DEVELOPMENT
 PGM: HIGHWAY/BRIDGE CONST
 ECONOMIC OPPORTUNITIES
 RIGHT-OF-WAY ACQUISITION

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INTRODUCING COMPETITION INTO
 GOVERNMENT SERVICES AND ACTIVITIES
 RIGHT OF WAY ACTIVITIES

EAS I	2				2
RE I	1	2	1	1	5
OS I		1			1
Total	3	3	1	1	8

Expense reductions in the amount of \$2,000 per position is also included in this issue.

Summary: Issue added to conform to issue code structure in Governor's recommendations.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2001-02							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
9200 OFFICE SUPPORT-LEVEL I							
C4122 001	1.00-	15,135-		6,224-	21,359-	0.00	21,359-
9620 ENGINEERING/ARCH/SURVEY-LEVEL I							
C4133 001	2.00-	35,946-		13,471-	49,417-	0.00	49,417-
9680 REAL ESTATE-LEVEL I							
C4121 001	5.00-	101,075-		35,694-	136,769-	0.00	136,769-
TOTALS FOR ISSUE BY FUND							
2540 ST TRANSPORT (PRIMARY) TF							207,545-
	8.00-	152,156-		55,389-	207,545-		207,545-

COL A12		COL A14		COL A14-A12 AGY AMD REQ FY 2001-02 OVER(UNDER)		COL A15		COL A16		CODES
AGY FNL REQ FY 2001-02 POS	AMOUNT	AGY AMD REQ FY 2001-02 POS	AMOUNT	AGY FNL REQ FY 2001-02 POS	AMOUNT	AGY AMD N/R FY 2001-02 POS	AMOUNT	AGY AMD ANZ FY 2001-02 POS	AMOUNT	
STATE OPERATIONS										
TRANSPORTATION, DEPT OF										
TRANSP SYSTEMS DEVELOPMENT										
PGM: HIGHWAY/BRIDGE CONST										
ECONOMIC OPPORTUNITIES										
RIGHT-OF-WAY ACQUISITION										
INTRODUCING COMPETITION INTO										
GOVERNMENT SERVICES AND ACTIVITIES										
RIGHT OF WAY ACTIVITIES OUTSOURCING										
SPECIAL CATEGORIES										
CONSULTANT FEES										
ST TRANSPORT (PRIMARY) TF - STATE										
			570,000		570,000					2540 1

01/02
 Row(ODA)

A
 55000000
 55100000
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 1101.01.04.00
 19C0000
 19C1450
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 100686

AGENCY ISSUE NARRATIVE:
 2001-2002 BUDGET YEAR NARRATIVE:

 Amended 2001-02 Narrative after January 17, 2001

This issue is being added to conform to the Governor's recommendations. It was originally requested under issue code 19G1400, titled Privatization of State Programs, Right of Way Activities.

Justification (Outdoor Advertising)
 =====

Budget is requested to hire a contractor to perform routine field inspections and collection of data. Activities such as regulatory decisions and interpretation of federal and state law must remain with the Department and will be handled by a Central Office staff of five employees and five regional inspectors. The privatization of program functions involve objective field observations and measurements, annual inventory updates, field work for new sign sites, and the removal of illegal billboards.

The estimated cost of the consultant contract is \$570,000 annually for 10 years.

Departmental staff will no longer perform the Outdoor Advertising Program beginning in FY 1/2. As the 23 positions currently performing Outdoor Advertising functions are vacated, they will be placed in COPEs in a holding cost center. The Department will delete the 23 positions on July 1, 2002, as shown in the Department's Five Year Position Reduction Plan.

Privatization of the Outdoor Advertising Program should result in an estimated cost savings of \$223,652 annually for the Department.

Calculations:

COL A12		COL A14		COL A14-A12 AGY AMD REQ FY 2001-02 OVER(UNDER)		COL A15		COL A16		CODES
AGY FNL REQ FY 2001-02 POS	AMOUNT	AGY AMD REQ FY 2001-02 POS	AMOUNT	AGY FNL REQ FY 2001-02 POS	AMOUNT	AGY AMD N/R FY 2001-02 POS	AMOUNT	AGY AMD ANZ FY 2001-02 POS	AMOUNT	

STATE OPERATIONS

TRANSPORTATION, DEPT OF
 TRANSP SYSTEMS DEVELOPMENT
PGM: HIGHWAY/BRIDGE CONST
 ECONOMIC OPPORTUNITIES
RIGHT-OF-WAY ACQUISITION

INTRODUCING COMPETITION INTO
 GOVERNMENT SERVICES AND ACTIVITIES
 RIGHT OF WAY ACTIVITIES OUTSOURCING

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 19C0000
 19C1450

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Consultant Fees Requested

 \$ 570,000

The following positions & related budget will be deleted:

Class Code	Class Title	FTE	Salary & Benefits*	Expenses	Total
9700	Real Estate-Level III	(3)	\$(132,966)	\$(6,000)	\$ (138,966)
9710	Real Estate-Level IV	(3)	(158,643)	(6,000)	(164,643)
9860	Regul/Enforce/Prot-Level II	(15)	(409,354)	(30,000)	(439,354)
9210	Office Support-Level II	(2)	(46,689)	(4,000)	(50,689)
Total		(23)	\$(747,652)	\$(46,000)	\$ (793,652)

*Note: The Salary & Benefits amounts include the 2.5% pay adjustment, effective on 10/1/2000.

Amount of budget reduction	\$ 793,652
Less: Consultant Fees requested 01/02	570,000
Estimated Net Savings	\$ 223,652

Expense reductions in the amount of \$2,000 per position is also included in this issue.

Summary: Issue added to conform to issue code structure in Governor's recommendations.

	COL A12	COL A14	COL A14-A12 AGY AMD REQ FY 2001-02 OVER(UNDER)	COL A15	COL A16	CODES
	AGY FNL REQ FY 2001-02 POS AMOUNT	AGY AMD REQ FY 2001-02 POS AMOUNT	AGY FNL REQ FY 2001-02 POS AMOUNT	AGY AMD N/R FY 2001-02 POS AMOUNT	AGY AMD ANZ FY 2001-02 POS AMOUNT	
STATE OPERATIONS						A
TRANSPORTATION, DEPT OF						55000000
TRANSP SYSTEMS DEVELOPMENT						55100000
PGM: HIGHWAY/BRIDGE CONST						55100100
ECONOMIC OPPORTUNITIES						11
TRANSPORTATION PLANNING						1101.01.07.00
INTRODUCING COMPETITION INTO						19C0000
GOVERNMENT SERVICES AND ACTIVITIES						19C1500
OTHER TRANSPORTATION ACTIVITIES						010000
SALARIES AND BENEFITS						
ST TRANSPORT (PRIMARY) TF - STATE		9.00- 242,154-	9.00- 242,154-	- 342,292		2540 1
EXPENSES						040000
ST TRANSPORT (PRIMARY) TF - STATE		18,000-	18,000-	- 26,000		2540 1
TOTAL: OTHER TRANSPORTATION ACTIVITIES BY FUND						19C1500
ST TRANSPORT (PRIMARY) TF - STATE		9.00- 260,154-	9.00- 260,154-	- 368,292		2540 1

*01/02
Planning*

Actual

-13

AGENCY ISSUE NARRATIVE:
 2001-2002 BUDGET YEAR NARRATIVE:

 Amended 2001-02 Narrative after January 17, 2001

This issue is being added to conform to the Governor's recommendations. It was originally requested under issue code 19G1500, titled Privatization of State Programs, Other Transportation Activities.

Description:

 This issue deletes positions and related operating budget to reflect additional privatization of various roadway development, engineering, and planning activities being implemented by the Department. Project phases in the Department's Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget.

Distribution is as follows:

*Narrative not
revised for
advances*

COL A12		COL A14		COL A14-A12 AGY AMD REQ FY 2001-02 OVER(UNDER)		COL A15		COL A16		CODES
AGY FNL REQ FY 2001-02 POS	AMOUNT	AGY AMD REQ FY 2001-02 POS	AMOUNT	AGY FNL REQ FY 2001-02 POS	AMOUNT	AGY AMD N/R FY 2001-02 POS	AMOUNT	AGY AMD ANZ FY 2001-02 POS	AMOUNT	

STATE OPERATIONS

TRANSPORTATION, DEPT OF
 TRANSP SYSTEMS DEVELOPMENT
PGM: HIGHWAY/BRIDGE CONST
 ECONOMIC OPPORTUNITIES
TRANSPORTATION PLANNING

INTRODUCING COMPETITION INTO
 GOVERNMENT SERVICES AND ACTIVITIES
 OTHER TRANSPORTATION ACTIVITIES

A
 55000000
 55100000
 55100100
 11
1101.01.07.00
 19C0000
 19C1500

Class Title	Dist 1	Dist 2	Dist 3	Dist 5	Dist Tpk	Total
EASI	1		1	1		3
PAPD I				1		1
PAPD II	1	1				2
PAPD IV					1	1
OS I	1	1				2
Total	3	2	1	2	1	9

Expense reductions in the amount of \$2,000 per position is also included in this issue.

Summary: Issue added to conform to issue code structure in Governor's recommendations:

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2001-02							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
9200 OFFICE SUPPORT-LEVEL I							
C4132 001	2.00-	30,270-		12,448-	42,718-	0.00	42,718-
9440 PROGRAM ANALYS/PLAN/DEV-LEVEL I							
C4129 001	1.00-	17,292-		6,613-	23,905-	0.00	23,905-
9450 PROGRAM ANALYS/PLAN/DEV-LEVEL II							
C4130 001	2.00-	42,246-		14,605-	56,851-	0.00	56,851-
9470 PROGRAM ANALYS/PLAN/DEV-LEVEL IV							
C4131 001	1.00-	34,791-		9,764-	44,555-	0.00	44,555-
9620 ENGINEERING/ARCH/SURVEY-LEVEL I							
C4128 001	3.00-	53,919-		20,206-	74,125-	0.00	74,125-

COL A12		COL A14		COL A14-A12 AGY AMD REQ FY 2001-02 OVER(UNDER)		COL A15		COL A16		CODES
AGY FNL REQ FY 2001-02 POS	AMOUNT	AGY AMD REQ FY 2001-02 POS	AMOUNT	AGY FNL REQ FY 2001-02 POS	AMOUNT	AGY AMD N/R FY 2001-02 POS	AMOUNT	AGY AMD ANZ FY 2001-02 POS	AMOUNT	

STATE OPERATIONS

TRANSPORTATION, DEPT OF
 TRANSP SYSTEMS DEVELOPMENT
 PGM: HIGHWAY/BRIDGE CONST
 ECONOMIC OPPORTUNITIES
 TRANSPORTATION PLANNING

A
 55000000
 55100000
 55100100
 11
 1101.01.07.00
 19C0000
 19C1500

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2001-02						
CHANGES TO CURRENTLY AUTHORIZED POSITIONS						
TOTALS FOR ISSUE BY FUND						
2540 ST TRANSPORT (PRIMARY) TF						
9.00-	178,518-		63,636-	242,154-		242,154-

	COL A12	COL A14	COL A14-A12 AGY AMD REQ FY 2001-02 OVER(UNDER)	COL A15	COL A16	CODES
	AGY FNL REQ FY 2001-02 POS AMOUNT	AGY AMD REQ FY 2001-02 POS AMOUNT	AGY FNL REQ FY 2001-02 POS AMOUNT	AGY AMD N/R FY 2001-02 POS AMOUNT	AGY AMD ANZ FY 2001-02 POS AMOUNT	
STATE OPERATIONS						A
TRANSPORTATION, DEPT OF						55000000
TRANSP SYSTEMS OPERATIONS						55150000
PGM: HIGHWAY OPERATIONS						55150200
GOV OPERATIONS/SUPPORT						16
TRAFFIC OPERATIONS						1601.01.03.00
INTRODUCING COMPETITION INTO						19C0000
GOVERNMENT SERVICES AND ACTIVITIES						19C1500
OTHER TRANSPORTATION ACTIVITIES						010000
SALARIES AND BENEFITS						
ST TRANSPORT (PRIMARY) TF - STATE		7.00-	7.00-			2540 1
		172,958-	172,958-	- 219,024		
EXPENSES						040000
ST TRANSPORT (PRIMARY) TF - STATE		14,000-	14,000-	- 18,000		2540 1
TOTAL: OTHER TRANSPORTATION ACTIVITIES BY FUND						19C1500
ST TRANSPORT (PRIMARY) TF - STATE		7.00-	7.00-	- 237,024		2540 1
		186,958-	186,958-			

*01/02
Traffic Operations*

Actual

- 9

AGENCY ISSUE NARRATIVE:

2001-2002 BUDGET YEAR NARRATIVE:

 Amended 2001-02 Narrative after January 17, 2001

This issue is being added to conform to the Governor's recommendations. It was originally requested under issue code 19G1500, titled Privatization of State Programs, Other Transportation Activities.

The original narrative is repeated below:

Description:

 This issue deletes positions and related operating budget to reflect additional privatization of various roadway development, engineering, and planning activities being implemented by the Department. Project phases in the Department's Tentative Work program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget.

COL A12		COL A14		COL A14-A12 AGY AMD REQ FY 2001-02 OVER(UNDER)		COL A15		COL A16		CODES
AGY FNL REQ FY 2001-02 POS	AMOUNT	AGY AMD REQ FY 2001-02 POS	AMOUNT	AGY FNL REQ FY 2001-02 POS	AMOUNT	AGY AMD N/R FY 2001-02 POS	AMOUNT	AGY AMD ANZ FY 2001-02 POS	AMOUNT	

STATE OPERATIONS

TRANSPORTATION, DEPT OF
 TRANSP SYSTEMS OPERATIONS
PGM: HIGHWAY OPERATIONS
 GOV OPERATIONS/SUPPORT
TRAFFIC OPERATIONS

A
 55000000
 55150000
 55150200
 16
1601.01.03.00
 19C0000
 19C1500

INTRODUCING COMPETITION INTO
 GOVERNMENT SERVICES AND ACTIVITIES
 OTHER TRANSPORTATION ACTIVITIES

Distribution is as follows:

Class Code	D-1	D-5	Total
EAS I	1	6	7

Expenses reductions in the amount of \$2,000 per position are also included in this issue.

Summary: Issue added to conform to issue code structure in Governor's recommendations.

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A14 - AGY AMD REQ FY 2001-02							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
9620 ENGINEERING/ARCH/SURVEY-LEVEL I C1118 001	7.00-	125,811-		47,147-	172,958-	0.00	172,958-
TOTALS FOR ISSUE BY FUND							
2540 ST TRANSPORT (PRIMARY) TF	7.00-	125,811-		47,147-	172,958-		172,958-

	COL A03	COL A04	COL A05	CODES
	AGY REQUEST FY 2002-03 POS AMOUNT	AGY REQ N/R FY 2002-03 POS AMOUNT	AG REQ ANZ FY 2002-03 POS AMOUNT	
TRANSPORTATION, DEPT OF TRANSP SYSTEMS DEVELOPMENT PGM: HIGHWAY/BRIDGE CONST ECONOMIC OPPORTUNITIES HIWAY CONSTRUCT/ENGINEER				55000000 55100000 55100100 11 <u>1101.01.01.00</u>
INTRODUCING COMPETITION INTO GOVERNMENT SERVICES AND ACTIVITIES CONSTRUCTION ENGINEERING ACTIVITIES SALARIES AND BENEFITS				19C0000 19C1100 010000
ST TRANSPORT (PRIMARY) TF - STATE	35.00- 914,983-			2540 1
EXPENSES				040000
ST TRANSPORT (PRIMARY) TF - STATE	70,000-			2540 1
TOTAL: CONSTRUCTION ENGINEERING ACTIVITIES				19C1100
TOTAL POSITIONS	35.00-			
TOTAL ISSUE	984,983-			

02/03
 Construction

AGENCY ISSUE NARRATIVE:
 2002-2003 BUDGET YEAR NARRATIVE:

Fund Source: 100% State
 =====

Activity: Construction Engineering Inspection
 =====

Performance Measure Affected: Number of projects with construction engineering inspection funded.
 =====

Description:
 =====

This issue deletes positions and related budget to reflect additional privatization of construction contract engineering activities being implemented by the Department. Project phases in the Department's Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget. Expense reductions in the amount of \$2,000 per position are also included in this issue.

Distribution:

Class Code	Class Title	D1	D2	D4	D5	D6	D7	Tpk	Total
------------	-------------	----	----	----	----	----	----	-----	-------

 COL A03 COL A04 COL A05
 AGY REQUEST AGY REQ N/R AG REQ ANZ
 FY 2002-03 FY 2002-03 FY 2002-03
 POS AMOUNT POS AMOUNT POS AMOUNT

TRANSPORTATION, DEPT OF
 TRANSP SYSTEMS DEVELOPMENT
 PGM: HIGHWAY/BRIDGE CONST
 ECONOMIC OPPORTUNITIES
 HIWAY CONSTRUCT/ENGINEER
 INTRODUCING COMPETITION INTO
 GOVERNMENT SERVICES AND ACTIVITIES
 CONSTRUCTION ENGINEERING ACTIVITIES

55000000
 55100000
 55100100
 11
 1101.01.01.00
 19C0000
 19C1100

9201	OS I							1
9260	AMS I	5						5
9261	AMS I		1					1
9620	EAS I	4	6	6		1		17
9621	EAS I		2		1		1	4
9622	EAS I					4		4
9630	EAS II	2						2
9850	REP I		1					1
		6	12	4	6	1	4	2
								35

District	FTE	Sal & Ben	Expenses	Total
One	(6)	\$(163,137)	\$(12,000)	\$(175,137)
Two	(12)	(308,453)	(24,000)	(332,453)
Four	(4)	(107,658)	(8,000)	(115,658)
Five	(6)	(151,428)	(12,000)	(163,428)
Six	(1)	(27,785)	(2,000)	(29,785)
Seven	(4)	(103,499)	(8,000)	(111,499)
Turnpike	(2)	(53,023)	(4,000)	(57,023)
Total	(35)	\$(914,983)	\$(70,000)	\$(984,983)

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE BASE RATE ADDITIVES BENEFITS SUBTOTAL LAPSE % LAPSED SALARIES AND BENEFITS

A03 - AGY REQUEST FY 2002-03

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

9201 OFFICE SUPPORT-LEVEL I							
C6014 002	1.00-	16,939-		6,592-	23,531-	0.00	23,531-
9260 ADMINISTRATIVE/MGT SUPT-LEVEL I							
C6015 002	5.00-	99,385-		35,182-	134,567-	0.00	134,567-

	COL A03	COL A04	COL A05	CODES
	AGY REQUEST FY 2002-03 POS	AGY REQ N/R FY 2002-03 POS	AG REQ ANZ FY 2002-03 AMOUNT	
TRANSPORTATION, DEPT OF				55000000
TRANSP SYSTEMS DEVELOPMENT				55100000
PGM: HIGHWAY/BRIDGE CONST				55100100
ECONOMIC OPPORTUNITIES				11
HIWAY CONSTRUCT/ENGINEER				1101.01.01.00
INTRODUCING COMPETITION INTO				19C0000
GOVERNMENT SERVICES AND ACTIVITIES				19C1100
CONSTRUCTION ENGINEERING ACTIVITIES				

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2002-03							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
9261 ADMINISTRATIVE/MGT SUPT-LEVEL I							
C6016 002	1.00-	21,303-		7,253-	28,556-	0.00	28,556-
9620 ENGINEERING/ARCH/SURVEY-LEVEL I							
C6017 002	17.00-	313,174-		115,872-	429,046-	0.00	429,046-
9621 ENGINEERING/ARCH/SURVEY-LEVEL I							
C6018 002	4.00-	82,536-		28,605-	111,141-	0.00	111,141-
9622 ENGINEERING/ARCH/SURVEY-LEVEL I							
C6019 002	4.00-	75,900-		27,599-	103,499-	0.00	103,499-
9630 ENGINEERING/ARCH/SURVEY-LEVEL II							
C6020 002	2.00-	47,012-		15,173-	62,185-	0.00	62,185-
9850 REGULATORY/ENFORCE/PROTECT-LEVEL I							
C6021 002	1.00-	16,007-		6,451-	22,458-	0.00	22,458-

TOTALS FOR ISSUE BY FUND							
2540 ST TRANSPORT (PRIMARY) TF							914,983-
	35.00-	672,256-		242,727-	914,983-		914,983-
	=====	=====	=====	=====	=====		=====

	COL A03		COL A04		COL A05		CODES
	AGY REQ FY 2002-03 POS	AMOUNT	AGY REQ N/R FY 2002-03 POS	AMOUNT	AG REQ ANZ FY 2002-03 POS	AMOUNT	
TRANSPORTATION, DEPT OF							55000000
TRANSP SYSTEMS DEVELOPMENT							55100000
PGM: HIGHWAY/BRIDGE CONST							55100100
ECONOMIC OPPORTUNITIES							11
PRE-CONSTRUCTN/DESIGN SVCS							1101.01.02.00
INTRODUCING COMPETITION INTO							19C0000
GOVERNMENT SERVICES AND ACTIVITIES							19C1200
DESIGN ACTIVITIES							010000
SALARIES AND BENEFITS							
ST TRANSPORT (PRIMARY) TF - STATE	21.00-		547,829-				2540 1
EXPENSES							040000
ST TRANSPORT (PRIMATE) TF - STATE			42,000-				2540 1
TOTAL: DESIGN ACTIVITIES							19C1200
TOTAL POSITIONS	21.00-						
TOTAL ISSUE.....			589,829-				

02/03
 Design

AGENCY ISSUE NARRATIVE:
 2002-2003 BUDGET YEAR NARRATIVE:

Fund Source: 100% State
 =====

Activity: Preliminary Engineering
 =====

Performance Measure Affected: Number of projects with preliminary engineering funded.
 =====

Description:
 =====

This issue deletes positions and related budget to reflect additional privatization of roadway design activities being implemented by the Department. Project phases in the Department's Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget.

Distribution:
 =====

Class Code	Class Title	D1	D2	D4	D5	D6	Tr	Pol	Total
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		COL A03	COL A04	COL A05	
		AGY REQUEST	AGY REQ N/R	AG REQ ANZ	
		FY 2002-03	FY 2002-03	FY 2002-03	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT
TRANSPORTATION, DEPT OF					55000000
TRANSP SYSTEMS DEVELOPMENT					55100000
PGM: HIGHWAY/BRIDGE CONST					55100100
ECONOMIC OPPORTUNITIES					11
PRE-CONSTRUCTN/DESIGN SVCS					<u>1101.01.02.00</u>
INTRODUCING COMPETITION INTO					
GOVERNMENT SERVICES AND ACTIVITIES					19C0000
DESIGN ACTIVITIES					19C1200

9620	EAS I	5	1	7	1	14
9621	EAS I		2	5		7
		5	1	2	7	5
					1	21

District	FTE	Sal & Ben	Expenses	Total
One	(5)	\$(126,190)	\$(10,000)	\$(136,190)
Two	(1)	(25,238)	(2,000)	(27,238)
Four	(2)	(55,570)	(4,000)	(59,570)
Five	(7)	(176,666)	(14,000)	(190,666)
Six	(5)	(138,927)	(10,000)	(147,927)
Tran Policy	(1)	(25,238)	(2,000)	(27,238)
Total	(21)	\$(547,829)	\$(42,000)	\$(588,829)

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2002-03							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
9620 ENGINEERING/ARCH/SURVEY-LEVEL I							
C6022 002	14.00-	257,908-		95,424-	353,332-	0.00	353,332-
9621 ENGINEERING/ARCH/SURVEY-LEVEL I							
C6023 002	7.00-	144,438-		50,059-	194,497-	0.00	194,497-
TOTALS FOR ISSUE BY FUND							
2540 ST TRANSPORT (PRIMARY) TF							547,829-
	21.00-	402,346-		145,483-	547,829-		547,829-

	COL A03	COL A04	COL A05	CODES
	AGY REQUEST FY 2002-03 POS AMOUNT	AGY REQ N/R FY 2002-03 POS AMOUNT	AG REQ ANZ FY 2002-03 POS AMOUNT	
TRANSPORTATION, DEPT OF TRANSP SYSTEMS DEVELOPMENT PGM: HIGHWAY/BRIDGE CONST ECONOMIC OPPORTUNITIES MATERIAL TESTING & RESEARCH INTRODUCING COMPETITION INTO GOVERNMENT SERVICES AND ACTIVITIES MATERIALS AND TESTING ACTIVITIES SALARIES AND BENEFITS				55000000 55100000 55100100 11 1101.01.03.00
ST TRANSPORT (PRIMARY) TF - STATE	8.00- 207,839-			19C0000 19C1300 010000 2540 1
EXPENSES				040000
ST TRANSPORT (PRIMARY) TF - STATE	16,000-			2540 1
TOTAL: MATERIALS AND TESTING ACTIVITIES				19C1300
TOTAL POSITIONS	8.00-			
TOTAL ISSUE	223,839-			

*03/03
Materials Test*

AGENCY ISSUE NARRATIVE:
 2002-2003 BUDGET YEAR NARRATIVE:

Fund Source: 100% State
 =====

Activity: Materials and Research
 =====

Performance Measure Affected: Number of projects with materials and research funded.
 =====

Description:
 =====

This issue deletes positions and related budget to reflect additional privatization of Materials and Testing activities being implemented by the Department. Project phases in the Department's Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget.

Distribution:

Class Code	Class Title	D2	D4	Tr	Pol	Total
-----	-----	---	---	-----	-----	-----

COL A03		COL A04		COL A05		CODES
AGY REQUEST	AGY REQ N/R	AGY REQ N/R	AGY REQ N/R	AG REQ ANZ	AG REQ ANZ	
FY 2002-03	FY 2002-03	FY 2002-03	FY 2002-03	FY 2002-03	FY 2002-03	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

TRANSPORTATION, DEPT OF
 TRANSP SYSTEMS DEVELOPMENT
 PGM: HIGHWAY/BRIDGE CONST
 ECONOMIC OPPORTUNITIES
 MATERIAL TESTING & RESEARCH
 INTRODUCING COMPETITION INTO
 GOVERNMENT SERVICES AND ACTIVITIES
 MATERIALS AND TESTING ACTIVITIES

55000000
 55100000
 55100100
 11
 1101.01.03.00
 19C0000
 19C1300

9201	OS I	1	1	1
9620	EAS I	1	3	4
9621	EAS I	3	3	3
		1	4	3
				8

District	FTE	Sal & Ben	Expenses	Total
Two	(1)	\$(25,238)	\$(2,000)	\$(27,238)
Four	(4)	(106,887)	(8,000)	(114,887)
Tran Policy	(3)	(75,714)	(6,000)	(81,714)
Total	(8)	\$(207,839)	\$(16,000)	\$(223,839)

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2002-03							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
9201 OFFICE SUPPORT-LEVEL I							
C6024 002	1.00-	16,939-		6,592-	23,531-	0.00	23,531-
9620 ENGINEERING/ARCH/SURVEY-LEVEL I							
C6025 002	4.00-	73,688-		27,264-	100,952-	0.00	100,952-
9621 ENGINEERING/ARCH/SURVEY-LEVEL I							
C6026 002	3.00-	61,902-		21,454-	83,356-	0.00	83,356-
TOTALS FOR ISSUE BY FUND							
2540 ST TRANSPORT (PRIMARY) TF							207,839-
	8.00-	152,529-		55,310-	207,839-		207,839-

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2002-03 POS	AMOUNT	AGY REQ N/R FY 2002-03 POS	AMOUNT	AG REQ ANZ FY 2002-03 POS	AMOUNT	
TRANSPORTATION, DEPT OF TRANSP SYSTEMS DEVELOPMENT PGM: HIGHWAY/BRIDGE CONST ECONOMIC OPPORTUNITIES RIGHT-OF-WAY ACQUISITION INTRODUCING COMPETITION INTO GOVERNMENT SERVICES AND ACTIVITIES RIGHT OF WAY ACTIVITIES SALARIES AND BENEFITS							55000000 55100000 55100100 11 1101.01.04.00
ST TRANSPORT (PRIMARY) TF - STATE	5.00-	146,748-					19C0000 19C1400 010000 2540 1
EXPENSES							040000
ST TRANSPORT (PRIMARY) TF - STATE		10,000-					2540 1
TOTAL: RIGHT OF WAY ACTIVITIES							19C1400
TOTAL POSITIONS	5.00-						
TOTAL ISSUE		156,748-					

02/03
ROW

AGENCY ISSUE NARRATIVE:
 2002-2003 BUDGET YEAR NARRATIVE:

Fund Source: 100% State
 =====

Activity: Right of Way Support
 =====

Performance Measure Affected: Number of projects with right of way funded.
 =====

Description:
 =====

This issue deletes positions and related budget to reflect additional privatization of right of way activities being implemented by the Department. Project phases in the Department's Tentative Work program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget. Expense reductions in the amount of \$2,000 per position are also included in this issue.

Distribution:
 =====

Class Class
 Code Title D2 D4 Total

COL A03	COL A04	COL A05
AGY REQUEST	AGY REQ N/R	AG REQ ANZ
FY 2002-03	FY 2002-03	FY 2002-03
POS	AMOUNT	POS

CODES

TRANSPORTATION, DEPT OF
 TRANSP SYSTEMS DEVELOPMENT
 PGM: HIGHWAY/BRIDGE CONST
 ECONOMIC OPPORTUNITIES
 RIGHT-OF-WAY ACQUISITION
 INTRODUCING COMPETITION INTO
 GOVERNMENT SERVICES AND ACTIVITIES
 RIGHT OF WAY ACTIVITIES

5500000
 55100000
 55100100
 11
 1101.01.04.00
 19C0000
 19C1400

9680	REA I	2	2	4
9690	REA II	1		1
		3	2	5

District	FTE	Sal & Ben	Expenses	Total
Two	(3)	\$(90,977)	\$(6,000)	\$(96,977)
Four	(2)	(55,771)	(4,000)	(59,771)
Total	(5)	\$(146,748)	\$(10,000)	\$(156,748)

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2002-03							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
9680 REAL ESTATE-LEVEL I							
C6027 002	4.00-	82,884-		28,659-	111,543-	0.00	111,543-
9690 REAL ESTATE-LEVEL II							
C6028 002	1.00-	27,077-		8,128-	35,205-	0.00	35,205-
TOTALS FOR ISSUE BY FUND							
2540 ST TRANSPORT (PRIMARY) TF							146,748-
	5.00-	109,961-		36,787-	146,748-		146,748-

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2002-03 POS	AMOUNT	AGY REQ N/R FY 2002-03 POS	AMOUNT	AG REQ ANZ FY 2002-03 POS	AMOUNT	
TRANSPORTATION, DEPT OF							55000000
TRANSP SYSTEMS DEVELOPMENT							55100000
<u>PGM: HIGHWAY/BRIDGE CONST</u>							55100100
ECONOMIC OPPORTUNITIES							11
<u>RIGHT-OF-WAY ACQUISITION</u>							<u>1101.01.04.00</u>
INTRODUCING COMPETITION INTO							19C0000
GOVERNMENT SERVICES AND ACTIVITIES							19C1600
OUTDOOR ADVERTISING ACTIVITIES							010000
SALARIES AND BENEFITS							
ST TRANSPORT (PRIMARY) TF - STATE	17.00-		545,699-				2540 1
EXPENSES							040000
ST TRANSPORT (PRIMARY) TF - STATE			34,000-				2540 1
TOTAL: OUTDOOR ADVERTISING ACTIVITIES							19C1600
TOTAL POSITIONS	17.00-						
TOTAL ISSUE			579,699-				

02/03
 ROW (ODA)

AGENCY ISSUE NARRATIVE:
 2002-2003 BUDGET YEAR NARRATIVE:

Fund Source: 100% State
 =====

Activity: Right of Way Support
 =====

Performance Measure Affected: Number of projects with right of way support funded.
 =====

Description:
 =====

This issue deletes positions and related budget to reflect additional privatization of Outdoor Advertising activities being implemented by the Department. The Department gave up 6 positions in 2001/02 and proposes to delete 17 positions in 2002/03 for a total of 23 positions for Outdoor Advertising. Contracts have been negotiated to provide the services. Expense reductions in the amount of \$2,000 per position have also been included in this issue.

See issue #19C1650 which details the contract analysis and reflects the cost benefits to be derived from outsourcing.

Distribution:
 =====

 COL A03 COL A04 COL A05
 AGY REQUEST AGY REQ N/R AG REQ ANZ
 FY 2002-03 FY 2002-03 FY 2002-03
 POS AMOUNT POS AMOUNT POS AMOUNT

CODES

TRANSPORTATION, DEPT OF
 TRANSP SYSTEMS DEVELOPMENT
 PGM: HIGHWAY/BRIDGE CONST
 ECONOMIC OPPORTUNITIES
 RIGHT-OF-WAY ACQUISITION
 INTRODUCING COMPETITION INTO
 GOVERNMENT SERVICES AND ACTIVITIES
 OUTDOOR ADVERTISING ACTIVITIES

55000000
 55100000
 55100100
 11
 1101.01.04.00
 19C0000
 19C1600

Class Code	Class Title	Dist 2	Dist 3	Dist 4	Dist 5	Dist 6	Tr Pol	Total
9210	O.S.-Level II				1			1
9620	E.A.S.-Level I			1				1
9630	E.A.S.-Level II			1				1
9700	R.E.-Level III			1	1			2
9710	R.E.-Level IV	1	1					2
9860	R.E.P.-Level II	2	2		2	3	1	10
Total		3	3	3	4	3	1	17

District	FTE	Salaries & Benefits	Expenses	Total
Two	(3)	\$(106,033)	\$(6,000)	\$(112,033)
Three	(3)	(106,033)	(6,000)	(112,033)
Four	(3)	(99,106)	(6,000)	(105,106)
Five	(4)	(122,983)	(8,000)	(130,983)
Six	(3)	(83,658)	(6,000)	(89,658)
Transp Policy	(1)	(27,886)	(2,000)	(29,886)
Total	(17)	\$(545,699)	\$(34,000)	\$(579,699)

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A03 - AGY REQUEST FY 2002-03

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

9210 OFFICE SUPPORT-LEVEL II	00537 001	1.00-	17,725-	6,710-	24,435-	0.00	24,435-
9620 ENGINEERING/ARCH/SURVEY-LEVEL I	00467 001	1.00-	18,422-	6,816-	25,238-	0.00	25,238-
9630 ENGINEERING/ARCH/SURVEY-LEVEL II	07470 001	1.00-	23,506-	7,586-	31,092-	0.00	31,092-

	COL A03	COL A04	COL A05	CODES
	AGY REQUEST FY 2002-03 POS	AGY REQ N/R FY 2002-03 POS	AG REQ ANZ FY 2002-03 POS	
TRANSPORTATION, DEPT OF				55000000
TRANSP SYSTEMS DEVELOPMENT				55100000
PGM: HIGHWAY/BRIDGE CONST				55100100
ECONOMIC OPPORTUNITIES				11
RIGHT-OF-WAY ACQUISITION				1101.01.04.00
INTRODUCING COMPETITION INTO				19C0000
GOVERNMENT SERVICES AND ACTIVITIES				19C1600
OUTDOOR ADVERTISING ACTIVITIES				

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2002-03							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
9700 REAL ESTATE-LEVEL III							
00536 001	1.00-	33,652-		9,124-	42,776-	0.00	42,776-
11747 001	1.00-	33,652-		9,124-	42,776-	0.00	42,776-
9710 REAL ESTATE-LEVEL IV							
00282 001	1.00-	40,151-		10,110-	50,261-	0.00	50,261-
13990 001	1.00-	40,151-		10,110-	50,261-	0.00	50,261-
9860 REGULATORY/ENFORCE/PROTECT-LEVEL II							
00213 001	1.00-	20,721-		7,165-	27,886-	0.00	27,886-
00263 001	1.00-	20,721-		7,165-	27,886-	0.00	27,886-
00304 001	1.00-	20,721-		7,165-	27,886-	0.00	27,886-
00553 001	1.00-	20,721-		7,165-	27,886-	0.00	27,886-
00554 001	1.00-	20,721-		7,165-	27,886-	0.00	27,886-
00998 001	1.00-	20,721-		7,165-	27,886-	0.00	27,886-
06989 001	1.00-	20,721-		7,165-	27,886-	0.00	27,886-
08911 001	1.00-	20,721-		7,165-	27,886-	0.00	27,886-
09788 001	1.00-	20,721-		7,165-	27,886-	0.00	27,886-
12293 001	1.00-	20,721-		7,165-	27,886-	0.00	27,886-
TOTALS FOR ISSUE BY FUND							545,699-
2540 ST TRANSPORT (PRIMARY) TF							545,699-
	17.00-	414,469-		131,230-	545,699-		545,699-

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2002-03	POS	AGY REQ N/R FY 2002-03	POS	AG REQ ANZ FY 2002-03	POS	
TRANSPORTATION, DEPT OF							55000000
TRANSP SYSTEMS DEVELOPMENT							55100000
PGM: HIGHWAY/BRIDGE CONST							55100100
ECONOMIC OPPORTUNITIES							11
TRANSPORTATION PLANNING							<u>1101.01.07.00</u>
INTRODUCING COMPETITION INTO							19C0000
GOVERNMENT SERVICES AND ACTIVITIES							19C1500
OTHER TRANSPORTATION ACTIVITIES							010000
SALARIES AND BENEFITS							
ST TRANSPORT (PRIMARY) TF - STATE	4.00-		102,694-				2540 1
EXPENSES							040000
ST TRANSPORT (PRIMARY) TF - STATE			8,000-				2540 1
TOTAL: OTHER TRANSPORTATION ACTIVITIES							19C1500
TOTAL POSITIONS	4.00-						
TOTAL ISSUE			110,694-				

02/03
 Planning

AGENCY ISSUE NARRATIVE:
 2002-2003 BUDGET YEAR NARRATIVE:

Fund Source: 100% State
 =====

Activity: Planning
 =====

Performance Measure Affected: Number of projects with planning funded.
 =====

Description:
 =====

This issue deletes positions and related budget to reflect additional privatization of various roadway developments, engineering, and planning activities being implemented by the Department. Project phases in the Department's Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget. Expense reductions in the amount of \$2,000 per position are also included in this issue.

Distribution:

Class Class

COL A03 COL A04 COL A05
 AGY REQUEST AGY REQ N/R AG REQ ANZ
 FY 2002-03 FY 2002-03 FY 2002-03
 POS AMOUNT POS AMOUNT POS AMOUNT

CODES

TRANSPORTATION, DEPT OF
 TRANSP SYSTEMS DEVELOPMENT
 PGM: HIGHWAY/BRIDGE CONST
 ECONOMIC OPPORTUNITIES
 TRANSPORTATION PLANNING

55000000
 55100000
 55100100
 11
 1101.01.07.00
 19C0000
 19C1500

INTRODUCING COMPETITION INTO
 GOVERNMENT SERVICES AND ACTIVITIES
 OTHER TRANSPORTATION ACTIVITIES

Code	Title	D2	D4	D5	Tr	Pol	Total
9441	PAPD I		1				1
9620	EAS I	1		1		1	3
		1	1	1		1	4

District	FTE	Sal & Ben	Expenses	Total
Two	(1)	\$(25,238)	\$(2,000)	\$(27,238)
Four	(1)	(26,980)	(2,000)	(28,980)
Five	(1)	(25,238)	(2,000)	(27,238)
Tran Policy	(1)	(25,238)	(2,000)	(27,238)
Total	(4)	\$(102,694)	\$(18,000)	\$(110,694)

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2002-03							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
9441 PROGRAM ANALYS/PLAN/DEV-LEVEL I							
C6029 002	1.00-	19,935-		7,045-	26,980-	0.00	26,980-
9620 ENGINEERING/ARCH/SURVEY-LEVEL I							
C6030 002	3.00-	55,266-		20,448-	75,714-	0.00	75,714-
TOTALS FOR ISSUE BY FUND							
2540 ST TRANSPORT (PRIMARY) TF							102,694-
	4.00-	75,201-		27,493-	102,694-		102,694-

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2002-03 POS	AMOUNT	AGY REQ N/R FY 2002-03 POS	AMOUNT	AG REQ ANZ FY 2002-03 POS	AMOUNT	
TRANSPORTATION, DEPT OF							55000000
TRANSP SYSTEMS OPERATIONS							55150000
PGM: HIGHWAY OPERATIONS							55150200
GOV OPERATIONS/SUPPORT							16
TRAFFIC OPERATIONS							1601.01.03.00
INTRODUCING COMPETITION INTO							19C0000
GOVERNMENT SERVICES AND ACTIVITIES							19C1500
OTHER TRANSPORTATION ACTIVITIES							010000
SALARIES AND BENEFITS							
ST TRANSPORT (PRIMARY) TF - STATE	3.00-	77,391-					2540 1
EXPENSES							040000
ST TRANSPORT (PRIMARY) TF - STATE		6,000-					2540 1
TOTAL: OTHER TRANSPORTATION ACTIVITIES							19C1500
TOTAL POSITIONS	3.00-						
TOTAL ISSUE		83,391-					

*02/03
 Traffic Operations*

AGENCY ISSUE NARRATIVE:
 2002-2003 BUDGET YEAR NARRATIVE:
 Fund Source: 100% State
 =====

Activity: Traffic Engineering
 =====

Performance Measure Affected: Number of projects with traffic engineering funded.
 =====

Description:
 =====

This issue deletes positions and related budget to reflect privatization of traffic operation activities being implemented by the Department. Project phases in the Departments Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget. Expense reductions in the amount of \$2,000 per position are also included in this issue.

Distribution:

Class Code	Class Title	D1	D2	D5	Total
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	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2002-03 POS	AMOUNT	AGY REQ N/R FY 2002-03 POS	AMOUNT	AG REQ ANZ FY 2002-03 POS	AMOUNT	
TRANSPORTATION, DEPT OF							55000000
TRANSP SYSTEMS OPERATIONS							55150000
PGM: HIGHWAY OPERATIONS							55150200
GOV OPERATIONS/SUPPORT							16
TRAFFIC OPERATIONS							<u>1601.01.03.00</u>
INTRODUCING COMPETITION INTO							19C0000
GOVERNMENT SERVICES AND ACTIVITIES							19C1500
OTHER TRANSPORTATION ACTIVITIES							

9620 EAS I	1	1		2
9260 SES AMS I			1	1
	1	1	1	3

District	FTE	Sal & Ben	Expenses	Total
One	(1)	\$(25,239)	\$(2,000)	\$(27,239)
Two	(1)	\$(25,238)	\$(2,000)	\$(27,238)
Five	(1)	\$(26,914)	\$(2,000)	\$(28,914)
Total	(3)	\$(77,391)	\$(6,000)	\$(83,391)

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2002-03							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
9260 ADMINISTRATIVE/MGT SUPT-LEVEL I							
C7002 001	1.00-	19,877-		7,037-	26,914-	0.00	26,914-
9620 ENGINEERING/ARCH/SURVEY-LEVEL I							
C7001 001	2.00-	36,844-		13,633-	50,477-	0.00	50,477-
TOTALS FOR ISSUE BY FUND							
2540 ST TRANSPORT (PRIMARY) TF							77,391-
	3.00-	56,721-		20,670-	77,391-		77,391-

	COL A03	COL A04	COL A05	CODES
	AGY REQUEST FY 2003-04 POS AMOUNT	AGY REQ N/R FY 2003-04 POS AMOUNT	AG REQ ANZ FY 2003-04 POS AMOUNT	
TRANSPORTATION, DEPT OF TRANSP SYSTEMS DEVELOPMENT PGM: HIGHWAY/BRIDGE CONST ECONOMIC OPPORTUNITIES HIWAY CONSTRUCT/ENGINEER				55000000 55100000 55100100 11 <u>1101.01.01.00</u>
OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES CONSTRUCTION ENGINEERING ACTIVITIES SALARIES AND BENEFITS				33J0000 33J1100 010000
ST TRANSPORT (PRIMARY) TF - STATE	40.00- 1,079,200-			2540 1
EXPENSES				040000
ST TRANSPORT (PRIMARY) TF - STATE		80,000-		2540 1
TOTAL: CONSTRUCTION ENGINEERING ACTIVITIES				33J1100
TOTAL POSITIONS	40.00-			
TOTAL ISSUE	1,159,280-			

*03/04
Construction*

AGENCY ISSUE NARRATIVE:
 2003-2004 BUDGET YEAR NARRATIVE:
 Fund Source: 100% State
 =====

IT COMPONENT? NO

Activity: Construction Engineering Inspection
 =====

Performance Measure Affected: Number of projects with construction engineering inspection
 ===== funded.

Description:
 =====
 This issue deletes positions and related operating budget to reflect additional privatization of construction contract engineering activities being implemented by the Department. Project phases in the Department's Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget. Expense reductions in the amount of \$2,000 per position are also included in this issue.

Distribution:

Class Code	Class Title	Dist 1	Dist 2	Dist 3	Dist 4	Dist 5	Dist 6	Dist 7	Total
-----	-----	-----	-----	-----	-----	-----	-----	-----	-----

COL A03 COL A04 COL A05
 AGY REQUEST AGY REQ N/R AG REQ ANZ
 FY 2003-04 FY 2003-04 FY 2003-04
 POS AMOUNT POS AMOUNT POS AMOUNT

CODES

TRANSPORTATION, DEPT OF
 TRANSP SYSTEMS DEVELOPMENT
 PGM: HIGHWAY/BRIDGE CONST
 ECONOMIC OPPORTUNITIES
 HIWAY CONSTRUCT/ENGINEER

55000000
 55100000
 55100100
 11
 1101.01.01.00

OUTSOURCING OF STATE PROGRAMS,
 SERVICES OR ACTIVITIES
 CONSTRUCTION ENGINEERING ACTIVITIES

33J0000
 33J1100

9620	EAS I	5		6		4			15
9621	EAS I				7		5		12
9622	EAS I							4	4
9630	EAS II					1			1
9201	OS I				2		2		4
9260	AMS I		4						4
Total		5	4	6	9	5	7	4	40

Unit	FTE	Sal & Ben	Expenses
Dist 1	(5)	\$(130,006)	\$(10,000)
Dist 2	(4)	(110,776)	(8,000)
Dist 3	(6)	(156,007)	(12,000)
Dist 4	(9)	(248,572)	(18,000)
Dist 5	(5)	(135,927)	(10,000)
Dist 6	(7)	(191,420)	(14,000)
Dist 7	(4)	(106,572)	(8,000)
Total	(40)	\$(1,079,280)	\$(80,000)

 POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A03 - AGY REQUEST FY 2003-04

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

9201 OFFICE SUPPORT-LEVEL I						
C7030 001	4.00-	69,444-		27,639-	97,083-	0.00
9260 ADMINISTRATIVE/MGT SUPT-LEVEL I						
C7031 001	4.00-	81,496-		29,280-	110,776-	0.00
9620 ENGINEERING/ARCH/SURVEY-LEVEL I						
C7026 001	15.00-	283,260-		106,758-	390,018-	0.00

	COL A03 AGY REQUEST FY 2003-04 POS	COL A04 AGY REQ N/R FY 2003-04 POS	COL A05 AG REQ ANZ FY 2003-04 POS	AMOUNT	AMOUNT	AMOUNT	CODES
TRANSPORTATION, DEPT OF							55000000
TRANSP SYSTEMS DEVELOPMENT							55100000
PGM: HIGHWAY/BRIDGE CONST							55100100
ECONOMIC OPPORTUNITIES							11
HIWAY CONSTRUCT/ENGINEER							<u>1101.01.01.00</u>
OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES CONSTRUCTION ENGINEERING ACTIVITIES							33J0000 33J1100

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2003-04							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
9621 ENGINEERING/ARCH/SURVEY-LEVEL I							
C7027 001	12.00-	253,800-		89,109-	342,909-	0.00	342,909-
9622 ENGINEERING/ARCH/SURVEY-LEVEL I							
C7028 001	4.00-	77,796-		28,776-	106,572-	0.00	106,572-
9630 ENGINEERING/ARCH/SURVEY-LEVEL II							
C7029 001	1.00-	24,095-		7,827-	31,922-	0.00	31,922-

TOTALS FOR ISSUE BY FUND							
2540 ST TRANSPORT (PRIMARY) TF							1,079,280-
	40.00-	789,891-		289,389-	1,079,280-		1,079,280-
=====							

TOTAL: HIWAY CONSTRUCT/ENGINEER							<u>1101.01.01.00</u>
BY FUND TYPE							
TRUST FUNDS.....		1,002.00					2000
		56,065,680					
=====							

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2003-04 POS	AMOUNT	AGY REQ N/R FY 2003-04 POS	AMOUNT	AG REQ ANZ FY 2003-04 POS	AMOUNT	
TRANSPORTATION, DEPT OF							55000000
FLORIDA'S TURNPIKE SYSTEMS							55180000
FL'S TURNPIKE ENTERPRISE							55180100
ECONOMIC OPPORTUNITIES							11
HIWAY CONSTRUCT/ENGINEER							1101.01.01.00
OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES							33J0000
CONSTRUCTION ENGINEERING ACTIVITIES							33J1100
SALARIES AND BENEFITS							010000
ST TRANSPORT (PRIMARY) TF - STATE	3.00-	82,712-					2540 1
EXPENSES							040000
ST TRANSPORT (PRIMARY) TF - STATE		6,000-					2540 1
TOTAL: CONSTRUCTION ENGINEERING ACTIVITIES							33J1100
TOTAL POSITIONS	3.00-						
TOTAL ISSUE		88,712-					

03/04
 Construction
 (Turnpike)

AGENCY ISSUE NARRATIVE:
 2003-2004 BUDGET YEAR NARRATIVE:
 Fund Source: 100% State

IT COMPONENT? NO

Activity: Construction Engineering Inspection
 =====

Performance Measure Affected: Number of Projects with construction engineering inspection funded
 =====

Description:
 =====
 This issue deletes positions and related budget to reflect additional privatization of construction contract engineering activities being implemented by the Department. Project phases in the Department's Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget. Expenses reductions in the amount of \$2,000 per position are also included in this issue.

Class Code	Class Title	FTE
9201	OS-I	(1)
9620	EAS-I	(1)
9621	EAS-I	(1)

	COL A03	COL A04	COL A05	CODES
	AGY REQUEST FY 2003-04 POS AMOUNT	AGY REQ N/R FY 2003-04 POS AMOUNT	AG REQ ANZ FY 2003-04 POS AMOUNT	
TRANSPORTATION, DEPT OF				55000000
FLORIDA'S TURNPIKE SYSTEMS				55180000
FL'S TURNPIKE ENTERPRISE				55180100
ECONOMIC OPPORTUNITIES				11
HIWAY CONSTRUCT/ENGINEER				<u>1101.01.01.00</u>
OUTSOURCING OF STATE PROGRAMS,				
SERVICES OR ACTIVITIES				33J0000
CONSTRUCTION ENGINEERING ACTIVITIES				33J1100

 (3)

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2003-04							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
9201 OFFICE SUPPORT-LEVEL I - SES							
C0307 001	1.00-	17,361-		8,186-	25,547-	0.00	25,547-
9620 ENGINEERING/ARCH/SURVEY-LEVEL I - SES							
C0308 001	1.00-	18,884-		8,404-	27,288-	0.00	27,288-
9621 ENGINEERING/ARCH/SURVEY-LEVEL I - SES							
C0309 001	1.00-	21,150-		8,727-	29,877-	0.00	29,877-

TOTALS FOR ISSUE BY FUND							
2540 ST TRANSPORT (PRIMARY) TF							82,712-
	3.00-	57,395-		25,317-	82,712-		82,712-
							=====

TOTAL: HIWAY CONSTRUCT/ENGINEER							<u>1101.01.01.00</u>
BY FUND TYPE							
TRUST FUNDS.....	17.00	1,726,138					2000
							=====

	COL A03	COL A04	COL A05	CODES
	AGY REQUEST FY 2003-04 POS AMOUNT	AGY REQ N/R FY 2003-04 POS AMOUNT	AG REQ ANZ FY 2003-04 POS AMOUNT	
TRANSPORTATION, DEPT OF				55000000
TRANSP SYSTEMS DEVELOPMENT				55100000
PGM: HIGHWAY/BRIDGE CONST				55100100
ECONOMIC OPPORTUNITIES				11
PRE-CONSTRUCTN/DESIGN SVCS				<u>1101.01.02.00</u>
OUTSOURCING OF STATE PROGRAMS,				33J0000
SERVICES OR ACTIVITIES				33J1200
DESIGN ACTIVITIES				010000
SALARIES AND BENEFITS				
ST TRANSPORT (PRIMARY) TF - STATE	50.00-			2540 1
	1,376,787-			
EXPENSES				040000
ST TRANSPORT (PRIMARY) TF - STATE		100,000-		2540 1
TOTAL: DESIGN ACTIVITIES				33J1200
TOTAL POSITIONS	50.00-			
TOTAL ISSUE.....	1,476,787-			

03/04
 Design

AGENCY ISSUE NARRATIVE:
 2003-2004 BUDGET YEAR NARRATIVE:
 Fund Source: 100% State
 =====

IT COMPONENT? NO

Activity: Preliminary Engineering
 =====

Performance Measure Affected: Number of people with preliminary engineering funded.
 =====

Description:
 =====

This issue deletes positions and related operating budget to reflect additional privatization of roadway design activities being implemented by the Department. Project phases in the Department's Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget. Expense reductions in the amount of \$2,000 per position are also included in this issue.

Distribution:

Class Code	Class Title	Dist 1	Dist 2	Dist 3	Dist 4	Dist 5	Dist 6	Transp Policy	Total
9620	EAS I	3	6	9		7		2	27

		COL A03		COL A04		COL A05		CODES
		AGY REQUEST FY 2003-04 POS	AMOUNT	AGY REQ N/R FY 2003-04 POS	AMOUNT	AG REQ ANZ FY 2003-04 POS	AMOUNT	
TRANSPORTATION, DEPT OF								55000000
TRANSP SYSTEMS DEVELOPMENT								55100000
PGM: HIGHWAY/BRIDGE CONST								55100100
ECONOMIC OPPORTUNITIES								11
PRE-CONSTRUCTN/DESIGN SVCS								<u>1101.01.02.00</u>
OUTSOURCING OF STATE PROGRAMS,								33J0000
SERVICES OR ACTIVITIES								33J1200
DESIGN ACTIVITIES								
9621	EAS I			12		9		21
9570	ST II	1						1
9640	EAS III		1					1
Total		4	6	10	12	7	9	50

Unit	FTE	Sal & Ben	Expenses
Dist 1	(4)	\$(111,068)	\$(8,000)
Dist 2	(6)	(156,007)	(12,000)
Dist 3	(10)	(275,612)	(20,000)
Dist 4	(12)	(342,909)	(24,000)
Dist 5	(7)	(182,008)	(14,000)
Dist 6	(9)	(257,181)	(18,000)
Tr Policy	(2)	(52,002)	(4,000)
Total	(50)	\$(1,376,787)	\$(100,000)

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2003-04							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
9570 SCIENTIFIC/TECHNICAL-LEVEL II							
C7034 001	1.00-	25,100-		7,964-	33,064-	0.00	33,064-
9620 ENGINEERING/ARCH/SURVEY-LEVEL I							
C7032 001	27.00-	509,868-		192,164-	702,032-	0.00	702,032-
9621 ENGINEERING/ARCH/SURVEY-LEVEL I							
C7033 001	21.00-	444,150-		155,940-	600,090-	0.00	600,090-
9640 ENGINEERING/ARCH/SURVEY-LEVEL III							
C7035 001	1.00-	32,614-		8,987-	41,601-	0.00	41,601-

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2003-04	POS	AGY REQ N/R FY 2003-04	POS	AG REQ ANZ FY 2003-04	POS	
TRANSPORTATION, DEPT OF							55000000
TRANSP SYSTEMS DEVELOPMENT							55100000
PGM: HIGHWAY/BRIDGE CONST							55100100
ECONOMIC OPPORTUNITIES							11
PRE-CONSTRUCTN/DESIGN SVCS							<u>1101.01.02.00</u>
OUTSOURCING OF STATE PROGRAMS,							33J0000
SERVICES OR ACTIVITIES							33J1200
DESIGN ACTIVITIES							

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2003-04							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
TOTALS FOR ISSUE BY FUND							1,376,787-
2540 ST TRANSPORT (PRIMARY) TF							
	50.00-	1,011,732-		365,055-	1,376,787-		1,376,787-

	COL A03 AGY REQUEST FY 2003-04 POS AMOUNT	COL A04 AGY REQ N/R FY 2003-04 POS AMOUNT	COL A05 AG REQ ANZ FY 2003-04 POS AMOUNT	CODES
TRANSPORTATION, DEPT OF TRANSP SYSTEMS DEVELOPMENT PGM: HIGHWAY/BRIDGE CONST ECONOMIC OPPORTUNITIES MATERIAL TESTING & RESEARCH OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES MATERIALS AND TESTING ACTIVITIES SALARIES AND BENEFITS				55000000 55100000 55100100 11 1101.01.03.00
ST TRANSPORT (PRIMARY) TF - STATE	33.00-	860,847-		33J0000 33J1300 010000 2540 1
EXPENSES				040000
ST TRANSPORT (PRIMARY) TF - STATE		66,000-		2540 1
TOTAL: MATERIALS AND TESTING ACTIVITIES				33J1300
TOTAL POSITIONS	33.00-			
TOTAL ISSUE.....		926,847-		

03/04
 Materials Test

AGENCY ISSUE NARRATIVE:
 2003-2004 BUDGET YEAR NARRATIVE:
 Fund Source: 100% State
 =====

IT COMPONENT? NO

Activity: Materials and Research
 =====

Performance Measure Affected: Number of projects with materials and research funded.
 =====

Description:
 =====

This issue deletes positions and related operating budget to reflect additional privatization of Materials Testing activities being implemented by the Department. Project phases in the Department's Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget. Expense reductions in the amount of \$2,000 per position are also included in this issue.

Distribution:

Class Code	Class Title	Dist 2	Dist 3	Dist 4	Dist 5	Transp Policy	Total
9620	EAS I	3	8		6	7	24

		COL A03		COL A04		COL A05		CODES
		AGY REQUEST		AGY REQ N/R		AG REQ ANZ		
		FY 2003-04		FY 2003-04		FY 2003-04		
		POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
TRANSPORTATION, DEPT OF								55000000
TRANSP SYSTEMS DEVELOPMENT								55100000
PGM: HIGHWAY/BRIDGE CONST								55100100
ECONOMIC OPPORTUNITIES								11
MATERIAL TESTING & RESEARCH								<u>1101.01.03.00</u>
OUTSOURCING OF STATE PROGRAMS,								33J0000
SERVICES OR ACTIVITIES								33J1300
MATERIALS AND TESTING ACTIVITIES								
9621	EAS I			5		5		
9200	OS I				2	2		
9201	OS I			1		1		
9560	ST I	1				1		
Total		4	8	6	6	9	33	

Unit	FTE	Sal & Ben	Expenses
Dist 2	(4)	\$(102,447)	\$(8,000)
Dist 3	(8)	(208,009)	(16,000)
Dist 4	(6)	(167,150)	(12,000)
Dist 5	(6)	(156,007)	(12,000)
Tr Policy	(9)	(227,234)	(18,000)
Total	(33)	\$(860,847)	\$(66,000)

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2003-04							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
9200 OFFICE SUPPORT-LEVEL I							
C7038 001	2.00-	31,804-		13,422-	45,226-	0.00	45,226-
9201 OFFICE SUPPORT-LEVEL I							
C7039 001	1.00-	17,361-		6,910-	24,271-	0.00	24,271-
9560 SCIENTIFIC/TECHNICAL-LEVEL I							
C7040 001	1.00-	17,512-		6,931-	24,443-	0.00	24,443-
9620 ENGINEERING/ARCH/SURVEY-LEVEL I							
C7036 001	24.00-	453,216-		170,812-	624,028-	0.00	624,028-
9621 ENGINEERING/ARCH/SURVEY-LEVEL I							
C7037 001	5.00-	105,750-		37,129-	142,879-	0.00	142,879-

	COL A03	COL A04	COL A05	CODES
	AGY REQUEST FY 2003-04 POS AMOUNT	AGY REQ N/R FY 2003-04 POS AMOUNT	AG REQ ANZ FY 2003-04 POS AMOUNT	
TRANSPORTATION, DEPT OF				55000000
TRANSP SYSTEMS DEVELOPMENT				55100000
PGM: HIGHWAY/BRIDGE CONST				55100100
ECONOMIC OPPORTUNITIES				11
MATERIAL TESTNG & RESEARCH				<u>1101.01.03.00</u>
OUTSOURCING OF STATE PROGRAMS,				33J0000
SERVICES OR ACTIVITIES				33J1300
MATERIALS AND TESTING ACTIVITIES				

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2003-04							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
TOTALS FOR ISSUE BY FUND							
2540 ST TRANSPORT (PRIMARY) TF							860,847-
	33.00-	625,643-		235,204-	860,847-		860,847-

TOTAL: MATERIAL TESTNG & RESEARCH							<u>1101.01.03.00</u>
BY FUND TYPE							
TRUST FUNDS.....		441.00		26,921,871			2000

	COL A03 AGY REQUEST FY 2003-04 POS	COL A04 AGY REQ N/R FY 2003-04 POS	COL A05 AG REQ ANZ FY 2003-04 POS	AMOUNT	AMOUNT	AMOUNT	CODES
TRANSPORTATION, DEPT OF							55000000
TRANSP SYSTEMS DEVELOPMENT							55100000
PGM: HIGHWAY/BRIDGE CONST							55100100
ECONOMIC OPPORTUNITIES							11
RIGHT-OF-WAY ACQUISITION							1101.01.04.00
OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES							33J0000
RIGHT OF WAY ACTIVITIES							33J1400
SALARIES AND BENEFITS							010000
ST TRANSPORT (PRIMARY) TF - STATE	14.00-			379,955-			2540 1
EXPENSES							040000
ST TRANSPORT (PRIMARY) TF - STATE				28,000-			2540 1
TOTAL: RIGHT OF WAY ACTIVITIES							33J1400
TOTAL POSITIONS	14.00-						
TOTAL ISSUE.....				407,955-			

03/04
ROW

AGENCY ISSUE NARRATIVE:
 2003-2004 BUDGET YEAR NARRATIVE:
 Fund Source: 100% State
 =====

IT COMPONENT? NO

Activity: Right of Way Support
 =====

Performance Measure Affected: Number of projects with right of way support funded.
 =====

Description:
 =====
 This issue deletes positions and related operating budget to reflect additional privatization of Right of Way activities being implemented by the Department. Project phases in the Department's Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget. Expense reductions in the amount of \$2,000 per position are also included in this issue.

Distribution:

Class Code	Class Title	Dist 1	Dist 2	Dist 4	Dist 5	Dist 6	Total
9200	OS I	1	1				2

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2003-04 POS	AMOUNT	AGY REQ N/R FY 2003-04 POS	AMOUNT	AG REQ ANZ FY 2003-04 POS	AMOUNT	
TRANSPORTATION, DEPT OF							55000000
TRANSP SYSTEMS DEVELOPMENT							55100000
PGM: HIGHWAY/BRIDGE CONST							55100100
ECONOMIC OPPORTUNITIES							11
RIGHT-OF-WAY ACQUISITION							<u>1101.01.04.00</u>
OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES							33J0000
RIGHT OF WAY ACTIVITIES							33J1400
9201 OS I			1		2		
9200 AMS III		2					
9680 RE I	2		2	1			
9850 REP I	2						
Total	5	3	3	1	2	14	

Unit	FTE	Sal & Ben	Expenses
Dist 1	(5)	\$(126,343)	\$(10,000)
Dist 2	(3)	(94,770)	(6,000)
Dist 4	(3)	(81,624)	(6,000)
Dist 5	(1)	(28,677)	(2,000)
Dist 6	(2)	(48,541)	(4,000)
Total	(14)	\$(379,955)	\$(28,000)

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2003-04							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
9200 OFFICE SUPPORT-LEVEL I							
C7041 001	2.00-	31,804-		13,422-	45,226-	0.00	45,226-
9201 OFFICE SUPPORT-LEVEL I							
C7042 001	3.00-	52,083-		20,729-	72,812-	0.00	72,812-
9280 ADMINISTRATIVE/MGT SUPT-LEVEL III							
C7043 001	2.00-	55,508-		16,649-	72,157-	0.00	72,157-
9680 REAL ESTATE-LEVEL I							
C7044 001	5.00-	106,195-		37,189-	143,384-	0.00	143,384-
9850 REGULATORY/ENFORCE/PROTECT-LEVEL I							

	COL A03 AGY REQUEST FY 2003-04 POS	COL A04 AGY REQ N/R FY 2003-04 POS	COL A05 AG REQ ANZ FY 2003-04 POS	AMOUNT	AMOUNT	AMOUNT	CODES
TRANSPORTATION, DEPT OF							55000000
TRANSP SYSTEMS DEVELOPMENT							55100000
PGM: HIGHWAY/BRIDGE CONST							55100100
ECONOMIC OPPORTUNITIES							11
RIGHT-OF-WAY ACQUISITION							<u>1101.01.04.00</u>
OUTSOURCING OF STATE PROGRAMS,							33J0000
SERVICES OR ACTIVITIES							33J1400
RIGHT OF WAY ACTIVITIES							

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2003-04							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
C7045 001	2.00-	32,816-		13,560-	46,376-	0.00	46,376-
TOTALS FOR ISSUE BY FUND							
2540 ST TRANSPORT (PRIMARY) TF	14.00-	278,406-		101,549-	379,955-		379,955-

TOTAL: RIGHT-OF-WAY ACQUISITION							<u>1101.01.04.00</u>
BY FUND TYPE							
TRUST FUNDS.....		436.00		27,499,975			2000

	COL A03	COL A04	COL A05	CODES
	AGY REQUEST FY 2003-04 POS AMOUNT	AGY REQ N/R FY 2003-04 POS AMOUNT	AG REQ ANZ FY 2003-04 POS AMOUNT	
TRANSPORTATION, DEPT OF TRANSP SYSTEMS OPERATIONS PGM: HIGHWAY OPERATIONS GOV OPERATIONS/SUPPORT TRAFFIC OPERATIONS				55000000 55150000 55150200 16 <u>1601.01.03.00</u>
OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES OTHER TRANSPORTATION ACTIVITIES SALARIES AND BENEFITS				33J0000 33J1500 010000
ST TRANSPORT (PRIMARY) TF - STATE	3.00- 78,004-			2540 1
EXPENSES				040000
ST TRANSPORT (PRIMARY) TF - STATE		6,000-		2540 1
TOTAL: OTHER TRANSPORTATION ACTIVITIES				33J1500
TOTAL POSITIONS	3.00-			
TOTAL ISSUE	84,004-			

*03/04
Traffic Operations*

AGENCY ISSUE NARRATIVE:
 2003-2004 BUDGET YEAR NARRATIVE:

IT COMPONENT? NO

Fund Source: 100% State
 =====

Activity: Traffic Engineering
 =====

Performance Measure Affected: Number of projects with traffic engineering funded.
 =====

Description:
 =====

This issue deletes positions and related budget to reflect privatization of traffic operation activities (e.g.; traffic studies, analyses, drainage connection permit reviews) being implemented by the Department. Project phases in the Department's Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget. Expense reductions in the amount of \$2,000 per position are also included in this issue.

Distribution:

Class Code	Class Title	D1	D3	D5	Total
------------	-------------	----	----	----	-------

COL A03		COL A04		COL A05	
AGY REQUEST	AGY REQ N/R	AGY REQ N/R	AGY REQ ANZ	AGY REQUEST	AGY REQ ANZ
FY 2003-04	FY 2003-04	FY 2003-04	FY 2003-04	FY 2003-04	FY 2003-04
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT

CODES

TRANSPORTATION, DEPT OF
 TRANSP SYSTEMS OPERATIONS
 PGM: HIGHWAY OPERATIONS
 GOV OPERATIONS/SUPPORT
 TRAFFIC OPERATIONS
 OUTSOURCING OF STATE PROGRAMS,
 SERVICES OR ACTIVITIES
 OTHER TRANSPORTATION ACTIVITIES

55000000
 55150000
 55150200
 16
1601.01.03.00
 33J0000
 33J1500

 9620 EAS I 1 1 1 3

District	FTE	Sal & Ben	Expenses
One	(1)	\$(26,001)	\$(2,000)
Three	(1)	\$(26,001)	\$(2,000)
Five	(1)	\$(26,002)	\$(2,000)
Total	(3)	\$(78,004)	\$(6,000)

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2003-04							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
9620 ENGINEERING/ARCH/SURVEY-LEVEL I	3.00-	56,652-		21,352-	78,004-	0.00	78,004-
C0238 001							
TOTALS FOR ISSUE BY FUND							
2540 ST TRANSPORT (PRIMARY) TF	3.00-	56,652-		21,352-	78,004-		78,004-

 TOTAL: TRAFFIC OPERATIONS BY FUND TYPE 1601.01.03.00
 TRUST FUNDS..... 190.00
 14,124,828 2000
 =====

	COL A03 AGY REQUEST FY 2003-04 POS	COL A04 AGY REQ N/R FY 2003-04 POS	COL A05 AG REQ ANZ FY 2003-04 POS	AMOUNT	AMOUNT	AMOUNT	CODES
TRANSPORTATION, DEPT OF FLORIDA'S TURNPIKE SYSTEMS FL'S TURNPIKE ENTERPRISE GOV OPERATIONS/SUPPORT TRAFFIC OPERATIONS							55000000 55180000 55180100 16 <u>1601.01.03.00</u>
OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES OTHER TRANSPORTATION ACTIVITIES SALARIES AND BENEFITS							33J0000 33J1500 010000
ST TRANSPORT (PRIMARY) TF - STATE	6.00-			154,447-			2540 1
EXPENSES							040000
ST TRANSPORT (PRIMARY) TF - STATE				12,000-			2540 1
TOTAL: OTHER TRANSPORTATION ACTIVITIES							33J1500
TOTAL POSITIONS	6.00-			166,447-			
TOTAL ISSUE.....							

03/04
 Traffic Operations
 (Turnpike)

AGENCY ISSUE NARRATIVE:
 2003-2004 BUDGET YEAR NARRATIVE:
 Fund Source: 100% State
 =====

IT COMPONENT? NO

Activity: Traffic Engineering
 =====

Performance Measure Affected: Number of projects with traffic engineering funded.
 =====

Description:
 =====

This issue deletes positions and related budget to reflect the privatization of traffic engineering activities being implemented by the Department. Project phases in the Department's Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget. Expenses reductions in the amount of \$2,000 per position are also included in this issue.

Class Code	Class Title	FTE
9200	OS-I	(1)
9620	EAS-I	(1)
9740	OMS-I	(1)

	COL A03	COL A04	COL A05	CODES
	AGY REQUEST FY 2003-04 POS AMOUNT	AGY REQ N/R FY 2003-04 POS AMOUNT	AG REQ ANZ FY 2003-04 POS AMOUNT	
TRANSPORTATION, DEPT OF				55000000
FLORIDA'S TURNPIKE SYSTEMS				55180000
FL'S TURNPIKE ENTERPRISE				55180100
GOV OPERATIONS/SUPPORT				16
TRAFFIC OPERATIONS				<u>1601.01.03.00</u>
OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES				33J0000
OTHER TRANSPORTATION ACTIVITIES				33J1500
9741 OMS-I (3)				
(6)				

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2003-04							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
9200 OFFICE SUPPORT-LEVEL I - SES							
C0318 001	1.00-	15,902-		7,977-	23,879-	0.00	23,879-
9620 ENGINEERING/ARCH/SURVEY-LEVEL I - SES							
C0319 001	1.00-	18,884-		8,404-	27,288-	0.00	27,288-
9740 OPERATION/MAINT/SUPT/TRADE-LEVEL I - SES							
C0320 001	1.00-	15,902-		7,977-	23,879-	0.00	23,879-
9741 OPERATION/MAINT/SUPT/TRADE-LEVEL I - SES							
C0321 001	3.00-	54,501-		24,900-	79,401-	0.00	79,401-

TOTALS FOR ISSUE BY FUND							154,447-
2540 ST TRANSPORT (PRIMARY) TF							154,447-
	6.00-	105,189-		49,258-	154,447-		154,447-
	=====	=====	=====	=====	=====		=====

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2003-04 POS	AMOUNT	AGY REQ N/R FY 2003-04 POS	AMOUNT	AG REQ ANZ FY 2003-04 POS	AMOUNT	
TRANSPORTATION, DEPT OF FLORIDA'S TURNPIKE SYSTEMS FL'S TURNPIKE ENTERPRISE ECONOMIC OPPORTUNITIES TRANSPORTATION PLANNING OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES OTHER TRANSPORTATION ACTIVITIES SALARIES AND BENEFITS							55000000 55180000 55180100 11 1101.01.07.00
ST TRANSPORT (PRIMARY) TF - STATE	1.00-	31,068-					33J0000 33J1500 010000 2540 1
EXPENSES							040000
ST TRANSPORT (PRIMARY) TF - STATE				2,000-			2540 1
TOTAL: OTHER TRANSPORTATION ACTIVITIES	1.00-	33,068-					33J1500
TOTAL POSITIONS							
TOTAL ISSUE.....							

*03/04
 Planning
 (Turnpike)*

AGENCY ISSUE NARRATIVE:
 2003-2004 BUDGET YEAR NARRATIVE:
 Fund Source: 100% State
 =====

IT COMPONENT? NO

Activity: Planning
 =====

Performance Measure Affected: Number of projects with planning funded.
 =====

Description:
 =====

This issue deletes one position and related budget to reflect the privatization of various roadway development, engineering, and planning activities being implemented by the Department. Project phases in the Department's Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget. Expenses reductions in the amount of \$2,000 per position are also included in this issue.

Class Code	Class Title	FTE
9450	PAPD-II	(1)

	COL A03 AGY REQUEST FY 2003-04 POS	COL A04 AGY REQ N/R FY 2003-04 POS	COL A05 AG REQ ANZ FY 2003-04 POS	AMOUNT	AMOUNT	AMOUNT	CODES
TRANSPORTATION, DEPT OF							55000000
FLORIDA'S TURNPIKE SYSTEMS							55180000
FL'S TURNPIKE ENTERPRISE							55180100
ECONOMIC OPPORTUNITIES							11
TRANSPORTATION PLANNING							1101.01.07.00
OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES							33J0000
OTHER TRANSPORTATION ACTIVITIES							33J1500

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2003-04							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
9450 PROGRAM ANALYS/PLAN/DEV-LEVEL II - SES							
C0312 001	1.00-	22,192-		8,876-	31,068-	0.00	31,068-
TOTALS FOR ISSUE BY FUND							
2540 ST TRANSPORT (PRIMARY) TF							31,068-
	1.00-	22,192-		8,876-	31,068-		31,068-

TOTAL: TRANSPORTATION PLANNING BY FUND TYPE							1101.01.07.00
TRUST FUNDS.....	5.00	479,069					2000

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2004-05 POS	AMOUNT	AGY REQ N/R FY 2004-05 POS	AMOUNT	AG REQ ANZ FY 2004-05 POS	AMOUNT	
TRANSPORTATION, DEPT OF TRANSP SYSTEMS DEVELOPMENT PGM: HIGHWAY/BRIDGE CONST ECONOMIC OPPORTUNITIES HIWAY CONSTRUCT/ENGINEER							55000000 55100000 55100100 11 <u>1101.01.01.00</u>
OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES CONSTRUCTION ENGINEERING ACTIVITIES SALARIES AND BENEFITS							33J0000 33J1100 010000
ST TRANSPORT (PRIMARY) TF - STATE	37.00-	1,031,278-					2540 1
EXPENSES							040000
ST TRANSPORT (PRIMARY) TF - STATE		74,000-					2540 1
TOTAL: CONSTRUCTION ENGINEERING ACTIVITIES							33J1100
TOTAL POSITIONS	37.00-						
TOTAL ISSUE		1,105,278-					

04/05
 Construction

AGENCY ISSUE NARRATIVE:
 2004-2005 BUDGET YEAR NARRATIVE:
 Fund Source: 100% State
 =====

IT COMPONENT? NO

Activity: Construction Engineering Inspection
 =====

Performance Measure Affected: Number of projects with construction engineering inspection
 ===== funded.

Description:
 =====

This issue deletes positions and related operating budget to reflect additional privatization of construction contract engineering activities being implemented by the Department. Project phases in the Department's Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget. Expense reductions in the amount of \$2,000 per position are also included in this issue.

Distribution:

Class Code	Class Title	Pay Plan	Broadband Code	Dist 1	Dist 2	Dist 3	Dist 4	Dist 6	Dist 7	Total
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COL A03		COL A04		COL A05	
AGY REQUEST	AGY REQ N/R	AGY REQ N/R	AGY REQ ANZ	AGY REQUEST	AGY REQ ANZ
FY 2004-05	FY 2004-05	FY 2004-05	FY 2004-05	FY 2004-05	FY 2004-05
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT

CODES

TRANSPORTATION, DEPT OF
 TRANSP SYSTEMS DEVELOPMENT
 PGM: HIGHWAY/BRIDGE CONST
 ECONOMIC OPPORTUNITIES
 HIWAY CONSTRUCT/ENGINEER

55000000
 55100000
 55100100
 11
 1101.01.01.00
 33J0000
 33J1100

OUTSOURCING OF STATE PROGRAMS,
 SERVICES OR ACTIVITIES
 CONSTRUCTION ENGINEERING ACTIVITIES

9620	EAS I	CS	17-3029-1	4	3	7	3		17
9621	EAS I	CS	17-3029-1				4	5	9
9622	EAS I	CS	17-3029-1					5	5
9200	OS I	CS	43-9061-1	1					1
9201	OS I	CS	43-9061-1				3	2	5
Total				5	3	7	10	7	37

Unit	FTE	Salaries & Benefits	Expenses
Dist 1	(5)	\$(133,854)	\$(10,000)
Dist 2	(3)	(82,416)	(6,000)
Dist 3	(7)	(192,295)	(14,000)
Dist 4	(10)	(279,999)	(20,000)
Dist 6	(7)	(202,037)	(14,000)
Dist 7	(5)	(140,677)	(10,000)
Total	(37)	\$(1,031,278)	\$(74,000)

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A03 - AGY REQUEST FY 2004-05

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

9200 OFFICE SUPPORT-LEVEL I						
C7009 001	1.00-	16,220-	7,746-	23,966-	0.00	23,966-
9201 OFFICE SUPPORT-LEVEL I						
C7010 001	5.00-	88,540-	39,863-	128,403-	0.00	128,403-
9620 ENGINEERING/ARCH/SURVEY-LEVEL I						
C7006 001	17.00-	327,454-	139,564-	467,018-	0.00	467,018-
9621 ENGINEERING/ARCH/SURVEY-LEVEL I						
C7007 001	9.00-	194,157-	77,057-	271,214-	0.00	271,214-

	COL A03	COL A04	COL A05	CODES
	AGY REQUEST FY 2004-05 POS	AGY REQ N/R FY 2004-05 POS	AG REQ ANZ FY 2004-05 POS	
TRANSPORTATION, DEPT OF				55000000
TRANSP SYSTEMS DEVELOPMENT				55100000
PGM: HIGHWAY/BRIDGE CONST				55100100
ECONOMIC OPPORTUNITIES				11
HIWAY CONSTRUCT/ENGINEER				<u>1101.01.01.00</u>
OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES				33J0000
CONSTRUCTION ENGINEERING ACTIVITIES				33J1100

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2004-05							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS:							
9622 ENGINEERING/ARCH/SURVEY-LEVEL I							
C7008 001	5.00-	99,190-		41,487-	140,677-	0.00	140,677-
TOTALS FOR ISSUE BY FUND							
2540, ST TRANSPORT (PRIMARY) TF							1,031,278-
	37.00-	725,561-		305,717-	1,031,278-		1,031,278-

*****							1101.01.01.00
TOTAL: HIWAY CONSTRUCT/ENGINEER							
BY FUND TYPE							
TRUST FUNDS.....	951.00	57,028,245					2000

	COL A03 AGY REQUEST FY 2004-05 POS AMOUNT	COL A04 AGY REQ N/R FY 2004-05 POS AMOUNT	COL A05 AG REQ ANZ FY 2004-05 POS AMOUNT	CODES
TRANSPORTATION, DEPT OF FLORIDA'S TURNPIKE SYSTEMS FL'S TURNPIKE ENTERPRISE ECONOMIC OPPORTUNITIES HIWAY CONSTRUCT/ENGINEER				55000000 55180000 55180100 11 <u>1101.01.01.00</u>
OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES CONSTRUCTION ENGINEERING ACTIVITIES SALARIES AND BENEFITS				33J0000 33J1100 010000
ST TRANSPORT (PRIMARY) TF - STATE	3.00- 83,683-			2540 1
EXPENSES				040000
ST TRANSPORT (PRIMARY) TF - STATE		6,000-		2540 1
TOTAL: CONSTRUCTION ENGINEERING ACTIVITIES				33J1100
TOTAL POSITIONS	3.00-			
TOTAL ISSUE	89,683-			

04/05
 Construction
 (Turnpike)

AGENCY ISSUE NARRATIVE:
 2004-2005 BUDGET YEAR NARRATIVE:
 Fund Source: 100% State
 =====

IT COMPONENT? NO

Activity: Construction Engineering Inspection
 =====

Performance Measure Affected: Number of Projects with construction engineering inspection funded
 =====

Description:
 =====

This issue deletes positions and related budget to reflect additional privatization of construction contract engineering activities being implemented by the Department. Project phases in the Department's Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget. Expenses reductions in the amount of \$2,000 per position are also included in this issue.

Class Code	Class Title	Pay Plan	Broadband Code	# FTE	Sal & Ben	Expenses	Total
9201	OS-I	SES	43-9061-1	(1)	(\$25,801)	(\$2,000)	(\$27,801)
9620	EAS-I	SES	17-3029-1	(1)	(27,602)	(2,000)	(29,602)
9621	EAS-I	SES	17-3029-1	(1)	(30,280)	(2,000)	(32,280)

	COL A03	COL A04	COL A05	CODES	
	AGY REQUEST FY 2004-05	AGY REQ N/R FY 2004-05	AG REQ ANZ FY 2004-05		
	POS	AMOUNT	POS	AMOUNT	
TRANSPORTATION, DEPT OF					55000000
FLORIDA'S TURNPIKE SYSTEMS					55180000
FL'S TURNPIKE ENTERPRISE					55180100
ECONOMIC OPPORTUNITIES					11
HIWAY CONSTRUCT/ENGINEER					1101.01.01.00
OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES					33J0000
CONSTRUCTION ENGINEERING ACTIVITIES					33J1100

 (3) (\$83,683) (\$6,000) (\$89,683)

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2004-05							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
9201 OFFICE SUPPORT-LEVEL I - SES							
C9009 001	1.00-	17,708-		8,093-	25,801-	0.00	25,801-
9620 ENGINEERING/ARCH/SURVEY-LEVEL I - SES							
C9008 001	1.00-	19,262-		8,340-	27,602-	0.00	27,602-
9621 ENGINEERING/ARCH/SURVEY-LEVEL I - SES							
C9007 001	1.00-	21,573-		8,707-	30,280-	0.00	30,280-

TOTALS FOR ISSUE BY FUND							
2540 ST TRANSPORT (PRIMARY) TF							83,683-
	3.00-	58,543-		25,140-	83,683-		83,683-
	=====	=====	=====	=====	=====		=====

TOTAL: HIWAY CONSTRUCT/ENGINEER BY FUND TYPE							1101.01.01.00
TRUST FUNDS.....	15.00	1,718,140					2000
	=====	=====	=====	=====	=====		

	COL A03	COL A04	COL A05	CODES
	AGY REQUEST FY 2004-05 POS AMOUNT	AGY REQ N/R FY 2004-05 POS AMOUNT	AG REQ ANZ FY 2004-05 POS AMOUNT	
TRANSPORTATION, DEPT OF TRANSP SYSTEMS DEVELOPMENT PGM: HIGHWAY/BRIDGE CONST ECONOMIC OPPORTUNITIES PRE-CONSTRUCTN/DESIGN SVCS OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES DESIGN ACTIVITIES SALARIES AND BENEFITS				55000000 55100000 55100100 11 <u>1101.01.02.00</u>
ST TRANSPORT (PRIMARY) TF - STATE	46.00- 1,292,137-			33J0000 33J1200 010000 2540 1
EXPENSES				040000
ST TRANSPORT (PRIMARY) TF - STATE		92,000-		2540 1
TOTAL: DESIGN ACTIVITIES				33J1200
TOTAL POSITIONS	46.00-			
TOTAL ISSUE	1,384,137-			

04/05
Design

AGENCY ISSUE NARRATIVE:
 2004-2005 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Fund Source: 100% State
 =====

Activity: Preliminary Engineering
 =====

Performance Measure Affected: Number of people with preliminary engineering funded.
 =====

Description:
 =====
 This issue deletes positions and related operating budget to reflect additional privatization of roadway design activities being implemented by the Department. Project phases in the Department's Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget. Expense reductions in the amount of \$2,000 per position are also included in this issue.

Distribution:

Class Code	Class Title	Pay Plan	Broadband Code	Dist 1	Dist 2	Dist 3	Dist 4	Dist 5	Dist 6	Total
9441	PAPD I	CS	13-1111-1				1			1

		COL A03		COL A04		COL A05				CODES
		AGY REQUEST		AGY REQ N/R		AG REQ ANZ				
		FY 2004-05		FY 2004-05		FY 2004-05				
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	POS	AMOUNT			
TRANSPORTATION, DEPT OF										55000000
TRANSP SYSTEMS DEVELOPMENT										55100000
PGM: HIGHWAY/BRIDGE CONST										55100100
ECONOMIC OPPORTUNITIES										11
PRE-CONSTRUCTN/DESIGN SVCS										1101.01.02.00
OUTSOURCING OF STATE PROGRAMS,										33J0000
SERVICES OR ACTIVITIES										33J1200
DESIGN ACTIVITIES										
9620	EAS I	CS	17-3029-1	3	13	2	6	6	9	30
9621	EAS I	CS	17-3029-1				2			11
9630	EAS II	CS	17-3029-2	1						1
9200	OS I	CS	43-9061-1	1	1					2
9201	OS I	CS	43-9061-1						1	1
Total				5	14	2	9	6	10	46

Unit	FTE	Salaries & Benefits	Expenses
Dist 1	(5)	\$(139,979)	\$(10,000)
Dist 2	(14)	(381,091)	(28,000)
Dist 3	(2)	(54,944)	(4,000)
Dist 4	(9)	(254,395)	(18,000)
Dist 5	(6)	(164,832)	(12,000)
Dist 6	(10)	(296,896)	(20,000)
Total		\$(1,292,137)	\$(92,000)

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2004-05							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
9200 OFFICE SUPPORT-LEVEL I							
C7022 001	.2.00-	32,440-		15,492-	47,932-	0.00	47,932-
9201 OFFICE SUPPORT-LEVEL I							
C7023 001	1.00-	17,708-		7,973-	25,681-	0.00	25,681-
9441 PROGRAM ANALYS/PLAN/DEV-LEVEL I							
C7018 001	1.00-	20,843-		8,450-	29,293-	0.00	29,293-
9620 ENGINEERING/ARCH/SURVEY-LEVEL I							
C7019 001	30.00-	577,860-		246,289-	824,149-	0.00	824,149-

COL A03 COL A04 COL A05
 AGY REQUEST AGY REQ N/R AG REQ ANZ
 FY 2004-05 FY 2004-05 FY 2004-05
 POS AMOUNT POS AMOUNT POS AMOUNT

CODES

TRANSPORTATION, DEPT OF
 TRANSP SYSTEMS DEVELOPMENT
 PGM: HIGHWAY/BRIDGE CONST

55000000
 55100000
 55100100
 11
1101.01.02.00

ECONOMIC OPPORTUNITIES
 PRE-CONSTRUCTN/DESIGN SVCS
 OUTSOURCING OF STATE PROGRAMS,
 SERVICES OR ACTIVITIES
 DESIGN ACTIVITIES

33J0000
 33J1200

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2004-05							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
9621 ENGINEERING/ARCH/SURVEY-LEVEL I							
C7020 001	11.00-	237,303-		94,182-	331,485-	0.00	331,485-
9630 ENGINEERING/ARCH/SURVEY-LEVEL II							
C7021 001	1.00-	24,577-		9,020-	33,597-	0.00	33,597-
TOTALS FOR ISSUE BY FUND							
2540 ST TRANSPORT (PRIMARY) TF							1,292,137-
	46.00-	910,731-		381,406-	1,292,137-		1,292,137-

 TOTAL: PRE-CONSTRUCTN/DESIGN SVCS BY FUND TYPE 1101.01.02.00
 TRUST FUNDS..... 1,139.00
 84,003,903
 =====

2000

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2004-05 POS	AMOUNT	AGY REQ N/R FY 2004-05 POS	AMOUNT	AG REQ ANZ FY 2004-05 POS	AMOUNT	
TRANSPORTATION, DEPT OF TRANSP SYSTEMS DEVELOPMENT PCM: HIGHWAY/BRIDGE CONST ECONOMIC OPPORTUNITIES MATERIAL TESTNG & RESEARCH OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES MATERIALS AND TESTING ACTIVITIES SALARIES AND BENEFITS							55000000 55100000 55100100 11 <u>1101.01.03.00</u> 33J0000 33J1300 010000
ST TRANSPORT (PRIMARY) TF - STATE	10.00-	272,955-					2540 1
EXPENSES							040000
ST TRANSPORT (PRIMARY) TF - STATE		20,000-					2540 1
TOTAL: MATERIALS AND TESTING ACTIVITIES							33J1300
TOTAL POSITIONS	10.00-						
TOTAL ISSUE.....		292,955-					

04/05
 Materials Test

AGENCY ISSUE NARRATIVE:
 2004-2005 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Fund Source: 100% State
 =====

Activity: Materials and Research
 =====

Performance Measure Affected: Number of projects with materials and research funded.
 =====

Description:
 =====

This issue deletes positions and related operating budget to reflect additional privatization of Materials Testing activities being implemented by the Department. Project phases in the Department's Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget. Expense reductions in the amount of \$2,000 per position are also included in this issue.

Distribution:

Class Code	Class Title	Pay Plan	Broadband Code	Broadband Occup Level	Dist 4	Transp Policy	Total
9620	EAS I	CS	17-3029-1	Eng Tech		5	5

		COL A03	COL A04	COL A05	CODES	
		AGY REQUEST	AGY REQ N/R	AG REQ ANZ		
		FY 2004-05	FY 2004-05	FY 2004-05		
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
TRANSPORTATION, DEPT OF						
TRANSP SYSTEMS DEVELOPMENT						
PGM: HIGHWAY/BRIDGE CONST						
ECONOMIC OPPORTUNITIES						
MATERIAL TESTING & RESEARCH						
OUTSOURCING OF STATE PROGRAMS,						
SERVICES OR ACTIVITIES						
MATERIALS AND TESTING ACTIVITIES						
9621	EAS I CS	17-3029-1	Eng Tech	2	2	55000000
9200	OS I CS	43-9061-1	L1-Office Admin	1	1	55100000
9201	OS I CS	43-9061-1	L1-Office Clerk	2	2	55100100
Total		4	6	10		11
						<u>1101.01.03.00</u>
						33J0000
						33J1300

Unit	FTE	Salaries & Benefits	Expenses
Dist 4	(4)	\$(111,631)	\$(8,000)
Tr Policy	(6)	(161,324)	(12,000)
Total	(10)	\$(272,955)	\$(20,000)

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2004-05							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
9200 OFFICE SUPPORT-LEVEL I							
C7027 001	1.00-	16,220-		7,746-	23,966-	0.00	23,966-
9201 OFFICE SUPPORT-LEVEL I							
C7028 001	2.00-	35,416-		15,945-	51,361-	0.00	51,361-
9620 ENGINEERING/ARCH/SURVEY-LEVEL I							
C7025 001	5.00-	96,310-		41,048-	137,358-	0.00	137,358-
9621 ENGINEERING/ARCH/SURVEY-LEVEL I							
C7026 001	2.00-	43,146-		17,124-	60,270-	0.00	60,270-

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2004-05 POS	AMOUNT	AGY REQ N/R FY 2004-05 POS	AMOUNT	AG REQ ANZ FY 2004-05 POS	AMOUNT	
TRANSPORTATION, DEPT OF TRANSP SYSTEMS DEVELOPMENT PGM: HIGHWAY/BRIDGE CONST ECONOMIC OPPORTUNITIES RIGHT-OF-WAY ACQUISITION OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES RIGHT OF WAY ACTIVITIES SALARIES AND BENEFITS							5500000 5510000 55100100 11 1101.01.04.00
ST TRANSPORT (PRIMARY) TF - STATE	5.00-	138,652-					33J0000 33J1400 010000 2540 1
EXPENSES							040000
ST TRANSPORT (PRIMARY) TF - STATE		10,000-					2540 1
TOTAL: RIGHT OF WAY ACTIVITIES							33J1400
TOTAL POSITIONS	5.00-						
TOTAL ISSUE		148,652-					

04/05
ROW

AGENCY ISSUE NARRATIVE:
 2004-2005 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Fund Source: 100% State
 =====

Activity: Right of Way Support
 =====

Performance Measure Affected: Number of projects with right of way support funded.
 =====

Description:
 =====
 This issue deletes positions and related operating budget to reflect additional privatization of Right of Way activities being implemented by the Department. Project phases in the Department's Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget. Expense reductions in the amount of \$2,000 per position are also included in this issue.

Distribution:

Class Code	Class Title	Pay Plan	Broadband Code	Broadband Occup Level	Dist 2	Dist 5	Dist 6	Total
9200	OS I	CS	43-9061-1	Office Clerks, General	2			2

				COL A03	COL A04	COL A05				
				AGY REQUEST	AGY REQ N/R	AG REQ ANZ				
				FY 2004-05	FY 2004-05	FY 2004-05				
				POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
										CODES
TRANSPORTATION, DEPT OF										55000000
TRANSP SYSTEMS DEVELOPMENT										55100000
PGM: HIGHWAY/BRIDGE CONST										55100100
ECONOMIC OPPORTUNITIES										11
RIGHT-OF-WAY ACQUISITION										1101.01.04.00
OUTSOURCING OF STATE PROGRAMS,										33J0000
SERVICES OR ACTIVITIES										33J1400
RIGHT OF WAY ACTIVITIES										
9680	RE I	CS	13-1199-1	Bus Ops Spec - A0		1	2	3		
Total					2	1	2	5		
Unit	FTE	Salaries & Benefits		Expenses						
Dist 2	(2)	\$(47,932)		\$(4,000)						
Dist 5	(1)	(30,240)		(2,000)						
Dist 6	(2)	(60,480)		(4,000)						
Total	(5)	\$(138,652)		\$(10,000)						

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2004-05							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
9200 OFFICE SUPPORT-LEVEL I							
C7031 001	2.00-	32,440-		15,492-	47,932-	0.00	47,932-
9680 REAL ESTATE-LEVEL I							
C7032 001	3.00-	64,992-		25,728-	90,720-	0.00	90,720-
TOTALS FOR ISSUE BY FUND							
2540 ST TRANSPORT (PRIMARY) TF							138,652-
	5.00-	97,432-		41,220-	138,652-		138,652-

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2004-05	POS	AGY REQ N/R FY 2004-05	POS	AG REQ ANZ FY 2004-05	POS	
TRANSPORTATION, DEPT OF							55000000
TRANSP SYSTEMS DEVELOPMENT							55100000
PGM: HIGHWAY/BRIDGE CONST							55100100
ECONOMIC OPPORTUNITIES							11
TRANSPORTATION PLANNING							1101.01.07.00
OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES							33J0000
OTHER TRANSPORTATION ACTIVITIES							33J1500
SALARIES AND BENEFITS							010000
ST TRANSPORT (PRIMARY) TF - STATE	3.00-		81,571-				2540 1
EXPENSES							040000
ST TRANSPORT (PRIMARY) TF - STATE			6,000-				2540 1
TOTAL: OTHER TRANSPORTATION ACTIVITIES							33J1500
TOTAL POSITIONS	3.00-						
TOTAL ISSUE			87,571-				

04/05
 Planning

AGENCY ISSUE NARRATIVE:
 2004-2005 BUDGET YEAR NARRATIVE:
 Fund Source: 100% State
 =====

IT COMPONENT? NO

Activity: Planning
 =====

Performance Measure Affected: Number of projects with planning funded.
 =====

Description:
 =====

This issue deletes positions and related budget to reflect additional privatization of various roadway developments, engineering, and planning activities being implemented by the Department. Project phases in the Department's Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget. Expense reductions in the amount of \$2,000 per position are also included in this issue.

Distribution:

Class Code	Class Title	Pay Plan	Broadband Code	Broadband Occup Level	Dist 3	Dist 7	Transp Policy	Total
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COL A03 COL A04 COL A05
 AGY REQUEST AGY REQ N/R AG REQ ANZ
 FY 2004-05 FY 2004-05 FY 2004-05
 POS AMOUNT POS AMOUNT POS AMOUNT

CODES

TRANSPORTATION, DEPT OF
 TRANSP SYSTEMS DEVELOPMENT
 PGM: HIGHWAY/BRIDGE CONST
 ECONOMIC OPPORTUNITIES
 TRANSPORTATION PLANNING
 OUTSOURCING OF STATE PROGRAMS,
 SERVICES OR ACTIVITIES
 OTHER TRANSPORTATION ACTIVITIES

55000000
 55100000
 55100100
 11
 1101,01.07.00
 33J0000
 33J1500

9620	EAS I	CS	17-3029-1	Eng Tech,AO	1		1	2
9440	PAPD I	CS	13-1111-1	LI-Mtmt Analysts		1		1
Total					1	1	1	3

Unit	FTE	Salaries & Benefits	Expenses
Dist 3	(1)	\$(27,472)	\$(2,000)
Dist 7	(1)	(26,628)	(2,000)
Tr Policy	(1)	(27,471)	(2,000)
Total	(3)	\$(81,571)	\$(6,000)

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2004-05							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
9440 PROGRAM ANALYS/PLAN/DEV-LEVEL I							
C7038 001	1.00-	18,530-		8,098-	26,628-	0.00	26,628-
9620 ENGINEERING/ARCH/SURVEY-LEVEL I							
C7037 001	2.00-	38,524-		16,419-	54,943-	0.00	54,943-
TOTALS FOR ISSUE BY FUND							
2540 ST TRANSPORT (PRIMARY) TF							81,571-
	3.00-	57,054-		24,517-	81,571-		81,571-

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2004-05 POS	AMOUNT	AGY REQ N/R FY 2004-05 POS	AMOUNT	AG REQ ANZ FY 2004-05 POS	AMOUNT	
TRANSPORTATION, DEPT OF TRANSP SYSTEMS OPERATIONS PGM: HIGHWAY OPERATIONS GOV OPERATIONS/SUPPORT TRAFFIC OPERATIONS							55000000 55150000 55150200 16 <u>1601.01.03.00</u>
OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES OTHER TRANSPORTATION ACTIVITIES SALARIES AND BENEFITS							33J0000 33J1500 010000
ST TRANSPORT (PRIMARY) TF - STATE	5.00-	143,484-					2540 1
EXPENSES							040000
ST TRANSPORT (PRIMARY) TF - STATE		10,000-					2540 1
TOTAL: OTHER TRANSPORTATION ACTIVITIES							33J1500
TOTAL POSITIONS	5.00-						
TOTAL ISSUE		153,484-					

*04/05
Traffic Operations*

AGENCY ISSUE NARRATIVE:
 2004-2005 BUDGET YEAR NARRATIVE: IT COMPONENT? NO
 Fund Source: 100% State
 =====

Activity: Traffic Engineering
 =====

Performance Measure Affected: Number of projects with traffic engineering funded
 =====

Description:
 =====
 This issue deletes positions and related budget to reflect privatization of traffic operation activities (e.g.; traffic studies, analyses, drainage connection permit reviews) being implemented by the Department. Project phases in the Department's Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget. Expense reductions in the amount of \$2,000 per position are also included in this issue.

Distribution:

Class Code	Class Title	Pay Plan	Broadband Code	Broadband Occup Level	Dist 1	Dist 2	Dist 3	Tr Pol	Total
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COL A03	COL A04	COL A05			
AGY REQUEST	AGY REQ N/R	AG REQ ANZ			
FY 2004-05	FY 2004-05	FY 2004-05			
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT

CODES
 55000000
 55150000
 55150200
 16
 1601.01.03.00
 33J0000
 33J1500

TRANSPORTATION, DEPT OF
 TRANSP SYSTEMS OPERATIONS
 PGM: HIGHWAY OPERATIONS
 GOV OPERATIONS/SUPPORT
 TRAFFIC OPERATIONS
 OUTSOURCING OF STATE PROGRAMS,
 SERVICES OR ACTIVITIES
 OTHER TRANSPORTATION ACTIVITIES

9620	EAS I	CS	17-3029-1	L1-Eng Tech,AO	1	2	1	4
9630	EAS II	CS	17-3029-2	L2-Eng Tech,AO			1	1
Total					1	2	1	5

Issue Summary:
 =====

District	FTE	Sal & Ben	Expenses	Total
One	(1)	\$(27,472)	\$(2,000)	\$(29,472)
Two	(2)	\$(54,943)	\$(4,000)	\$(58,943)
Three	(1)	\$(27,472)	\$(2,000)	\$(29,472)
Tr Pol	(1)	\$(33,597)	\$(2,000)	\$(35,597)
Total	(5)	\$(143,484)	\$(10,000)	\$(153,484)

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2004-05							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
9620 ENGINEERING/ARCH/SURVEY-LEVEL I							
C3014 001	4.00-	77,048-		32,839-	109,887-	0.00	109,887-
9630 ENGINEERING/ARCH/SURVEY-LEVEL II							
C3015 001	1.00-	24,577-		9,020-	33,597-	0.00	33,597-

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2004-05 POS	AMOUNT	AGY REQ N/R FY 2004-05 POS	AMOUNT	AG REQ ANZ FY 2004-05 POS	AMOUNT	
TRANSPORTATION, DEPT OF							55000000
TRANSP SYSTEMS OPERATIONS							55150000
PGM: HIGHWAY OPERATIONS							55150200
GOV OPERATIONS/SUPPORT							16
TRAFFIC OPERATIONS							1601.01.03.00
OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES							33J0000
OTHER TRANSPORTATION ACTIVITIES							33J1500

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2004-05							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
TOTALS FOR ISSUE BY FUND							
2540 ST TRANSPORT (PRIMARY) TF							143,484-
	5.00-	101,625-		41,859-	143,484-		143,484-

TOTAL: TRAFFIC OPERATIONS							1601.01.03.00
BY FUND TYPE							
TRUST FUNDS.....	190.00	15,816,394		4,561			2000
TOTAL: PGM: HIGHWAY OPERATIONS							55150200
BY FUND TYPE							
TRUST FUNDS.....	2,959.00	208,428,772	4,307,317	25,500			2000

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2005-06 POS	AMOUNT	AGY REQ N/R FY 2005-06 POS	AMOUNT	AG REQ ANZ FY 2005-06 POS	AMOUNT	
TRANSPORTATION, DEPT OF TRANSP SYSTEMS DEVELOPMENT PGM: HIGHWAY/BRIDGE CONST ECONOMIC OPPORTUNITIES HIWAY CONSTRUCT/ENGINEER OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES CONSTRUCTION ENGINEERING ACTIVITIES SALARIES AND BENEFITS							55000000 55100000 55100100 11 1101.01.01.00
ST TRANSPORT (PRIMARY) TF - STATE	33.00-	1,022,858-					33J0000 33J1100 010000 2540 1
EXPENSES							040000
ST TRANSPORT (PRIMARY) TF - STATE		66,000-					2540 1
TOTAL: CONSTRUCTION ENGINEERING ACTIVITIES							33J1100
TOTAL POSITIONS	33.00-						
TOTAL ISSUE		1,088,858-					

05/06
 Construction

AGENCY ISSUE NARRATIVE:
 2005-2006 BUDGET YEAR NARRATIVE:
 Fund Source: 100% State
 =====

IT COMPONENT? NO

LRPP Reference: Goal #3: Organization excellence by promoting and encouraging continuous improvement.
 =====

Description:
 =====

This issue deletes positions and related operating budget to reflect additional outsourcing of construction contract engineering activities being implemented by the Department. Project phases in the Department's Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget. Expense reductions in the amount of \$2,000 per position are also included in this issue.

Distribution:

Class Code	Class Title	Pay Plan	Broadband Code	Broadband Title	D1	D2	D3	D4	D6	D7	Total
4605	Engineering Tech I	CS	17-3029-1	Eng Tech,All Other	5	4	8		6	5	28
4606	Engineering Tech II	CS	17-3029-1	Eng Tech,All Other				2			2
0001	Clerk	CS	43-9061-1	Office Clerks,General				2			2

COL A03 COL A04 COL A05
 AGY REQUEST AGY REQ N/R AG REQ ANZ
 FY 2005-06 FY 2005-06 FY 2005-06
 POS AMOUNT POS AMOUNT POS AMOUNT

CODES

TRANSPORTATION, DEPT OF
 TRANSP SYSTEMS DEVELOPMENT
 PGM: HIGHWAY/BRIDGE CONST
 ECONOMIC OPPORTUNITIES
 HIWAY CONSTRUCT/ENGINEER

55000000
 55100000
 55100100
 11
 1101.01.01.00
 33J0000
 33J1100

OUTSOURCING OF STATE PROGRAMS,
 SERVICES OR ACTIVITIES
 CONSTRUCTION ENGINEERING ACTIVITIES

0105 Secretary Specialist CS 43-6014-1 Secretaries

1 1

Total

5 4 8 4 7 5 33

Unit	FTE	Salaries & Benefits	Expenses
Dist 1	(5)	\$(151,796)	\$(10,000)
Dist 2	(4)	(121,437)	(8,000)
Dist 3	(8)	(242,874)	(16,000)
Dist 4	(4)	(123,546)	(8,000)
Dist 6	(7)	(228,642)	(14,000)
Dist 7	(5)	(154,563)	(10,000)
Total	(33)	\$(1,022,858)	\$(66,000)

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2005-06							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
0001 CLERK							
C7013 001	1.00-	17,837-	1,274-	8,850-	27,961-	0.00	27,961-
C7014 001	1.00-	17,837-		8,656-	26,493-	0.00	26,493-
0105 SECRETARY SPECIALIST							
C7015 001	1.00-	22,008-	1,274-	9,486-	32,768-	0.00	32,768-
4605 ENGINEERING TECHNICIAN I							
C7007 001	17.00-	360,264-		155,843-	516,107-	0.00	516,107-
C7008 001	6.00-	127,152-	11,904-	56,818-	195,874-	0.00	195,874-
C7009 001	2.00-	42,384-	960-	18,481-	61,825-	0.00	61,825-
C7010 001	3.00-	63,576-	1,440-	27,722-	92,738-	0.00	92,738-
4606 ENGINEERING TECHNICIAN II							
C7011 001	1.00-	23,833-	1,984-	9,873-	35,690-	0.00	35,690-

COL A03	COL A04	COL A05
AGY REQUEST	AGY REQ N/R	AG REQ ANZ
FY 2005-06	FY 2005-06	FY 2005-06
POS	AMOUNT	POS

CODES

TRANSPORTATION, DEPT OF
 TRANSP SYSTEMS DEVELOPMENT
 PGM: HIGHWAY/BRIDGE CONST
 ECONOMIC OPPORTUNITIES
 HIWAY CONSTRUCT/ENGINEER
 OUTSOURCING OF STATE PROGRAMS,
 SERVICES OR ACTIVITIES
 CONSTRUCTION ENGINEERING ACTIVITIES

55000000
 55100000
 55100100
 11
 1101.01.01.00
 33J0000
 33J1100

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2005-06							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
C7012 001	1.00-	23,833-		9,569-	33,402-	0.00	33,402-
TOTALS FOR ISSUE BY FUND							
2540 ST TRANSPORT (PRIMARY) TF	33.00-	698,724-	18,836-	305,298-	1,022,858-		1,022,858-

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2005-06	POS	AGY REQ N/R FY 2005-06	POS	AG REQ ANZ FY 2005-06	POS	
TRANSPORTATION, DEPT OF FLORIDA'S TURNPIKE SYSTEMS <u>FL'S TURNPIKE ENTERPRISE</u> ECONOMIC OPPORTUNITIES <u>HIWAY CONSTRUCT/ENGINEER</u> OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES CONSTRUCTION ENGINEERING ACTIVITIES SALARIES AND BENEFITS							55000000 55180000 55180100 11 1101.01.01.00
ST TRANSPORT (PRIMARY) TF - STATE	3.00-		91,078-				33J0000 33J1100 010000 2540 1
EXPENSES							040000
ST TRANSPORT (PRIMARY) TF - STATE			6,000-				2540 1
TOTAL: CONSTRUCTION ENGINEERING ACTIVITIES							33J1100
TOTAL POSITIONS	3.00-		97,078-				
TOTAL ISSUE							

05/06
 Construction
 (Turnpike)

AGENCY ISSUE NARRATIVE:
 2005-2006 BUDGET YEAR NARRATIVE:
 Fund Source: 100% State
 =====

IT COMPONENT? NO

LRPP Reference: Goal #3: Organizational excellence by promoting and encouraging continuous improvement.
 =====

Description:
 =====

This issue deletes positions and related budget to reflect additional privatization of construction contract engineering activities being implemented by the Department. Project phases in the Department's Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget. Expenses reductions in the amount of \$2,000 per position are also included in this issue.

Class Code	Class Title	Pay Plan	Broadband Code	Broadband Title	# FTE
4605	Engr Tech I	CS	17-3029-1	Engr Tech, All Others	(3)

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2005-06	POS	AGY REQ N/R FY 2005-06	POS	AG REQ ANZ FY 2005-06	AMOUNT	
TRANSPORTATION, DEPT OF FLORIDA'S TURNPIKE SYSTEMS FL'S TURNPIKE ENTERPRISE ECONOMIC OPPORTUNITIES HIWAY CONSTRUCT/ENGINEER							55000000 55180000 55180100 11 <u>1101.01.01.00</u>
OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES CONSTRUCTION ENGINEERING ACTIVITIES							33J0000 33J1100

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2005-06							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS 4605 ENGINEERING TECHNICIAN I C9009 001	3.00-	63,576-		27,502-	91,078-	0.00	91,078-
TOTALS FOR ISSUE BY FUND 2540 ST TRANSPORT (PRIMARY) TF	3.00-	63,576-		27,502-	91,078-		91,078-

TOTAL: HIWAY CONSTRUCT/ENGINEER BY FUND TYPE							<u>1101.01.01.00</u>
TRUST FUNDS.....	12.00	1,314,830					2000

	COL A03	COL A04	COL A05	CODES
	AGY REQUEST FY 2005-06 POS	AGY REQ N/R FY 2005-06 POS	AG REQ ANZ FY 2005-06 POS	
TRANSPORTATION, DEPT OF TRANSP SYSTEMS DEVELOPMENT PGM: HIGHWAY/BRIDGE CONST ECONOMIC OPPORTUNITIES PRE-CONSTRUCTN/DESIGN SVCS OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES DESIGN ACTIVITIES SALARIES AND BENEFITS				55000000 55100000 55100100 11 <u>1101.01.02.00</u> 33J0000 33J1200 010000
ST TRANSPORT (PRIMARY) TF - STATE	42.00- 1,287,032-			2540 1
EXPENSES				040000
ST TRANSPORT (PRIMARY) TF - STATE	84,000-			2540 1
TOTAL: DESIGN ACTIVITIES				33J1200
TOTAL POSITIONS	42.00-			
TOTAL ISSUE	1,371,032-			

*05/06
Design*

AGENCY ISSUE NARRATIVE:
 2005-2006 BUDGET YEAR NARRATIVE:
 Fund Source: 100% State
 =====

IT COMPONENT? NO

LRPP Reference: Goal #3: Organization excellence by promoting and encouraging continuous improvement.
 =====

Description:
 =====

This issue deletes positions and related operating budget to reflect additional outsourcing of roadway design activities being implemented by the Department. Project phases in the Department's Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget. Expense reductions in the amount of \$2,000 per position are also included in this issue.

Distribution:

Class Code	Class Title	Pay Plan	Broadband Code	Broadband Title	D1	D2	D3	D4	D5	D6	CO	Total
4605	Eng Tech I	CS	17-3029-1	Eng Tech,All Others	3	6	4	3	5	7	4	32
4609	Eng Tech III	CS	17-3029-2	Eng Tech,All Others	1							1
0001	Clerk	CS	43-9061-1	Office Clerks		1		4		2	1	8
0709	Admin Asst I	CS	43-6011-2	Ex Sec & Admin Asst		1						1

COL A03		COL A04		COL A05	
AGY REQUEST	AGY REQ N/R	AGY REQ N/R	AGY REQ ANZ	AGY REQUEST	AGY REQ ANZ
FY 2005-06	FY 2005-06	FY 2005-06	FY 2005-06	FY 2005-06	FY 2005-06
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT

CODES

TRANSPORTATION, DEPT OF
 TRANSP SYSTEMS DEVELOPMENT
 PGM: HIGHWAY/BRIDGE CONST
 ECONOMIC OPPORTUNITIES
 PRE-CONSTRUCTN/DESIGN SVCS
 OUTSOURCING OF STATE PROGRAMS,
 SERVICES OR ACTIVITIES
 DESIGN ACTIVITIES

55000000
 55100000
 55100100
 11
 1101.01.02.00
 33J0000
 33J1200

Total

4 8 4 7 5 9 5 42

Unit	FTE	Salaries & Benefits	Expenses
Dist 1	(4)	\$(128,181)	\$(8,000)
Dist 2	(8)	(245,751)	(16,000)
Dist 3	(4)	(121,436)	(8,000)
Dist 4	(7)	(207,494)	(14,000)
Dist 5	(5)	(151,795)	(10,000)
Dist 6	(9)	(284,442)	(18,000)
C.O.	(5)	(147,933)	(10,000)
Total	(42)	\$(1,287,032)	\$(84,000)

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2005-06							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
0001 CLERK							
C7026 001	4.00-	71,348-	5,096-	35,400-	111,844-	0.00	111,844-
C7027 001	2.00-	35,674-	2,548-	17,700-	55,922-	0.00	55,922-
C7028 001	2.00-	35,674-		17,311-	52,985-	0.00	52,985-
0709 ADMINISTRATIVE ASSISTANT I							
C7029 001	1.00-	27,044-		10,060-	37,104-	0.00	37,104-
4605 ENGINEERING TECHNICIAN I							
C7022 001	2.00-	42,384-	3,968-	18,939-	65,291-	0.00	65,291-
C7023 001	7.00-	148,344-	13,888-	66,288-	228,520-	0.00	228,520-
C7024 001	23.00-	487,416-		210,846-	698,262-	0.00	698,262-
4609 ENGINEERING TECHNICIAN III							
C7025 001	1.00-	27,044-		10,060-	37,104-	0.00	37,104-

COL A03		COL A04		COL A05	
AGY REQUEST	AGY REQ N/R	AGY REQ N/R	AGY REQ N/R	AG REQ ANZ	AG REQ ANZ
FY 2005-06	FY 2005-06	FY 2005-06	FY 2005-06	FY 2005-06	FY 2005-06
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT

CODES

TRANSPORTATION, DEPT OF	55000000
TRANSP SYSTEMS DEVELOPMENT	55100000
PGM: HIGHWAY/BRIDGE CONST	55100100
ECONOMIC OPPORTUNITIES	11
PRE-CONSTRUCTN/DESIGN SVCS	<u>1101.01.02.00</u>
OUTSOURCING OF STATE PROGRAMS,	
SERVICES OR ACTIVITIES	33J0000
DESIGN ACTIVITIES	33J1200

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A03 - AGY REQUEST FY 2005-06

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

TOTALS FOR ISSUE BY FUND
 2540 ST TRANSPORT (PRIMARY) TF

42.00-	874,928-	25,500-	386,604-	1,287,032-		1,287,032-
=====	=====	=====	=====	=====		=====

 TOTAL: PRE-CONSTRUCTN/DESIGN SVCS 1101.01.02.00
 BY FUND TYPE

TRUST FUNDS.....	1,049.00					2000
	79,408,136					
	=====	=====	=====	=====		

BPEADL01 LAS/PBS SYSTEM
BUDGET PERIOD: 1995-2006
STATE OF FLORIDA

EXHIBIT D-3A
EXPENDITURES BY
ISSUE AND APPROPRIATION CATEGORY

SP 09/10/2004 09:46 PAGE: 58
EXHIBIT D-3A
DETAIL OF EXPENDITURES

	COL A03	COL A04	COL A05	CODES
	AGY REQUEST FY 2005-06 POS	AGY REQ N/R FY 2005-06 POS	AG REQ ANZ FY 2005-06 POS	
TRANSPORTATION, DEPT OF TRANSP SYSTEMS DEVELOPMENT PGM: HIGHWAY/BRIDGE CONST ECONOMIC OPPORTUNITIES MATERIAL TESTING & RESEARCH OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES MATERIALS AND TESTING ACTIVITIES SALARIES AND BENEFITS				55000000 55100000 55100100 11 <u>1101.01.03.00</u>
ST TRANSPORT (PRIMARY) TF - STATE	21.00- 637,138-			33J0000 33J1300 010000 2540 1
EXPENSES				040000
ST TRANSPORT (PRIMARY) TF - STATE	42,000-			2540 1
TOTAL: MATERIALS AND TESTING ACTIVITIES				33J1300
TOTAL POSITIONS	21.00-			
TOTAL ISSUE	679,138-			

05/06
Materials Test

AGENCY ISSUE NARRATIVE:
2005-2006 BUDGET YEAR NARRATIVE:
Fund Source: 100% State
=====

IT COMPONENT? NO

LRPP Reference: Goal #3: Organization excellence by promoting and encouraging continuous improvement.
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Description:
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This issue deletes positions and related operating budget to reflect additional outsourcing of Materials Testing activities being implemented by the Department. Project phases in the Department's Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget. Expense reductions in the amount of \$2,000 per position are also included in this issue.

Distribution:

Class Code	Class Title	Pay Plan	Broadband Code	Broadband Title	D2	D3	D4	C.O.	Total
4605	Eng Tech I	CS	17-3029-1	Eng Tech	2	3		8	13
4606	Eng Tech II	CS	17-3029-1	Eng Tech			3		3
0001	Clerk	CS	43-9061-1	Office Clerks			2	3	5

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2005-06 POS	AMOUNT	AGY REQ N/R FY 2005-06 POS	AMOUNT	AG REQ ANZ FY 2005-06 POS	AMOUNT	
TRANSPORTATION, DEPT OF							55000000
TRANSP SYSTEMS DEVELOPMENT							55100000
PGM: HIGHWAY/BRIDGE CONST							55100100
ECONOMIC OPPORTUNITIES							11
MATERIAL TESTING & RESEARCH							1101.01.03.00
OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES							33J0000
MATERIALS AND TESTING ACTIVITIES							33J1300
Total			2	3	5	11	21

Unit	FTE	Salaries & Benefits	Expenses
Dist 2	(2)	\$(60,718)	\$(4,000)
Dist 3	(3)	(91,077)	(6,000)
Dist 4	(5)	(162,991)	(10,000)
C.O.	(11)	(322,352)	(22,000)
Total	(21)	\$(637,138)	\$(42,000)

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2005-06							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
0001 CLERK							
C7037 001	2.00-	35,674-		17,700-	55,922-	0.00	55,922-
C7038 001	3.00-	53,511-	2,548-	25,967-	79,478-	0.00	79,478-
4605 ENGINEERING TECHNICIAN I							
C7035 001	13.00-	275,496-		119,173-	394,669-	0.00	394,669-
4606 ENGINEERING TECHNICIAN II							
C7036 001	3.00-	71,499-	5,952-	29,618-	107,069-	0.00	107,069-
TOTALS FOR ISSUE BY FUND							
2540 ST TRANSPORT (PRIMARY) TF							637,138-
	21.00-	436,180-	8,500-	192,458-	637,138-		637,138-

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2005-06 POS	AMOUNT	AGY REQ N/R FY 2005-06 POS	AMOUNT	AG REQ ANZ FY 2005-06 POS	AMOUNT	
TRANSPORTATION, DEPT OF TRANSP SYSTEMS DEVELOPMENT PGM: HIGHWAY/BRIDGE CONST ECONOMIC OPPORTUNITIES RIGHT-OF-WAY ACQUISITION OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES RIGHT OF WAY ACTIVITIES SALARIES AND BENEFITS							55000000 55100000 55100100 11 1101.01.04.00
ST TRANSPORT (PRIMARY) TF - STATE	9.00-	291,477-					33J0000 33J1400 010000 2540 1
EXPENSES							040000
ST TRANSPORT (PRIMARY) TF - STATE		18,000-					2540 1
TOTAL: RIGHT OF WAY ACTIVITIES							33J1400
TOTAL POSITIONS	9.00-						
TOTAL ISSUE		309,477-					

05/06
ROW

AGENCY ISSUE NARRATIVE:
 2005-2006 BUDGET YEAR NARRATIVE:
 Fund Source: 100% State
 =====

IT COMPONENT? NO

LRPP Reference: Goal #3: Organization excellence by promoting and encouraging continuous improvement.
 =====

Description:

=====
 This issue deletes positions and related operating budget to reflect additional outsourcing of Right of Way activities being implemented by the Department. Project phases in the Department's Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget. Expense reductions in the amount of \$2,000 per position are also included in this issue.

Distribution:

Class Code	Class Title	Pay Plan	Broadband Code	Broadband Title	D2	D4	D5	D6	Total
0001	Clerk	CS	43-9061-1	Office Clerks, General	1	2	2		5
0108	Admin Secretary	CS	43-6011-2	Ex Sectry & Admin Asst	1				1
0045	Records Technician	CS	43-4199-2	Information & Record Clerks		1			1
4406	Right Of Way Specialist I	CS	13-1199-2	Business Oper Specialist				2	2

BPEADL01 LAS/PBS SYSTEM
 BUDGET PERIOD: 1995-2006
 STATE OF FLORIDA

EXHIBIT D-3A
 EXPENDITURES BY
 ISSUE AND APPROPRIATION CATEGORY

SP 09/10/2004 09:46 PAGE: 70
 EXHIBIT D-3A
 DETAIL OF EXPENDITURES

	COL A03	COL A04	COL A05	CODES
	AGY REQUEST FY 2005-06 POS	AGY REQ N/R FY 2005-06 AMOUNT POS	AG REQ ANZ FY 2005-06 AMOUNT	
TRANSPORTATION, DEPT OF				55000000
TRANSP SYSTEMS DEVELOPMENT				55100000
PGM: HIGHWAY/BRIDGE CONST				55100100
ECONOMIC OPPORTUNITIES				11
RIGHT-OF-WAY ACQUISITION				<u>1101.01.04.00</u>
OUTSOURCING OF STATE PROGRAMS,				33J0000
SERVICES OR ACTIVITIES				33J1400
RIGHT OF WAY ACTIVITIES				

Total 2 3 2 2 9

Unit	FTE	Salaries & Benefits	Expenses
Dist 2	(2)	\$(59,894)	\$(4,000)
Dist 4	(3)	(92,050)	(6,000)
Dist 5	(2)	(52,986)	(4,000)
Dist 6	(2)	(86,547)	(4,000)
Total	(9)	\$(291,477)	\$(18,000)

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2005-06							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
0001 CLERK							
C7040 001	2.00-	35,674-	2,548-	17,700-	55,922-	0.00	55,922-
C7041 001	3.00-	53,511-		25,967-	79,478-	0.00	79,478-
0045 RECORDS TECHNICIAN							
C7043 001	1.00-	24,924-	1,274-	9,930-	36,128-	0.00	36,128-
0108 ADMINISTRATIVE SECRETARY							
C7042 001	1.00-	23,833-		9,569-	33,402-	0.00	33,402-
4406 RIGHT-OF-WAY SPECIALIST I							
C7044 001	2.00-	62,290-	2,506-	21,751-	86,547-	0.00	86,547-

COL A03		COL A04		COL A05	
AGY REQUEST	AGY REQ N/R	AGY REQUEST	AGY REQ N/R	AGY REQUEST	AGY REQ ANZ
FY 2005-06	FY 2005-06	FY 2005-06	FY 2005-06	FY 2005-06	FY 2005-06
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT

CODES

TRANSPORTATION, DEPT OF
 TRANSP SYSTEMS DEVELOPMENT
 PGM: HIGHWAY/BRIDGE CONST
 ECONOMIC OPPORTUNITIES
 RIGHT-OF-WAY ACQUISITION

55000000
 55100000
 55100100
 11
1101.01.04.00
 33J0000
 33J1400

OUTSOURCING OF STATE PROGRAMS,
 SERVICES OR ACTIVITIES
 RIGHT OF WAY ACTIVITIES

POSITION DETAIL OF SALARIES AND BENEFITS:

FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
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A03 - AGY REQUEST FY 2005-06

CHANGES TO CURRENTLY AUTHORIZED POSITIONS

TOTALS FOR ISSUE BY FUND
 2540 ST TRANSPORT (PRIMARY) TF

9.00-	200,232-	6,328-	84,917-	291,477-		291,477-
=====	=====	=====	=====	=====		=====

 TOTAL: RIGHT-OF-WAY ACQUISITION 1101.01.04.00
 BY FUND TYPE

TRUST FUNDS.....	404.00	27,790,382				2000
	=====	=====	=====	=====		=====

	COL A03	COL A04	COL A05	CODES
	AGY REQUEST FY 2005-06 POS	AGY REQ N/R FY 2005-06 POS	AG REQ ANZ FY 2005-06 POS	
TRANSPORTATION, DEPT OF TRANSP SYSTEMS DEVELOPMENT <u>PGH: HIGHWAY/BRIDGE CONST</u> ECONOMIC OPPORTUNITIES <u>TRANSPORTATION PLANNING</u> OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES OTHER TRANSPORTATION ACTIVITIES SALARIES AND BENEFITS				55000000 55100000 55100100 11 <u>1101.01.07.00</u>
ST TRANSPORT (PRIMARY) TF - STATE	5.00- 164,153-			33J0000 33J1500 010000 2540 1
EXPENSES				040000 2540 1
ST TRANSPORT (PRIMARY) TF - STATE	10,000-			33J1500
TOTAL: OTHER TRANSPORTATION ACTIVITIES				
TOTAL POSITIONS	5.00-			
TOTAL ISSUE.....	174,153-			

05/06
 Planning

AGENCY ISSUE NARRATIVE:
 2005-2006 BUDGET YEAR NARRATIVE:
 Fund Source: 100% State
 =====

IT COMPONENT? NO

LRPP Reference: Goal #3: Organization excellence by promoting and encouraging continuous improvement.
 =====

Description:

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 This issue deletes positions and related budget to reflect additional outsourcing of various roadway developments, engineering, and planning activities being implemented by the Department. Project phases in the Department's Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget. Expense reductions in the amount of \$2,000 per position are also included in this issue.

Distribution:

Class Code	Class Title	Pay Plan	Broadband Code	Broadband Title	D2	D3	D4	D6	C.O.	Total
0120	Staff Asst	CS	43-6011-2	Ex Sectry & Admin Asst	1			1		2
4605	Eng Tech I	CS	17-3029-1	Eng Tech		1	1		1	3

	COL A03	COL A04	COL A05	CODES
	AGY REQUEST FY 2005-06 POS	AGY REQ N/R FY 2005-06 AMOUNT POS	AG REQ ANZ FY 2005-06 AMOUNT POS	
TRANSPORTATION, DEPT OF				55000000
TRANSP SYSTEMS DEVELOPMENT				55100000
PGM: HIGHWAY/BRIDGE CONST				55100100
ECONOMIC OPPORTUNITIES				11
TRANSPORTATION PLANNING				1101.01.07.00
OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES				33J0000
OTHER TRANSPORTATION ACTIVITIES				33J1500
Total			1 1 1 1 1 5	

Unit	FTE	Salaries & Benefits	Expenses
Dist 2	(1)	\$(34,661)	\$(2,000)
Dist 3	(1)	(30,359)	(2,000)
Dist 4	(1)	(32,646)	(2,000)
Dist 6	(1)	(36,128)	(2,000)
C.O.	(1)	(30,359)	(2,000)
Total	(5)	\$(164,153)	\$(10,000)

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2005-06							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
0120 STAFF ASSISTANT							
C7050 001	1.00-	24,924-	1,274-	9,930-	36,128-	0.00	36,128-
C7051 001	1.00-	24,924-		9,737-	34,661-	0.00	34,661-
4605 ENGINEERING TECHNICIAN I							
C7052 001	1.00-	21,192-	1,984-	9,470-	32,646-	0.00	32,646-
C7053 001	2.00-	42,384-		18,334-	60,718-	0.00	60,718-
TOTALS FOR ISSUE BY FUND							
2540 ST TRANSPORT (PRIMARY) TF							164,153-
	5.00-	113,424-	3,258-	47,471-	164,153-		164,153-

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2005-06 POS	AMOUNT	AGY REQ N/R FY 2005-06 POS	AMOUNT	AG REQ ANZ FY 2005-06 POS	AMOUNT	
TRANSPORTATION, DEPT OF TRANSP SYSTEMS OPERATIONS PGM: HIGHWAY OPERATIONS GOV OPERATIONS/SUPPORT TRAFFIC OPERATIONS OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES OTHER TRANSPORTATION ACTIVITIES SALARIES AND BENEFITS							55000000 55150000 55150200 16 <u>1601,01,03.00</u>
ST TRANSPORT (PRIMARY) TF - STATE	4.00-	128,182-					33J0000 33J1500 010000 2540 1
EXPENSES							040000
ST TRANSPORT (PRIMARY) TF - STATE		8,000-					2540 1
TOTAL: OTHER TRANSPORTATION ACTIVITIES							33J1500
TOTAL POSITIONS	4.00-						
TOTAL ISSUE.....		136,182-					

05/06
Traffic Operations

AGENCY ISSUE NARRATIVE:
 2005-2006 BUDGET YEAR NARRATIVE:
 Fund Source: 100% State
 =====

IT COMPONENT? NO

LRPP Reference: Goal #3: Organization excellence by promoting and encouraging continuous improvement.
 =====

Description:
 =====

This issue deletes positions and related budget to reflect privatization of traffic operations activities being implemented by the Department. Project phases in the Department's Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget. Expense reductions in the amount of \$2,000 per position are also included in this issue.

Distribution:
 =====

Class Code	Class Code Title	Pay Plan	Occupation Code #	Occupation Level Title	D1	D2	D3	Total
4605	Eng Tech I	CS	17-3029-1	Eng Tech,A0		2	1	3
4609	Eng Tech III	CS	17-3029-2	Eng Tech,A0	1			1
					1	2	1	4

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2005-06 POS	AMOUNT	AGY REQ N/R FY 2005-06 POS	AMOUNT	AG REQ ANZ FY 2005-06 POS	AMOUNT	
TRANSPORTATION, DEPT OF							55000000
TRANSP SYSTEMS OPERATIONS							55150000
PGM: HIGHWAY OPERATIONS							55150200
GOV OPERATIONS/SUPPORT							16
TRAFFIC OPERATIONS							1601.01.03.00
OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES							33J0000
OTHER TRANSPORTATION ACTIVITIES							33J1500

Issue Summary:
 =====

District	FTE	Sal & Ben	Expenses	Total
Dist 1	(1)	\$(37,104)	\$(2,000)	\$(39,104)
Dist 2	(2)	\$(60,719)	\$(4,000)	\$(64,719)
Dist 3	(1)	\$(30,359)	\$(2,000)	\$(32,359)
Total	(4)	\$(128,182)	\$(8,000)	\$(136,182)

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2005-06							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
4605 ENGINEERING TECHNICIAN I C3007 001	3.00-	63,576-		27,502-	91,078-	0.00	91,078-
4609 ENGINEERING TECHNICIAN III C3008 001	1.00-	27,044-		10,060-	37,104-	0.00	37,104-

TOTALS FOR ISSUE BY FUND 2540 ST TRANSPORT (PRIMARY) TF	4.00-	90,620-		37,562-	128,182-		128,182-

	COL A03		COL A04		COL A05		CODES
	AGY REQUEST FY 2005-06 POS	AMOUNT	AGY REQ N/R FY 2005-06 POS	AMOUNT	AG REQ ANZ FY 2005-06 POS	AMOUNT	
TRANSPORTATION, DEPT OF FLORIDA'S TURNPIKE SYSTEMS FL'S TURNPIKE ENTERPRISE GOV OPERATIONS/SUPPORT TRAFFIC OPERATIONS							55000000 55180000 55180100 16 1601.01.03.00
OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES OTHER TRANSPORTATION ACTIVITIES SALARIES AND BENEFITS							33J0000 33J1500 010000
ST TRANSPORT (PRIMARY) TF - STATE	3.00-	101,059-					2540 1
EXPENSES							040000
ST TRANSPORT (PRIMARY) TF - STATE		6,000-					2540 1
TOTAL: OTHER TRANSPORTATION ACTIVITIES							33J1500
TOTAL POSITIONS	3.00-						
TOTAL ISSUE		107,059-					

05/06
 Traffic Operations
 (Turnpike)

AGENCY ISSUE NARRATIVE:
 2005-2006 BUDGET YEAR NARRATIVE:
 Fund Source: 100% State
 =====

IT COMPONENT? NO

LRPP Reference: Goal #3: Organization excellence by promoting and encouraging continuous improvement.
 =====

Description:
 =====

This issue deletes positions and related budget to reflect the privatization of traffic engineering activities (Turnpike Concession Management) being implemented by the Department. Project phases in the Department's Tentative Work Program will be modified to reflect the increased contract levels. The budget needed to fund these increases will be requested in the appropriate category in the Work Program section of the budget. Expenses reductions in the amount of \$2,000 per position are also included in this issue.

Class Code	Class Title	Pay Plan	Broadband Code	Broadband Title	FTE
0004	Senior Clerk	SES	43-9061-2	Ofc Clerks, Gen	(3)

	COL A03	COL A04	COL A05	CODES
	AGY REQUEST FY 2005-06 POS	AGY REQ N/R FY 2005-06 AMOUNT POS	AG REQ ANZ FY 2005-06 AMOUNT	
TRANSPORTATION, DEPT OF				55000000
FLORIDA'S TURNPIKE SYSTEMS				55180000
FL'S TURNPIKE ENTERPRISE				55180100
GOV OPERATIONS/SUPPORT				16
TRAFFIC OPERATIONS				1601.01.03.00
OUTSOURCING OF STATE PROGRAMS, SERVICES OR ACTIVITIES				33J0000
OTHER TRANSPORTATION ACTIVITIES				33J1500

POSITION DETAIL OF SALARIES AND BENEFITS:

	FTE	BASE RATE	ADDITIVES	BENEFITS	SUBTOTAL	LAPSE %	LAPSED SALARIES AND BENEFITS
A03 - AGY REQUEST FY 2005-06							
CHANGES TO CURRENTLY AUTHORIZED POSITIONS							
0004 SENIOR CLERK - SES							
C9010 001	3.00-	68,565-		32,494-	101,059-	0.00	101,059-
TOTALS FOR ISSUE BY FUND							
2540 ST TRANSPORT (PRIMARY) TF							101,059-
	3.00-	68,565-		32,494-	101,059-		101,059-

	COL A62 AGY AMD REQ FY 01-2002 POS AMOUNT	CODES

STATE CAPITAL OUTLAY - DOT		K
FIXED CAPITAL OUTLAY		080000
TRANSP PLANNING CONSULT.....	19,206,001	088704
CONSTRUCT INSPECT CONSULT.....	277,470,641	088718
PRELIMINARY ENGR CONSULT.....	375,246,247	088849
RIGHT-OF-WAY SUPPORT.....	123,946,105	088853
MATERIALS AND RESEARCH.....	12,402,000	088857
TRAFFIC ENGR CONSULTANTS.....	3,887,842	088866

TOTAL: REPORT		
BY FUND		
TURNPIKE RENEW/REPLACE TF	9,794,663	2324
TURNPIKE GEN RESERVE TF	61,368,660	2326
TURNPIKE BOND CONSTR TF	207,000	2340
ST TRANSPORT (PRIMARY) TF	705,557,239	2540
R-O-W ACQ/BRIDGE CONST TF	35,231,274	2586

TOTAL REPORT.....	812,158,836	

Attachment B

	COL A63 AGY AMD REQ FY 2002-03 POS AMOUNT	CODES

STATE CAPITAL OUTLAY - DOT		K
FIXED CAPITAL OUTLAY		080000
TRANSP PLANNING CONSULT.....	28,047,271	088704
CONSTRUCT INSPECT CONSULT.....	237,762,787	088718
PRELIMINARY ENGR CONSULT.....	462,037,403	088849
RIGHT-OF-WAY SUPPORT.....	69,030,905	088853
MATERIALS AND RESEARCH.....	11,595,000	088857
TRAFFIC ENGR CONSULTANTS.....	8,943,647	088866

TOTAL: REPORT		
BY FUND		
TURNPIKE RENEW/REPLACE TF	10,925,244	2324
TURNPIKE GEN RESERVE TF	115,422,232	2326
TURNPIKE BOND CONSTR TF	137,530	2340
ST TRANSPORT (PRIMARY) TF	690,346,007	2540
R-O-W ACQ/BRIDGE CONST TF	586,000	2586

TOTAL REPORT.....	817,417,013	

	COL A64 AGY AMD REQ FY 2003-04 POS AMOUNT	CODES

STATE CAPITAL OUTLAY - DOT		K
FIXED CAPITAL OUTLAY		080000
TRANSP PLANNING CONSULT.....	31,830,249	088704
CONSTRUCT INSPECT CONSULT.....	338,033,268	088718
PRELIMINARY ENGR CONSULT.....	407,563,658	088849
RIGHT-OF-WAY SUPPORT.....	66,994,800	088853
MATERIALS AND RESEARCH.....	11,182,000	088857
TRAFFIC ENGR CONSULTANTS.....	15,037,144	088866

TOTAL: REPORT		
BY FUND		
TURNPIKE RENEW/REPLACE TF	14,059,508	2324
TURNPIKE GEN RESERVE TF	160,371,967	2326
ST TRANSPORT (PRIMARY) TF	670,809,129	2540
R-O-W ACQ/BRIDGE CONST TF	25,400,515	2586
TOTAL REPORT.....	870,641,119	

COL A65 AGY AMD REQ FY 2004-05		
STATE CAPITAL OUTLAY - DOT	POS	AMOUNT
		CODES
		K
FIXED CAPITAL OUTLAY		080000
TRANSP PLANNING CONSULT.....	36,758,098	088704
CONSTRUCT INSPECT CONSULT.....	297,432,106	088718
PRELIMINARY ENGR CONSULT.....	530,081,128	088849
RIGHT-OF-WAY SUPPORT.....	77,011,214	088853
MATERIALS AND RESEARCH.....	12,113,600	088857
TRAFFIC ENGR CONSULTANTS.....	20,405,739	088866

TOTAL: REPORT		
BY FUND		
TURNPIKE RENEW/REPLACE TF	11,977,244	2324
TURNPIKE GEN RESERVE TF	192,060,540	2326
ST TRANSPORT (PRIMARY) TF	751,817,876	2540
R-O-W ACQ/BRIDGE CONST TF	17,946,225	2586

TOTAL REPORT.....	973,801,885	

	COL A66 AGY AMD REQ FY 2005-06 POS AMOUNT	CODES
STATE CAPITAL OUTLAY - DOT		K
FIXED CAPITAL OUTLAY		080000
TRANSP PLANNING CONSULT.....	35,185,568	088704
CONSTRUCT INSPECT CONSULT.....	287,069,752	088718
PRELIMINARY ENGR CONSULT.....	666,981,769	088849
RIGHT-OF-WAY SUPPORT.....	57,842,782	088853
MATERIALS AND RESEARCH.....	13,334,200	088857
TRAFFIC ENGR CONSULTANTS.....	31,338,686	088866
TOTAL: REPORT		
BY FUND		
TURNPIKE RENEW/REPLACE TF	19,214,653	2324
TURNPIKE GEN RESERVE TF	170,044,523	2326
ST TRANSPORT (PRIMARY) TF	889,758,849	2540
R-O-W ACQ/BRIDGE CONST TF	12,734,732	2586
TOTAL REPORT.....	1091,752,757	

	COL A58 AGY AMD REQ FY 2006-07 POS AMOUNT	CODES
STATE CAPITAL OUTLAY - DOT		K
FIXED CAPITAL OUTLAY		080000
TRANSP PLANNING CONSULT.....	62,204,312	088704
CONSTRUCT INSPECT CONSULT.....	423,595,217	088718
PRELIMINARY ENGR CONSULT.....	703,102,492	088849
RIGHT-OF-WAY SUPPORT.....	68,031,826	088853
MATERIALS AND RESEARCH.....	12,039,000	088857
TRAFFIC ENGR CONSULTANTS.....	36,085,580	088866
TOTAL: REPORT		
BY FUND		
TURNPIKE RENEW/REPLACE TF	17,212,435	2324
TURNPIKE GEN RESERVE TF	224,688,823	2326
ST TRANSPORT (PRIMARY) TF	1054,601,135	2540
R-O-W ACQ/BRIDGE CONST TF	8,556,034	2586
TOTAL REPORT.....	1305,058,427	

	COL AS2 AGY AMD REQ FY 2007-08 POS AMOUNT	CODES

STATE CAPITAL OUTLAY - DOT		K
FIXED CAPITAL OUTLAY		080000
TRANSP PLANNING CONSULT.....	55,594,269	088704
CONSTRUCT INSPECT CONSULT.....	284,664,741	088718
PRELIMINARY ENGR CONSULT.....	668,254,313	088849
RIGHT-OF-WAY SUPPORT.....	109,841,792	088853
MATERIALS AND RESEARCH.....	12,226,215	088857
TRAFFIC ENGR CONSULTANTS.....	40,493,158	088866

TOTAL: REPORT		
BY FUND		
TURNPIKE RENEW/REPLACE TF	9,608,738	2324
TURNPIKE GEN RESERVE TF	219,338,324	2326
ST TRANSPORT (PRIMARY) TF	931,471,479	2540
R-O-W ACQ/BRIDGE CONST TF	10,655,947	2586

TOTAL REPORT.....	1171,074,488	
=====		

	COL A51 AGY AMD REQ FY 2008-09 POS AMOUNT	CODES
STATE CAPITAL OUTLAY - DOT		K
FIXED CAPITAL OUTLAY		080000
TRANSP PLANNING CONSULT.....	51,177,144	088704
CONSTRUCT INSPECT CONSULT.....	325,359,543	088718
PRELIMINARY ENGR CONSULT.....	573,628,608	088849
RIGHT-OF-WAY SUPPORT.....	54,811,705	088853
MATERIALS AND RESEARCH.....	14,741,252	088857
TRAFFIC ENGR CONSULTANTS.....	49,917,972	088866
TOTAL: REPORT		
BY FUND		
TURNPIKE RENEW/REPLACE TF	10,113,194	2324
TURNPIKE GEN RESERVE TF	177,851,744	2326
ST TRANSPORT (PRIMARY) TF	875,777,977	2540
R-O-W ACQ/BRIDGE CONST TF	5,893,309	2586
TOTAL REPORT.....	1069,636,224	

	COL A20 AGY AMD REQ FY 2009-10 POS AMOUNT	CODES

STATE CAPITAL OUTLAY - DOT		K
FIXED CAPITAL OUTLAY		080000
TRANSP PLANNING CONSULT.....	40,732,567	088704
CONSTRUCT INSPECT CONSULT.....	177,551,116	088718
PRELIMINARY ENGR CONSULT.....	501,326,102	088849
RIGHT-OF-WAY SUPPORT.....	31,795,135	088853
MATERIALS AND RESEARCH.....	10,383,845	088857
TRAFFIC ENGR CONSULTANTS.....	51,986,060	088866

TOTAL: REPORT		
BY FUND		
TURNPIKE RENEW/REPLACE TF	9,480,480	2324
TURNPIKE GEN RESERVE TF	81,417,171	2326
ST TRANSPORT (PRIMARY) TF	707,933,226	2540
R-O-W ACQ/BRIDGE CONST TF	14,943,948	2586

TOTAL REPORT.....	813,774,825	
=====		

	COL A20 AGY AMD REQ FY 2010-11 POS AMOUNT	CODES
STATE CAPITAL OUTLAY - DOT		K
FIXED CAPITAL OUTLAY		080000
TRANSP PLANNING CONSULT.....	42,550,085	088704
CONSTRUCT INSPECT CONSULT.....	272,208,630	088718
PRELIMINARY ENGR CONSULT.....	471,813,247	088849
RIGHT-OF-WAY SUPPORT.....	30,776,783	088853
MATERIALS AND RESEARCH.....	14,230,658	088857
TRAFFIC ENGR CONSULTANTS.....	56,478,790	088866
TOTAL: REPORT		
BY FUND		
TURNPIKE RENEW/REPLACE TF	9,316,701	2324
TURNPIKE GEN RESERVE TF	66,685,978	2326
ST TRANSPORT (PRIMARY) TF	790,504,280	2540
R-O-W ACQ/BRIDGE CONST TF	21,551,234	2586
TOTAL REPORT.....	888,058,193	



**PROCUREMENT OFFICE
Current Professional Services Advertisements**

[Procurement Office Home Page](#)

[Results Page](#)

Failure to file a protest within the time per 120.57(3), Florida Statutes, or failure to post security required by law within the time of shall constitute a waiver of proceedings Florida Statutes.

!! IMPORTANT NOTICE !!

All vendors, consultants, contractors, must register with the state's web-based e-Procurement system - "MyFloridaMarketPlace." You can register on-line at <http://dms.myflorida.com/mfmp> Professional Services acquired in accordance with Chapter 287.055 Florida Statutes, are exempt from the 1% transaction fee. You can also sign up to attend a vendor registration training session or call 1-866-352-3776 for assistance.

District: 1 2 3 4 5 6 7 Turnpike Central Office

Updated: 29SEP2010

**Notice to Professional Consultants
State Of Florida Department Of Transportation**

***** Notice:** All Letters of Response are required to be submitted via E-Mail. The size limit for Letters of Response has been increased to 1 MB. Please read the Response Procedure carefully!

The Department of Transportation anticipates seeking professional consultant services on the projects listed in this advertisement. Consideration will be given to only those firms that are qualified pursuant to law and that have been prequalified by the Department to perform the indicated MAJOR Type(s) of Work. Consultants prequalified for minor projects (less than \$250,000), or as Specialists will only be considered as primes for projects identified as "minor". Any firm not prequalified by the

Attachment C

Department and desiring consideration for these projects must submit a complete Request for Qualification Package, available from the Procurement Office in Tallahassee, (850)414-4485, by the advertised Letter of Response Deadline Date and Time.

(Note: Prequalification is not required for Type of Work 99.0)

CONSULTANT ELIGIBILITY: It is a basic tenet of the Department's contracting program that contracts are procured in a fair, open, and competitive manner. The Department requires that Consultants representing the Department must be free of conflicting professional or personal interests. In order to prevent potential conflicts of interest, the Department has established guidelines to be followed by professional services Consultants. Please familiarize yourself with the requirements of Procedure no. 375-030-006, also known as: "Restriction on Consultants' Eligibility to Compete for Department Contracts". By submitting a Letter of Response, the Consultant certifies that they are in compliance with Procedure No. 375-030-006. This procedure is available at the following link:

[Professional Services Publications Menu](#)

In addition to the restrictions identified in Procedure No. 375-030-006, please be advised of the following prohibition: A consultant firm or its affiliate who was the Designer or Engineer of Record is precluded from bidding on the same project as the construction contractor, or as a member of the construction contractor's team (subcontractor).

FEDERAL DEBARMENT: By submitting a Letter of Response, the consultant certifies that no principal (which includes officers, directors, or executives) is presently suspended, proposed for debarment, declared ineligible or voluntarily excluded from participation on this transaction by any Federal Department or Agency.

SELECTION PROCEDURE: The listed projects are covered by the selection process detailed in the Rule Chapter 14-75, Florida Administrative Code. Some or all of the responding firms may be requested to provide written or oral technical proposals, or both, for the ranking process. After ranking of the consultants, the contract fee will be negotiated in accordance with Section 287.055, Florida Statutes. Funding on all projects is subject to legislative approval. Registration in MyFloridaMarketPlace is required prior to contracting.

Note: The shortlist and final selection meeting dates and times are provided with new listed projects. Any other meetings will be noticed in the special notes section of the project advertisement. All public meetings will be held in District headquarters, unless otherwise noted. Changes to meeting dates and times will be updated under the Planned Projects link. For more information, contact the designated response office. Questions/queries regarding a particular project should not be e-mailed to the district profserv account.

In order to ensure a fair, competitive, and open process, once a project is advertised for Letters of Response or Letters of Qualification, all communications between interested firms and the Department must be directed to the appropriate Professional Services Office.

RESPONSE PROCEDURE: Qualified consultants are encouraged to submit a letter of response, for each project in which they are interested, to the indicated requesting unit by 5:00 PM Eastern time on the date indicated as the Response Deadline. **Letters of Response will be submitted as attached files to E-Mail to the indicated response address and will be composed of a single file, not to exceed 1 MB in size, in Adobe PDF format (unzipped), and will not exceed two pages in length unless otherwise specified. Consultants should receive an e-mailed Return Receipt acknowledgement of the e-mailed**

Letter of Response within 2 hours of submittal to the indicated response address. If a Return Receipt acknowledgement is not received by the Consultant within 2 hours, or by time and date due, please call the District contact (within normal business hours) to verify the Department's receipt of your e-mailed Letter of Response. Letters of Response should not contain links to other Web Pages and will, at a minimum, include the following information:

- a. Project Name/DOT Financial Management Number
- b. Consultant's name and address
- c. Proposed responsible office for consultant
- d. Contact person, phone number and Internet Email Address
- e. Statement regarding prequalification of consultant or proposed subconsultants in advertised type of work
- f. Proposed key personnel and their proposed roles (do not include resumes)
- g. Subconsultant(s) that may be used for the project
- h. Indication as to whether the prime firm and/or subconsultants are disadvantaged business enterprises (DBE)
- i. A Bid Opportunity List (Form No. 375-040-62) should be included as a separate attached file. This will not be counted as a page for page limitation purposes. This form may be downloaded from our Forms Menu.

STANDARD NOTES: (Applicable to following projects where indicated)

1. This project does not fall within the FDOT standard types of work, therefore, technical prequalification of responding consultants is not mandatory. Consultants not technically prequalified with the Department must include proof of professional liability insurance, Professional Engineering license registration for the firm (Certificate of Authorization for the engineering firm from the Florida Dept. of Business and Professional Regulation), and their letter of response. An overhead audit performed in accordance with the Department's Reimbursement Rate Guidelines is required for projects greater than \$250,000. The overhead audit must be approved by the Department prior to contract selection. Consultants must address the items listed under RESPONSE PROCEDURE as well as providing a work history of similar projects with references and phone numbers, an estimate of the consultant's current workload and available resources and brief resumes of key personnel to be used. Letters of Response are not limited to two pages for this project, unless otherwise specified within the project's advertisement.
2. Reserved.
3. Reserved.
4. Selection will be made directly from Letters of Response, therefore, Letters of Response are not limited to two pages for this project.
5. Reserved.
6. This project is funded through the American Recovery and Reinvestment Act of 2009 (ARRA). Sections 120 and 1512 of the American Recovery and Reinvestment Act require states to fulfill employment reporting obligations for each ARRA funded project. The prime consultant on each ARRA project shall complete an employment report for each month from the date of the Notice to Proceed until completion of the contract or September 2012, whichever occurs sooner. Consultants are required to provide the necessary information for their own workforce as well as the workforce of all subconsultants that are active on their ARRA funded project(s) for the reporting month. A full description of the project is available on the project page.

the data elements to be reported on will be provided to you at a later date, by way of revisions to the RFP and/or the contract. However, one critical reporting component is a requirement for prime consultants/contractors to have a Dun and Bradstreet(DUNS) number. This is a unique nine digit firm identification number issued by Dun & Bradstreet It is not the same as a firm's Tax ID Number. Firms who do not already have a DUNS number can register for it through the following website <http://www.dnb.com/us>. According to the D&B website it takes a minimum of 30 business days for a new D&B DUNS Number to be processed. Since this project is on an expedited time schedule, you are advised to begin the application process now for a DUNS number. Please note, having a DUNS number is not a requirement for short-listing, however it is a requirement for contract award.

DISTRICT 1

Major Work :
10.1 - Rdwy Construction Engineering Inspection
Minor Work :
10.4 - Minor Bridge & Misc. Structures(CEI)
Contract : 11125 Major Project
Financial Management Number(s) :
40828676201

Project Description:

(a) SR 80 at US 27 New Interchange, FPID No. 408286 7 62 01;
(b) SR 776 at San Casa Dr, FPID No. 416087 1 62 01
PM: Melanie Roberts
This is a two page Letter of Interest due on Friday, October 1, 2010.
Please direct any technical questions to Mrs. Elizabeth F. Leopold at (863) 519-2901 or at elizabeth.leopold@dot.state.fl.us

Project Manager: Roberts, Melanie
Estimated Contract Amt: \$3,328,707

Special Notes:

This grouping will be a combination of (1) full and (1) Hybrid CEI services. Consultant selection will be made via technical presentation and oral interview. The Standard 2 page Letter of Interest must list proposed key personnel, work references, including names and telephone number(s) and Construction Training Qualification Program (CTQP'S) as applicable. Other proejects in adjoining counties may be added at the Department's discretion. The attached draft scope of services is subject to change prior to execution.

View proposed scope
(Adobe Acrobat® PDF file)

Advertisement Date : 20SEP2010
Response Deadline : 01OCT2010
Planned Longlist(T.R.C.) Date : 11OCT2010 **Time:** 8:30 AM
Planned Shortlst Selection Date: 26OCT2010 **Time:** 1:00 PM
Planned Final Sel. Meeting Date: 04JAN2011 **Time:** 1:00 PM
Planned Negotiations Meeting :27JAN2011 **Time:** 9:00 AM

Response Deadline : 01OCT2010
Respond To:

dl.profserv@dot.state.fl.us
Attn.: Elizabeth F. Leopold
Phone: (863)519-2901

DISTRICT 1

Major Work :
 2.0 - Proj. Dev. & Environ. (PD&E) Studies
Minor Work :
 3.1 - Minor Highway Design
Contract : 11150 **Major Project**
Financial Management Number(s):
 42389217201

Project Description:

D/W NPDES Contracts; FPID No. 423892 1 72 01
 Project Manager: Rob Dwyer
 Consultant selection to provide these services will be made via Expanded Letters of Response. Letters of Response shall be no more than twelve (12) pages in length. Further information will be provided within the attached scope.
 Prime firms must identify any subsidiaries or affiliates to their company.
 Direct technical questions to Mrs. Elizabeth F. Leopold at (863) 519-2901 or elizabeth.leopold@dot.state.fl.us.
 Expanded Letters of Response are to be submitted to the Professional Services Office on or before 3:00 P.M., local time, Thursday, October 7, 2010.

Project Manager: Dwyer, Robert A
Estimated Contract Amt: \$250,000
See Standard Notes Above: 4

View proposed scope
 (Adobe Acrobat® PDF file)

Advertisement Date : 20SEP2010
Response Deadline : 07OCT2010
Planned Longlist(T.R.C.) Date : 19OCT2010 **Time:** 1:00 PM
Planned Shortlist Selection Date: 09NOV2010 **Time:** 1:00 PM
Planned Final Sel. Meeting Date: 09NOV2010 **Time:** 1:00 PM

Response Deadline : 07OCT2010

Respond To:

dl.profserv@dot.state.fl.us
Attn.: Elizabeth F. Leopold
Phone: (863)519-2901

DISTRICT 1

Major Work :
 2.0 - Proj. Dev. & Environ. (PD&E) Studies
 3.2 - Major Highway Design
 13.4 - Systems Planning
Minor Work :
 8.2 - Design, Right of Way, & Const. Surveying
 8.3 - Photogrammetric Mapping
Contract : 11146 **Major Project**
Financial Management Number(s):
 42584322201

Project Description:

I-75 at SR 951; FPID No. 425843 2 22 01

Project Manager: Aaron Kaster
 This is a two (2) page Letter of Interest due on Friday,
 October 1, 2010.
 Prime firms must identify any subsidiaries or affiliates to
 their company.
 Please direct technical questions to Mrs. Elizabeth F.
 Leopold at (863) 519-2901 or at
 elizabeth.leopold.@dot.state.fl.us

Project Manager: KASTER, AARON
Estimated Contract Amt: \$800,000

Special Notes:

The Project Traffic Tech Memo and the Interchange
 Modification Report will be done by the Consultant selected.
 Specific Language related to these two reports will be
 available at the scope of services meeting for the three
 shortlisted firms.

View proposed scope

(Adobe Acrobat® PDF file)

Advertisement Date : 21SEP2010
Response Deadline : 01OCT2010
Planned Longlist(T.R.C.) Date : 18OCT2010 **Time:** 1:00 PM
Planned Shortlist Selection Date: 26OCT2010 **Time:** 1:00 PM
Planned Final Sel. Meeting Date: 25JAN2011 **Time:** 1:00 PM
Planned Negotiations Meeting :24FEB2011 **Time:** 9:00 AM

Response Deadline : 01OCT2010

Respond To:

dl.profserv@dot.state.fl.us
Attn.: Elizabeth F. Leopold
Phone: (863)519-2901

DISTRICT 1

Major Work :
 3.2 - Major Highway Design
Minor Work :
 2.0 - Proj. Dev. & Environ. (PD&E) Studies
 6.1 - Traffic Engineering Studies
 7.1 - Signing, Pavement Marking & Channelization
 7.2 - Lighting
 7.3 - Signalization
 9.1 - Soil Exploration
 15.0 - Landscape Architect
Contract : 11135 **Major Project**
Financial Management Number(s):
 42584613201

Project Description:

SR 70 at US 441; FPID No. 425846 1 32 01
 Project Manager: Kevin Ingle
 This is a two page Letter of Interest due on Friday,
 October 8, 2010.
 Prime firms must identify any subsidiaries or affiliates to
 their company.
 Please direct any technical questions to Mrs. Elizabeth
 F. Leopold at (863) 519-2901 or at
 elizabeth.leopold@dot.state.fl.us
Project Manager: Ingle, Kevin
Estimated Contract Amt: \$800,000

View proposed scope
(Adobe Acrobat® PDF file)

Advertisement Date : 27SEP2010
Response Deadline : 08OCT2010
Planned Longlist(T.R.C.) Date : 18OCT2010 **Time:** 8:30 AM
Planned Shortlst Selection Date: 26OCT2010 **Time:** 1:00 PM
Planned Final Sel. Meeting Date: 08FEB2011 **Time:** 1:00 PM
Planned Negotiations Meeting :29MAR2011 **Time:** 8:30 AM

Response Deadline : 08OCT2010

Respond To:

dl.profserv@dot.state.fl.us
Attn.: Elizabeth F. Leopold
Phone: (863)519-2901

DISTRICT 3

Major Work :

10.1 - Rdwy Construction Engineering Inspection
 10.4 - Minor Bridge & Misc. Structures(CEI)

Contract : 11364 **Major Project**

Financial Management Number(s):
 22041286201

Project Description:

SR 281 (Avalon Boulevard) from SR 8 (I-10) to South of Moor's Lodge in Santa Rosa County.

Project Manager: Acierto-Kent, Georgina

Est. Construction Cost: \$6,345,464

See Standard Notes Above: 4,6

Special Notes:

Contract Manager: Martha Andrews

Project Manager: Georgina Acierto-Kent

This project is being advertised as a potential project being considered for funding by the American Recovery and Reinvestment Act of 2009. Award and Execution of this agreement is contingent upon this project being selected for funding by the American Recovery and Reinvestment Act of 2009.

American Recovery and Reinvestment Act of 2009

This project has been identified by the Department for advertisement and award subject to criteria and conditions established under the American Recovery and Reinvestment Act of 2009 (also known as the Federal Economic Stimulus Bill). There will be federal reporting requirements associated with this project, such as monthly reports of number of jobs created and the number of jobs retained by the project or activity. The exact nature and extent of the reporting requirements and format for data collection will be provided by the Department as part of the contract documents.

The information provided in the letter of response will be the basis for selection. It is the responsibility of the firms responding to this advertisement to provide sufficient information to enable the Department to make a selection. The letter of response shall conform to all advertisement requirements established under the Notice to Professional Consultants with the following exceptions:

1. Letter shall not exceed 3.0 MB in size.
2. Letter shall not exceed (5) pages in length.

The Department will not consider letters not in conformance with response requirements. Each letter of response shall at a minimum, address items listed under Response Procedure. In addition to the Letter of Interest, please include, as separate attachments, an organizational chart and one-page resumes for the project team members identified in the organizational chart. Should separate e-mails be necessary please make sure that the subject line of the submittals notes Part 1 and Part 2.

[View proposed scope](#)

(Adobe Acrobat® PDF file)

Advertisement Date : 20SEP2010
Response Deadline : 01OCT2010
Planned Shortlst Selection Date: 18OCT2010 **Time:** 08:30AM
Planned Final Sel. Meeting Date: 18OCT2010 **Time:** 08:30AM

Response Deadline : 01OCT2010

Respond To:

d3.profserv@dot.state.fl.us

Attn.: Richard Norris

Phone: (850)638-0250

DISTRICT 3

Major Work :

10.1 - Rdwy Construction Engineering Inspection

Contract : 11359 **Major Project**

Financial Management Number(s):

40793826201 40793836201

Project Description:

Escambia County Pedestrian Actuated Signal Project; Santa Rosa County Pedestrian Actuated Signal Project (CEI Group 11-I)

Project Manager: Harrison, Jack

Est. Construction Cost: \$1,049,025

See Standard Notes Above: 4

Special Notes:

Project Manager: Jack Harrison

Contract Manager: Ashley Adams

It is Department's intention to group the projects being let within the described geographical area of Escambia and Santa Rosa Counties between January 2011 and January 2012 in order to achieve economic efficiency through the shared use of CEI personnel, equipment, facilities and other resources across multiple projects. The Department may at its sole discretion add projects occurring within these limits or delete projects if warranted.

The information provided in the letter of response will be the basis for selection. It is the responsibility of the firms responding to this advertisement to provide sufficient information to enable the Department to make a selection. The letter of response shall conform to all advertisement requirements establish under the Notice to Professional Consultants with the following expectations:

1. Letter shall not exceed 1.5MB in size.
2. Letter shall not exceed five (5) pages in length.

The Department will not consider letters not in conformance with response requirements. Each letter of response shall, at a minimum, address items listed under Response Procedure.

View proposed scope
(Adobe Acrobat® PDF file)

Advertisement Date : 27SEP2010
Response Deadline : 08OCT2010
Planned Shortlst Selection Date: 25OCT2010 **Time:** 08:30AM
Planned Final Sel. Meeting Date: 25OCT2010 **Time:** 08:30AM

Response Deadline : 08OCT2010

Respond To:

d3.profserv@dot.state.fl.us

Attn.: Richard Norris

Phone: (850) 638-0250

DISTRICT 3

Major Work :

- 2.0 - Proj. Dev. & Environ. (PD&E) Studies
- 3.2 - Major Highway Design
- 4.2.1 - Major Bridge Design - Concrete
- 4.2.2 - Major Bridge Design - Steel
- 4.2.3 - Major Bridge Design - Segmental
- 8.2 - Design, Right of Way, & Const. Surveying

Minor Work :

- 4.1.1 - Miscellaneous Structures
- 7.1 - Signing, Pavement Marking & Channelization
- 7.2 - Lighting
- 7.3 - Signalization
- 8.4 - Right of Way Mapping
- 9.1 - Soil Exploration
- 9.2 - Geotechnical Classification Lab Testing
- 9.4.1 - Standard Foundation Studies
- 9.4.2 - Non-Redundant Bridge Foundation Studies

Contract : 11360 **Major Project**

Financial Management Number(s):

40933412202

Project Description:

SR 30 (US 98) Pensacola Bay Bridge (Bridge No. 480035)
Project Development and Environmental Study

Project Manager: Bruner, Brandon

Special Notes:

Project Manager: Brandon Bruner
 Contract Manager: Donna Syfrett
 Additional Major work types are required for this project.
 All Major work types required are as follows:
 2.0 Project Development and Environmental Studies
 3.2 Major Highway Design
 4.2.1 Major Bridge Design-Concrete
 4.2.2 Major Bridge Design-Steel
 4.2.3 Major Bridge Design-Segmental
 8.2 Design, Right of Way, and Construction Surveying
 9.1 Soil Exploration
 9.2 Geotechnical Classification Lab Testing
 9.4.1 Standard Foundation Studies
 9.4.2 Non-Redundant Bridge Foundation Studies

View proposed scope
(Adobe Acrobat® PDF file)

Advertisement Date : 20SEP2010
Response Deadline : 01OCT2010
Planned Shortlst Selection Date: 11OCT2010 **Time:** 08:30AM
Planned Scope Meeting Date : 19OCT2010 **Time:** 01:00PM
Planned Interviews Date : 09NOV2010 **Time:** 08:00AM
Planned Final Sel. Meeting Date: 15NOV2010 **Time:** 08:30AM

Meeting Location Notes:

The scope of services meeting will be held in the Professional Services conference Room located at 1074 Hwy 90 Chipley, Florida 32428.

The Interviews will be held in the Emergency Operations Conference Room located at 1074 Hwy 90 Chipley, Florida

Response Deadline : 01OCT2010

Respond To:

d3.profserv@dot.state.fl.us

Attn.: Richard Norris

Phone: (850)638-0250

DISTRICT 3

Major Work :

10.1 - Rdwy Construction Engineering Inspection

Contract : 11365 **Major Project**

Financial Management Number(s):

41767836201

Project Description:

Construction Engineering and Inspection Services for SR 30 (US 98) Pensacola Bay Bridge Repairs/Rehabilitation (Bridge No. 480035) in Escambia County

Project Manager: Richard, Dominic

Estimated Contract Amt: \$5,100,000

See Standard Notes Above: 4

Special Notes:

Project Manager: Dominic Richard

Contract Manager: Casey Johns

It is Department's intention to group the projects being let within the described geographical area of Escambia and Santa Rosa Counties between January 2011 and January 2012 in order to achieve economic efficiency through the shared use of CEI personnel, equipment, facilities and other resources across multiple projects. The Department may at its sole discretion add projects occurring within these limits or delete projects if warranted.

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[View proposed scope](#)

(Adobe Acrobat® PDF file)

Advertisement Date : 27SEP2010
Response Deadline : 08OCT2010
Planned Shortlst Selection Date: 25OCT2010 **Time:** 08:30AM
Planned Final Sel. Meeting Date: 25OCT2010 **Time:** 08:30AM

Response Deadline : 08OCT2010

Respond To:

d3.profserv@dot.state.fl.us

Attn.: Richard Norris

Phone: (850)638-0250

DISTRICT 3

Major Work :

10.1 - Rdwy Construction Engineering Inspection

Contract : 11335 **Major Project**

Financial Management Number(s):

42306116201 42305416201

Project Description:

State Road 289 (US 98) from State Road 30A (US 98 B) to State Road 10A (US 90); State Road 742 Burgess Road from State Road 95 (US 29) to County Road 95A North Palafox Street

Project Manager: Benson, Eric

Est. Construction Cost: \$848,000

See Standard Notes Above: 4

Special Notes:

Project Manager: Dominic Richard

Contract Manager: Martha Andrews

It is Department's intention to group the projects being let within the described geographical area of Escambia County between January 2011 and January 2012 in order to achieve economic efficiency through the shared use of CEI personnel, equipment, facilities and other resources across multiple projects. The Department may at its sole discretion add projects occurring within these limits or delete projects if warranted.

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[View proposed scope](#)

(Adobe Acrobat® PDF file)

Advertisement Date : 27SEP2010
Response Deadline : 08OCT2010
Planned Shortlst Selection Date: 25OCT2010 **Time:** 08:30AM
Planned Final Sel. Meeting Date: 25OCT2010 **Time:** 08:30AM

Response Deadline : 08OCT2010

Respond To:

d3.profserv@dot.state.fl.us

Attn.: Richard Norris
Phone: (850)638-0250

DISTRICT 3

Major Work :
10.1 - Rdwy Construction Engineering Inspection
Contract : 11362 **Major Project**
Financial Management Number(s) :
42669126201 42894816201 42894916201

Project Description:

Legion Road from US 90 to State Park Entrance Paved Shoulder in Jackson County; SR 166 Marianna High School to Russell Road Sidewalks in Jackson County; CR 179A/CR 181 from North Railroad Street to Fern Arnold Park Sidewalks in Holmes County (CEI Group 11-J)

FPID 426691-2: Construct sidewalks along the south side of SR 166 from Marianna High School to just west of Old US Road. Construct sidewalk on the north side of SR 166 from FCI Road to Russell Road. Except existing sidewalk from project limits.

FPID 428948-1: Construct sidewalk along the east side of CR 179A from North Railroad St. to CR 181. Construct sidewalk on the south side of CR 181 from CR 179A to Fern Arnold Park. Midblock crossing required.

FPID 428949-1: Includes paved shoulder construction skid hazard overlay.

Project Manager: Harris, Dale
Est. Construction Cost: \$665,545
See Standard Notes Above: 4,6

Special Notes:

This project is being advertised as a potential project being considered for funding by the American Recovery and Reinvestment Act of 2009. Award and Execution of this agreement is contingent upon this project being selected for funding by the American Recovery and Reinvestment Act of 2009.

American Recovery and Reinvestment Act of 2009

This project has been identified by the Department for advertisement and award subject to criteria and conditions established under the American Recovery and Reinvestment Act of 2009 (also known as the Federal Economic Stimulus Bill). There will be federal reporting requirements associated with this project, such as monthly reports of number of jobs created and the number of jobs retained by the project or activity. The exact nature and extent of the reporting requirements and format for data collection will be provided by the Department as part of the contract documents.

The information provided in the letter of response will be the basis for selection. It is the responsibility of the firms responding to this advertisement to provide sufficient information to enable the Department to make a selection. The letter of response shall conform to all advertisement requirements established under the Notice to Professional Consultants with the following exceptions:

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In addition to the Letter of Interest, please include, ~~Page~~ Page 601 of 1182

separate attachments, an organizational chart and one-page resumes for the project team members identified in the organizational chart. Should separate e-mails be necessary please make sure that the subject line of the submittals notes Part 1 and Part 2.

[View proposed scope](#)
(Adobe Acrobat® PDF file)

Advertisement Date : 20SEP2010
Response Deadline : 01OCT2010
Planned Shortlst Selection Date: 18OCT2010 **Time:** 08:30AM
Planned Final Sel. Meeting Date: 18OCT2010 **Time:** 08:30AM

Response Deadline : 01OCT2010

Respond To:
d3.profserv@dot.state.fl.us
Attn.: Richard Norris
Phone: (850)638-0250

DISTRICT 3

- Major Work :**
 - 3.1 - Minor Highway Design
 - 8.2 - Design, Right of Way, & Const. Surveying
- Minor Work :**
 - 4.1.1 - Miscellaneous Structures
 - 5.1 - Conventional Bridge Inspection
 - 7.1 - Signing, Pavement Marking & Channelization
 - 7.3 - Signalization

Contract : 11315 **Major Project**
Financial Management Number(s):
42692813201 42693413201 42693513201

Project Description:
State Road 752 Texar Drive from State Road 295 Fairfield Drive to State Road 289 9th Ave:
State Road 289 9th Avenue from State Road 10A to State Road 742 Creighton Road:
State Road 10A from 15th Avenue to Hyde Park Road in Escambia County
Design Group 11-04

Project Manager: Barber, William

Special Notes:
Project Manager: William Barber
Contract Manager: Donna Syfrett

[View proposed scope](#)
(Adobe Acrobat® PDF file)

Advertisement Date : 27SEP2010
Response Deadline : 08OCT2010
Planned Shortlst Selection Date: 25OCT2010 **Time:** 08:30AM
Planned Final Sel. Meeting Date: 27DEC2010 **Time:** 08:30AM

Response Deadline : 08OCT2010

Respond To:
d3.profserv@dot.state.fl.us
Attn.: Richard Norris
Phone: (850)638-0250

DISTRICT 3

Major Work :
 3.1 - Minor Highway Design
 8.2 - Design, Right of Way, & Const. Surveying
Minor Work :
 4.1.1 - Miscellaneous Structures
 5.1 - Conventional Bridge Inspection
 7.1 - Signing, Pavement Marking & Channelization
 7.3 - Signalization
Contract : 11328 Major Project
Financial Management Number(s) :
 42694913201

Project Description:

State Road 30 (US 98A/Front Beach Road) from State Road 30A (US 98/Back Beach Road) to State Road 79 (Arnold Road) in Bay County

Project Manager: Hunter, Clay

Special Notes:

Project Manager: Clay Hunter
 Contract Manager:Martha Andrews

View proposed scope

(Adobe Acrobat® PDF file)

Advertisement Date : 27SEP2010
Response Deadline : 08OCT2010
Planned Shortlst Selection Date: 25OCT2010 **Time:** 08:30AM
Planned Final Sel. Meeting Date: 27DEC2010 **Time:** 08:30AM

Response Deadline : 08OCT2010

Respond To:

d3.profserv@dot.state.fl.us
Attn.: Richard Norris
Phone: (850)638-0250

DISTRICT 3

Major Work :
 10.1 - Rdwy Construction Engineering Inspection
 10.4 - Minor Bridge & Misc. Structures(CEI)
Contract : 11363 Major Project
Financial Management Number(s) :
 42895016201 42895116201 42896415201

Project Description:

CR 278 from SR 77 to George M. Rogers Recreational Complex Sidewalks in Washington County; CR 279 from SR 79 to Sportsplex Park Sidewalks in Washington County; CR 273 South Boulevard/Orange Hill Road from S of Gilbert's Mill Rd to SR 277 drainage improvements in Washington Co. (CEI Group 11-K).

Project Manager: Robinson, Billy
Est. Construction Cost: \$1,861,612
See Standard Notes Above: 4,6

Special Notes:

This project is being advertised as a potential project being considered for funding by the American Recovery and Reinvestment Act of 2009. Award and Execution of this agreement is contingent upon this project being selected

funding by the American Recovery and Reinvestment Act of 2009.

American Recovery and Reinvestment Act of 2009

This project has been identified by the Department for advertisement and award subject to criteria and conditions established under the American Recovery and Reinvestment Act of 2009 (also known as the Federal Economic Stimulus Bill). There will be federal reporting requirements associated with this project, such as monthly reports of number of jobs created and the number of jobs retained by the project or activity. The exact nature and extent of the reporting requirements and format for data collection will be provided by the Department as part of the contract documents.

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FM 42896415201 County Road 273 (Orangehill Hwy) from South of Gilberts Mill Road (CMP 0.000) to South Boulevard (CMP 10.489) is an adjusted score design build project for the design and construction of a new drainage system. This work will include, but is not limited to, cross drain replacement, sizing, adjusting locations, adding locations, removing/filling abandoned cross drains, installing new roadway per the minimum pavement design, reworking roadside ditches, relocation/reconstruction/resizing side drains and any other work necessary to replace the existing failing drainage system and provide an adequate drainage facility for County Road 273 (Orange Hill Hwy). Cross drain construction will require new concrete headwalls outside of the clear zone and installation of appropriate object markers at headwalls. Additional drainage improvements within the project limits will include extending the bridge culvert (no. 610035) (CMP 1.774) and the box culvert located south of Roland Road to eliminate clear zone hazards existing along County Road 273 (South Boulevard), design and construct a new side drain on the north side of the 1st Street intersection, addressing flooding issue between State Road 77 and 5th Street and constructing concrete mitered end sections for side drains within the clear zone. In addition, the Design/Build firm is required to design and construct inside horizontal curve roadway widening (3' Wide) on all horizontal curves with a radius less than 2900' (includes approximately 10 curves)

FM 42895016201 is a low bid design build project for the construction of sidewalk on the south side of CR 278 within the project limits.

FM 42895116201 is a low bid design build project for construction of sidewalk on the south side of CR 279 from Page 604 of 1182

the eastern most Vernon High School driveway to the western most Vernon Middle School driveway. Construct sidewalk on the north side of CR 279 from the western most Sportsplex Park driveway to SR 79. Midblock crossing required.

View proposed scope
(Adobe Acrobat® PDF file)

Advertisement Date : 20SEP2010
Response Deadline : 01OCT2010
Planned Shortlst Selection Date: 18OCT2010 **Time:** 08:30AM
Planned Final Sel. Meeting Date: 18OCT2010 **Time:** 08:30AM

Response Deadline : 01OCT2010

Respond To:
d3.profserv@dot.state.fl.us
Attn.: Richard Norris
Phone: (850)638-0250

DISTRICT 4

- Major Work :**
- 3.3 - Controlled Access Highway Design
- 4.2.1 - Major Bridge Design - Concrete
- 4.2.2 - Major Bridge Design - Steel
- 7.1 - Signing, Pavement Marking & Channelization

- Minor Work :**
- 4.4 - Movable Span Bridge Design
- 6.3.1 - Intelligent Trans Sys. Analysis & Design
- 7.2 - Lighting
- 7.3 - Signalization
- 14.0 - Architect
- 15.0 - Landscape Architect

Contract : 11459 **Major Project**
Financial Management Number(s):
23008883201

Project Description:

DISTRICTWIDE IN HOUSE CONSULTANT CONTRACT PLANS REVIEW SUPPORT SERVICES.

=====
This contract will be executed up to the maximum amount of \$1,500,000. The Department has budgeted \$320,000. for the current fiscal year.

Project Manager: BOWLES, JUAN J
Maximum Contract Amount: \$1,500,000
See Standard Notes Above: 4

Special Notes:

This contract will be selected directly from Letters of Response

~~~~~  
**SELECTION PROCESS:**  
THE DEPARTMENT WILL EXERCISE THE OPTIONAL ALTERNATE SELECTION PROCESS FOR THIS PROJECT. THIS PROCESS ALLOWS SELECTION TO BE MADE DIRECTLY FROM THE LETTERS OF RESPONSE.  
~~~~~

RESPONSE PROCEDURE:
THE LETTER OF RESPONSE SHALL BE NO MORE THAN 3 PAGES IN LENGTH. THE LETTER OF RESPONSE MUST BE WRITTEN IN FONT TYPE TIMES NEW ROMAN, FONT SIZE 11 AND PAGE MARGIN SHALL BE RESTRICTED TO 1 (INCH) ON ALL SIDES. ADDITIONALLY, THE

- CONSULTANT SHOULD INCLUDE THE FOLLOWING ITEMS, WHICH DO NOT COUNT TOWARDS THE THREE PAGE LETTER OF RESPONSE.
- ~ONE (1) PAGE STAFFING MATRIX
- ~ONE (1) PAGE ORG CHART
- ~ONE (1) PAGE RESUMES FOR EACH PERSON ON THE STAFFING MATRIX SHOWING THE EXPERIENCE AND QUALIFICATIONS OF EACH EMPLOYEE AS THEY RELATE TO THIS PARTICULAR PROJECT.
- ~TRUTH IN NEGOTIATION FORM
- ~PROPOSED SUB CONSULTANT LIST FORM
- ~DBE PARTICIPATION FORM
- ~BID OPPORTUNITY LIST FORM (FORM NO. 375-040-62)

~~~~~  
 ALL DOCUMENTS MUST BE IN PDF FORMAT AS SEPARATE ATTACHMENTS TO A SINGLE EMAIL AND MUST BE LABELED TO EASILY IDENTIFY THE FILE CONTENTS. THE TOTAL EMAIL SIZE FOR ALL DOCUMENTS SHOULD NOT EXCEED 4MB IN SIZE.

THE FILE SIZE FOR THE LETTER OF RESPONSE CANNOT EXCEED TWO (2) MB AND MUST BE SUBMITTED IN ADOBE PDF FORMAT (UNZIPPED). THERE SHOULD BE (3) SEPARATE ATTACHMENTS:

- ~ONE FILE CONSISTING OF THE 3 PAGE LOR
  - ~ONE FILE CONSISTING OF THE RESUMES
  - ~ONE FILE CONSISTING OF THE BID OPPORTUNITY LIST, STAFFING MATRIX, DBE PARTICIPATION FORM, TRUTH IN NEGOTIATION FORM, THE PROPOSED SUB CONSULTANT LIST FORM AND THE ORG CHART.
- ALL THE FORMS ARE LOCATED AT THE BACK OF THE SCOPE OF SERVICES.

~~~~~  
 EVALUATION CRITERIA:

- AWARENESS OF PROJECT ISSUES (20 POINTS):
 SCOPE OF SERVICES (20 POINTS)
 THE CONSULTANT SHOULD DEMONSTRATE THEIR AWARENESS OF THE SCOPE OF SERVICES AND UNDERSTANDING OF PROJECT ISSUES REGARDING PLANS REVIEW, PLANS PREPARATION CRITERIA, SPECIFIC SCOPE CRITERIA AND OTHER RELATED DESIGN SUPPORT SERVICES.
- ~~~~~
- APPROACH TO PROJECT (30 POINTS):
 APPROACH TO PROJECT ISSUES (10 POINTS)
 THE CONSULTANT SHOULD ADDRESS PROJECT ISSUES AND PRESENT SUITABLE SOLUTIONS.
 APPROACH TO PROJECT PROCESS (10 POINTS)
 THE CONSULTANT SHOULD DESCRIBE THE PROCESS IT WILL TAKE TO DELIVER THE COMPLETE PROJECT TASKS.
 TECHNICAL APPROACH (10 POINTS)
 THE CONSULTANT SHOULD DESCRIBE METHODS AND RESOURCES USED TO DEMONSTRATE SOUND TECHNICAL CAPABILITIES IN COMPLETING THE SCOPE OF WORK.
- ~~~~~
- PROJECT MANAGEMENT (25 POINTS):
 PROJECT MANAGEMENT APPROACH (10 POINTS)
 THE CONSULTANT SHOULD CLEARLY DESCRIBE THE PROJECT MANAGER'S ROLE, HIS OR HER METHODOLOGY, AND THE STYLE OF PROJECT MANAGEMENT TO SUCCESSFULLY DELIVER THIS PROJECT.
 CONTROL OF THE PROJECT SCHEDULE (5 POINTS)
 THE CONSULTANT SHOULD PROPOSE STRATEGIES FOR CONTROLLING THE PROJECT SCHEDULE ISSUES.
 QUALITY CONTROL PROCESS (10 POINTS)
 THE CONSULTANT SHOULD CLEARLY PRESENT THEIR QUALITY CONTROL PROCESS INTEGRATED WITH THE REVIEW AND DESIGN SUPPORT SERVICES EFFORTS.
- ~~~~~
- PROJECT STAFFING (25 POINTS):
 STAFFING QUALITY AND AVAILABILITY (10 POINTS)
 THE CONSULTANT SHOULD DEMONSTRATE THE AVAILABILITY OF

QUALIFIED STAFF.
 STAFFING EXPERIENCE ON SIMILAR PROJECTS (10 POINTS)
 THE CONSULTANT SHOULD IDENTIFY STAFFING QUALITY AND
 EXPERIENCE ON SIMILAR PROJECTS.
 TEAM APPROACH (5 POINTS)
 THE CONSULTANT SHOULD DESCRIBE THEIR INTER-RELATIONSHIP
 BETWEEN THE CONSULTANT AND SUB CONSULTANTS, INDICATING THE
 EXTENT OF THE RELATIONSHIP WHETHER NEW OR ESTABLISHED AND
 THE REASONS FOR TEAM SELECTION. IN THE CASE OF ESTABLISHED
 RELATIONSHIPS WITH SUB-CONSULTANTS, THE CONSULTANT SHOULD
 DISCUSS THEIR PREVIOUS CONSULTANT-SUB CONSULTANT
 EXPERIENCE GAINED ON SIMILAR PROJECTS.

View proposed scope
 (Adobe Acrobat® PDF file)

Advertisement Date : 27SEP2010
Response Deadline : 15OCT2010
Planned Longlist(T.R.C.) Date : 26OCT2010 **Time:** 10:00AM
Planned Shortlst Selection Date: 15NOV2010 **Time:** 8:30AM
Planned Tech. Rev. Cmte Meeting: 26OCT2010 **Time:** 10:00AM
Planned Final Sel. Meeting Date: 15NOV2010 **Time:** 8:30AM
Planned Negotiations Meeting :01DEC2010 **Time:** 9:00AM

Response Deadline : 15OCT2010

Respond To:
d4.profserv@dot.state.fl.us
Attn.: Jessica Rubio
Phone: (954)777-4626

DISTRICT 4

Major Work :
 10.1 - Rdwy Construction Engineering Inspection
Contract : 11470 **Major Project**
Financial Management Number(s):
 41249326201 41249346201

Project Description:
 CEI FOR SR-870/COMMERCIAL BLVD. BRIDGE#860144 IN LAUDERDALE
 BY THE SEA; SR-810/HILLSBORO BLVD. BRIDGE#860146 OVER ICWW
 STEEL BRIDGE PAINTING
 CLEAN AND COAT CONCRETE SURFACES
 ~~~~~  
 It is the Department's intention to group projects being  
 let within the geographical area of District Four. In order  
 to achieve economic efficiency through the shared use of  
 CEI personnel, equipment, facilities and other resources  
 across multiple projects, the Department may either add  
 projects to this grouping or delete projects at any time  
 if warranted.

**Project Manager:** HARRIS, JEANNETTE  
**Estimated Contract Amt:** \$290,038

**Special Notes:**  
 Please submit three (3) separate files attached to a single  
 email: (one)file consisting of the two (2) page  
 Letter of Response,(one) file containing a 1 (one) page  
 resume for all personnel and the CTQP print-out for each  
 position(**coating inspector**)  
 and 1 (one)file containing the  
 Bid Opportunity List form (Form No. 375-040-62).

The maximum file size for the letter of response shall not exceed 1MB in size and the total combined file size must not exceed 4MB in size and must be submitted in Adobe PDF format unzipped.

View proposed scope

(Adobe Acrobat® PDF file)

**Advertisement Date** : 20SEP2010  
**Response Deadline** : 01OCT2010  
**Planned Longlist(T.R.C.) Date** : 07OCT2010 **Time:** 9:00AM  
**Planned Shortlst Selection Date:** 01NOV2010 **Time:** 11:00AM  
**Planned Scope Meeting Date** : 10NOV2010 **Time:** 2:00PM  
**Planned Interviews Date** : 23NOV2010 **Time:** 9:00AM  
**Planned Tech. Rev. Cmte Meeting:** 23NOV2010 **Time:** 1:00PM  
**Planned Final Sel. Meeting Date:** 13DEC2010 **Time:** 8:30AM  
**Planned Negotiations Meeting** :19JAN2011 **Time:** 9:00AM

**Response Deadline** : 01OCT2010

**Respond To:**

d4.profserv@dot.state.fl.us

**Attn.:** Jessica Rubio

**Phone:** (954)777-4626

**DISTRICT 5**

**Major Work :**

3.1 - Minor Highway Design

3.2 - Major Highway Design

**Minor Work :**

8.1 - Control Surveying

8.2 - Design, Right of Way, & Const. Surveying

8.3 - Photogrammetric Mapping

8.4 - Right of Way Mapping

9.1 - Soil Exploration

9.2 - Geotechnical Classification Lab Testing

9.3 - Highway Materials Testing

9.5 - Geotechnical Speciality Lab Testing

**Contract** : 11515 **Major Project**

**Financial Management Number(s):**

23709913207

**Project Description:**

Districtwide Drainage

**Project Manager:** McConaghy, Patrick

**Special Notes:**

Please include the Financial Project number and your firm name in the subject line of your e-mail response to this ad.

All meetings will be held at the DeLand District Office.

Any subsequent public meeting notices will be posted in the lobby of the DeLand District Office, 719 S. Woodland Blvd., DeLand, Florida 32720.

View proposed scope

(Adobe Acrobat® PDF file)

**Advertisement Date** : 20SEP2010  
**Response Deadline** : 01OCT2010  
**Planned Shortlst Selection Date:** 18OCT2010 **Time:** 08:15AM  
**Planned Final Sel. Meeting Date:** 15NOV2010 **Time:** 08:15AM Page 608 of 1182

Response Deadline : 01OCT2010  
 Respond To:  
d5.profserv@dot.state.fl.us  
 Attn.: Chela Wood  
 Phone: (386)943-5526

**DISTRICT 5**

**Major Work :**  
 3.2 - Major Highway Design  
 3.3 - Controlled Access Highway Design  
**Minor Work :**  
 8.1 - Control Surveying  
 8.2 - Design, Right of Way, & Const. Surveying  
 9.1 - Soil Exploration  
 9.2 - Geotechnical Classification Lab Testing  
**Contract : 11516 Major Project**  
**Financial Management Number(s) :**  
 24106113206

**Project Description:**  
 Districtwide Environmental Permitting  
**Project Manager:** Hernandez, Hannah

**Special Notes:**  
 Please include the Financial Project ID No. and your firm's name in the subject line of your e-mail response to this advertisement. All meetings will be held at the DeLand District office. Any subsequent public meeting notices will be posted in the lobby of the DeLand District Office at 719 S. Woodland Blvd.

View proposed scope  
 (Adobe Acrobat® PDF file)

**Advertisement Date : 24SEP2010**  
**Response Deadline : 08OCT2010**  
**Planned Longlist(T.R.C.) Date : 14OCT2010 Time: 08:30AM**  
**Planned Shortlst Selection Date: 18OCT2010 Time: 08:15AM**  
**Planned Final Sel. Meeting Date: 15NOV2010 Time: 08:15AM**  
**Planned Negotiations Meeting :20DEC2010 Time: 09:30AM**

**Response Deadline : 08OCT2010**  
**Respond To:**  
d5.profserv@dot.state.fl.us  
 Attn.: Chela Wood  
 Phone: (386)943-5526

**DISTRICT 6**

**Major Work :**  
 8.1 - Control Surveying  
 8.2 - Design, Right of Way, & Const. Surveying  
**Minor Work :**  
 8.3 - Photogrammetric Mapping  
**Contract : 11641 Major Project**  
**Financial Management Number(s) :**  
 25068613218

**Project Description:**  
 Districtwide Right of Way Location Survey Consultant

Two (2) contracts will be awarded from this advertisement  
**Project Manager:** PERKINS, SCOTT  
**Maximum Contract Amount:** \$1,500,000  
**See Standard Notes Above:** 4

**Special Notes:**

The Letter of Response (LOR) may not exceed five (5) pages in length. In addition to the minimum information required in the "Notice to Professional Consultants" page, the LOR should also address the following items in order to assess each firm's potential to satisfactorily accomplish this project:

- Awareness of Project Issues;
- Approach to performing the types of work;
- Quality, Quantity and Availability of Proposed staff; and
- Other Considerations

See Letter of Response Evaluation Form for specific details.

Not included in the page limitation of the LOR, responses should include a one (1) page Professional Resume for each of the top ten (10) key staff team members, as well as a one (1) page staffing chart that defines the staffing plan for the project.

Since Final Selection will be made from Letters of Response, teams must be prequalified for all identified types of work, both Major and Minor.

The Department encourages DBE firms to compete for professional services projects and also encourages Non-DBE Consultants to use DBE firms as subconsultants.

The above referenced items are to be submitted as a single attachment to a single e-mail and may not exceed the 1.0 MB file size. Multiple e-mails will NOT be accepted. The bid Opportunity form should be a separate attachment and is NOT part of the size limit. ALL attachments must be submitted in .pdf format (unzipped) ONLY.

Font size is restricted to 10 pts or larger and should be of a common type such as Arial, Times New Roman, or Courier for Letter content and Resumes.

Registration in MyFloridaMarketPlace is required for primes and sub-consultants prior to contracting.

The District 6 Selection Committee routinely meets every other Monday in the Executive Conference Room, located at 1000 NW 111 Avenue in Miami, Florida. See dates below for this project.

Project Manager: Scott Perkins

[View proposed scope](#)  
 (Adobe Acrobat® PDF file)

**Advertisement Date** : 27SEP2010  
**Response Deadline** : 08OCT2010  
**Planned Longlist(T.R.C.) Date** : 26OCT2010 **Time:** 9:00 AM  
**Planned Shortlst Selection Date:** 08NOV2010 **Time:** 9:00 AM  
**Planned Final Sel. Meeting Date:** 08NOV2010 **Time:** 9:00 AM

Response Deadline : 08OCT2010

**Respond To:**

[d6.profserv@dot.state.fl.us](mailto:d6.profserv@dot.state.fl.us)

**Attn.:** Ron Fountain

**Phone:** (305)470-5457

**DISTRICT 6**

**Major Work :**

- 3.3 - Controlled Access Highway Design
- 4.3.1 - Complex Bridge Design - Concrete
- 4.3.2 - Complex Bridge Design - Steel

**Minor Work :**

- 7.1 - Signing, Pavement Marking & Channelization
- 7.2 - Lighting
- 7.3 - Signalization
- 8.2 - Design, Right of Way, & Const. Surveying
- 8.4 - Right of Way Mapping

**Contract : 11626 Major Project**

**Financial Management Number(s):**

25168813201

**Project Description:**

SR-836/I-395 from East of I-95 to MacArthur Causeway Bridge

**Project Manager:** TOGHIANI, ALI

**Estimated Contract Amt:** \$11,612,000

**Special Notes:**

Refer to the "RESPONSE PROCEDURE" of the Notice to Professional Consultants page for the minimum required information.

As part of the two (2) page limit, in addition to the minimum required information, Consultants should briefly describe their technical approach to the following key project items:

- Complex highway design
- Aesthetically pleasing long span major bridge structures
- Right of way and community impact

Public Involvement activities will be conducted by department in-house staff and the District's Public Information office.

Not included in the two (2) page limitation of the LOR, responses should include a one (1) page Professional members, as well as a one (1) page staffing chart on a standard size page (8-1/2" x 11") in length that defines the staffing plan for the project.

Font size is restricted to 10 pts or larger and should be of a common type such as Arial, Times New Roman, or Courier for Letter content and Resumes.

The above referenced items are to be submitted as a single attachment to a single e-mail and may not exceed the 1.0 MB file size. Multiple e-mails will NOT be accepted. The bid Opportunity form should be a separate attachment and is NOT part of the size limit. ALL attachments must be submitted in .pdf format (unzipped) ONLY.

Registration in MyFloridaMarketPlace is required for prime and sub-consultants prior to contracting.

The District 6 Selection Committee routinely meets every other Monday in the Executive Conference Room, located at 1000 NW 111 Avenue in Miami, Florida. See dates below for this project.

When available, it is our intent to provide historical project information on a CD disk. Copies can be requested by e-mail at [d6.profserv@dot.state.fl.us](mailto:d6.profserv@dot.state.fl.us).

Project Manager: Ali Toghiani

[View proposed scope](#)

(Adobe Acrobat® PDF file)

Advertisement Date : 27SEP2010  
 Response Deadline : 08OCT2010  
 Planned Longlist(T.R.C.) Date : 26OCT2010 Time: 9:00 AM  
 Planned Shortlst Selection Date: 08NOV2010 Time: 9:00 AM  
 Planned Scope Meeting Date : 16NOV2010 Time: 10:00 A  
 Planned Tech. Rev. Cmte Meeting: 16DEC2010 Time: 9:00 AM  
 Plan 2nd Tech Rev. Cmte Meeting: 04JAN2011 Time: 9:00 AM  
 Planned Final Sel. Meeting Date: 10JAN2011 Time: 9:00 AM

Response Deadline : 08OCT2010

Respond To:

[d6.profserv@dot.state.fl.us](mailto:d6.profserv@dot.state.fl.us)

Attn.: Ron Fountain

Phone: (305)470-5457

#### DISTRICT 6

Major Work :

3.1 - Minor Highway Design

Minor Work :

4.1.1 - Miscellaneous Structures

6.1 - Traffic Engineering Studies

7.1 - Signing, Pavement Marking & Channelization

7.2 - Lighting

7.3 - Signalization

8.1 - Control Surveying

8.2 - Design, Right of Way, & Const. Surveying

9.1 - Soil Exploration

15.0 - Landscape Architect

Contract : 11624 Major Project

Financial Management Number(s):

42805413201

Project Description:

SR-7/NW 7 Avenue from NW 131 Street to NW 118 Street Safety Improvements

Project Manager: BARRERA, JOSE

Estimated Contract Amt: \$300,000

Special Notes:

Refer to the "RESPONSE PROCEDURE" of the Notice to Professional Consultants page for instructions.

As part of the two (2) page limit, in addition to the minimum required information, Consultants should briefly describe their technical approach to the following key project items:

- Access management / Minimize public impact
- Public Involvement approach



- Impact to existing landscaping. Provide feasible and cost effective solutions(s) to minimize it.

Not included in the two (2) page limitation of the LOR, responses should include a one (1) page Professional Resume for each of the top ten (10) key staff team members, as well as a one (1) page staffing chart on a standard size page (8-1/2"x 11") in length that defines the staffing plan for the project.

Font size is restricted to 10 pts or larger and should be of a common type such as Arial, Times New Roman, or Courier for Letter content and Resumes.

The above referenced items are to be submitted as a single attachment to a single e-mail and may not exceed the 1.0 MB file size. Multiple e-mails will NOT be accepted. The bid Opportunity form should be a separate attachment and is NOT part of the size limit. ALL attachments must be submitted in .pdf format (unzipped) ONLY.

Registration in MyFloridaMarketPlace is required for prime and sub-consultants prior to contracting.

The District 6 Selection Committee routinely meets every other Monday in the Executive Conference Room, located at 1000 NW 111 Avenue in Miami, Florida. See dates below for this project.

Project Manager: Jose Barrera

[View proposed scope](#)  
(Adobe Acrobat® PDF file)

|                                          |             |                      |
|------------------------------------------|-------------|----------------------|
| <b>Advertisement Date</b>                | : 20SEP2010 |                      |
| <b>Response Deadline</b>                 | : 01OCT2010 |                      |
| <b>Planned Longlist(T.R.C.) Date</b>     | : 19OCT2010 | <b>Time: 9:00 AM</b> |
| <b>Planned Shortlist Selection Date:</b> | 25OCT2010   | <b>Time: 9:00 AM</b> |
| <b>Planned Scope Meeting Date</b>        | : 03NOV2010 | <b>Time: 10:00 A</b> |
| <b>Planned Tech. Rev. Cmte Meeting:</b>  | 02DEC2010   | <b>Time: 9:00 AM</b> |
| <b>Plan 2nd Tech Rev. Cmte Meeting:</b>  | 14DEC2010   | <b>Time: 9:00 AM</b> |
| <b>Planned Final Sel. Meeting Date:</b>  | 20DEC2010   | <b>Time: 9:00 AM</b> |

**Response Deadline** : 01OCT2010

**Respond To:**

[d6.profserv@dot.state.fl.us](mailto:d6.profserv@dot.state.fl.us)

**Attn.:** Ron Fountain

**Phone:** (305)470-5457

#### DISTRICT 6

**Major Work :**

3.1 - Minor Highway Design

**Minor Work :**

2.0 - Proj. Dev. & Environ. (PD&E) Studies

3.2 - Major Highway Design

3.3 - Controlled Access Highway Design

4.1.1 - Miscellaneous Structures

4.1.2 - Minor Bridge Design

7.1 - Signing, Pavement Marking & Channelization

**Contract** : 11636 **Major Project**

**Financial Management Number(s):**

42834517201

**Project Description:**

Districtwide Pushbutton Drainage Technical Consultant Services and GIS Support

**Maximum Contract Amount:** \$1,500,000

**Special Notes:**

THIS PROJECT IS BEING RE-ADVERTISED. All Letters of Response must be submitted by the deadline below. Type of Work 2.0 - Proj. Dev. & Environ. (PD&E) Studies previously advertised as a Major Type of Work has been changed to a Minor Type of Work. Firms that submitted previously are not required to re-submit.

Refer to the "RESPONSE PROCEDURE" of the Notice to Professional Consultants page for the minimum required information.

As part of the two (2) page limit, in addition to the minimum required information, Consultants should briefly describe their technical approach to the following key project items:

- Purpose of this contract
- Steps to be taken to coordinate the drainage work effort
- Major drainage outfalls and GIS inventory

Not included in the two (2) page limitation of the LOR, responses should include a one (1) page Professional Resume for each of the top ten (10) key staff team members, as well as a one (1) page staffing chart on a standard size page (8-1/2" x 11") in length that defines the staffing plan for the project.

Font size is restricted to 10 pts or larger and should be of a common type such as Arial, Times New Roman, or Courier for Letter content and Resumes.

The above referenced items are to be submitted as a single attachment to a single e-mail and may not exceed the 1.0 MB file size. Multiple e-mails will NOT be accepted. The bid Opportunity form should be a separate attachment and is NOT part of the size limit. ALL attachments must be submitted in .pdf format (unzipped) ONLY.

Registration in MyFloridaMarketPlace is required for prime and sub-consultants prior to contracting.

The District 6 Selection Committee routinely meets every other Monday in the Executive Conference Room, located at 1000 NW 111 Avenue in Miami, Florida. See dates below for this project.

Project Manager: Ricardo Salazar

[View proposed scope](#)

(Adobe Acrobat® PDF file)

|                                         |             |                                       |
|-----------------------------------------|-------------|---------------------------------------|
| <b>Advertisement Date</b>               | : 22SEP2010 |                                       |
| <b>Response Deadline</b>                | : 01OCT2010 |                                       |
| <b>Planned Longlist(T.R.C.) Date</b>    | : 12OCT2010 | <b>Time:</b> 9:00 AM                  |
| <b>Planned Shortlst Selection Date:</b> | 25OCT2010   | <b>Time:</b> 9:00 AM                  |
| <b>Planned Scope Meeting Date</b>       | : 10NOV2010 | <b>Time:</b> 10:00AM Page 614 of 1182 |

Planned Interviews Date : 01DEC2010 Time: 9:00 AM  
 Planned Tech. Rev. Cmte Meeting: 08DEC2010 Time: 9:00 AM  
 Planned Final Sel. Meeting Date: 20DEC2010 Time: 9:00 AM

Response Deadline : 01OCT2010

**Respond To:**

[d6.profserv@dot.state.fl.us](mailto:d6.profserv@dot.state.fl.us)

Attn.: Ron Fountain

Phone: (305)470-5457

**DISTRICT 7**

**Major Work :**

- 6.3.1 - Intelligent Trans Sys. Analysis & Design
- 6.3.2 - Intelligent Trans Systems Implementation
- 6.3.3 - Intelligent Trans Traffic Eng. Communications

**Contract : 11745 Major Project**

**Financial Management Number(s):**

25839833201

**Project Description:**

I-275 ITS from Himes Ave. to east of Hillsborough River,  
 Hillsborough Co.

**Project Manager:** Cooper, Vaughn

**Special Notes:**

The Department reserves the right to add or delete projects within District 7 on similar type of work at any time during this contract.

The estimated contract fee is \$165,000.

All questions of any nature regarding this advertisement, including this project's scope of services, must be directed to the Professional Services Unit, preferably the Contract Administrator, Diane Drake at 813-975-6470.

View proposed scope

(Adobe Acrobat® PDF file)

Advertisement Date : 27SEP2010  
 Response Deadline : 08OCT2010  
 Planned Longlist(T.R.C.) Date : 27OCT2010 Time: 10:30AM  
 Planned Shortlst Selection Date: 29OCT2010 Time: 7:30 AM  
 Planned Scope Meeting Date : 09NOV2010 Time: 1:30 PM  
 Planned Oral Presentations Date: 07DEC2010 Time: 8:00 AM  
 Planned Final Sel. Meeting Date: 10DEC2010 Time: 8:00 AM  
 Planned Negotiations Meeting :21DEC2010 Time: 8:00 AM

Response Deadline : 08OCT2010

**Respond To:**

[d7.profserv@dot.state.fl.us](mailto:d7.profserv@dot.state.fl.us)

Attn.: Robert A. Keller

Phone: 813-975-6026

**DISTRICT 7**

**Major Work :**

- 3.1 - Minor Highway Design

**Minor Work :**

- 7.1 - Signing, Pavement Marking & Channelization
- 7.3 - Signalization
- 8.1 - Control Surveying
- 8.2 - Design, Right of Way, & Const. Surveying

Contract : 11731 Major Project  
Financial Management Number(s):  
40456913205

**Project Description:**

District Wide Miscellaneous Design  
**Project Manager:** JOVANOVIC, GORDANA M  
**See Standard Notes Above:** 4

**Special Notes:**

SELECTION WILL BE DIRECTLY FROM LETTERS OF RESPONSE.  
The information provided in the letter of response will be the basis for selection. It is the responsibility of the firms responding to this advertisement to provide sufficient information to enable the Department to make a selection. The letter of response shall conform to all advertisement requirements established under the Notice to Professional Consultants with the following exceptions:

- 1. letter shall not exceed 2 MB in size
- 2. letter shall not exceed 6 pages in length

The Department will not consider letters not in conformance with response requirements.

Each letter of response shall, at a minimum, address items listed under Notice to Professional Consultants, Response Procedure, as well as the following:

- \*Include responsible office fax number.
- \*With key personnel, include a staffing plan with brief work experience of proposed staff as well as their proposed roles in this project.
- \*Include the name and experience level of individual(s) that will perform the constructability and bidability reviews. Also include the name, experience level, and training of each individual (prime firm, subconsultant, office preparing /signing/sealing) involved in preparing this project's specification package.
- \*In the staffing plan, note with an asterisk the individuals that would be assigned to a design project that would include an intersection improvement with the addition of a left turn lane which would result in a median closure.
- \*Explain how many project teams could be assigned to support this project and how many projects you would feel comfortable with at one time.
- \*Explain your process for assessing and addressing safety issues as part of the design process.
- \*Briefly explain your QA/QC process, including your process for resolving phase review comments, including comments that might increase the cost estimate. Also explain your QA/QC process if the Department did not conduct phase reviews.
- \*Explain your experience in projects with little or no Departmental phase reviews.

=====  
After final selection, the Department will forward to the #1 ranked firm, the following documents for execution and return within 5 working days of receipt:

- 1. Certification Regarding Debarment
- 2. Certification for Disclosure of Lobbying Activities
- 3. Truth in Negotiations Certificate
- 4. DBE Participation Statement
- 5. Bid Opportunity List

These forms are attached to the scope of services within this advertisement for review.

=====  
The estimated contract fee is \$1,500,000.  
=====

All questions of any nature regarding this advertisement, including this project's scope of services, must be directed to the Professional Services Unit, preferably the Contract Administrator, Jose Vazquez, at 813-975-6453.

View proposed scope  
(Adobe Acrobat® PDF file)

**Advertisement Date** : 27SEP2010  
**Response Deadline** : 15OCT2010  
**Planned Longlist(T.R.C.) Date** : 03NOV2010 **Time:** 9:30 AM  
**Planned Shortlst Selection Date:** 10DEC2010 **Time:** 8:00 AM  
**Planned Tech. Rev. Cmte Meeting:** 08DEC2010 **Time:** 11:00AM  
**Planned Final Sel. Meeting Date:** 10DEC2010 **Time:** 8:00 AM  
**Planned Negotiations Meeting** :21DEC2010 **Time:** 8:00 AM

**Response Deadline** : 15OCT2010

**Respond To:**

d7.profserv@dot.state.fl.us

**Attn.:** Robert A. Keller

**Phone:** 813-975-6026

**DISTRICT 7**

**Major Work :**

10.1 - Rdwy Construction Engineering Inspection

**Contract :** 11730 **Major Project**

**Financial Management Number(s):**

41683616201

**Project Description:**

Alt. US19 hybrid CEI from E. Bay Dr. to Court St., Pinellas Co.

**Project Manager:** Adams, Zachary C

**See Standard Notes Above:** 4

**Special Notes:**

This project will have a Department Resident Engineer, Project Administrator, and core inspection staff. The consultant team will provide two Roadway Senior Inspectors for this project.

=====

SELECTION WILL BE DIRECTLY FROM LETTERS OF RESPONSE.

The information provided in the letter of response will be the basis of selection. It is the responsibility of the firms responding to this advertisement to provide sufficient information to enable the Department to make a selection. The letter of response shall conform to all advertisement requirements established under the Notice to Professional Consultants with the following exceptions:

1. Letters of response shall not exceed 2 MB in size
2. Letters of response shall not exceed 6 pages in length plus up to 8 additional 1-page resumes for a maximum of 14 pages sent as one response attachment.

The Department will not consider letters not in conformance with response requirements. Each letter of response shall, at a minimum, address items listed under Notice to Professional Consultants, Response Procedures, as well as the following:

- \*Include responsible office fax number.
- \*List project prime and subconsultants with a brief description of the role each firm will play.
- \*Include a staffing chart clearly indicating the Project

Manager and key staff (prime and subconsultants) responsible for each major work effort in the scope of services.

\*Include a brief description of the experience and qualifications of all key staff shown in the staffing chart.

\*Include up to 8 1-page resumes of proposed inspection staff in addition to the 6-page letter of response (see above).

\*Include a brief description of recent related work experience, and provide project start and finish dates, reference contact names and phone numbers.

\*Demonstrate how you implement and fully commit to a Quality Assurance Program that is specific to these projects.

\*Describe your understanding of these projects and how your team will provide the required services.

=====  
 After final selection, the Department will forward to the #1 ranked firm, the following documents for execution and return within 5 working days of receipt:

1. Certification Regarding Debarment
2. Certification for Disclosure of Lobbying Activities
3. Truth in Negotiations Certificate
4. DBE Participation Statement
5. Bid Opportunity List

These forms are attached to the scope of services within this advertisement for review.

=====  
 The CEI cost estimate is \$424,010.

The Department reserves the right to add or delete projects within District 7 on similar type of work at any time during this contract.

=====  
 All questions of any nature regarding this advertisement, including this project's scope of services, must be directed to the Professional Services Unit staff, preferably the Contract Administrator, Michele Turosz, at 813-975-6417.

View proposed scope  
 (Adobe Acrobat® PDF file)

**Advertisement Date** : 27SEP2010  
**Response Deadline** : 15OCT2010  
**Planned Longlist(T.R.C.) Date** : 26OCT2010 **Time:** 2:00 PM  
**Planned Shortlist Selection Date:** 10DEC2010 **Time:** 8:00 AM  
**Planned Tech. Rev. Cmte Meeting:** 06DEC2010 **Time:** 9:00 AM  
**Planned Final Sel. Meeting Date:** 10DEC2010 **Time:** 8:00 AM  
**Planned Negotiations Meeting** :18JAN2011 **Time:** 8:00 AM

**Response Deadline** : 15OCT2010

**Respond To:**  
[d7.profserv@dot.state.fl.us](mailto:d7.profserv@dot.state.fl.us)  
**Attn.:** Robert A. Keller  
**Phone:** 813-975-6026

**DISTRICT 7**

**Major Work** :  
 3.1 - Minor Highway Design  
**Contract** : 11738 **Major Project**  
**Financial Management Number(s):**  
 42715713201

**Project Description:**  
 US19 Design from New York Ave. to S. of Pasco/Hernando CL,  
 Pasco Co.

**Project Manager:** Neidringhaus, Amy

**Special Notes:**

The letter of response must include the name and experience level of the individual(s) that will perform the construct-ability and bidability reviews. Also include the name, experience level, and training of each individual (prime firm, subconsultant, office preparing/signing/sealing) involved in preparation of this project's specification package.

The Department reserves the right to add or delete projects within District 7 on similar type of work at any time during this contract.

All questions of any nature regarding this advertisement, including this project's scope of services, must be directed to the Professional Services Unit, preferably the Contract Administrator, Andy Waligora, at 813-975-6656.

The Design cost estimate is \$2,330,473.

The Construction cost estimate is \$12,397,500.

View proposed scope

(Adobe Acrobat® PDF file)

|                                         |             |                      |
|-----------------------------------------|-------------|----------------------|
| <b>Advertisement Date</b>               | : 27SEP2010 |                      |
| <b>Response Deadline</b>                | : 08OCT2010 |                      |
| <b>Planned Longlist(T.R.C.) Date</b>    | : 28OCT2010 | <b>Time:</b> 9:00 AM |
| <b>Planned Shortlst Selection Date:</b> | 29OCT2010   | <b>Time:</b> 7:30 AM |
| <b>Planned Scope Meeting Date</b>       | : 04NOV2010 | <b>Time:</b> 1:30 PM |
| <b>Planned Oral Presentations Date:</b> | 06DEC2010   | <b>Time:</b> 8:00 AM |
| <b>Planned Final Sel. Meeting Date:</b> | 10DEC2010   | <b>Time:</b> 8:00 AM |
| <b>Planned Negotiations Meeting</b>     | :21DEC2010  | <b>Time:</b> 8:00 AM |

**Response Deadline** : 08OCT2010

**Respond To:**

d7.profserv@dot.state.fl.us

**Attn.:** Robert A. Keller

**Phone:** 813-975-6026

**CENTRAL OFFICE**

**Major Work** :

13.3 - Policy Planning

**Contract** : 11906 **Major Project**

**Project Description:**

Strategic Intermodal System Planning Consultant  
The Department requires the professional planning services of a consultant to support Office of Policy Planning (OPP) and other Department planning staff in implementing a strategic intermodal transportation system, including maintaining the adopted designation process; accomplishing implementation activities assigned to Policy Planning; maintaining the overall Strategic Intermodal System (SIS) public and partner involvement process; establishing and implementing a process for updating the SIS Strategic Plan; and other related SIS activities as needed.

**Project Manager:** Watts, Brian

**Maximum Contract Amount:** \$2,500,000

**Special Notes:**

This project is being readvertised through October 25, 2010. Page 619 of 1182

Please note: The scope requirements for the SIS contract have changed since originally advertised three years ago. The Department strongly encourages new firms to submit a Letter of Response for this project. This contract will be selected directly from Letters of Response. Letters of Response are limited to 6 pages in length. Letters of Response should indicate the Consultant's overall approach to the project. In addition to the Letter of Response, firms should attach a one page staffing chart and 2 page resumes of proposed personnel as a separate pdf file. Please note requirement on page 7 of the Scope of Services: "The Project Manager must have the minimum depth of experience detailed in the qualification requirements for Work Type 13.3 Policy Planning." (See Rule 14-75 in the Prequalification section of our web site.)

[View proposed scope](#)  
(Adobe Acrobat® PDF file)

**Advertisement Date** : 04OCT2010  
**Response Deadline** : 25OCT2010  
**Planned Shortlst Selection Date:** 03NOV2010 **Time:** 01:00PM  
**Planned Tech. Rev. Cmte Meeting:** 03NOV2010 **Time:** 01:00PM  
**Planned Final Sel. Meeting Date:** 16NOV2010 **Time:** 01:00PM  
**Planned Negotiations Meeting** :21DEC2010 **Time:** 10:00AM

**Response Deadline** : 25OCT2010

**Respond To:**  
[co.profserv@dot.state.fl.us](mailto:co.profserv@dot.state.fl.us)  
**Attn.:** Robert Skoglund  
**Phone:** (850) 414-4486

**CENTRAL OFFICE**

**Major Work** :  
 6.3.1 - Intelligent Trans Sys. Analysis & Design  
 6.3.2 - Intelligent Trans Systems Implementation  
 6.3.4 - Intelligent Trans Sys. Software Development  
**Minor Work** :  
 6.3.3 - Intelligent Trans Traffic Eng. Communications  
 13.4 - Systems Planning  
**Contract** : 11909 **Major Project**  
**Financial Management Number(s):**  
 19025813204

**Project Description:**  
 Intelligent Transportation Systems General Consultant  
 The ITS General Consultant will be responsible for the provision of a wide range of professional engineering and planning services to support the Department's ITS Program. Details on the exact services that the General Consultant might be called upon to provide are in the Scope of Services.

**Project Manager:** GLOTZBACH, GENE  
**Estimated Contract Amt:** \$15,000,000

**Special Notes:**  
 Shortlisted Consultants will be required to have staff on the payroll to address four critical areas prior to the oral presentations. These critical areas are Florida's advanced traveler information system, the SunGuide



software, the quality assessment of the ITS device manufacturer production process, and the qualification process for hardware and software.  
Per instructions in the "Response Procedure" section at the top of this advertisement, Consultants should submit a two page Letter of Response for consideration for this contract.

[View proposed scope](#)

(Adobe Acrobat® PDF file)

**Advertisement Date** : 20SEP2010  
**Response Deadline** : 01OCT2010  
**Planned Longlist(T.R.C.) Date** : 11OCT2010 **Time:** 1:30 PM  
**Planned Shortlst Selection Date:** 13OCT2010 **Time:** 1:00 PM  
**Planned Final Sel. Meeting Date:** 23NOV2010 **Time:** 1:00 PM

**Response Deadline** : 01OCT2010

**Respond To:**

[co.profserv@dot.state.fl.us](mailto:co.profserv@dot.state.fl.us)

**Attn.:** Robert Skoglund

**Phone:** (850) 414-4486

[Back to Top](#)

**EXHIBIT "A"**

**CONSTRUCTION ENGINEERING AND INSPECTION**

**SCOPE OF SERVICES**

**FOR**

**Project Description**

**Financial Project ID(s): 421670.1.62.01; 418048.2.62.01; 421672.1.62.01**

**Federal Project No.: 4711-015-P; 4711-016-P; N/A**

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**SCOPE OF SERVICES**  
**CONSTRUCTION ENGINEERING AND INSPECTION**

**1.0 PURPOSE:**

This scope of services describes and defines the Construction Engineering and Inspection (CEI) services which are required for contract administration, inspection, and materials sampling and testing for the construction projects listed below.

**2.0 SCOPE:**

The Consultant shall be responsible for providing services as defined in this Scope of Services, the referenced Department manuals, and procedures.

The projects for which the services are required are:

Financial Project IDs:421670.1.62.01; 418048.2.62.01; 421672.1.62.01

Descriptions: Resurfacing SR-823/Flamingo Rd. from S of Johnson Street to N. of Griffing Rd.; Sidewalk SR-823/Flamingo Rd. from Pines Blvd. to Taft Street; Resurfacing SR-817/University Dr. from Orange Drive to SW 30<sup>th</sup> Street.

County: Broward

The Consultant shall exercise their independent professional judgment in performing their obligations and responsibilities under this Agreement. Pursuant to Section 4.1.4 of the Construction Project Administration Manual (CPAM), the authority of the Consultant's lead person, such as the Senior Project Engineer, and the Consultant's Project Administrator shall be identical to the Department's Resident Engineer and Project Administrator respectively and shall be interpreted as such.

Services provided by the Consultant shall comply with Department manuals, procedures, and memorandums in effect as of the date of execution of the Agreement unless otherwise directed in writing by the Department. Such Department manuals, procedures, and memorandums are found at the State Construction Office's website.

On a single Construction Contract, it is a conflict of interest for a professional firm to receive compensation from both the Department and the Contractor either directly or indirectly.

Other projects developing within geographical area of Broward County may be added at the Department's discretion. The Consultant must perform to the satisfaction of the Department's representatives for consideration of additional CEI services.

**3.0 LENGTH OF SERVICE:**

The Consultant's services for each Construction Contract shall begin upon written notification to proceed by Department.

The Consultant Senior Project Engineer will track the execution of the Construction Contract such that the Consultant is given timely authorization to begin work. While no personnel shall be assigned until written notification by the Department has been issued, the Consultant

Financial Project ID (s): 421670.1.62.01; 418048.2.62.01; 421672.1.62.01

- J. District Professional Services Administrator: The Administrative Head of the Professional Services Office.
- K. Public Information Office: The Department's office assigned to manage the Public Information Program.
- L. Engineer of Record: The Engineer noted on the Construction plans as the responsible person for the design and preparation of the plans.
- M. Consultant: The Consulting firm under contract to the Department for administration of Construction Engineering and Inspection services.
- N. Agreement: The Professional Services Agreement between the Department and the Consultant setting forth the obligations of the parties thereto, including but not limited to the performance of the work, furnishing of services, and the basis of payment.
- O. Consultant Senior Project Engineer: The Engineer assigned by the Consultant to be in charge of providing Construction Contract administration for one or more Construction Projects. This person may supervise other Consultant employees and act as the lead Engineer for the Consultant.
- P. Consultant Project Administrator: The employee assigned by the Consultant to be in charge of providing Construction Contract administration services for one or more Construction Projects.
- Q. Resident Compliance Specialist: The employee assigned by the Consultant to oversee project specific compliance functions.
- R. Contractor: The individual, firm, or company contracting with the Department for performance of work or furnishing of materials.
- S. Construction Contract: The written agreement between the Department and the Contractor setting forth the obligations of the parties thereto, including but not limited to the performance of the work, furnishing of labor and materials, and the basis of payment.
- T. Construction Training/Qualification Program (CTQP): The Department program for training and qualifying technicians in Aggregates, Concrete, Earthwork, and Asphalt. The University of Florida Transportation Research Center (TRC) administers this program. Program information is available at CTQP website.

**5.0 ITEMS TO BE FURNISHED BY THE DEPARTMENT TO CONSULTANT:**

- A. The Department, on as needed basis, will furnish the following Construction Contract documents for each project. These documents may be provided in either paper or electronic format.
  - 1. Construction Plans,
  - 2. Special Provisions,

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3. Copy of the Executed Construction Contract, and
  4. Utility Agency's Approved Material List (if applicable).
- B. The Department will allow connection to the FDOT Network by the Consultant through either dialup communications, authorized Virtual Private Network (VPN) or approved leased lines. Appropriate approvals must be received from the Department prior to their use.
- C. The Department will furnish, install, and support the software packages for SiteManager, and SitePad (if applicable).

## **6.0 ITEMS FURNISHED BY THE CONSULTANT:**

### **6.1 Department Documents:**

All applicable Department documents referenced herein shall be a condition of this Agreement. All Department documents, directives, procedures, and standard forms are available through the Department's Internet website. Most items can be purchased through the following address. All others can be acquired through the District Office or on-line at Department's website.

Florida Department of Transportation  
Maps and Publication Sales  
605 Suwannee Street, MS 12  
Tallahassee, Florida 32399-0450  
Telephone No. (850) 488-9220

### **6.2 Office Automation:**

The Consultant shall provide and have available for their use a computer, modem, printer, and software capable of emulating a terminal on the Department of Transportation's mainframe computer. The computer will be used by the Consultant for all transactions with the DOT's mainframe computer.

The Consultant shall comply with the Department's Information Technology Resource Standards. The Consultant will obtain FDOT userids as appropriate and maintain current passwords. Standard Desktop will meet the minimum standards for the Department which are Intel Pentium 4 processor with HT up to 3.4 GHz processor with 800 MHz front side bus, Windows XP Professional (latest version), 1GB RAM, 80 GB Hard Drive, DVD/CDRW-48X/32X/48X, CDRW with DVD playback, dual monitor capability, 64 MB of video memory, PS/2 keyboard, optical USB mouse, and standard external amplified computer speakers.

Standard Laptop will meet the minimum standards for the Department which are Intel Pentium M Processor 2.0 GHz, 15 inch Ultra XGA +ATA 64 MB DDR 4X AGP Video, Microsoft Windows XP Professional (latest version), 512 MB DDR SD RAM 2 DIMMS, Internal Wireless Networking Card (compatible), 24X CDRW/DVD Combo Drive, 60 GB Ultra ATA Hard Drive, Compatible Battery, Modular Floppy Drive, Wireless 2100 802.11b 11Mbps Networking Card, docking

station (advanced port replicator, designed for easy docking and undocking of laptop (no built in key locks), must have same NIC, USB and I/O port availability (to include the VGA video port) or pass through system as listed for the laptop, Nylon Carrying Case, 3ft Power Cord, and Auto/Air AC Adapter.

The consultant shall provide a flatbed color scanner and scanner software capable of interfacing with the Department's Electronic Document Management system (EDMS). Scanners, which interface with the EDMS system, can be viewed on the Department's Information Technology Resource Standards List at [http://www.dot.state.fl.us/OIS/itrstds\\_current.htm](http://www.dot.state.fl.us/OIS/itrstds_current.htm). The supported platform is Windows 2000 and the required scanner drivers are TWAIN or ISIS format.

A modem shall be required for connecting to the Department of Transportation's mainframe. The modem shall be fully Hayes compatible capable of transmitting and receiving data using 56K or higher. Communications software, e.g. Advantis, ProComm Plus, or equivalent shall be required. The Consultant will also be required to obtain monthly Internet access and maintain an e-mail address for the project.

The Consultant shall use Microsoft Word 2000 and Microsoft Excel 2000 or latest version in order to be compatible with the Department's word processing and spreadsheet software.

The Consultant will furnish computer services/software needed for project scheduling, documentation, and control (Primavera/Suretrak, Claim Digger, etc.).

All computer coding shall be input by Consultant personnel using equipment furnished by them.

Ownership and possession of computer equipment and related software, which is provided by the Consultant, shall remain at all times with the Consultant. The Consultant shall retain responsibility for risk of loss or damage to said equipment during performance of this Agreement. Field office equipment should be maintained and operational at all times.

### **6.3 Field Office:**

The Consultant shall provide a field office with sufficient room and furnishings to effectively carry out their responsibilities under this Scope of Services.

Routine expenses for operation of the office, such as stamps, postage costs, custodial fees, telephone service, etc., will be the responsibility of the Consultant and will be compensated by the Department.

### **6.4 Vehicles:**

Vehicles will be equipped with appropriate safety equipment and must be able to effectively carry out requirements of this Agreement. Vehicles shall have the name and phone number of the consulting firm visibly displayed on both sides of the vehicle.



**6.5 Field Equipment:**

The Consultant shall supply survey, inspection and testing equipment, essential in order to carry out the work under this Agreement. Such equipment includes those non-consumable and non-expendable items, which are normally needed for a CEI project and are essential in order to carry out the work under this Agreement.

Hard hats shall have the name of the consulting firm visibly displayed.

Equipment described herein and expendable materials under this Agreement will remain the property of the Consultant and shall be removed at completion of the work.

The Consultant's handling of nuclear density gauges shall be in compliance with their license.

The Consultant shall retain responsibility for risk of loss or damage to said equipment during performance of this Agreement. Field office equipment shall be maintained and in operational condition at all times.

**6.6 Licensing for Equipment Operations:**

The Consultant will be responsible for obtaining proper licenses for equipment and personnel operating equipment when licenses are required. The Consultant shall make the license and supporting documents available to the Department for verification, upon request.

Radioactive Materials License for use of Surface Moisture Density Gauges shall be obtained through the State of Florida Department of Health.

**7.0 LIAISON:**

The Consultant shall keep the Construction Project Manager informed of all significant activities, decisions, correspondence, reports, and other communications related to its responsibilities under this Agreement, and seek input from the Construction Project Manager in order for the Construction Project Manager to oversee the Consultant's performance.

Agreement administrative duties relating to Invoice Approval Requests, Personnel Approval Requests, User ID Requests, Time Extension Requests, and Supplemental Amendment Requests shall be reviewed and approved by the Construction Project Manager.

**8.0 PERFORMANCE OF THE CONSULTANT:**

During the term of this Agreement and all supplements thereof, the Department will review various phases of Consultant operations, such as construction inspection, materials sampling and testing, and administrative activities, to determine compliance with this Agreement. The Consultant shall cooperate and assist Department representatives in conducting the reviews. If deficiencies are indicated, the Consultant shall implement remedial action immediately upon the approval of the Construction Project Manager. Department recommendations and Consultant responses/actions are to be properly documented by the Senior Project Engineer. No additional compensation shall be allowed for remedial action taken by the Consultant to

correct deficiencies. Remedial actions and required response times may include but are not necessarily limited to the following:

- A. Further subdivide assigned inspection responsibilities, reassign inspection personnel, or assign additional inspection personnel, within one week of notification.
- B. Replace personnel whose performance has been determined by the Department to be inadequate. Personnel whose performance has been determined to be unsatisfactory shall be removed immediately.
- C. Immediately increase the frequency of monitoring and inspection activities in phases of work that are the Consultant's responsibility.
- D. Increase the scope and frequency of training of the Consultant personnel.

## **9.0 REQUIREMENTS:**

### **9.1 General:**

It shall be the responsibility of the Consultant to administer, monitor, and inspect the Construction Contract such that the project is constructed in reasonable conformity with the plans, specifications, and special provisions for the Construction Contract.

The Consultant shall observe the Contractor's work to determine the progress and quality of work, identify discrepancies, report significant discrepancies to the Department, and direct the Contractor to correct such observed discrepancies.

Pursuant to Section 337.11(8)(a), Florida Statutes, the Consultant is hereby designated by the Secretary of the Department to negotiate and approve Supplemental Agreements within the thresholds established in the CPAM. However, the Consultant must seek input from the Construction Project Manager. All such Supplemental Agreements must be determined to be in accordance with Florida law by the Department prior to approval by the Consultant. For any Supplemental Agreement, which exceeds the thresholds, the Consultant shall prepare the Supplemental Agreement as a recommendation to the Department, which the Department may accept, modify or reject upon review. The Consultant shall consult with the Construction Project Manager, as it deems necessary and shall direct all issues, which exceed its delegated authority to the Construction Project Manager for Department action or direction.

The Consultant shall advise the Construction Project Manager of any significant omissions, substitutions, defects, and deficiencies noted in the work of the Contractor and the corrective action that has been directed to be performed by the Contractor. Work provided by the Consultant shall not relieve the Contractor of responsibility for the satisfactory performance of the Construction Contract.

### **9.2 Survey Control:**

The Consultant shall check or establish the survey control baseline(s) along with sufficient baseline control points and bench marks at appropriate intervals along the project in order to: (1) make and record such measurements as are necessary to

calculate and document quantities for pay items, (2) make and record pre-construction and final cross section surveys of the project site in those areas where earthwork (i.e., embankment, excavation, subsoil excavation, etc.) is part of the construction project, and (3) perform incidental engineering surveys. The District Final Estimates Manager and the Senior Project Engineer will establish the specific survey requirements for each project prior to construction.

Any questions or requests for "Waiver of Survey" should be directed to the District Final Estimates Manager.

### **9.3 On-site Inspection:**

The Consultant shall monitor the Contractor's on-site construction activities and inspect materials entering into the work in accordance with the plans, specifications, and special provisions for the Construction Contract to determine that the projects are constructed in reasonable conformity with such documents. The Department will monitor all off-site activities and fabrication. The Consultant shall keep detailed accurate records of the Contractor's daily operations and of significant events that affect the work.

Consultant shall be responsible for monitoring and inspection of Contractor's Work Zone Traffic Control Plan and review of modifications to the Work Zone Traffic Control Plan, including Alternate Work Zone Traffic Control Plan, in accordance with the Department's procedures. Consultant employees performing such services shall be qualified in accordance with the Department's procedure.

### **9.4 Sampling and Testing:**

The Consultant shall perform sampling and testing of component materials and completed work in accordance with the Construction Contract documents. The minimum sampling frequencies set out in the Department's Materials Sampling, Testing and Reporting Guide shall be met. In complying with the aforementioned guide, the Consultant shall provide daily surveillance of the Contractor's Quality Control activities at the project site and perform the sampling and testing of materials and completed work items that are normally done in the vicinity of the project for verification and acceptance.

The Department will perform inspection and sampling of materials and components at locations remote from the vicinity of the project and the Department will perform testing of materials normally done in a laboratory remote from the project site.

The Consultant shall be specifically responsible for job control samples determining the acceptability of all materials and completed work items on the basis of either test results or verification of a certification, certified mill analysis, DOT label, DOT stamp, etc.

The Department will monitor the effectiveness of the Consultant's testing procedures through surveillance and obtaining and testing independent assurance samples.

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shall be ready to assign personnel within two weeks of notification. For the duration of the project, the Consultant shall coordinate closely with the Department and Contractor to minimize rescheduling of Consultant activities due to construction delays or changes in scheduling of Contractor activities.

For estimating purposes, the Consultant will be allowed an accumulation of thirty (30) calendar days to perform preliminary administrative services prior to the issuance of the Contractor's notice to proceed on the first project and thirty (30) calendar days to demobilize after final acceptance of the last Contract.

The anticipated letting schedules and construction times for the projects are tabulated below:

| Financial Project ID | Construction Contract Estimate |                           |                    |
|----------------------|--------------------------------|---------------------------|--------------------|
|                      | Letting Date<br>(Mo/Day/Yr)    | Start Date<br>(Mo/Day/Yr) | Duration<br>(Days) |
| 421670.1.62.01       | 8/25/10                        | 11/1/10                   | 469+50=519         |
| 418048.1.62.01       | 8/25/10                        | 11/1/10                   | 80                 |
| 421672.1.62.01       | 9/29/10                        | 12/1/10                   | 230+50=280         |

**4.0 DEFINITIONS:**

- A. District Secretary: The Chief Executive Officer in each of the Department's eight (8) Districts.
- B. District Director of Transportation Operations: The Director of Construction, Maintenance, Traffic Operations, Materials, and Safety.
- C. District Construction Engineer: The administrative head of the District's Construction Offices.
- D. District Consultant CEI Manager: The Department employee assigned to administer the Construction Engineering and Inspection Program in the District.
- E. District Final Estimates Manager: The administrative head of the District Final Estimates Office.
- F. District Contract Compliance Manager: The administrative head of the District Contract Compliance Office.
- G. Operations Engineer: The Engineer assigned to a particular County or area to administer Construction and Maintenance Contracts for the Department.
- H. Resident Engineer: The Engineer assigned to a particular County or area to administer Construction Contracts for the Department.
- I. Construction Project Manager: The Department employee assigned to manage the Construction Engineering and Inspection Contract and represent the Department during the performance of the services covered under this Agreement.

In most cases, the above will take two separate meetings based on experience and knowledge of the particular firm.

- (4) Prepare and submit to the Construction Project Manager for approval, within thirty (30) days after the pre-service meeting, the FDOT Computer Security Access Request for use of FDOT Data Center Facilities and access to the Department's computer systems.
- (5) Schedule within ten (10) days after the Notice to Proceed, a date to attend SiteManager/EDMS informational meeting with the District Construction Office. The Consultant shall provide appropriate staff to attend and participate in this meeting.

Provide personnel proficient in the use of computers and scanner operation to input construction documents into an EDMS. This will require familiarity with the documents and guidelines, posted on the Department's website for EDMS. Duties will include scanning, attributing and retrieving documents that are to be archived electronically.

- (6) The Consultant shall schedule and conduct a meeting with the District Construction Environmental Liaison within ten (10) days after the Notice to Proceed and another meeting at least forty five (45) calendar days prior to project final acceptance. The purpose of the meetings is to discuss the required documentation, including as-builts, necessary for permit(s) compliance.
- (7) Verify that the Contractor is conducting inspections, preparing reports and monitoring all storm water pollution prevention measures associated with the project. For each project that requires the use of the NPDES General Permit, provide at least one inspector who has successfully completed the "Florida Storm water, Erosion, and Sedimentation Control Training and Certification Program for Inspectors and Contractors". The Consultant's inspector will be familiar with the requirements set forth in the FEDERAL REGISTER, Vol. 57, No. 187, Friday, September 5, 1992, pages 4412 to 4435 "Final NPDES General Permits for Storm water Discharges from Construction Sites" and guidelines developed by Department.
- (8) Analyze problems that arise on a project and proposals submitted by the Contractor, work to resolve such issues, and process the necessary paperwork.
- (9) Produce reports, verify quantity calculations and field measure for payment purposes as needed to prevent delays in Contractor operations and to facilitate prompt processing of such information in order for the Department to make timely payment to the Contractor.
- (10) Prepare and make presentations before the Dispute Review Boards in connection with the project covered by this Agreement.

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- (11) Monitor each Contractor and subcontractor's compliance with specifications and special provisions of the Construction Contract in regard to payment of predetermined wage rates in accordance with Department procedures.
- (12) Provide a Resident Compliance Specialist for surveillance of the Contractor's compliance with Construction Contract requirements. The Resident Compliance Specialist is responsible for reviewing, monitoring, evaluating and acting upon documentation required for Construction Contract compliance, and maintaining the appropriate files thereof. Typical areas of compliance responsibility include EEO Affirmative Actions for the prime contractor and subcontractor, DBE Affirmative Action, Contractor Formal Training, Payroll, and Subcontracts. The Resident Compliance Specialist must keep all related documents and correspondence accurate and up to date; attend all compliance reviews and furnish the complete project files for review; and assist the District Contract Compliance Manager as requested.
- (13) The Department will provide Public Information Services.
- (14) Prepare and submit to the Construction Project Manager monthly, a Construction Status Reporting System (CSRS) report, in a format to be provided by the Department.
- (15) Video tape the pre-construction conditions throughout the project limits. Provide a digital photo log or video of project activities, with heavy emphasis on potential claim items/issues and on areas of real/potential public controversy.
- (16) The Consultant will provide visual documentation of the Project through the periodic collection of a set of panoramic digital photographs at each of a series of predetermined series of photographic stations throughout the project. Photographic stations should be located at intervals such that the digital photographs collectively portray the majority of the visible surfaces on the Project. The digital photographs should be taken with a frequency designed to reveal changes in the surfaces of the Project, which can be compared to other project data including daily reports of construction and scheduling updates. Photographic data files comprising each digital photograph are to be supplied together with an HTML (web page) based access and display system for viewing the photographs. Original photographic data files are to be supplied for archival purposes and comprise photographic data identical in form and content to that produced by the digital camera used to capture the image. Working photographic data files are to be supplied for everyday reference purposes and comprise copies of each original photographic data file, which have been processed to a reduced pixel and color resolution (size and clarity) for distribution via CD ROM and the Internet. The access and display system should be comprised of a series of HTML files (web pages) which allow a user to view each photographic data file at random, and in a sequence which

simulates the visual experience of a viewer moving through the actual Project from one photographic station to the next. The original photographic data files, working photographic data files, and access and display system are to be distributed on CD ROM and portable hard disk media. The working photographic data files and the access and display system should also be maintained on a server accessible via the Internet.

## 9.6 Geotechnical Engineering:

The Consultant shall observe the progress and quality of foundation work to determine that the foundations are constructed at the correct location and elevation, identify discrepancies, submit monthly progress reports to the District Geotechnical Office (DGO), and direct the Contractor to correct such observed discrepancies. The Consultant shall attend the preconstruction conference and/or special geotechnical meeting for the construction contract. All services under this section will be performed in accordance to FDOT Specification Section 455.

The Geotechnical Engineer will provide the following services with the assistance of a qualified inspector who has completed the FDOT Drilled Shaft/Pile Driving Qualification Courses.

### 1) Drilled Shafts:

- a) Review the drilled shaft installation plan submitted by the Contractor for materials, methods, equipment, etc., and provides recommendations to the Department within five (5) working days of the Contractor's submission.
- b) Observe installation of test methods shaft(s) such that it is constructed in reasonable conformity with the plans, specifications, and special provisions for the Construction Contract. The Consultant shall report on the adequacy of the Contractor's methods and propose any changes to the Contractor within one week of completion of the test methods shaft(s) construction.
- c) Observe construction of test shafts and production shafts. This includes review of testing of drilling slurry, core drilling and core logs, and other procedures as required.
- d) Inspect the bottom of the shafts for cleanliness using manual soundings.
- e) Provide all necessary forms and keep a log of all inspections made of the shafts. These logs made during the shaft inspections shall be turned over to the Department within five (5) days after completion of any shaft. Logs shall be signed and sealed by the Geotechnical Engineer.
- f) Provide, to the DGO, a written report of all test shaft installations within 5 days of shaft completion.
- g) When conditions occur which are different from those indicated on the plans, the Geotechnical Engineer shall adjustments to the authorized depths as necessary to obtain the shaft capacity to the DGO for approval.
- h) Perform Pile Integrity Testing as required to verify shaft uniformity and to detect shaft defects.

### 2) Piles:

- a) Perform WEAP runs to determine suitability of hammer driving system for the project. Provide results (Check stresses, design capacity, and ultimate capacity) to the FDOT within 5 working days of the Contractor's submittal.
- b) Review Contractor's Pile Installation Plan and provide comments to the Senior Project Engineer and to the DGO within 5 working days of the Contractor's submittal.
- c) Instrument test piling and production piling (when deemed necessary by the District Geotechnical Engineer) during initial driving and re-drives.
- d) When monitoring the test pile driving process, determine proper fuel settings, thickness of pile cushions and when they need changing. Record all pertinent information that is needed to determine the driving criteria such as jetting, performing, pre-drilling, reference elevation, hammer serial number hammer cushion material and thickness, pile cushion material and thickness, etc. This information shall be provided to the DGO within 24 hours after the test pile driving process is completed. In most cases this information will be requested immediately following test pile completion.
- e) Perform Case Pile Wave Equation Analysis (CAPWAP) on selected blows, using the latest version. At a minimum, CAPWAP shall be performed at the end of drive, before and after set-checks, and where the anticipated tip for the production piles is expected to occur. If requested, the end of drive CAPWAP will be performed in the field upon completion of the drive, otherwise it shall be completed within 24 hours of driving each pile.
- f) Perform all required WEAP analysis, using the latest version, to provide proof of compliance with the plans and specifications for production pile driving. This includes evaluation of all design loads, evaluation of soil parameters, assistance with cushion selection and stroke selection for driving stress control. The final wave equation analysis required for production driving shall be provided to the DGO within 4 working days after the test pile program is completed, unless requested sooner.
- g) Analyze the test data and available soils data as required to establish production pile lengths and driving criteria. Submit a preliminary report recommending lengths and criteria to the DGO for approval within 4 working days after the test pile program is completed, unless requested sooner. The preliminary report shall include CAPWAP and WEAP printed & plotted outputs, and all raw data obtained by the PDA and CAPWAP solutions (i.e. file 18's) on 100MB Zip Drive or CD computer disks.
- h) Furnish final written letters, signed and sealed, in the agreed format for production pile lengths and the driving criteria.

## 10.0 **PERSONNEL:**

### 10.1 **General Requirements:**

The Consultant shall staff the project with the qualified personnel necessary to efficiently and effectively carry out its responsibilities under this Agreement. Method of compensation for personnel assigned to this project is outlined in Exhibit "B."

Unless otherwise agreed by the Department, the Department will not compensate straight overtime or premium overtime for the positions of Senior Project Engineer,



Project Administrator, Contract Support Specialist, and Associate Contract Support Specialist.

## 10.2 Personnel Qualifications:

The Consultant shall utilize only competent personnel, qualified by experience, and education. The Consultant shall submit in writing to the Construction Project Manager the names of personnel proposed for assignment to the project, including a detailed resume for each containing at a minimum salary, education, and experience. The Consultant Action Request form for personnel approval shall be submitted to the Construction Project Manager at least two weeks prior to the date an individual is to report to work.

Personnel identified in the Consultant technical proposal are to be assigned as proposed and are committed to performing services under this Agreement. Personnel changes will require written approval from Department. Staff that has been removed shall be replaced by the Consultant within one week of Department notification.

Before the project begins, all project staff shall have a working knowledge of the current CPAM and must possess all the necessary qualifications/certifications for obtaining the duties of the position they hold. Cross training of the Consultant's project staff is highly recommended to achieve a knowledgeable and versatile project inspection team but shall not be at any additional cost to the Department and should occur as workload permits. Visit the training page on the State Construction Office website for training dates.

Minimum qualifications for the Consultant personnel are set forth as follows. Exceptions to these minimum qualifications will be considered on an individual basis. However, a Project Administrator working under the supervision and direction of a Senior Project Engineer or an Inspector working under the supervision and direction of a Senior Inspector shall have six months from the date of hire to obtain the necessary qualifications/certifications provided, all other requirements for such positions are met and the Consultant submits a training plan detailing when such qualifications/certifications will be obtained and other training to familiarize with Department's procedures, Specifications and Design Standards. The District Construction Engineer or designee will have the final approval authority on such exceptions.

Complex Category Two (CC2) Bridge Structures: Bridge structures that are complex and require advanced designs and construction engineering inspection. Following structures are classified as CC2 bridge structures:

- Concrete Post-Tensioned Segmental Box Girder (CPTS)
- Concrete Post-Tensioned Continuous Beam (CPTCB)
- Movable Bridges (MB)

**SENIOR PROJECT ENGINEER** - A Civil Engineer degree and be registered in the State of Florida as a Professional Engineer (or if registered in another state, the

Financial Project ID (s): 421670.1.62.01; 418048.2.62.01; 421672.1.62.01

ability to obtain registration in the State of Florida within six months) and six (6) years of engineering experience [(two (2) years of which are in major road or bridge construction)] or [(five (5) of which are in major bridge construction) - for Complex Bridge Projects], or for non-degreed personnel the aforementioned registration and ten (10) years of engineering experience (two (2) years of which are in major road or bridge construction). Qualifications include the ability to communicate effectively in English (verbally and in writing); direct highly complex and specialized construction engineering administration and inspection program; plans and organizes the work of subordinate and staff members; develops and/or reviews policies, methods, practices, and procedures; and reviews programs for conformance with Department standards. Also must have the following:

Qualification:

FDOT Advanced MOT

Pass the CTQP examination covering the training video "Grouting of Bridge Post-tensioning Tendons" (If applicable)

Attend the CTQP Quality Control Manager course and pass the examination.

Certifications:

None

A Master's Degree in Engineering may be substituted for one (1) year engineering experience.

**PROJECT ADMINISTRATOR** - A Civil Engineering degree plus two (2) years of engineering experience in construction of major road or bridge structures, or for non-degreed personnel eight (8) years of responsible and related engineering experience, two (2) years of which involved construction of major road or bridge structures with the exception of Complex Category 2 (CC2) bridge structures.

For CC2 bridge structures, be a registered professional engineer in the State of Florida (or if registered in another state, have the ability to obtain registration in Florida within six (6) months) and have a Civil Engineering degree plus five (5) years, or be non-registered with eight (8) years, of general bridge construction experience of which two (2) years for registered project administrators, or four (4) years for non-registered project administrators, must have been with the type of CC2 bridge construction project for which CEI services are being provided by this scope. Additionally, a minimum of twelve (12) months of experience as the Project Administrator in primary control of the type of CC2 construction project for which CEI services are being provided by this scope. To be in primary control, a Project Administrator must have supervised two or more inspectors as well as two or more support staff (Office Manager, Compliance Officer, and Secretary) and must have been directly responsible for all CEI services assigned.

CPTS years of experience must have included a minimum of twelve (12) months experience in each of the following areas: (1) casting yard operations and related surveying; (2) segment erection and related surveying, post-tensioning (PT) of tendons and grouting of prestressing steel.

Financial Project ID (s): 421670.1.62.01; 418048.2.62.01; 421672.1.62.01

CPTCB years of experience must include monitoring of the following: girder erection, safe use of girder erection cranes, stabilization of girders after erection, false work for temporary girder support, and PT and grouting operations.

MB years of experience must have been in MB mechanical and/or electrical construction.

Receives general instructions regarding assignments and is expected to exercise initiative and independent judgment in the solution of work problems. Directs and assigns specific tasks to inspectors and assists in all phases of the construction project. Will be responsible for the progress and final estimates throughout the construction project duration. Must have the following:

Qualifications:

FDOT Intermediate MOT

Pass the CTQP examination covering the training video "Grouting of Bridge Post-tensioning Tendons" (If applicable)

CTQP Final Estimates Level II

Certifications:

SSPC course: C-3 Supervisor/Competent Person Training for Deleading of Industrial Structures (If applicable)

Other:

Attend CTQP Quality Control Manager Course and pass the examination.

A Master's Degree in Engineering may be substituted for one (1) year of engineering experience

**CONTRACT SUPPORT SPECIALIST** - A High School diploma or equivalent and four (4) years of road & bridge construction engineering inspection (CEI) experience having performed/assisted in project related duties (i.e., CQR/LIMS, progress and final estimates, EEO compliance, processing Construction Contract changes, etc.) or a Civil Engineering. Should exercise independent judgment in planning work details and making technical decisions related to the office aspects of the project. Should be familiar with the Department's Procedures covering the project related duties as stated above and be proficient in the computer programs necessary to perform these duties. Shall become proficient in Multi-Line and Engineering Menu.

Qualifications:

CTQP Final Estimates Level II

**ASSOCIATE CONTRACT SUPPORT SPECIALIST** - High school graduate or equivalent plus three (3) years of secretarial and/or clerical experience including two (2) years experience in construction office management having performed project related duties (i.e., CQR, progress and final estimates, EEO compliance, processing Construction Contract changes, etc.). Ability to type at a rate of 35 correct, words per minute. Experienced in the use of standard word processing software. Should exercise independent initiative to help relieve the supervisor of clerical detail. Assists the Project Administrator in office related duties (i.e., CQR, progress, and

final estimates, EEO compliance, Processing Construction Contract changes, etc.) Project specific. Work under the general supervision of the Senior Project Engineer and staff. Note: This position will not be used if a Contract Support Specialist is utilized.

**RESIDENT COMPLIANCE SPECIALIST** - Graduation from an accredited high school or equivalent with one (1) year of experience as a resident compliance officer on a construction project or two (2) years of assisting the compliance officer in monitoring the project. Should have prior experience in both State funded and Federal Aid funded construction projects with FDOT and knowledge of EEO/AA laws and FDOT's DBE and OJT programs. Ability to analyze, collect, evaluates data, and take appropriate action when necessary. Must attend all training workshops or meetings for Resident Compliance Specialists as determined necessary.

**SENIOR INSPECTOR/SENIOR ENGINEER INTERN** – High school graduate or equivalent plus four (4) years of experience in construction inspection, two (2) years of which shall have been in bridge and/or roadway construction inspection with the exception of Complex Category 2 (CC2) bridge structures.

For CC2 bridge structures, be a high school graduate or equivalent and have five (5) years of general bridge construction experience of which two (2) years must have been with the type of CC2 bridge construction project for which CEI services are being provided by this scope. Additionally, a minimum of twelve (12) months of experience as the Senior Inspector in primary control of the type CC2 construction project for which CEI services are being provided by this scope. To be in primary control, a Senior Inspector must have supervised two or more inspectors and must have been directly responsible for all inspection requirements related to the construction operations assigned.

CPTS years of experience must have included a minimum of twelve (12) months of inspection experience in one or both of the following depending on which area the inspector is being approved for: (1) casting yard inspection; (2) erection inspection. In addition, two (2) years of geometry-control surveying experience is required for inspectors that perform or monitor geometry control surveying in a casting yard.

CPTCB years of experience must include monitoring and inspection of the following: girder erection, safe use of girder erection cranes, girder stabilization after erection, false work for temporary girder support, and PT and grouting operations.

MB years of experience must have included the inspection of MB mechanical components for machinery inspectors and MB electrical components/systems for electrical inspectors.

Must have the following:

Qualifications:

CTQP Concrete Field Inspector Level I

CTQP Concrete Transportation Construction Inspector (CTCI) Level II (all bridges)

CTQP Asphalt Roadway Level I (If applicable)

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CTQP Asphalt Roadway Level II (If applicable)  
CTQP Earthwork Construction Inspection Level I  
CTQP Earthwork Construction Inspection Level II  
CTQP Pile Driving Inspection (If applicable)  
CTQP Drilled Shaft Inspection (If applicable – required for inspection of all drilled shafts including miscellaneous structures such as Sign structures, Lighting structures, and Traffic Signal structures)  
CTQP Grouting Technician Level I (If applicable)  
CTQP Post-Tensioning Technician Level I (If applicable)  
FDOT Intermediate MOT  
CTQP Final Estimates Level I

Certifications:

Nuclear Radiation Safety  
SSPC course: C-3 Supervisor/Competent Person Training for Deleading of Industrial Structures (If applicable)

or a Civil Engineering degree and one (1) year of road & bridge CEI experience with the ability to earn additional required qualifications within one year. (Note: Senior Engineer Intern classification requires one (1) year experience as an Engineer Intern.)

Responsible for performing highly complex technical assignments in field surveying and construction layout, making, and checking engineering computations, inspecting construction work, and conducting field tests and is responsible for coordinating and managing the lower level inspectors. Work is performed under the general supervision of the Project Administrator.

**INSPECTOR/ENGINEER INTERN** - High school graduate or equivalent plus two (2) years experience in construction inspection, one (1) year of which shall have been in bridge and/or roadway construction inspection, plus the following:

Qualifications:

CTQP Concrete Field Inspector Level I  
CTQP Asphalt Roadway Level I (If applicable)  
CTQP Earthwork Construction Inspection Level I  
CTQP Pile Driving Inspection (If applicable)  
CTQP Drilled Shaft Inspection (If applicable– required for inspection of all drilled shafts including miscellaneous structures such as Sign structures, Lighting structures, and Traffic Signal structures)  
CTQP Final Estimates Level I

Certifications:

Nuclear Radiation Safety

Or a Civil Engineering degree with the ability to earn additional required qualifications within one year. (Note: Engineer Intern classification requires E.I.T. certificate.)

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Responsible for performing assignments in assisting Senior Inspector in the performance of their duties. Receive general supervision from the Senior Inspector who reviews work while in progress. Civil Engineering graduates must obtain certifications within the first year of working as an inspector or Engineer Intern. Exceptions will be permitted on a case-by-case basis so long as qualifications and certifications are appropriate for specific inspection duties.

**ASPHALT PLANT INSPECTOR** - High School graduate or equivalent plus one (1) year experience in the surveillance and inspection of hot mix asphalt plant operations and the following:

Qualifications:

CTQP Asphalt Plant Level I  
CTQP Asphalt Plant Level II  
CTQP Final Estimates Level I

Certifications:

None

**INSPECTORS AIDE** - High School graduate or equivalent and able to perform basic mathematical calculation and follow simple technical instructions. Duties are to assist higher-level inspectors.

**SURVEY PARTY CHIEF** - High School graduate plus four years of experience in construction surveying (including two (2) years as Party Chief). Experienced in field engineering and construction layout, making and checking survey computations and supervising a survey party. Work is performed under general supervision of Project Administrator.

**INSTRUMENT-MAN** - High school graduate plus three (3) years of experience in construction surveying one (1) year of which shall have been as instrument-man. Responsible for performing assignments in assisting Party Chief in the performance of their duties. Receives general supervision from Party Chief who reviews work while in progress.

**ROD-MAN/CHAIN-MAN** - High school graduate with some survey experience or training preferred. Receives supervision from and assists Party Chief who reviews work while in progress.

**SECRETARY/CLERK TYPIST** - High school graduate or equivalent plus two (2) years of secretarial and/or clerical experience. Ability to type at a rate of 35 correct words per minute. Experienced in the use of standard word processing software. Should exercise independent initiative to help relieve the supervisor of clerical detail. Work under general supervision of the Senior Project Engineer and their staff.

**ENVIRONMENTAL SPECIALIST** - A bachelors degree with a major in one of the physical or natural sciences or engineering and two (2) years of professional experience in environmental protection, regulation or health; one of the physical or natural sciences; or engineering; or a Masters degree in one of the physical or

natural sciences or engineering and one (1) year of professional experience described above; or a Doctorate degree in one of the physical or natural sciences or engineering or one (1) year of experience as an Environmental Specialist I with the State Of Florida. Receives general instruction regarding assignments and is expected to exercise initiative, and independent judgment in the solution of work problems. Must have knowledge of the terminology, principles, data collection, and analytical techniques and procedures of the physical or natural sciences. Also must have ability to collect, evaluate, analyze, and interpret scientific or technical data.

**CASTING YARD ENGINEER/MANAGER - CONCRETE POST-TENSIONED SEGMENTAL BOX GIRDER BRIDGES (CPTS)** - Be a registered Professional Engineer in the State of Florida (or if registered in another state, have the ability to obtain registration in Florida within 6 months) with a minimum of one (1) year, or non-registered with a minimum of three (3) years, of experience with the use of geometry control computer programs and with the performance of surveying procedures required for the production of precast concrete box segments at a casting yard.

**GEOTECHNICAL ENGINEER** - Be a registered Professional Engineer in the State of Florida (or if registered in another state, have the ability to obtain registration in Florida within 6 months) with a minimum of 5 years of experience in being in responsible charge of the geotechnical foundation construction engineering and dynamic testing work on at least five (5) Department bridge projects, including Department Structures Design Category 2 bridge projects, having driven pile foundations/drilled shaft foundations or similar projects for other State Department of Transportation. "Responsible charge" experience shall include verifiable and successful drilled shaft installation and coring inspections and constructions, static, Osterberg Cell and/or Statnamic load test experience, as well as Pile Driving Analyzer (PDA), WEAP computer program and CAPWAP computer programs to analyze concrete/steel/timber piling.

**GEOTECHNICAL TECHNICIAN** - Knowledge in the use and provisions of the PDA system, WEAP and CAPWAP computer programs to analyze concrete/steel/timber piling in conjunction with dynamic load tests with a minimum of three (3) years of experience on at least two (2) Department bridge projects.

Qualifications:  
CTQP Pile Driving Inspection  
CTQP Drilled Shaft Inspection

**PUBLIC INFORMATION OFFICER** – High school graduate or equivalent and be knowledgeable in public information and/or advertising involving mass circulation or distribution of literature, mass advertising or other similar activities and performed such work for a at least three (3) years.

**UTILITY COORDINATOR** - High school graduate or equivalent and be knowledgeable of Department's Standards, policies, procedures, and agreements and shall have a minimum of 4 years of experience performing utility coordination in accordance with Department's Standards, policies, procedures, and agreements.

Financial Project ID (s): 421670.1.62.01; 418048.2.62.01; 421672.1.62.01

**SENIOR ITS INSPECTOR** - High school graduate or equivalent plus four (4) years of experience in construction inspection, two (2) years of which shall have been in ITS construction inspection, plus the following:

Qualifications:

Fiber Installation Inspection and OTDR Fiber Testing  
DMS Operation and Testing  
Controller Operation and Testing  
CCTV Installation, Operation and Testing  
Familiarity with Existing Communication Equipment and Switches

Certifications:

IMSA Level II

or a Civil Engineering degree and one (1) year of ITS CEI experience.

Responsible for performing highly complex technical assignments in field surveying and construction layout, making and checking engineering computations, inspecting construction work and conducting field tests and is responsible for coordinating and managing the lower level inspectors. Work is performed under the general supervision of the Project Administrator.

**ITS INSPECTOR** - High school graduate or equivalent plus two (2) years experience in construction inspection, one (1) year of which shall have been in ITS construction inspection, plus the following:

Qualifications:

Fiber Installation Inspection and OTDR Fiber Testing  
DMS Operation and Testing  
Controller Operation and Testing  
CCTV Installation, Operation and Testing  
Familiarity with Existing Communication Equipment and Switches

Certifications:

None

or a Civil Engineering degree.

Responsible for performing assignments in assisting Senior Inspector in the performance of their duties. Receive general supervision from the Senior Inspector who reviews work while in progress. Civil Engineering graduates must obtain certifications within the first year of working as an inspector or Engineer Intern. Exceptions will be permitted on a case-by-case basis so long as qualifications and certifications are appropriate for specific inspection duties.



**10.3 Staffing:**

Once authorized, the Consultant shall establish and maintain an appropriate staff through the duration of construction and completion of the final estimate. Responsible personnel, thoroughly familiar with all aspects of construction and final measurements of the various pay items, shall be available to resolve disputed final pay quantities until the appropriate Construction Contract has been paid off.

Construction engineering and inspection forces will be required of the Consultant at all times while the Contractor is working. If Contractor operations are substantially reduced or suspended, the Consultant will reduce its staff appropriately.

In the event that the suspension of Contractor operations requires the removal of Consultant forces from the project, the Consultant will be allowed ten (10) days maximum to demobilize, relocate, or terminate such forces.

**11.0 QUALITY ASSURANCE (QA) PROGRAM:**

**11.1 Quality Reviews:**

The Consultant shall conduct semi-annual reviews to make certain his own organization is in compliance with the requirements cited in the Scope of Services. Quality Reviews shall be conducted to evaluate the adequacy of materials, processes, documentation, procedures, training, guidance, and staffing included in the execution of this Agreement. Quality Reviews shall also be developed and performed to achieve compliance with specific QA provisions contained in this Agreement. The semi-annual reviews shall be submitted to the Construction Project Manager in written form no later than one (1) month after the review.

On short duration CCEI projects (nine (9) months or less), the CCEI shall perform an initial QA review within the first two (2) months of the start of construction.

On asphalt projects, the CCEI shall perform an initial QA review on its asphalt inspection staff after the Contractor has completed ten (10) full work days of mainline asphalt paving operations, or 25% of the asphalt pay item amount (whichever is less) to validate that all sampling, testing, inspection, and documentation are occurring as required of the CCEI staff.

**11.2 QA Plan:**

Within thirty (30) days after receiving award of an Agreement, the Consultant shall furnish a QA Plan to the Construction Project Manager. The QA Plan shall detail the procedures, evaluation criteria, and instructions of the Consultant's organization for providing services pursuant to this Agreement. Unless specifically waived, no payment shall be made until the Department approves the Consultant QA Plan.

Significant changes to the work requirements may require the Consultant to revise the QA Plan. It shall be the responsibility of the Consultant to keep the plan current with the work requirements. The Plan shall include, but not be limited to, the following areas:

**A. Organization:**

A description is required of the Consultant QA Organization and its functional relationship to the part of the organization performing the work under the Agreement. The authority, responsibilities and autonomy of the QA organization shall be detailed as well as the names and qualifications of personnel in the quality control organization.

**B. Quality Reviews:**

The Consultant QA shall detail the methods used to monitor and achieve organization compliance with Agreement requirements for services and products.

**C. Quality Records:**

The Consultant will outline the types of records, which will be generated and maintained during the execution of his QA program.

**D. Control of Sub-consultants and Vendors:**

The Consultant will detail the methods used to control sub-consultants and vendor quality.

**E. Quality Assurance Certification:**

An officer of the Consultant firm shall certify that the inspection and documentation was done in accordance with FDOT specifications, plans, standard indexes, and Department procedures.

**11.3 Quality Records:**

The Consultant shall maintain adequate records of the quality assurance actions performed by his organization (including subcontractors and vendors) in providing services and products under this Agreement. All records shall indicate the nature and number of observations made, the number and type of deficiencies found, and the corrective actions taken. These records shall be available to the Department, upon request, during the Agreement term. All records shall be kept at the primary job site and shall be subject to audit review.

**12.0 CERTIFICATION OF FINAL ESTIMATES:**

**12.1 Final Estimate and As-Built Plans Submittal:**

Prepare documentation and records in compliance with the Agreement, Statewide Quality Control (QC) Plan, or Consultant's approved QC Plan and the Department's Procedures.

Submit the Final Estimate(s) and one (1) set of final "as-built plans" documenting Contractor's work (one record set with two copies) as follows:

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- (a) Within thirty (30) calendar days of final acceptance; or
- (b) Where all items of work are complete and conditional/partial acceptance is utilized (Lighting, Plant establishment, etc.) for a period exceeding thirty (30) calendar days, the final estimate(s) will be due on the thirtieth (30<sup>th</sup>) day after conditional/partial acceptance. A memorandum with documentation will be transmitted to the District Final Estimate Manager at final acceptance detailing any necessary revisions to the pay items covered under the conditional/partial acceptance.

The Consultant shall be responsible for making any revisions to the Certified Final Estimate at no additional cost to the Department.

### **12.2 Certification:**

Consultant personnel preparing the Certified Final Estimate Package shall be CTQP Final Estimates Level II.

Duly authorized representative of the Consultant firm will provide a notarized certification on a form pursuant to Department' procedures.

### **12.3 Offer of Final Payment:**

The Consultant shall prepare the Offer of Final Payment package as outlined in Chapter 14 of the Review and Administration Manual. The package shall accompany the Certified Final Estimates Package submitted to the DFEO. The Consultant shall be responsible for forwarding the Offer of Final Payment Package to the Contractor.

## **13.0 AGREEMENT MANAGEMENT:**

### **13.1 General:**

- (1) With each monthly invoice submittal, the Consultant Senior Project Engineer will provide a reviewed and approved Status Report for the Agreement. This report will provide the Consultant Senior Project Engineer's accounting of the additional Agreement calendar days allowed to date, an estimate of the additional Agreement calendar days anticipated to be added to the original Agreement schedule time, an estimate of the Agreement completion date, and an estimate of the Consultant funds expiration date per Agreement schedule for the prime Consultant and for each sub-consultant.
- (2) When the Consultant identifies a condition that will require an Amendment Request (AR) to the Agreement, the Consultant Project Principal or Consultant Senior Project Engineer will communicate the need to the Construction Project Manager for an approval in concept. Once received, the Consultant shall prepare and submit the AR, and all accompanying documentation to the Construction Project Manager for approval and further processing. The Consultant shall submit ARs to allow the Department 12

weeks to process, approve, and execute the AR. The content and format of the AR and accompanying documentation shall be in accordance with the instructions and format to be provided by the Department.

- (3) When the Consultant identifies a condition that will require a Supplemental Amendment Request (SAR) to the Agreement, the Consultant Project Principal or Consultant Senior Project Engineer will communicate this condition/need to the Construction Project Manager and request approval in concept. Once received, the Consultant shall prepare and submit the SAR, and all accompanying documentation to the Construction Project Manager for approval and further processing. The Consultant shall submit SARs to allow the Department 12 weeks to process, approve, and execute the SAR. The content and format of the SAR and accompanying documentation shall be in accordance with the instructions and format to be provided by the Department.
- (4) The Consultant Project Principal or Consultant Senior Project Engineer for the project shall be responsible for performing follow-up activities to determine the status of each AR and SAR submitted to the Department.

### **13.2 Invoicing Instructions:**

Monthly invoices shall be submitted to the Department in a format and distribution schedule defined by the Department, no later than the 20th day of the following month.

If the Consultant cannot submit their monthly invoice on time, the Consultant shall notify the Department, prior to the due date the reason for the delay and the planned submittal date. Once submitted, the Consultant Project Principal or Senior Project Engineer shall notify the Construction Project Manager via e-mail of the total delay in calendar days and the reason(s) for the delay(s).

All invoices shall be submitted to the Department in electronic and hard copy formats in accordance with District Construction and Consultant Invoice Transmittal System (CITS) procedures. The Construction Project Manager must receive hard copy documentation within three (3) workdays of electronic submittal or the electronic submittal will be rejected. (Saturday, Sunday, and Department holidays are not considered workdays).

A Final Invoice will be submitted to the Department no later than the 30<sup>th</sup> day following Final Acceptance of the individual project or as requested by the Department.

## **14. SUBCONSULTANT SERVICES**

**Upon written approval by the Construction Project Manager and the Department, and prior to performance of work, the Consultant may subcontract for engineering surveys, materials testing, or specialized professional services.**

**15. OTHER SERVICES:**

Upon written authorization by the District Construction Engineer or designee, the Consultant will perform additional services in connection with the project not otherwise identified in this Agreement. The following items are not included as part of this Agreement, but may be required by the Department to supplement the Consultant services under this Agreement.

- A. Assist in preparing for arbitration hearings or litigation that occurs during the Agreement time in connection with the construction project covered by this Agreement.
- B. Provide qualified engineering witnesses and exhibits for any litigation or hearings in connection with the Agreement.
- C. Provide on- and off-site inspection services in addition to those provided for in this Agreement.

**16. POST CONSTRUCTION CLAIMS REVIEW:**

In the event the Contractor submits a claim for additional compensation and/or time after the Consultant has completed this Agreement, the Consultant shall, upon execution by the Department and the Consultant of an Amendment to this Agreement providing for compensation for such services, analyze the claim, engage in negotiations leading to settlement of the claim, and prepare and process the required documentation to close out the claim. Compensation for such services will be negotiated and effected through a Supplement to this Agreement.

**17. CONTRADICTIONS:**

In the event of a contradiction between the provisions of this Scope of Services and the Consultant's proposal as made a part of their Agreement, the provisions of the Scope of Services shall apply.

**18. THIRD PARTY BENEFICIARY**


It is specifically agreed between the parties executing this Agreement that it is not intended by any of the provisions of any part of the Agreement to create in the public or any member thereof, a third party beneficiary hereunder, or to authorize anyone not a party to this Agreement to maintain a claim, cause of action, lien or any other damages or any relief of any kind pursuant to the terms or provisions of this Agreement.

**19. DEPARTMENT AUTHORITY**

The Department shall be the final authority in considering contract modification of the Contractor for time, money or any other consideration except matters agreed to by the Contractor through contract changes negotiated by the Consultant, as authorized in Section 9.1 herein

3/26/2009: Pen & Ink to change office name at request of Director, Office of Design.

Approved:

  
Stephanie C. Kopelousos  
Secretary

Effective: August 21, 2008  
Office: Production Support  
Topic No.: 375-030-007-g

## PROFESSIONAL SERVICES CONSULTANT WORK PERFORMANCE EVALUATION

### PURPOSE:

To establish the methods for evaluating and reporting to the Department the work performance of professional services consultants under contract

### AUTHORITY:

Rule 14-75.0051 Florida Administrative Code (F.A.C.)  
Rule 14-75.0052 F.A.C.  
Section 20.23(3)(a), Florida Statutes (F.S.)  
Section 287.055(3)(d), F.S.  
Section 334.048, F.S.  
Section 337.105, F.S.

### SCOPE:

The principal users of this procedure will be project managers and professional services personnel.

### DEFINITIONS:

**Construction Engineering and Inspection (CEI):** Personnel, whether consultant or Department employee, providing construction engineering and inspection services.

**Construction Project Manager (CPM):** The Department employee whose duties include managing consultant CEI contracts.

**Design Project Manager (DPM):** The Department employee whose duties include managing design contracts.

**Professional Services Information System (PSIS):** A database maintained in the Central Office, containing information relevant to consultant professional services contracted for the Department.

**Project Manager:** The Department employee responsible for the general administration of the professional service contract and who coordinates activities between the Consultant and the Department.

**Technical Reviewer:** Department personnel assigned by the project manager and responsible for completing the quality evaluation.

**Type of Work:** The Department has categorized the types of professional services it generally requires into a Type of Work listing in **Rule 14-75.003, F.A.C.** These types of work are the basis for qualification of consultants.

## **GENERAL:**

The Department contracts with professional services consultants to provide a variety of services to the Department. This procedure provides the Department with a means of evaluating the work performance of those consultants. For all professional services contracts, the consultant's work performance for each advertised major type of work must be evaluated by the project manager. Consultants may also be evaluated on minor types of work if that work is considered significant by the project manager. Exempt contracts with fees under \$25,000 (threshold amount for category two according to **Section 287.017, F.S.**) do not require evaluation.

## **1. ALL PERFORMANCE EVALUATIONS**

### **1.1 Processing of Evaluation**

The project manager will be responsible for completing a performance evaluation that best indicates the consultant's work performance since the last performance evaluation for the appropriate contract. The project manager should discuss the evaluations with the consultant before formal submittal to give the consultant opportunity for input into the evaluation process. Evaluations will be entered by the project manager into the PSIS, for each major type of work advertised. The appropriate professional services unit can determine which types of work were advertised if assistance is needed. The professional services unit will prepare, and send copies of the evaluation to the consultant's project manager and the consultant's executive who executed the agreement. The completed evaluation will be retained for five years.

### **1.2 Evaluation Scale**

The rating scale for the consultant evaluations shall be as follows:

- 5 = Outstanding performance
- 4 = Above Satisfactory performance

- 3 = Satisfactory performance
- 2 = Below Satisfactory performance
- 1 = Unacceptable performance

Comments must be entered in the comment section for a rating of 5 or 1.

## **2. PERFORMANCE EVALUATIONS FOR CEI CONSULTANTS**

**2.1** The evaluation of a CEI consultant will be performed by the CPM. The evaluation requirements are as follows:

- (A)** The CPM will complete and distribute the evaluation quarterly during the months of February, May, August, and November, beginning with the first full quarter. The end of contract evaluation will cover the period from the end of the contract to the previous evaluation. This evaluation will be due within 30 days after completion and approval of basic services even if it does not fall within the designated quarters. The final evaluation shall reflect the overall performance for the entire contract period and will be the average of all evaluations for the contract.
- (B)** Distribution of the evaluation and any follow up correspondence will be as follows:
  - (1) District Construction Engineer:** Receives a copy for information when the grade is below 3.0.
  - (2) Consultant:** Receives a copy for information and/or corrective action. If corrective action is needed, the transmittal letter will indicate what corrective action is necessary and the related time frame and request a response to this action.
  - (3) District Consultant CEI Manager:** Receives and retains a copy. The CPM will notify the District Construction Engineer of any irregularities and take immediate corrective action to bring the situation under control.
  - (4) Director of the Office of Construction:** Receives a copy if the evaluation is below 3.0 and maintains a Central Office file for the use of the Office of Construction. The CPM will ensure that the Director of the Office of Construction is made aware of any irregularities in the consultant's performance and shall ensure that corrective actions are taken by all parties.



- (C) Any commendatory or critical comments will be included in the evaluation to fully explain the intent of the evaluation. The CPM will provide as much documentation as deemed necessary to fully explain the conditions encountered in the field. Input provided by appropriate Department and construction contractor personnel should be considered. This should extend to both positive and negative comments.

**2.2** The evaluation of a CEI consultant will include evaluation of the following areas:

Management of Consultant CEI Contract  
Issue Identification and Resolution  
Communication and Coordination  
Project Documentation  
Effective Administration of the Construction Contract  
Permit Monitoring  
Proactive in Regard to Public Concerns  
Effect on Construction Cost and Time

The specific criteria for the CEI evaluation can be found in the PSIS or on the ***Consultant CEI Work Performance Evaluation, Form No. 375-030-08X***.

- 2.3** If a particular performance test item does not apply to a project, it should not be used in the calculation of the weighted average for the performance test area.
- 2.4** The consultant CEI performance evaluation is a summary record of the CPM's in-depth reports of the CEI Consultant, the ***Quality Assurance Reviews*** prepared by the Specialty Engineer from the Office of Construction, and the Federal Highway Administration (FHWA) ***Monthly Field Reports***. These source documents should be used as the basis for preparation of this performance evaluation and will serve as the in-depth, back-up data needed to substantiate the numerical evaluation given.

**3. PERFORMANCE EVALUATIONS FOR NON-CEI CONSULTANTS**

The project manager for the consultant contract will complete an evaluation of the consultant's performance in the following areas:

- Schedule
- Management
- Quality
- Constructability (for contracts that produce construction plans)

The project manager will discuss the evaluation rating criteria to assure the understanding of all parties prior to beginning work.

### 3.1 Evaluation Areas

(A) **Schedule:** Prepared by the project manager for the contract, this evaluation indicates the consultant's performance in meeting the contract schedule. The criteria for the Schedule Evaluation can be found in the PSIS or on the **Consultant Schedule Evaluation, Form No. 375-030-08A**.

(B) **Management:** Prepared by the project manager for the contract, this evaluation provides an indication of the consultant's performance in managing the contract. The following areas will be considered when performing this evaluation:

Administration of Contract  
Management of Issues and Resources  
Communication, Documentation and Coordination  
Execution of Work  
Post Design Services (completed with constructability evaluation)

The specific criteria for each area of the Management Evaluation can be found in the PSIS or on the **Consultant Management Evaluation, Form No. 375-030-08B**.

(C) **Quality:** Prepared by the project manager for the contract or by a technical reviewer with concurrence from the project manager. This evaluation will provide an indication of the consultant's attention and concern to the established quality assurance plan and a quality product.

A quality evaluation is prepared for each major type of work as advertised when providing notice to the consultants that the Department intends to contract for project services. For advertised types of work other than those pre-qualified by the Department, type of work 99 will be used with appropriate criteria added by the project manager. The specific criteria for each type of work being evaluated can be found in the PSIS or on **Quality Evaluation Form** for that type of work.

A quality evaluation will be assigned to any pre-qualified sub-consultant named in the agreement who performs a major type of work. The prime consultant will receive a schedule, management, and quality evaluation in the same types of work as that performed by the sub-consultant.

Generally, the prime consultant quality evaluation should be the same as the sub-consultant's evaluation for subcontracted work, reflecting the prime consultant's ultimate responsibility for the work.

- (D) **Constructability (Post Construction):** The constructability evaluation provides an indication of the design consultant's ability to develop practical, accurate, complete and cost effective construction plans. For all professional services contracts resulting in construction plans, the CPM will prepare an evaluation of the constructability of the design consultant's plans and a management evaluation on the performance of post design services. In preparing these evaluations, the CPM will solicit input from the construction contractor. After review of the comments received from the construction contractor, appropriate comments will be entered on the evaluation by the CPM. The evaluation will be reviewed by the DPM for concurrence prior to entry in the PSIS and prior to distribution to the design consultant. The specific criteria for the Constructability Evaluation and the *Post-Design Services Management Evaluation* can be found in the PSIS or on the *Constructability and Management Evaluation Forms*.

### 3.2 When to Evaluate

For professional services contracts resulting in the production of construction plans, an evaluation is required according to the matrix below, but not to exceed 12 months since the last evaluation or *Notice to Proceed*.

|                      | Schedule | Management | Quality | Constructability |
|----------------------|----------|------------|---------|------------------|
| Phase 2 plans review | √        | √          | √       |                  |
| Final Design         | √        | √          | √       |                  |
| 60% Construction     |          | √          |         | √                |
| Final Construction   |          | √          |         | √                |

A constructability evaluation and a management evaluation for post design services will be performed within 30 days after final acceptance of the construction contract. For projects that have a construction phase greater than one year, a constructability evaluation and management evaluation for post design services will also be assigned at the time of the 60% construction phase review.

For contracts that do not produce construction plans, an evaluation is required for each 12 months of contract period from the *Notice to Proceed*. An end of contract evaluation will be made within 30 days after completion and acceptance of basic services.

For all professional services contracts, additional evaluations may be submitted upon completion of critical phases of work, such as preliminary design, submittal of draft environmental documents, phase submittals, reports and completion of **Task Works Orders (TWO)**. Reasons to be considered for submitting additional evaluations are:

- (A) Recognition of outstanding performance.
- (B) Recognition of poor performance.
- (C) Requests from the consultant based on possible improved performance

### 3.3 Composite Evaluation

A composite evaluation will be available at any point in time of the contract. The composite evaluation will be calculated automatically and will include all evaluations completed up to that point in time. All evaluations associated with the contract will be part of the calculation to determine the Final Composite Evaluation for the contract. The composite evaluation will be calculated as follows:

**(A) Contracts that Produce Construction Plans:**

- (1) **Design:** A composite evaluation calculated during the design phase of the project will be calculated as follows:

Schedule (S): Average of all schedule evaluations. This average will be 25% of the composite evaluation calculated during the design phase.

Management (M): Average of all management evaluations. This average will be 25% of the composite evaluation calculated during the design phase.

Quality (Q): Average of all quality evaluations. This average will be 50% of the composite evaluation calculated during the design phase.

$$\text{Composite Evaluation} = (0.25 \times S) + (0.25 \times M) + (0.50 \times Q)$$

- (2) **Construction:** A composite evaluation calculated during the construction phase of the project will be calculated as follows:

Schedule (S): Average of all schedule evaluations. This average will be 25% of the composite evaluation calculated during the construction phase.

Management (M): A weighted average of all the management evaluations completed during the design and construction phase. The management evaluations made during design will be 70% of the weighted average, while the management evaluations completed during construction will be 30% of the weighted average. This weighted average will be 25% of the composite evaluation calculated during the construction phase.

$M = 0.70 \times (\text{average of management evaluations during design}) + 0.30 \times (\text{average of management evaluations during construction})$ .

Quality (Q): Average of all quality evaluations. This average will be 25% of the composite evaluation calculated during the construction phase.

Constructability (C): Average of all constructability evaluations. This average will be 25% of the composite evaluation calculated during the construction phase.

Composite Evaluation =  $(0.25 \times S) + (0.25 \times M) + (0.25 \times Q) + (0.25 \times C)$ .

**(B) All other Contracts:**

Schedule (S) = Average of all schedule evaluations. This average will be 25% of the composite evaluation.

Management (M) = Average of all management evaluations. This average will be 25% of the composite evaluation.

Quality (Q) = Average of all quality evaluations. This average will be 50% of the composite evaluation.

Composite Evaluation =  $(0.25 \times S) + (0.25 \times M) + (0.50 \times Q)$

**4. TRAINING**

The Project Management Office shall develop and deliver training in the use of this procedure.

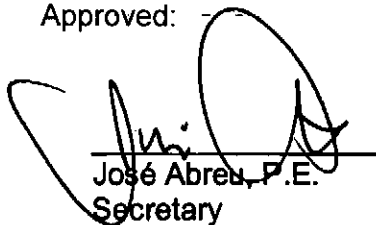
## 5. FORMS

The following forms are available from the Department's Forms Library:

- 375-030-08A Consultant Schedule Evaluation
- 375-030-08B Consultant Management Evaluation
- 375-030-08C Consultant Quality Evaluation Type of Work: Group 2 Project Development and Environmental (PD&E)
- 375-030-08D Consultant Quality Evaluation Type of Work: Group 3 Highway Design - Roadway
- 375-030-08E Consultant Quality Evaluation Type of Work: Group 4 Highway Design - Bridges
- 375-030-08F Consultant Quality Evaluation Type of Work: Group 5 Bridge Inspection
- 375-030-08G Consultant Quality Evaluation Type of Work: Group 6 Traffic Engineering and Operation Studies
- 375-030-08H Consultant Quality Evaluation Type of Work: Group 7 Traffic Operations Design
- 375-030-08I Consultant Quality Evaluation Type of Work: Group 8 Surveying and Mapping
- 375-030-08J Consultant Quality Evaluation Type of Work: Group 9 Soil Exploration, Material Testing and Foundation
- 375-030-08K Consultant Quality Evaluation Type of Work: Group 11 Engineering Contract Administration and Management
- 375-030-08M Consultant Quality Evaluation Type of Work: Group 13 Planning
- 375-030-08N Consultant Quality Evaluation Type of Work: Group 14 Architect
- 375-030-08P Consultant Quality Evaluation Type of Work: Group 15 Landscape Architect
- 375-030-08R Consultant Quality Evaluation Type of Work: Group 21 Acquisition, Negotiation, Closing, and Order of Taking
- 375-030-08S Consultant Quality Evaluation Type of Work: Group 22 Acquisition Business Damage Estimating and Estimate Review
- 375-030-08U Consultant Quality Evaluation Type of Work: Group 24 Acquisition Relocation Assistance
- 375-030-08V Consultant Quality Evaluation Type of Work: Group 25 Right of Way Clearing and Leasing
- 375-030-08W Consultant Quality Evaluation Type of Work: Group 99 Non-Standard Work Type
- 375-030-08X Consultant CEI Work Performance Evaluation Type of Work
- 375-030-08Z Constructability Evaluation

8/11/2009: Pen & Ink to modify *Section 8.2.1* as directed by senior management.

Approved:



José Abreu, P.E.  
Secretary

Effective: February 19, 2004

Office: Procurement

Topic No.: 375-030-002-i

## ACQUISITION OF PROFESSIONAL SERVICES

### PURPOSE:

This procedure defines the method used by the Department to acquire qualified professional architectural; engineering; landscape architectural; land surveying and mapping; planning; and right of way acquisition and property management services.

### AUTHORITY:

49 CFR, Part 23 and 23 CFR, Part 172

Sections 287.055, 337.105, 337.106, 337.107, 337.1075 and Chapter 339, Florida Statutes (F.S.)

Rule Chapter 14-75 and 14-78, Florida Administrative Code (F.A.C.)

### SCOPE:

This procedure will apply to all professional service contracts, whether state or federally funded, unless otherwise excepted herein or in cases of a valid public emergency as certified by the Secretary of the Department of Transportation.

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**1. DEFINITIONS**

**Letter of Response:** Each advertisement for a project for prequalified work will request Consultants, who are interested in providing such services, to submit a Letter of Response to the appropriate Department representative. The Consultant must identify the resources available (or anticipated) for use on the project based on the types of work that are advertised.

**Letter of Qualification:** On each occasion when the Department requires professional services that are not covered by a prequalified type of work, except where otherwise exempted, the advertisement for such services will require interested Consultants to submit a Letter of Qualification. The content criteria for this letter will be finalized by the individual Project Manager and listed in the project's advertisement. Each letter must contain a listing of key staff, anticipated Subconsultants to be used, a work history of similar projects completed by the Consultant (including references and their telephone numbers), and an estimate of the Consultant's current workload or a forecast of the Consultant's ability to assign resources to the project, in addition to any other information requested in the specific project's advertisement. Consultants not prequalified with the Department are also required to provide proof of professional liability insurance or letter of credit, licenses and registrations in accordance with **Rule 14-75, F.A.C.**

**Longlist:** From the Letter of Response or Letters of Qualifications received from Consultants in response to an advertisement, the project manager or a Technical Review Committee which includes the Project Manager will select qualified Consultants as a longlist to be further considered for shortlist. This longlist will contain a minimum of ten Consultants or all qualified respondents if fewer than ten.

**Professional Services Administrator (PSA):** The Head of the Professional Services Unit (PSU) located in each District. For the Central Office, the manager of the Procurement Office will act as the PSA in addition to other duties. All requests for professional services will be channeled through the appropriate PSU. The Administrator or the Procurement Office Manager will be the Contracting Officer responsible for ensuring that the acquisition of these services is performed in accordance with these procedures, **Rule Chapter 14-75, F.A.C.** and **Chapter 287, F.S.**

**Professional Services Unit (PSU):** An office, whether Central Office or District, that maintains Department staff responsible for the proper procurement of professional services in its program area.

**Project:** A project may include the following:

- (A) Professional services associated with a specifically identified project.
- (B) A grouping of professional service assignments for substantially similar activities where the grouping of assignments provides advantage to the Department because of the geographic proximity of the existing or proposed transportation facilities involved, or use of shared resources for multiple projects, or to allow multiple use of a single design concept. The advertisement for a contract for such a grouping will specify the geographical limits for assignments and the period during which assignments may be made. Without the approval of the

Secretary of Transportation, the period for new assignments may not exceed 3 years and total fee may not exceed \$5,000,000.

- (C) Miscellaneous minor professional services, performed on a task assignment basis. The total contract fee may not exceed \$1,500,000 and individual assignments may not exceed \$300,000. However, these limits may be exceeded with unplanned cost increases. The period for new assignments will not exceed five years unless extended to complete assignments previously authorized or for post design services for assignments previously authorized.
- (D) Professional services provided to the Department on a continuing basis with no time limitation except that the contract will provide a termination clause. Continuing contracts for professional services will be restricted in use to services for projects which construction costs do not exceed \$1,000,000 each, or for each study activity when the fee for such professional service does not exceed \$50,000, or for work of a specified nature as outlined in the contract. Continuing contracts using federal-aid funds must be limited in duration to a period not to exceed two years, unless otherwise approved by the appropriate federal agency. Without the approval of the Secretary of Transportation, the period for a continuing contract may not exceed 5 years and total fee may not exceed \$5,000,000.
- (E) Professional services of a General Consultant which include the administration, support and management of engineering; architectural; surveying; planning; or right of way appraisal, acquisition and property management activities. These activities may involve a number of different projects in the work program. The original contract time will not exceed five years unless extended to complete assignments previously authorized. The contract may be renewed for a period not to exceed the original contract time, contingent upon continued satisfactory performance of the consultant. This type of contract is not eligible for federal funding participation.

**Project Manager and Project Engineer:** (Project Manager is used throughout this procedure to mean Project Engineer when applicable) This is a person in the Department who is responsible for the general administration of the professional service contracts and who coordinates activities between the Consultant and the Department, assuring that the Consultant provides the specified services at a satisfactory level of quality, in accordance with the terms and conditions of the contract. Additionally, the Project Manager will complete performance evaluations on the Consultant as required in these procedures and will initiate necessary actions as the result of the Consultant's non-compliance with the terms and conditions of the contract.

**Selection Committee (District):** This Committee will make both shortlist and final selections. As a minimum, the District Selection Committee will be composed of the

District Secretary (who will serve as Chairperson), the appropriate Director, and the appropriate Office Head or other members appointed by the District Secretary. The Professional Services Administrator will be a non-voting member and will serve as Recording Secretary at all meetings. Each member of the Committee may appoint an appropriate management level alternate. All Selection Committee members must sign a ***Conflict of Interest Certification, Form No. 375-030-50***, which will be maintained in a file by the Professional Services Unit.

**Selection Committee (Central Office):** This Committee will make both shortlist and final selections. As a minimum, this Committee will be composed of the appropriate Assistant Secretary or his or her designee, (who will serve as Chairperson), the appropriate Director, and the appropriate Office Head or other members appointed by the Chairperson. The Manager of the Procurement Office will be a non-voting member and will serve as Recording Secretary at all meetings. Each member of the Committee may appoint an appropriate management level alternate. All Selection Committee members must sign a ***Conflict of Interest Certification, Form No. 375-030-50***, which will be maintained in a file by the Professional Services Unit.

**Shortlist:** This consists of no less than three Consultants chosen by a Selection Committee. These Consultants may be required to submit written proposals or present oral proposals or both for the required work in order to be considered for final selection.

**Technical Review Committee:** A Technical Review Committee (minimum of three members) will be assigned the responsibility to evaluate the technical proposals submitted by the shortlisted Consultants. The members of this Committee will be designated by the appropriate Director, or designee, based on the nature of the work requested, the complexity of the project, and the availability of personnel for a timely selection. When non-Department personnel are part of the Technical Review Committee, the Department will have a majority vote unless approved by the District Secretary. No employee of a Consultant who has submitted a Letter of Response or Qualification for the project under consideration will serve on a Technical Review Committee. Standing committees may be established for broad types of work with the Project Manager for each project serving as the chairperson; however, no member of the Technical Review Committee may serve on the Selection Committee for the same project. All Technical Review Committee members must sign a ***Conflict of Interest Certification, Form No. 375-030-50***, which will be maintained in a file by the Professional Services Unit.

**Type of Work:** The Department has categorized the types of professional services it generally requires into a Type of Work listing in ***Rule 14-75.003, F.A.C.*** These types of work are the basis for prequalifying Consultants. Additionally, each advertisement for professional services will indicate both the major and minor (if applicable) types of work to be requested. When considering Consultants for shortlisting, the Consultant or team

of Consultants (listed in a Letter of Response) must be qualified or have submitted a qualification package by the response due date, for all major types of work listed in an advertisement. Further, the major types of work will be the criteria used to access computer files for longlisting purposes. Minor types of work will be identified in advertisements for informational purposes so Consultants know in advance that either their firm or team will need to be qualified in these areas. It is not mandatory that Consultants indicate how minor types of work will be accomplished until the technical proposal stage of the selection process.

## 2. ADVERTISEMENT

Annually, immediately after development of the consultant work plan, each district will enter the plan into the professional services database. The Procurement Office will publish this information to interested parties as advance notice of planned consultant projects.

The Procurement Office will also advertise, in accordance with Florida law and in a uniform and consistent manner, on each occasion when professional consulting services are required and the fee is in excess of the threshold amount of Category Two, **Section 287.017, F.S.**, except in the case of a valid public emergency, declared as such by the Department Secretary. The results of all shortlist and final selection meetings will also be advertised.

As a minimum, each advertisement will state the name and description of the project, the District and County where the project is located, the major type(s) of work required, any minor types of work that are required for the project but not normally associated with the major type(s) of work, the estimated construction cost of the project (if applicable), how and where Consultants can respond, time frames for submittal of Letter of Response, how respondents will be selected, and tentative dates for shortlist and final selection. In addition, the advertisement will indicate whether the project is considered a minor project, with an estimated fee less than \$250,000, for qualification purposes. The minimum advertisement period will be 10 calendar days although a longer period may be utilized as needed. A scope of services or a project concept report will be provided with the advertisement except when waived by a District Director. The advertisement will require that all letters of response are submitted as attachments to e-mail and will specify a maximum file size. When final ranking is to be made directly from the letters of response, the advertisement will so indicate. Letters of response will be limited to two pages except when final ranking is to be made directly from the letters or for projects not conforming to standard types of work. In such case, the advertisement will specify the maximum length.

Where multiple consultants are needed for projects with similar requirements (such as two district wide contracts for the same discipline), this may be accomplished with one

advertisement and one selection process where practical. The advertisement for such projects should include a statement that multiple contracts may be awarded.

Projects that do not conform to the Types of Work that are prequalified by the Department will be advertised in a manner that requires interested Consultants to submit a Letter of Qualification. The requirements of this letter (i.e., what is to be submitted, time frames, maximum length of letter of qualification, etc.) will be determined by the project manager with assistance from the PSU.

### **3. LONGLIST/SHORTLIST SELECTION EVALUATION**

#### **3.1 Longlist**

When requested by the Project Manager and approved by the appropriate Director, the long list process may be skipped in the selection process for any project. When the long list process is skipped, all responsive letters of response will be submitted to the Selection Committee for short listing. If the advertisement specified that final ranking will be made from letters of response, **Sections 3.1** through **3.6** do not apply. Instead, **Section 3.7** will apply.

The PSU will review all letters of response. Except for projects with types of work not requiring prequalification by the Department, the PSU will identify as non-responsive any letters received from Consultants not prequalified; or who are not technically prequalified in the advertised major type(s) of work (or alternatively who do not have a subconsultant technically prequalified in those type(s) of work) in accordance with **Procedure 375-030-001, Prequalification of Professional Consultants**; or who do not have an application for such prequalification in process. The PSU will provide the project manager or Technical Review Committee with a copy of all responsive Letters of Response received for a given project, and make available a short list report detailing past performance with the Department for all responding Consultants prequalified to perform the advertised major types of work. Based upon this information, the Project Manager or Technical Review Committee will identify (longlist) a minimum of ten, or all qualified if less than ten, Consultants to be considered from those Consultants which submitted a Letter of Response. No one developing the longlist may act as a voting member on the Selection Committee making the shortlist for the subject project. The PSU will advise the project manager of the selection requirements and the factors to be considered in making the longlist.

#### **3.2 Longlist Consideration Factors**

Factors that, as a minimum will be considered in developing the Longlist include:

- (1) Performance grades received by the Consultant on current and previous

Department projects, or other performance data supplied by the Consultant.

- (2) The location of the Consultant in relation to the requirements necessary for the work to be performed.
- (3) Any restrictions placed on the Consultant by the prequalification evaluator.
- (4) Preference to a certified Disadvantaged Business Enterprise or certified Minority Business Enterprise, when applicable.
- (5) Volume of work previously awarded and consideration of new Consultants.
- (6) Information contained in the Letter of Response or Letter of Qualification.

Once the Project Manager or Technical Review Committee has determined the longlist, the PSU will ensure that the project file is sufficiently documented. As a minimum, the file should contain the Letters of Response, and the longlist portion of the ***Professional Services Selection Package, Form No. 375-030-2A***.

If fewer than three Consultants respond to the advertisement, the Department will readvertise, or alternatively will review its list of Consultants prequalified for the major type(s) and select no fewer than ten (10) prequalified Consultants (or all prequalified Consultants if fewer than 10 are prequalified) deemed to be the most highly qualified, based on qualification data on file, DBE/MBE status, past performance grades, and location. The Department will then contact each of the listed Consultants and conduct similar discussions concerning the project.

### **3.3 Shortlist**

Upon completion of a Long List, the PSU will access, through the Department's database, a shortlist profile on each of the designated Consultants. This information, along with supporting data the respective Selection Committee deems appropriate, will be packaged and presented to each Selection Committee member for their review prior to or at the scheduled selection meeting. The Selection Committee will shortlist no less than three Consultants. Where multiple contracts are being selected with one advertisement, at least two more consultants than contracts being awarded will be shortlisted. When this is the case, only one composite short list will be used, but a separate final ranking list will be developed for each contract.

Any consultant developing the project scope of services will not be shortlisted or selected for that project.

A Consultant who was the designer of record on a project may only be considered for Construction Engineering and Inspection (CEI) work on the same project if the written

approval of the Assistant Secretary for Transportation Policy is obtained prior to shortlisting. For federally funded projects, written approval from the FHWA is also required. If the Consultant selected for the CEI work is prequalified as a separate corporate entity from the designer of record, no approval is required. For district-wide minor project contracts or other task assignment type contracts, written approval of the Assistant Secretary for Transportation Policy must be obtained prior to issuing an assignment for CEI work to the designer of record.

The Committee may, at its discretion, designate alternate Consultants to be contacted should any of the shortlisted Consultants indicate they are unable to continue with the selection process. The Committee is not limited to shortlisting from the list of longlisted Consultants; however, whenever the Committee elects to shortlist other qualified Consultants who are not on the longlist but did submit a Letter of Response/Qualification, the selection file must be documented by the PSU as to the reasons for the selection. At a minimum documentation will consist of the shortlist portion of **Form No. 375-030-2A, Professional Services Selection Package**.

### **3.4 Shortlist Consideration Factors**

Factors to be considered by the Selection Committee include but are not limited to the following:

- (1) Performance grades received by the Consultant on current and previous Departmental projects.
- (2) The volume of work previously awarded to the Consultant by the Department as evidenced by new agreements and supplemental amendments executed between the Department and the Consultant within the past five years.
- (3) The distribution of work among the competing Consultants and the utilization of new Consultants.
- (4) The workload of each Consultant, as evidenced by the number of similar projects the Consultant is shortlisted on that have not had a final selection, as well as the residual fees remaining to be paid to the Consultants on active agreements.
- (5) Balancing the needs of the project to the abilities of the Consultants.
- (6) The Consultant's working relationship with the Department on previous projects.
- (7) The general and specific information used to longlist the Consultants (i.e., prequalification restrictions, location, Subconsultant relationships, etc.).



- (8) Utilization of Certified Disadvantaged Business Enterprises and Certified Minority Business Enterprises.

### **3.5 Shortlist Notification/Documentation**

At the conclusion of a shortlist meeting, the PSU will contact each shortlisted Consultant to advise of their inclusion on the short list; will complete **Form No. 375-030-2A, Professional Services Selection Package**; and will input the shortlist data into the Professional Services Information System within five working days after a shortlist meeting. This form will become part of the permanent project file.

The Procurement Office will publish the results of each meeting in accordance with Florida law. The advertisement will state the project name, description, appropriate financial management numbers, and the Consultants that were shortlisted.

### **3.6 Decision on Proposal Requirements**

At the shortlist meeting, the Selection Committee should also decide on the type of technical proposal to be required from the shortlisted consultants. If the anticipated amount of the consultant contract is less than \$2 million, either oral presentations or written proposals may be required, but not both unless approved by the District Secretary, or Assistant Secretary in Central Office or their designee. For districtwide minor projects and CEI contracts, interviews in lieu of formal oral presentations or written proposals should be considered. Generally, written proposals should be required for major, more complex projects. The Project Manager/Technical Review Committee may make a recommendation to the Selection Committee as to the type of proposal and include it with the longlist.

### **3.7 Optional Alternate Selection Process**

An alternate selection process, allowing final ranking directly from letters of response, may be utilized for contracts where a detailed technical proposal is not practical or desired, such as CEI projects, district-wide contracts, and other task assignment contracts. When the advertisement has stated that the alternative process will be used, the PSU will provide the Technical Review Committee with the letters deemed responsive along with a short list profile for each consultant. The Technical Review Committee will review the documents and develop a recommended ranking of no fewer than three firms. The factors to be considered in developing the recommendations should include:

- (1) Quality of staff proposed for the project in the letters of response

- (2) Performance grades received by the consultants on current and past Department projects or other verifiable performance data supplied in the letters of response
- (3) Volume of work previously awarded to the consultants by the Department with an emphasis on the equitable distribution of work among qualified consultants as well as balancing the needs of the project with the capacity of the consultant
- (4) Proposed utilization of certified disadvantaged business enterprise or minority business enterprises
- (5) The location of the firms' offices in relation to the project where pertinent

The Technical Review Committee will document the reasons for recommending the firms to be considered. The PSU will make such documentation available to the Selection Committee, along with all letters of response and the short list profiles. The Selection Committee will review the information provided and select in order of preference, no fewer than three firms. The recording secretary will document the reasons for the ranking, explaining any difference from the Technical Review Committee recommendations.

The PSU will notify the selected consultant and input the final ranking in the Professional Services Information System within three working days of the selection Committee meeting.

When this alternate selection process is used, **Sections 4, 5, 6 and 7** of this procedure do not apply.

## **4. PREPARATION OF REQUEST FOR PROPOSALS**

The PSU will prepare the Request for Proposal (RFP) package to be provided to the shortlisted consultants. The RFP package should consist of the following:

### **4.1 Boilerplate**

Information on technical proposal due date, scope of services meeting, identification of project manager, insurance requirements, reference to standard professional services agreement terms, schedule of events, etc. The boilerplate will specify that all subconsultants performing the standard types of work covered by **Rule Chapter 14-75, F.A.C.**, must be technically prequalified with the Department or have an application for prequalification under review at the time that they are proposed.

### **4.2 Instructions for Written Proposals**

If written proposals are selected, the following information should be addressed:

- (A) The shortlisted consultants should be encouraged to use simplified proposal formats and packaging for the proposal and to restrict the content of the proposal to a demonstration of an **awareness** of project issues, explanation of the proposed **approach** to the project, and plans for the **staffing** of the project. Performance of actual design as part of the proposal should be discouraged.
- (B) A firm limit to the number of pages allowed will be provided. A suggested limit is 20 single sided, letter-sized pages, exclusive of resumes, staffing charts and required forms. Font size will be restricted to 10 pitch or larger. The length of resumes should also be limited, with a suggested maximum length of two pages per person.
- (C) The electronic transmission of proposals via the Internet should be considered where practical.

### **4.3 Instructions for Oral Presentations**

If oral presentations are selected, the following information should be addressed:

- (A) The shortlisted consultants will be instructed to make their presentations project specific. The presentation should provide a demonstration of an **awareness** of project issues, explanation of the proposed **approach** to the project, and plans for the **staffing** of the project.
- (B) Shortlisted consultants will be instructed that any handouts to be submitted at the Oral Technical Presentation will be restricted to copies of visual aids used in the presentation.
- (C) Attendance at the presentation by the Consultant's proposed project manager will be strongly recommended.
- (D) A limit on the number of participants will be established. Five is the suggested maximum number, including Subconsultants, for a routine project.
- (E) A firm time limit will be provided. The suggested time frame is 20 minutes for a formal presentation, 15 minutes for questions and answers, and 10 minutes for set up/take down.
- (F) Unless otherwise approved by the District Secretary or Assistant Secretary or their designee, the RFP will advise that videos and computer animations may not be used in the presentation. However, any other media may be used.
- (G) Additional written submittals will be restricted to required certifications, staffing charts, resumes, staff hour estimates and schedule of events (except where not

applicable). The RFP will require that these be submitted to the Professional Services Administrator prior to the presentation.

- (H) The order of presentations should be by random drawing at the scope of services meeting or at a teleconference if a scope of services meeting is not held.

#### **4.4 Instructions for Interviews**

If interviews are selected in lieu of formal oral presentations or written proposals, the following information should be addressed:

- (A) The shortlisted consultants will be instructed that no formal presentations will be allowed except for introductions of staff, which will be limited to 5 minutes.
- (B) No handouts will be allowed.
- (C) Attendance at the interview by the Consultant's proposed project manager will be strongly recommended.
- (D) A limit on the number of participants will be established. The suggested maximum number of Consultant representatives to be allowed, including Subconsultants, for a routine project is three.
- (E) A firm interview time limit will be provided. The suggested limit is 45 minutes.
- (F) No visual aids provided by the Consultant will be permitted.
- (G) Additional written submittals will be restricted to required certifications, staffing charts, resumes, equipment lists, staff hour estimates and schedule of events (except where not applicable). The RFP will require that these submittals be provided to the Professional Services Administrator prior to the interview.

#### **4.5 Scope of Services**

The proposed scope of services will be provided.

#### **4.6 Proposed Method of Compensation**

The proposed method of compensation should be described.

#### **4.7 Technical Review Criteria**

The criteria to be used in the evaluation of proposals or presentation will be included.

#### **4.8 Staff Hour Estimate Proposal Form**

Except when not needed for task assignment type contracts, the consultant will be requested to complete a summary staff hour estimate. A form for this purpose will be included with the RFP and will be required to be submitted with the proposal.

#### **4.9 Required Forms and Notices**

**State Certification Forms:** *DBE Participation Statement, Form No. 375-030-21* (for non-FCO projects), *MBE Utilization Certificate Form, No. 375-040-24* (for FCO Projects), *Bid Opportunity List, Form No. 275-030-10*, and *Truth in Negotiation Certificate, Form No. 375-030-30* will be included and are required to be submitted with the proposal. The RFP should encourage use of DBE or MBE sub-consultants and stress that completion of the DBE or MBE participation forms with meaningful data is required.

**Federal Certification Forms:** If the project is to be federally funded, a *Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion* as required by *49 CFR, Section 29.510*; and *Certification for Disclosure of Lobbying Activities, Form No. 375-030-33* as required by *49 CFR, Section 20.100(b)* will be included and are required to be submitted with the proposal.

**Conflict of Interest Certification for Project Development and Environmental (PD&E) Study Contracts:** For PD&E projects, a certification that neither the consultant firm nor any of the principals of that firm have any financial or any other interest in the outcome of the project will be included and is required to be submitted with the proposal.

**Notices of Restrictions:** For all contracts, a notice will be included advising that persons or entities convicted of public entity crimes may not submit bids or transact business with state agencies.

For Construction Engineering Inspection (CEI) contracts, a notice will be included advising that a consultant under contract with the Department to perform CEI or material sampling and testing work on a project may not subcontract with the construction contractor on the same project.

It is good practice to have a complete scope of services and Department Staff Hour Estimate prior to the advertisement of the project. The Request for Proposal package can then be prepared and mailed to the shortlisted Consultants by the Professional Services Administrators in a timely fashion. The Project Manager will prepare the scope

of services and Department Staff Hour Estimate for each occasion that the Department requires professional services except where not applicable for task assignment type contracts. (For task assignment type contracts, detailed scope of services and staff hour estimates are required at the time of each assignment.) The Department's Staff Hour Estimate will be prepared in the same format as that required of the Consultant and will be completed before proposals are released to the Technical Review Committee. Scopes must be written in sufficient detail for the shortlisted Consultants to develop their technical proposals. The attention to detail in this scope preparation will reduce the potential need for supplemental agreements during the term of the contract.

The PSU should review the Project Manager's scope of services for clarity and format. Also, the PSU should discuss the method of compensation and other contractual requirements with the Project Manager in order to complete the RFP properly. The PSU will verify the Financial ID Numbers, Federal-Aid Numbers, and budget scheduled in the work program to eliminate problems during the contract execution phase.

## **5. SCOPE OF SERVICES MEETING**

Scope of services meetings are optional, but should be held for complex projects or where procedural issues exist. Telephonic scope of services meetings should be used where practical. The purpose of this meeting is to provide a forum for all concerned parties to discuss the proposed project, answer questions on the scope of services, method of compensation, instructions for submitting proposals, and other relevant issues. The need for a meeting will be determined by the Project Manager in conjunction with the Professional Services Unit. Since the RFP package is the working document on which a scope of services meeting is based, the shortlisted Consultants should be furnished a copy of the RFP at least one week prior to the scope meeting, but as a minimum, a copy of the scope of services. The scope of services meeting should be attended by representatives of the shortlisted consultants and subconsultants, as appropriate, Project Manager, other functional area representatives (as necessary), and moderated by a PSU representative. The Project Manager will explain and answer questions to clarify project objectives. The PSU representative should explain and answer questions to clarify contractual requirements and method of compensation, and selection procedures. The PSU will establish an information cutoff date at the scope of services meeting which should be no less than seven calendar days prior to the proposal submittal deadline. No questions should be answered relating to the project objectives after the information cutoff date. The consultants should be directed as to where to direct all questions after the scope meeting.

During and after the scope of services meeting, it is the responsibility of the PSU to ensure that each shortlisted Consultant develops their technical proposal with the same information. If a Consultant receives information from either the PSU or Project Manager relating to the project prior to the Information Cutoff Date, the Department will ensure that all shortlisted consultants receive the same information in a timely fashion. The

project file will clearly document all communications with any Consultant regarding the scope of services by the PSU or the Project Manager.

At the conclusion of the scope meeting, or when it is reasonable to assume that no further scope changes will be required, the Project Manager will update the scope of services and Staff Hour Estimate, as necessary. A review of the Department's original Staff Hour Estimate following the scope of services meeting is essential to the negotiations process. The updated scope and Staff Hour Estimate should be made available to each member of the Technical Review Committee prior to the evaluation of the technical proposals. Also, should significant changes result from the scope of services meeting, the shortlisted consultants should be provided the updated scope of services.

## 6. REVIEW OF TECHNICAL PROPOSALS AND PRESENTATIONS

Each member of the Technical Review Committee must base their evaluation on the same criteria so that value uniformity can be established. The following considerations may be used as a guide in establishing review criteria. However, each Project Manager is encouraged to meet with the Technical Review Committee as a group so that project requirements and major emphasis points can be discussed. The Technical Review Committee may expand and/or revise the following considerations and point allocation based on individual project need so long as it can be demonstrated that each reviewer used the same basis for evaluation. The evaluation criteria, including their relative importance, will be provided to the shortlisted Consultants in the RFP or at the scope of services meeting.

- (A) **Awareness of Project Issues:** (0 to 30 points) - Includes the Consultant's understanding of the scope of services and of any unique issues involved in the project
- (B) **Proposed Approach to Project:** (0 to 30 points) - Includes the Consultant's approach to the project, unique concepts and cost saving suggestions, proposed quality review schedule, the reasonableness of the proposed schedule based on the quantity of personnel available, whether the individual tasks are staged properly and in proper sequence, and whether the proposed schedule is better than, the same as, or worse than the Department's estimate
- (C) **Proposed Project Staffing:** (0 to 30 points) - Includes the Consultant's staffing quality and availability, experience on similar projects, proposed Subconsultants, interrelationship between the Consultant and any proposed Subconsultants
- (D) **Other Considerations:** (0 to 10 points) Communication ability, use of specialized equipment, proximity to project, commitment to satisfy the Department's needs, past performance on similar projects, etc.

- (E) **Minority Business Enterprise (MBE):** (0 to 10 points) A maximum of 10% of the total points may be awarded for FCO projects when the Consultant and/or the Subconsultant is on the Office of Supplier Diversity (OSD) Certified Minority Business Enterprise List. The Prime Consultant will execute **Form No. 375-040-24, MBE Preference Certification**, to receive the points. The maximum offered points will be awarded only when there will be at least 25% MBE participation.

The Technical Review Committee members should provide objective evaluations from a solely technical standpoint. The evaluations must be done individually by each reviewer and not as a consensus evaluation. The evaluators should provide narrative explanation for scores. When each evaluator has completed the evaluation of each proposal the raw scores will be transmitted to the PSU or Project Manager, who will calculate the average grade for each proposal. All individual evaluations should be signed and dated by the evaluator. The Project Manager or PSU will summarize the comments of the Technical Review Committee members, which will be included in the selection package. This can be accomplished in a meeting of the Technical Review Committee.

The Technical Review Committee will consider the Staff Hour Estimates during the evaluation of the Consultants only in terms of understanding of the scope. The Staff Hour estimates will not be assigned evaluation criteria points; however, the work effort is recognized as an indication of scope understanding.

When oral presentations are used, the Department representatives to attend the orals will be the FDOT project manager, other members of the Technical Review Committee, the Professional Services Administrator (or designee), and the Selection Committee members at their option. However, any Selection Committee Member who wishes to attend any Oral Presentation must attend all Oral Presentations for the specified project. All presentations will be tape-recorded by the Department. The tape or tapes will be kept as a permanent record in the Professional Services Unit within the District.

## 7. RANKING OF SHORTLISTED CONSULTANTS

Upon the completion of the technical review, the PSU will complete the shortlist portion of the **Professional Services Selection Package, Form No. 375-030-2A**, to the extent possible, and attach to these forms the comments written by the Technical Review Committee. If applicable, the weighted averaging distribution factors for combining the written technical proposal and oral presentation will be shown in the RFP. Once compiled, the PSU should schedule a final selection meeting. During the final selection meeting, the form will be completed by the recording secretary with a narrative explanation for the reasons for the ranking. Deviation from the Technical Review Committee scores, should be clearly explained in the **Professional Services Selection Package**.

The PSU or Project Manager will notify each Consultant of the selection results. The



PSU will input the Selection Committee's action in the Professional Services Information System within five working days of the selection meeting.

The Procurement Office will publish the results of each meeting in accordance with Florida law. The announcement will state the project name, description, and appropriate Financial Management numbers, as well as the ranking of the Consultants.

## **8. NEGOTIATING CONTRACT FEES**

### **8.1 Negotiating Work Effort**

Upon the ranking of the shortlisted Consultants, negotiations will begin with the number one ranked Consultant. At this time, the Consultant will usually be requested to submit a detailed Staff Hour Estimate and Fee Proposal with support information to the Department. When the final ranking has occurred directly from letters of response, the forms and certifications required under **Section 4.9** of this procedure will also be requested with the fee proposal. Staff hour estimates for PD&E, roadway and bridge design projects must be submitted in the Staff Hour Estimating spread sheet provided by the Department. The proposed costs must be submitted in the Automated Fee Proposal Spreadsheet. Once the Consultant's detailed Staff Hour Estimate is received, the Department will make available the Department's detailed estimate of work to the Consultant. The negotiations for work effort should focus on the technical proposal for the purpose of clarifying and resolving any differences concerning the scope of the project and the level of effort necessary to accomplish the project. The objective of work effort negotiations is to ensure that estimated work effort is fair and reasonable.

### **8.2 Review Process for Fee Proposals and Audit Package**

A pre-award review by the Office of Inspector General is not required. However, the PSU must review the proposed costs to assure that they are reasonable, accurate and allowable.

The fee proposal must contain a certification that any direct costs proposed are not included as overhead in the Consultant's accounting system. The certification should be signed by the Consultant's comptroller, chief financial officer, accountant, or other appropriate person who is knowledgeable of the Consultant's normal accounting requirements. As a minimum, the review of the fee proposal by the PSU must include the following:

### **8.2.1 Identification of the Basis for Proposed Wage Rates**

- (1) A payroll register containing current pay rates may be required to support proposed pay rates. The submittal will contain a certification from a responsible company official that the rates are actual on that particular date.
- (2) If salaries for select employees are used to establish contract billing rates by position classification, an explanation of how the average wage rate was computed (i.e., straight average, weighted average, etc.) is required.
- (3) The salaries proposed should be compared to the average proposed wage rates derived from the Automated Fee Proposal System available in the Negotiations handbook. Average salaries invoiced in CITS will also be available for comparison purposes.
- (4) No escalation of any kind, including salary escalation, will be negotiated on any new contracts or contract amendments, regardless of length of services. In the case of added services or in the case of optional services, wage rates for job classes previously addressed under the original agreement should not be renegotiated, and should be held at the same wage rates established under the original agreement.

### **8.2.2 Overhead & Fringe Benefits**

- (1) If the Consultant is prequalified with the Department, a copy of the Procurement Office's prequalification letter with the approved overhead rate must be included. Please note that Department procedure requires, as part of the prequalification renewal process, the submission of an updated overhead audit within 150 calendar days following the close of the Consultant's fiscal year.
- (2) If the type of services to be provided do not require prequalification and a current overhead audit is not available, the Consultant must provide an overhead statement and proposed overhead rate based on the Consultant's financial records of the most recently completed fiscal year. If the overhead statement was not prepared by an independent Certified Public Accountant, the statement and overhead rate will be reviewed by the Procurement Office or the Department's OIG.
- (3) If the proposed project requires the establishment of a field office, a separate overhead rate for the field office must be submitted. If the Consultant does not have an approved field office overhead rate which has been established through the prequalification process, a field office overhead rate should be prepared by the Consultant in accordance with the instructions contained in the FDOT Overhead Guidelines. The proposed field overhead rate calculation will be

reviewed and approved by the Procurement Office or the OIG.

- (4) The Department will accept the approved audited overhead rate for contracting purposes and may not limit or negotiate these rates. If the consultant, as part of the negotiation of overall costs to arrive at a fair competitive and reasonable price, voluntarily proposes an overhead rate lower than the audited rate, the Department may use this rate in the contract. The use of a lower overhead rate may not be a requirement of contracting.

### 8.2.3 Operating Margin

The operating margin which is paid in a Consultant contract does not necessarily represent net profit to the Consultant. Operating margin is intended to compensate the Consultant for those normal business expenses which are excluded from allowable overhead by Federal Regulation (e.g., interest, advertising, bad debts, etc.) as well as provide the Consultant with a reasonable profit.

Operating margin in FDOT contracts is normally calculated as a percentage of direct salaries. The percentage is negotiated within a range of 12 to 42 percent. The resulting dollar amount is the "fixed fee" in a cost plus fixed fee type contract or becomes part of the total fixed price in a lump sum agreement. The negotiation of operating margin as a fixed fee provides incentives for the Consultant for efficient contract performance, since completion of the project with less than estimated costs will result in a higher profit margin. This benefits both the Department and the Consultant. FHWA will not participate in cost reimbursement contracts unless the Consultant's operating margin is a fixed fee.

The basis for negotiating the operating margin percentage will be the complexity of the project, the degree of cost risk or liability involved, the project schedule, and cost control efforts demonstrated by the consultant's proposed staffing and overhead, direct expense, and salary rates compared to industry averages. The following table illustrates how these factors should be weighted and considered.

| <b>Operating Margin % Calculation Table for Direct Salaries</b> |                |                                                                                                                                                                                                             |
|-----------------------------------------------------------------|----------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Criteria</b>                                                 | <b>% Range</b> | <b>Suggested Standards</b>                                                                                                                                                                                  |
| Complexity of Project (20%)                                     | 3% - 8%        | Low - simple straight forward projects such as resurfacing or restoration<br>High - complex multi-disciplined projects requiring specialized skills with significant management issues – multiple subs etc. |

|                            |                  |                                                                                                                                                                                                                                                                  |
|----------------------------|------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Degree of Risk (20%)       | 3% - 8%          | Low – cost plus fixed fee contract with little chance of cost overruns and low liability exposure<br>High – lump sum contracts with possibility of overrunning costs - projects involving significant liability, hazardous materials, experimental designs, etc. |
| Schedule for Project (10%) | 1% - 5%          | Low – no critical short term deadlines or requirements for large staffing concentrations<br>High – High visibility projects with short duration and aggressive schedules requiring large commitment of staff                                                     |
| Cost Control Efforts (50%) | 5% - 21%         | Low – staff hours higher than DOT estimate and all other cost factors (wage rates, overhead and expense %) higher than average<br>High – hours and cost factors lower than average                                                                               |
| <b>Total</b>               | <b>12% - 42%</b> |                                                                                                                                                                                                                                                                  |

This is intended only as a guideline – not a fixed formula. If this method of negotiating operating margin is to achieve its desired affect of rewarding firms for assuming risk and controlling costs, there will need to be significant variance in the operating margin percentage negotiated from contract to contract. Negotiators cannot fall back on a standard operating margin percentage for all contracts.

Any other factors which impact the cost/benefit of the project to the Department or the Consultant may be considered in negotiating the operating margin. With the exception of Construction Engineering and Inspection (CEI) contracts, few projects require the Consultant to incur overtime cost. If overtime is required, the percentage of operating margin negotiated for the overtime may be less than the percentage used for regular time. Operating margin and overhead are not allowed on the premium portion of overtime nor on facilities capital cost of money. For supplemental services, operating margin is normally negotiated in the same manner as original agreements, but is a negotiable item and should not be considered as an automatic entitlement.

### 8.2.4 Identification of Basis for Loaded Rates

For services, such as survey, for which loaded billing rates are normally charged, the cost basis for such rates must be identified (i.e., employees, certified wage rates, overhead factors, operating margin, etc.)

For individual specialists who typically charge on a loaded billing rate basis as well as for

services, such as geotech, photogrammetric mapping, and subsurface utility location for which rates per unit of work are normally charged, a copy of the consultant's standard fee schedule will be required with an attestation that the fees contained thereon are the normal fees for such services whether performed for private or governmental clients. In addition, identification of the cost basis for such rates (i.e., labor hours and wage rates, overhead and operating margin, equipment use rates verified by audit, etc.) should be required for services acquired on a rate per unit of work basis where practical.

### **8.2.5 Expenses**

- (1) Direct project expenses will be compensated using the direct expense rate which is required as a part of annual overhead audits performed for fiscal years ending December 31, 2002 and later. Separate audited rates are required for home office expenses and field office expenses. These rates represent the ratio of all corporate wide direct expenses to actual direct labor excluding premium overtime. There should normally be no other direct expense compensation. The only exceptions to this would be unusual and infrequently occurring items that cost in excess of \$10,000. When such items are compensated separately from the direct expense rate, they must be excluded from the direct expense pool used to calculate the direct expense rate. When consultants propose such items, the negotiator should discuss this with the Office of Inspector General's External Audit section or the Procurement Office prior to accepting it.
- (2) If the consultant is not performing a prequalified type of work and does not have a current approved audit that includes a direct expense rate, an expense rate may be negotiated on the basis of the average expense rate data available in the Negotiations Handbook. If this method of determining the compensation for expenses is not used, then sufficient documentation must be provided to support the basis for all expenses contained in the price proposal. Written quotes from vendors, invoices reflecting prices paid on previous purchases, copies of catalog pages, etc. may be used as support for the proposed prices. Verbal quotes from vendors may be acceptable if adequate supporting documentation (name and telephone numbers of the person furnishing the price quote) is provided. The acquisition of any item costing more than \$1000 will be supported by at least two quotes, when competition exists.

As a general rule, the method of reimbursement for purchase by the Consultant of any capital asset (item costing \$1,000 or more and having a life expectancy of 1 year or more) will be determined through the use of a lease versus purchase analysis. A copy of the analysis will be included in the audit package. In addition, a reasonable allowance for salvage value of the items must be provided.

Acquisition of capital assets for Department ownership through service contracts

is normally not acceptable. The requirements of **Rule 60A-1.017, F.A.C.**, must be considered when this is necessary.

Unit rates used to compute travel costs may not exceed those authorized for State employee travel in accordance with Florida Statutes. Air fare must be based on coach rates with reasonable advance purchase and costs for rental cars must be based on the use of compact cars, unless otherwise justified and approved by the Department.

### **8.2.6 Subconsultant Costs**

Subconsultant(s) costs must be specifically identified in the price proposal and supported in a manner that will allow the contracting office to make a determination that the proposed costs are fair, reasonable and competitive. Usually, this will require submission of the same type of data as required for the Prime Consultant. This includes support for wage rates, loaded billing rates, rates per unit of work, direct expenses and overhead. For subcontracts with fees at or greater than \$250,000, an acceptable overhead audit report performed by an independent CPA will be required to document the consultant's overhead rate and the adequacy of the consultant's accounting system. For subcontracts with fees of less than \$250,000, a self-certified overhead statement in the format provided in the FDOT Overhead Audit Guidelines may be accepted in lieu of an audit report. For such subcontracts with fees less than \$250,000, the requirement for an overhead statement may be waived for specialists who typically charge on a loaded billing rate basis or for consultants providing other than professional services. For consultants where the requirement is waived, loaded billing rates will be negotiated with each contract to insure reasonableness.

### **8.3 Fee Proposal Review**

The negotiating officer and/or technical personnel (i.e., project manager) will review the various cost elements to determine if the cost elements contained in the fee proposal are necessary for the performance of the required services. The Negotiating Officer and/or technical personnel should provide a written technical analysis of the fee proposal for use in the price review.

The PSU should verify the cost elements of the fee proposal, review the technical analysis, and also review all proposed salaries to determine if they are in line with prevailing wage rates.

Any errors, deficiencies, omissions, etc., noted during the review of the fee proposal by the PSU should be brought to the attention of the selected Consultant, and corrective data should be requested immediately.

### **8.4 Establishing the Method of Compensation**

Compensation for professional services agreements should involve one or more of the following methods:

**LUMP SUM:** A firm fixed price not subject to adjustment due to the actual cost experience of the Consultant in the performance of the contract. This places the maximum risk on the Consultant and provides motivation for efficient cost management to maximize profits. It also minimizes the Department's time in contract administration. It is the recommended method of compensation when the scope of services is well defined and the level of effort can be reasonably predicted. **Federal Aid Policy Guidelines** prohibit the use of lump sum contracts for CEI work unless the "extent, scope, complexity, character and duration of the work" have been established.

**COST REIMBURSEMENT:** The Consultant is reimbursed the actual costs incurred in the performance of the contract. A "maximum limiting amount" is normally established to cap the amount the Department will pay for the services. This method is used when the services are so vague or complex that the level of effort or expenditure cannot be estimated with reasonable accuracy. This provides minimal incentive to the Consultant to control costs and is time consuming to administer. FHWA only participates in cost reimbursement contracts in which the Consultant's operating margin is a lump sum or "fixed fee."

**COST PER UNIT OF WORK:** A negotiated unit rate for a repetitive task or deliverable product is established and paid for each unit produced. The unit rate is not subject to adjustment. A maximum limiting amount is normally established based on the estimated number of units required. This method is frequently used for geotechnical services, lab tests, soil explorations, traffic counts, bridge inspections, etc.

**SPECIFIC RATES OF COMPENSATION:** Billing rates are established for units of time, usually per hour. These rates normally include wages, overhead, estimated expenses and operating margin. A maximum limiting amount is normally established. This method is frequently used for surveying, legal services and expert witness contracts.

Except for extraordinary contract requirements, the method of compensation should be described in the agreement using the standard Method of Compensation language

## 8.5 Negotiations

During the entire negotiation process, a written and/or taped record of the resolution of all decisions between the Consultant and the Department will be kept and will be filed with the official agreement records in the PSU.

Final negotiations will reconcile any variances in work effort from that previously negotiated and establish the compensation to be paid the Consultant for the services to

be rendered. The results of all negotiations with the Consultant must be documented in writing and made a part of the permanent project file

Compensation will be negotiated within the limits established by state and federal law, rules and regulations, whichever is more restrictive. The negotiated compensation will be in an amount the Department determines is fair, competitive, and reasonable considering the scope and complexity of the project.

Should the Department be unable to resolve differences in the considered data or negotiate a fair and reasonable fee for the services as determined by the Department, the Department will terminate negotiations with the Consultant and provide written notice of termination to the Consultant. The Department will then initiate negotiations with the Consultant previously ranked second by the Department's Selection Committee.

Should the Department be unable to negotiate an agreement with the second ranked Consultant, the aforementioned procedure will be initiated with the third ranked Consultant. Should the Department be unable to negotiate a satisfactory agreement with any of the selected Consultants, the Department will select additional Consultants in order of their competence and qualification and continue negotiations in accordance with these procedures until an agreement is reached, or initiate a new selection process in accordance with this procedure.

The decision to terminate negotiations is a business decision the Department makes and it should not cause the consultant to be viewed negatively or in any way impact their opportunity for future selections.

## **9. THE AGREEMENT**

### **9.1 Preparation of the Agreement**

Subsequent to negotiations, the Professional Services Unit will prepare an appropriate agreement consistent with the results of the negotiations. The agreement will generally consist of: a ***Standard Professional Services Agreement, Form No. 375-030-12, Exhibit "A" - Scope of Services, Exhibit "B" - Method of Compensation.***

### **9.2 Agreement Execution**

The Professional Services Unit will coordinate execution of the professional service agreement. The following lists the signatures and approvals necessary for agreements.

#### **9.2.1 The General Counsel's Office**

This signature indicates that the agreement has been reviewed by an attorney to



determine that the agreement contains all the required statutory provisions; contains all necessary provisions to be legally enforceable; contains all relevant provisions to clearly define the responsibilities and obligations of each party; and to protect the Department's interests.

## 9.2.2 The Consultant

This signature indicates that the Consultant agrees to all terms, conditions, and provisions contained in the agreement. If any changes have been made by the Consultant subsequent to Legal's initial review, the General Counsel's Office will review the changes and approve.

## 9.2.3 Office of Comptroller

Before executing an agreement, fund approval must be obtained through the Office of Comptroller Contract Funds Management System (CFM). This system checks for 1) available budget; 2) that projects are programmed for the appropriate amount and year in the Adopted Work Program; and 3) any needed federal authorizations are being obtained. These assurances are required for the Comptroller to state that funds are available in accordance with **Section 339.135(6)(a), F.S.** A copy of the approved funds approval e-mail must be placed in the contract file folder for proof of funds approval. If there are any questions regarding the CFM System or budgetary approval, please contact the CFM Help Line, at SC 291-7108, (850) 921-7108. If there are any changes to the financial provision in the **Standard Professional Services Agreement Terms, Form No. 375-030-12**, the changes must be approved by OOC.

## 9.2.4 The Procurement Office or District Professional Services Unit, as appropriate.

While not required by law, this signature has the greatest procedural responsibility. This signature indicates that the signer has either verified or certifies that:

- (A) The Consultant was competitively selected in accordance with the Department's approved selection process, and that all Federal requirements, if applicable, have been met.
- (B) That the terms and conditions of the agreement meet the specifications of the Project Manager, are reasonable to the Department and the Consultant, and were written in a form approved by legal counsel.
- (C) That the agreement, when negotiated, was done so in good faith and in accordance with all applicable laws, rules, and procedures. Also, that the negotiated price is fair, competitive, and reasonable considering the scope and

complexity of the project.

- (D) That the method of compensation is appropriate and the amount is reasonable.
- (E) That the project is included in the Department's adopted Work Program, and that the project has a proper phase, fund code, and financial management number(s).
- (F) That any change to previously approved form or content has been reviewed and resolved with the appropriate office.
- (G) Assure the person signing for the Consultant has signature authority.
- (H) That the Office of Comptroller and FHWA, if applicable, have authorized funding.
- (I) That the prime Consultant has secured and presented proof of professional liability insurance in accordance with the agreement.

### **9.2.5 The Agency Head (or their Designee)**

This signature obligates the Department to the terms, conditions, and provisions of the agreement. This signature completes execution of the agreement.

Signature authority is delegated to Senior Management Level Directors and above and Professional Services Administrators. Directors, Assistant Secretaries or District Secretaries may delegate signature authority to other office heads in writing.

### **9.3 Contract Distribution**

The Professional Services Unit distributes the executed agreements in the manner set forth below.

- (A) Two copies to the Department's Comptroller or District Financial Services Office, as appropriate.
- (B) One original to the Consultant.
- (C) One original to Professional Service Unit.
- (D) One copy to the Project Manager.
- (E) One copy, when appropriate, to FHWA.

Contracts not entered in CITS will require a Summary of Contractual Services

Agreement/Purchase Order form and an extra copy of the contract to the District Financial Services Office.

## **10. SPECIAL REQUIREMENTS FOR FEDERALLY FUNDED PROJECTS**

Consultant contracts are eligible for federal-aid participation where costs are allocable to a particular federal-aid project. This section details the process which will be followed when federal funds are to be requested.

### **10.1 Oversight Classification**

The following classifications of projects require oversight by FHWA. Responsibilities for oversight are assumed by the Department for other FHWA funded projects not covered below.

- (1) Interstate System projects, other than those dealing only with restoration, rehabilitation and resurfacing, with estimated construction costs \$1,000,000 or greater.
- (2) All bridge inspection/scour projects on the National Bridge Inventory System.
- (3) FHWA funded task assignment contracts and multiple project contracts will also be treated as oversight projects and should be submitted for FHWA review and approval. Questions regarding clarification of the classification of specific projects should be addressed to the FHWA Division Administrator.

*Note: Right of way appraisal and acquisition projects do not require oversight by FHWA.*

### **10.2 Submittal of Draft Scope**

For all FHWA Oversight projects, other than CEI projects, a draft scope of services will be submitted to the FHWA Area Engineer for review and approval at the time of advertisement of the project. The FHWA policy is to approve or comment within 10 working days of receipt. If comments are received on any of the above, a revised scope of services will be submitted to the FHWA.

For CEI contracts for FHWA oversight projects, prior FHWA approval must be obtained for the use of a consultant resident engineer at this time. Generally, approval of such usage will be restricted to complex or multiple project contracts.

### **10.3 Submittal of Refined Scope and Request for Funding**

For all FHWA oversight projects other than CEI projects, a copy of the refined scope of services together with a cost estimate for the services will be provided the FHWA Area Engineer immediately after the scope of services meeting. A cost estimate for this purpose will be completed using in-house staff hour estimates prepared by the Project Manager. At the same time, a funding request will be made through the District Federal Aid Coordinator for all FHWA funded projects other than CEI projects. A copy of the transmittal of the refined scope will be included with the funding authorization request. For CEI contracts, the federal-aid authorization of the CEI is included in the construction project authorization which will be requested by the District Federal Aid Coordinator. The consultant acquisition process may proceed up through contract execution prior to receipt of the FHWA Electronic Signature Authorization/Modification Document, but a notice to proceed will not be issued for FHWA Oversight projects until initial approval is received.

#### **10.4 Submittal of Copies of Executed Agreement**

For all FHWA Oversight projects, a copy of the executed agreement will be provided to the FHWA Area Engineer at the time of execution, together with a copy of the pre-award audit or audit certification by the PSA. With this submission for all projects other than CEI projects, a summary of any changes between the estimated fee, submitted with the funding request, and the final negotiated fee will be provided. The pre-award audit will be examined by the FHWA to ensure that there are no outstanding questions or unresolved costs. If such issues can not be resolved, FHWA participation will be limited to the amount supported by audit.

**10.5 Summary of FHWA Submittal Requirements**

|                            | FHWA Oversight Non-CEI | FHWA Oversight CEI | Non-FHWA Oversight CEI | Non-FHWA Oversight Non-CEI |
|----------------------------|------------------------|--------------------|------------------------|----------------------------|
| Draft Scope & Estimate     | Y                      | N                  | N                      | N                          |
| Refined Scope              | Y                      | N                  | N                      | N                          |
| Funding Request            | Y*                     | N                  | N                      | Y                          |
| Executed Agreement & Audit | Y                      | Y                  | N                      | N                          |

\* CEI funding request is included in request for construction project.

**10.6 Declared Emergencies**

Whenever a non-natural emergency is declared by the Secretary of Transportation for a project for which FHWA funds are to be requested, FHWA will be notified immediately by the PSU that the Department intends to deviate from its normal selection process. FHWA will be provided a copy of the emergency declaration and the preliminary scope of services. FHWA's prior approval will be required for FHWA financial participation.

**11. DBE AND MBE REQUIREMENTS**

The Professional Services Units will determine Disadvantaged Business Enterprises (DBE), per federal definition, or Minority Business Enterprises (MBE), per state definition, requirements in accordance with existing Department rule, procedure and policy.

In order to achieve the overall Department goal of DBE or MBE participation, each District and the Central Office will always give consideration in selection of Professional Consultants to DBE/MBE Consultants and to Consultants who propose to subcontract work to DBE/MBE Consultants when other factors are equal.

**12. EXEMPT CONTRACTS**

Certain professional services contracts are exempted from formal advertising and selection in strict adherence to the selection procedures outlined above. These include where the fee for professional services is less than the threshold amount in **Section 287.017, F.S.**, for Category Two or when the construction cost is estimated to be less than the threshold amount for Category Five.

For work under the Category Two threshold, at least three qualified Consultants should be considered and ranked in order of preference and negotiations initiated with the Consultant ranked number one. If this competitive selection process is not followed, the contract file will be documented as to the reasons for the selection.

The contract fee will be negotiated, and the negotiations documented in accordance with this procedure. In addition, agreements will be prepared using the **Standard Professional Services Agreement, Form No. 375-030-12**, with standard exhibits; the agreements entered into the Professional Services Information System; and for any work falling within standard types of work, Department procedure for performance evaluation will be followed.

### **13. TRAINING**

Training in this procedure will be included in periodic project manager and professional services training programs.

### **14. FORMS**

Form 350-020-01 Encumbrance Input Form

Instructions for accessing this form are available on Office of the Comptroller's Infonet site. (See Procedure No. 350-020-200, Contract Funds Approval, for processing this form).

The following forms are available in the Department's Forms Library:

|            |                                                                                                                |
|------------|----------------------------------------------------------------------------------------------------------------|
| 350-020-06 | Contract Status Change / Checklist                                                                             |
| 375-030-2A | Professional Services Selection Package                                                                        |
| 375-030-12 | Standard Professional Services Agreement                                                                       |
| 375-040-24 | MBE Utilization Certification                                                                                  |
| 375-030-30 | Truth-In-Negotiation Certification                                                                             |
| 375-030-32 | Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion for Federal Aid Contracts |
| 375-030-33 | Certification for Disclosure of Lobbying Activities on Federal Aid Contracts                                   |
| 375-030-21 | DBE Participation Statement                                                                                    |
| 275-030-10 | Bid Opportunity List                                                                                           |
| 375-030-31 | Minority Business Enterprise (MBE) Payment Certification                                                       |
| 375-030-50 | Conflict of Interest Certification                                                                             |

**SCHEDULE XIIB: MAJOR OUTSOURCING AND PRIVATIZATION INITIATIVES  
INITIALLY UNDERTAKEN IN THE LAST FIVE YEARS**

| <b>Schedule XII-B Cover Sheet and Agency Project Approval</b>                                                                                                               |                                                                             |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------|
| <b>Agency:</b><br>Department of Transportation                                                                                                                              | <b>Schedule XII-B Submission Date:</b><br>10/15/10                          |
| <b>Project Name:</b><br>Road and Bridge Construction Related Activities                                                                                                     | <b>Is this project included in the Agency's LRPP?</b><br>_____ Yes _____ No |
| <b>FY 2011-2012 LBR Issue Code:</b><br>Not Applicable                                                                                                                       | <b>FY 2011-2012 LBR Issue Title:</b><br>Not Applicable                      |
| <b>Agency Contact for Schedule XII-B (Name, Phone #, and E-mail address):</b><br>Kimberly Ferrell, 850-414-4449, Kimberly.Ferrell@dot.state.fl.us                           |                                                                             |
| <b>AGENCY APPROVAL SIGNATURES</b>                                                                                                                                           |                                                                             |
| I am submitting the attached Schedule XII-B in support of our legislative budget request.<br>I have reviewed and agree with the information in the attached Schedule XII-B. |                                                                             |
| <b>Agency Head:</b>                                                                                                                                                         | <b>Date:</b>                                                                |
| <b>Printed Name: Stephanie C. Kopelousos</b>                                                                                                                                |                                                                             |
| <b>Agency Chief Information Officer:</b><br><i>(If applicable)</i>                                                                                                          | <b>Date:</b>                                                                |
| <b>Printed Name:</b>                                                                                                                                                        |                                                                             |
| <b>Budget Officer:</b>                                                                                                                                                      | <b>Date:</b>                                                                |
| <b>Printed Name: Kimberly Ferrell</b>                                                                                                                                       |                                                                             |
| <b>Planning Officer:</b>                                                                                                                                                    | <b>Date:</b>                                                                |
| <b>Printed Name: Kathleen Neill</b>                                                                                                                                         |                                                                             |
| <b>Project Sponsor:</b>                                                                                                                                                     | <b>Date:</b>                                                                |
| <b>Printed Name: Brian Blanchard</b>                                                                                                                                        |                                                                             |

**SCHEDULE XIIB-1: MAJOR OUTSOURCING AND PRIVATIZATION INITIATIVES  
INITIALLY UNDERTAKEN IN THE LAST FIVE YEARS – BACKGROUND  
INFORMATION**

| <b>Background Information</b> |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|-------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1.                            | <p>Provide a narrative summary describing the agency’s decision to outsource or privatize the service or activity.</p> <p>Attach to Schedule XII-B copies of the original business case and cost benefit analysis. If these documents are unavailable, attach any documents which state the original intention of the outsourcing or privatization initiative that will detail its goals, objectives, and expected outcomes. Such documents may include (a) original legislative budget requests, (b) original budget amendments, (c) legislative presentations, or (d) agency planning documents.</p>                                                                                                                                                                                                                          |
|                               | <p>For at least the last thirty years all of the Department’s road and bridge construction work has been performed by private contractors. The market is very mature and competitive.</p> <p>For the purposes of this schedule, the following appropriation categories are considered road and bridge construction: Intrastate Highway Construction, Arterial Highway Construction, Highway Safety Construction/Grants, Resurfacing, Bridge Construction, Turnpike Systems Equipment and Development, and Tolls Systems Equipment and Development.</p> <p>Attachment A reflects the related work program categories included in budget requests from prior years.</p>                                                                                                                                                           |
| 2.                            | <p>Have the anticipated cost savings and benefits of the initiative been realized? Explain.</p> <p>Yes, the Department has been able to accomplish a significant amount of work with fewer employees. The Department has been able to keep administrative costs between 1% and 2%. By keeping administrative costs as low as possible a greater amount of the taxpayers money is able to be placed toward projects that enable the Department to provide a safe transportation system that ensures the mobility of people and goods, enhances economic prosperity and preserves the quality of our environment and communities.</p> <p>In addition, by contracting out for the construction work, the Department has the flexibility to ensure the right people and equipment are available at the right time for each job.</p> |
| 3.                            | <p>Provide a narrative description of the competitive solicitation used to outsource or privatize the service or activity.</p> <p>Attach a copy of any solicitation documents, requests for quote, or similar document issued by the agency for this procurement.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
|                               | <p>Construction contracts are procured under Chapter 337, Florida Statutes. Such contracts are advertised through newspapers and direct notice to our prequalified contractors. Contracts are awarded to the lowest responsible bidder in accordance with section 337.11, Florida Statutes.</p> <p>In addition, the Legislature authorized the Department in 1996 to demonstrate the use of innovative contracting techniques such as design/build, incentive/disincentive, and lane rental. The program was</p>                                                                                                                                                                                                                                                                                                                |



originally limited to \$60 million of contracts annually; however, the program has been so successful that the Legislature increased this limit to \$120 million in 1999. (See Section 337.025, Florida Statutes.)

In FY 2009/10 the Department entered into 918 road and bridge construction contracts. See attachment B for an example of a bid solicitation notice.

4. Section 287.057(13)(a), *Florida Statutes*, allows for the renewal of contracts for commodities and contractual services for a period that may not exceed 3 years or the term of the original contract, whichever period is longer. Such renewals are contingent upon satisfactory performance evaluations by the agency and subject to the availability of funds.

For the outsourced or privatized service or activity, identify the number of times the contract has been renewed and specify the renewal period of each. Attach a copy of the documentation verifying the contractor's satisfactory performance compliance required prior to each renewal.

Road and bridge related contracts are procured in accordance with Chapter 337 Florida Statutes.

It is important to note that such contracts are for a specific product rather than a commodity or service. The contracts are typically for a specific road segment to be constructed or resurfaced. As a result, such contracts would not normally be "renewed."

5. For the outsourced or privatized service or activity, has the contractor satisfactorily complied with all service level requirements? Provide a narrative summary describing service level requirements compliance or noncompliance and the method used by the agency for monitoring progress in achieving the specified performance standards within the contract.

Contracts for road and bridge construction work are very specific. The Department has a good process for selecting contractors and ensuring they are accountable for the work they are hired to do. Firms need to be prequalified before they are permitted to bid on a job. The Department assesses their technical skill level, work history, and financial capacity. They need to have certain processes and procedures in place before they are prequalified. Chapter 14-22, Florida Administrative Code regulates this process. The Department also has a procedure pertaining to District Contracts which is included in Attachment C.

In addition, the Department is provided with authority in section 337.16, F.S., to disqualify delinquent contractors from bidding on future jobs. The Department is provided with the authority to deny, suspend, or revoke a contractor's certificate of qualification, which would prevent him or her from being able to bid on work let by the Department.

6. Describe any unexpected benefits from outsourcing or privatization of the service or activity.

The Department has been contracting for road and bridge construction work for over 30 years. This is not a new outsourcing initiative. As a result, there have been few, if any, unexpected benefits.

7. Describe any unexpected problems or issues with the outsourcing or privatization of the service or activity.

|                                                                                                                                              |
|----------------------------------------------------------------------------------------------------------------------------------------------|
|                                                                                                                                              |
| 8. Briefly describe your agency's overall level of satisfaction with the results of outsourcing or privatization of the service or activity. |
| The Department is satisfied.                                                                                                                 |
| 9. What lessons learned should be shared with other agencies considering the outsourcing or privatization of a similar service or activity?  |
|                                                                                                                                              |

*Office of Policy and Budget – July, 2010*

**SCHEDULE XIIB-2: MAJOR OUTSOURCING AND PRIVATIZATION INITIATIVES INITIALLY UNDERTAKEN IN THE  
LAST FIVE YEARS - COST AND DELIVERABLES DATA**

**Road and Bridge Construction Related Activities**

**Section I: Cost Data**

For each outsourced or privatized service or activity, complete the cost analysis below:

| <b>Fiscal Year</b> | <b>Planned Costs</b> | <b>Actual/Revised Costs</b> | <b>Planned Savings</b> | <b>Actual/Revised Savings</b> |
|--------------------|----------------------|-----------------------------|------------------------|-------------------------------|
| FY 2010 - 2011     | \$3,062,095,767      | \$                          | \$                     | \$                            |
| FY 2009 - 2010     | \$2,756,187,049      |                             |                        |                               |
| FY 2008 - 2009     | \$4,213,652,674      | \$                          | \$                     | \$                            |
| FY 2007 - 2008     | \$3,552,401,567      | \$                          | \$                     | \$                            |
| FY 2006 - 2007     | \$4,600,736,062      | \$                          | \$                     | \$                            |
| FY 2005 - 2006     | \$3,109,036,613      | \$                          | \$                     | \$                            |
| FY 2004 - 2005     | \$2,989,744,790      | \$                          | \$                     | \$                            |
| FY 2003 - 2004     | \$2,793,726,166      | \$                          | \$                     | \$                            |
| FY 2002 - 2003     | \$2,120,490,435      | \$                          | \$                     | \$                            |
| FY 2001 - 2002     | \$2,366,885,960      | \$                          | \$                     | \$                            |

Note: Total outsourced planned costs are associated with the categories listed in attachment A. The difficulty in documenting savings associated with these activities is documented in OPPAGA Report No. 03-30, published in April 2003.

| <b>Variance</b> | <b>Reasons</b> |  |  |  |
|-----------------|----------------|--|--|--|
| <b>Cost</b>     |                |  |  |  |
|                 |                |  |  |  |
|                 |                |  |  |  |
| <b>Savings</b>  |                |  |  |  |
|                 |                |  |  |  |
|                 |                |  |  |  |

**Section II: Deliverables and Milestones Schedule**

For each outsourced or privatized service or activity, complete the deliverables and milestones schedule below:

| <b>Deliverables and Milestones</b> | <b>Original</b> | <b>Actual Date/<br/>Revised Date</b> |
|------------------------------------|-----------------|--------------------------------------|
|                                    |                 |                                      |
|                                    |                 |                                      |
|                                    |                 |                                      |
|                                    |                 |                                      |

| <b>Variance</b> | <b>Reasons</b> |  |  |  |
|-----------------|----------------|--|--|--|
| <b>Schedule</b> |                |  |  |  |
|                 |                |  |  |  |
|                 |                |  |  |  |

|                                 | COL A62<br>AGY AMD REQ<br>FY 01-2002<br>POS AMOUNT | CODES  |
|---------------------------------|----------------------------------------------------|--------|
| STATE CAPITAL OUTLAY - DOT      |                                                    | K      |
| FIXED CAPITAL OUTLAY            |                                                    | 080000 |
| INTRASTATE HIGHWAY CONSTR.....  | 1155,098,655                                       | 088716 |
| ARTERIAL HIGHWAY CONSTR.....    | 458,546,320                                        | 088717 |
| HIWAY SAFETY CONSTR/GRANTS..... | 46,163,337                                         | 088796 |
| RESURFACING.....                | 451,457,219                                        | 088797 |
| BRIDGE CONSTRUCTION.....        | 241,539,429                                        | 088799 |
| TURNPIKE SYS EQUIP & DEVEL..... | 4,240,655                                          | 088920 |
| TOLLS SYS EQUIP & DEVELOP.....  | 9,840,345                                          | 088922 |
| TOTAL: REPORT                   |                                                    |        |
| BY FUND                         |                                                    |        |
| TURNPIKE RENEW/REPLACE TF       | 31,536,067                                         | 2324   |
| TURNPIKE GEN RESERVE TF         | 18,286,526                                         | 2326   |
| TURNPIKE BOND CONSTR TF         | 1,573,200                                          | 2340   |
| ST TRANSPORT (PRIMARY) TF       | 2266,789,106                                       | 2540   |
| R-Q-W ACQ/BRIDGE CONST TF       | 48,701,061                                         | 2586   |
| TOTAL REPORT.....               | 2366,885,960                                       |        |

| -----                           |                                                    |        |
|---------------------------------|----------------------------------------------------|--------|
|                                 | COL A63<br>AGY AMD REQ<br>FY 2002-03<br>POS AMOUNT | CODES  |
| -----                           |                                                    |        |
| STATE CAPITAL OUTLAY - DOT      |                                                    | K      |
| FIXED CAPITAL OUTLAY            |                                                    | 080000 |
| INTRASTATE HIGHWAY CONSTR.....  | 909,873,214                                        | 088716 |
| ARTERIAL HIGHWAY CONSTR.....    | 465,607,540                                        | 088717 |
| HIWAY SAFETY CONSTR/GRANTS..... | 53,160,685                                         | 088796 |
| RESURFACING.....                | 487,965,112                                        | 088797 |
| BRIDGE CONSTRUCTION.....        | 179,318,662                                        | 088799 |
| TURNPIKE SYS EQUIP & DEVEL..... | 16,314,132                                         | 088920 |
| TOLLS SYS EQUIP & DEVELOP.....  | 8,251,090                                          | 088922 |
| -----                           |                                                    |        |
| TOTAL: REPORT                   |                                                    |        |
| BY FUND                         |                                                    |        |
| TURNPIKE RENEW/REPLACE TF       | 28,634,336                                         | 2324   |
| TURNPIKE GEN RESERVE TF         | 145,741,835                                        | 2326   |
| TURNPIKE BOND CONSTR TF         | 77,725                                             | 2340   |
| ST TRANSPORT (PRIMARY) TF       | 1944,936,538                                       | 2540   |
| R-O-W ACQ/BRIDGE CONST TF       | 1,100,001                                          | 2586   |
| -----                           |                                                    |        |
| TOTAL REPORT.....               | 2120,490,435                                       |        |
| -----                           |                                                    |        |

| -----                           |                                                    |        |
|---------------------------------|----------------------------------------------------|--------|
|                                 | COL A64<br>AGY AMD REQ<br>FY 2003-04<br>POS AMOUNT | CODES  |
| -----                           |                                                    |        |
| STATE CAPITAL OUTLAY - DOT      |                                                    | K      |
| FIXED CAPITAL OUTLAY            |                                                    | 080000 |
| INTRASTATE HIGHWAY CONSTR.....  | 1292,912,279                                       | 088716 |
| ARTERIAL HIGHWAY CONSTR.....    | 560,318,950                                        | 088717 |
| HIWAY SAFETY CONSTR/GRANTS..... | 72,552,696                                         | 088796 |
| RESURFACING.....                | 551,546,429                                        | 088797 |
| BRIDGE CONSTRUCTION.....        | 269,465,602                                        | 088799 |
| TURNPIKE SYS EQUIP & DEVEL..... | 23,686,650                                         | 088920 |
| TOLLS SYS EQUIP & DEVELOP.....  | 23,243,560                                         | 088922 |
| -----                           |                                                    |        |
| TOTAL: REPORT                   |                                                    |        |
| BY FUND                         |                                                    |        |
| TURNPIKE RENEW/REPLACE TF       | 44,950,893                                         | 2324   |
| TURNPIKE GEN RESERVE TF         | 311,684,215                                        | 2326   |
| ST TRANSPORT (PRIMARY) TF       | 2419,282,907                                       | 2540   |
| R-O-W ACQ/BRIDGE CONST TF       | 17,808,151                                         | 2586   |
| -----                           |                                                    |        |
| TOTAL REPORT.....               | 2793,726,166                                       |        |
| -----                           |                                                    |        |

| -----                           |                                      |        |
|---------------------------------|--------------------------------------|--------|
|                                 | COL A65<br>AGY AMD REQ<br>FY 2004-05 |        |
|                                 | POS                                  | AMOUNT |
|                                 | -----                                |        |
|                                 |                                      | CODES  |
| STATE CAPITAL OUTLAY - DOT      |                                      | K      |
| FIXED CAPITAL OUTLAY            |                                      | 080000 |
| INTRASTATE HIGHWAY CONSTR.....  | 1315,760,415                         | 088716 |
| ARTERIAL HIGHWAY CONSTR.....    | 561,706,429                          | 088717 |
| HIWAY SAFETY CONSTR/GRANTS..... | 103,445,083                          | 088796 |
| RESURFACING.....                | 620,679,773                          | 088797 |
| BRIDGE CONSTRUCTION.....        | 330,899,195                          | 088799 |
| TURNPIKE SYS EQUIP & DEVEL..... | 30,446,800                           | 088920 |
| TOLLS SYS EQUIP & DEVELOP.....  | 26,807,095                           | 088922 |
|                                 | -----                                |        |
| TOTAL: REPORT                   |                                      |        |
| BY FUND                         |                                      |        |
| TURNPIKE RENEW/REPLACE TF       | 33,367,251                           | 2324   |
| TURNPIKE GEN RESERVE TF         | 483,881,327                          | 2326   |
| ST TRANSPORT (PRIMARY) TF       | 2431,291,552                         | 2540   |
| R-O-W ACQ/BRIDGE CONST TF       | 41,204,660                           | 2586   |
|                                 | -----                                |        |
| TOTAL REPORT.....               | 2989,744,790                         |        |
|                                 | =====                                |        |

|                                 | COL A56<br>AGY AMD REQ<br>FY 2005-06<br>POS AMOUNT | CODES  |
|---------------------------------|----------------------------------------------------|--------|
| STATE CAPITAL OUTLAY - DOT      |                                                    | K      |
| FIXED CAPITAL OUTLAY            |                                                    | 080000 |
| INTRASTATE HIGHWAY CONSTR.....  | 1388,885,818                                       | 088716 |
| ARTERIAL HIGHWAY CONSTR.....    | 705,323,078                                        | 088717 |
| HIWAY SAFETY CONSTR/GRANTS..... | 106,134,121                                        | 088796 |
| RESURFACING.....                | 683,844,969                                        | 088797 |
| BRIDGE CONSTRUCTION.....        | 142,596,180                                        | 088799 |
| TURNPIKE SYS EQUIP & DEVEL..... | 58,215,646                                         | 088920 |
| TOLLS SYS EQUIP & DEVELOP.....  | 24,036,801                                         | 088922 |
| TOTAL: REPORT                   |                                                    |        |
| BY FUND                         |                                                    |        |
| TURNPIKE RENEW/REPLACE TF       | 59,526,000                                         | 2324   |
| TURNPIKE GEN RESERVE TF         | 368,778,281                                        | 2326   |
| ST TRANSPORT (PRIMARY) TF       | 2675,808,332                                       | 2540   |
| R-O-W ACQ/BRIDGE CONST TF       | 4,924,000                                          | 2586   |
| TOTAL REPORT.....               | 3109,036,613                                       |        |



|                                 | COL A58<br>AGY AMD REQ<br>FY 2006-07<br>POS AMOUNT | CODES  |
|---------------------------------|----------------------------------------------------|--------|
| STATE CAPITAL OUTLAY - DOT      |                                                    | K      |
| FIXED CAPITAL OUTLAY            |                                                    | 080000 |
| INTRASTATE HIGHWAY CONSTR.....  | 1832,885,489                                       | 088716 |
| ARTERIAL HIGHWAY CONSTR.....    | 1332,835,103                                       | 088717 |
| HIWAY SAFETY CONSTR/GRANTS..... | 83,907,826                                         | 088796 |
| RESURFACING.....                | 910,744,385                                        | 088797 |
| BRIDGE CONSTRUCTION.....        | 327,554,564                                        | 088799 |
| TURNPIKE SYS EQUIP & DEVEL..... | 78,598,461                                         | 088920 |
| TOLLS SYS EQUIP & DEVELOP.....  | 34,210,234                                         | 088922 |
| TOTAL: REPORT                   |                                                    |        |
| BY FUND                         |                                                    |        |
| TURNPIKE RENEW/REPLACE TF       | 100,045,730                                        | 2324   |
| TURNPIKE GEN RESERVE TF         | 821,196,936                                        | 2326   |
| ST TRANSPORT (PRIMARY) TF       | 3624,174,135                                       | 2540   |
| R-O-W ACQ/BRIDGE CONST TF       | 55,319,261                                         | 2586   |
| TOTAL REPORT.....               | 4600,736,062                                       |        |
|                                 | =====                                              |        |

|                                 | COL A52<br>AGY AMD REQ<br>FY 2007-08<br>POS AMOUNT | CODES  |
|---------------------------------|----------------------------------------------------|--------|
| STATE CAPITAL OUTLAY - DOT      |                                                    | K      |
| FIXED CAPITAL OUTLAY            |                                                    | 080000 |
| INTRASTATE HIGHWAY CONSTR.....  | 1478,448,207                                       | 088716 |
| ARTERIAL HIGHWAY CONSTR.....    | 788,405,752                                        | 088717 |
| HIWAY SAFETY CONSTR/GRANTS..... | 84,500,721                                         | 088796 |
| RESURFACING.....                | 775,064,986                                        | 088797 |
| BRIDGE CONSTRUCTION.....        | 309,668,037                                        | 088799 |
| TURNPIKE SYS EQUIP & DEVEL..... | 95,174,078                                         | 088920 |
| TOLLS SYS EQUIP & DEVELOP.....  | 21,139,786                                         | 088922 |
| TOTAL: REPORT                   |                                                    |        |
| BY FUND                         |                                                    |        |
| TURNPIKE RENEW/REPLACE TF       | 57,391,103                                         | 2324   |
| TURNPIKE GEN RESERVE TF         | 568,993,853                                        | 2326   |
| ST TRANSPORT (PRIMARY) TF       | 2861,101,570                                       | 2540   |
| R-O-W ACQ/BRIDGE CONST TF       | 64,915,041                                         | 2586   |
| TOTAL REPORT.....               | 3552,401,567                                       |        |

|                                 | COL A51<br>AGY AMD REQ<br>FY 2008-09<br>POS AMOUNT | CODES  |
|---------------------------------|----------------------------------------------------|--------|
| STATE CAPITAL OUTLAY - DOT      |                                                    | K      |
| FIXED CAPITAL OUTLAY            |                                                    | 080000 |
| INTRASTATE HIGHWAY CONSTR.....  | 1837,261,566                                       | 088716 |
| ARTERIAL HIGHWAY CONSTR.....    | 784,526,144                                        | 088717 |
| HIWAY SAFETY CONSTR/GRANTS..... | 100,379,969                                        | 088796 |
| RESURFACING.....                | 1010,262,498                                       | 088797 |
| BRIDGE CONSTRUCTION.....        | 323,197,278                                        | 088799 |
| TURNPIKE SYS EQUIP & DEVEL..... | 123,081,751                                        | 088920 |
| TOLLS SYS EQUIP & DEVELOP.....  | 34,943,468                                         | 088922 |
| TOTAL: REPORT                   |                                                    |        |
| BY FUND                         |                                                    |        |
| TURNPIKE RENEW/REPLACE TF       | 70,832,710                                         | 2324   |
| TURNPIKE GEN RESERVE TF         | 632,274,342                                        | 2326   |
| ST TRANSPORT (PRIMARY) TF       | 3458,775,111                                       | 2540   |
| R-O-W ACQ/BRIDGE CONST TF       | 51,770,511                                         | 2586   |
| TOTAL REPORT.....               | 4213,652,674                                       |        |

|                                 | COL A20<br>AGY AMD REQ<br>FY 2009-10<br>POS AMOUNT | CODES  |
|---------------------------------|----------------------------------------------------|--------|
| STATE CAPITAL OUTLAY - DOT      |                                                    | K      |
| FIXED CAPITAL OUTLAY            |                                                    | 080000 |
| INTRASTATE HIGHWAY CONSTR.....  | 935,600,348                                        | 088716 |
| ARTERIAL HIGHWAY CONSTR.....    | 436,202,483                                        | 088717 |
| HIWAY SAFETY CONSTR/GRANTS..... | 109,789,382                                        | 088796 |
| RESURFACING.....                | 830,982,372                                        | 088797 |
| BRIDGE CONSTRUCTION.....        | 319,951,219                                        | 088799 |
| TURNPIKE SYS EQUIP & DEVEL..... | 96,374,787                                         | 088920 |
| TOLLS SYS EQUIP & DEVELOP.....  | 27,286,458                                         | 088922 |
| TOTAL: REPORT                   |                                                    |        |
| BY FUND                         |                                                    |        |
| TURNPIKE RENEW/REPLACE TF       | 15,844,653                                         | 2324   |
| TURNPIKE GEN RESERVE TF         | 209,798,791                                        | 2326   |
| ST TRANSPORT (PRIMARY) TF       | 2501,844,600                                       | 2540   |
| R-O-W ACQ/BRIDGE CONST TF       | 28,699,005                                         | 2586   |
| TOTAL REPORT.....               | 2756,187,049                                       |        |

|                                 | COL A20<br>AGY AMD REQ<br>FY 2010-11<br>POS AMOUNT | CODES  |
|---------------------------------|----------------------------------------------------|--------|
| STATE CAPITAL OUTLAY - DOT      |                                                    | K      |
| FIXED CAPITAL OUTLAY            |                                                    | 080000 |
| INTRASTATE HIGHWAY CONSTR.....  | 1322,599,606                                       | 088716 |
| ARTERIAL HIGHWAY CONSTR.....    | 319,134,003                                        | 088717 |
| HIWAY SAFETY CONSTR/GRANTS..... | 108,250,599                                        | 088796 |
| RESURFACING.....                | 899,829,890                                        | 088797 |
| BRIDGE CONSTRUCTION.....        | 321,167,988                                        | 088799 |
| TURNPIKE SYS EQUIP & DEVEL..... | 46,623,368                                         | 088920 |
| TOLLS SYS EQUIP & DEVELOP.....  | 44,490,313                                         | 088922 |
| TOTAL: REPORT                   |                                                    |        |
| BY FUND                         |                                                    |        |
| TURNPIKE RENEW/REPLACE TF       | 33,917,849                                         | 2324   |
| TURNPIKE GEN RESERVE TF         | 73,272,745                                         | 2326   |
| ST TRANSPORT (PRIMARY) TF       | 2902,933,404                                       | 2540   |
| R-O-W ACQ/BRIDGE CONST TF       | 51,971,769                                         | 2586   |
| TOTAL REPORT.....               | 3062,095,767                                       |        |
|                                 | =====                                              |        |

**BID SOLICITATION NOTICE  
FLORIDA DEPARTMENT OF TRANSPORTATION  
CONSTRUCTION AND MAINTENANCE PROGRAMS**

Tallahassee, Florida  
August 27, 2010  
Advertisement No. 1

Bids for the construction projects in this Bid Solicitation Notice must be submitted using Bid Express only. No other means of submission of bids will be accepted. Please visit the Contracts Administration Website at: <http://www.dot.state.fl.us/cc-admin> for more information on Bid Express.

Bids for the construction projects in this Bid Solicitation Notice will be accepted by Bid Express until 10:30 A.M. (Eastern Time) on **Wednesday , October 27, 2010**.

Bid totals for each bid submitted will be read aloud for those present and available at 11:15 A.M., **October 27, 2010** in the Contracts Administration Office, Tallahassee, Florida or on the Contracts Administration Website at: <http://www.dot.state.fl.us/cc-admin>. Anyone needing special accommodations under the Americans with Disabilities Act of 1990 should send an e-mail to: [contracts.admin@dot.state.fl.us](mailto:contracts.admin@dot.state.fl.us) or call telephone number (850) 414-4000. Special accommodation requests under the Americans with Disabilities Act should be made at least seven days prior to the public meeting. If the budget amount is greater than \$250,000.00, the Contractor must be prequalified as required by Florida Statute 337.14(1) and Rule Chapter 14-22.

**The DISADVANTAGED BUSINESS ENTERPRISE goals, if applicable, for these projects are Included on the Proposal CD. Please check the Special Provisions for instructions for submission of DBE Affirmative Action Plan.**

----NOTE----

**Proposals will not be issued after 10:30 A.M. (Eastern Time) on Tuesday , October 26, 2010.**

Bidders are hereby notified that all bids on any of the following projects are likely to be rejected if the lowest responsive bid received exceeds the engineer's estimate by more than ten percent (10%). In the event any of the bids are rejected for this reason, the project may be deferred for re-advertising. In addition, award of all federally funded projects will be subject to Federal Highway Administration concurrence.

All work is to be done in accordance with the Plans, Specifications, and Special Provisions of the State of Florida Department of Transportation. Direct questions regarding the advertised projects by posting them to the Department website at the following URL address: <http://www2.dot.state.fl.us/construction/bidquestionmain.asp>

**Wage Rates:** The minimum wage for all hours worked in Florida shall be \$7.25 per hour, effective July 24, 2009.

Pursuant to Section 337.11, Florida Statutes, any person adversely affected by a bid solicitation shall file both a notice of protest and bond within 72 hours of the receipt of the bid documents, and shall file a formal written protest within ten days after filing the notice of protest. Any person who files a notice of protest as to a bid solicitation pursuant to this rule shall post with the Department, at the time of filing the notice of protest, a bond payable to the Department in the following amounts: For an action protesting a bid solicitation that requires qualification of bidders, the Bond shall be \$5,000. For an action protesting a bid solicitation for which bidders are not required to be prequalified by the Department to be eligible to bid, the bond shall be \$2,500. The required notice of protest, bond and formal protest must each be timely filed with the Clerk of Agency Proceedings, Florida Department of Transportation, Mail Station 58, Room 550, 605 Suwannee Street, Tallahassee, Florida 32399-0458. Failure to file a protest within the time prescribed in Section 120.57(3), Florida Statutes, shall constitute a waiver of proceedings under Chapter 120, Florida Statutes.

**\*\*NOTE:** A prequalified contractor must have a current certificate of qualification in accordance with Rule Chapter 14-22, F.A.C. on the date of the letting to bid on construction projects over \$250,000.00 as established by the Department's budget.

In order for the Department to have the information required to determine a prequalified bidder's Current Capacity, it is necessary that the prequalified contractor certify the total dollar amount of all work the contractor has underway. This certification shall be accomplished electronically by submitting the Certification of Work Underway (Online Web Application) [http://www.dot.state.fl.us/cc-admin/PreQual\\_Info/prequalified.shtm](http://www.dot.state.fl.us/cc-admin/PreQual_Info/prequalified.shtm) to the Department concurrently with the bid submittal for the first letting in the calendar month that the contractor submits a bid.

**(OVER)**

### Document Ordering Information

Unless otherwise specified, orders for these documents should be directed to the Manager, Contracts Administration Office, Mail Station 55, Room B-1, Florida Department of Transportation, 605 Suwannee Street, Tallahassee, Florida 32399-0455, Phone: (850) 414-4000. Checks should be made payable to the State of Florida Department of Transportation. Please include a FAX Order Form (375-000-02 06/04) with your payment. **Contractors that want to charge to a credit card (American Express, Visa, MasterCard and Discover accepted) can FAX orders to (850) 414-4947 by using a FAX ORDER Form.** Orders should be placed to allow a minimum of three days for processing time and delivery. The Fax Order Form can be downloaded from <http://www.dot.state.fl.us/cc-admin/> at the website choose Forms. A Current State of Florida Vendor Registration Number is required for order processing; please visit [http://dms.myflorida.com/egovernment\\_tools/myflorida\\_marketplace](http://dms.myflorida.com/egovernment_tools/myflorida_marketplace) for more information. No refund will be made. The right is reserved to reject any or all bids. Plans, Proposal and Specifications documents are provided electronically on CD media unless otherwise specified.

A Proposal Guaranty of not less than five percent (5%) of the total actual bid in the form of either a certified check, cashier's check, trust company treasurer's check, bank draft of any national or state bank, or a Surety Bid Bond made payable to the Florida Department of Transportation must accompany each bid in excess of \$150,000.00. A check or draft in an amount less than five percent (5%) of the actual bid will invalidate the bid. Bid Bonds shall conform to DOT Form 375-020-09 furnished with the Proposal. Surety2000 or SurePath electronic Bid Bond submittal may be used in conjunction with Bid Express internet bid submittal. For more information please visit <http://www.surety2000.com> for Surety2000 or <http://www.insurevision.com> for SurePath. A paper bid bond will also be accepted if submitted and received by the Contracts Administration Office, M.S. 55, Room B 1, 605 Suwannee St., Tallahassee, Fl. 32399-0450 or the Mail Room located at the same street address prior to the deadline for submitting the bid. To obtain a Digital ID please contact Bid Express at <http://www.bidx.com>. Allow up to 6 days to complete the Digital ID registration.

The Department will accept legible facsimile (fax) proposal revisions pursuant to the project specifications at Fax No. (850) 414-4947, if received in full by 10:30 A.M. (Eastern Time) on the day of the letting. A faxed proposal revision will not be considered if received at a different fax number.

The State of Florida Department of Transportation, in accordance with the provisions of Title VI of the Civil Rights Act of 1964 (78 Stat. 252) and the regulations of the Department of Commerce (15 C.F.R., Part 8) issued pursuant to such Act, hereby notifies all bidders that it will affirmatively insure that in any contract entered into pursuant to this advertisement, Disadvantaged Business Enterprises will be afforded full opportunity to submit bids in response to this invitation. Further, the Florida Department of Transportation will not discriminate against bidders on the basis of race, color, gender, religion, national origin, age, disability or marital status in consideration for an award.

All bids submitted to the Department shall include a statement that by signing and submitting this proposal, the bidder certifies that no principal (which includes officers, directors or executives) is presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from participation in this transaction by any federal department or agency.

**MANDATORY PRE-BID CONFERENCE:** Proposal forms (bid packages) will be issued only to attendees of the mandatory pre-bid conference (if there is a mandatory pre-bid conference for a project).

**LATE ARRIVALS TO MANDATORY PRE-BID MEETINGS:** All bidders must be present and signed in prior to the start of the mandatory prebid meeting. Anyone not signed in at the commencement of the meeting will be considered late and will not be allowed to bid on the project.

(OVER)

FLORIDA DEPARTMENT OF TRANSPORTATION  
NOTICE TO CONTRACTORS INDEX

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Published: **August 27, 2010**  
Letting ID: **CT101027**

Please use the following proposal ID and the letting ID when ordering plans/proposal or specifications for the **October 27, 2010** letting. *Note: (\*) indicates lead project*

| PAGE | PROPOSAL ID | PROPOSAL NOTES | PROJECT NUMBER(S) | COUNTY   | WORK MIX    |
|------|-------------|----------------|-------------------|----------|-------------|
| 4    | T2349       | SS             | 21307135201 (*)   | COLUMBIA | RESURFACING |

Proposal Note Codes:

SS = Special Start Date



**FLORIDA DEPARTMENT OF TRANSPORTATION  
 BID SOLICITATION NOTICE AND APPROXIMATE QUANTITIES**

LETTING ID: CT101027    CALL ORDER: 001    PROPOSAL ID: T2349    DISTRICT: 02  
 COUNTIES: COLUMBIA, , ,

ROAD NAME: SR 93 (I-75)  
 LIMITS: FROM THE ALACHUA CO LINE TO A PT N OF SR 25

FINPROJ NO(S): 21307135201 (\*)    FEDERAL AID NO: 0753148I    WORK MIX: RESURFACING

|                        |       |       |                              |          |
|------------------------|-------|-------|------------------------------|----------|
| TOTAL ROADWAY LENGTH:  | 9.602 | MILES | CONTRACT DAYS:               | 350      |
| TOTAL BRIDGE LENGTH:   | 0.036 | MILES | LETTING DATE:                | 10/27/10 |
| TOTAL PROPOSAL LENGTH: | 9.638 | MILES | CONTRACT EXECUTION DAYS:     | 10       |
|                        |       |       | SPECIAL START DATE:          | 03/01/11 |
|                        |       |       | ACQUIS./FLEXIBLE START TIME: | N/A      |

PROPOSAL BUDGET ESTIMATE: \$ 22,453,202.00

PROPOSAL PACKAGE FEE: \$5.00

**DESCRIPTION:**

The improvements under this contract consist of milling and resurfacing, base work, shoulder treatment, drainage improvements, curb and gutters, lighting, highway signing, guardrail, relocation of motorist aid call boxes, loop replacement at traffic monitoring sites and other incidental construction on SR 93.

**CALL ORDER: 001    PROPOSAL ID: T2349**

| ALT                            | ITEM NO.   | DESCRIPTION                                                                  | UNITS | QUANTITY    |
|--------------------------------|------------|------------------------------------------------------------------------------|-------|-------------|
| <b>SECTION 0001 STRUCTURES</b> |            |                                                                              |       |             |
|                                | 0458 1 21  | BRIDGE DECK EXPANSION JOINT, REHABILITATION,<br>POURED JOINT WITH BACKER ROD | LF    | 364.000     |
| <b>SECTION 0002 ROADWAY</b>    |            |                                                                              |       |             |
|                                | 0101 1     | MOBILIZATION (21307135201)                                                   | LS    | 1.000       |
|                                | 0102 1     | MAINTENANCE OF TRAFFIC (21307135201)                                         | LS    | 1.000       |
|                                | 0102 60    | WORK ZONE SIGN                                                               | ED    | 16,140.000  |
|                                | 0102 71 11 | BARRIER WALL, TEMPORARY, F&I, CONCRETE                                       | LF    | 6,024.000   |
|                                | 0102 71 21 | BARRIER WALL, TEMPORARY, RELOCATE, CONCRETE                                  | LF    | 6,412.000   |
|                                | 0102 74 1  | BARRICADE, TEMPORARY, TYPES I, II, DI, VP & DRUM                             | ED    | 223,530.000 |
|                                | 0102 74 2  | BARRICADE, TEMPORARY, TYPE III, 6'                                           | ED    | 820.000     |
|                                | 0102 76    | ADVANCE WARNING ARROW PANEL                                                  | ED    | 350.000     |
|                                | 0102 77    | HIGH INTENSITY FLASHING LIGHTS, TEMP, TYPE B                                 | ED    | 4,560.000   |
|                                | 0102 78    | TEMPORARY RETROREFLECTIVE PAVEMENT MARKER                                    | EA    | 90,808.000  |
|                                | 0102 79    | LIGHTS, BARRIER WALL MOUNT, TEMP, TYPE C, STEADY<br>BURN                     | ED    | 8,064.000   |
|                                | 0102 89 7  | TEMPORARY CRASH CUSHION, REDIRECTIVE OPTION                                  | LO    | 4.000       |
|                                | 0102 99    | PORTABLE CHANGEABLE MESSAGE SIGN, TEMPORARY,<br>VARIABLE MESSAGE SIGN        | ED    | 350.000     |
|                                | 0102150 1  | PORTABLE REGULATORY, SIGN                                                    | ED    | 700.000     |
|                                | 0102150 2  | RADAR SPEED DISPLAY UNIT                                                     | ED    | 700.000     |
|                                | 0104 10 3  | SEDIMENT BARRIER                                                             | LF    | 43,900.000  |
|                                | 0104 18    | INLET PROTECTION SYSTEM                                                      | EA    | 56.000      |
|                                | 0107 1     | LITTER REMOVAL                                                               | AC    | 1,080.000   |
|                                | 0107 2     | MOWING                                                                       | AC    | 1,080.000   |
|                                | 0110 1 1   | CLEARING & GRUBBING (21307135201)                                            | LS    | 1.000       |
|                                | 0120 1     | REGULAR EXCAVATION                                                           | CY    | 1,643.000   |
|                                | 0120 6     | EMBANKMENT                                                                   | CY    | 2,194.000   |
|                                | 0160 4     | TYPE B STABILIZATION                                                         | SY    | 11,427.600  |
|                                | 0285704    | OPTIONAL BASE, BASE GROUP 04                                                 | SY    | 8,040.600   |
|                                | 0285709    | OPTIONAL BASE, BASE GROUP 09                                                 | SY    | 4,005.800   |
|                                | 0327 70 1  | MILLING EXIST ASPH PAVT, 1" AVG DEPTH                                        | SY    | 100,009.000 |
|                                | 0327 70 2  | MILLING EXIST ASPH PAVT, 3 1/2" AVG DEPTH                                    | SY    | 7,208.000   |
|                                | 0327 70 3  | MILLING EXIST ASPH PAVT, 4 1/2" AVG DEPTH                                    | SY    | 83,237.000  |
|                                | 0327 70 4  | MILLING EXIST ASPH PAVT, 3" AVG DEPTH                                        | SY    | 27,040.000  |
|                                | 0327 70 5  | MILLING EXIST ASPH PAVT, 2" AVG DEPTH                                        | SY    | 3,996.000   |
|                                | 0327 70 6  | MILLING EXIST ASPH PAVT, 1 1/2" AVG DEPTH                                    | SY    | 16,301.000  |
|                                | 0327 70 9  | MILLING EXIST ASPH PAVT, 5 1/4" AVG DEPTH                                    | SY    | 142,410.000 |
|                                | 0327 70 10 | MILLING EXIST ASPH PAVT, 5" AVG DEPTH                                        | SY    | 16,097.000  |
|                                | 0327 70 11 | MILLING EXIST ASPH PAVT, 2 1/4" AVG DEPTH                                    | SY    | 131,066.000 |
|                                | 0327 70 14 | MILLING EXIST ASPH PAVT, 6 1/2" AVG DEPTH                                    | SY    | 4,026.000   |
|                                | 0327 70 18 | MILLING EXIST ASPH PAVT, 5 1/2" AVG DEPTH                                    | SY    | 7,662.000   |
|                                | 0327 70 19 | MILLING EXIST ASPH PAVT, 3/4" AVG DEPTH                                      | SY    | 14,465.000  |
|                                | 0327 70 20 | MILLING EXIST ASPH PAVT, 3 3/4" AVG DEPTH                                    | SY    | 8,340.000   |

**FLORIDA DEPARTMENT OF TRANSPORTATION  
 BID SOLICITATION NOTICE AND APPROXIMATE QUANTITIES**

CALL ORDER: 001      PROPOSAL ID: T2349

| ALT          | ITEM NO.   | DESCRIPTION                                                        | UNITS | QUANTITY    |
|--------------|------------|--------------------------------------------------------------------|-------|-------------|
|              | 0327 70 23 | MILLING EXIST ASPH PAVT, 6" AVG DEPTH                              | SY    | 114,656.000 |
|              | 0334 1 13  | SUPERPAVE ASPHALTIC CONC, TRAFFIC C                                | TN    | 24,754.300  |
|              | 0334 1 23  | SUPERPAVE ASPH CONC, TRAF C, PG76-22                               | TN    | 1,559.500   |
|              | 0334 1 25  | SUPERPAVE ASPH CONC, TRAF E, PG76-22                               | TN    | 82,368.200  |
|              | 0337 7 22  | ASPH CONC FRICTION COURSE, INC BIT, FC-5, PG76-22                  | TN    | 17,962.600  |
|              | 0337 7 43  | ASPHALT CONCRETE FRICTION COURSE, TRAFFIC C, FC-12.5, PG 76-22     | TN    | 1,600.300   |
|              | 0339 1     | MISCELLANEOUS ASPHALT PAVEMENT                                     | TN    | 1,095.000   |
|              | 0400 1 2   | CONCRETE CLASS I, ENDWALLS                                         | CY    | 0.800       |
|              | 0430 94 1  | DESILTING PIPE, 0 - 24"                                            | LF    | 860.000     |
|              | 0430 94 2  | DESILTING PIPE, 25 - 36"                                           | LF    | 410.000     |
|              | 0430982129 | MITERED END SECTION, OPTIONAL ROUND, 24" CD                        | EA    | 1.000       |
|              | 0524 1 1   | CONCRETE DITCH PAVT, NON REINFORCED, 3"                            | SY    | 868.000     |
|              | 0536 1 1   | GUARDRAIL -ROADWAY                                                 | LF    | 9,300.000   |
|              | 0536 1 5   | GUARDRAIL- ROADWAY, THRIE BEAM                                     | LF    | 350.000     |
|              | 0536 8     | GUARDRAIL- BRIDGE ANCHORAGE ASSEMBLY, FURNISH & INSTALL            | EA    | 11.000      |
|              | 0536 73    | GUARDRAIL REMOVAL                                                  | LF    | 6,350.000   |
|              | 0536 85 22 | GUARDRAIL END ANCHORAGE ASSEMBLY- FLARED                           | EA    | 17.000      |
|              | 0536 85 25 | GUARDRAIL END ANCHORAGE ASSEMBLY- TYPE II                          | EA    | 13.000      |
|              | 0538 1     | GUARDRAIL RESET                                                    | LF    | 3,012.000   |
|              | 0546 72 51 | RUMBLE STRIPS, GROUND-IN, 16" MIN. WIDTH                           | PM    | 38.600      |
|              | 0570 1 2   | PERFORMANCE TURF, SOD                                              | SY    | 127,126.000 |
|              | 0710 11111 | PAINTED PAVEMENT MARKINGS, STANDARD, WHITE, SOLID, 6"              | NM    | 97.246      |
|              | 0710 11124 | PAINTED PAVEMENT MARKINGS, STANDARD, WHITE, SOLID, 18"             | LF    | 1,500.000   |
|              | 0710 11125 | PAINTED PAVEMENT MARKINGS, STANDARD, WHITE, SOLID, 24"             | LF    | 300.000     |
|              | 0710 11131 | PAINTED PAVEMENT MARKINGS, STANDARD, WHITE, SKIP, 6"               | GM    | 248.858     |
|              | 0710 11211 | PAINTED PAVEMENT MARKINGS, STANDARD, YELLOW, SOLID, 6"             | NM    | 114.741     |
|              | 0710 11224 | PAINTED PAVEMENT MARKINGS, STANDARD, YELLOW, SOLID, 18"            | LF    | 1,500.000   |
|              | 0714 1500  | MOTORIST AID CALL BOX, RELOCATE                                    | AS    | 16.000      |
|              | 0745 70 12 | TMS INDUCTIVE LOOP ASSEM, F&I, 2 LOOPS / LANE                      | AS    | 12.000      |
|              | 0999 25    | INITIAL CONTINGENCY AMOUNT, DO NOT BID (21307135201)               | LS    | 1.000       |
| SECTION 0003 | SIGNING    |                                                                    |       |             |
|              | 0700 20 11 | SINGLE POST SIGN, F&I, LESS THAN 12 SF                             | AS    | 14.000      |
|              | 0700 20 12 | SINGLE POST SIGN, F&I, 12-20 SF                                    | AS    | 20.000      |
|              | 0700 20 60 | SINGLE POST SIGN, REMOVE                                           | AS    | 32.000      |
|              | 0700 21 11 | MULTI- POST SIGN, F&I, 50 SF OR LESS                               | AS    | 2.000       |
|              | 0700 21 12 | MULTI- POST SIGN, F&I, 51 - 100 SF                                 | AS    | 1.000       |
|              | 0700 21 40 | MULTI- POST SIGN, RELOCATE                                         | AS    | 1.000       |
|              | 0705 11 2  | DELINEATOR, NON-FLEXIBLE                                           | EA    | 40.000      |
|              | 0710 90    | PAINTED PAVEMENT MARKINGS, FINAL SURFACE (21307135201)             | LS    | 1.000       |
|              | 0713102533 | PREFORMED TAPE, HIGH PERFORMANCE, WHITE/BLACK CONTRAST, SKIP, 9"   | GM    | 0.417       |
| SECTION 0004 | LIGHTING   |                                                                    |       |             |
|              | 0715 1 12  | LIGHTING CONDUCTORS, F&I, INSULATED, NO.8 - 6                      | LF    | 9,210.000   |
|              | 0715 1 70  | LIGHTING CONDUCTORS, REMOVE & STOCKPILE, FDOT OR MAINT AGENCY OWNS | LF    | 6,210.000   |
|              | 0715 2 11  | LIGHTING - CONDUIT, F&I, UNDERGROUND                               | LF    | 3,070.000   |
|              | 0715 4132  | LIGHT POLE COMPLETE, F&I, WIND SPEED 110, POLE HEIGHT 45'          | EA    | 17.000      |
|              | 0715 4600  | LIGHT POLE COMPLETE, REMOVE                                        | EA    | 10.000      |
|              | 0715 7 21  | LOAD CENTER, REWORK, SECONDARY VOLTAGE                             | EA    | 2.000       |
|              | 0715 14 11 | LIGHTING - PULL BOX, F&I, ROADSIDE-MOULDED                         | EA    | 19.000      |


Unless otherwise notified by certified mail, express delivery, hand delivery, fax, or email, receipt acknowledgment, the Summary of Bids will be posted with the Clerk of Agency Proceedings, Florida Department of Transportation, 605 Suwannee Street, Mail Station 58, Room 550, Tallahassee, Florida 32399-0458, on **November 10, 2010** or in the alternative **November 24, 2010**. The official posting is at: <http://www.dot.state.fl.us/cc-admin/>, select Letting and Project Information from the Contracts Office drop down Menu and follow the instructions. The posting will provide notice of the Department's intent to award a contract or reject all bids. The Department's Notice of Intent regarding a project will be posted on only one of the alternate posting dates. Bidders are solely responsible for timely monitoring or otherwise verifying on which of the specified alternate posting dates the posting of award or rejection of all bids actually occurs. Any person adversely affected by the intended decision of the Department to award a contract or to reject all bids shall file both a notice of protest and bond within 72 hours after the posting of the Summary of Bids. If notice of intended decision is given by certified mail or express delivery, the adversely affected person must file both the notice of protest and bond within 72 hours after receipt of the notice of intent. A formal written protest must be filed within ten days after filing the notice of protest. Any person who files a notice of protest as to a notice of intent to reject all bids or to award a contract pursuant to this section shall post with the Department, at the time of filing the notice of protest, a bond payable to the Department in the following amounts: For an action protesting a bid rejection or contract award that requires qualification of bidders, the bond shall be equal to one percent of the lowest bid submitted or \$5,000, whichever is greater. For an action protesting a bid solicitation, bid rejection, or contract award that does not require qualification of bidders, the bond shall be \$2,500. All protests must be submitted in accordance with Section 337.11, Florida Statutes. The required notice of protest, bond and formal protest must each be timely filed with the Clerk of Agency Proceedings, Florida Department of Transportation, 605 Suwannee Street, Mail Station 58, Room 550, Tallahassee, Florida 32399-0458. Failure to file a protest within the time prescribed in section 120.57(3), Florida Statutes, or failure to post the bond or other security required by law within the time allowed for filing a bond shall constitute a waiver of proceedings under chapter 120, Florida Statutes.

If the posting dates are revised, all bidders for the subject project will be notified of the Department's intended decision. Bidders must acknowledge receipt of the notice of the revised date.

A protest is not timely filed unless the notice of protest, bond, and the formal protest are each received by the Clerk of Agency Proceedings within the required time limits. A protest which is filed prematurely will be deemed abandoned unless timely renewed.

Interested parties can visit our Internet web site at <http://www.dot.state.fl.us/cc-admin/>. Information regarding projects posted with the Clerk of Agency Proceedings, Proposal holders, Plan and Special Provisions holders, unofficial letting results, and other noteworthy information is provided on this web site. Please note that the Proposal holder and the Plan and Special Provision holder listings are confidential, per 337.168, Florida Statutes, two working days prior to the deadline for obtaining bid documents. Therefore, these listings are removed from the web site three working days prior to the letting.

#### STATE OF FLORIDA DEPARTMENT OF TRANSPORTATION

  
Juanita Moore, Manager  
Contracts Administration Office

#### NOTICE TO ALL BIDDERS

To report bid rigging activities call: 1-800-424-9071

The U.S. Department of Transportation (DOT) operates the above toll-free "hotline" Monday through Friday, 8:00 a.m. to 5:00 p.m. eastern time. Anyone with knowledge of possible bid rigging, bidder collusion, or other fraudulent activities should use the "hotline" to report such activities.

The "hotline" is part of the DOT's continuing effort to identify and investigate highway construction contract fraud and abuse and is operated under the direction of the DOT Inspector General. All information will be treated confidentially and caller anonymity will be respected.

Approved:

Effective: April 14, 2009  
Office: Contracts Administration  
Topic No.: 375-000-001-t

  
Stephanie C. Kopelousos  
Secretary

## DISTRICT CONTRACTS

### PURPOSE:

To provide uniform procedures for District development and implementation of non-federally funded construction projects of \$10,000,000 or less and all maintenance projects regardless of cost.

### AUTHORITY:

#### Florida Statutes (F.S.)

|                    |                                                                                                                                                                                                                        |
|--------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Section 20.23(3)   | Department of Transportation                                                                                                                                                                                           |
| Chapter 119        | Public Records                                                                                                                                                                                                         |
| Chapter 120        | Administrative Procedure Act                                                                                                                                                                                           |
| Chapter 287        | Procurement of Personal Property and Services                                                                                                                                                                          |
| Section 334.048(3) | Legislative intent with respect to Department management accountability and monitoring systems                                                                                                                         |
| Section 334.185    | Financial responsibility for construction, material, or design failures; review of contracts; financial assurances                                                                                                     |
| Section 337.11     | Contracting authority of Department; bids; emergency repairs, supplemental agreements, and change orders; combined design and construction contracts; progress payments; records; requirements of vehicle registration |
| Section 337.14     | Application for qualification; certificate of qualification; restrictions; request for hearing                                                                                                                         |
| Section 337.16     | Disqualification of delinquent contractors from bidding; determination of contractor nonresponsibility; denial, suspension, and revocation of certificates of qualification; grounds; hearing                          |
| Section 337.168    | Confidentiality of official estimates, identities of potential bidders, and bid analysis and monitoring system                                                                                                         |
| Section 337.17     | Bid guaranty                                                                                                                                                                                                           |
| Section 337.175    | Retainage                                                                                                                                                                                                              |

|                 |                                                                                                                                  |
|-----------------|----------------------------------------------------------------------------------------------------------------------------------|
| Section 337.18  | Surety bonds for construction or maintenance contracts; requirement with respect to contract award; defaults; damage assessments |
| Section 339.135 | Work program, legislative budget request; definitions; preparation, adoption, execution, and amendment                           |
| Section 553.63  | Trench excavations in excess of 5 feet deep; required information                                                                |
| Section 946.515 | Use of goods and services produced in correctional work programs                                                                 |

### **Florida Administrative Code (F.A.C.)**

Rule Chapter 14-22, Contractors-Highway-Qualification to Bid  
Rule Chapter 14-78, Participation by Disadvantaged Business Enterprises  
Rule Chapter 28-110, Bid Protests

### **REFERENCES:**

#### **Department of Transportation Procedures**

|             |                                                                       |
|-------------|-----------------------------------------------------------------------|
| 350-020-025 | Records Management and Distribution                                   |
| 350-020-200 | Contract Funds Management Funds Approval                              |
| 350-080-300 | Securing, Transmitting, Depositing, Recording, and Refunding Receipts |
| 375-040-130 | Emergency Procurement                                                 |
| 375-020-002 | Contract Maintenance Inspection and Reporting                         |
| 375-040-020 | Procurement of Commodities and Contractual Services                   |
| 600-010-004 | Technical Review Committee                                            |
| 600-010-006 | Contract Awards Committee                                             |
| 630-010-005 | Specification Package Preparation                                     |
| 850-000-025 | Maintenance Specifications Package                                    |
| 850-005-001 | Reporting Incident and Management of Damage Repair                    |

### **SCOPE:**

This procedure applies to all offices responsible for processing District and Turnpike Enterprise construction and maintenance projects. All solely state funded projects of \$10,000,000 or less and all maintenance projects can be let from the appropriate District.

## **1.0 INTRODUCTION**

### **1.1 STATEMENT OF INTENT**

**1.2** This procedure is designed to provide a process for contracting for goods and services while complying with applicable statutory, rule, and administration requirements.

**1.3** All phases of a District or Turnpike Enterprise construction or maintenance contract, (District Contract) activities including design, official estimate, specifications, advertising, receipt of bids, bid review, awards, executions, control of the work, invoice processing and contract acceptance are to be the responsibility of the District Secretary, Executive Director of the Turnpike Enterprise, (henceforth included in the term District Secretary) or respective designee. Adoption of this procedure constitutes delegation of appropriate authority from the Secretary of the Florida Department of Transportation (Department) to the District Secretaries in order to design plans, develop specifications, prepare official estimate, control of work, invoice processing, contract acceptance, advertise, receive bids, award and execute District Contracts as described herein.

## **1.4 OBJECTIVES**

**1.4.1** To standardize and clarify procedures for administering District Contracts.

**1.4.2** To provide a complete description of contract concepts for contractors and a commitment to adhere to this description.

**1.4.3** To provide program flexibility and more rapid response time in meeting public needs.

**1.4.4** To encourage participation by small business and minority contractors.

## **2.0 ADMINISTRATIVE AUTHORITY**

The District Secretary or the authorized designee shall have administrative authority over District Contracts. The District Secretary will be responsible for assuring that the bid letting and award process is conducted in an independent and impartial manner.

### **2.1 DISTRICT CONTRACTS ADMINISTRATOR**

The District Secretary shall appoint one person as "District Contracts Administrator" (DCA). The DCA will be responsible for the following:

- Coordinating the District Contract Letting Dates with adjacent Districts, the Contracts Administration Office as well as statewide events involving the road and bridge industry.
- Advertising projects.
- Conducting bid openings.
- Reviewing the bids.
- Verifying that the low bidders have capacity for bid amounts.
- Providing the Technical Review Committee and the Contracts Awards Committee with all the data required for the Committee to properly evaluate the technical aspects, accuracy and responsiveness of the bids.
- Recording actions of Committee meetings.

- Ensuring appropriate encumbrance of funds to advertise and award projects.
- Ensuring contracts are properly executed.
- Posting Bid Tabulations.
- Ensuring the low qualified bidder has an approved DBE Affirmative Action Plan prior to award.
- Insuring that all district contracts staff understand their responsibilities relative to avoiding any conflict of interest and have read and signed the ***Conflict of Interest Certification, Form No. 375-030-050***. The original of this signed form shall be kept in the District Contracts Office.

## **2.2 TECHNICAL REVIEW AND CONTRACT AWARDS COMMITTEES**

The Technical Review Committee and Contract Awards Committee shall be appointed by the District Secretary (or designee) as provided in ***Procedure No. 600-010-004, Technical Review Committee*** and ***Procedure No. 600-010-006, Contract Awards Committee***.

## **2.3 STORAGE AND MAINTENANCE OF DISTRICT CONTRACT RECORDS**

(A) Each District will be responsible for maintaining complete records for its District Contracts.

(B) District Contract records are not to be sent to Document Control at the Central Office for storage.

(C) Records are to be stored at the District in a well-protected (under lock and key within a file cabinet or a locked room) area easily accessible to auditors and for reference.

(D) Records of completed and inactive projects should be boxed and properly labeled with records with the same retention time filed together in accordance with ***Procedure No. 050-020-025, Records Management and Distribution***.

(E) Records will be retained as per ***Procedure 050-020-025***.

(F) For records that have met the required retention time and are eligible for disposal, submit a ***Records Disposition Request, Form No. 050-020-06*** and forward to the District Records Management Coordinator.

## 2.4 DBE AFFIRMATIVE ACTION PLAN

**2.4.1** This Procedure contains requirements for a contractor to have an approved **DBE Affirmative Action (DBE/AA) Plan** in accordance with **Rule Chapter 14-78, F.A.C.**, prior to the award of a contract to the contractor.

**2.4.2** The District Contracts Administrator will check the bids from each contractor to ensure that:

- The low bidder is included on the list of companies having an approved **DBE/AA Plan** on file with the Equal Opportunity Office
- A proposed **DBE/AA Affirmative Action Plan** is attached.

**2.4.3** If the contractor submits a proposed **DBE/AA Plan** with their bid, the District Contract Administrator will immediately transmit this plan to either the District Compliance Officer or the Equal Opportunity Office for review and approval. Projects will not be awarded to this contractor prior to approval of its **DBE/AA Plan**. An approved **DBE/AA Plan** submitted with the bid shall remain with the bid.

**2.4.4** If the contractor is not included on the list of companies having an approved **DBE/AA Plan** on file with the Equal Opportunity Office or has not submitted a proposed **DBE/AA Plan** with the bid and is one of the two lowest bidders, the DCA shall immediately contact either the District Compliance Officer or the Business Development and Contract Compliance Section of the Equal Opportunity Office, (EOO) via e-mail or telephone with contact information for the bidders as well as the posting/award dates. The contacted office will then work with the contractor(s) to have an approved **DBE/AA Plan** prior to posting. The contractor(s) shall have ten (10) days after the opening of bids or before the posting date whichever is earliest to submit their **DBE/AA Plan(s)**. If this does not occur, then the bidder(s) may be declared nonresponsive and award to the next lowest bidder may proceed.

## 2.5 DISADVANTAGED BUSINESS ENTERPRISE (DBE) PROGRAM

### 2.5.1 DBE Availability Goal Percentage

The Department has a race-neutral DBE Program with goals established annually based on an availability analysis. The current goal is to spend at least 8.1% of the highway dollars with Certified DBEs as prime contractors or as subcontractors. Race neutral means that the 8.1% overall goal is achieved through the normal competitive procurement process. To assist and encourage contractors to use DBEs, each District shall assign a DBE availability goal to each construction, maintenance, or design/build project. The DBE availability goal is a percentage of the dollar value of the contract that could be subcontracted to DBEs. The DBE availability goal should be a realistic percentage that can be achieved on the project. Each District can develop its own method for determining the DBE percentage. The Central Office establishes DBE availability goals for projects let by Contracts Administration through a committee



comprised of representatives from construction, estimates maintenance and the Equal Opportunity Office. In setting the DBE availability goals, the committee reviews all areas of potential subcontracting and the number of DBEs located in the geographical area where the work is to be performed. The DBE availability goal is not a mandatory contractual obligation.

## 2.5.2 DBE Utilization

Contractors awarded projects are to submit the ***Anticipated DBE Participation Statement, Form No. 275-030-11A*** at the pre-construction or pre-work conference, or before, as applicable. This requirement should be communicated to all contractors awarded projects by the District Contracts Office.

## 3.0 PREPARATION OF PROJECT FOR BIDS

### 3.1 EMERGENCY PROJECTS

Emergencies requiring any waivers of normal contracting procedures shall comply with ***Procedure No. 850-005-001, Reporting Incident and Management of Damage Repair*** and ***Procedure No. 375-040-130, Emergency Procurement***, when the emergency situation requires commodities and/or services. Contractors on emergency contracts must meet prequalification requirements per ***Rule Chapter 14-22, F.A.C.***

### 3.2 FAST RESPONSE PROJECTS

**3.2.1** Fast response contracts are recommended to address situations requiring immediate attention when circumstances dictate rapid completion of the work including repair, maintenance, or construction of transportation features. Fast response contracts should be used for work that is urgent, and for which timely completion is important. Fast response contracts should be executed and the contracted work is underway within 30 days from the date the Director of Operations has granted approval. A fast response contract may be utilized if provisions of ***Section 337.11(6) (c), F.S.*** are met. The contract amount cannot exceed \$120,000 and a determination must be made that the work is necessary for one of the following reasons:

**(A)** To ensure timely completion of projects or avoidance of undue delay for other projects.

**(B)** To accomplish minor repairs or construction and maintenance activities for which time is of the essence and for which significant cost savings would occur.

**(C)** To accomplish non-emergency work necessary to ensure avoidance of adverse conditions that affect the safe and efficient flow of traffic.

**3.2.2** When a Fast Response contract is needed the following shall be given to the DCA for processing:

- (A) Justification for need of a Fast Response contract
- (B) Justification to waive the performance bond, if waived pursuant **Section 337.18, F.S.**
- (C) Documentation of consideration of DBE participation
- (D) Statement of work or scope of services
- (E) Required project completion time lines
- (F) Other project particulars (including plans or a written scope of services, specifications, and estimates information)

If a written scope of services is used, the scope should address the following information:

- Purpose
- Expectations (What do we want)
- Any limitations or restrictions
- Method of Measurement
- Basis of Payment

- (G) Approval by the Director of Operations

This documentation is to be kept in the District Contract's project file.

(H) If the project is within the limits of an existing contract, a good-faith effort shall be made to negotiate and enter into a contract with the prime contractor on the existing contract. If contracting with the prime contractor is not possible, such good faith effort must be documented. The Office managing the project should then obtain at least two quotes. The District Contracts Office will assure that documentation is on file that at least two quotes are obtained from qualified contractors. If not, a good faith effort must be shown documenting why two quotes could not be obtained. Consideration shall be given to DBE participation and such consideration must be documented.

- (I) The request for funds approval must indicate that it is for a Fast Response contract.

- (J) No contingency Pay Item will be included on a Fast Response Contract

**3.2.3** This procedure will be followed for Fast Response contracts which will include all of the standard contractual requirements with the exception of advertising and posting periods.

### 3.3 PRE-EVENT CONTRACTS

Pre-Event Contracts competitively bid under the contracting authority of **Chapter 337.11, F.S.** shall follow the steps contained in the **Pre-Event Contract Checklists** available via the Contracts Administration Office's Infonet Site: <http://infonet.dot.state.fl.us/contractsadministration/> in addition to the direction provided by **Procedure Nos. 350-020-200, Contract Funds Management Funds Approval** and **375-040-130 Emergency Procurement** relative to **Pre-Event Contracts**.

Pre-Event Contracts bid under the contracting authority of **Chapter 287, F.S.** shall follow the direction of **Procedure Nos. 350-020-200, Contract Funds Management Funds Approval; 375-040-020, Procurement of Commodities and Contractual Services; and 375-040-130, Emergency Procurement**.

### 3.4 AVAILABILITY OF PROJECT FUNDS

Prior to advertising, the DCA will ensure that funds approval is obtained from the Department's Comptroller in accordance with **Procedure No. 350-020-200, Contract Funds Management Funds Approval**.

### 3.5 ADVERTISING OF PROJECTS

**(A)** Lettings shall be coordinated to avoid conflict with scheduled Central Office lettings, other District lettings, as well as other statewide events involving the road and bridge industry. This coordination shall be accomplished utilizing the **Letting Date Scheduling Chart** located on the Contracts Administration SharePoint site. Consideration should be given to project estimated cost, work-mix, location and potential bidders in making the determination to let on a concurrent date with another location.

**(B)** A project(s) shall not be advertised until all necessary permits have been received, all right of way has been acquired and all railroad crossing, utility, and maintenance agreements have been executed. At such time the Design or Maintenance Project Manager shall provide the DCA with a memorandum stating that the project is ready to advertise. A copy of this documentation is to be kept in the District Contract Office's project file.

For those District let construction projects in excess of \$250,000, and not more than \$500,000, that the Director, Office of Construction, has waived prequalification requirements, the District Construction Office will include a statement concerning that waiver in the letter authorizing advertisement of the project. A copy of this documentation is to be kept in the District Contract Office's project file.

**(C)** All construction and maintenance projects require an advertisement period of 30 days unless an extended advertising period is needed. The District Contracts Office shall maintain justification for the extended advertising period.

(D) Advertisement for construction projects \$250,000 or less, construction projects in which the Department has waived qualification, and all maintenance projects, shall be published not less than twice in a newspaper of general circulation that includes the county or counties where the project is located. The first publication shall not be less than 14 days and the second publication not less than seven days prior to the bid date. The advertisement must specify the projects for which any bidder may get the bid documents. Districts may reduce the size of the **Bid Solicitation Notice (BSN)** in newspaper advertisements by reducing the language of the boilerplate of the advertisement with approval of a District legal counsel. A **BSN** advertising the current projects must be sent via mail or e-mail to each District's local mailing list. The **BSN** may be advertised on the Internet Site but these projects shall also be advertised in the newspaper.

(E) With the exception of the projects subject to the waiver addressed in **Section 3.5(B)**, construction projects in excess of \$250,000.00 will be appropriately advertised in a **BSN** and mailed or sent via e-mail. **BSNs** need not be placed in the newspaper, but the **BSN** must be mailed or sent via e-mail to all prequalified contractors at least two weeks before the bids are scheduled to be received.

(F) Any corrections to the **BSN** will be mailed or sent via e-mail to all recipients of the original **BSN** (i.e., letting date, posting date, DBE requirements, Mandatory Pre-Bid (MPB) Meetings).

(G) Specific notices must be sent to minority contractor organizations. Special efforts are required to assure that minority and small local contractors in the project community are notified (mail lists for DBE's can be used for documentation). The Equal Opportunity Office may be contacted for assistance in identifying these contractors.

(H) The DCA must designate in the advertisement the appropriate local office from which contractors can obtain copies of plans, specifications, bid documents, and other information such as scheduling of any pre-bid conferences. Additionally, the **BSN** will specify which jobs require prequalification. Adding the budget estimate and prequalification class to the **BSN** is optional. Published **BSNs** on the Contracts Administration Internet Web Site should be accessed for appropriate format.

Additionally, the following statements should appear in each **BSN**:

- **CONSTRUCTION CONTRACTS**

A contractor desiring to bid for the performance of any construction contract in excess of \$250,000.00 must first be certified by the Department as qualified in accordance with **Section 337.14(1), F.S.** and **Rule 14-22, F.A.C.** Any bid for the performance of any construction contract in excess of \$250,000.00 submitted by a contractor not certified by the Department as qualified shall be declared "IRREGULAR" and will be "REJECTED".

- **MAINTENANCE CONTRACTS**

Maintenance contracts do not require a contractor to have a certificate of qualification. If deemed necessary by the Department, certain maintenance contracts will contain specific requirements for maintenance contractor eligibility.

**(I)** A District construction project with a budget estimate over \$10,000,000 must be approved by the Department Secretary or designee prior to advertising. A copy of this documentation is to be kept in the District Contract Office's project file.

**(J)** Federal aid projects are advertised by the Central Office. Districts may let federally-funded projects under certain conditions with the written permission from the Federal Highway Administration and the Department Secretary or designee prior to advertisement. Documentation of the approval is to be kept in the District Contract Office's project file.

**(K)** Advertisement in advance of budget availability. Prior to an approved legislative budget being available it may be necessary to:

- Advertise projects with sufficient lead time to allow for a complete expenditure of current operating funds, or
- Establish a work commencement date of July 1 (or immediately after the beginning of the fiscal year). Doing so requires the following:
  - All advertisements and correspondence must clearly state that actual commitment and final execution of the contract is contingent upon an approved legislative budget and funds availability.
  - The contract may be awarded and executed before July 1, but the Department cannot authorize work before July 1, at which time the legislative budget is known and funds may be determined to be available by the Department's Comptroller.
  - Caution should be used when selecting the number of proposed projects to advertise early, since the legislative allocation may be less than anticipated.

**(L)** Bidders that have not previously bid with the Department can request an orientation meeting to obtain information about the bidding/contracting process. The District can conduct one-on-one or scheduled group meetings when requested or on predetermined, advertised dates. Upon receipt of a request from a potential bidder that has not previously bid with the Department, the District will conduct an orientation meeting to provide the contractor information about the bidding/contracting process.

### **3.6 PLANS, SPECIFICATIONS AND ESTIMATES**

#### **3.6.1 Special Provisions Boiler Plate - Construction Projects \$250,000 or less and all Maintenance Projects**

**3.6.1.1** Specifications packages will be assembled according to *Procedure No. 630-010-005, Specification Package Preparation* and *Procedure No. 850-000-025, Maintenance Specification Package*.

**3.6.1.2** Districts may modify standard specifications to submit a *Special Provision*, only after approval from the State Specifications Engineer. *Technical Special Provisions (TSPs)* may be developed for details not covered in the *Standard Specifications for Road and Bridge Construction*. All *TSPs* should be created and approved in accordance with *Procedure No. 630-010-005, Specification Package Preparation*.

#### **3.6.2 Construction Projects over \$250,000**

**(A)** District construction projects that are greater than \$250,000 use the *Standard Plans* and *Specification* packages. The *Standard Plans* and *Specifications* packages include the *Standard Specifications for Road and Bridge Construction* and those *Supplemental Specifications* that have been approved since the last publication of the book and the appropriate *Special Provisions*. These specifications are available from the State Specifications Office in Tallahassee.

**(B)** Charges for plans and specifications for construction projects over \$250,000 (hard copy) will be as follows:

- Plans \$31.00
- Specifications \$9.00
- CD s \$5.00

**(C)** While design plans of construction projects of \$250,000 or less and all maintenance projects are to be kept to a minimum there should be sufficient plans, sketches, and/or specifications to describe the work and to allow the contractor to bid and satisfactorily complete the contract. All design plans, special provisions, and surveys prepared by or for the Department shall be signed, sealed, and certified by a Professional Engineer, Architect, or Surveyor licensed to practice in the State of Florida, as appropriate. Designs shall be prepared in accordance with approved Department standards for roadway and structures design.

**(D)** Any changes to specifications, plans, or bid/proposal documents will be distributed via an addendum. This addendum must be sent to Plans, Specifications, and proposal holders by express mail, certified mail (return receipt), e-mail (delivery acknowledged) or fax (delivery acknowledged) and the receipt documented in the project file.

**(E) Form No., 375-000-02, Fax Order Form** must be completed for requests for plans, specifications and proposals exempt per **Chapter 119, F.S., Section 119.071 (3)(b), F.S.**

**(F)** Plan Rooms must be approved by the Contracts Administration Office (CAO) prior to receiving any plans and specifications. A list of approved Plan Rooms will be made available by the Contracts Administration Office. After each letting, the District Contracts Office should verify that the Plan Room has provided a list of all persons viewing plans that were sent by the respective District for that letting.

**(G)** All project specifications revisions shall be reviewed by a District legal counsel.

### **3.6.3 COST ESTIMATE**

**3.6.3.1** The cost estimate for the project is prepared by District estimates personnel and shall be based on established estimating procedures. While not directly responsible for the estimate, the DCA shall ensure that the estimate was certified by the Estimator. Documentation that this was verified is to be kept in the District Contract Office's project file. Certification of estimates is accomplished by the Estimator pulling the "Official Estimate" from the Trns\*port PES system.

**3.6.3.2** The official estimate, on the Trns\*port PES system or a printed version or any document revealing the official estimate is confidential information until the contract has been executed or is no longer under active consideration. Per **Section 337.168(1), F.S.** the District Estimates Engineer is responsible for storing all printed versions of the official estimate in a locked cabinet. Only those with proper authority will have access to the electronic file in the Trns\*port system. (**Section 337.168(1), F.S.**)

**3.6.3.3 Section 337.168(3), F.S.**, exempts bid analysis and monitoring system from rules relating to inspection, examination, or duplication of public records.

## **3.7 RIGHT OF WAY/DEMOLITION PROJECTS**

Prequalification of bidders is not required on any Right of Way/Demolition projects.

## **4.0 BIDDING REQUIREMENTS AND LIMITATIONS OF CONTRACTOR**

**(A)** The DCA shall verify that bids for construction projects bid in excess of \$150,000 include a bid bond **Form No. 375-020-09, Bid or Proposal Bond**, in the amount of five percent (5%) of the contract bid price. A bid bond is not required for projects \$150,000 or less. Any bid bond requirement for a maintenance contract shall be set forth in the bid solicitation. Cash bonds will be handled in accordance with **Procedure No. 350-080-300, Securing, Transmitting, Depositing, Recording, and Refunding Receipts**.

**(B)** The DCA shall verify that a contract bond (payment and performance bond) (**Form No. 375-020-27, Contract Bond**) will be required for 100% of the amount of the

contract. For projects under \$250,000 the performance and payment bond in the amount of the contract price may be waived by the Department in accordance with **Section 337.18, F.S.** A memo must be placed in the file stating the reason for waiving the bond and that the project is of a non-critical nature such that non-performance will not endanger public health, safety, or property.

**(C)** Prior to posting a contract for award which does not require contractors to be prequalified, the DCA shall verify that the contractor, and any known affiliate(s) of the contractor, is not on the suspension/debarment list. If any are on the list, do not award the contract to the contractor. If a contractor is prequalified and has an expiration date equal to or greater than the current date, the suspension/debarment list does not have to be checked.

**(D)** Prior to issuing a bid document for construction projects over \$250,000 requiring prequalification the DCA shall:

(1) Verify the bidder is prequalified in one or more classes of work which, in the aggregate, comprises 50% or more of the Department's budget estimate of the total value of normal work included in the proposal/bid documents. This will be primarily accomplished using the Contract Proposal Processing (CPP) System. If the project is not in CPP this will be accomplished using the "Prequalified Contractor Inquiry Menu". In this event printed copies of the screens for each bidder showing the required class(es) will be kept in the appropriate project file. Normal work is all work not designated as specialty work. A copy of the work class breakout from Trns\*port or supplied by the project manager, showing a percentages of the project totaling 100%, will be filed in the project file.

(2) Verify prior to issuing bid documents that the prospective prequalified bidder has the Financial Capacity (current capacity) with the Department to cover the contract amount and that the prospective bidder's **Certificate of Qualification** will not expire before the letting, in accordance with **Rule 14-22.008, F.A.C.** This will be primarily accomplished using the CPP System.

If the project is not in CPP, this will be accomplished using the Prequalified Contractor Inquiry Menu in addition to an e-mail to the Contracts Administration Office stating the project budget estimate and asking for Verification via "Reply" e-mail that the contractor has available bid capacity for the project(s).

In this event printed copies of the screens and e-mails for each bidder will be kept in the appropriate project file.

**(E)** In order for the Department to have the information necessary to determine a prequalified bidder's financial current capacity, it is required that prequalified bidders submit on the day of the first letting bid each month by the prequalified bidder the "Work Underway" for that firm. This submittal is required for all prequalified contractors regardless of the amount of the bid(s) which are submitted or the type of projects bid. Failure to submit this documentation may result in a determination that all bids



submitted by the contractor for that letting, are non-responsive or irregular and will not be considered. The Department shall include instructions for submittal of this documentation with the proposal documents issued to the bidder.

(F) The DCA will verify that all prequalified bidders have submitted their "Work Underway" figures electronically that month by running the **Work Underway Submittal Report**. The project file should contain a copy of that report.

(G) The DCA will also ensure that any document revealing the identity of persons, who have requested or obtained bid packages pertaining to any project, is confidential for the period which begins two working days prior to the deadline for obtaining bid packages and ends with the letting of the bid (**Section 337.168(2), F.S.**).

#### 4.1 PROPOSAL/BID DOCUMENT

If a project has "hard copy" plans and specifications do not staple or bind these documents together as part of the bid document for projects for which prequalification is required. Only prequalified contractors can receive the proposal/bid document for these projects, (after verifying class, capacity, expiration date, attendance in Mandatory Pre Bid (MPB) meeting if applicable, etc.)

On all District Contracts the following documents are included in the PROPOSAL/BID packages as noted:

- Bid Blank, Form No. 375-020-17
- Posting Notice (see Contracts Administration Intranet web site for sample)
- Bid Solicitation Notice (advertisement)
- Estimated Fuel Requirements (Trns\*port Report if applicable)
- Proposal Of, Form No. 375-020-08
- District Contracts Bid Items (generated from Trns\*port)
- Bid or Proposal Bond, Form No. 375-020-09
- \*\*Certification of Current Capacity, Form No. 375-020-22
- \*\*DBE Package

\*\*Included with bid document package as attachments.

**NOTE:** Projects over \$5M require the use of BidX for bid submittal. Therefore, there is no need to put the "**Bid Blank**", **Form No. 375-020-17** and "**Proposal Of**", **Form No. 375-020-08** on the bidding CD. However, in order to satisfy Menu Builder requirements insert a ".pdf" document stipulating that mandatory BidX submittal and bonding requirements in the file where the "**Proposal Of**" form is normally placed.

## 4.2 CONTRACT

When the contract package (all copies) is prepared and sent for award and execution, the following are included:

- Bid Blank, Form 375-020-17
- Fuel & Bituminous Price Index (located at <http://www.dot.state.fl.us/construction/fuel&bit/Fuel&Bit.shtm> if applicable)
- Posting Notice
- Bid Solicitation Notice (Advertisement BSN)
- Estimated Fuel Requirements (Fuel Adjustment if applicable)
- Specifications Package (which includes Standard Specifications, Special Provisions and Technical Special Provisions).
- Addendum (if issued)
- Proposal Of, Form No. 375-020-08
- Bid Items (generated from Trns\*port)
- List of Items by Work Category (if applicable)
- Contract, Form No. 375-020-26
- Contract Bond, Form No. 375-020-27
- Contract Affidavit, Form No. 375-020-30
- Plans

All contract packages shall be bound (except for Plans) prior to distribution.

**NOTE:** When building contracts for Projects over \$ 5M a **“Bid Blank”** form will need to be generated. Additionally, the **“Bid Load Info”** sheet from the electronic bid is to be used instead of the **“Proposal Of”** pages in the **Contract Document**.

**4.2.1** For contracts of \$25,000 or less the contract bond (payment and performance bond) may at the discretion of the bidder, be in the form of a cashier's check, bank money order, certified check, or postal money order. Cash bonds will be handled in accordance with **Procedure No. 350-080-300, Securing, Transmitting, Depositing, Recording, and Refunding Receipts**.

**4.2.2** A payment and performance guarantee in the form of checks (bank drafts, money orders, and certified checks) must be held in a secured location in the District Office or deposited into the Statewide Concentration Account (refer to **Procedure No. 350-080-300, Securing, Transmitting, Depositing, Recording, and Refunding Receipts**). Refunds of deposited checks may be obtained in accordance with **Procedure No. 350-080-300**.

## 4.3 INSURANCE REQUIREMENTS FOR CONTRACTS

**4.3.1** DCA will verify that the contractor has the following recommended insurance requirements for all maintenance contracts and construction contracts \$250,000 or less

prior to execution by the Department. Contractors must submit documentation which shows they have the following minimum requirements:

- General Liability Insurance with bodily injury of at least \$100,000 per person and \$300,000 each occurrence.
- Property damage insurance of at least \$50,000 during the life of the contract.
- Workers' compensation coverage per requirements of Florida Law.

#### **4.3.2 Construction contracts over \$250,000:**

The insurance requirements are specified in the ***Standard Specifications for Road and Bridge Construction, Section 7-13*** and on ***Form No. 375-020-26, Contract***.

#### **4.3.3 Insurance Certificate Requirements**

The DCA should include in the Award Letter that the Department is to be an additional named insured on the ***Contractor's Public Liability*** and ***Property Damages Liability Insurance*** policies. This is to be documented in the Certificates of Insurance provided with the signed contracts. Additionally, the Certificate Holder address line shall include Fla. Dept. of Transportation, Attn: District (\_\_\_\_) Contracts Administration Office on all the insurance certificates.

Copies of the insurance certificate(s) shall be included with each copy of the written agreement distributed for execution by the Department.

The District Mail Center should deliver all ***Certificates of Insurance*** or related notices that have Financial Project No. or Contract No. identification to the District Contracts Office for proper handling and distribution as required.

The District Office of General Counsel will be notified if a policy lapses without re-instatement or replacement. A record of these notifications should be maintained by the District Contracts Office.

## **4.4 PRE-BID CONFERENCE**

**(A)** At the option of the District Construction Engineer, the Maintenance Engineer or the DCA a pre-bid conference may be held in order to explain the terms and conditions of the proposed contract, including payment of estimates, so prospective bidders will have full understanding of any responsibility they may assume under a contract. The pre-bid conference will provide uniform information to all potential bidders however, neither information nor instructions, etc. that changes any contract requirement will be given unless an addendum is also issued at the same time. Attendance at a pre-bid conference is not a prerequisite for bidding unless specifically stated in the ***BSN and Advertisement***.

(B) Prior to a Mandatory Pre-Bid conference only plans and specifications with "**Summary of Quantities**" sheet can be provided to contractors. Bidding documents shall only be provided to those contractors who attended the pre-bid conference and meet all other requirements. **Proposal/Bid Documents** for a project that requires a mandatory Pre-Bid meeting will not be issued until the contractor or representative has actually attended the Mandatory Pre-Bid meeting.

(C) All bidders must be present and signed in prior to the start of a mandatory prebid meeting. The convener of the meeting will circulate the attendee sign in sheet at the time the meeting was advertised to begin. **Form No. 375-000-24, Pre-bid Sign in Sheet** may be used. Once everyone has signed, the sign in sheet would be taken and the meeting would "Officially" start. Anyone not signed in at the "official" start of the meeting will be considered late and will not be allowed to bid on the project. Agenda and attendance record must be maintained in the original project files.

(D) All pre-bid meetings whether mandatory or not must follow sunshine laws for the holding of public meetings.

(E) Pre-bid meetings shall be audio/video taped. Minutes may be taken. Any changes required as a result of the meeting will be sent to all plans, specifications, and proposal holders, via an addendum. The audio/video tape must be kept with the project files.

## 5.0 CONTRACTING PROCESS - OPENING OF BIDS

5.1 Each District will assure that the function of physically accepting the bids, evaluating them for completeness, and input to Trns\*port will be separate from the function of evaluating the bids and recommending award. Bid packages shall not be considered unless received no later than the date, time, and in the place noted in the project advertisement(s). All bids must be in a sealed envelope.

5.1.2 Changes to the bid amount total may be faxed by the contractor to the District Contracts Administration Office; however, the transmission must be received by the bid proposal submittal cut off time.

5.1.3 The bids will be opened by the DCA or designee on the letting date and time specified in the advertisement. At the bid opening the bid amount for each bidder will be read aloud and the apparent low bidder will be identified.

5.1.4 Bids that are turned in late should not be returned or opened. These Bids should be marked "Late Bid" on the outside envelope and stored securely until being sent to records storage with the non-winning bids for that letting. **Paper Bid Bonds** for BidX bids that are received late should be marked "Late Bid Bond" on the outside envelope and handled the same as "Late Bids."

5.1.5 Within five days of the Technical Review Committee meeting, the DCA should

e-mail a notice to the Contracts Administration Office to review the bid capacity of the low bidders posted on the letting results web site, unless there has been a change in the low bidder. The Contracts Administration Office will verify that the prequalified low bidders have capacity to cover their bid amounts and notify the DCA. The contract cannot be awarded until the low bidder has sufficient capacity.

**5.1.6** All bids should be subject to a technical review. For construction and maintenance contracts, a technical review will consist of a contract bid review in accordance with **Sections 5 thru 8** in the Department's **Procedure No. 600-010-001 Preparation of the Authorization/Official Construction Cost Estimate and Contract Bid Review**. The District has the right to reject any/or all bids. Rejection of a bid must be documented to identify the reason the bid was rejected.

**5.1.7** The recommendation to award and concurrence to award or reject must be made by the Technical/Awards Committee (refer to **Procedures 600-010-004, Technical Review Committee** and **600-010-006, Contract Awards Committee**) with appropriate minutes to document decisions when the bid is under or over the official estimate by the following percentages:

| <b>Bid Amount</b> | <b>Review Criteria</b>         |
|-------------------|--------------------------------|
| Any Bid Amount    | (-) 25% or more below estimate |
| Up to \$500,000   | (+) 15% or more over estimate  |
| Above \$500,000   | (+) 10% or more over estimate  |

Documentation of these decisions are to be kept in the appropriate District Contract Office's file.

**5.1.8** The Department shall give notice of its intended decision to award or to reject all bids within the time allowed in the bid proposal in an action known as "Posting". When all bids are rejected and the contract will not be re-advertised, a negative encumbrance form shall be forwarded to the Office of Comptroller, Financial Management Office, after the posting period is concluded and no protests are received.

**5.1.9** When projects are to be posted for award the DCA or designee requests funds approval through the Contracts Funds Management (CFM) system's "Award Component" for the exact amount of the contract. When the encumbrance is processed through the Award Component, the system unencumbers the advertisement amount, establishes the contract information file, and encumbers the exact amount of the award. A funds approval e-mail is then generated from the CFM system and the e-mail shall be retained in the contract file folder for certification of funds approval.

**5.2 Notice of Intended Decision (Posting of Bid Tabulations) Notice of Intent** to award a contract or to reject all bids shall be given by posting the bid tabulations on the date and place specified in the **BSN** and/or advertisement. The posting shall include the

date the bids were posted. If the low bidder changes after posting the original bid tabulation, a new-bid tabulation must be posted.

**5.2.1** If bid tabulations cannot be posted on the date specified, all bidders shall be notified by certified mail, express delivery, hand delivery, fax, or email, receipt acknowledged. The Notice of Intended Decision shall be made by express delivery, return receipt, hand delivery, e-mail or fax transmission, receipt acknowledged.

### **5.3 RELEASE OF PROPOSAL GUARANTY**

**5.3.1** All proposal guarantees except those of the two lowest bidders may be released as soon as possible following the opening and checking of the proposals. The proposal guarantees of the two lowest bidders may be released as soon as practical after the successful bidder has delivered the executed contract and a satisfactory bond to the Department, except that the proposal guaranty of the next-to-lowest bidder will not be retained longer than 50 days after the opening of the proposals unless the contract is to be awarded to the next-to-lowest bidder prior to the expiration of this time limit.

### **5.4 AWARD OF CONTRACT**

**5.4.1** The following actions must be accomplished prior to award:

- The DCA shall determine if the Technical and Awards Committees have met, when necessary, and approved the project
- If unbalanced items are identified by the Estimates Office a signed "**Notice To Contractors**" must be obtained
- Funds equal to the Award Amount for the projects have been encumbered by the Office of the Comptroller, (A contract shall not be awarded until an award encumbrance in the amount of the award is approved in accordance with **Procedure No. 350-020-200, Contract Funds Approval**)
- Protests, if any, have been resolved in accordance with **Procedures 600-010-004, Technical Review Committee** and **Procedure No. 600-010-006, Contract Awards Committee**.

**5.4.2** If the contractor's **DBE Affirmative Action Plan** or a copy of a previously approved one is not received with the bid the contractor may be given 10 days to submit a plan. **Rule Chapter 14-78, F.A.C.** does not allow award of the contract unless the contractor has an approved **DBE Affirmative Action Plan**.

**5.4.3** For those projects with "hard copy" plans and specifications send five sets of plans (11"X17"), and five sets of specifications (Road and Bridge construction projects) with the awarded contract documents at no charge to the awarded contractor. Three copies of plans and specifications will be provided upon award of a maintenance contract.

**5.4.4** Provide Florida Transportation Builders Association (FTBA) a copy of all **Award Letters**.

## 5.5 EXECUTION OF CONTRACT

The DCA is responsible for determining if a contract is returned within the specified time and if it contains the following:

- a. Contract Bond (Performance and Payment bond), Form No. 375-020-27
- b. Contract form, Form No. 375-020-26
- c. Certificate of insurance and/or affidavits, as required. Contains all required, properly executed documents
- d. Contains all required, properly executed documents
- e. Contract Affidavit, Form No. 375-020-30

**5.5.1** If the executed documents and required bond are not received within the specified time for the project, the District may cancel the award to the apparent low bidder and award to the next low bidder, reject all bids (these two options would require a **Notice of Intent** with protest rights to be sent to the remaining bidders), or execute the contract with associated reduction in contract time (day/day on delay). If the contract cannot be executed because the low bidder is not in compliance with these requirements, the District may declare the bidder in default and collection proceedings on the bid bond shall begin. A District legal counsel will review the contract prior to execution by the authorized signatory for the District.

**5.5.2** Upon execution by the Department, original executed copies of the contract shall be forwarded to the contractor, the District office location having the responsibility for the Department's copy and the appropriate District's Financial Services Office or Comptroller Disbursement Operation Office along with a copy of bid tabulations and approved Encumbrance from the Contracts Funds Management, (CFM) System.

**5.5.3** If the contractor fails to complete the project, the surety company assumes responsibility for the contract. The contractor chosen by the surety company for completion of the contract must be approved by the Department prior to commencing work.

## 5.6 CONTRACT RENEWAL (MAINTENANCE CONTRACTS)

**5.6.1** Contracts containing a renewal option may be renewed for a period that may not exceed three years or the term of the original contract, whichever period is longer. Contracts are to be renewed as provided in the contract provisions subject to the same unit bid prices and associated quantities as well as all the other terms and conditions set forth in the initial contract. When unit prices do not change, the contract can be renewed at the initial amount plus any supplemented amount. Time extended contracts may be renewed up to the period allowed by law.

**5.6.2** Contract renewal will always be contingent on the availability of budget. The following steps are to be taken:

- The final payment of any one particular year of a contract shall be clearly identified. (Example: This is the final payment for the first, second, or third year of the contract; this contract has two optional one-year renewal periods.)
- Before the end of the current fiscal year, the DCA or designee shall request Funds Approval through the CFM system. A **REVIEWED Funds Approval E-mail** is then generated from the CFM system and the e-mail shall be retained in the contract file folder for certification of funds reviewed/approval. Once the **REVIEWED Funds Approval E-mail** is received in the District, the contract may be renewed. After July 1, CFM system will begin encumbering next fiscal year's request into FLAIR. After posting into FLAIR, CFM system will generate an e-mail to the person who initiated the request verifying Funds Approval.

**5.6.3** Upon receipt of the **Funds Approval E-mail** showing funds approval, the contract may be renewed. The renewal shall require execution of a **Contract Renewal, Form No. 375-020-23**, by the contractor and an authorized Department designee. Distribution should be the same as the original contract.

**5.6.4** A new payment and performance bond, applicable insurance certificates, and all other required information must be signed and provided by the contractor, bonding agent, and insurance provider prior to renewal of the contract.

**5.6.5** Work orders are generated from the encumbrance unless it is split funded. However, authorization in the Work Program needs to be performed before encumbering funds.

**5.6.6** The **Funds Approval E-mail, Bond, Surety Power of Attorney, and the Contract Renewal, Form No. 375-020-23**, will be transmitted to the appropriate District Financial Services Office or to the Disbursement Operation Office.

## **6.0 TRAINING**

No mandatory training is required by this procedure. Periodically training sessions will be held on a voluntary basis.

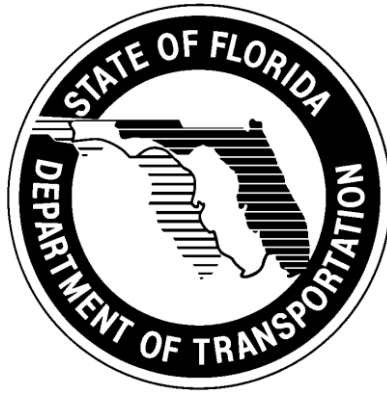
## **7.0 FORMS**

The following forms are available from the Department's Forms Library:

| <b>NUMBER</b> | <b>FORM NAME</b>                        |
|---------------|-----------------------------------------|
| 375-030-050   | Conflict of Interest Certification Form |
| 050-020-06    | Records Disposition Request             |
| 275-030-11    | DBE Bid Package Information             |



|            |                                                                                         |
|------------|-----------------------------------------------------------------------------------------|
| 375-000-02 | Fax Order Form                                                                          |
| 375-000-24 | Prebid Sign in Sheet                                                                    |
| 375-020-08 | Proposal Of                                                                             |
| 375-020-09 | Bid or Proposal Bond                                                                    |
| 375-020-17 | Bid Blanks (eleven types)                                                               |
|            | Federal Aid Job                                                                         |
|            | State Job                                                                               |
|            | Federal Aid Job Bid Average Method                                                      |
|            | State Job Bid Average Method                                                            |
|            | Federal Aid Job A+B                                                                     |
|            | State Job A+B                                                                           |
|            | Federal Aid Job Lane Rental                                                             |
|            | State Job Lane Rental                                                                   |
|            | Fixed Capital Outlay Buildings                                                          |
|            | Design Build – Major                                                                    |
|            | Design Build – Minor                                                                    |
| 375-020-18 | Declaration of Joint Venture and Power of Attorney for Bidding on Specified Projects(s) |
| 375-020-20 | Bid Protest Bond                                                                        |
| 375-020-22 | Certification of Current Capacity                                                       |
| 375-020-23 | Contract Renewal                                                                        |
| 375-020-26 | Contract                                                                                |
| 375-020-27 | Contract Bond (Payment and Performance Bond)                                            |
| 375-020-30 | Contract Affidavit                                                                      |
| 375-020-43 | Contractor Field Performance Report                                                     |
| 375-020-60 | Labor and Materials Payment Bond                                                        |
| 700-010-25 | Contractors Past Performance Report                                                     |



**LEGISLATIVE  
BUDGET REQUEST  
2011-2012**

**Schedule XIII**

**Proposed Consolidated Financing of  
Deferred-Payment Commodity Contracts**

**Not Applicable**

**Schedule XIV**  
**Variance from Long Range Financial Outlook**

**Agency: Transportation**

**Contact: Kimberly Ferrell**

Article III, Section 19(a)3, Florida Constitution, requires each agency Legislative Budget Request to be based upon and reflect the long range financial outlook adopted by the Joint Legislative Budget Commission or to explain any variance from the outlook.

- 1) Does the long range financial outlook adopted by the Joint Legislative Budget Commission in September 2010 contain revenue or expenditure estimates related to your agency?

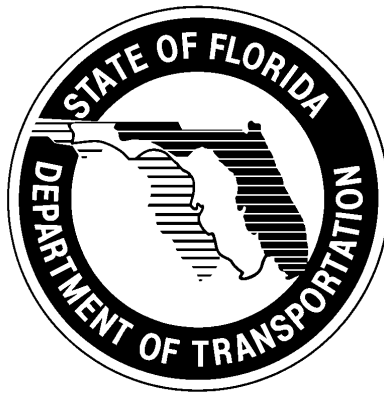
Yes       No

- 2) If yes, please list the estimates for revenues and budget drivers that reflect an estimate for your agency for Fiscal Year 2011-2012 and list the amount projected in the long range financial outlook and the amounts projected in your Schedule I or budget request.

|   | Issue (Revenue or Budget Driver) | R/B* | FY 2011-2012 Estimate/Request Amount |                            |
|---|----------------------------------|------|--------------------------------------|----------------------------|
|   |                                  |      | Long Range Financial Outlook         | Legislative Budget Request |
| a |                                  |      |                                      |                            |
| b |                                  |      |                                      |                            |
| c |                                  |      |                                      |                            |
| d |                                  |      |                                      |                            |
| e |                                  |      |                                      |                            |
| f |                                  |      |                                      |                            |

- 3) If your agency's Legislative Budget Request does not conform to the long range financial outlook with respect to the revenue estimates (from your Schedule I) or budget drivers, please explain the variance(s) below.

\* R/B = Revenue or Budget Driver



# **LEGISLATIVE BUDGET REQUEST 2011-2012**

**Schedule I Series  
(Sort by Trust Fund)**



# Florida Department of Transportation

CHARLIE CRIST  
GOVERNOR

605 Suwannee Street  
Tallahassee, FL 32399-0450

STEPHANIE C. KOPELOUSOS  
SECRETARY


## AGENCY CERTIFICATION LEGISLATIVE BUDGET REQUEST

October 15, 2010

This is to confirm that the revenues shown on the Schedule I for all fiscal years are the latest and most accurate available at the time of the Schedule I development. We will notify Office of Policy and Budget of any significant changes in revenue estimates that occur prior to the Governor's Budget Recommendations being issued.

This certification covers the following funds:

2324  
2326  
2540  
2586  
2719  
2729  
2731

Lisa L. Evans, CPA   
Deputy Comptroller - FMO  
Office of Comptroller

BEGINNING TRIAL BALANCE BY FUND  
 JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
 10 1 000275 TRANSPORTATION DEPT. CONSTRUCTION DIVISION

| G-L<br>CAT | G-L ACCOUNT NAME                       | BEGINNING BALANCE |
|------------|----------------------------------------|-------------------|
| 13100      | UNEXPENDED GENERAL REVENUE RELEASES    |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 088716 08  | INTRASTATE HIGHWAY CONSTR              | 1,506,253.52      |
| 088794 08  | SEAPORT GRANTS                         | 9,817,242.59      |
|            | ** GL 13100 TOTAL                      | 11,323,496.11     |
| 31140      | PAYABLES-YE UNREC. PAY. ADJ.           |                   |
| 088794 08  | SEAPORT GRANTS                         | 1,087,561.56-     |
| 54900      | FUND BALANCE UNRESERVED                |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 088716 08  | INTRASTATE HIGHWAY CONSTR              | 1,506,253.52-     |
| 088794 08  | SEAPORT GRANTS                         | 11,587,823.40-    |
|            | ** GL 54900 TOTAL                      | 13,094,076.92-    |
| 54902      | FUND BALANCE - SWFS ADJUSTMENTS        |                   |
| 088794 08  | SEAPORT GRANTS                         | 2,858,142.37      |
| 94100      | ENCUMBRANCES                           |                   |
| 088794 08  | SEAPORT GRANTS                         | 9,817,242.59      |
| 98100      | BUDGETARY FND BAL RESERVED/ENCUMBRANCE |                   |
| 088794 08  | SEAPORT GRANTS                         | 9,817,242.59-     |
|            | *** FUND TOTAL                         | 0.00              |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
10 2 540001 STATE TRANSPORTATION TF DOT

| G-L<br>CAT | G-L ACCOUNT NAME                       | BEGINNING BALANCE |
|------------|----------------------------------------|-------------------|
| 11100      | CASH ON HAND                           |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 94,272.67         |
| 040000     | EXPENSES                               | 0.00              |
| 040000     | CF EXPENSES                            | 0.00              |
| 088712     | 01 HIGHWAY MAINTENANCE CONTR           | 0.00              |
| 088719     | 01 AVIATION DEV/GRANTS                 | 0.00              |
| 088774     | 02 PUBLIC TRANSIT DEV/GRANTS           | 0.00              |
| 088777     | 01 RIGHT-OF-WAY LAND ACQ               | 0.00              |
| 088849     | 01 PRELIMINARY ENGR CONSULT            | 0.00              |
| 100777     | CONTRACTED SERVICES                    | 0.00              |
| 101640     | HUMAN RESOURCE DEVELOPMENT             | 0.00              |
|            | ** GL 11100 TOTAL                      | 94,272.67         |
| 11103      | CASH ON HAND - PETTY CASH REVOLVING FU |                   |
| 040000     | EXPENSES                               | 0.00              |
| 11104      | CASH ON HAND - TOLLS/TPK CHANGE REVOLV |                   |
| 040000     | EXPENSES                               | 0.00              |
| 11120      | CASH ON HAND - ADJUST TO RESTRICTED    |                   |
| 040000     | EXPENSES                               | 0.00              |
| 11200      | CASH IN BANK                           |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 11210      | CASH IN BANK - SUNPASS                 |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 704,330.18        |
| 12100      | UNRELEASED CASH IN STATE TREASURY      |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 167,304,923.13    |
| 14100      | POOLED INVESTMENTS WITH STATE TREASURY |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 144,714,803.93    |
| 14400      | NON-STATE AND CU INVESTMENTS WITH STAT |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 318,849,452.51    |
| 14700      | OTHER INVESTMENTS                      |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 15100      | ACCOUNTS RECEIVABLE                    |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 2,267,445.64      |
| 000100     |                                        | 15.00             |
| 000200     |                                        | 591,213.26        |
| 000400     |                                        | 141,668.54        |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
10 2 540001 STATE TRANSPORTATION TF DOT

| G-L<br>CAT | G-L ACCOUNT NAME                       | BEGINNING BALANCE |
|------------|----------------------------------------|-------------------|
| 000500     |                                        | 282.82            |
| 001101     |                                        | 0.00              |
| 001200     |                                        | 2,153,852.23      |
| 001202     |                                        | 0.00              |
| 001204     |                                        | 115,409.41-       |
| 001500     |                                        | 0.00              |
| 001800     |                                        | 39,697.42-        |
| 001801     |                                        | 55,254,688.33     |
| 001901     |                                        | 0.00              |
| 001903     |                                        | 22,531.00-        |
| 001904     |                                        | 6,434,688.92      |
| 001905     |                                        | 6,632,602.67-     |
| 002100     |                                        | 474,122.25        |
| 002500     |                                        | 599.42            |
| 002700     |                                        | 0.00              |
| 004801     |                                        | 52.06             |
| 010000     | SALARIES AND BENEFITS                  | 0.00              |
|            | ** GL 15100 TOTAL                      | 60,508,387.97     |
| 15150      | ACCOUNTS RECEIVABLE - LOADSTAR         |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 002100     |                                        | 265,308.00        |
|            | ** GL 15150 TOTAL                      | 265,308.00        |
| 15300      | INTEREST AND DIVIDENDS RECEIVABLE      |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 000500     |                                        | 1,757,990.29      |
| 001800     |                                        | 0.00              |
| 002500     |                                        | 0.00              |
| 190000     | PURCHASE OF INVESTMENTS                | 0.00              |
|            | ** GL 15300 TOTAL                      | 1,757,990.29      |
| 15310      | INTEREST RECEIVABLE FROM DFS           |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 000500     |                                        | 1,991,137.65      |
|            | ** GL 15310 TOTAL                      | 1,991,137.65      |
| 15510      | CONTRACTS & GRANTS RECEIVABLE - PARTIC |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 000400     |                                        | 0.00              |
| 000700     |                                        | 0.00              |
| 000800     |                                        | 0.00              |
| 001100     |                                        | 0.00              |
| 001101     |                                        | 291,255.97        |
| 001800     |                                        | 0.00              |
| 001801     |                                        | 43,626.53         |



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| G-L<br>CAT | G-L ACCOUNT NAME                       | BEGINNING BALANCE |
|------------|----------------------------------------|-------------------|
| 001904     |                                        | 0.00              |
| 001905     |                                        | 0.00              |
|            | ** GL 15510 TOTAL                      | 334,882.50        |
| 15900      | ALLOWANCE FOR UNCOLLECTIBLES           |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 000400     |                                        | 0.00              |
| 001901     |                                        | 0.00              |
| 040000     | EXPENSES                               | 512,623.58-       |
|            | ** GL 15900 TOTAL                      | 512,623.58-       |
| 16100      | DUE FROM STATE FUNDS, WITHIN DIVISION  |                   |
| 000400     |                                        | 0.00              |
| 16200      | DUE FROM STATE FUNDS, WITHIN DEPART.   |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 376,572,420.79    |
| 000400     |                                        | 1,239,080.50      |
| 000500     |                                        | 0.00              |
| 001500     |                                        | 57,945,156.60-    |
| 001510     |                                        | 0.00              |
| 001800     |                                        | 141,159,330.28-   |
| 001801     |                                        | 132,467,258.83-   |
| 001903     |                                        | 34.30-            |
| 002300     |                                        | 68,818.01-        |
| 002700     |                                        | 26,093.31         |
| 004700     |                                        | 325.00-           |
|            | ** GL 16200 TOTAL                      | 46,196,671.58     |
| 16240      | SUNSHINE SKYWAY                        |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 562,281.50        |
| 001800     |                                        | 0.00              |
|            | ** GL 16240 TOTAL                      | 562,281.50        |
| 16250      | DUE FROM TURNPIKE, STATE AND FEDERAL S |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 001801     |                                        | 2,481,651.08      |
|            | ** GL 16250 TOTAL                      | 2,481,651.08      |
| 16260      | PROJECTS NON-FACILITY RELATED          |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 35,949,134.22     |
| 001800     |                                        | 22,697,654.25-    |
|            | ** GL 16260 TOTAL                      | 13,251,479.97     |

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| G-L<br>CAT | G-L ACCOUNT NAME                       | BEGINNING BALANCE |
|------------|----------------------------------------|-------------------|
| 16270      | AA RECEIVABLE FOR RR                   |                   |
| 001800     |                                        | 0.00              |
| 001801     |                                        | 0.00              |
|            | ** GL 16270 TOTAL                      | 0.00              |
| 16300      | DUE FROM OTHER DEPARTMENTS             |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 223,543.45        |
| 000200     |                                        | 24.91-            |
| 000400     |                                        | 141.85            |
| 000500     |                                        | 0.00              |
| 001000     |                                        | 2.00-             |
| 001500     |                                        | 0.00              |
| 001510     |                                        | 60,513.00         |
| 001800     |                                        | 0.00              |
| 001801     |                                        | 24,588.21         |
| 001901     |                                        | 0.00              |
| 001903     |                                        | 15,191.40-        |
| 001904     |                                        | 1,308.19-         |
| 001905     |                                        | 1,562.35          |
| 002100     |                                        | 0.00              |
| 002900     |                                        | 11,740.22         |
|            | ** GL 16300 TOTAL                      | 305,562.58        |
| 16310      | DUE FROM OTHER DEPARTMENTS - PARTICIPA |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 000400     |                                        | 0.00              |
| 000500     |                                        | 0.00              |
| 001000     |                                        | 0.00              |
| 001500     |                                        | 0.00              |
| 001510     |                                        | 0.00              |
| 001800     |                                        | 0.00              |
| 001801     |                                        | 0.00              |
| 001905     |                                        | 0.00              |
|            | ** GL 16310 TOTAL                      | 0.00              |
| 16315      | DUE FROM DCA - DISASTERS               |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 001500     |                                        | 0.00              |
| 001510     |                                        | 105,922.58        |
|            | ** GL 16315 TOTAL                      | 105,922.58        |
| 16320      | DUE FROM DFS / STTF - JPA'S            |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 6,545.00          |
| 000500     |                                        | 0.00              |
| 001500     |                                        | 0.00              |

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| G-L<br>CAT | G-L ACCOUNT NAME                            | BEGINNING BALANCE |
|------------|---------------------------------------------|-------------------|
| 001800     |                                             | 0.00              |
| 001801     |                                             | 0.00              |
| 002700     |                                             | 0.00              |
| 180000     | TRANSFERS                                   | 0.00              |
| 181210     | TRANSFER TO DFS-TRES. CASH DEPOSIT TF (JOIN | 0.00              |
| 181213     | TR TO DFS TREAS CASH DEP TF JOINT PART AGRE | 0.00              |
|            | ** GL 16320 TOTAL                           | 6,545.00          |
| 16340      | DUE FROM DFS FOR SIB LOANS                  |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 0.00              |
| 000500     |                                             | 0.00              |
| 001500     |                                             | 0.00              |
| 001801     |                                             | 0.00              |
| 002200     |                                             | 0.00              |
| 180000     | TRANSFERS                                   | 0.00              |
| 181209     | TR TO ST INFRASTRUCTURE BANK ST TRAN TF     | 0.00              |
|            | ** GL 16340 TOTAL                           | 0.00              |
| 16341      | DUE FROM DFS, ALL BOND-FUNDED STATE SI      |                   |
| 000500     |                                             | 0.00              |
| 001801     |                                             | 0.00              |
| 002200     |                                             | 0.00              |
|            | ** GL 16341 TOTAL                           | 0.00              |
| 16345      | DUE FROM DFS FOR REYES                      |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 0.00              |
| 000500     |                                             | 0.00              |
| 001800     |                                             | 0.00              |
| 001801     |                                             | 0.00              |
|            | ** GL 16345 TOTAL                           | 0.00              |
| 16350      | DUE FROM LEGAL AFFAIRS - OPERATING BUD      |                   |
| 001800     |                                             | 0.00              |
| 16382      | DUE FROM DFS - PROP SHARE AGREEMENT         |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 0.00              |
| 000500     |                                             | 0.00              |
| 001500     |                                             | 0.00              |
| 001800     |                                             | 0.00              |
| 001801     |                                             | 0.00              |
| 181226     | TR/DFS/JAX ENV MITIGATION                   | 0.00              |
|            | ** GL 16382 TOTAL                           | 0.00              |

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| G-L<br>CAT | G-L ACCOUNT NAME                            | BEGINNING BALANCE |
|------------|---------------------------------------------|-------------------|
| 16383      | DUE FROM DFS-EMERALD COAST LOAN-ARI         |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 0.00              |
| 000500     |                                             | 0.00              |
| 001801     |                                             | 0.00              |
|            | ** GL 16383 TOTAL                           | 0.00              |
| 16390      | DUE FROM DFS - LFR, LFRF                    |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 0.00              |
| 000500     |                                             | 0.00              |
| 001500     |                                             | 0.00              |
| 001800     |                                             | 0.00              |
| 001801     |                                             | 0.00              |
| 180000     | TRANSFERS                                   | 0.00              |
| 181213     | TR TO DFS TREAS CASH DEP TF JOINT PART AGRE | 0.00              |
|            | ** GL 16390 TOTAL                           | 0.00              |
| 16394      | DUE FROM HSMV                               |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 29,504,105.15-    |
| 001500     |                                             | 29,504,105.15     |
| 002900     |                                             | 0.00              |
|            | ** GL 16394 TOTAL                           | 0.00              |
| 16395      | DEPART HWY SAFTEY/DEPT REVENUE - TAXES      |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 0.00              |
| 001500     |                                             | 191,557,506.64    |
|            | ** GL 16395 TOTAL                           | 191,557,506.64    |
| 16400      | DUE FROM FEDERAL GOVERNMENT                 |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 0.00              |
| 000400     |                                             | 0.00              |
| 000700     |                                             | 18,806,645.75     |
| 000701     |                                             | 0.00              |
| 000750     |                                             | 19,731,716.27     |
| 000800     |                                             | 0.00              |
| 001801     |                                             | 0.00              |
| 001901     |                                             | 0.00              |
| 001903     |                                             | 0.00              |
| 001904     |                                             | 0.00              |
| 001905     |                                             | 0.00              |
|            | ** GL 16400 TOTAL                           | 38,538,362.02     |
| 16410      | DUE FROM FEDERAL GOVERNMENT - PARTICIP      |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 0.00              |
| 000700     |                                             | 10,207,329.54     |
| 000750     |                                             | 1,463,721.00      |

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| G-L<br>CAT | G-L ACCOUNT NAME                  | BEGINNING BALANCE |
|------------|-----------------------------------|-------------------|
| 001100     |                                   | 0.00              |
| 001800     |                                   | 0.00              |
| 001801     |                                   | 0.00              |
| 001905     |                                   | 0.00              |
|            | ** GL 16410 TOTAL                 | 11,671,050.54     |
| 16415      | DUE FROM FEMA - STORMS            |                   |
| 103892     | TRANS MATERIALS & EQUIP           | 0.00              |
| 16500      | DUE FROM OTHER GOVERNMENTAL UNITS |                   |
| 000000     | BALANCE BROUGHT FORWARD           | 0.00              |
| 000200     |                                   | 0.00              |
| 000400     |                                   | 0.00              |
| 000500     |                                   | 0.00              |
| 001200     |                                   | 0.00              |
| 001204     |                                   | 0.00              |
| 001500     |                                   | 0.00              |
| 001800     |                                   | 0.00              |
| 001801     |                                   | 0.00              |
| 001901     |                                   | 0.00              |
| 001903     |                                   | 0.00              |
| 001904     |                                   | 0.00              |
| 001905     |                                   | 50,000.00         |
| 002100     |                                   | 0.00              |
| 002500     |                                   | 0.00              |
| 004801     |                                   | 0.00              |
|            | ** GL 16500 TOTAL                 | 50,000.00         |
| 16510      | DUE FROM CITIES - PARTICIPATIONS  |                   |
| 000000     | BALANCE BROUGHT FORWARD           | 40,436.13         |
| 000500     |                                   | 754.34            |
| 000800     |                                   | 0.00              |
| 001000     |                                   | 0.00              |
| 001100     |                                   | 0.00              |
| 001101     |                                   | 37,967.27         |
| 001800     |                                   | 2,566,674.78      |
| 001801     |                                   | 1,158,361.92      |
| 001905     |                                   | 1,257,568.83-     |
| 180000     | TRANSFERS                         | 0.00              |
|            | ** GL 16510 TOTAL                 | 2,546,625.61      |
| 16515      | DUE FROM THCEA (ARI)              |                   |
| 000500     |                                   | 0.00              |
| 002300     |                                   | 0.00              |
|            | ** GL 16515 TOTAL                 | 0.00              |

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| G-L<br>CAT | G-L ACCOUNT NAME                       | BEGINNING BALANCE |
|------------|----------------------------------------|-------------------|
| 16520      | DUE FROM COUNTIES - PARTICIPATIONS     |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 000400     |                                        | 0.00              |
| 000500     |                                        | 0.00              |
| 000800     |                                        | 0.00              |
| 001100     |                                        | 0.00              |
| 001101     |                                        | 1,579,789.44      |
| 001800     |                                        | 0.00              |
| 001801     |                                        | 3,283,970.80      |
| 001905     |                                        | 0.00              |
| 002700     |                                        | 0.00              |
|            | ** GL 16520 TOTAL                      | 4,863,760.24      |
| 16525      | DUE FROM OTHER GOVTS - SIBS            |                   |
| 000500     |                                        | 7,081,838.28      |
| 16530      | DUE FROM OTHER GOV UNITS - PARTICIPATI |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 000500     |                                        | 0.01              |
| 000800     |                                        | 0.00              |
| 001101     |                                        | 62,356.22         |
| 001500     |                                        | 132,293.21        |
| 001800     |                                        | 0.00              |
| 001801     |                                        | 986,937.57        |
| 001905     |                                        | 0.00              |
|            | ** GL 16530 TOTAL                      | 1,181,587.01      |
| 16575      | DUE FROM OOCEA-REV MGT                 |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 001800     |                                        | 0.00              |
|            | ** GL 16575 TOTAL                      | 0.00              |
| 16587      | DUE FROM OTHER GOV UNITS - MDX         |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 001800     |                                        | 0.00              |
|            | ** GL 16587 TOTAL                      | 0.00              |
| 16705      | DUE FROM COMPONENT UNIT - LFAS         |                   |
| 001801     |                                        | 0.00              |
| 16800      | DUE FROM STATE FUNDS - REVOLVING FUND  |                   |
| 040000     | EXPENSES                               | 0.00              |

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| G-L<br>CAT | G-L ACCOUNT NAME                       | BEGINNING BALANCE |
|------------|----------------------------------------|-------------------|
| 17100      | SUPPLY INVENTORY                       |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 24,433,783.27     |
| 040000     | EXPENSES                               | 16,663,728.68-    |
|            | ** GL 17100 TOTAL                      | 7,770,054.59      |
| 17200      | GOODS PURCHASED FOR RESALE             |                   |
| 040000     | EXPENSES                               | 1,312,159.09      |
| 19100      | PREPAID ITEMS                          |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 14,607.63         |
| 19302      | PREPAID CLEAN-UP CAP INSURANCE         |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 754,060.00        |
| 19920      | 80% FED SIB PROG-TRACKING ONLY (OFFSET |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 116,422,828.85    |
| 000500     |                                        | 5,900,931.56      |
|            | ** GL 19920 TOTAL                      | 122,323,760.41    |
| 19930      | 80% FED SIB PROG-TRACKING ONLY (OFFSET |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 116,422,828.85-   |
| 000500     |                                        | 5,900,931.56-     |
|            | ** GL 19930 TOTAL                      | 122,323,760.41-   |
| 25100      | ADVANCES TO OTHER FUNDS BETWEEN DEPART |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 000400     |                                        | 0.00              |
| 000800     |                                        | 0.00              |
| 001500     |                                        | 0.00              |
| 001800     |                                        | 0.00              |
| 002300     |                                        | 0.00              |
| 004000     |                                        | 0.00              |
|            | ** GL 25100 TOTAL                      | 0.00              |
| 25111      | ADVANCES - HSMV                        |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 100,000.00        |
| 25112      | ADVANCES - DEPT. OF CORRECTIONS        |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 800,000.00        |
| 25201      | ADVANCES                               |                   |
| 001800     |                                        | 307,453.41-       |
| 085576     | 05 SM COUNTY OUTREACH PROGRAM          | 0.00              |
| 088716     | 09 INTRASTATE HIGHWAY CONSTR           | 350,000.00        |
| 088717     | 02 ARTERIAL HIGHWAY CONSTR             | 0.00              |

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| G-L<br>CAT                                                                  | G-L ACCOUNT NAME                                                  | BEGINNING BALANCE                                    |
|-----------------------------------------------------------------------------|-------------------------------------------------------------------|------------------------------------------------------|
| 088717 06                                                                   | ARTERIAL HIGHWAY CONSTR                                           | 170,394.30                                           |
| 088717 07                                                                   | ARTERIAL HIGHWAY CONSTR                                           | 0.00                                                 |
| 088717 08                                                                   | ARTERIAL HIGHWAY CONSTR                                           | 0.00                                                 |
| 088717 10                                                                   | ARTERIAL HIGHWAY CONSTR                                           | 0.10-                                                |
| 088797 03                                                                   | RESURFACING                                                       | 0.00                                                 |
| 088797 07                                                                   | RESURFACING                                                       | 0.00                                                 |
| 088799 04                                                                   | BRIDGE CONSTRUCTION                                               | 0.00                                                 |
| 088799 05                                                                   | BRIDGE CONSTRUCTION                                               | 0.00                                                 |
| 088799 06                                                                   | BRIDGE CONSTRUCTION                                               | 248,075.03-                                          |
| 088799 07                                                                   | BRIDGE CONSTRUCTION                                               | 13,399.30                                            |
| 088808 04                                                                   | RAIL DEVELOPMENT/GRANTS                                           | 0.00                                                 |
| 088808 05                                                                   | RAIL DEVELOPMENT/GRANTS                                           | 0.00                                                 |
| 088849 02                                                                   | PRELIMINARY ENGR CONSULT                                          | 0.00                                                 |
| 088849 03                                                                   | PRELIMINARY ENGR CONSULT                                          | 0.00                                                 |
| 088849 04                                                                   | PRELIMINARY ENGR CONSULT                                          | 150,000.00                                           |
| 088849 05                                                                   | PRELIMINARY ENGR CONSULT                                          | 917,101.43                                           |
| 088849 06                                                                   | PRELIMINARY ENGR CONSULT                                          | 1,481,050.03                                         |
| 088849 07                                                                   | PRELIMINARY ENGR CONSULT                                          | 24,601.43-                                           |
| 088849 08                                                                   | PRELIMINARY ENGR CONSULT                                          | 13,130.96                                            |
| 088849 09                                                                   | PRELIMINARY ENGR CONSULT                                          | 1,060,562.29                                         |
| 088849 10                                                                   | PRELIMINARY ENGR CONSULT                                          | 233,137.84                                           |
| 088850 05                                                                   | HWY BEAUTIFICATION GRANTS                                         | 24,393.06                                            |
| 088850 06                                                                   | HWY BEAUTIFICATION GRANTS                                         | 16,047.71                                            |
| 088853 04                                                                   | RIGHT-OF-WAY SUPPORT                                              | 0.00                                                 |
| 088853 05                                                                   | RIGHT-OF-WAY SUPPORT                                              | 0.00                                                 |
| 088853 06                                                                   | RIGHT-OF-WAY SUPPORT                                              | 0.00                                                 |
| 088853 07                                                                   | RIGHT-OF-WAY SUPPORT                                              | 73,468.42                                            |
| 088853 08                                                                   | RIGHT-OF-WAY SUPPORT                                              | 0.00                                                 |
| 088853 09                                                                   | RIGHT-OF-WAY SUPPORT                                              | 0.00                                                 |
| 100902                                                                      | PAYMENT TO EXPRESSWAY AUTH                                        | 674,945.89                                           |
|                                                                             | ** GL 25201 TOTAL                                                 | 4,597,501.26                                         |
| 25202<br>000000                                                             | PREPAID CLEAN-UP CAP INSURANCE<br>BALANCE BROUGHT FORWARD         | 879,736.67                                           |
| 25300<br>000000<br>000500<br>000800<br>001500<br>001800<br>001801<br>001905 | LOANS/NOTES REC FROM OTHER GOVERNMENTS<br>BALANCE BROUGHT FORWARD | 0.00<br>0.00<br>0.00<br>0.00<br>0.00<br>0.00<br>0.00 |
|                                                                             | ** GL 25300 TOTAL                                                 | 0.00                                                 |



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| G-L<br>CAT | G-L ACCOUNT NAME                       | BEGINNING BALANCE |
|------------|----------------------------------------|-------------------|
| 25311      | LONG TERM REC-THCEA-REV MGT            |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 190,535,746.31    |
| 001800     |                                        | 70,318,292.12-    |
|            | ** GL 25311 TOTAL                      | 120,217,454.19    |
| 25314      | THEA LONG TERM INTEREST RECEIVABLE ON  |                   |
| 000500     |                                        | 4,103.19          |
| 25315      | LONG TERM REC-THCEA-ARI                |                   |
| 000500     |                                        | 12,034,944.51     |
| 002300     |                                        | 0.00              |
|            | ** GL 25315 TOTAL                      | 12,034,944.51     |
| 25316      | LONG TERM REC-EMERALD COAST BRIDGE AUT |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 298,000.00        |
| 25325      | SIB LOAN TO OTHER GOVT'S               |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 178,403,749.25    |
| 000500     |                                        | 7,953,740.23      |
| 001801     |                                        | 164,685,088.89    |
|            | ** GL 25325 TOTAL                      | 351,042,578.37    |
| 25330      | LONG TERM RECEIVABLE - AVIATION LOANS  |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 11,363.14         |
| 001800     |                                        | 266,214.00-       |
| 002300     |                                        | 771,340.99        |
|            | ** GL 25330 TOTAL                      | 516,490.13        |
| 25335      | DEFERRED PAYMENT ACCRUED INTEREST      |                   |
| 000500     |                                        | 787.46            |
| 25350      | LONG TERM NOTE - TOWN OF BAKER         |                   |
| 000500     |                                        | 0.00              |
| 001801     |                                        | 0.00              |
|            | ** GL 25350 TOTAL                      | 0.00              |
| 25355      | GENERAL LEDGER NAME NOT ON FILE        |                   |
| 001801     |                                        | 0.00              |
| 25357      | LONG TERM REC-MIDBAY-REV MGT           |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 36,365,359.75     |
| 001800     |                                        | 15,186,520.13-    |
| 001801     |                                        | 4,997,210.84-     |
|            | ** GL 25357 TOTAL                      | 16,181,628.78     |

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| G-L<br>CAT      | G-L ACCOUNT NAME                                                     | BEGINNING BALANCE |
|-----------------|----------------------------------------------------------------------|-------------------|
| 25358<br>000000 | LONG TERM REC-GARCON-REV MGT<br>BALANCE BROUGHT FORWARD              | 15,128,441.56     |
| 25370<br>001801 | LONG TERM RECEIVABLE- LFD                                            | 3,837,133.95      |
| 25375<br>000000 | LONG TERM REC-OCEA-REV MGT<br>BALANCE BROUGHT FORWARD                | 227,573,890.77    |
| 25400<br>000000 | OTHER LOANS AND NOTES RECEIVABLE<br>BALANCE BROUGHT FORWARD          | 0.00              |
| 000400          |                                                                      | 0.00              |
| 000800          |                                                                      | 0.00              |
| 001500          |                                                                      | 0.00              |
|                 | ** GL 25400 TOTAL                                                    | 0.00              |
| 25405<br>088717 | L/T REC - SETTLEMENT AGREEMENT - PURCE<br>04 ARTERIAL HIGHWAY CONSTR | 0.00              |
| 088717          | 06 ARTERIAL HIGHWAY CONSTR                                           | 0.00              |
| 088797          | 03 RESURFACING                                                       | 0.00              |
| 088797          | 04 RESURFACING                                                       | 0.00              |
| 088797          | 05 RESURFACING                                                       | 0.00              |
| 088797          | 06 RESURFACING                                                       | 0.00              |
|                 | ** GL 25405 TOTAL                                                    | 0.00              |
| 25410<br>001200 | L/T JUDGMENT RECEIVABLE - MOHNEY                                     | 0.00              |
| 25420<br>001200 | LONG TERM JUDGEMENT RECEIVABLE-FL ROCK                               | 300,000.00        |
| 25441<br>000500 | LONG TERM RECEIVABLE-ROW HLR-SOPER                                   | 74,525.13         |
| 001801          |                                                                      | 86,055.29         |
|                 | ** GL 25441 TOTAL                                                    | 160,580.42        |
| 25442<br>000500 | LONG TERM RECEIVABLE - ROW HLR - PELLE                               | 178,414.07        |
| 001801          |                                                                      | 165,406.24        |
|                 | ** GL 25442 TOTAL                                                    | 343,820.31        |
| 25461<br>001200 | L/T JUDGMENT RECEIVABLE-ROOT                                         | 13,350.00         |

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| G-L<br>CAT | G-L ACCOUNT NAME                       | BEGINNING BALANCE |
|------------|----------------------------------------|-------------------|
| 25462      | LT REC-CONTRACT OVERPAYMENT-INWOOD     |                   |
| 000500     |                                        | 15,197.75         |
| 001200     |                                        | 248,603.55        |
|            | ** GL 25462 TOTAL                      | 263,801.30        |
| 25463      | LONG TERM RECEIVABLE - JORGE AND PEDRO |                   |
| 001200     |                                        | 319,114.58        |
| 25700      | ADVANCES TO OTHER FUNDS WITHIN DEPARTM |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 240,004,408.76    |
| 000400     |                                        | 60,128,469.27-    |
| 000800     |                                        | 3,579,736.95-     |
| 001500     |                                        | 32,159,511.82-    |
| 001800     |                                        | 80,570,275.77-    |
| 004000     |                                        | 62,144.00-        |
| 004700     |                                        | 24,065.00         |
| 040000     | EXPENSES                               | 1,106,405.00      |
|            | ** GL 25700 TOTAL                      | 64,634,740.95     |
| 25706      | STTF O&M TPK SUBSIDIES                 |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 93,096,000.00     |
| 25721      | ADVANCES TO STTF - SIB                 |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 001801     |                                        | 0.00              |
|            | ** GL 25721 TOTAL                      | 0.00              |
| 25730      | SIB LOAN TO TURNPIKE                   |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 63,598,238.99     |
| 001500     |                                        | 2,481,651.08-     |
| 001801     |                                        | 9,926,604.32-     |
|            | ** GL 25730 TOTAL                      | 51,189,983.59     |
| 25740      | SR520 PROJECTS NON-FACILITY RELATED    |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 21,349,505.43     |
| 001800     |                                        | 3,000,000.00-     |
|            | ** GL 25740 TOTAL                      | 18,349,505.43     |
| 25760      | PROJECTS NON-FACILITY RELATED - LT     |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 32,456,577.80     |
| 25820      | ADVANCES TO COMPONENT UNITS FOR SIB LO |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |

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| G-L<br>CAT | G-L ACCOUNT NAME                | BEGINNING BALANCE |
|------------|---------------------------------|-------------------|
| 27100      | LAND AND LAND IMPROVEMENTS      |                   |
| 088777 07  | RIGHT-OF-WAY LAND ACQ           | 0.00              |
| 088797 04  | RESURFACING                     | 0.00              |
| 103892     | TRANS MATERIALS & EQUIP         | 0.00              |
|            | ** GL 27100 TOTAL               | 0.00              |
| 31100      | ACCOUNTS PAYABLE                |                   |
| 030000     | OTHER PERSONAL SERVICES         | 0.00              |
| 030000 CF  | OTHER PERSONAL SERVICES         | 63,282.78-        |
| 040000     | EXPENSES                        | 133,867.06-       |
| 040000 CF  | EXPENSES                        | 21,434.85-        |
| 060000     | OPERATING CAPITAL OUTLAY        | 1,071.86-         |
| 080002 08  | MINOR REPAIRS/IMPROV-STATE      | 11,853.96-        |
| 080501 93  | CATEGORY NAME NOT ON TITLE FILE | 0.00              |
| 088513 99  | FLD FAC REP/RENO/ADD-STW        | 0.00              |
| 088520 01  | OFF BLDG REP/RENO/ADD-STW       | 0.00              |
| 088533 98  | CATEGORY NAME NOT ON TITLE FILE | 0.00              |
| 088704     | TRANSP PLANNING CONSULT         | 0.00              |
| 088704 98  | TRANSP PLANNING CONSULT         | 0.00              |
| 088712     | HIGHWAY MAINTENANCE CONTR       | 0.00              |
| 088712 01  | HIGHWAY MAINTENANCE CONTR       | 0.00              |
| 088712 02  | HIGHWAY MAINTENANCE CONTR       | 0.00              |
| 088712 05  | HIGHWAY MAINTENANCE CONTR       | 255,077.96-       |
| 088712 06  | HIGHWAY MAINTENANCE CONTR       | 210,154.20-       |
| 088712 07  | HIGHWAY MAINTENANCE CONTR       | 308,246.16-       |
| 088712 08  | HIGHWAY MAINTENANCE CONTR       | 735,189.37-       |
| 088712 09  | HIGHWAY MAINTENANCE CONTR       | 185,533.61-       |
| 088712 10  | HIGHWAY MAINTENANCE CONTR       | 234,183.76-       |
| 088712 11  | HIGHWAY MAINTENANCE CONTR       | 13,607.10-        |
| 088712 96  | HIGHWAY MAINTENANCE CONTR       | 0.00              |
| 088712 97  | HIGHWAY MAINTENANCE CONTR       | 0.00              |
| 088712 98  | HIGHWAY MAINTENANCE CONTR       | 0.00              |
| 088712 99  | HIGHWAY MAINTENANCE CONTR       | 0.00              |
| 088716 10  | INTRASTATE HIGHWAY CONSTR       | 3,213.05-         |
| 088717 08  | ARTERIAL HIGHWAY CONSTR         | 224.68-           |
| 088717 95  | ARTERIAL HIGHWAY CONSTR         | 0.00              |
| 088717 97  | ARTERIAL HIGHWAY CONSTR         | 0.00              |
| 088717 98  | ARTERIAL HIGHWAY CONSTR         | 0.00              |
| 088717 99  | ARTERIAL HIGHWAY CONSTR         | 0.00              |
| 088718 92  | CONSTRUCT INSPECT CONSULT       | 0.00              |
| 088718 96  | CONSTRUCT INSPECT CONSULT       | 0.00              |
| 088718 98  | CONSTRUCT INSPECT CONSULT       | 0.00              |
| 088718 99  | CONSTRUCT INSPECT CONSULT       | 0.00              |
| 088719 02  | AVIATION DEV/GRANTS             | 0.00              |
| 088719 98  | AVIATION DEV/GRANTS             | 0.00              |

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| G-L<br>CAT | G-L ACCOUNT NAME                | BEGINNING BALANCE |
|------------|---------------------------------|-------------------|
| 088732 98  | CATEGORY NAME NOT ON TITLE FILE | 0.00              |
| 088744 99  | RENO-ST MATL OFF - G'VILLE      | 0.00              |
| 088759 91  | FED AID DESIGN CONSULTANTS      | 0.00              |
| 088759 93  | FED AID DESIGN CONSULTANTS      | 0.00              |
| 088763 99  | ENVIRON SITE RESTORATION        | 0.00              |
| 088774     | PUBLIC TRANSIT DEV/GRANTS       | 0.00              |
| 088777     | RIGHT-OF-WAY LAND ACQ           | 0.00              |
| 088777 02  | RIGHT-OF-WAY LAND ACQ           | 0.00              |
| 088777 11  | RIGHT-OF-WAY LAND ACQ           | 27.00-            |
| 088777 98  | RIGHT-OF-WAY LAND ACQ           | 0.00              |
| 088779 91  | CATEGORY NAME NOT ON TITLE FILE | 0.00              |
| 088785 92  | ST 100% DESIGN CONSULTANTS      | 0.00              |
| 088785 93  | ST 100% DESIGN CONSULTANTS      | 0.00              |
| 088789 93  | ST 100% RESURFACING CONTR       | 0.00              |
| 088792     | INTERMODAL/RAIL DEV/GRANTS      | 0.00              |
| 088792 96  | INTERMODAL/RAIL DEV/GRANTS      | 0.00              |
| 088794 06  | SEAPORT GRANTS                  | 40.00-            |
| 088796 08  | HIWAY SAFETY CONSTR/GRANTS      | 1,804.54-         |
| 088796 99  | HIWAY SAFETY CONSTR/GRANTS      | 0.00              |
| 088797     | RESURFACING                     | 0.00              |
| 088797 07  | RESURFACING                     | 7,352.53-         |
| 088797 94  | RESURFACING                     | 0.00              |
| 088797 96  | RESURFACING                     | 0.00              |
| 088797 99  | RESURFACING                     | 0.00              |
| 088799 95  | BRIDGE CONSTRUCTION             | 0.00              |
| 088799 98  | BRIDGE CONSTRUCTION             | 0.00              |
| 088809 05  | INTERMODAL DEVELOPMENT/GRANTS   | 259,376.28-       |
| 088849     | PRELIMINARY ENGR CONSULT        | 0.00              |
| 088849 01  | PRELIMINARY ENGR CONSULT        | 0.00              |
| 088849 06  | PRELIMINARY ENGR CONSULT        | 30.00-            |
| 088849 07  | PRELIMINARY ENGR CONSULT        | 1,374.91-         |
| 088849 10  | PRELIMINARY ENGR CONSULT        | 22,045.00-        |
| 088849 94  | PRELIMINARY ENGR CONSULT        | 0.00              |
| 088849 95  | PRELIMINARY ENGR CONSULT        | 0.00              |
| 088849 96  | PRELIMINARY ENGR CONSULT        | 0.00              |
| 088849 97  | PRELIMINARY ENGR CONSULT        | 0.00              |
| 088849 98  | PRELIMINARY ENGR CONSULT        | 0.00              |
| 088849 99  | PRELIMINARY ENGR CONSULT        | 0.00              |
| 088853     | RIGHT-OF-WAY SUPPORT            | 0.00              |
| 088853 02  | RIGHT-OF-WAY SUPPORT            | 0.00              |
| 088853 06  | RIGHT-OF-WAY SUPPORT            | 2,250.00-         |
| 088853 94  | RIGHT-OF-WAY SUPPORT            | 0.00              |
| 088853 95  | RIGHT-OF-WAY SUPPORT            | 0.00              |
| 088853 98  | RIGHT-OF-WAY SUPPORT            | 0.00              |
| 088853 99  | RIGHT-OF-WAY SUPPORT            | 0.00              |
| 088922 11  | TOLLS SYS EQUIP & DEVELOP       | 1,470.95-         |

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| G-L<br>CAT | G-L ACCOUNT NAME                | BEGINNING BALANCE |
|------------|---------------------------------|-------------------|
| 100777     | CONTRACTED SERVICES             | 8,681.54-         |
| 100777 CF  | CONTRACTED SERVICES             | 210,252.14-       |
| 100900     | TOLL OPERATION CONTRACTS        | 0.00              |
| 100900 CF  | TOLL OPERATION CONTRACTS        | 5,245,046.44-     |
| 100902     | PAYMENT TO EXPRESSWAY AUTH      | 0.00              |
| 100902 CF  | PAYMENT TO EXPRESSWAY AUTH      | 742,701.95-       |
| 103892     | TRANS MATERIALS & EQUIP         | 2,755.74-         |
|            | ** GL 31100 TOTAL               | 8,682,149.42-     |
| 31140      | PAYABLES-YE UNREC. PAY. ADJ.    |                   |
| 010000     | SALARIES AND BENEFITS           | 0.00              |
| 030000     | OTHER PERSONAL SERVICES         | 20,332.38-        |
| 040000     | EXPENSES                        | 1,012,493.30-     |
| 060000     | OPERATING CAPITAL OUTLAY        | 79,846.09-        |
| 085573 01  | TRANSPORTATION OUTREACH PROGRAM | 0.00              |
| 085573 02  | TRANSPORTATION OUTREACH PROGRAM | 0.00              |
| 085573 03  | TRANSPORTATION OUTREACH PROGRAM | 0.00              |
| 085575 06  | SM CTY RESURFACE ASSIST PG      | 0.00              |
| 085575 07  | SM CTY RESURFACE ASSIST PG      | 0.00              |
| 085575 08  | SM CTY RESURFACE ASSIST PG      | 0.00              |
| 085575 09  | SM CTY RESURFACE ASSIST PG      | 265,948.41-       |
| 085575 10  | SM CTY RESURFACE ASSIST PG      | 1,349,187.76-     |
| 085576 06  | SM COUNTY OUTREACH PROGRAM      | 0.00              |
| 085576 07  | SM COUNTY OUTREACH PROGRAM      | 0.00              |
| 085576 08  | SM COUNTY OUTREACH PROGRAM      | 138,482.96-       |
| 085576 09  | SM COUNTY OUTREACH PROGRAM      | 1,467,224.79-     |
| 085576 10  | SM COUNTY OUTREACH PROGRAM      | 1,390,068.67-     |
| 088572 01  | COUNTY TRANSPORTATION PROGRAMS  | 0.00              |
| 088572 02  | COUNTY TRANSPORTATION PROGRAMS  | 0.00              |
| 088572 06  | COUNTY TRANSPORTATION PROGRAMS  | 895,053.53-       |
| 088572 07  | COUNTY TRANSPORTATION PROGRAMS  | 25,116.49-        |
| 088572 08  | COUNTY TRANSPORTATION PROGRAMS  | 1,368,781.53-     |
| 088572 09  | COUNTY TRANSPORTATION PROGRAMS  | 1,002,008.54-     |
| 088572 10  | COUNTY TRANSPORTATION PROGRAMS  | 12,784.00-        |
| 088704     | TRANSP PLANNING CONSULT         | 0.00              |
| 088704 01  | TRANSP PLANNING CONSULT         | 0.00              |
| 088704 02  | TRANSP PLANNING CONSULT         | 0.00              |
| 088704 04  | TRANSP PLANNING CONSULT         | 0.00              |
| 088704 05  | TRANSP PLANNING CONSULT         | 0.00              |
| 088704 06  | TRANSP PLANNING CONSULT         | 21,583.68-        |
| 088704 07  | TRANSP PLANNING CONSULT         | 59,622.14-        |
| 088704 08  | TRANSP PLANNING CONSULT         | 284,767.82-       |
| 088704 09  | TRANSP PLANNING CONSULT         | 852,594.84-       |
| 088704 10  | TRANSP PLANNING CONSULT         | 1,673,443.93-     |
| 088704 98  | TRANSP PLANNING CONSULT         | 0.00              |
| 088704 99  | TRANSP PLANNING CONSULT         | 0.00              |

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|------------|---------------------------|-------------------|
| 088712     | HIGHWAY MAINTENANCE CONTR | 0.00              |
| 088712 01  | HIGHWAY MAINTENANCE CONTR | 0.00              |
| 088712 02  | HIGHWAY MAINTENANCE CONTR | 0.00              |
| 088712 05  | HIGHWAY MAINTENANCE CONTR | 2,835.40-         |
| 088712 06  | HIGHWAY MAINTENANCE CONTR | 0.00              |
| 088712 07  | HIGHWAY MAINTENANCE CONTR | 82,454.35-        |
| 088712 08  | HIGHWAY MAINTENANCE CONTR | 459,720.37-       |
| 088712 09  | HIGHWAY MAINTENANCE CONTR | 2,530,947.66-     |
| 088712 10  | HIGHWAY MAINTENANCE CONTR | 22,339,919.32-    |
| 088716 05  | INTRASTATE HIGHWAY CONSTR | 0.00              |
| 088716 07  | INTRASTATE HIGHWAY CONSTR | 483,480.98-       |
| 088716 08  | INTRASTATE HIGHWAY CONSTR | 0.00              |
| 088716 09  | INTRASTATE HIGHWAY CONSTR | 148,645.82-       |
| 088717 02  | ARTERIAL HIGHWAY CONSTR   | 0.00              |
| 088717 06  | ARTERIAL HIGHWAY CONSTR   | 0.00              |
| 088717 07  | ARTERIAL HIGHWAY CONSTR   | 1,323,717.38-     |
| 088717 08  | ARTERIAL HIGHWAY CONSTR   | 3,075,561.72-     |
| 088717 09  | ARTERIAL HIGHWAY CONSTR   | 220,019.04-       |
| 088717 10  | ARTERIAL HIGHWAY CONSTR   | 1,225,040.21-     |
| 088718 01  | CONSTRUCT INSPECT CONSULT | 0.00              |
| 088718 02  | CONSTRUCT INSPECT CONSULT | 0.00              |
| 088718 05  | CONSTRUCT INSPECT CONSULT | 0.00              |
| 088718 06  | CONSTRUCT INSPECT CONSULT | 0.00              |
| 088718 07  | CONSTRUCT INSPECT CONSULT | 0.00              |
| 088718 08  | CONSTRUCT INSPECT CONSULT | 0.00              |
| 088718 09  | CONSTRUCT INSPECT CONSULT | 48,372.58-        |
| 088719     | AVIATION DEV/GRANTS       | 0.00              |
| 088719 01  | AVIATION DEV/GRANTS       | 0.00              |
| 088719 02  | AVIATION DEV/GRANTS       | 0.00              |
| 088719 04  | AVIATION DEV/GRANTS       | 7,361.64-         |
| 088719 05  | AVIATION DEV/GRANTS       | 106,766.78-       |
| 088719 06  | AVIATION DEV/GRANTS       | 229,034.24-       |
| 088719 07  | AVIATION DEV/GRANTS       | 5,030,114.58-     |
| 088719 08  | AVIATION DEV/GRANTS       | 1,215,830.00-     |
| 088719 09  | AVIATION DEV/GRANTS       | 2,866,872.15-     |
| 088719 10  | AVIATION DEV/GRANTS       | 3,386,463.14-     |
| 088719 95  | AVIATION DEV/GRANTS       | 0.00              |
| 088719 96  | AVIATION DEV/GRANTS       | 0.00              |
| 088719 97  | AVIATION DEV/GRANTS       | 0.00              |
| 088719 98  | AVIATION DEV/GRANTS       | 0.00              |
| 088719 99  | AVIATION DEV/GRANTS       | 0.00              |
| 088774     | PUBLIC TRANSIT DEV/GRANTS | 0.00              |
| 088774 01  | PUBLIC TRANSIT DEV/GRANTS | 0.00              |
| 088774 02  | PUBLIC TRANSIT DEV/GRANTS | 0.00              |
| 088774 04  | PUBLIC TRANSIT DEV/GRANTS | 0.00              |
| 088774 05  | PUBLIC TRANSIT DEV/GRANTS | 0.00              |

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|------------|----------------------------|-------------------|
| 088774 06  | PUBLIC TRANSIT DEV/GRANTS  | 99,647.23-        |
| 088774 07  | PUBLIC TRANSIT DEV/GRANTS  | 65,226.48-        |
| 088774 08  | PUBLIC TRANSIT DEV/GRANTS  | 598,849.85-       |
| 088774 09  | PUBLIC TRANSIT DEV/GRANTS  | 628,230.33-       |
| 088774 10  | PUBLIC TRANSIT DEV/GRANTS  | 8,490,085.01-     |
| 088774 94  | PUBLIC TRANSIT DEV/GRANTS  | 0.00              |
| 088774 96  | PUBLIC TRANSIT DEV/GRANTS  | 0.00              |
| 088774 98  | PUBLIC TRANSIT DEV/GRANTS  | 0.00              |
| 088774 99  | PUBLIC TRANSIT DEV/GRANTS  | 0.00              |
| 088777 02  | RIGHT-OF-WAY LAND ACQ      | 0.00              |
| 088777 06  | RIGHT-OF-WAY LAND ACQ      | 0.00              |
| 088777 07  | RIGHT-OF-WAY LAND ACQ      | 13,959.24-        |
| 088777 10  | RIGHT-OF-WAY LAND ACQ      | 1,893,776.01-     |
| 088777 98  | RIGHT-OF-WAY LAND ACQ      | 0.00              |
| 088792     | INTERMODAL/RAIL DEV/GRANTS | 0.00              |
| 088792 01  | INTERMODAL/RAIL DEV/GRANTS | 0.00              |
| 088792 98  | INTERMODAL/RAIL DEV/GRANTS | 0.00              |
| 088792 99  | INTERMODAL/RAIL DEV/GRANTS | 0.00              |
| 088794 01  | SEAPORT GRANTS             | 0.00              |
| 088794 02  | SEAPORT GRANTS             | 0.00              |
| 088794 04  | SEAPORT GRANTS             | 0.00              |
| 088794 05  | SEAPORT GRANTS             | 0.00              |
| 088794 06  | SEAPORT GRANTS             | 0.00              |
| 088794 07  | SEAPORT GRANTS             | 531,366.99-       |
| 088794 08  | SEAPORT GRANTS             | 0.00              |
| 088794 09  | SEAPORT GRANTS             | 0.00              |
| 088794 10  | SEAPORT GRANTS             | 883,059.96-       |
| 088794 99  | SEAPORT GRANTS             | 0.00              |
| 088796     | HIWAY SAFETY CONSTR/GRANTS | 0.00              |
| 088796 01  | HIWAY SAFETY CONSTR/GRANTS | 0.00              |
| 088796 02  | HIWAY SAFETY CONSTR/GRANTS | 0.00              |
| 088796 06  | HIWAY SAFETY CONSTR/GRANTS | 0.00              |
| 088796 07  | HIWAY SAFETY CONSTR/GRANTS | 0.00              |
| 088796 08  | HIWAY SAFETY CONSTR/GRANTS | 0.00              |
| 088796 09  | HIWAY SAFETY CONSTR/GRANTS | 906,802.19-       |
| 088796 10  | HIWAY SAFETY CONSTR/GRANTS | 1,352,214.38-     |
| 088797     | RESURFACING                | 0.00              |
| 088797 02  | RESURFACING                | 0.00              |
| 088797 06  | RESURFACING                | 0.00              |
| 088797 08  | RESURFACING                | 296,501.71-       |
| 088797 09  | RESURFACING                | 247,283.83-       |
| 088799 02  | BRIDGE CONSTRUCTION        | 0.00              |
| 088800 93  | AVIATION MATCH GRANTS      | 0.00              |
| 088808 02  | RAIL DEVELOPMENT/GRANTS    | 0.00              |
| 088808 04  | RAIL DEVELOPMENT/GRANTS    | 0.00              |
| 088808 05  | RAIL DEVELOPMENT/GRANTS    | 0.00              |



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|------------|-------------------------------|-------------------|
| 088808 06  | RAIL DEVELOPMENT/GRANTS       | 0.00              |
| 088808 07  | RAIL DEVELOPMENT/GRANTS       | 0.00              |
| 088808 08  | RAIL DEVELOPMENT/GRANTS       | 486,733.33-       |
| 088808 09  | RAIL DEVELOPMENT/GRANTS       | 781,266.97-       |
| 088808 10  | RAIL DEVELOPMENT/GRANTS       | 562,424.10-       |
| 088809 02  | INTERMODAL DEVELOPMENT/GRANTS | 0.00              |
| 088809 04  | INTERMODAL DEVELOPMENT/GRANTS | 0.00              |
| 088809 05  | INTERMODAL DEVELOPMENT/GRANTS | 221,413.66-       |
| 088809 06  | INTERMODAL DEVELOPMENT/GRANTS | 2,668,927.85-     |
| 088809 07  | INTERMODAL DEVELOPMENT/GRANTS | 2,861.65-         |
| 088809 08  | INTERMODAL DEVELOPMENT/GRANTS | 69,799.20-        |
| 088809 09  | INTERMODAL DEVELOPMENT/GRANTS | 1,766,241.68-     |
| 088809 10  | INTERMODAL DEVELOPMENT/GRANTS | 1,100,772.65-     |
| 088849 01  | PRELIMINARY ENGR CONSULT      | 0.00              |
| 088849 02  | PRELIMINARY ENGR CONSULT      | 0.00              |
| 088849 05  | PRELIMINARY ENGR CONSULT      | 0.00              |
| 088849 06  | PRELIMINARY ENGR CONSULT      | 0.00              |
| 088849 07  | PRELIMINARY ENGR CONSULT      | 0.00              |
| 088849 08  | PRELIMINARY ENGR CONSULT      | 3,479.60-         |
| 088849 09  | PRELIMINARY ENGR CONSULT      | 3,427.31-         |
| 088849 10  | PRELIMINARY ENGR CONSULT      | 675,002.90-       |
| 088850 02  | HWY BEAUTIFICATION GRANTS     | 0.00              |
| 088850 06  | HWY BEAUTIFICATION GRANTS     | 0.00              |
| 088853     | RIGHT-OF-WAY SUPPORT          | 0.00              |
| 088853 01  | RIGHT-OF-WAY SUPPORT          | 0.00              |
| 088853 02  | RIGHT-OF-WAY SUPPORT          | 0.00              |
| 088853 08  | RIGHT-OF-WAY SUPPORT          | 0.00              |
| 088853 09  | RIGHT-OF-WAY SUPPORT          | 0.00              |
| 088853 10  | RIGHT-OF-WAY SUPPORT          | 13.69-            |
| 088854     | TRANSPORT PLANNING GRANTS     | 0.00              |
| 088854 01  | TRANSPORT PLANNING GRANTS     | 0.00              |
| 088854 02  | TRANSPORT PLANNING GRANTS     | 0.00              |
| 088854 06  | TRANSPORT PLANNING GRANTS     | 0.00              |
| 088854 07  | TRANSPORT PLANNING GRANTS     | 0.00              |
| 088854 08  | TRANSPORT PLANNING GRANTS     | 5,874.15-         |
| 088854 09  | TRANSPORT PLANNING GRANTS     | 178,123.34-       |
| 088854 10  | TRANSPORT PLANNING GRANTS     | 1,285,123.84-     |
| 088857     | MATERIALS AND RESEARCH        | 0.00              |
| 088857 01  | MATERIALS AND RESEARCH        | 0.00              |
| 088857 02  | MATERIALS AND RESEARCH        | 0.00              |
| 088857 05  | MATERIALS AND RESEARCH        | 0.00              |
| 088857 06  | MATERIALS AND RESEARCH        | 21,237.71-        |
| 088857 07  | MATERIALS AND RESEARCH        | 0.00              |
| 088857 08  | MATERIALS AND RESEARCH        | 164,731.90-       |
| 088857 09  | MATERIALS AND RESEARCH        | 162,419.74-       |
| 088857 10  | MATERIALS AND RESEARCH        | 208,342.04-       |

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| G-L<br>CAT | G-L ACCOUNT NAME              | BEGINNING BALANCE |
|------------|-------------------------------|-------------------|
| 088857 99  | MATERIALS AND RESEARCH        | 0.00              |
| 088864     | BRIDGE INSPECTION             | 0.00              |
| 088864 01  | BRIDGE INSPECTION             | 0.00              |
| 088864 02  | BRIDGE INSPECTION             | 0.00              |
| 088864 06  | BRIDGE INSPECTION             | 0.00              |
| 088864 07  | BRIDGE INSPECTION             | 0.00              |
| 088864 08  | BRIDGE INSPECTION             | 163,889.91-       |
| 088864 09  | BRIDGE INSPECTION             | 191,238.45-       |
| 088864 10  | BRIDGE INSPECTION             | 693,160.87-       |
| 088866 01  | TRAFFIC ENGR CONSULTANTS      | 0.00              |
| 088866 06  | TRAFFIC ENGR CONSULTANTS      | 0.00              |
| 088866 07  | TRAFFIC ENGR CONSULTANTS      | 129,105.31-       |
| 088866 08  | TRAFFIC ENGR CONSULTANTS      | 10,163.48-        |
| 088866 09  | TRAFFIC ENGR CONSULTANTS      | 10,705.12-        |
| 088866 10  | TRAFFIC ENGR CONSULTANTS      | 965,106.15-       |
| 088867 01  | LOCAL GOVERNMENT REIMBURSE    | 0.00              |
| 088867 05  | LOCAL GOVERNMENT REIMBURSE    | 0.00              |
| 088867 06  | LOCAL GOVERNMENT REIMBURSE    | 141,761.16-       |
| 088867 07  | LOCAL GOVERNMENT REIMBURSE    | 0.00              |
| 088867 09  | LOCAL GOVERNMENT REIMBURSE    | 0.00              |
| 088867 10  | LOCAL GOVERNMENT REIMBURSE    | 3,250.86-         |
| 088870 02  | HIGH SPEED RAIL DEVELOPMENT   | 0.00              |
| 088870 08  | HIGH SPEED RAIL DEVELOPMENT   | 9,350.36-         |
| 088870 10  | HIGH SPEED RAIL DEVELOPMENT   | 36,569.69-        |
| 088922 07  | TOLLS SYS EQUIP & DEVELOP     | 0.00              |
| 088922 08  | TOLLS SYS EQUIP & DEVELOP     | 0.00              |
| 088922 09  | TOLLS SYS EQUIP & DEVELOP     | 0.00              |
| 088922 10  | TOLLS SYS EQUIP & DEVELOP     | 840,824.29-       |
| 089972 09  | G/A-2008-09 HURRICANES-DOT    | 0.00              |
| 089972 10  | G/A-2008-09 HURRICANES-DOT    | 893,404.16-       |
| 100021     | ACQUISITION/MOTOR VEHICLES    | 51,492.60-        |
| 100045     | FAIRBANKS HAZARDOUS WASTE     | 10,143.50-        |
| 100686     | CONSULTANT FEES               | 538,923.76-       |
| 100777     | CONTRACTED SERVICES           | 1,510,616.64-     |
| 100900     | TOLL OPERATION CONTRACTS      | 0.00              |
| 100902     | PAYMENT TO EXPRESSWAY AUTH    | 39,760.96-        |
| 101640     | HUMAN RESOURCE DEVELOPMENT    | 251,695.54-       |
| 103892     | TRANS MATERIALS & EQUIP       | 1,328,830.55-     |
| 103950     | HIGHWAY BEAUTIFICATION GRANTS | 126,853.99-       |
| 105280     | DEFERRED-PAYMENT CONTRACTS    | 16,977.77-        |
| 109836     | G/A MD JEANNE ST OP 04/05     | 0.00              |
| 130200     | DOT CARRYFORWARD FUNDS        | 0.00              |
| 220020     | REFUND STATE REVENUES         | 0.00              |
| 220030     | REFUND NONSTATE REVENUES      | 1,087.50-         |
|            | ** GL 31140 TOTAL             | 91,042,631.36-    |

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| G-L<br>CAT | G-L ACCOUNT NAME                   | BEGINNING BALANCE |
|------------|------------------------------------|-------------------|
| 31180      | ACCOUNTS PAYABLE - JPAS            |                   |
| 000000     | BALANCE BROUGHT FORWARD            | 26,694,122.59-    |
| 001801     |                                    | 0.00              |
| 088867     | 06 LOCAL GOVERNMENT REIMBURSE      | 24,086,329.83     |
| 088867     | 07 LOCAL GOVERNMENT REIMBURSE      | 20,332,589.24-    |
| 088867     | 08 LOCAL GOVERNMENT REIMBURSE      | 2,984,024.86      |
| 088867     | 09 LOCAL GOVERNMENT REIMBURSE      | 754,893.20-       |
| 088867     | 10 LOCAL GOVERNMENT REIMBURSE      | 1,631,583.01-     |
|            | ** GL 31180 TOTAL                  | 22,342,833.35-    |
| 31300      | CONSTRUCTION CONTRACTS PAYABLE     |                   |
| 000000     | BALANCE BROUGHT FORWARD            | 265,235,464.78-   |
| 080002     | 06 MINOR REPAIRS/IMPROV-STATE      | 48,442.50-        |
| 080002     | 09 MINOR REPAIRS/IMPROV-STATE      | 1,640.00-         |
| 082309     | 98 HVAC REPLACEMENT-STWIDE         | 0.00              |
| 082528     | 97 CATEGORY NAME NOT ON TITLE FILE | 0.00              |
| 086101     | CATEGORY NAME NOT ON TITLE FILE    | 0.00              |
| 086101     | 99 CATEGORY NAME NOT ON TITLE FILE | 0.00              |
| 088511     | 96 CATEGORY NAME NOT ON TITLE FILE | 0.00              |
| 088513     | FLD FAC REP/RENO/ADD-STW           | 0.00              |
| 088513     | 97 FLD FAC REP/RENO/ADD-STW        | 0.00              |
| 088513     | 98 FLD FAC REP/RENO/ADD-STW        | 0.00              |
| 088704     | 10 TRANSP PLANNING CONSULT         | 19,440.00-        |
| 088712     | 06 HIGHWAY MAINTENANCE CONTR       | 5,611.07-         |
| 088712     | 08 HIGHWAY MAINTENANCE CONTR       | 9,272.67-         |
| 088712     | 98 HIGHWAY MAINTENANCE CONTR       | 0.00              |
| 088716     | INTRASTATE HIGHWAY CONSTR          | 0.00              |
| 088716     | 97 INTRASTATE HIGHWAY CONSTR       | 0.00              |
| 088716     | 98 INTRASTATE HIGHWAY CONSTR       | 0.00              |
| 088716     | 99 INTRASTATE HIGHWAY CONSTR       | 0.00              |
| 088717     | ARTERIAL HIGHWAY CONSTR            | 0.00              |
| 088717     | 95 ARTERIAL HIGHWAY CONSTR         | 0.00              |
| 088717     | 97 ARTERIAL HIGHWAY CONSTR         | 0.00              |
| 088717     | 98 ARTERIAL HIGHWAY CONSTR         | 0.00              |
| 088717     | 99 ARTERIAL HIGHWAY CONSTR         | 0.00              |
| 088718     | CONSTRUCT INSPECT CONSULT          | 0.00              |
| 088718     | 97 CONSTRUCT INSPECT CONSULT       | 0.00              |
| 088796     | HIWAY SAFETY CONSTR/GRANTS         | 0.00              |
| 088796     | 98 HIWAY SAFETY CONSTR/GRANTS      | 0.00              |
| 088796     | 99 HIWAY SAFETY CONSTR/GRANTS      | 0.00              |
| 088797     | RESURFACING                        | 0.00              |
| 088797     | 98 RESURFACING                     | 0.00              |
| 088797     | 99 RESURFACING                     | 0.00              |
| 088799     | BRIDGE CONSTRUCTION                | 0.00              |
| 088799     | 95 BRIDGE CONSTRUCTION             | 0.00              |

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| G-L<br>CAT | G-L ACCOUNT NAME                | BEGINNING BALANCE |
|------------|---------------------------------|-------------------|
| 088799 97  | BRIDGE CONSTRUCTION             | 0.00              |
| 088799 98  | BRIDGE CONSTRUCTION             | 0.00              |
| 088799 99  | BRIDGE CONSTRUCTION             | 0.00              |
| 088849 01  | PRELIMINARY ENGR CONSULT        | 0.00              |
| 088849 06  | PRELIMINARY ENGR CONSULT        | 625.00-           |
| 088849 09  | PRELIMINARY ENGR CONSULT        | 8,388.00-         |
| 088849 96  | PRELIMINARY ENGR CONSULT        | 0.00              |
| 088849 97  | PRELIMINARY ENGR CONSULT        | 0.00              |
| 088849 98  | PRELIMINARY ENGR CONSULT        | 0.00              |
| 088853     | RIGHT-OF-WAY SUPPORT            | 0.00              |
| 088853 97  | RIGHT-OF-WAY SUPPORT            | 0.00              |
| 088853 99  | RIGHT-OF-WAY SUPPORT            | 0.00              |
| 088922 11  | TOLLS SYS EQUIP & DEVELOP       | 7,559.65-         |
| 089925     | M/D 98-99-HURR GEORGES-WP       | 0.00              |
|            | ** GL 31300 TOTAL               | 265,336,443.67-   |
| 31310      | RETAINAGE/LIQUIDATED DAMAGES    |                   |
| 080002 08  | MINOR REPAIRS/IMPROV-STATE      | 716.93-           |
| 080002 09  | MINOR REPAIRS/IMPROV-STATE      | 95,568.70-        |
| 080002 10  | MINOR REPAIRS/IMPROV-STATE      | 14,340.40-        |
| 088513     | FLD FAC REP/RENO/ADD-STW        | 0.00              |
| 088513 99  | FLD FAC REP/RENO/ADD-STW        | 0.00              |
| 088520 99  | OFF BLDG REP/RENO/ADD-STW       | 0.00              |
| 088623     | CATEGORY NAME NOT ON TITLE FILE | 0.00              |
| 088623 99  | CATEGORY NAME NOT ON TITLE FILE | 0.00              |
| 088712     | HIGHWAY MAINTENANCE CONTR       | 0.00              |
| 088712 06  | HIGHWAY MAINTENANCE CONTR       | 12,711.00-        |
| 088712 07  | HIGHWAY MAINTENANCE CONTR       | 53,932.19-        |
| 088712 08  | HIGHWAY MAINTENANCE CONTR       | 132,960.15-       |
| 088712 09  | HIGHWAY MAINTENANCE CONTR       | 187,490.53-       |
| 088712 10  | HIGHWAY MAINTENANCE CONTR       | 95,986.61-        |
| 088712 96  | HIGHWAY MAINTENANCE CONTR       | 0.00              |
| 088712 97  | HIGHWAY MAINTENANCE CONTR       | 0.00              |
| 088712 98  | HIGHWAY MAINTENANCE CONTR       | 0.00              |
| 088712 99  | HIGHWAY MAINTENANCE CONTR       | 0.00              |
| 088713 93  | CATEGORY NAME NOT ON TITLE FILE | 0.00              |
| 088716     | INTRASTATE HIGHWAY CONSTR       | 0.00              |
| 088716 03  | INTRASTATE HIGHWAY CONSTR       | 274,907.32-       |
| 088716 04  | INTRASTATE HIGHWAY CONSTR       | 940,835.62-       |
| 088716 05  | INTRASTATE HIGHWAY CONSTR       | 177,329.56-       |
| 088716 06  | INTRASTATE HIGHWAY CONSTR       | 375,928.67-       |
| 088716 07  | INTRASTATE HIGHWAY CONSTR       | 81,969.95-        |
| 088716 08  | INTRASTATE HIGHWAY CONSTR       | 8,484.00-         |
| 088716 09  | INTRASTATE HIGHWAY CONSTR       | 814,852.00-       |
| 088716 95  | INTRASTATE HIGHWAY CONSTR       | 0.00              |
| 088716 96  | INTRASTATE HIGHWAY CONSTR       | 0.00              |

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| G-L<br>CAT | G-L ACCOUNT NAME                | BEGINNING BALANCE |
|------------|---------------------------------|-------------------|
| 088716 97  | INTRASTATE HIGHWAY CONSTR       | 0.00              |
| 088716 98  | INTRASTATE HIGHWAY CONSTR       | 0.00              |
| 088716 99  | INTRASTATE HIGHWAY CONSTR       | 0.00              |
| 088717     | ARTERIAL HIGHWAY CONSTR         | 0.00              |
| 088717 03  | ARTERIAL HIGHWAY CONSTR         | 612,183.18-       |
| 088717 05  | ARTERIAL HIGHWAY CONSTR         | 426,469.74-       |
| 088717 06  | ARTERIAL HIGHWAY CONSTR         | 445,643.21-       |
| 088717 07  | ARTERIAL HIGHWAY CONSTR         | 734,750.39-       |
| 088717 08  | ARTERIAL HIGHWAY CONSTR         | 262,588.89-       |
| 088717 09  | ARTERIAL HIGHWAY CONSTR         | 16,653.25-        |
| 088717 10  | ARTERIAL HIGHWAY CONSTR         | 131.83-           |
| 088717 94  | ARTERIAL HIGHWAY CONSTR         | 0.00              |
| 088717 95  | ARTERIAL HIGHWAY CONSTR         | 0.00              |
| 088717 96  | ARTERIAL HIGHWAY CONSTR         | 0.00              |
| 088717 97  | ARTERIAL HIGHWAY CONSTR         | 0.00              |
| 088717 98  | ARTERIAL HIGHWAY CONSTR         | 0.00              |
| 088717 99  | ARTERIAL HIGHWAY CONSTR         | 0.00              |
| 088734 99  | RENO-MAINT YARD-TAMPA           | 0.00              |
| 088746 93  | CATEGORY NAME NOT ON TITLE FILE | 0.00              |
| 088747 98  | CONST PRKG/EOC/STOR-DIST4       | 0.00              |
| 088748 88  | INTRST DESIGN CONSULTANTS       | 0.00              |
| 088748 90  | INTRST DESIGN CONSULTANTS       | 0.00              |
| 088748 91  | INTRST DESIGN CONSULTANTS       | 0.00              |
| 088748 92  | INTRST DESIGN CONSULTANTS       | 0.00              |
| 088759 91  | FED AID DESIGN CONSULTANTS      | 0.00              |
| 088759 92  | FED AID DESIGN CONSULTANTS      | 0.00              |
| 088785 88  | ST 100% DESIGN CONSULTANTS      | 0.00              |
| 088785 89  | ST 100% DESIGN CONSULTANTS      | 0.00              |
| 088785 91  | ST 100% DESIGN CONSULTANTS      | 0.00              |
| 088785 92  | ST 100% DESIGN CONSULTANTS      | 0.00              |
| 088785 93  | ST 100% DESIGN CONSULTANTS      | 0.00              |
| 088789 91  | ST 100% RESURFACING CONTR       | 0.00              |
| 088789 93  | ST 100% RESURFACING CONTR       | 0.00              |
| 088792     | INTERMODAL/RAIL DEV/GRANTS      | 0.00              |
| 088792 98  | INTERMODAL/RAIL DEV/GRANTS      | 0.00              |
| 088792 99  | INTERMODAL/RAIL DEV/GRANTS      | 0.00              |
| 088793 91  | CATEGORY NAME NOT ON TITLE FILE | 0.00              |
| 088796     | HIWAY SAFETY CONSTR/GRANTS      | 0.00              |
| 088796 09  | HIWAY SAFETY CONSTR/GRANTS      | 5,740.00-         |
| 088796 10  | HIWAY SAFETY CONSTR/GRANTS      | 17,439.41-        |
| 088796 96  | HIWAY SAFETY CONSTR/GRANTS      | 0.00              |
| 088796 97  | HIWAY SAFETY CONSTR/GRANTS      | 0.00              |
| 088796 98  | HIWAY SAFETY CONSTR/GRANTS      | 0.00              |
| 088796 99  | HIWAY SAFETY CONSTR/GRANTS      | 0.00              |
| 088797     | RESURFACING                     | 0.00              |
| 088797 06  | RESURFACING                     | 93,468.37-        |

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| G-L<br>CAT | G-L ACCOUNT NAME                       | BEGINNING BALANCE |
|------------|----------------------------------------|-------------------|
| 088797 07  | RESURFACING                            | 178,143.83-       |
| 088797 08  | RESURFACING                            | 214,899.25-       |
| 088797 09  | RESURFACING                            | 2,442,767.09-     |
| 088797 10  | RESURFACING                            | 134,894.46-       |
| 088797 94  | RESURFACING                            | 0.00              |
| 088797 95  | RESURFACING                            | 0.00              |
| 088797 96  | RESURFACING                            | 0.00              |
| 088797 97  | RESURFACING                            | 0.00              |
| 088797 98  | RESURFACING                            | 0.00              |
| 088797 99  | RESURFACING                            | 0.00              |
| 088799     | BRIDGE CONSTRUCTION                    | 0.00              |
| 088799 08  | BRIDGE CONSTRUCTION                    | 12,055.00-        |
| 088799 09  | BRIDGE CONSTRUCTION                    | 240,209.18-       |
| 088799 94  | BRIDGE CONSTRUCTION                    | 0.00              |
| 088799 95  | BRIDGE CONSTRUCTION                    | 0.00              |
| 088799 96  | BRIDGE CONSTRUCTION                    | 0.00              |
| 088799 97  | BRIDGE CONSTRUCTION                    | 0.00              |
| 088799 98  | BRIDGE CONSTRUCTION                    | 0.00              |
| 088799 99  | BRIDGE CONSTRUCTION                    | 0.00              |
| 088809 05  | INTERMODAL DEVELOPMENT/GRANTS          | 161.40-           |
| 088809 07  | INTERMODAL DEVELOPMENT/GRANTS          | 5,939,215.61-     |
| 088809 08  | INTERMODAL DEVELOPMENT/GRANTS          | 1,630,579.60-     |
| 088809 09  | INTERMODAL DEVELOPMENT/GRANTS          | 861,439.47-       |
| 088809 10  | INTERMODAL DEVELOPMENT/GRANTS          | 223,817.76-       |
| 088825 10  | TRANSPORTATION - ARRA 2009             | 132,070.07-       |
| 088838 93  | CATEGORY NAME NOT ON TITLE FILE        | 0.00              |
| 088849 06  | PRELIMINARY ENGR CONSULT               | 1,000.00-         |
| 088849 09  | PRELIMINARY ENGR CONSULT               | 11,642.11-        |
| 088849 94  | PRELIMINARY ENGR CONSULT               | 0.00              |
| 088849 95  | PRELIMINARY ENGR CONSULT               | 0.00              |
| 088849 96  | PRELIMINARY ENGR CONSULT               | 0.00              |
| 088849 98  | PRELIMINARY ENGR CONSULT               | 0.00              |
| 088853 98  | RIGHT-OF-WAY SUPPORT                   | 0.00              |
| 088853 99  | RIGHT-OF-WAY SUPPORT                   | 0.00              |
| 100686     | CONSULTANT FEES                        | 0.00              |
| 100777 CF  | CONTRACTED SERVICES                    | 8,250.20-         |
|            | ** GL 31310 TOTAL                      | 17,914,226.93-    |
| 31340      | PAYABLES-YE UNREC. PAY. ADJ.- CONSTRUC |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 94,133,237.76-    |
| 010000     | SALARIES AND BENEFITS                  | 0.00              |
| 040000     | EXPENSES                               | 0.00              |
| 080002 06  | MINOR REPAIRS/IMPROV-STATE             | 0.00              |
| 080002 07  | MINOR REPAIRS/IMPROV-STATE             | 0.00              |
| 080002 08  | MINOR REPAIRS/IMPROV-STATE             | 25,472.01-        |
| 080002 09  | MINOR REPAIRS/IMPROV-STATE             | 80,482.40-        |

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| G-L<br>CAT | G-L ACCOUNT NAME                | BEGINNING BALANCE |
|------------|---------------------------------|-------------------|
| 080002 10  | MINOR REPAIRS/IMPROV-STATE      | 120,516.69-       |
| 081610 07  | DISTRICT THREE OP CENTER        | 0.00              |
| 082045 02  | M/D 99-2000-IRENE-3150-WP       | 0.00              |
| 085573 02  | TRANSPORTATION OUTREACH PROGRAM | 0.00              |
| 088513     | FLD FAC REP/RENO/ADD-STW        | 0.00              |
| 088513 02  | FLD FAC REP/RENO/ADD-STW        | 0.00              |
| 088520 01  | OFF BLDG REP/RENO/ADD-STW       | 0.00              |
| 088520 02  | OFF BLDG REP/RENO/ADD-STW       | 0.00              |
| 088520 99  | OFF BLDG REP/RENO/ADD-STW       | 0.00              |
| 088526 01  | CATEGORY NAME NOT ON TITLE FILE | 0.00              |
| 088542 08  | UNDERGROUND/TANK PROG-STW       | 0.00              |
| 088572 06  | COUNTY TRANSPORTATION PROGRAMS  | 0.00              |
| 088572 08  | COUNTY TRANSPORTATION PROGRAMS  | 0.00              |
| 088572 09  | COUNTY TRANSPORTATION PROGRAMS  | 127,972.73-       |
| 088648     | ADD/RENO-DIST OFFICE-MIAMI      | 0.00              |
| 088650 07  | SARASOTA-MANATEE OPS-CONST      | 0.00              |
| 088712 07  | HIGHWAY MAINTENANCE CONTR       | 0.00              |
| 088712 08  | HIGHWAY MAINTENANCE CONTR       | 0.00              |
| 088712 09  | HIGHWAY MAINTENANCE CONTR       | 7,535.08-         |
| 088712 10  | HIGHWAY MAINTENANCE CONTR       | 26,946.00-        |
| 088716     | INTRASTATE HIGHWAY CONSTR       | 0.00              |
| 088716 01  | INTRASTATE HIGHWAY CONSTR       | 0.00              |
| 088716 02  | INTRASTATE HIGHWAY CONSTR       | 0.00              |
| 088716 04  | INTRASTATE HIGHWAY CONSTR       | 0.00              |
| 088716 05  | INTRASTATE HIGHWAY CONSTR       | 0.00              |
| 088716 06  | INTRASTATE HIGHWAY CONSTR       | 17,446.73-        |
| 088716 07  | INTRASTATE HIGHWAY CONSTR       | 50,194.23-        |
| 088716 08  | INTRASTATE HIGHWAY CONSTR       | 1,914,530.26-     |
| 088716 09  | INTRASTATE HIGHWAY CONSTR       | 1,225,114.38-     |
| 088716 10  | INTRASTATE HIGHWAY CONSTR       | 2,549,915.15-     |
| 088716 99  | INTRASTATE HIGHWAY CONSTR       | 0.00              |
| 088717     | ARTERIAL HIGHWAY CONSTR         | 0.00              |
| 088717 01  | ARTERIAL HIGHWAY CONSTR         | 0.00              |
| 088717 02  | ARTERIAL HIGHWAY CONSTR         | 0.00              |
| 088717 04  | ARTERIAL HIGHWAY CONSTR         | 4,112.48-         |
| 088717 05  | ARTERIAL HIGHWAY CONSTR         | 219,205.37-       |
| 088717 06  | ARTERIAL HIGHWAY CONSTR         | 442,884.81-       |
| 088717 07  | ARTERIAL HIGHWAY CONSTR         | 163,426.12-       |
| 088717 08  | ARTERIAL HIGHWAY CONSTR         | 2,698,460.43-     |
| 088717 09  | ARTERIAL HIGHWAY CONSTR         | 2,995,756.34-     |
| 088717 10  | ARTERIAL HIGHWAY CONSTR         | 3,482,893.91-     |
| 088717 95  | ARTERIAL HIGHWAY CONSTR         | 0.00              |
| 088717 98  | ARTERIAL HIGHWAY CONSTR         | 0.00              |
| 088717 99  | ARTERIAL HIGHWAY CONSTR         | 0.00              |
| 088718     | CONSTRUCT INSPECT CONSULT       | 0.00              |
| 088718 01  | CONSTRUCT INSPECT CONSULT       | 0.00              |

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| G-L<br>CAT | G-L ACCOUNT NAME           | BEGINNING BALANCE |
|------------|----------------------------|-------------------|
| 088718 02  | CONSTRUCT INSPECT CONSULT  | 0.00              |
| 088718 04  | CONSTRUCT INSPECT CONSULT  | 32,572.70-        |
| 088718 05  | CONSTRUCT INSPECT CONSULT  | 1,095.92-         |
| 088718 06  | CONSTRUCT INSPECT CONSULT  | 58,699.92-        |
| 088718 07  | CONSTRUCT INSPECT CONSULT  | 691,290.33-       |
| 088718 08  | CONSTRUCT INSPECT CONSULT  | 1,082,066.38-     |
| 088718 09  | CONSTRUCT INSPECT CONSULT  | 2,729,664.31-     |
| 088718 10  | CONSTRUCT INSPECT CONSULT  | 4,644,448.41-     |
| 088718 94  | CONSTRUCT INSPECT CONSULT  | 0.00              |
| 088718 98  | CONSTRUCT INSPECT CONSULT  | 0.00              |
| 088718 99  | CONSTRUCT INSPECT CONSULT  | 0.00              |
| 088734 99  | RENO-MAINT YARD-TAMPA      | 0.00              |
| 088747 98  | CONST PRKG/EOC/STOR-DIST4  | 0.00              |
| 088759 93  | FED AID DESIGN CONSULTANTS | 0.00              |
| 088763 01  | ENVIRON SITE RESTORATION   | 0.00              |
| 088763 05  | ENVIRON SITE RESTORATION   | 0.00              |
| 088763 06  | ENVIRON SITE RESTORATION   | 0.00              |
| 088763 07  | ENVIRON SITE RESTORATION   | 0.00              |
| 088763 08  | ENVIRON SITE RESTORATION   | 0.00              |
| 088763 09  | ENVIRON SITE RESTORATION   | 36,509.99-        |
| 088763 10  | ENVIRON SITE RESTORATION   | 58,684.31-        |
| 088774 08  | PUBLIC TRANSIT DEV/GRANTS  | 67,485.22-        |
| 088774 09  | PUBLIC TRANSIT DEV/GRANTS  | 300.00-           |
| 088774 10  | PUBLIC TRANSIT DEV/GRANTS  | 5,100.00-         |
| 088777     | RIGHT-OF-WAY LAND ACQ      | 0.00              |
| 088777 02  | RIGHT-OF-WAY LAND ACQ      | 0.00              |
| 088777 06  | RIGHT-OF-WAY LAND ACQ      | 0.00              |
| 088777 07  | RIGHT-OF-WAY LAND ACQ      | 0.00              |
| 088777 09  | RIGHT-OF-WAY LAND ACQ      | 0.00              |
| 088777 96  | RIGHT-OF-WAY LAND ACQ      | 0.00              |
| 088792 01  | INTERMODAL/RAIL DEV/GRANTS | 0.00              |
| 088796 01  | HIWAY SAFETY CONSTR/GRANTS | 0.00              |
| 088796 02  | HIWAY SAFETY CONSTR/GRANTS | 0.00              |
| 088796 07  | HIWAY SAFETY CONSTR/GRANTS | 0.00              |
| 088796 10  | HIWAY SAFETY CONSTR/GRANTS | 91,193.72-        |
| 088797     | RESURFACING                | 0.00              |
| 088797 01  | RESURFACING                | 0.00              |
| 088797 02  | RESURFACING                | 0.00              |
| 088797 04  | RESURFACING                | 0.00              |
| 088797 05  | RESURFACING                | 0.00              |
| 088797 06  | RESURFACING                | 2,922.95-         |
| 088797 07  | RESURFACING                | 0.00              |
| 088797 08  | RESURFACING                | 98,577.16-        |
| 088797 09  | RESURFACING                | 127,246.12-       |
| 088797 10  | RESURFACING                | 504,246.81-       |
| 088799     | BRIDGE CONSTRUCTION        | 0.00              |



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|------------|-------------------------------|-------------------|
| 088799 01  | BRIDGE CONSTRUCTION           | 0.00              |
| 088799 02  | BRIDGE CONSTRUCTION           | 0.00              |
| 088799 07  | BRIDGE CONSTRUCTION           | 0.00              |
| 088799 08  | BRIDGE CONSTRUCTION           | 0.00              |
| 088799 09  | BRIDGE CONSTRUCTION           | 272,236.66-       |
| 088799 10  | BRIDGE CONSTRUCTION           | 1,648,682.60-     |
| 088799 98  | BRIDGE CONSTRUCTION           | 0.00              |
| 088799 99  | BRIDGE CONSTRUCTION           | 0.00              |
| 088808 02  | RAIL DEVELOPMENT/GRANTS       | 0.00              |
| 088808 05  | RAIL DEVELOPMENT/GRANTS       | 1,108.24-         |
| 088808 06  | RAIL DEVELOPMENT/GRANTS       | 257,130.04-       |
| 088808 07  | RAIL DEVELOPMENT/GRANTS       | 28,271.77-        |
| 088808 08  | RAIL DEVELOPMENT/GRANTS       | 155,026.88-       |
| 088808 09  | RAIL DEVELOPMENT/GRANTS       | 117,389.77-       |
| 088808 10  | RAIL DEVELOPMENT/GRANTS       | 313,995.94-       |
| 088809 02  | INTERMODAL DEVELOPMENT/GRANTS | 0.00              |
| 088809 05  | INTERMODAL DEVELOPMENT/GRANTS | 0.00              |
| 088809 06  | INTERMODAL DEVELOPMENT/GRANTS | 0.00              |
| 088809 07  | INTERMODAL DEVELOPMENT/GRANTS | 252,412.00-       |
| 088809 08  | INTERMODAL DEVELOPMENT/GRANTS | 0.00              |
| 088809 09  | INTERMODAL DEVELOPMENT/GRANTS | 788,070.00-       |
| 088809 10  | INTERMODAL DEVELOPMENT/GRANTS | 1,185,757.80-     |
| 088825 09  | TRANSPORTATION - ARRA 2009    | 3,844,609.88-     |
| 088825 10  | TRANSPORTATION - ARRA 2009    | 6,996,781.18-     |
| 088849     | PRELIMINARY ENGR CONSULT      | 0.00              |
| 088849 01  | PRELIMINARY ENGR CONSULT      | 0.00              |
| 088849 02  | PRELIMINARY ENGR CONSULT      | 0.00              |
| 088849 03  | PRELIMINARY ENGR CONSULT      | 0.00              |
| 088849 04  | PRELIMINARY ENGR CONSULT      | 79,242.88-        |
| 088849 05  | PRELIMINARY ENGR CONSULT      | 42,497.29-        |
| 088849 06  | PRELIMINARY ENGR CONSULT      | 286,858.61-       |
| 088849 07  | PRELIMINARY ENGR CONSULT      | 1,659,775.33-     |
| 088849 08  | PRELIMINARY ENGR CONSULT      | 1,873,294.79-     |
| 088849 09  | PRELIMINARY ENGR CONSULT      | 4,835,690.26-     |
| 088849 10  | PRELIMINARY ENGR CONSULT      | 13,833,241.17-    |
| 088849 94  | PRELIMINARY ENGR CONSULT      | 0.00              |
| 088849 95  | PRELIMINARY ENGR CONSULT      | 0.00              |
| 088849 97  | PRELIMINARY ENGR CONSULT      | 0.00              |
| 088849 98  | PRELIMINARY ENGR CONSULT      | 0.00              |
| 088849 99  | PRELIMINARY ENGR CONSULT      | 0.00              |
| 088853     | RIGHT-OF-WAY SUPPORT          | 0.00              |
| 088853 01  | RIGHT-OF-WAY SUPPORT          | 0.00              |
| 088853 02  | RIGHT-OF-WAY SUPPORT          | 0.00              |
| 088853 04  | RIGHT-OF-WAY SUPPORT          | 0.00              |
| 088853 05  | RIGHT-OF-WAY SUPPORT          | 0.00              |
| 088853 06  | RIGHT-OF-WAY SUPPORT          | 1,012.50-         |

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| G-L<br>CAT | G-L ACCOUNT NAME                               | BEGINNING BALANCE |
|------------|------------------------------------------------|-------------------|
| 088853     | 07 RIGHT-OF-WAY SUPPORT                        | 15,887.06-        |
| 088853     | 08 RIGHT-OF-WAY SUPPORT                        | 1,500.00-         |
| 088853     | 09 RIGHT-OF-WAY SUPPORT                        | 97,806.25-        |
| 088853     | 10 RIGHT-OF-WAY SUPPORT                        | 791,876.91-       |
| 088853     | 96 RIGHT-OF-WAY SUPPORT                        | 0.00              |
| 088853     | 97 RIGHT-OF-WAY SUPPORT                        | 0.00              |
| 088853     | 98 RIGHT-OF-WAY SUPPORT                        | 0.00              |
| 088853     | 99 RIGHT-OF-WAY SUPPORT                        | 0.00              |
| 088866     | 01 TRAFFIC ENGR CONSULTANTS                    | 0.00              |
| 088866     | 02 TRAFFIC ENGR CONSULTANTS                    | 0.00              |
| 088866     | 04 TRAFFIC ENGR CONSULTANTS                    | 0.00              |
| 088866     | 06 TRAFFIC ENGR CONSULTANTS                    | 0.00              |
| 088866     | 07 TRAFFIC ENGR CONSULTANTS                    | 5,922.82-         |
| 088866     | 08 TRAFFIC ENGR CONSULTANTS                    | 186,061.20-       |
| 088866     | 09 TRAFFIC ENGR CONSULTANTS                    | 41,063.86-        |
| 088866     | 10 TRAFFIC ENGR CONSULTANTS                    | 1,981,694.47-     |
| 088866     | 99 TRAFFIC ENGR CONSULTANTS                    | 0.00              |
| 088922     | 07 TOLLS SYS EQUIP & DEVELOP                   | 0.00              |
| 088922     | 08 TOLLS SYS EQUIP & DEVELOP                   | 0.00              |
| 088922     | 09 TOLLS SYS EQUIP & DEVELOP                   | 125,278.32-       |
| 088922     | 10 TOLLS SYS EQUIP & DEVELOP                   | 77,802.55-        |
| 089929     | 07 MAJOR DISASTER 04-05 HURRICANE CHARLEY      | 0.00              |
| 089934     | 05 G/A-M/D 2004-05 HURR FRANCES-E/O 04-192 DOT | 0.00              |
| 089934     | 07 G/A-M/D 2004-05 HURR FRANCES-E/O 04-192 DOT | 0.00              |
| 089938     | 05 G/A MAJOR DISASTER 04-05 HURR IVAN DOT WK P | 0.00              |
| 089938     | 09 G/A MAJOR DISASTER 04-05 HURR IVAN DOT WK P | 0.00              |
| 089948     | 07 G/A MD HURR JEANNE DOT WORK PROG. 04-217    | 0.00              |
| 089948     | 08 G/A MD HURR JEANNE DOT WORK PROG. 04-217    | 0.00              |
| 089958     | 06 G/A-HURRICANES 05-DOT WORK                  | 0.00              |
| 089958     | 07 G/A-HURRICANES 05-DOT WORK                  | 0.00              |
| 089958     | 08 G/A-HURRICANES 05-DOT WORK                  | 0.00              |
| 103892     | TRANS MATERIALS & EQUIP                        | 10,647.88-        |
|            | ** GL 31340 TOTAL                              | 162,326,834.04-   |
| 32100      | ACCRUED SALARIES AND WAGES                     |                   |
| 010000     | SALARIES AND BENEFITS                          | 0.00              |
| 010000     | CF SALARIES AND BENEFITS                       | 7,296,564.02-     |
| 030000     | OTHER PERSONAL SERVICES                        | 0.00              |
| 030000     | CF OTHER PERSONAL SERVICES                     | 18,829.83-        |
| 102331     | OVERTIME                                       | 0.00              |
| 102331     | CF OVERTIME                                    | 250,789.65-       |
| 108037     | G/A-DEEPWATER HORIZON/SO                       | 0.00              |
| 108037     | CF G/A-DEEPWATER HORIZON/SO                    | 9,981.34-         |
|            | ** GL 32100 TOTAL                              | 7,576,164.84-     |

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| G-L<br>CAT | G-L ACCOUNT NAME                   | BEGINNING BALANCE |
|------------|------------------------------------|-------------------|
| 32200      | ACCRUED PRIZE LIABILITY            |                   |
| 088716     | 03 INTRASTATE HIGHWAY CONSTR       | 0.00              |
| 088717     | 02 ARTERIAL HIGHWAY CONSTR         | 0.00              |
| 088717     | 03 ARTERIAL HIGHWAY CONSTR         | 0.00              |
| 088777     | 03 RIGHT-OF-WAY LAND ACQ           | 0.00              |
| 088849     | 02 PRELIMINARY ENGR CONSULT        | 0.00              |
| 088853     | 02 RIGHT-OF-WAY SUPPORT            | 0.00              |
|            | ** GL 32200 TOTAL                  | 0.00              |
| 33100      | DEPOSITS PAYABLE                   |                   |
| 000000     | BALANCE BROUGHT FORWARD            | 125,281.91        |
| 000200     |                                    | 8,733.00          |
| 000400     |                                    | 51,632.01-        |
| 001200     |                                    | 367.50-           |
| 001500     |                                    | 114,806.50-       |
| 001800     |                                    | 2,789,068.13      |
| 001903     |                                    | 242.00-           |
| 001904     |                                    | 16,581.54-        |
| 001905     |                                    | 290,542.79-       |
| 002700     |                                    | 8,477,202.41-     |
| 040000     | EXPENSES                           | 82,559.35-        |
| 180000     | TRANSFERS                          | 0.00              |
| 220020     | REFUND STATE REVENUES              | 248.00            |
| 220030     | REFUND NONSTATE REVENUES           | 6,106,155.36      |
| 310229     | PAYMENTS TO SBA                    | 1,252.00          |
| 920000     | CATEGORY NAME NOT ON TITLE FILE    | 2,695.70          |
|            | ** GL 33100 TOTAL                  | 500.00-           |
| 33110      | DEPOSITS PAYABLE - HIGH SPEED RAIL |                   |
| 000000     | BALANCE BROUGHT FORWARD            | 0.00              |
| 001500     |                                    | 0.00              |
| 002700     |                                    | 0.00              |
| 180000     | TRANSFERS                          | 0.00              |
|            | ** GL 33110 TOTAL                  | 0.00              |
| 33120      | SECURITY DEPOSIT-TOWERS            |                   |
| 000000     | BALANCE BROUGHT FORWARD            | 1,666,628.86-     |
| 000500     |                                    | 86.80             |
|            | ** GL 33120 TOTAL                  | 1,666,542.06-     |
| 33160      | SECURITY DEPOSITS - CASH BONDS     |                   |
| 000000     | BALANCE BROUGHT FORWARD            | 6,583.58-         |
| 000400     |                                    | 32,500.00-        |
| 002700     |                                    | 7,536,784.30-     |
| 040000     | EXPENSES                           | 82,559.35         |

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| G-L<br>CAT | G-L ACCOUNT NAME                            | BEGINNING BALANCE |
|------------|---------------------------------------------|-------------------|
| 220020     | REFUND STATE REVENUES                       | 1,314,069.22      |
| 220030     | REFUND NONSTATE REVENUES                    | 3,965,395.58      |
|            | ** GL 33160 TOTAL                           | 2,213,843.73-     |
| 33170      | SECURITY DEPOSITS-BID PROTEST BONDS         |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 8,416.33          |
| 002700     |                                             | 534,320.51-       |
| 220020     | REFUND STATE REVENUES                       | 20,063.50         |
| 220030     | REFUND NONSTATE REVENUES                    | 490,010.50        |
|            | ** GL 33170 TOTAL                           | 15,830.18-        |
| 33180      | RENTAL SECURITY                             |                   |
| 002700     |                                             | 28,000.00-        |
| 220030     | REFUND NONSTATE REVENUES                    | 0.00              |
|            | ** GL 33180 TOTAL                           | 28,000.00-        |
| 35200      | DUE TO STATE FUNDS, WITHIN DEPARTMENT       |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 224,136.47-       |
| 001800     |                                             | 0.00              |
| 088796     | HIWAY SAFETY CONSTR/GRANTS                  | 0.00              |
| 180000     | TRANSFERS                                   | 0.00              |
| 181214     | TRANSFER TO DFS-TRES. CASH DEPOSIT TF       | 0.00              |
| 181217     | TR TO TOLL FAC REVOLVING TF REPAY LOAN      | 0.00              |
| 181219     | TR TO TURNPIKE GENERAL RESERVE TF           | 0.00              |
| 181225     | TR FUNDS WITHIN AGENCY-PRIOR YEAR DEPOSIT C | 0.00              |
| 310229     | PAYMENTS TO SBA                             | 0.00              |
|            | ** GL 35200 TOTAL                           | 224,136.47-       |
| 35300      | DUE TO OTHER DEPARTMENTS                    |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 0.00              |
| 010000     | SALARIES AND BENEFITS                       | 0.00              |
| 030000     | OTHER PERSONAL SERVICES                     | 0.00              |
| 040000     | EXPENSES                                    | 388,211.48-       |
| 088717     | ARTERIAL HIGHWAY CONSTR                     | 0.00              |
| 088717 07  | ARTERIAL HIGHWAY CONSTR                     | 0.00              |
| 088717 97  | ARTERIAL HIGHWAY CONSTR                     | 0.00              |
| 088717 98  | ARTERIAL HIGHWAY CONSTR                     | 0.00              |
| 088744 99  | RENO-ST MATL OFF - G'VILLE                  | 0.00              |
| 181221     | TR TO DHSMV FUEL TAX COLLECTION TF PERMITS  | 17,765.00-        |
| 310018     | DIST OF DONATE ORG-PASS IT ON LIC PLATE FUN | 0.00              |
| 310403     | ASSESSMENT ON INVESTMENTS-DEPARTMENTAL USE  | 36,091.48-        |
|            | ** GL 35300 TOTAL                           | 442,067.96-       |

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| G-L<br>CAT | G-L ACCOUNT NAME                            | BEGINNING BALANCE |
|------------|---------------------------------------------|-------------------|
| 35340      | DUE TO OTHER DEPTS - UNRECORDED PAYABL      |                   |
| 010000     | SALARIES AND BENEFITS                       | 2,403.20-         |
| 030000     | OTHER PERSONAL SERVICES                     | 2,803.50-         |
| 040000     | EXPENSES                                    | 284,950.77-       |
| 088712 02  | HIGHWAY MAINTENANCE CONTR                   | 0.00              |
| 088712 07  | HIGHWAY MAINTENANCE CONTR                   | 0.00              |
| 088712 08  | HIGHWAY MAINTENANCE CONTR                   | 0.00              |
| 088712 09  | HIGHWAY MAINTENANCE CONTR                   | 2,215.00-         |
| 088712 10  | HIGHWAY MAINTENANCE CONTR                   | 63,856.33-        |
| 088716 01  | INTRASTATE HIGHWAY CONSTR                   | 0.00              |
| 088716 02  | INTRASTATE HIGHWAY CONSTR                   | 0.00              |
| 088716 08  | INTRASTATE HIGHWAY CONSTR                   | 517.14-           |
| 088716 09  | INTRASTATE HIGHWAY CONSTR                   | 1,738.00-         |
| 088716 10  | INTRASTATE HIGHWAY CONSTR                   | 157,947.03-       |
| 088717 07  | ARTERIAL HIGHWAY CONSTR                     | 0.00              |
| 088717 08  | ARTERIAL HIGHWAY CONSTR                     | 24,343.66-        |
| 088717 09  | ARTERIAL HIGHWAY CONSTR                     | 0.00              |
| 088717 10  | ARTERIAL HIGHWAY CONSTR                     | 23,934.90-        |
| 088718 07  | CONSTRUCT INSPECT CONSULT                   | 0.00              |
| 088796 02  | HIWAY SAFETY CONSTR/GRANTS                  | 0.00              |
| 088796 08  | HIWAY SAFETY CONSTR/GRANTS                  | 0.00              |
| 088796 09  | HIWAY SAFETY CONSTR/GRANTS                  | 0.00              |
| 088796 10  | HIWAY SAFETY CONSTR/GRANTS                  | 175,496.97-       |
| 088797 01  | RESURFACING                                 | 0.00              |
| 088797 02  | RESURFACING                                 | 0.00              |
| 088797 08  | RESURFACING                                 | 0.00              |
| 088797 09  | RESURFACING                                 | 1,409.74-         |
| 088797 10  | RESURFACING                                 | 6,646.27-         |
| 088799 08  | BRIDGE CONSTRUCTION                         | 0.00              |
| 088799 09  | BRIDGE CONSTRUCTION                         | 0.00              |
| 088810 02  | CONTRACT MAINTENANCE WITH DEPT OF CORRECTIO | 0.00              |
| 088810 08  | CONTRACT MAINTENANCE WITH DEPT OF CORRECTIO | 0.00              |
| 088810 09  | CONTRACT MAINTENANCE WITH DEPT OF CORRECTIO | 0.00              |
| 088810 10  | CONTRACT MAINTENANCE WITH DEPT OF CORRECTIO | 1,287,453.64-     |
| 088825 09  | TRANSPORTATION - ARRA 2009                  | 145,550.71-       |
| 088849 04  | PRELIMINARY ENGR CONSULT                    | 0.00              |
| 088849 05  | PRELIMINARY ENGR CONSULT                    | 0.00              |
| 088849 06  | PRELIMINARY ENGR CONSULT                    | 279.55-           |
| 088849 07  | PRELIMINARY ENGR CONSULT                    | 9,524.00-         |
| 088849 08  | PRELIMINARY ENGR CONSULT                    | 1,562.65-         |
| 088849 09  | PRELIMINARY ENGR CONSULT                    | 8,837.00-         |
| 088849 10  | PRELIMINARY ENGR CONSULT                    | 16,674.35-        |
| 088853     | RIGHT-OF-WAY SUPPORT                        | 0.00              |
| 088853 02  | RIGHT-OF-WAY SUPPORT                        | 0.00              |
| 088853 08  | RIGHT-OF-WAY SUPPORT                        | 0.00              |

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| G-L<br>CAT | G-L ACCOUNT NAME                            | BEGINNING BALANCE |
|------------|---------------------------------------------|-------------------|
| 088866 09  | TRAFFIC ENGR CONSULTANTS                    | 17,572.27-        |
| 088866 10  | TRAFFIC ENGR CONSULTANTS                    | 5,389.66-         |
| 100777     | CONTRACTED SERVICES                         | 10,907.75-        |
| 101337     | FLA HIGHWAY PATROL SVCS                     | 0.00              |
| 101640     | HUMAN RESOURCE DEVELOPMENT                  | 0.00              |
| 103892     | TRANS MATERIALS & EQUIP                     | 29,200.18-        |
| 103969     | TR/DOR-HWY TAX COMPLIANCE                   | 0.00              |
| 130200     | DOT CARRYFORWARD FUNDS                      | 0.00              |
| 210021     | SOUTHWOOD SRC                               | 268,989.46-       |
|            | ** GL 35340 TOTAL                           | 2,550,203.73-     |
| 35400      | DUE TO FEDERAL GOVERNMENT                   |                   |
| 220030     | REFUND NONSTATE REVENUES                    | 0.00              |
| 35500      | DUE TO OTHER GOVERNMENTAL UNITS             |                   |
| 088854 09  | TRANSPORT PLANNING GRANTS                   | 215,695.62-       |
| 35600      | DUE TO GENERAL REVENUE                      |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 0.00              |
| 310322     | SERVICE CHARGE TO GEN REV                   | 227,558.01-       |
|            | ** GL 35600 TOTAL                           | 227,558.01-       |
| 35610      | DUE TO GENERAL REVENUE - SALES TAX          |                   |
| 002500     |                                             | 9,470,987.78-     |
| 220030     | REFUND NONSTATE REVENUES                    | 9,339,762.57      |
|            | ** GL 35610 TOTAL                           | 131,225.21-       |
| 35700      | DUE TO COMPONENT UNIT/PRIMARY               |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 0.00              |
| 38600      | CURRENT COMPENSATED ABSENCES LIABILITY      |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 5,860,590.70-     |
| 38801      | UNEARNED REVENUE - VEG MITIGATION           |                   |
| 001101     |                                             | 575,851.06-       |
| 38806      | UNEARNED REVENUE - LOADSTAR                 |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 0.00              |
| 38810      | UNEARNED REVENUE - LFA DEPOSITS             |                   |
| 001101     |                                             | 130,263.37        |
| 001500     |                                             | 258,690.00-       |
| 001801     |                                             | 9,068,197.23-     |
| 002700     |                                             | 11,555,351.16-    |
| 181210     | TRANSFER TO DFS-TRES. CASH DEPOSIT TF (JOIN | 15,348,278.02     |
| 220030     | REFUND NONSTATE REVENUES                    | 5,403,697.00      |
|            | ** GL 38810 TOTAL                           | 0.00              |

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| G-L<br>CAT | G-L ACCOUNT NAME                            | BEGINNING BALANCE |
|------------|---------------------------------------------|-------------------|
| 38840      | CURRENT UNEARNED REVENUE-OVERPAYMENTS       |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 69,344.04-        |
| 000200     |                                             | 6,009.30-         |
| 000400     |                                             | 0.00              |
| 001101     |                                             | 354,671.61-       |
| 001200     |                                             | 250.00-           |
| 001204     |                                             | 925.75            |
| 001500     |                                             | 1,000,000.00-     |
| 001801     |                                             | 88,400,459.20-    |
| 001903     |                                             | 6,545.58-         |
| 001904     |                                             | 9,592.08-         |
| 001905     |                                             | 669.10            |
| 002100     |                                             | 507.50-           |
| 002500     |                                             | 2.80-             |
| 181210     | TRANSFER TO DFS-TRES. CASH DEPOSIT TF (JOIN | 49,957,240.43     |
| 181213     | TR TO DFS TREAS CASH DEP TF JOINT PART AGRE | 19,966,179.35     |
| 220030     | REFUND NONSTATE REVENUES                    | 19,539,114.84     |
|            | ** GL 38840 TOTAL                           | 383,252.64-       |
| 38841      | CURRENT UNEARNED REVENUE-ROW HLR-SOPER      |                   |
| 000500     |                                             | 2,882.97-         |
| 001801     |                                             | 1,867.93-         |
|            | ** GL 38841 TOTAL                           | 4,750.90-         |
| 38842      | CURRENT UNEARNED REVENUE - ROW HLR - P      |                   |
| 000500     |                                             | 9,018.57-         |
| 001801     |                                             | 1,966.13-         |
|            | ** GL 38842 TOTAL                           | 10,984.70-        |
| 38870      | UNEARNED REVENUE-MDX                        |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 45,340,646.93     |
| 001800     |                                             | 45,965,071.97-    |
|            | ** GL 38870 TOTAL                           | 624,425.04-       |
| 38880      | UNEARNED REVENUE - DFS SECURITY             |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 102,286,904.96-   |
| 001801     |                                             | 546,075.70-       |
| 002700     |                                             | 29,185,677.79-    |
|            | ** GL 38880 TOTAL                           | 132,018,658.45-   |
| 38900      | DEFERRED REVENUES                           |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 0.00              |
| 000400     |                                             | 0.00              |
| 000500     |                                             | 4,535.30-         |
| 000800     |                                             | 0.00              |

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| G-L<br>CAT | G-L ACCOUNT NAME                        | BEGINNING BALANCE |
|------------|-----------------------------------------|-------------------|
| 001000     |                                         | 0.00              |
| 001100     |                                         | 0.00              |
| 001500     |                                         | 0.00              |
| 001800     |                                         | 0.00              |
| 001801     |                                         | 471,669.72-       |
| 001901     |                                         | 0.00              |
| 001903     |                                         | 0.00              |
| 001905     |                                         | 0.00              |
| 002700     |                                         | 0.00              |
| 004000     |                                         | 0.00              |
| 180000     | TRANSFERS                               | 0.00              |
| 220030     | REFUND NONSTATE REVENUES                | 0.00              |
|            | ** GL 38900 TOTAL                       | 476,205.02-       |
| 38910      | DEFERRED REVENUE - LONG TERM RECEIVBLS  |                   |
| 000000     | BALANCE BROUGHT FORWARD                 | 0.00              |
| 000500     |                                         | 835,416.25-       |
| 001200     |                                         | 210,831.34-       |
| 001500     |                                         | 0.00              |
| 001510     |                                         | 105,922.58-       |
| 002300     |                                         | 0.00              |
|            | ** GL 38910 TOTAL                       | 1,152,170.17-     |
| 38925      | DEFERRED REVENUE - SIBS                 |                   |
| 000000     | BALANCE BROUGHT FORWARD                 | 0.00              |
| 000500     |                                         | 7,081,838.28-     |
|            | ** GL 38925 TOTAL                       | 7,081,838.28-     |
| 38945      | DEFERRED REVENUE - THCEA (ARI)          |                   |
| 000500     |                                         | 0.00              |
| 45100      | ADVANCES FROM OTHER FUNDS BETWEEN DEPA  |                   |
| 000000     | BALANCE BROUGHT FORWARD                 | 0.00              |
| 004000     |                                         | 0.00              |
| 220030     | REFUND NONSTATE REVENUES                | 0.00              |
|            | ** GL 45100 TOTAL                       | 0.00              |
| 45700      | ADVANCES FROM OTHER FUNDS WITHIN DEPAR  |                   |
| 000000     | BALANCE BROUGHT FORWARD                 | 0.00              |
| 45721      | ADVANCES FROM STTF - SIB                |                   |
| 000000     | BALANCE BROUGHT FORWARD                 | 0.00              |
| 181209     | TR TO ST INFRASTRUCTURE BANK ST TRAN TF | 0.00              |
|            | ** GL 45721 TOTAL                       | 0.00              |



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| G-L<br>CAT | G-L ACCOUNT NAME                            | BEGINNING BALANCE |
|------------|---------------------------------------------|-------------------|
| 48810      | LT UNEARNED REVENUE-LFA DEPOSITS            |                   |
| 001801     |                                             | 0.00              |
| 002700     |                                             | 0.00              |
| 181210     | TRANSFER TO DFS-TRES. CASH DEPOSIT TF (JOIN | 0.00              |
| 181213     | TR TO DFS TREAS CASH DEP TF JOINT PART AGRE | 0.00              |
| 220030     | REFUND NONSTATE REVENUES                    | 0.00              |
|            | ** GL 48810 TOTAL                           | 0.00              |
| 48841      | LONG TERM UNEARNED REVENUE-ROW HLR-SOP      |                   |
| 000500     |                                             | 74,525.13-        |
| 001801     |                                             | 86,055.29-        |
|            | ** GL 48841 TOTAL                           | 160,580.42-       |
| 48842      | LONG TERM UNEARNED REVENUE - ROW HLR -      |                   |
| 000500     |                                             | 178,414.07-       |
| 001801     |                                             | 165,406.24-       |
|            | ** GL 48842 TOTAL                           | 343,820.31-       |
| 48890      | LT UNEARNED REVENUE-FED SIB 80%             |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 0.00              |
| 000500     |                                             | 0.00              |
| 000504     |                                             | 0.00              |
|            | ** GL 48890 TOTAL                           | 0.00              |
| 48910      | LT DEFERRED REVENUE-COURT ORDERED REST      |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 0.00              |
| 001200     |                                             | 632,464.58-       |
| 001801     |                                             | 0.00              |
|            | ** GL 48910 TOTAL                           | 632,464.58-       |
| 48911      | LT-DEF REVENUE THCEA                        |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 120,090,426.56-   |
| 001800     |                                             | 127,027.63-       |
|            | ** GL 48911 TOTAL                           | 120,217,454.19-   |
| 48915      | LT DEF REVENUE THCEA - ARI                  |                   |
| 000500     |                                             | 12,034,944.51-    |
| 48920      | LT DEFERRED REVENUE-LFDS                    |                   |
| 001801     |                                             | 3,837,133.95-     |
| 48930      | LT DEFERRED REVENUE-INTEREST                |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 1,334,792.80-     |
| 000500     |                                             | 7,952,673.77-     |
| 001801     |                                             | 0.00              |
|            | ** GL 48930 TOTAL                           | 9,287,466.57-     |

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|-------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------|
| 48935<br>000500                     | LT DEFERRED PAYMENT ACCRUED INTEREST                                                                       | 787.46-           |
| 48957<br>000000<br>001800           | LT-DEF REVENUE-MIDBAY<br>BALANCE BROUGHT FORWARD                                                           | 19,478,949.28-    |
|                                     | ** GL 48957 TOTAL                                                                                          | 3,297,320.50      |
|                                     |                                                                                                            | 16,181,628.78-    |
| 48958<br>000000                     | LT-DEF REVENUE-GARCON<br>BALANCE BROUGHT FORWARD                                                           | 15,128,441.56-    |
| 48962<br>000500<br>001200           | LT DEFERRED REVENUE-INWOOD                                                                                 | 15,197.75-        |
|                                     | ** GL 48962 TOTAL                                                                                          | 248,603.55-       |
|                                     |                                                                                                            | 263,801.30-       |
| 48975<br>000000                     | LT-DEF REVENUE-OOCEA<br>BALANCE BROUGHT FORWARD                                                            | 227,573,890.77-   |
| 54900<br>000000                     | FUND BALANCE UNRESERVED<br>BALANCE BROUGHT FORWARD                                                         | 1,816,262,650.34- |
| 54901<br>000000                     | BEGINNING FUND BALANCE - UNRESERVED<br>BALANCE BROUGHT FORWARD                                             | 1,688,105,421.34  |
| 54902<br>000000                     | FUND BALANCE - SWFS ADJUSTMENTS<br>BALANCE BROUGHT FORWARD                                                 | 128,157,229.00    |
| 54920<br>000000                     | RETAINED EARNINGS-PROPERTY ADJ<br>BALANCE BROUGHT FORWARD                                                  | 0.00              |
| 55100<br>000000                     | FUND BALANCE RESERVED FOR ENCUMBRANCES<br>BALANCE BROUGHT FORWARD                                          | 19,748,730.82-    |
| 55200<br>000000<br>040000<br>088808 | FUND BALANCE RESERVED FOR INVENTORIES<br>BALANCE BROUGHT FORWARD<br>EXPENSES<br>09 RAIL DEVELOPMENT/GRANTS | 9,702,208.97-     |
|                                     | ** GL 55200 TOTAL                                                                                          | 619,995.29        |
|                                     |                                                                                                            | 0.00              |
|                                     |                                                                                                            | 9,082,213.68-     |
| 55400<br>000000                     | FB RESERVED FR ADVANCES TO OTHER FUNDS<br>BALANCE BROUGHT FORWARD                                          | 260,626,807.77-   |

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| G-L<br>CAT      | G-L ACCOUNT NAME                                                 | BEGINNING BALANCE |
|-----------------|------------------------------------------------------------------|-------------------|
| 55500<br>000000 | FB RESERVED FOR LONG-TERM RECEIVABLES<br>BALANCE BROUGHT FORWARD | 343,078,105.85-   |
| 55600<br>000000 | RESERVED FOR FCO AND GRANTS/AID - FCO<br>BALANCE BROUGHT FORWARD | 265,803,632.42-   |
| 55900<br>000000 | OTHER FUND BALANCE RESERVED<br>BALANCE BROUGHT FORWARD           | 5,477,237.93-     |
| 94100           | ENCUMBRANCES                                                     |                   |
| 030000          | OTHER PERSONAL SERVICES                                          | 5,508.50          |
| 030000 CF       | OTHER PERSONAL SERVICES                                          | 189,294.55        |
| 040000          | EXPENSES                                                         | 40,813.64         |
| 040000 CF       | EXPENSES                                                         | 2,023,841.51      |
| 060000 CF       | OPERATING CAPITAL OUTLAY                                         | 603,160.46        |
| 080002 08       | MINOR REPAIRS/IMPROV-STATE                                       | 34,574.24         |
| 080002 09       | MINOR REPAIRS/IMPROV-STATE                                       | 774,931.04        |
| 080002 10       | MINOR REPAIRS/IMPROV-STATE                                       | 2,158,492.26      |
| 080047 07       | STATE INFRASTRUCTURE BANK LOAN REPAYMENTS                        | 3,426,594.48      |
| 080047 08       | STATE INFRASTRUCTURE BANK LOAN REPAYMENTS                        | 202,324.25        |
| 085573 03       | TRANSPORTATION OUTREACH PROGRAM                                  | 100,000.00        |
| 085575 07       | SM CTY RESURFACE ASSIST PG                                       | 259,555.00        |
| 085575 08       | SM CTY RESURFACE ASSIST PG                                       | 2,533,676.44      |
| 085575 09       | SM CTY RESURFACE ASSIST PG                                       | 4,705,609.90      |
| 085575 10       | SM CTY RESURFACE ASSIST PG                                       | 19,694,743.80     |
| 085576 06       | SM COUNTY OUTREACH PROGRAM                                       | 184,297.12        |
| 085576 07       | SM COUNTY OUTREACH PROGRAM                                       | 3,931,398.91      |
| 085576 08       | SM COUNTY OUTREACH PROGRAM                                       | 5,155,022.15      |
| 085576 09       | SM COUNTY OUTREACH PROGRAM                                       | 7,430,706.62      |
| 085576 10       | SM COUNTY OUTREACH PROGRAM                                       | 16,023,121.59     |
| 088542 08       | UNDERGROUND/TANK PROG-STW                                        | 307,871.49        |
| 088572 06       | COUNTY TRANSPORTATION PROGRAMS                                   | 10,503,711.76     |
| 088572 07       | COUNTY TRANSPORTATION PROGRAMS                                   | 4,763,870.72      |
| 088572 08       | COUNTY TRANSPORTATION PROGRAMS                                   | 16,709,111.29     |
| 088572 09       | COUNTY TRANSPORTATION PROGRAMS                                   | 27,721,252.26     |
| 088572 10       | COUNTY TRANSPORTATION PROGRAMS                                   | 54,936,082.99     |
| 088704 04       | TRANSP PLANNING CONSULT                                          | 920.00            |
| 088704 06       | TRANSP PLANNING CONSULT                                          | 154,960.26        |
| 088704 07       | TRANSP PLANNING CONSULT                                          | 3,471,621.21      |
| 088704 08       | TRANSP PLANNING CONSULT                                          | 4,955,696.75      |
| 088704 09       | TRANSP PLANNING CONSULT                                          | 9,539,287.11      |
| 088704 10       | TRANSP PLANNING CONSULT                                          | 33,604,255.57     |
| 088712 05       | HIGHWAY MAINTENANCE CONTR                                        | 480,147.42        |
| 088712 06       | HIGHWAY MAINTENANCE CONTR                                        | 1,266,571.09      |
| 088712 07       | HIGHWAY MAINTENANCE CONTR                                        | 2,254,370.81      |

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|------------|---------------------------|-------------------|
| 088712 08  | HIGHWAY MAINTENANCE CONTR | 8,935,770.56      |
| 088712 09  | HIGHWAY MAINTENANCE CONTR | 23,451,744.42     |
| 088712 10  | HIGHWAY MAINTENANCE CONTR | 199,128,130.52    |
| 088716 04  | INTRASTATE HIGHWAY CONSTR | 1,764,566.09      |
| 088716 05  | INTRASTATE HIGHWAY CONSTR | 11,229,507.32     |
| 088716 06  | INTRASTATE HIGHWAY CONSTR | 10,079,692.98     |
| 088716 07  | INTRASTATE HIGHWAY CONSTR | 6,670,235.93      |
| 088716 08  | INTRASTATE HIGHWAY CONSTR | 141,252,160.53    |
| 088716 09  | INTRASTATE HIGHWAY CONSTR | 259,740,882.72    |
| 088716 10  | INTRASTATE HIGHWAY CONSTR | 331,597,276.39    |
| 088717 04  | ARTERIAL HIGHWAY CONSTR   | 886,032.74        |
| 088717 05  | ARTERIAL HIGHWAY CONSTR   | 3,041,720.26      |
| 088717 06  | ARTERIAL HIGHWAY CONSTR   | 6,118,117.31      |
| 088717 07  | ARTERIAL HIGHWAY CONSTR   | 96,619,376.12     |
| 088717 08  | ARTERIAL HIGHWAY CONSTR   | 142,647,855.72    |
| 088717 09  | ARTERIAL HIGHWAY CONSTR   | 246,294,251.44    |
| 088717 10  | ARTERIAL HIGHWAY CONSTR   | 212,867,091.69    |
| 088718 04  | CONSTRUCT INSPECT CONSULT | 3,836,717.71      |
| 088718 05  | CONSTRUCT INSPECT CONSULT | 6,081,003.60      |
| 088718 06  | CONSTRUCT INSPECT CONSULT | 3,101,540.53      |
| 088718 07  | CONSTRUCT INSPECT CONSULT | 24,238,531.12     |
| 088718 08  | CONSTRUCT INSPECT CONSULT | 39,889,022.05     |
| 088718 09  | CONSTRUCT INSPECT CONSULT | 74,434,032.29     |
| 088718 10  | CONSTRUCT INSPECT CONSULT | 115,999,306.23    |
| 088719 04  | AVIATION DEV/GRANTS       | 724,216.21        |
| 088719 05  | AVIATION DEV/GRANTS       | 1,529,407.83      |
| 088719 06  | AVIATION DEV/GRANTS       | 5,091,900.08      |
| 088719 07  | AVIATION DEV/GRANTS       | 23,372,616.62     |
| 088719 08  | AVIATION DEV/GRANTS       | 36,342,540.51     |
| 088719 09  | AVIATION DEV/GRANTS       | 93,648,550.56     |
| 088719 10  | AVIATION DEV/GRANTS       | 114,832,755.17    |
| 088763 08  | ENVIRON SITE RESTORATION  | 105,677.71        |
| 088763 09  | ENVIRON SITE RESTORATION  | 710,653.31        |
| 088763 10  | ENVIRON SITE RESTORATION  | 697,856.79        |
| 088774 04  | PUBLIC TRANSIT DEV/GRANTS | 156,650.34        |
| 088774 05  | PUBLIC TRANSIT DEV/GRANTS | 516,130.23        |
| 088774 06  | PUBLIC TRANSIT DEV/GRANTS | 669,369.03        |
| 088774 07  | PUBLIC TRANSIT DEV/GRANTS | 6,818,678.46      |
| 088774 08  | PUBLIC TRANSIT DEV/GRANTS | 43,822,601.08     |
| 088774 09  | PUBLIC TRANSIT DEV/GRANTS | 40,402,065.66     |
| 088774 10  | PUBLIC TRANSIT DEV/GRANTS | 118,253,177.31    |
| 088777 06  | RIGHT-OF-WAY LAND ACQ     | 5,700,258.41      |
| 088777 07  | RIGHT-OF-WAY LAND ACQ     | 6,224,634.71      |
| 088777 08  | RIGHT-OF-WAY LAND ACQ     | 8,812,444.60      |
| 088777 09  | RIGHT-OF-WAY LAND ACQ     | 534,101.50        |
| 088777 10  | RIGHT-OF-WAY LAND ACQ     | 6,903,859.07      |

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|------------|-----|---------------------------------------------|-------------------|
| 088794     | 05  | SEAPORT GRANTS                              | 6,992.86          |
| 088794     | 06  | SEAPORT GRANTS                              | 10,780,814.55     |
| 088794     | 07  | SEAPORT GRANTS                              | 2,778,521.35      |
| 088794     | 08  | SEAPORT GRANTS                              | 12,981,830.35     |
| 088794     | 09  | SEAPORT GRANTS                              | 6,478,164.91      |
| 088794     | 10  | SEAPORT GRANTS                              | 19,921,476.79     |
| 088796     | 07  | HIWAY SAFETY CONSTR/GRANTS                  | 95,480.98         |
| 088796     | 08  | HIWAY SAFETY CONSTR/GRANTS                  | 871,360.79        |
| 088796     | 09  | HIWAY SAFETY CONSTR/GRANTS                  | 10,845,552.76     |
| 088796     | 10  | HIWAY SAFETY CONSTR/GRANTS                  | 103,502,869.77    |
| 088797     | 05  | RESURFACING                                 | 269,544.18        |
| 088797     | 06  | RESURFACING                                 | 1,132,321.11      |
| 088797     | 07  | RESURFACING                                 | 7,771,202.04      |
| 088797     | 08  | RESURFACING                                 | 12,040,323.45     |
| 088797     | 09  | RESURFACING                                 | 45,659,485.08     |
| 088797     | 10  | RESURFACING                                 | 274,710,810.29    |
| 088799     | 05  | BRIDGE CONSTRUCTION                         | 431,691.55        |
| 088799     | 06  | BRIDGE CONSTRUCTION                         | 5,513.89          |
| 088799     | 07  | BRIDGE CONSTRUCTION                         | 700,355.96        |
| 088799     | 08  | BRIDGE CONSTRUCTION                         | 13,292,758.89     |
| 088799     | 09  | BRIDGE CONSTRUCTION                         | 59,315,048.94     |
| 088799     | 10  | BRIDGE CONSTRUCTION                         | 108,733,758.26    |
| 088808     | 04  | RAIL DEVELOPMENT/GRANTS                     | 1,213,442.21      |
| 088808     | 05  | RAIL DEVELOPMENT/GRANTS                     | 210,743.49        |
| 088808     | 06  | RAIL DEVELOPMENT/GRANTS                     | 3,205,915.50      |
| 088808     | 07  | RAIL DEVELOPMENT/GRANTS                     | 24,023,039.58     |
| 088808     | 08  | RAIL DEVELOPMENT/GRANTS                     | 27,582,051.18     |
| 088808     | 09  | RAIL DEVELOPMENT/GRANTS                     | 42,208,652.38     |
| 088808     | 10  | RAIL DEVELOPMENT/GRANTS                     | 37,265,787.04     |
| 088809     | 04  | INTERMODAL DEVELOPMENT/GRANTS               | 1,408,374.75      |
| 088809     | 05  | INTERMODAL DEVELOPMENT/GRANTS               | 4,164,865.06      |
| 088809     | 06  | INTERMODAL DEVELOPMENT/GRANTS               | 22,484,599.24     |
| 088809     | 07  | INTERMODAL DEVELOPMENT/GRANTS               | 10,004,147.91     |
| 088809     | 08  | INTERMODAL DEVELOPMENT/GRANTS               | 15,263,973.00     |
| 088809     | 09  | INTERMODAL DEVELOPMENT/GRANTS               | 39,561,915.73     |
| 088809     | 10  | INTERMODAL DEVELOPMENT/GRANTS               | 34,683,900.73     |
| 088810     | 10  | CONTRACT MAINTENANCE WITH DEPT OF CORRECTIO | 1,287,453.64      |
| 088825     | 09  | TRANSPORTATION - ARRA 2009                  | 152,759,622.91    |
| 088825     | 10  | TRANSPORTATION - ARRA 2009                  | 675,793,168.65    |
| 088849     | 04  | PRELIMINARY ENGR CONSULT                    | 3,828,097.04      |
| 088849     | 05  | PRELIMINARY ENGR CONSULT                    | 7,535,808.55      |
| 088849     | 06  | PRELIMINARY ENGR CONSULT                    | 24,210,760.28     |
| 088849     | 07  | PRELIMINARY ENGR CONSULT                    | 50,566,701.04     |
| 088849     | 08  | PRELIMINARY ENGR CONSULT                    | 86,919,445.19     |
| 088849     | 09  | PRELIMINARY ENGR CONSULT                    | 121,697,894.38    |
| 088849     | 10  | PRELIMINARY ENGR CONSULT                    | 293,480,133.14    |

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|------------|------------------------------------------------|-------------------|
| 088850     | 07 HWY BEAUTIFICATION GRANTS                   | 392,172.97        |
| 088853     | 06 RIGHT-OF-WAY SUPPORT                        | 3,856,901.21      |
| 088853     | 07 RIGHT-OF-WAY SUPPORT                        | 2,619,449.27      |
| 088853     | 08 RIGHT-OF-WAY SUPPORT                        | 3,616,365.70      |
| 088853     | 09 RIGHT-OF-WAY SUPPORT                        | 3,893,925.19      |
| 088853     | 10 RIGHT-OF-WAY SUPPORT                        | 14,445,106.75     |
| 088854     | 05 TRANSPORT PLANNING GRANTS                   | 1,364.72          |
| 088854     | 07 TRANSPORT PLANNING GRANTS                   | 120,540.47        |
| 088854     | 08 TRANSPORT PLANNING GRANTS                   | 290,039.38        |
| 088854     | 09 TRANSPORT PLANNING GRANTS                   | 1,763,720.97      |
| 088854     | 10 TRANSPORT PLANNING GRANTS                   | 15,609,033.89     |
| 088857     | 06 MATERIALS AND RESEARCH                      | 74,980.97         |
| 088857     | 07 MATERIALS AND RESEARCH                      | 276,224.53        |
| 088857     | 08 MATERIALS AND RESEARCH                      | 2,321,140.28      |
| 088857     | 09 MATERIALS AND RESEARCH                      | 2,657,257.26      |
| 088857     | 10 MATERIALS AND RESEARCH                      | 10,877,784.25     |
| 088864     | 06 BRIDGE INSPECTION                           | 91,895.95         |
| 088864     | 07 BRIDGE INSPECTION                           | 568,030.55        |
| 088864     | 08 BRIDGE INSPECTION                           | 934,968.13        |
| 088864     | 09 BRIDGE INSPECTION                           | 3,554,356.97      |
| 088864     | 10 BRIDGE INSPECTION                           | 18,459,006.17     |
| 088866     | 07 TRAFFIC ENGR CONSULTANTS                    | 319,446.85        |
| 088866     | 08 TRAFFIC ENGR CONSULTANTS                    | 3,332,146.23      |
| 088866     | 09 TRAFFIC ENGR CONSULTANTS                    | 8,386,560.76      |
| 088866     | 10 TRAFFIC ENGR CONSULTANTS                    | 23,418,588.42     |
| 088867     | 06 LOCAL GOVERNMENT REIMBURSE                  | 977,449.00        |
| 088867     | 08 LOCAL GOVERNMENT REIMBURSE                  | 221,407.35        |
| 088867     | 09 LOCAL GOVERNMENT REIMBURSE                  | 11,372,245.44     |
| 088867     | 10 LOCAL GOVERNMENT REIMBURSE                  | 23,987,025.74     |
| 088870     | 08 HIGH SPEED RAIL DEVELOPMENT                 | 248,675.79        |
| 088870     | 10 HIGH SPEED RAIL DEVELOPMENT                 | 2,266,151.21      |
| 088922     | 04 TOLLS SYS EQUIP & DEVELOP                   | 8,000.00          |
| 088922     | 06 TOLLS SYS EQUIP & DEVELOP                   | 9,172.49          |
| 088922     | 07 TOLLS SYS EQUIP & DEVELOP                   | 57,316.65         |
| 088922     | 08 TOLLS SYS EQUIP & DEVELOP                   | 58,821.15         |
| 088922     | 09 TOLLS SYS EQUIP & DEVELOP                   | 1,225,607.02      |
| 088922     | 10 TOLLS SYS EQUIP & DEVELOP                   | 4,255,183.78      |
| 089934     | 07 G/A-M/D 2004-05 HURR FRANCES-E/O 04-192 DOT | 157,619.70        |
| 089934     | 08 G/A-M/D 2004-05 HURR FRANCES-E/O 04-192 DOT | 3,600.98          |
| 089934     | 09 G/A-M/D 2004-05 HURR FRANCES-E/O 04-192 DOT | 62,408.87         |
| 089938     | 08 G/A MAJOR DISASTER 04-05 HURR IVAN DOT WK P | 1,005,065.13      |
| 089948     | 06 G/A MD HURR JEANNE DOT WORK PROG. 04-217    | 7,534.17          |
| 089948     | 07 G/A MD HURR JEANNE DOT WORK PROG. 04-217    | 445.00            |
| 089948     | 08 G/A MD HURR JEANNE DOT WORK PROG. 04-217    | 8.99              |
| 089958     | 07 G/A-HURRICANES 05-DOT WORK                  | 95,955.00         |
| 089958     | 09 G/A-HURRICANES 05-DOT WORK                  | 10,468.44         |

BEGINNING TRIAL BALANCE BY FUND  
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| G-L<br>CAT | G-L ACCOUNT NAME                          | BEGINNING BALANCE |
|------------|-------------------------------------------|-------------------|
| 089972 09  | G/A-2008-09 HURRICANES-DOT                | 437,204.76        |
| 089972 10  | G/A-2008-09 HURRICANES-DOT                | 1,066,104.16      |
| 100021 CF  | ACQUISITION/MOTOR VEHICLES                | 434,313.64        |
| 100045 CF  | FAIRBANKS HAZARDOUS WASTE                 | 28,423.74         |
| 100686     | CONSULTANT FEES                           | 64,879.51         |
| 100686 CF  | CONSULTANT FEES                           | 2,668,166.90      |
| 100777     | CONTRACTED SERVICES                       | 17,396.56         |
| 100777 CF  | CONTRACTED SERVICES                       | 4,687,531.94      |
| 100900 CF  | TOLL OPERATION CONTRACTS                  | 3,818,510.51      |
| 100902     | PAYMENT TO EXPRESSWAY AUTH                | 1,136,172.64      |
| 100902 CF  | PAYMENT TO EXPRESSWAY AUTH                | 572,951.85        |
| 101337 CF  | FLA HIGHWAY PATROL SVCS                   | 107,397.73        |
| 101640     | HUMAN RESOURCE DEVELOPMENT                | 1,550.00          |
| 101640 CF  | HUMAN RESOURCE DEVELOPMENT                | 335,591.67        |
| 103892     | TRANS MATERIALS & EQUIP                   | 104,224.06        |
| 103892 CF  | TRANS MATERIALS & EQUIP                   | 1,916,534.79      |
| 103950 CF  | HIGHWAY BEAUTIFICATION GRANTS             | 241,832.99        |
| 105280 CF  | DEFERRED-PAYMENT CONTRACTS                | 31,136.60         |
| 130200     | DOT CARRYFORWARD FUNDS                    | 22,088,563.00     |
| 210021 CF  | SOUTHWOOD SRC                             | 1,857,219.67      |
|            | ** GL 94100 TOTAL                         | 5,309,135,356.48  |
| 98100      | BUDGETARY FND BAL RESERVED/ENCUMBRANCE    |                   |
| 030000     | OTHER PERSONAL SERVICES                   | 5,508.50-         |
| 030000 CF  | OTHER PERSONAL SERVICES                   | 189,294.55-       |
| 040000     | EXPENSES                                  | 40,813.64-        |
| 040000 CF  | EXPENSES                                  | 2,023,841.51-     |
| 060000 CF  | OPERATING CAPITAL OUTLAY                  | 603,160.46-       |
| 080002 08  | MINOR REPAIRS/IMPROV-STATE                | 34,574.24-        |
| 080002 09  | MINOR REPAIRS/IMPROV-STATE                | 774,931.04-       |
| 080002 10  | MINOR REPAIRS/IMPROV-STATE                | 2,158,492.26-     |
| 080047 07  | STATE INFRASTRUCTURE BANK LOAN REPAYMENTS | 3,426,594.48-     |
| 080047 08  | STATE INFRASTRUCTURE BANK LOAN REPAYMENTS | 202,324.25-       |
| 085573 03  | TRANSPORTATION OUTREACH PROGRAM           | 100,000.00-       |
| 085575 07  | SM CTY RESURFACE ASSIST PG                | 259,555.00-       |
| 085575 08  | SM CTY RESURFACE ASSIST PG                | 2,533,676.44-     |
| 085575 09  | SM CTY RESURFACE ASSIST PG                | 4,705,609.90-     |
| 085575 10  | SM CTY RESURFACE ASSIST PG                | 19,694,743.80-    |
| 085576 06  | SM COUNTY OUTREACH PROGRAM                | 184,297.12-       |
| 085576 07  | SM COUNTY OUTREACH PROGRAM                | 3,931,398.91-     |
| 085576 08  | SM COUNTY OUTREACH PROGRAM                | 5,155,022.15-     |
| 085576 09  | SM COUNTY OUTREACH PROGRAM                | 7,430,706.62-     |
| 085576 10  | SM COUNTY OUTREACH PROGRAM                | 16,023,121.59-    |
| 088542 08  | UNDERGROUND/TANK PROG-STW                 | 307,871.49-       |
| 088572 06  | COUNTY TRANSPORTATION PROGRAMS            | 10,503,711.76-    |
| 088572 07  | COUNTY TRANSPORTATION PROGRAMS            | 4,763,870.72-     |

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| G-L<br>CAT | G-L ACCOUNT NAME               | BEGINNING BALANCE |
|------------|--------------------------------|-------------------|
| 088572 08  | COUNTY TRANSPORTATION PROGRAMS | 16,709,111.29-    |
| 088572 09  | COUNTY TRANSPORTATION PROGRAMS | 27,721,252.26-    |
| 088572 10  | COUNTY TRANSPORTATION PROGRAMS | 54,936,082.99-    |
| 088704 04  | TRANSP PLANNING CONSULT        | 920.00-           |
| 088704 06  | TRANSP PLANNING CONSULT        | 154,960.26-       |
| 088704 07  | TRANSP PLANNING CONSULT        | 3,471,621.21-     |
| 088704 08  | TRANSP PLANNING CONSULT        | 4,955,696.75-     |
| 088704 09  | TRANSP PLANNING CONSULT        | 9,539,287.11-     |
| 088704 10  | TRANSP PLANNING CONSULT        | 33,604,255.57-    |
| 088712 02  | HIGHWAY MAINTENANCE CONTR      | 0.00              |
| 088712 05  | HIGHWAY MAINTENANCE CONTR      | 480,147.42-       |
| 088712 06  | HIGHWAY MAINTENANCE CONTR      | 1,266,571.09-     |
| 088712 07  | HIGHWAY MAINTENANCE CONTR      | 2,254,370.81-     |
| 088712 08  | HIGHWAY MAINTENANCE CONTR      | 8,935,770.56-     |
| 088712 09  | HIGHWAY MAINTENANCE CONTR      | 23,451,744.42-    |
| 088712 10  | HIGHWAY MAINTENANCE CONTR      | 199,128,130.52-   |
| 088716 04  | INTRASTATE HIGHWAY CONSTR      | 1,764,566.09-     |
| 088716 05  | INTRASTATE HIGHWAY CONSTR      | 11,229,507.32-    |
| 088716 06  | INTRASTATE HIGHWAY CONSTR      | 10,079,692.98-    |
| 088716 07  | INTRASTATE HIGHWAY CONSTR      | 6,670,235.93-     |
| 088716 08  | INTRASTATE HIGHWAY CONSTR      | 141,252,160.53-   |
| 088716 09  | INTRASTATE HIGHWAY CONSTR      | 259,740,882.72-   |
| 088716 10  | INTRASTATE HIGHWAY CONSTR      | 331,597,276.39-   |
| 088717 04  | ARTERIAL HIGHWAY CONSTR        | 886,032.74-       |
| 088717 05  | ARTERIAL HIGHWAY CONSTR        | 3,041,720.26-     |
| 088717 06  | ARTERIAL HIGHWAY CONSTR        | 6,118,117.31-     |
| 088717 07  | ARTERIAL HIGHWAY CONSTR        | 96,619,376.12-    |
| 088717 08  | ARTERIAL HIGHWAY CONSTR        | 142,647,855.72-   |
| 088717 09  | ARTERIAL HIGHWAY CONSTR        | 246,294,251.44-   |
| 088717 10  | ARTERIAL HIGHWAY CONSTR        | 212,867,091.69-   |
| 088718 04  | CONSTRUCT INSPECT CONSULT      | 3,836,717.71-     |
| 088718 05  | CONSTRUCT INSPECT CONSULT      | 6,081,003.60-     |
| 088718 06  | CONSTRUCT INSPECT CONSULT      | 3,101,540.53-     |
| 088718 07  | CONSTRUCT INSPECT CONSULT      | 24,238,531.12-    |
| 088718 08  | CONSTRUCT INSPECT CONSULT      | 39,889,022.05-    |
| 088718 09  | CONSTRUCT INSPECT CONSULT      | 74,434,032.29-    |
| 088718 10  | CONSTRUCT INSPECT CONSULT      | 115,999,306.23-   |
| 088719 04  | AVIATION DEV/GRANTS            | 724,216.21-       |
| 088719 05  | AVIATION DEV/GRANTS            | 1,529,407.83-     |
| 088719 06  | AVIATION DEV/GRANTS            | 5,091,900.08-     |
| 088719 07  | AVIATION DEV/GRANTS            | 23,372,616.62-    |
| 088719 08  | AVIATION DEV/GRANTS            | 36,342,540.51-    |
| 088719 09  | AVIATION DEV/GRANTS            | 93,648,550.56-    |
| 088719 10  | AVIATION DEV/GRANTS            | 114,832,755.17-   |
| 088763 08  | ENVIRON SITE RESTORATION       | 105,677.71-       |
| 088763 09  | ENVIRON SITE RESTORATION       | 710,653.31-       |



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| G-L<br>CAT | G-L ACCOUNT NAME              | BEGINNING BALANCE |
|------------|-------------------------------|-------------------|
| 088763 10  | ENVIRON SITE RESTORATION      | 697,856.79-       |
| 088774 04  | PUBLIC TRANSIT DEV/GRANTS     | 156,650.34-       |
| 088774 05  | PUBLIC TRANSIT DEV/GRANTS     | 516,130.23-       |
| 088774 06  | PUBLIC TRANSIT DEV/GRANTS     | 669,369.03-       |
| 088774 07  | PUBLIC TRANSIT DEV/GRANTS     | 6,818,678.46-     |
| 088774 08  | PUBLIC TRANSIT DEV/GRANTS     | 43,822,601.08-    |
| 088774 09  | PUBLIC TRANSIT DEV/GRANTS     | 40,402,065.66-    |
| 088774 10  | PUBLIC TRANSIT DEV/GRANTS     | 118,253,177.31-   |
| 088777 06  | RIGHT-OF-WAY LAND ACQ         | 5,700,258.41-     |
| 088777 07  | RIGHT-OF-WAY LAND ACQ         | 6,224,634.71-     |
| 088777 08  | RIGHT-OF-WAY LAND ACQ         | 8,812,444.60-     |
| 088777 09  | RIGHT-OF-WAY LAND ACQ         | 534,101.50-       |
| 088777 10  | RIGHT-OF-WAY LAND ACQ         | 6,903,859.07-     |
| 088794 05  | SEAPORT GRANTS                | 6,992.86-         |
| 088794 06  | SEAPORT GRANTS                | 10,780,814.55-    |
| 088794 07  | SEAPORT GRANTS                | 2,778,521.35-     |
| 088794 08  | SEAPORT GRANTS                | 12,981,830.35-    |
| 088794 09  | SEAPORT GRANTS                | 6,478,164.91-     |
| 088794 10  | SEAPORT GRANTS                | 19,921,476.79-    |
| 088796 07  | HIWAY SAFETY CONSTR/GRANTS    | 95,480.98-        |
| 088796 08  | HIWAY SAFETY CONSTR/GRANTS    | 871,360.79-       |
| 088796 09  | HIWAY SAFETY CONSTR/GRANTS    | 10,845,552.76-    |
| 088796 10  | HIWAY SAFETY CONSTR/GRANTS    | 103,502,869.77-   |
| 088797 05  | RESURFACING                   | 269,544.18-       |
| 088797 06  | RESURFACING                   | 1,132,321.11-     |
| 088797 07  | RESURFACING                   | 7,771,202.04-     |
| 088797 08  | RESURFACING                   | 12,040,323.45-    |
| 088797 09  | RESURFACING                   | 45,659,485.08-    |
| 088797 10  | RESURFACING                   | 274,710,810.29-   |
| 088799 05  | BRIDGE CONSTRUCTION           | 431,691.55-       |
| 088799 06  | BRIDGE CONSTRUCTION           | 5,513.89-         |
| 088799 07  | BRIDGE CONSTRUCTION           | 700,355.96-       |
| 088799 08  | BRIDGE CONSTRUCTION           | 13,292,758.89-    |
| 088799 09  | BRIDGE CONSTRUCTION           | 59,315,048.94-    |
| 088799 10  | BRIDGE CONSTRUCTION           | 108,733,758.26-   |
| 088808 04  | RAIL DEVELOPMENT/GRANTS       | 1,213,442.21-     |
| 088808 05  | RAIL DEVELOPMENT/GRANTS       | 210,743.49-       |
| 088808 06  | RAIL DEVELOPMENT/GRANTS       | 3,205,915.50-     |
| 088808 07  | RAIL DEVELOPMENT/GRANTS       | 24,023,039.58-    |
| 088808 08  | RAIL DEVELOPMENT/GRANTS       | 27,582,051.18-    |
| 088808 09  | RAIL DEVELOPMENT/GRANTS       | 42,208,652.38-    |
| 088808 10  | RAIL DEVELOPMENT/GRANTS       | 37,265,787.04-    |
| 088809 04  | INTERMODAL DEVELOPMENT/GRANTS | 1,408,374.75-     |
| 088809 05  | INTERMODAL DEVELOPMENT/GRANTS | 4,164,865.06-     |
| 088809 06  | INTERMODAL DEVELOPMENT/GRANTS | 22,484,599.24-    |
| 088809 07  | INTERMODAL DEVELOPMENT/GRANTS | 10,004,147.91-    |

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|------------|---------------------------------------------|-------------------|
| 088809 08  | INTERMODAL DEVELOPMENT/GRANTS               | 15,263,973.00-    |
| 088809 09  | INTERMODAL DEVELOPMENT/GRANTS               | 39,561,915.73-    |
| 088809 10  | INTERMODAL DEVELOPMENT/GRANTS               | 34,683,900.73-    |
| 088810 10  | CONTRACT MAINTENANCE WITH DEPT OF CORRECTIO | 1,287,453.64-     |
| 088825 09  | TRANSPORTATION - ARRA 2009                  | 152,759,622.91-   |
| 088825 10  | TRANSPORTATION - ARRA 2009                  | 675,793,168.65-   |
| 088849 04  | PRELIMINARY ENGR CONSULT                    | 3,828,097.04-     |
| 088849 05  | PRELIMINARY ENGR CONSULT                    | 7,535,808.55-     |
| 088849 06  | PRELIMINARY ENGR CONSULT                    | 24,210,760.28-    |
| 088849 07  | PRELIMINARY ENGR CONSULT                    | 50,566,701.04-    |
| 088849 08  | PRELIMINARY ENGR CONSULT                    | 86,919,445.19-    |
| 088849 09  | PRELIMINARY ENGR CONSULT                    | 121,697,894.38-   |
| 088849 10  | PRELIMINARY ENGR CONSULT                    | 293,480,133.14-   |
| 088850 07  | HWY BEAUTIFICATION GRANTS                   | 392,172.97-       |
| 088853 06  | RIGHT-OF-WAY SUPPORT                        | 3,856,901.21-     |
| 088853 07  | RIGHT-OF-WAY SUPPORT                        | 2,619,449.27-     |
| 088853 08  | RIGHT-OF-WAY SUPPORT                        | 3,616,365.70-     |
| 088853 09  | RIGHT-OF-WAY SUPPORT                        | 3,893,925.19-     |
| 088853 10  | RIGHT-OF-WAY SUPPORT                        | 14,445,106.75-    |
| 088854 05  | TRANSPORT PLANNING GRANTS                   | 1,364.72-         |
| 088854 07  | TRANSPORT PLANNING GRANTS                   | 120,540.47-       |
| 088854 08  | TRANSPORT PLANNING GRANTS                   | 290,039.38-       |
| 088854 09  | TRANSPORT PLANNING GRANTS                   | 1,763,720.97-     |
| 088854 10  | TRANSPORT PLANNING GRANTS                   | 15,609,033.89-    |
| 088857 06  | MATERIALS AND RESEARCH                      | 74,980.97-        |
| 088857 07  | MATERIALS AND RESEARCH                      | 276,224.53-       |
| 088857 08  | MATERIALS AND RESEARCH                      | 2,321,140.28-     |
| 088857 09  | MATERIALS AND RESEARCH                      | 2,657,257.26-     |
| 088857 10  | MATERIALS AND RESEARCH                      | 10,877,784.25-    |
| 088864 06  | BRIDGE INSPECTION                           | 91,895.95-        |
| 088864 07  | BRIDGE INSPECTION                           | 568,030.55-       |
| 088864 08  | BRIDGE INSPECTION                           | 934,968.13-       |
| 088864 09  | BRIDGE INSPECTION                           | 3,554,356.97-     |
| 088864 10  | BRIDGE INSPECTION                           | 18,459,006.17-    |
| 088866 07  | TRAFFIC ENGR CONSULTANTS                    | 319,446.85-       |
| 088866 08  | TRAFFIC ENGR CONSULTANTS                    | 3,332,146.23-     |
| 088866 09  | TRAFFIC ENGR CONSULTANTS                    | 8,386,560.76-     |
| 088866 10  | TRAFFIC ENGR CONSULTANTS                    | 23,418,588.42-    |
| 088867 06  | LOCAL GOVERNMENT REIMBURSE                  | 977,449.00-       |
| 088867 08  | LOCAL GOVERNMENT REIMBURSE                  | 221,407.35-       |
| 088867 09  | LOCAL GOVERNMENT REIMBURSE                  | 11,372,245.44-    |
| 088867 10  | LOCAL GOVERNMENT REIMBURSE                  | 23,987,025.74-    |
| 088870 08  | HIGH SPEED RAIL DEVELOPMENT                 | 248,675.79-       |
| 088870 10  | HIGH SPEED RAIL DEVELOPMENT                 | 2,266,151.21-     |
| 088922 04  | TOLLS SYS EQUIP & DEVELOP                   | 8,000.00-         |
| 088922 06  | TOLLS SYS EQUIP & DEVELOP                   | 9,172.49-         |

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| G-L<br>CAT | G-L ACCOUNT NAME                            | BEGINNING BALANCE |
|------------|---------------------------------------------|-------------------|
| 088922 07  | TOLLS SYS EQUIP & DEVELOP                   | 57,316.65-        |
| 088922 08  | TOLLS SYS EQUIP & DEVELOP                   | 58,821.15-        |
| 088922 09  | TOLLS SYS EQUIP & DEVELOP                   | 1,225,607.02-     |
| 088922 10  | TOLLS SYS EQUIP & DEVELOP                   | 4,255,183.78-     |
| 089934 05  | G/A-M/D 2004-05 HURR FRANCES-E/O 04-192 DOT | 0.00              |
| 089934 07  | G/A-M/D 2004-05 HURR FRANCES-E/O 04-192 DOT | 157,619.70-       |
| 089934 08  | G/A-M/D 2004-05 HURR FRANCES-E/O 04-192 DOT | 3,600.98-         |
| 089934 09  | G/A-M/D 2004-05 HURR FRANCES-E/O 04-192 DOT | 62,408.87-        |
| 089938 08  | G/A MAJOR DISASTER 04-05 HURR IVAN DOT WK P | 1,005,065.13-     |
| 089948 06  | G/A MD HURR JEANNE DOT WORK PROG. 04-217    | 7,534.17-         |
| 089948 07  | G/A MD HURR JEANNE DOT WORK PROG. 04-217    | 445.00-           |
| 089948 08  | G/A MD HURR JEANNE DOT WORK PROG. 04-217    | 8.99-             |
| 089958 07  | G/A-HURRICANES 05-DOT WORK                  | 95,955.00-        |
| 089958 09  | G/A-HURRICANES 05-DOT WORK                  | 10,468.44-        |
| 089972 09  | G/A-2008-09 HURRICANES-DOT                  | 437,204.76-       |
| 089972 10  | G/A-2008-09 HURRICANES-DOT                  | 1,066,104.16-     |
| 100021     | ACQUISITION/MOTOR VEHICLES                  | 0.00              |
| 100021 CF  | ACQUISITION/MOTOR VEHICLES                  | 434,313.64-       |
| 100045 CF  | FAIRBANKS HAZARDOUS WASTE                   | 28,423.74-        |
| 100686     | CONSULTANT FEES                             | 64,879.51-        |
| 100686 CF  | CONSULTANT FEES                             | 2,668,166.90-     |
| 100777     | CONTRACTED SERVICES                         | 17,396.56-        |
| 100777 CF  | CONTRACTED SERVICES                         | 4,687,531.94-     |
| 100900 CF  | TOLL OPERATION CONTRACTS                    | 3,818,510.51-     |
| 100902     | PAYMENT TO EXPRESSWAY AUTH                  | 1,136,172.64-     |
| 100902 CF  | PAYMENT TO EXPRESSWAY AUTH                  | 572,951.85-       |
| 101337 CF  | FLA HIGHWAY PATROL SVCS                     | 107,397.73-       |
| 101640     | HUMAN RESOURCE DEVELOPMENT                  | 1,550.00-         |
| 101640 CF  | HUMAN RESOURCE DEVELOPMENT                  | 335,591.67-       |
| 103892     | TRANS MATERIALS & EQUIP                     | 104,224.06-       |
| 103892 CF  | TRANS MATERIALS & EQUIP                     | 1,916,534.79-     |
| 103950 CF  | HIGHWAY BEAUTIFICATION GRANTS               | 241,832.99-       |
| 105280 CF  | DEFERRED-PAYMENT CONTRACTS                  | 31,136.60-        |
| 130200     | DOT CARRYFORWARD FUNDS                      | 22,088,563.00-    |
| 210021 CF  | SOUTHWOOD SRC                               | 1,857,219.67-     |
|            | ** GL 98100 TOTAL                           | 5,309,135,356.48- |
| 99100      | BUDGETARY FUND BALANCE                      |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 0.00              |
|            | *** FUND TOTAL                              | 0.00              |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
20 2 074001 CENTRAL FLORIDA BELTWAY TRUST FUND DOT

| G-L<br>CAT      | G-L ACCOUNT NAME                                             | BEGINNING BALANCE |
|-----------------|--------------------------------------------------------------|-------------------|
| 12100<br>000000 | UNRELEASED CASH IN STATE TREASURY<br>BALANCE BROUGHT FORWARD | 0.00              |
| 54900<br>000000 | FUND BALANCE UNRESERVED<br>BALANCE BROUGHT FORWARD           | 0.00              |
|                 | *** FUND TOTAL                                               | 0.00              |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
20 2 586001 RIGHT-OF-WAY ACQUISITION/BRIDGE CONSTRUCTION TF

| G-L<br>CAT | G-L ACCOUNT NAME                       | BEGINNING BALANCE |
|------------|----------------------------------------|-------------------|
| 11100      | CASH ON HAND                           |                   |
| 088777 01  | RIGHT-OF-WAY LAND ACQ                  | 0.00              |
| 12100      | UNRELEASED CASH IN STATE TREASURY      |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 968,189.57        |
| 14100      | POOLED INVESTMENTS WITH STATE TREASURY |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 55,105,251.35     |
| 15300      | INTEREST AND DIVIDENDS RECEIVABLE      |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 000500     |                                        | 145,422.21        |
| 190000     | PURCHASE OF INVESTMENTS                | 0.00              |
|            | ** GL 15300 TOTAL                      | 145,422.21        |
| 16200      | DUE FROM STATE FUNDS, WITHIN DEPART.   |                   |
| 001500     |                                        | 0.00              |
| 25201      | ADVANCES                               |                   |
| 088799 02  | BRIDGE CONSTRUCTION                    | 0.00              |
| 088853 07  | RIGHT-OF-WAY SUPPORT                   | 0.00              |
|            | ** GL 25201 TOTAL                      | 0.00              |
| 31100      | ACCOUNTS PAYABLE                       |                   |
| 088849 99  | PRELIMINARY ENGR CONSULT               | 0.00              |
| 088853 07  | RIGHT-OF-WAY SUPPORT                   | 3.19-             |
| 088853 94  | RIGHT-OF-WAY SUPPORT                   | 0.00              |
| 088853 95  | RIGHT-OF-WAY SUPPORT                   | 0.00              |
| 088853 96  | RIGHT-OF-WAY SUPPORT                   | 0.00              |
|            | ** GL 31100 TOTAL                      | 3.19-             |
| 31140      | PAYABLES-YE UNREC. PAY. ADJ.           |                   |
| 088777 01  | RIGHT-OF-WAY LAND ACQ                  | 0.00              |
| 088849 07  | PRELIMINARY ENGR CONSULT               | 0.00              |
| 088853 09  | RIGHT-OF-WAY SUPPORT                   | 0.00              |
| 088853 10  | RIGHT-OF-WAY SUPPORT                   | 415.92-           |
|            | ** GL 31140 TOTAL                      | 415.92-           |
| 31300      | CONSTRUCTION CONTRACTS PAYABLE         |                   |
| 088777     | RIGHT-OF-WAY LAND ACQ                  | 0.00              |
| 088853     | RIGHT-OF-WAY SUPPORT                   | 0.00              |
|            | ** GL 31300 TOTAL                      | 0.00              |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
20 2 586001 RIGHT-OF-WAY ACQUISITION/BRIDGE CONSTRUCTION TF

| G-L<br>CAT | G-L ACCOUNT NAME                           | BEGINNING BALANCE |
|------------|--------------------------------------------|-------------------|
| 31310      | RETAINAGE/LIQUIDATED DAMAGES               |                   |
| 088799     | BRIDGE CONSTRUCTION                        | 0.00              |
| 088799 09  | BRIDGE CONSTRUCTION                        | 123,631.85-       |
| 088799 10  | BRIDGE CONSTRUCTION                        | 70,392.00-        |
| 088799 97  | BRIDGE CONSTRUCTION                        | 0.00              |
| 088799 98  | BRIDGE CONSTRUCTION                        | 0.00              |
| 088799 99  | BRIDGE CONSTRUCTION                        | 0.00              |
|            | ** GL 31310 TOTAL                          | 194,023.85-       |
| 31340      | PAYABLES-YE UNREC. PAY. ADJ.- CONSTRUC     |                   |
| 000000     | BALANCE BROUGHT FORWARD                    | 1,682,577.41-     |
| 088718 08  | CONSTRUCT INSPECT CONSULT                  | 93,629.57-        |
| 088718 09  | CONSTRUCT INSPECT CONSULT                  | 136,375.58-       |
| 088718 96  | CONSTRUCT INSPECT CONSULT                  | 0.00              |
| 088777 02  | RIGHT-OF-WAY LAND ACQ                      | 0.00              |
| 088799     | BRIDGE CONSTRUCTION                        | 0.00              |
| 088799 01  | BRIDGE CONSTRUCTION                        | 0.00              |
| 088799 02  | BRIDGE CONSTRUCTION                        | 0.00              |
| 088799 99  | BRIDGE CONSTRUCTION                        | 0.00              |
| 088849 01  | PRELIMINARY ENGR CONSULT                   | 0.00              |
| 088849 07  | PRELIMINARY ENGR CONSULT                   | 0.00              |
| 088849 08  | PRELIMINARY ENGR CONSULT                   | 5,584.09-         |
| 088849 09  | PRELIMINARY ENGR CONSULT                   | 2,409.48-         |
| 088849 10  | PRELIMINARY ENGR CONSULT                   | 580,034.96-       |
| 088849 97  | PRELIMINARY ENGR CONSULT                   | 0.00              |
| 088853     | RIGHT-OF-WAY SUPPORT                       | 0.00              |
| 088853 01  | RIGHT-OF-WAY SUPPORT                       | 0.00              |
| 088853 02  | RIGHT-OF-WAY SUPPORT                       | 0.00              |
| 088853 06  | RIGHT-OF-WAY SUPPORT                       | 0.00              |
| 088853 07  | RIGHT-OF-WAY SUPPORT                       | 0.00              |
| 088853 08  | RIGHT-OF-WAY SUPPORT                       | 0.00              |
| 088853 09  | RIGHT-OF-WAY SUPPORT                       | 0.00              |
| 088853 10  | RIGHT-OF-WAY SUPPORT                       | 75,253.49-        |
| 088853 97  | RIGHT-OF-WAY SUPPORT                       | 0.00              |
| 088853 98  | RIGHT-OF-WAY SUPPORT                       | 0.00              |
| 088853 99  | RIGHT-OF-WAY SUPPORT                       | 0.00              |
|            | ** GL 31340 TOTAL                          | 2,575,864.58-     |
| 35200      | DUE TO STATE FUNDS, WITHIN DEPARTMENT      |                   |
| 000000     | BALANCE BROUGHT FORWARD                    | 0.00              |
| 180000     | TRANSFERS                                  | 0.00              |
| 181201     | TR TO ST TRANSPORTATION TF BOND COMPLIANCE | 0.00              |
|            | ** GL 35200 TOTAL                          | 0.00              |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
20 2 586001 RIGHT-OF-WAY ACQUISITION/BRIDGE CONSTRUCTION TF

| G-L<br>CAT | G-L ACCOUNT NAME                            | BEGINNING BALANCE |
|------------|---------------------------------------------|-------------------|
| 35300      | DUE TO OTHER DEPARTMENTS                    |                   |
| 310018     | DIST OF DONATE ORG-PASS IT ON LIC PLATE FUN | 0.00              |
| 310403     | ASSESSMENT ON INVESTMENTS-DEPARTMENTAL USE  | 5,800.26-         |
|            | ** GL 35300 TOTAL                           | 5,800.26-         |
| 35340      | DUE TO OTHER DEPTS - UNRECORDED PAYABL      |                   |
| 088799 09  | BRIDGE CONSTRUCTION                         | 0.00              |
| 088853 02  | RIGHT-OF-WAY SUPPORT                        | 0.00              |
|            | ** GL 35340 TOTAL                           | 0.00              |
| 54900      | FUND BALANCE UNRESERVED                     |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 236,565,778.96-   |
| 54901      | BEGINNING FUND BALANCE - UNRESERVED         |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 221,882,041.87    |
| 54902      | FUND BALANCE - SWFS ADJUSTMENTS             |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 434,227.66        |
| 55100      | FUND BALANCE RESERVED FOR ENCUMBRANCES      |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 0.00              |
| 55400      | FB RESERVED FR ADVANCES TO OTHER FUNDS      |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 0.00              |
| 55600      | RESERVED FOR FCO AND GRANTS/AID - FCO       |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 39,193,245.90-    |
| 55900      | OTHER FUND BALANCE RESERVED                 |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 0.00              |
| 94100      | ENCUMBRANCES                                |                   |
| 088718 08  | CONSTRUCT INSPECT CONSULT                   | 973,601.45        |
| 088718 09  | CONSTRUCT INSPECT CONSULT                   | 1,058,376.88      |
| 088718 10  | CONSTRUCT INSPECT CONSULT                   | 1,806,265.60      |
| 088777 07  | RIGHT-OF-WAY LAND ACQ                       | 3,471,062.75      |
| 088799 09  | BRIDGE CONSTRUCTION                         | 10,665,749.51     |
| 088799 10  | BRIDGE CONSTRUCTION                         | 11,305,773.46     |
| 088849 07  | PRELIMINARY ENGR CONSULT                    | 232,408.69        |
| 088849 08  | PRELIMINARY ENGR CONSULT                    | 43,805.69         |
| 088849 09  | PRELIMINARY ENGR CONSULT                    | 254,338.47        |
| 088849 10  | PRELIMINARY ENGR CONSULT                    | 6,780,757.27      |
| 088853 06  | RIGHT-OF-WAY SUPPORT                        | 63,266.27         |
| 088853 07  | RIGHT-OF-WAY SUPPORT                        | 323,847.80        |
| 088853 08  | RIGHT-OF-WAY SUPPORT                        | 113,378.83        |

BEGINNING TRIAL BALANCE BY FUND  
 JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
 20 2 586001 RIGHT-OF-WAY ACQUISITION/BRIDGE CONSTRUCTION TF

| G-L<br>CAT | G-L ACCOUNT NAME                       | BEGINNING BALANCE |
|------------|----------------------------------------|-------------------|
| 088853 09  | RIGHT-OF-WAY SUPPORT                   | 430,307.32        |
| 088853 10  | RIGHT-OF-WAY SUPPORT                   | 1,670,305.91      |
|            | ** GL 94100 TOTAL                      | 39,193,245.90     |
| 98100      | BUDGETARY FND BAL RESERVED/ENCUMBRANCE |                   |
| 088718 08  | CONSTRUCT INSPECT CONSULT              | 973,601.45-       |
| 088718 09  | CONSTRUCT INSPECT CONSULT              | 1,058,376.88-     |
| 088718 10  | CONSTRUCT INSPECT CONSULT              | 1,806,265.60-     |
| 088777 07  | RIGHT-OF-WAY LAND ACQ                  | 3,471,062.75-     |
| 088799 09  | BRIDGE CONSTRUCTION                    | 10,665,749.51-    |
| 088799 10  | BRIDGE CONSTRUCTION                    | 11,305,773.46-    |
| 088849 07  | PRELIMINARY ENGR CONSULT               | 232,408.69-       |
| 088849 08  | PRELIMINARY ENGR CONSULT               | 43,805.69-        |
| 088849 09  | PRELIMINARY ENGR CONSULT               | 254,338.47-       |
| 088849 10  | PRELIMINARY ENGR CONSULT               | 6,780,757.27-     |
| 088853 02  | RIGHT-OF-WAY SUPPORT                   | 0.00              |
| 088853 06  | RIGHT-OF-WAY SUPPORT                   | 63,266.27-        |
| 088853 07  | RIGHT-OF-WAY SUPPORT                   | 323,847.80-       |
| 088853 08  | RIGHT-OF-WAY SUPPORT                   | 113,378.83-       |
| 088853 09  | RIGHT-OF-WAY SUPPORT                   | 430,307.32-       |
| 088853 10  | RIGHT-OF-WAY SUPPORT                   | 1,670,305.91-     |
|            | ** GL 98100 TOTAL                      | 39,193,245.90-    |
|            | *** FUND TOTAL                         | 0.00              |



BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
20 2 719003 FEDERAL EQUITABLE SHARING/LAW ENFORCEMENT TF DOT

| G-L<br>CAT      | G-L ACCOUNT NAME                                                       | BEGINNING BALANCE |
|-----------------|------------------------------------------------------------------------|-------------------|
| 12100<br>000000 | UNRELEASED CASH IN STATE TREASURY<br>BALANCE BROUGHT FORWARD           | 0.00              |
| 14100<br>000000 | POOLED INVESTMENTS WITH STATE TREASURY<br>BALANCE BROUGHT FORWARD      | 1,163,086.46      |
| 15300<br>000500 | INTEREST AND DIVIDENDS RECEIVABLE                                      | 2,852.58          |
| 31140<br>040000 | PAYABLES-YE UNREC. PAY. ADJ.<br>EXPENSES                               | 20.00-            |
| 35300<br>310403 | DUE TO OTHER DEPARTMENTS<br>ASSESSMENT ON INVESTMENTS-DEPARTMENTAL USE | 113.77-           |
| 54900<br>000000 | FUND BALANCE UNRESERVED<br>BALANCE BROUGHT FORWARD                     | 1,092,490.61-     |
| 54902<br>000000 | FUND BALANCE - SWFS ADJUSTMENTS<br>BALANCE BROUGHT FORWARD             | 10.05             |
| 55100<br>000000 | FUND BALANCE RESERVED FOR ENCUMBRANCES<br>BALANCE BROUGHT FORWARD      | 73,324.71-        |
| 94100           | ENCUMBRANCES                                                           |                   |
| 060000          | CF OPERATING CAPITAL OUTLAY                                            | 1,022.47          |
| 100021          | CF ACQUISITION/MOTOR VEHICLES                                          | 64,780.52         |
| 100777          | CF CONTRACTED SERVICES                                                 | 7,521.72          |
|                 | ** GL 94100 TOTAL                                                      | 73,324.71         |
| 98100           | BUDGETARY FND BAL RESERVED/ENCUMBRANCE                                 |                   |
| 060000          | CF OPERATING CAPITAL OUTLAY                                            | 1,022.47-         |
| 100021          | CF ACQUISITION/MOTOR VEHICLES                                          | 64,780.52-        |
| 100777          | CF CONTRACTED SERVICES                                                 | 7,521.72-         |
|                 | ** GL 98100 TOTAL                                                      | 73,324.71-        |
|                 | *** FUND TOTAL                                                         | 0.00              |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
20 2 729001 TOLL FACILITIES REVOLVING TF DOT

| G-L<br>CAT      | G-L ACCOUNT NAME                                                  | BEGINNING BALANCE |
|-----------------|-------------------------------------------------------------------|-------------------|
| 12100<br>000000 | UNRELEASED CASH IN STATE TREASURY<br>BALANCE BROUGHT FORWARD      | 0.00              |
| 14100<br>000000 | POOLED INVESTMENTS WITH STATE TREASURY<br>BALANCE BROUGHT FORWARD | 13,258,454.49     |
| 15300<br>000500 | INTEREST AND DIVIDENDS RECEIVABLE                                 | 40,920.55         |
| 190000          | PURCHASE OF INVESTMENTS                                           | 0.00              |
|                 | ** GL 15300 TOTAL                                                 | 40,920.55         |
| 16200<br>002300 | DUE FROM STATE FUNDS, WITHIN DEPART.                              | 0.00              |
| 16500<br>000000 | DUE FROM OTHER GOVERNMENTAL UNITS<br>BALANCE BROUGHT FORWARD      | 4,856,530.18      |
| 25300<br>000000 | LOANS/NOTES REC FROM OTHER GOVERNMENTS<br>BALANCE BROUGHT FORWARD | 24,316,515.19     |
| 001500          |                                                                   | 0.00              |
| 002300          |                                                                   | 10,200,252.13-    |
|                 | ** GL 25300 TOTAL                                                 | 14,116,263.06     |
| 25321<br>000000 | LONG TERM REC-THCEA-TFRTF<br>BALANCE BROUGHT FORWARD              | 22,561,242.40     |
| 002000          |                                                                   | 0.00              |
| 002300          |                                                                   | 8,576,377.30-     |
|                 | ** GL 25321 TOTAL                                                 | 13,984,865.10     |
| 25322<br>000000 | LONG TERM REC-MIDBAY-TFRTF<br>BALANCE BROUGHT FORWARD             | 1,500,000.00      |
| 002300          |                                                                   | 260,781.48-       |
|                 | ** GL 25322 TOTAL                                                 | 1,239,218.52      |
| 25323<br>000000 | LONG TERM REC-OOCEA-TFRTF<br>BALANCE BROUGHT FORWARD              | 0.00              |
| 002300          |                                                                   | 0.00              |
|                 | ** GL 25323 TOTAL                                                 | 0.00              |
| 25600<br>000000 | LONG TERM INTEREST RECEIVABLE<br>BALANCE BROUGHT FORWARD          | 0.00              |
| 000500          |                                                                   | 1,137,995.52      |
| 002300          |                                                                   | 0.00              |
|                 | ** GL 25600 TOTAL                                                 | 1,137,995.52      |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
20 2 729001 TOLL FACILITIES REVOLVING TF DOT

| G-L<br>CAT | G-L ACCOUNT NAME                           | BEGINNING BALANCE |
|------------|--------------------------------------------|-------------------|
| 25710      | ADVANCES TO TURNPIKE GEN RESV- PKYI        |                   |
| 000000     | BALANCE BROUGHT FORWARD                    | 9,000,000.00      |
| 001500     |                                            | 1.00-             |
|            | ** GL 25710 TOTAL                          | 8,999,999.00      |
| 25715      | ADVANCES FOR SEMINOLE PROJECTS             |                   |
| 002300     |                                            | 0.00              |
| 25900      | ALLOWANCE FOR UNCOLLECTIBLES               |                   |
| 000000     | BALANCE BROUGHT FORWARD                    | 9,880,640.22-     |
| 25905      | ALLOW FOR UNCOLLECTABLE-PALM BCH           |                   |
| 000000     | BALANCE BROUGHT FORWARD                    | 0.00              |
| 35300      | DUE TO OTHER DEPARTMENTS                   |                   |
| 310403     | ASSESSMENT ON INVESTMENTS-DEPARTMENTAL USE | 1,317.61-         |
| 38910      | DEFERRED REVENUE - LONG TERM RECEIVBLS     |                   |
| 000000     | BALANCE BROUGHT FORWARD                    | 0.00              |
| 000500     |                                            | 0.00              |
| 002300     |                                            | 0.00              |
|            | ** GL 38910 TOTAL                          | 0.00              |
| 48900      | DEFERRED REVENUE - LONG TERM               |                   |
| 000500     |                                            | 1,137,995.52-     |
| 54900      | FUND BALANCE UNRESERVED                    |                   |
| 000000     | BALANCE BROUGHT FORWARD                    | 17,656,475.82-    |
| 54902      | FUND BALANCE - SWFS ADJUSTMENTS            |                   |
| 000000     | BALANCE BROUGHT FORWARD                    | 1,888.21          |
| 55100      | FUND BALANCE RESERVED FOR ENCUMBRANCES     |                   |
| 000000     | BALANCE BROUGHT FORWARD                    | 0.00              |
| 55400      | FB RESERVED FR ADVANCES TO OTHER FUNDS     |                   |
| 000000     | BALANCE BROUGHT FORWARD                    | 8,999,999.00-     |
| 55500      | FB RESERVED FOR LONG-TERM RECEIVABLES      |                   |
| 000000     | BALANCE BROUGHT FORWARD                    | 19,459,706.46-    |
| 55600      | RESERVED FOR FCO AND GRANTS/AID - FCO      |                   |
| 000000     | BALANCE BROUGHT FORWARD                    | 500,000.00-       |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
20 2 729001 TOLL FACILITIES REVOLVING TF DOT

| G-L<br>CAT     | G-L ACCOUNT NAME                       | BEGINNING BALANCE |
|----------------|----------------------------------------|-------------------|
| 94100          | ENCUMBRANCES                           |                   |
| 088856 06      | G/A-TRANS EXPRESSWAY AUTH              | 500,000.00        |
| 98100          | BUDGETARY FND BAL RESERVED/ENCUMBRANCE |                   |
| 088856 06      | G/A-TRANS EXPRESSWAY AUTH              | 500,000.00-       |
| *** FUND TOTAL |                                        | 0.00              |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
20 2 731001 TRANSPORTATION DISADVANTAGED TRUST FUND DOT

| G-L<br>CAT      | G-L ACCOUNT NAME                                                       | BEGINNING BALANCE |
|-----------------|------------------------------------------------------------------------|-------------------|
| 12100<br>000000 | UNRELEASED CASH IN STATE TREASURY<br>BALANCE BROUGHT FORWARD           | 438,833.15        |
| 14100<br>000000 | POOLED INVESTMENTS WITH STATE TREASURY<br>BALANCE BROUGHT FORWARD      | 14,525,988.08     |
| 15300<br>000500 | INTEREST AND DIVIDENDS RECEIVABLE                                      | 48,068.86         |
| 190000          | PURCHASE OF INVESTMENTS                                                | 0.00              |
|                 | ** GL 15300 TOTAL                                                      | 48,068.86         |
| 16394<br>000000 | DUE FROM HSMV<br>BALANCE BROUGHT FORWARD                               | 945,708.78-       |
| 001500          |                                                                        | 945,708.78        |
|                 | ** GL 16394 TOTAL                                                      | 0.00              |
| 16395<br>001500 | DEPART HWY SAFTEY/DEPT REVENUE - TAXES                                 | 2,811,991.50      |
| 16410<br>000700 | DUE FROM FEDERAL GOVERNMENT - PARTICIP                                 | 0.00              |
| 31140<br>040000 | PAYABLES-YE UNREC. PAY. ADJ.<br>EXPENSES                               | 6,428.27-         |
| 060000          | OPERATING CAPITAL OUTLAY                                               | 188.97-           |
| 100777          | CONTRACTED SERVICES                                                    | 0.00              |
| 108846          | G/A-TRANSPORT DISADVANTAGE                                             | 2,424,371.41-     |
| 108847          | G/A-TRANS DISADV/MEDICAID                                              | 4,561,908.00-     |
|                 | ** GL 31140 TOTAL                                                      | 6,992,896.65-     |
| 32100<br>010000 | ACCRUED SALARIES AND WAGES<br>SALARIES AND BENEFITS                    | 0.00              |
| 010000          | CF SALARIES AND BENEFITS                                               | 10,926.85-        |
| 030000          | OTHER PERSONAL SERVICES                                                | 0.00              |
| 030000          | CF OTHER PERSONAL SERVICES                                             | 732.02-           |
|                 | ** GL 32100 TOTAL                                                      | 11,658.87-        |
| 35300<br>310403 | DUE TO OTHER DEPARTMENTS<br>ASSESSMENT ON INVESTMENTS-DEPARTMENTAL USE | 1,917.25-         |
| 35340<br>030000 | DUE TO OTHER DEPTS - UNRECORDED PAYABL<br>OTHER PERSONAL SERVICES      | 0.00              |
| 040000          | EXPENSES                                                               | 0.00              |
| 108846          | G/A-TRANSPORT DISADVANTAGE                                             | 0.00              |
|                 | ** GL 35340 TOTAL                                                      | 0.00              |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
20 2 731001 TRANSPORTATION DISADVANTAGED TRUST FUND DOT

| G-L<br>CAT      | G-L ACCOUNT NAME                                                  | BEGINNING BALANCE |
|-----------------|-------------------------------------------------------------------|-------------------|
| 38600<br>000000 | CURRENT COMPENSATED ABSENCES LIABILITY<br>BALANCE BROUGHT FORWARD | 10,560.38-        |
| 54900<br>000000 | FUND BALANCE UNRESERVED<br>BALANCE BROUGHT FORWARD                | 4,121,850.23-     |
| 54902<br>000000 | FUND BALANCE - SWFS ADJUSTMENTS<br>BALANCE BROUGHT FORWARD        | 4,121,850.23      |
| 55100<br>000000 | FUND BALANCE RESERVED FOR ENCUMBRANCES<br>BALANCE BROUGHT FORWARD | 10,807,848.44-    |
| 94100           | ENCUMBRANCES                                                      |                   |
| 088846 06       | G/A-TRANSPORT DISADVANTAGE                                        | 10,876.08         |
| 088847 06       | G/A-TRANS DISADV/MEDICAID                                         | 322,201.36        |
| 100777 CF       | CONTRACTED SERVICES                                               | 66,117.28         |
| 108846 CF       | G/A-TRANSPORT DISADVANTAGE                                        | 7,610,249.97      |
| 108847 CF       | G/A-TRANS DISADV/MEDICAID                                         | 10,458,290.00     |
|                 | ** GL 94100 TOTAL                                                 | 18,467,734.69     |
| 98100           | BUDGETARY FND BAL RESERVED/ENCUMBRANCE                            |                   |
| 088846 06       | G/A-TRANSPORT DISADVANTAGE                                        | 10,876.08-        |
| 088847 06       | G/A-TRANS DISADV/MEDICAID                                         | 322,201.36-       |
| 100777 CF       | CONTRACTED SERVICES                                               | 66,117.28-        |
| 108846 CF       | G/A-TRANSPORT DISADVANTAGE                                        | 7,610,249.97-     |
| 108847 CF       | G/A-TRANS DISADV/MEDICAID                                         | 10,458,290.00-    |
|                 | ** GL 98100 TOTAL                                                 | 18,467,734.69-    |
|                 | *** FUND TOTAL                                                    | 0.00              |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
50 2 324001 TURNPIKE RENEWAL & REPLACEMENT TRUST FUND DOT

| G-L<br>CAT      | G-L ACCOUNT NAME                                                    | BEGINNING BALANCE |
|-----------------|---------------------------------------------------------------------|-------------------|
| 12100<br>000000 | UNRELEASED CASH IN STATE TREASURY<br>BALANCE BROUGHT FORWARD        | 110,121.38        |
| 14100<br>000000 | POOLED INVESTMENTS WITH STATE TREASURY<br>BALANCE BROUGHT FORWARD   | 20,437,889.80     |
| 15300<br>000000 | INTEREST AND DIVIDENDS RECEIVABLE<br>BALANCE BROUGHT FORWARD        | 0.00              |
| 000500          |                                                                     | 53,988.79         |
| 190000          | PURCHASE OF INVESTMENTS                                             | 0.00              |
|                 | ** GL 15300 TOTAL                                                   | 53,988.79         |
| 26300<br>000000 | INFRASTRUCTURE - NONDEPRECIABLE<br>BALANCE BROUGHT FORWARD          | 17,546,614.07     |
| 088716          | INTRASTATE HIGHWAY CONSTR                                           | 9,399,822.84      |
| 088718          | CONSTRUCT INSPECT CONSULT                                           | 3,344.83          |
| 088920          | TURNPIKE SYS EQUIP & DEVEL                                          | 3,218,494.54      |
| 310308          | REIMBURSE ST TRANSPORT TF                                           | 29,482,842.90     |
|                 | ** GL 26300 TOTAL                                                   | 59,651,119.18     |
| 27200<br>088716 | BUILDINGS AND BUILDING IMPROVEMENTS<br>INTRASTATE HIGHWAY CONSTR    | 790,278.84        |
| 088920          | TURNPIKE SYS EQUIP & DEVEL                                          | 49,065.49         |
|                 | ** GL 27200 TOTAL                                                   | 839,344.33        |
| 27300<br>088716 | ACC DEPR - BUILDINGS & BUILDING IMPROV<br>INTRASTATE HIGHWAY CONSTR | 92,017.66-        |
| 088920          | TURNPIKE SYS EQUIP & DEVEL                                          | 10,358.04-        |
|                 | ** GL 27300 TOTAL                                                   | 102,375.70-       |
| 27600<br>088716 | FURNITURE AND EQUIPMENT<br>INTRASTATE HIGHWAY CONSTR                | 697,947.44        |
| 088716 05       | INTRASTATE HIGHWAY CONSTR                                           | 0.00              |
| 088849          | PRELIMINARY ENGR CONSULT                                            | 318,984.48        |
| 088849 04       | PRELIMINARY ENGR CONSULT                                            | 0.00              |
| 088920          | TURNPIKE SYS EQUIP & DEVEL                                          | 3,827,454.28      |
| 088920 03       | TURNPIKE SYS EQUIP & DEVEL                                          | 0.00              |
| 088920 08       | TURNPIKE SYS EQUIP & DEVEL                                          | 0.00              |
| 310308          | REIMBURSE ST TRANSPORT TF                                           | 84,764.91         |
|                 | ** GL 27600 TOTAL                                                   | 4,929,151.11      |
| 27700<br>088716 | ACC DEPR - FURNITURE & EQUIPMENT<br>INTRASTATE HIGHWAY CONSTR       | 452,408.80-       |
| 088849          | PRELIMINARY ENGR CONSULT                                            | 233,248.54-       |
| 088920          | TURNPIKE SYS EQUIP & DEVEL                                          | 3,905,927.57-     |
|                 | ** GL 27700 TOTAL                                                   | 4,591,584.91-     |

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550000 DEPARTMENT OF TRANSPORTATION  
50 2 324001 TURNPIKE RENEWAL & REPLACEMENT TRUST FUND DOT

| G-L<br>CAT | G-L ACCOUNT NAME                      | BEGINNING BALANCE |
|------------|---------------------------------------|-------------------|
| 27800      | CONSTRUCTION WORK IN PROGRESS         |                   |
| 088716     | INTRASTATE HIGHWAY CONSTR             | 2,855,066.49-     |
| 088718     | CONSTRUCT INSPECT CONSULT             | 599.94            |
| 088849     | PRELIMINARY ENGR CONSULT              | 23,303.91         |
| 088920     | TURNPIKE SYS EQUIP & DEVEL            | 6,385,692.27      |
| 310308     | REIMBURSE ST TRANSPORT TF             | 3,530,423.37-     |
|            | ** GL 27800 TOTAL                     | 24,106.26         |
| 31140      | PAYABLES-YE UNREC. PAY. ADJ.          |                   |
| 088920 08  | TURNPIKE SYS EQUIP & DEVEL            | 0.00              |
| 31300      | CONSTRUCTION CONTRACTS PAYABLE        |                   |
| 088716 02  | INTRASTATE HIGHWAY CONSTR             | 0.00              |
| 088716 06  | INTRASTATE HIGHWAY CONSTR             | 9,579.75-         |
| 088797 99  | RESURFACING                           | 0.00              |
| 088799 01  | BRIDGE CONSTRUCTION                   | 0.00              |
|            | ** GL 31300 TOTAL                     | 9,579.75-         |
| 31310      | RETAINAGE/LIQUIDATED DAMAGES          |                   |
| 088716 02  | INTRASTATE HIGHWAY CONSTR             | 0.00              |
| 088716 06  | INTRASTATE HIGHWAY CONSTR             | 8,700.00-         |
| 088716 09  | INTRASTATE HIGHWAY CONSTR             | 1,112.00-         |
| 088797 09  | RESURFACING                           | 105,337.13-       |
| 088797 10  | RESURFACING                           | 33,292.00-        |
| 088799 01  | BRIDGE CONSTRUCTION                   | 0.00              |
|            | ** GL 31310 TOTAL                     | 148,441.13-       |
| 31340      | PAYABLES-YE UNREC. PAY. ADJ.- CONSTRU |                   |
| 088716 05  | INTRASTATE HIGHWAY CONSTR             | 0.00              |
| 088716 08  | INTRASTATE HIGHWAY CONSTR             | 0.00              |
| 088716 09  | INTRASTATE HIGHWAY CONSTR             | 35,865.35-        |
| 088716 10  | INTRASTATE HIGHWAY CONSTR             | 630,059.37-       |
| 088718 07  | CONSTRUCT INSPECT CONSULT             | 0.00              |
| 088718 08  | CONSTRUCT INSPECT CONSULT             | 0.00              |
| 088718 09  | CONSTRUCT INSPECT CONSULT             | 2,546.43-         |
| 088849 07  | PRELIMINARY ENGR CONSULT              | 0.00              |
| 088849 08  | PRELIMINARY ENGR CONSULT              | 0.00              |
| 088849 09  | PRELIMINARY ENGR CONSULT              | 0.00              |
| 088849 10  | PRELIMINARY ENGR CONSULT              | 55,683.54-        |
|            | ** GL 31340 TOTAL                     | 724,154.69-       |
| 35200      | DUE TO STATE FUNDS, WITHIN DEPARTMENT |                   |
| 000000     | BALANCE BROUGHT FORWARD               | 0.00              |
| 310308     | REIMBURSE ST TRANSPORT TF             | 1,117.32-         |
|            | ** GL 35200 TOTAL                     | 1,117.32-         |



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50 2 324001 TURNPIKE RENEWAL & REPLACEMENT TRUST FUND DOT

| G-L<br>CAT | G-L ACCOUNT NAME                            | BEGINNING BALANCE |
|------------|---------------------------------------------|-------------------|
| 35300      | DUE TO OTHER DEPARTMENTS                    |                   |
| 310018     | DIST OF DONATE ORG-PASS IT ON LIC PLATE FUN | 0.00              |
| 310403     | ASSESSMENT ON INVESTMENTS-DEPARTMENTAL USE  | 2,153.37-         |
|            | ** GL 35300 TOTAL                           | 2,153.37-         |
| 35340      | DUE TO OTHER DEPTS - UNRECORDED PAYABL      |                   |
| 088797 08  | RESURFACING                                 | 0.00              |
| 088797 09  | RESURFACING                                 | 442.86-           |
|            | ** GL 35340 TOTAL                           | 442.86-           |
| 51100      | GENERAL LEDGER NAME NOT ON FILE             |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 5,663,548.45      |
| 088716     | INTRASTATE HIGHWAY CONSTR                   | 1,273,235.07-     |
| 088849     | PRELIMINARY ENGR CONSULT                    | 458,060.76-       |
| 088920     | TURNPIKE SYS EQUIP & DEVEL                  | 3,932,252.62-     |
|            | ** GL 51100 TOTAL                           | 0.00              |
| 53600      | INVESTED IN CAPITAL ASSETS NET OF RELA      |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 60,749,760.27-    |
| 53900      | NET ASSETS UNRESTRICTED                     |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 19,716,110.85-    |
| 94100      | ENCUMBRANCES                                |                   |
| 088716 06  | INTRASTATE HIGHWAY CONSTR                   | 18,595.90         |
| 088716 07  | INTRASTATE HIGHWAY CONSTR                   | 83,520.05         |
| 088716 08  | INTRASTATE HIGHWAY CONSTR                   | 142,101.20        |
| 088716 09  | INTRASTATE HIGHWAY CONSTR                   | 316,331.60        |
| 088716 10  | INTRASTATE HIGHWAY CONSTR                   | 2,409,194.05      |
| 088718 06  | CONSTRUCT INSPECT CONSULT                   | 129,950.60        |
| 088718 07  | CONSTRUCT INSPECT CONSULT                   | 15,873.38         |
| 088718 08  | CONSTRUCT INSPECT CONSULT                   | 4,860.72          |
| 088718 09  | CONSTRUCT INSPECT CONSULT                   | 60,831.72         |
| 088718 10  | CONSTRUCT INSPECT CONSULT                   | 945.00            |
| 088797 08  | RESURFACING                                 | 1,034,664.92      |
| 088797 09  | RESURFACING                                 | 1,953,668.01      |
| 088797 10  | RESURFACING                                 | 203,551.70        |
| 088799 10  | BRIDGE CONSTRUCTION                         | 28,320.00         |
| 088849 05  | PRELIMINARY ENGR CONSULT                    | 40,919.10         |
| 088849 06  | PRELIMINARY ENGR CONSULT                    | 9,889.02          |
| 088849 07  | PRELIMINARY ENGR CONSULT                    | 1,177,796.52      |
| 088849 08  | PRELIMINARY ENGR CONSULT                    | 7,207.51          |
| 088849 09  | PRELIMINARY ENGR CONSULT                    | 19,346.03         |
| 088849 10  | PRELIMINARY ENGR CONSULT                    | 3,141,141.54      |
| 088920 10  | TURNPIKE SYS EQUIP & DEVEL                  | 498,018.50        |
|            | ** GL 94100 TOTAL                           | 11,296,727.07     |

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550000 DEPARTMENT OF TRANSPORTATION  
 50 2 324001 TURNPIKE RENEWAL & REPLACEMENT TRUST FUND DOT

| G-L<br>CAT | G-L ACCOUNT NAME                       | BEGINNING BALANCE |
|------------|----------------------------------------|-------------------|
| 98100      | BUDGETARY FND BAL RESERVED/ENCUMBRANCE |                   |
| 088716 06  | INTRASTATE HIGHWAY CONSTR              | 18,595.90-        |
| 088716 07  | INTRASTATE HIGHWAY CONSTR              | 83,520.05-        |
| 088716 08  | INTRASTATE HIGHWAY CONSTR              | 142,101.20-       |
| 088716 09  | INTRASTATE HIGHWAY CONSTR              | 316,331.60-       |
| 088716 10  | INTRASTATE HIGHWAY CONSTR              | 2,409,194.05-     |
| 088718 06  | CONSTRUCT INSPECT CONSULT              | 129,950.60-       |
| 088718 07  | CONSTRUCT INSPECT CONSULT              | 15,873.38-        |
| 088718 08  | CONSTRUCT INSPECT CONSULT              | 4,860.72-         |
| 088718 09  | CONSTRUCT INSPECT CONSULT              | 60,831.72-        |
| 088718 10  | CONSTRUCT INSPECT CONSULT              | 945.00-           |
| 088797 08  | RESURFACING                            | 1,034,664.92-     |
| 088797 09  | RESURFACING                            | 1,953,668.01-     |
| 088797 10  | RESURFACING                            | 203,551.70-       |
| 088799 10  | BRIDGE CONSTRUCTION                    | 28,320.00-        |
| 088849 05  | PRELIMINARY ENGR CONSULT               | 40,919.10-        |
| 088849 06  | PRELIMINARY ENGR CONSULT               | 9,889.02-         |
| 088849 07  | PRELIMINARY ENGR CONSULT               | 1,177,796.52-     |
| 088849 08  | PRELIMINARY ENGR CONSULT               | 7,207.51-         |
| 088849 09  | PRELIMINARY ENGR CONSULT               | 19,346.03-        |
| 088849 10  | PRELIMINARY ENGR CONSULT               | 3,141,141.54-     |
| 088920 10  | TURNPIKE SYS EQUIP & DEVEL             | 498,018.50-       |
|            | ** GL 98100 TOTAL                      | 11,296,727.07-    |
|            | *** FUND TOTAL                         | 0.00              |

BEGINNING TRIAL BALANCE BY FUND  
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550000 DEPARTMENT OF TRANSPORTATION  
50 2 326001 TURNPIKE GENERAL RESERVE TRUST FUND DOT

| G-L<br>CAT      | G-L ACCOUNT NAME                                                  | BEGINNING BALANCE |
|-----------------|-------------------------------------------------------------------|-------------------|
| 11100<br>000000 | CASH ON HAND<br>BALANCE BROUGHT FORWARD                           | 792,042.29        |
| 11200<br>000000 | CASH IN BANK<br>BALANCE BROUGHT FORWARD                           | 3,344,444.96      |
| 11210<br>000000 | CASH IN BANK - SUNPASS<br>BALANCE BROUGHT FORWARD                 | 7,750,231.61      |
| 12100<br>000000 | UNRELEASED CASH IN STATE TREASURY<br>BALANCE BROUGHT FORWARD      | 1,225,629.87      |
| 14100<br>000000 | POOLED INVESTMENTS WITH STATE TREASURY<br>BALANCE BROUGHT FORWARD | 345,869,379.40    |
| 14400<br>000000 | NON-STATE AND CU INVESTMENTS WITH STAT<br>BALANCE BROUGHT FORWARD | 8,656,470.44      |
| 15100<br>000000 | ACCOUNTS RECEIVABLE<br>BALANCE BROUGHT FORWARD                    | 1,696,971.53      |
| 000120          |                                                                   | 0.00              |
| 001800          |                                                                   | 0.00              |
| 001801          |                                                                   | 0.00              |
| 002801          |                                                                   | 0.00              |
| 004000          |                                                                   | 0.00              |
| 088716          | 04 INTRASTATE HIGHWAY CONSTR                                      | 0.00              |
|                 | ** GL 15100 TOTAL                                                 | 1,696,971.53      |
| 15140<br>000000 | ACCOUNT RECEIVABLE - VOICESTREAM<br>BALANCE BROUGHT FORWARD       | 1,310,678.37      |
| 15300<br>000000 | INTEREST AND DIVIDENDS RECEIVABLE<br>BALANCE BROUGHT FORWARD      | 9,186.50          |
| 000500          |                                                                   | 800,300.66        |
| 190000          | PURCHASE OF INVESTMENTS                                           | 0.00              |
|                 | ** GL 15300 TOTAL                                                 | 809,487.16        |
| 15310<br>000000 | INTEREST RECEIVABLE FROM DFS<br>BALANCE BROUGHT FORWARD           | 43,090.00         |
| 15510<br>001801 | CONTRACTS & GRANTS RECEIVABLE - PARTIC                            | 1,053,392.08      |

BEGINNING TRIAL BALANCE BY FUND  
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550000 DEPARTMENT OF TRANSPORTATION  
50 2 326001 TURNPIKE GENERAL RESERVE TRUST FUND DOT

| G-L<br>CAT                                              | G-L ACCOUNT NAME                                                  | BEGINNING BALANCE |
|---------------------------------------------------------|-------------------------------------------------------------------|-------------------|
| 15700<br>000000                                         | FEES RECEIVABLE<br>BALANCE BROUGHT FORWARD                        | 143.00            |
| 15990<br>000000<br>001800                               | DOUBTFUL ACCTS - SWFS ADJUSTMENTS<br>BALANCE BROUGHT FORWARD      | 0.00              |
|                                                         | ** GL 15990 TOTAL                                                 | 0.00              |
| 16200<br>000000<br>001500<br>001800<br>002102           | DUE FROM STATE FUNDS, WITHIN DEPART.<br>BALANCE BROUGHT FORWARD   | 851,673.83        |
|                                                         |                                                                   | 117,901,353.54    |
|                                                         |                                                                   | 0.00              |
|                                                         |                                                                   | 16,666.67         |
|                                                         | ** GL 16200 TOTAL                                                 | 118,769,694.04    |
| 16300<br>000000<br>001510                               | DUE FROM OTHER DEPARTMENTS<br>BALANCE BROUGHT FORWARD             | 595,391.29        |
|                                                         |                                                                   | 84,293.50         |
|                                                         | ** GL 16300 TOTAL                                                 | 679,684.79        |
| 16310<br>000000<br>001800                               | DUE FROM OTHER DEPARTMENTS - PARTICIPA<br>BALANCE BROUGHT FORWARD | 0.00              |
|                                                         |                                                                   | 0.00              |
|                                                         | ** GL 16310 TOTAL                                                 | 0.00              |
| 16320<br>000000<br>000500<br>001500<br>001801<br>310308 | DUE FROM DFS / STTF - JPA'S<br>BALANCE BROUGHT FORWARD            | 0.00              |
|                                                         |                                                                   | 0.00              |
|                                                         |                                                                   | 0.00              |
|                                                         |                                                                   | 0.00              |
|                                                         | REIMBURSE ST TRANSPORT TF                                         | 0.00              |
|                                                         | ** GL 16320 TOTAL                                                 | 0.00              |
| 16340<br>000000<br>001500                               | DUE FROM DFS FOR SIB LOANS<br>BALANCE BROUGHT FORWARD             | 0.00              |
|                                                         |                                                                   | 0.00              |
|                                                         | ** GL 16340 TOTAL                                                 | 0.00              |
| 16500<br>001202                                         | DUE FROM OTHER GOVERNMENTAL UNITS                                 | 0.00              |
| 16510<br>000000<br>001500<br>001800                     | DUE FROM CITIES - PARTICIPATIONS<br>BALANCE BROUGHT FORWARD       | 0.00              |
|                                                         |                                                                   | 0.00              |
|                                                         |                                                                   | 0.00              |

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550000 DEPARTMENT OF TRANSPORTATION  
50 2 326001 TURNPIKE GENERAL RESERVE TRUST FUND DOT

| G-L<br>CAT | G-L ACCOUNT NAME                       | BEGINNING BALANCE |
|------------|----------------------------------------|-------------------|
| 001801     |                                        | 17,500.00         |
| 001905     |                                        | 0.00              |
|            | ** GL 16510 TOTAL                      | 17,500.00         |
| 16520      | DUE FROM COUNTIES - PARTICIPATIONS     |                   |
| 001101     |                                        | 0.00              |
| 001800     |                                        | 0.00              |
| 001801     |                                        | 64,599.39         |
| 001905     |                                        | 0.00              |
|            | ** GL 16520 TOTAL                      | 64,599.39         |
| 16530      | DUE FROM OTHER GOV UNITS - PARTICIPATI |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 001101     |                                        | 0.00              |
| 001500     |                                        | 0.00              |
| 001801     |                                        | 0.00              |
|            | ** GL 16530 TOTAL                      | 0.00              |
| 17200      | GOODS PURCHASED FOR RESALE             |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 5,235,540.94      |
| 19100      | PREPAID ITEMS                          |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 208.33            |
| 22300      | RESTRICTED CASH W/STATE BOARD OF ADM.  |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 36,137.69         |
| 22600      | REST. INVESTMENT WITH SBA              |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 329,241,089.48    |
| 002000     |                                        | 60,260,144.80-    |
|            | ** GL 22600 TOTAL                      | 268,980,944.68    |
| 22605      | REVALUATION OF INVESTMENTS TO FAIR MKT |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 136,074.13        |
| 25100      | ADVANCES TO OTHER FUNDS BETWEEN DEPART |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 25111      | ADVANCES - HSMV                        |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 500,000.00        |
| 25200      | DEFERRED CHARGES                       |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 15,470,565.64     |

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550000 DEPARTMENT OF TRANSPORTATION  
50 2 326001 TURNPIKE GENERAL RESERVE TRUST FUND DOT

| G-L<br>CAT | G-L ACCOUNT NAME                       | BEGINNING BALANCE |
|------------|----------------------------------------|-------------------|
| 26300      | INFRASTRUCTURE - NONDEPRECIABLE        |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 629,082,563.54-   |
| 060000     | OPERATING CAPITAL OUTLAY               | 105,112.16-       |
| 088513     | FLD FAC REP/RENO/ADD-STW               | 73,478.25-        |
| 088716     | INTRASTATE HIGHWAY CONSTR              | 110,033,594.41    |
| 088718     | CONSTRUCT INSPECT CONSULT              | 32,516,301.46     |
| 088777     | RIGHT-OF-WAY LAND ACQ                  | 6,572,549.93      |
| 088849     | PRELIMINARY ENGR CONSULT               | 194,220,430.66    |
| 180000     | TRANSFERS                              | 88,096,789.83     |
| 310308     | REIMBURSE ST TRANSPORT TF              | 5,784,978,797.31  |
|            | ** GL 26300 TOTAL                      | 5,587,157,309.65  |
| 26600      | ART & HISTORICAL TREASURES - NONDEPREC |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 18,175.00         |
| 082310     | RELOC TURNPK HQ TO ORLANDO             | 109,872.35        |
| 088716     | INTRASTATE HIGHWAY CONSTR              | 35,271.85         |
| 130200     | DOT CARRYFORWARD FUNDS                 | 13,750.00         |
|            | ** GL 26600 TOTAL                      | 177,069.20        |
| 27100      | LAND AND LAND IMPROVEMENTS             |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 163,176,763.46    |
| 002100     |                                        | 2,910,050.00-     |
| 060000     | OPERATING CAPITAL OUTLAY               | 551,887.67        |
| 082313 99  | CONST/TPK OPER FAC-ORLANDO             | 0.00              |
| 088716     | INTRASTATE HIGHWAY CONSTR              | 24,440.68         |
| 088777     | RIGHT-OF-WAY LAND ACQ                  | 257,546,347.82    |
| 088777 03  | RIGHT-OF-WAY LAND ACQ                  | 118,190.09        |
| 088777 04  | RIGHT-OF-WAY LAND ACQ                  | 677.72            |
| 088777 05  | RIGHT-OF-WAY LAND ACQ                  | 1,291,699.10      |
| 088777 06  | RIGHT-OF-WAY LAND ACQ                  | 45,577.37         |
| 088777 07  | RIGHT-OF-WAY LAND ACQ                  | 2,130.71          |
| 088777 08  | RIGHT-OF-WAY LAND ACQ                  | 1,272.58          |
| 088777 09  | RIGHT-OF-WAY LAND ACQ                  | 160,644.96        |
| 088849     | PRELIMINARY ENGR CONSULT               | 2,240,393.87      |
| 088853     | RIGHT-OF-WAY SUPPORT                   | 95,184.26         |
| 088853 02  | RIGHT-OF-WAY SUPPORT                   | 49,530.21         |
| 088853 04  | RIGHT-OF-WAY SUPPORT                   | 67,756.53         |
| 088853 05  | RIGHT-OF-WAY SUPPORT                   | 111,931.09        |
| 088853 06  | RIGHT-OF-WAY SUPPORT                   | 233,689.70        |
| 088853 07  | RIGHT-OF-WAY SUPPORT                   | 19,365.74         |
| 088853 08  | RIGHT-OF-WAY SUPPORT                   | 106,679.84        |
| 088853 09  | RIGHT-OF-WAY SUPPORT                   | 12,306.14         |
| 088920     | TURNPIKE SYS EQUIP & DEVEL             | 51,481.93         |
| 088920 05  | TURNPIKE SYS EQUIP & DEVEL             | 0.00              |
| 180000     | TRANSFERS                              | 1,476,551.42-     |

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50 2 326001 TURNPIKE GENERAL RESERVE TRUST FUND DOT

| G-L<br>CAT | G-L ACCOUNT NAME                       | BEGINNING BALANCE |
|------------|----------------------------------------|-------------------|
| 310308     | REIMBURSE ST TRANSPORT TF              | 333,327,765.58    |
|            | ** GL 27100 TOTAL                      | 754,849,115.63    |
| 27105      | TURNPIKE RIGHT-OF-WAYLAND              |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 115,645,625.37    |
| 088853     | RIGHT-OF-WAY SUPPORT                   | 19,857.15         |
| 310308     | REIMBURSE ST TRANSPORT TF              | 524,819.15        |
|            | ** GL 27105 TOTAL                      | 116,190,301.67    |
| 27200      | BUILDINGS AND BUILDING IMPROVEMENTS    |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 135,939,077.95    |
| 040000     | EXPENSES                               | 81,734.00         |
| 060000     | OPERATING CAPITAL OUTLAY               | 1,340,296.20      |
| 080002     | MINOR REPAIRS/IMPROV-STATE             | 228,955.01        |
| 082310     | RELOC TURNPK HQ TO ORLANDO             | 19,034,672.64     |
| 082312     | CONST/TPK LAW ENF BLDG-ORL             | 3,722,062.99      |
| 082313     | CONST/TPK OPER FAC-ORLANDO             | 1,391,822.20      |
| 088513     | FLD FAC REP/RENO/ADD-STW               | 120,229.65        |
| 088539     | CONST/LE/800MHZ/FAC-WPB                | 7,809,222.47      |
| 088712     | HIGHWAY MAINTENANCE CONTR              | 20,524.28         |
| 088716     | INTRASTATE HIGHWAY CONSTR              | 66,409,894.24     |
| 088718     | CONSTRUCT INSPECT CONSULT              | 541,095.00        |
| 088777     | RIGHT-OF-WAY LAND ACQ                  | 57,100.00         |
| 088849     | 08 PRELIMINARY ENGR CONSULT            | 0.00              |
| 088886     | CATEGORY NAME NOT ON TITLE FILE        | 6,137,100.79      |
| 088920     | TURNPIKE SYS EQUIP & DEVEL             | 953,718.16        |
| 130200     | DOT CARRYFORWARD FUNDS                 | 6,591.75          |
| 180000     | TRANSFERS                              | 6,996.00-         |
| 310308     | REIMBURSE ST TRANSPORT TF              | 8,895,873.27      |
|            | ** GL 27200 TOTAL                      | 252,682,974.60    |
| 27300      | ACC DEPR - BUILDINGS & BUILDING IMPROV |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 83,951,668.23-    |
| 040000     | EXPENSES                               | 1,313.13-         |
| 060000     | OPERATING CAPITAL OUTLAY               | 379,205.90-       |
| 082310     | RELOC TURNPK HQ TO ORLANDO             | 6,570,038.29-     |
| 082312     | CONST/TPK LAW ENF BLDG-ORL             | 1,174,229.55-     |
| 082313     | CONST/TPK OPER FAC-ORLANDO             | 483,852.48-       |
| 088712     | HIGHWAY MAINTENANCE CONTR              | 788.65-           |
| 088716     | INTRASTATE HIGHWAY CONSTR              | 9,690,880.01-     |
| 088718     | CONSTRUCT INSPECT CONSULT              | 319,505.78-       |
| 088777     | RIGHT-OF-WAY LAND ACQ                  | 24,908.88-        |
| 088886     | CATEGORY NAME NOT ON TITLE FILE        | 3,723,706.98-     |
| 088920     | TURNPIKE SYS EQUIP & DEVEL             | 842,090.42-       |
| 130200     | DOT CARRYFORWARD FUNDS                 | 439.44-           |
| 310308     | REIMBURSE ST TRANSPORT TF              | 1,647,532.56-     |
|            | ** GL 27300 TOTAL                      | 108,810,160.30-   |

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50 2 326001 TURNPIKE GENERAL RESERVE TRUST FUND DOT

| G-L<br>CAT | G-L ACCOUNT NAME                       | BEGINNING BALANCE |
|------------|----------------------------------------|-------------------|
| 27400      | INFRASTRUCTURE AND OTHER IMPROVEMENTS  |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 082310     | RELOC TURNPK HQ TO ORLANDO             | 8,255.00          |
| 088716     | INTRASTATE HIGHWAY CONSTR              | 590,752.38        |
| 310308     | REIMBURSE ST TRANSPORT TF              | 8,520.63          |
|            | ** GL 27400 TOTAL                      | 607,528.01        |
| 27410      | IMPROVEMENTS OTHER THAN BLDGS - TPK    |                   |
| 088920     | TURNPIKE SYS EQUIP & DEVEL             | 10,130.00         |
| 27500      | ACC DEPR - INFRASTRUCTURE & OTHER IMPR |                   |
| 082310     | RELOC TURNPK HQ TO ORLANDO             | 4,682.85-         |
| 088716     | INTRASTATE HIGHWAY CONSTR              | 12,395.22-        |
| 310308     | REIMBURSE ST TRANSPORT TF              | 1,051.17-         |
|            | ** GL 27500 TOTAL                      | 18,129.24-        |
| 27510      | DEPR, IMPROV OTHER THAN BLDGS - TPK    |                   |
| 088920     | TURNPIKE SYS EQUIP & DEVEL             | 3,370.50-         |
| 27600      | FURNITURE AND EQUIPMENT                |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 4,770,391.21-     |
| 040000     | EXPENSES                               | 510,570.61        |
| 060000     | OPERATING CAPITAL OUTLAY               | 7,451,035.48      |
| 080002     | MINOR REPAIRS/IMPROV-STATE             | 52,742.03         |
| 082310     | RELOC TURNPK HQ TO ORLANDO             | 1,777,894.16      |
| 082312     | CONST/TPK LAW ENF BLDG-ORL             | 52,145.53         |
| 082313     | CONST/TPK OPER FAC-ORLANDO             | 70,786.62         |
| 088513     | FLD FAC REP/RENO/ADD-STW               | 25,066.09         |
| 088716     | INTRASTATE HIGHWAY CONSTR              | 1,547,750.15      |
| 088716 05  | INTRASTATE HIGHWAY CONSTR              | 0.00              |
| 088716 07  | INTRASTATE HIGHWAY CONSTR              | 0.00              |
| 088716 08  | INTRASTATE HIGHWAY CONSTR              | 0.00              |
| 088716 09  | INTRASTATE HIGHWAY CONSTR              | 0.00              |
| 088716 10  | INTRASTATE HIGHWAY CONSTR              | 0.00              |
| 088718     | CONSTRUCT INSPECT CONSULT              | 211,249.16        |
| 088718 05  | CONSTRUCT INSPECT CONSULT              | 0.00              |
| 088718 06  | CONSTRUCT INSPECT CONSULT              | 0.00              |
| 088728     | CONST-TPK CTR-POMPANO PLZ              | 255,074.65        |
| 088849     | PRELIMINARY ENGR CONSULT               | 963,334.79        |
| 088849 06  | PRELIMINARY ENGR CONSULT               | 336.28            |
| 088920     | TURNPIKE SYS EQUIP & DEVEL             | 78,604,788.43     |
| 088920 03  | TURNPIKE SYS EQUIP & DEVEL             | 0.00              |
| 088920 04  | TURNPIKE SYS EQUIP & DEVEL             | 83,053.35         |
| 088920 05  | TURNPIKE SYS EQUIP & DEVEL             | 3,583.24          |
| 088920 06  | TURNPIKE SYS EQUIP & DEVEL             | 214,009.37        |



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50 2 326001 TURNPIKE GENERAL RESERVE TRUST FUND DOT

| G-L<br>CAT | G-L ACCOUNT NAME                            | BEGINNING BALANCE |
|------------|---------------------------------------------|-------------------|
| 088920     | 07 TURNPIKE SYS EQUIP & DEVEL               | 0.01-             |
| 088920     | 08 TURNPIKE SYS EQUIP & DEVEL               | 102,903.85        |
| 088920     | 09 TURNPIKE SYS EQUIP & DEVEL               | 107,770.69-       |
| 088920     | 10 TURNPIKE SYS EQUIP & DEVEL               | 155,432.73        |
| 088922     | TOLLS SYS EQUIP & DEVELOP                   | 257,827.01        |
| 100021     | ACQUISITION/MOTOR VEHICLES                  | 609,513.31        |
| 101640     | HUMAN RESOURCE DEVELOPMENT                  | 16,574.91         |
| 103892     | TRANS MATERIALS & EQUIP                     | 349,997.19        |
| 109827     | G/A MAJOR DISASTER 04-05-HURICANE CHARLEY   | 696.00            |
| 109834     | MD 04-05 HURR FRANCES-PURCH CARD-ST OPERATI | 17,799.00         |
| 109835     | MD 04-05 HURR IVAN-PURCH CARD-ST OPERATIONS | 4,912.66          |
| 130200     | DOT CARRYFORWARD FUNDS                      | 1,844,858.37      |
| 180000     | TRANSFERS                                   | 6,996.00          |
| 310308     | REIMBURSE ST TRANSPORT TF                   | 31,829,272.27     |
|            | ** GL 27600 TOTAL                           | 122,142,041.33    |
| 27610      | MACHINERY & EQUIPMENT - TURNPIKE            |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 606,528.00        |
| 27700      | ACC DEPR - FURNITURE & EQUIPMENT            |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 447,869.15-       |
| 040000     | EXPENSES                                    | 202,031.68-       |
| 060000     | OPERATING CAPITAL OUTLAY                    | 6,629,439.06-     |
| 080002     | MINOR REPAIRS/IMPROV-STATE                  | 32,238.53-        |
| 082310     | RELOC TURNPK HQ TO ORLANDO                  | 1,142,163.19-     |
| 082312     | CONST/TPK LAW ENF BLDG-ORL                  | 30,817.07-        |
| 082313     | CONST/TPK OPER FAC-ORLANDO                  | 75,428.64-        |
| 088513     | FLD FAC REP/RENO/ADD-STW                    | 5,848.89-         |
| 088716     | INTRASTATE HIGHWAY CONSTR                   | 230,885.51-       |
| 088718     | CONSTRUCT INSPECT CONSULT                   | 137,755.12-       |
| 088728     | CONST-TPK CTR-POMPANO PLZ                   | 335,093.59-       |
| 088849     | PRELIMINARY ENGR CONSULT                    | 672,971.55-       |
| 088920     | TURNPIKE SYS EQUIP & DEVEL                  | 39,819,528.88-    |
| 088922     | TOLLS SYS EQUIP & DEVELOP                   | 159,578.05-       |
| 100021     | ACQUISITION/MOTOR VEHICLES                  | 280,045.78-       |
| 101640     | HUMAN RESOURCE DEVELOPMENT                  | 7,560.00-         |
| 103892     | TRANS MATERIALS & EQUIP                     | 299,943.17-       |
| 109827     | G/A MAJOR DISASTER 04-05-HURICANE CHARLEY   | 382.80-           |
| 109834     | MD 04-05 HURR FRANCES-PURCH CARD-ST OPERATI | 17,586.95-        |
| 109835     | MD 04-05 HURR IVAN-PURCH CARD-ST OPERATIONS | 2,824.50-         |
| 130200     | DOT CARRYFORWARD FUNDS                      | 531,652.25-       |
| 310308     | REIMBURSE ST TRANSPORT TF                   | 27,584,481.35-    |
|            | ** GL 27700 TOTAL                           | 78,646,125.71-    |

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550000 DEPARTMENT OF TRANSPORTATION  
50 2 326001 TURNPIKE GENERAL RESERVE TRUST FUND DOT

| G-L<br>CAT         | G-L ACCOUNT NAME                                          | BEGINNING BALANCE |
|--------------------|-----------------------------------------------------------|-------------------|
| 27710<br>000000    | DEPRECIATION, M & E - TURNPIKE<br>BALANCE BROUGHT FORWARD | 606,528.00-       |
| 27800<br>000000    | CONSTRUCTION WORK IN PROGRESS<br>BALANCE BROUGHT FORWARD  | 0.00              |
| 060000             | OPERATING CAPITAL OUTLAY                                  | 18,383,131.67     |
| 080002             | MINOR REPAIRS/IMPROV-STATE                                | 7,900.00-         |
| 082310             | RELOC TURNPK HQ TO ORLANDO                                | 2,494.60-         |
| 088716             | INTRASTATE HIGHWAY CONSTR                                 | 749,187,398.23    |
| 088718             | CONSTRUCT INSPECT CONSULT                                 | 31,786,913.32-    |
| 088777             | RIGHT-OF-WAY LAND ACQ                                     | 6,258,663.92-     |
| 088799             | BRIDGE CONSTRUCTION                                       | 431,536.83        |
| 088849             | PRELIMINARY ENGR CONSULT                                  | 17,905,229.95     |
| 088920             | TURNPIKE SYS EQUIP & DEVEL                                | 374,697.04-       |
| 180000             | TRANSFERS                                                 | 47,453,835.78     |
| 310308             | REIMBURSE ST TRANSPORT TF                                 | 191,820,718.81-   |
|                    | ** GL 27800 TOTAL                                         | 603,109,744.77    |
| 27830<br>088716    | WIP TURNPIKE/TOLLS EQUIPMENT<br>INTRASTATE HIGHWAY CONSTR | 172,822.57        |
| 088716 10          | INTRASTATE HIGHWAY CONSTR                                 | 3,951.00          |
| 088849             | PRELIMINARY ENGR CONSULT                                  | 784,560.39        |
| 088920             | TURNPIKE SYS EQUIP & DEVEL                                | 10,553,811.34     |
| 088920 10          | TURNPIKE SYS EQUIP & DEVEL                                | 10,262.00         |
|                    | ** GL 27830 TOTAL                                         | 11,525,407.30     |
| 28400<br>310308    | PROPERTY UNDER CAPITAL LEASE<br>REIMBURSE ST TRANSPORT TF | 0.00              |
| 31100<br>000000    | ACCOUNTS PAYABLE<br>BALANCE BROUGHT FORWARD               | 0.00              |
| 088853 99          | RIGHT-OF-WAY SUPPORT                                      | 0.00              |
|                    | ** GL 31100 TOTAL                                         | 0.00              |
| 31140<br>088849 07 | PAYABLES-YE UNREC. PAY. ADJ.<br>PRELIMINARY ENGR CONSULT  | 0.00              |
| 088849 09          | PRELIMINARY ENGR CONSULT                                  | 0.00              |
| 088853 08          | RIGHT-OF-WAY SUPPORT                                      | 0.00              |
| 088920 08          | TURNPIKE SYS EQUIP & DEVEL                                | 0.00              |
| 088920 09          | TURNPIKE SYS EQUIP & DEVEL                                | 0.00              |
| 088920 10          | TURNPIKE SYS EQUIP & DEVEL                                | 155,432.73-       |
|                    | ** GL 31140 TOTAL                                         | 155,432.73-       |

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550000 DEPARTMENT OF TRANSPORTATION  
 50 2 326001 TURNPIKE GENERAL RESERVE TRUST FUND DOT

| G-L<br>CAT | G-L ACCOUNT NAME                      | BEGINNING BALANCE |
|------------|---------------------------------------|-------------------|
| 31300      | CONSTRUCTION CONTRACTS PAYABLE        |                   |
| 000000     | BALANCE BROUGHT FORWARD               | 3,414,468.76-     |
| 088716     | INTRASTATE HIGHWAY CONSTR             | 0.00              |
| 088716 01  | INTRASTATE HIGHWAY CONSTR             | 0.00              |
| 088716 09  | INTRASTATE HIGHWAY CONSTR             | 75,955.27-        |
| 088716 10  | INTRASTATE HIGHWAY CONSTR             | 19,801.13-        |
| 088718 01  | CONSTRUCT INSPECT CONSULT             | 0.00              |
| 088718 02  | CONSTRUCT INSPECT CONSULT             | 0.00              |
|            | ** GL 31300 TOTAL                     | 3,510,225.16-     |
| 31310      | RETAINAGE/LIQUIDATED DAMAGES          |                   |
| 082310 99  | RELOC TURNPK HQ TO ORLANDO            | 0.00              |
| 082312 99  | CONST/TPK LAW ENF BLDG-ORL            | 0.00              |
| 082313 99  | CONST/TPK OPER FAC-ORLANDO            | 0.00              |
| 088716     | INTRASTATE HIGHWAY CONSTR             | 0.00              |
| 088716 01  | INTRASTATE HIGHWAY CONSTR             | 0.00              |
| 088716 02  | INTRASTATE HIGHWAY CONSTR             | 0.00              |
| 088716 07  | INTRASTATE HIGHWAY CONSTR             | 500,432.46-       |
| 088716 08  | INTRASTATE HIGHWAY CONSTR             | 8,700.00-         |
| 088716 97  | INTRASTATE HIGHWAY CONSTR             | 0.00              |
| 088716 98  | INTRASTATE HIGHWAY CONSTR             | 0.00              |
| 088716 99  | INTRASTATE HIGHWAY CONSTR             | 0.00              |
| 088718 97  | CONSTRUCT INSPECT CONSULT             | 0.00              |
| 088849 06  | PRELIMINARY ENGR CONSULT              | 20,503.00-        |
| 088849 07  | PRELIMINARY ENGR CONSULT              | 650.00-           |
|            | ** GL 31310 TOTAL                     | 530,285.46-       |
| 31340      | PAYABLES-YE UNREC. PAY. ADJ.- CONSTRU |                   |
| 082310 99  | RELOC TURNPK HQ TO ORLANDO            | 0.00              |
| 088716 06  | INTRASTATE HIGHWAY CONSTR             | 0.00              |
| 088716 07  | INTRASTATE HIGHWAY CONSTR             | 0.00              |
| 088716 08  | INTRASTATE HIGHWAY CONSTR             | 1,004.00-         |
| 088716 09  | INTRASTATE HIGHWAY CONSTR             | 3,516.55-         |
| 088716 10  | INTRASTATE HIGHWAY CONSTR             | 6,862,669.04-     |
| 088718 04  | CONSTRUCT INSPECT CONSULT             | 0.00              |
| 088718 05  | CONSTRUCT INSPECT CONSULT             | 1,036.10-         |
| 088718 06  | CONSTRUCT INSPECT CONSULT             | 0.00              |
| 088718 07  | CONSTRUCT INSPECT CONSULT             | 145,330.41-       |
| 088718 08  | CONSTRUCT INSPECT CONSULT             | 356,200.54-       |
| 088718 09  | CONSTRUCT INSPECT CONSULT             | 512,884.83-       |
| 088718 10  | CONSTRUCT INSPECT CONSULT             | 394,337.39-       |
| 088849 04  | PRELIMINARY ENGR CONSULT              | 0.00              |
| 088849 06  | PRELIMINARY ENGR CONSULT              | 0.00              |
| 088849 07  | PRELIMINARY ENGR CONSULT              | 0.00              |
| 088849 08  | PRELIMINARY ENGR CONSULT              | 52,391.70-        |

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| G-L<br>CAT | G-L ACCOUNT NAME                            | BEGINNING BALANCE |
|------------|---------------------------------------------|-------------------|
| 088849 09  | PRELIMINARY ENGR CONSULT                    | 18,648.29-        |
| 088849 10  | PRELIMINARY ENGR CONSULT                    | 1,224,753.89-     |
| 088853 07  | RIGHT-OF-WAY SUPPORT                        | 0.00              |
| 088853 08  | RIGHT-OF-WAY SUPPORT                        | 0.00              |
| 088853 09  | RIGHT-OF-WAY SUPPORT                        | 0.00              |
| 088853 10  | RIGHT-OF-WAY SUPPORT                        | 3,000.00-         |
| 088920 07  | TURNPIKE SYS EQUIP & DEVEL                  | 0.00              |
| 088920 08  | TURNPIKE SYS EQUIP & DEVEL                  | 399,478.38-       |
| 088920 09  | TURNPIKE SYS EQUIP & DEVEL                  | 0.00              |
| 088920 10  | TURNPIKE SYS EQUIP & DEVEL                  | 77,853.39-        |
|            | ** GL 31340 TOTAL                           | 10,053,104.51-    |
| 33100      | DEPOSITS PAYABLE                            |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 50,000.00-        |
| 001500     |                                             | 0.00              |
| 002700     |                                             | 150,000.00-       |
| 180000     | TRANSFERS                                   | 0.00              |
| 220030     | REFUND NONSTATE REVENUES                    | 0.00              |
|            | ** GL 33100 TOTAL                           | 200,000.00-       |
| 35200      | DUE TO STATE FUNDS, WITHIN DEPARTMENT       |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 273,250.25-       |
| 181207     | TR TO ST TRANS TF/R-O-W ACQUISITYION/BRIDGE | 0.00              |
| 310308     | REIMBURSE ST TRANSPORT TF                   | 23,071,583.57-    |
|            | ** GL 35200 TOTAL                           | 23,344,833.82-    |
| 35230      | ADVANCES FROM TOLL FACILITIES REVOLVIN      |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 0.00              |
| 180000     | TRANSFERS                                   | 0.00              |
| 181217     | TR TO TOLL FAC REVOLVING TF REPAY LOAN      | 0.00              |
|            | ** GL 35230 TOTAL                           | 0.00              |
| 35250      | DUE TO STTF, STATE AND FEDERAL SIBS         |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 2,481,651.08-     |
| 181207     | TR TO ST TRANS TF/R-O-W ACQUISITYION/BRIDGE | 0.00              |
| 310308     | REIMBURSE ST TRANSPORT TF                   | 0.00              |
|            | ** GL 35250 TOTAL                           | 2,481,651.08-     |
| 35300      | DUE TO OTHER DEPARTMENTS                    |                   |
| 310018     | DIST OF DONATE ORG-PASS IT ON LIC PLATE FUN | 0.00              |
| 310403     | ASSESSMENT ON INVESTMENTS-DEPARTMENTAL USE  | 31,920.52-        |
|            | ** GL 35300 TOTAL                           | 31,920.52-        |

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| G-L<br>CAT | G-L ACCOUNT NAME                            | BEGINNING BALANCE |
|------------|---------------------------------------------|-------------------|
| 35340      | DUE TO OTHER DEPTS - UNRECORDED PAYABL      |                   |
| 088716     | 08 INTRASTATE HIGHWAY CONSTR                | 6,110.18-         |
| 088716     | 09 INTRASTATE HIGHWAY CONSTR                | 10,762.31-        |
| 088716     | 10 INTRASTATE HIGHWAY CONSTR                | 43,815.60-        |
|            | ** GL 35340 TOTAL                           | 60,688.09-        |
| 37300      | CURRENT BONDS PAYABLE FROM RESTRICTED       |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 99,000,000.00-    |
| 38800      | UNEARNED REVENUE - CURRENT                  |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 163,519.43-       |
| 38801      | UNEARNED REVENUE - VEG MITIGATION           |                   |
| 001101     |                                             | 72,741.25-        |
| 38810      | UNEARNED REVENUE - LFA DEPOSITS             |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 0.00              |
| 001101     |                                             | 0.00              |
| 001801     |                                             | 0.00              |
| 220030     | REFUND NONSTATE REVENUES                    | 0.00              |
|            | ** GL 38810 TOTAL                           | 0.00              |
| 38840      | CURRENT UNEARNED REVENUE-OVERPAYMENTS       |                   |
| 001801     |                                             | 0.00              |
| 181207     | TR TO ST TRANS TF/R-O-W ACQUISITYION/BRIDGE | 0.00              |
|            | ** GL 38840 TOTAL                           | 0.00              |
| 38880      | UNEARNED REVENUE - DFS SECURITY             |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 819,869.08        |
| 001101     |                                             | 166,240.70        |
| 001801     |                                             | 9,527,424.43-     |
|            | ** GL 38880 TOTAL                           | 8,541,314.65-     |
| 38900      | DEFERRED REVENUES                           |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 0.00              |
| 001800     |                                             | 0.00              |
| 001905     |                                             | 0.00              |
|            | ** GL 38900 TOTAL                           | 0.00              |
| 44600      | INTEREST PAY FROM RESTRICTED ASSETS         |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 0.00              |

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| G-L<br>CAT | G-L ACCOUNT NAME                       | BEGINNING BALANCE |
|------------|----------------------------------------|-------------------|
| 45620      | ARBITRAGE DUE TO FEDS                  |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 040000     | EXPENSES                               | 0.00              |
|            | ** GL 45620 TOTAL                      | 0.00              |
| 45706      | STTF O&M TPK SUBSIDIES                 |                   |
| 310308     | REIMBURSE ST TRANSPORT TF              | 93,096,000.00-    |
| 45710      | ADVANCES FROM TOLL FACIL REV TR FD     |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 001500     |                                        | 9,000,000.00-     |
| 180000     | TRANSFERS                              | 0.00              |
| 220030     | REFUND NONSTATE REVENUES               | 1.00              |
|            | ** GL 45710 TOTAL                      | 8,999,999.00-     |
| 45720      | ADVANCES FROM RIGHT OF WAY             |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 45730      | SIB LOAN FROM STTF                     |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 35,362,989.59-    |
| 001500     |                                        | 14,241,653.00-    |
| 002700     |                                        | 1,585,341.00-     |
|            | ** GL 45730 TOTAL                      | 51,189,983.59-    |
| 46100      | BONDS PAYABLE                          |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 2,811,830,000.00- |
| 46300      | UNAMORTIZED PREMIUMS - BONDS PAYABLE   |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 79,805,192.01-    |
| 46400      | UNAMORTIZED DISCOUNTS - BONDS PAYABLE  |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 6,839,765.01      |
| 46500      | AMOUNT DEFERRED ON REFUNDING - BONDS P |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 40,106,619.32     |
| 48700      | CAPITAL LEASES LIABILITY               |                   |
| 310308     | REIMBURSE ST TRANSPORT TF              | 0.00              |
| 48800      | UNEARNED REVENUE - LONG TERM           |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 748,428.21-       |

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| G-L<br>CAT | G-L ACCOUNT NAME                            | BEGINNING BALANCE |
|------------|---------------------------------------------|-------------------|
| 48810      | LT UNEARNED REVENUE-LFA DEPOSITS            |                   |
| 001801     |                                             | 0.00              |
| 001905     |                                             | 0.00              |
|            | ** GL 48810 TOTAL                           | 0.00              |
| 49900      | OTHER LONG-TERM LIABILITIES                 |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 4,768,675.48-     |
| 51100      | GENERAL LEDGER NAME NOT ON FILE             |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 997,811,312.29    |
| 040000     | EXPENSES                                    | 141,512.27-       |
| 060000     | OPERATING CAPITAL OUTLAY                    | 5,004,963.48-     |
| 080002     | MINOR REPAIRS/IMPROV-STATE                  | 436,445,979.61-   |
| 082310     | RELOC TURNPK HQ TO ORLANDO                  | 263,532,237.16-   |
| 082312     | CONST/TPK LAW ENF BLDG-ORL                  | 13,543,901.34-    |
| 082313     | CONST/TPK OPER FAC-ORLANDO                  | 19,191,561.69-    |
| 088513     | FLD FAC REP/RENO/ADD-STW                    | 24,230.53-        |
| 088712     | HIGHWAY MAINTENANCE CONTR                   | 149.95-           |
| 088716     | INTRASTATE HIGHWAY CONSTR                   | 63,872,916.27-    |
| 088718     | CONSTRUCT INSPECT CONSULT                   | 862,748.30-       |
| 088728     | CONST-TPK CTR-POMPANO PLZ                   | 919,145.52-       |
| 088777     | RIGHT-OF-WAY LAND ACQ                       | 99,818,984.08-    |
| 088849     | PRELIMINARY ENGR CONSULT                    | 1,363,555.83-     |
| 088886     | CATEGORY NAME NOT ON TITLE FILE             | 16,411,294.14-    |
| 088920     | TURNPIKE SYS EQUIP & DEVEL                  | 67,064,350.13-    |
| 088922     | TOLLS SYS EQUIP & DEVELOP                   | 296,515.95-       |
| 100021     | ACQUISITION/MOTOR VEHICLES                  | 429,487.89-       |
| 103892     | TRANS MATERIALS & EQUIP                     | 25,494.99-        |
| 109827     | G/A MAJOR DISASTER 04-05-HURICANE CHARLEY   | 696.00-           |
| 109834     | MD 04-05 HURR FRANCES-PURCH CARD-ST OPERATI | 209.65-           |
| 130200     | DOT CARRYFORWARD FUNDS                      | 1,936,877.61-     |
| 310308     | REIMBURSE ST TRANSPORT TF                   | 6,924,499.90-     |
|            | ** GL 51100 TOTAL                           | 0.00              |
| 53600      | INVESTED IN CAPITAL ASSETS NET OF RELA      |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 4,350,143,836.41- |
| 53800      | OTHER RESTRICTED                            |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 269,153,156.50-   |
| 53900      | NET ASSETS UNRESTRICTED                     |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 272,482,143.18-   |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
50 2 326001 TURNPIKE GENERAL RESERVE TRUST FUND DOT

G-L G-L ACCOUNT NAME BEGINNING BALANCE  
CAT

| 94100 ENCUMBRANCES |    |                            |                |
|--------------------|----|----------------------------|----------------|
| 080002             | 10 | MINOR REPAIRS/IMPROV-STATE | 185,075.85     |
| 088716             | 06 | INTRASTATE HIGHWAY CONSTR  | 988,197.31     |
| 088716             | 07 | INTRASTATE HIGHWAY CONSTR  | 1,058,046.46   |
| 088716             | 08 | INTRASTATE HIGHWAY CONSTR  | 12,537,325.50  |
| 088716             | 09 | INTRASTATE HIGHWAY CONSTR  | 50,352,998.51  |
| 088716             | 10 | INTRASTATE HIGHWAY CONSTR  | 66,244,734.56  |
| 088716             | 11 | INTRASTATE HIGHWAY CONSTR  | 796,465.77     |
| 088718             | 05 | CONSTRUCT INSPECT CONSULT  | 2,563,034.87   |
| 088718             | 06 | CONSTRUCT INSPECT CONSULT  | 460,545.32     |
| 088718             | 07 | CONSTRUCT INSPECT CONSULT  | 2,565,204.24   |
| 088718             | 08 | CONSTRUCT INSPECT CONSULT  | 5,961,054.62   |
| 088718             | 09 | CONSTRUCT INSPECT CONSULT  | 16,542,809.40  |
| 088718             | 10 | CONSTRUCT INSPECT CONSULT  | 14,298,689.64  |
| 088777             | 06 | RIGHT-OF-WAY LAND ACQ      | 2,500.00       |
| 088799             | 10 | BRIDGE CONSTRUCTION        | 3,700,000.00   |
| 088849             | 04 | PRELIMINARY ENGR CONSULT   | 184,879.99     |
| 088849             | 05 | PRELIMINARY ENGR CONSULT   | 395,156.45     |
| 088849             | 06 | PRELIMINARY ENGR CONSULT   | 980,739.84     |
| 088849             | 07 | PRELIMINARY ENGR CONSULT   | 1,022,648.00   |
| 088849             | 08 | PRELIMINARY ENGR CONSULT   | 2,004,022.35   |
| 088849             | 09 | PRELIMINARY ENGR CONSULT   | 10,981,316.91  |
| 088849             | 10 | PRELIMINARY ENGR CONSULT   | 34,607,672.35  |
| 088853             | 04 | RIGHT-OF-WAY SUPPORT       | 6,024.75       |
| 088853             | 05 | RIGHT-OF-WAY SUPPORT       | 43,098.39      |
| 088853             | 06 | RIGHT-OF-WAY SUPPORT       | 88,436.71      |
| 088853             | 07 | RIGHT-OF-WAY SUPPORT       | 132,121.51     |
| 088853             | 08 | RIGHT-OF-WAY SUPPORT       | 114,241.58     |
| 088853             | 09 | RIGHT-OF-WAY SUPPORT       | 111,303.39     |
| 088853             | 10 | RIGHT-OF-WAY SUPPORT       | 231,439.50     |
| 088920             | 05 | TURNPIKE SYS EQUIP & DEVEL | 40,788.82      |
| 088920             | 06 | TURNPIKE SYS EQUIP & DEVEL | 131,041.80     |
| 088920             | 07 | TURNPIKE SYS EQUIP & DEVEL | 8,442,543.25   |
| 088920             | 08 | TURNPIKE SYS EQUIP & DEVEL | 2,501,526.13   |
| 088920             | 09 | TURNPIKE SYS EQUIP & DEVEL | 225,928.19     |
| 088920             | 10 | TURNPIKE SYS EQUIP & DEVEL | 97,855,068.83  |
| ** GL 94100 TOTAL  |    |                            | 338,356,680.79 |

| 98100 BUDGETARY FND BAL RESERVED/ENCUMBRANCE |    |                            |                |
|----------------------------------------------|----|----------------------------|----------------|
| 080002                                       | 10 | MINOR REPAIRS/IMPROV-STATE | 185,075.85-    |
| 088716                                       | 06 | INTRASTATE HIGHWAY CONSTR  | 988,197.31-    |
| 088716                                       | 07 | INTRASTATE HIGHWAY CONSTR  | 1,058,046.46-  |
| 088716                                       | 08 | INTRASTATE HIGHWAY CONSTR  | 12,537,325.50- |
| 088716                                       | 09 | INTRASTATE HIGHWAY CONSTR  | 50,352,998.51- |
| 088716                                       | 10 | INTRASTATE HIGHWAY CONSTR  | 66,244,734.56- |



BEGINNING TRIAL BALANCE BY FUND  
 JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
 50 2 326001 TURNPIKE GENERAL RESERVE TRUST FUND DOT

| G-L<br>CAT | G-L ACCOUNT NAME           | BEGINNING BALANCE |
|------------|----------------------------|-------------------|
| 088716 11  | INTRASTATE HIGHWAY CONSTR  | 796,465.77-       |
| 088718 05  | CONSTRUCT INSPECT CONSULT  | 2,563,034.87-     |
| 088718 06  | CONSTRUCT INSPECT CONSULT  | 460,545.32-       |
| 088718 07  | CONSTRUCT INSPECT CONSULT  | 2,565,204.24-     |
| 088718 08  | CONSTRUCT INSPECT CONSULT  | 5,961,054.62-     |
| 088718 09  | CONSTRUCT INSPECT CONSULT  | 16,542,809.40-    |
| 088718 10  | CONSTRUCT INSPECT CONSULT  | 14,298,689.64-    |
| 088777 06  | RIGHT-OF-WAY LAND ACQ      | 2,500.00-         |
| 088799 10  | BRIDGE CONSTRUCTION        | 3,700,000.00-     |
| 088849 04  | PRELIMINARY ENGR CONSULT   | 184,879.99-       |
| 088849 05  | PRELIMINARY ENGR CONSULT   | 395,156.45-       |
| 088849 06  | PRELIMINARY ENGR CONSULT   | 980,739.84-       |
| 088849 07  | PRELIMINARY ENGR CONSULT   | 1,022,648.00-     |
| 088849 08  | PRELIMINARY ENGR CONSULT   | 2,004,022.35-     |
| 088849 09  | PRELIMINARY ENGR CONSULT   | 10,981,316.91-    |
| 088849 10  | PRELIMINARY ENGR CONSULT   | 34,607,672.35-    |
| 088853 04  | RIGHT-OF-WAY SUPPORT       | 6,024.75-         |
| 088853 05  | RIGHT-OF-WAY SUPPORT       | 43,098.39-        |
| 088853 06  | RIGHT-OF-WAY SUPPORT       | 88,436.71-        |
| 088853 07  | RIGHT-OF-WAY SUPPORT       | 132,121.51-       |
| 088853 08  | RIGHT-OF-WAY SUPPORT       | 114,241.58-       |
| 088853 09  | RIGHT-OF-WAY SUPPORT       | 111,303.39-       |
| 088853 10  | RIGHT-OF-WAY SUPPORT       | 231,439.50-       |
| 088920 05  | TURNPIKE SYS EQUIP & DEVEL | 40,788.82-        |
| 088920 06  | TURNPIKE SYS EQUIP & DEVEL | 131,041.80-       |
| 088920 07  | TURNPIKE SYS EQUIP & DEVEL | 8,442,543.25-     |
| 088920 08  | TURNPIKE SYS EQUIP & DEVEL | 2,501,526.13-     |
| 088920 09  | TURNPIKE SYS EQUIP & DEVEL | 225,928.19-       |
| 088920 10  | TURNPIKE SYS EQUIP & DEVEL | 97,855,068.83-    |
|            | ** GL 98100 TOTAL          | 338,356,680.79-   |
|            | *** FUND TOTAL             | 0.00              |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
50 2 340008 TURNPIKE BOND CONSTRUCTION TF 2000B

| G-L<br>CAT      | G-L ACCOUNT NAME                                                                     | BEGINNING BALANCE |
|-----------------|--------------------------------------------------------------------------------------|-------------------|
| 15300<br>000500 | INTEREST AND DIVIDENDS RECEIVABLE                                                    | 0.00              |
| 35200<br>181205 | DUE TO STATE FUNDS, WITHIN DEPARTMENT<br>TR TO TURNPIKE GENERAL RESERVE TF PROJECT E | 0.00              |
| 35300<br>310018 | DUE TO OTHER DEPARTMENTS<br>DIST OF DONATE ORG-PASS IT ON LIC PLATE FUN              | 0.00              |
| 53800<br>000000 | OTHER RESTRICTED<br>BALANCE BROUGHT FORWARD                                          | 0.00              |
|                 | *** FUND TOTAL                                                                       | 0.00              |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
50 2 340011 FROM TURNPIKE BOND CONSTRUCTION TF 2006A

| G-L<br>CAT      | G-L ACCOUNT NAME                            | BEGINNING BALANCE |
|-----------------|---------------------------------------------|-------------------|
| 53800<br>000000 | OTHER RESTRICTED<br>BALANCE BROUGHT FORWARD | 0.00              |
|                 | *** FUND TOTAL                              | 0.00              |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
50 2 340014 TURNPIKE BOND CONST TF-2009A

| G-L<br>CAT      | G-L ACCOUNT NAME                                             | BEGINNING BALANCE |
|-----------------|--------------------------------------------------------------|-------------------|
| 12100<br>000000 | UNRELEASED CASH IN STATE TREASURY<br>BALANCE BROUGHT FORWARD | 0.00              |
| 53900<br>000000 | NET ASSETS UNRESTRICTED<br>BALANCE BROUGHT FORWARD           | 0.00              |
|                 | *** FUND TOTAL                                               | 0.00              |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
50 2 340015 FROM TURNPIKE BOND CONSTRUCTION TRUST FUND

| G-L<br>CAT      | G-L ACCOUNT NAME                                                  | BEGINNING BALANCE |
|-----------------|-------------------------------------------------------------------|-------------------|
| 12100<br>000000 | UNRELEASED CASH IN STATE TREASURY<br>BALANCE BROUGHT FORWARD      | 0.00              |
| 14100<br>000000 | POOLED INVESTMENTS WITH STATE TREASURY<br>BALANCE BROUGHT FORWARD | 0.00              |
| 53900<br>000000 | NET ASSETS UNRESTRICTED<br>BALANCE BROUGHT FORWARD                | 0.00              |
|                 | *** FUND TOTAL                                                    | 0.00              |

BEGINNING TRIAL BALANCE BY FUND  
 JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
 50 2 340016 TPK BOND CONST TF 2010B

| G-L<br>CAT      | G-L ACCOUNT NAME                                                                     | BEGINNING BALANCE |
|-----------------|--------------------------------------------------------------------------------------|-------------------|
| 15300<br>000500 | INTEREST AND DIVIDENDS RECEIVABLE                                                    | 41,795.29         |
| 22500<br>190000 | REST.INVESTMENT WITH STATE TREASURY<br>PURCHASE OF INVESTMENTS                       | 250,055,000.00    |
| 35200<br>181205 | DUE TO STATE FUNDS, WITHIN DEPARTMENT<br>TR TO TURNPIKE GENERAL RESERVE TF PROJECT E | 117,901,353.54-   |
| 35300<br>310403 | DUE TO OTHER DEPARTMENTS<br>ASSESSMENT ON INVESTMENTS-DEPARTMENTAL USE               | 1,667.03-         |
| 53900<br>000000 | NET ASSETS UNRESTRICTED<br>BALANCE BROUGHT FORWARD                                   | 132,193,774.72-   |
|                 | *** FUND TOTAL                                                                       | 0.00              |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
50 8 403041 EVERGLADES PARKWAY TOLL FUND

| G-L<br>CAT                          | G-L ACCOUNT NAME                                                             | BEGINNING BALANCE    |
|-------------------------------------|------------------------------------------------------------------------------|----------------------|
| 11200<br>000000                     | CASH IN BANK<br>BALANCE BROUGHT FORWARD                                      | 193,237.08           |
| 11210<br>000000                     | CASH IN BANK - SUNPASS<br>BALANCE BROUGHT FORWARD                            | 169,486.56           |
| 14400<br>000000                     | NON-STATE AND CU INVESTMENTS WITH STAT<br>BALANCE BROUGHT FORWARD            | 29,582.90            |
| 15300<br>000000                     | INTEREST AND DIVIDENDS RECEIVABLE<br>BALANCE BROUGHT FORWARD                 | 197,365.53           |
| 15310<br>000000                     | INTEREST RECEIVABLE FROM DFS<br>BALANCE BROUGHT FORWARD                      | 183.33               |
| 16200<br>000000                     | DUE FROM STATE FUNDS, WITHIN DEPART.<br>BALANCE BROUGHT FORWARD              | 4,686.55             |
| 16330<br>000000                     | DUE FROM DFS - TOLL FUNDS<br>BALANCE BROUGHT FORWARD                         | 0.00                 |
| 19100<br>000000<br>040000           | PREPAID ITEMS<br>BALANCE BROUGHT FORWARD<br>EXPENSES                         | 0.00<br>0.00         |
|                                     | ** GL 19100 TOTAL                                                            | 0.00                 |
| 19200<br>000000<br>040000<br>310308 | DEPOSITS<br>BALANCE BROUGHT FORWARD<br>EXPENSES<br>REIMBURSE ST TRANSPORT TF | 0.00<br>0.00<br>0.00 |
|                                     | ** GL 19200 TOTAL                                                            | 0.00                 |
| 22300<br>000000                     | RESTRICTED CASH W/STATE BOARD OF ADM.<br>BALANCE BROUGHT FORWARD             | 10,713.66            |
| 22600<br>000000                     | REST. INVESTMENT WITH SBA<br>BALANCE BROUGHT FORWARD                         | 15,276,432.72        |
| 22605<br>000000                     | REVALUATION OF INVESTMENTS TO FAIR MKT<br>BALANCE BROUGHT FORWARD            | 18,730.27-           |
| 25200<br>000000                     | DEFERRED CHARGES<br>BALANCE BROUGHT FORWARD                                  | 246,310.39           |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
50 8 403041 EVERGLADES PARKWAY TOLL FUND

| G-L<br>CAT | G-L ACCOUNT NAME                       | BEGINNING BALANCE |
|------------|----------------------------------------|-------------------|
| 26300      | INFRASTRUCTURE - NONDEPRECIABLE        |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 040000     | EXPENSES                               | 651,951.79        |
| 180000     | TRANSFERS                              | 2,810,037.29      |
| 310308     | REIMBURSE ST TRANSPORT TF              | 61,127,548.40     |
|            | ** GL 26300 TOTAL                      | 64,589,537.48     |
| 27100      | LAND AND LAND IMPROVEMENTS             |                   |
| 310308     | REIMBURSE ST TRANSPORT TF              | 10,089,679.54     |
| 27200      | BUILDINGS AND BUILDING IMPROVEMENTS    |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 2,031,841.00      |
| 040000     | EXPENSES                               | 622.22            |
| 060000     | OPERATING CAPITAL OUTLAY               | 963.49-           |
| 130200     | DOT CARRYFORWARD FUNDS                 | 78.00             |
| 180000     | TRANSFERS                              | 647.38            |
| 310308     | REIMBURSE ST TRANSPORT TF              | 5,336.61          |
|            | ** GL 27200 TOTAL                      | 2,037,561.72      |
| 27300      | ACC DEPR - BUILDINGS & BUILDING IMPROV |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 769,428.82-       |
| 060000     | OPERATING CAPITAL OUTLAY               | 16,862.82-        |
| 130200     | DOT CARRYFORWARD FUNDS                 | 5.16-             |
| 310308     | REIMBURSE ST TRANSPORT TF              | 1,378.65-         |
|            | ** GL 27300 TOTAL                      | 787,675.45-       |
| 27400      | INFRASTRUCTURE AND OTHER IMPROVEMENTS  |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 27600      | FURNITURE AND EQUIPMENT                |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 764,757.68        |
| 040000     | EXPENSES                               | 46,421.72         |
| 060000     | OPERATING CAPITAL OUTLAY               | 4,220.11-         |
| 088716     | INTRASTATE HIGHWAY CONSTR              | 163,756.00        |
| 088922     | TOLLS SYS EQUIP & DEVELOP              | 275,767.04        |
| 100021     | ACQUISITION/MOTOR VEHICLES             | 2,774.73          |
| 101640     | HUMAN RESOURCE DEVELOPMENT             | 31.95             |
| 130200     | DOT CARRYFORWARD FUNDS                 | 1,326.32          |
| 180000     | TRANSFERS                              | 2,174.99          |
| 310308     | REIMBURSE ST TRANSPORT TF              | 542,732.24        |
|            | ** GL 27600 TOTAL                      | 1,795,522.56      |



BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
50 8 403041 EVERGLADES PARKWAY TOLL FUND

| G-L<br>CAT | G-L ACCOUNT NAME                       | BEGINNING BALANCE |
|------------|----------------------------------------|-------------------|
| 27700      | ACC DEPR - FURNITURE & EQUIPMENT       |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 1,082,138.59-     |
| 040000     | EXPENSES                               | 2,402.25          |
| 060000     | OPERATING CAPITAL OUTLAY               | 9,935.13-         |
| 088716     | INTRASTATE HIGHWAY CONSTR              | 60,067.96-        |
| 088922     | TOLLS SYS EQUIP & DEVELOP              | 76,537.70-        |
| 100021     | ACQUISITION/MOTOR VEHICLES             | 1,062.81-         |
| 101640     | HUMAN RESOURCE DEVELOPMENT             | 12.72-            |
| 130200     | DOT CARRYFORWARD FUNDS                 | 310.10-           |
| 310308     | REIMBURSE ST TRANSPORT TF              | 185,665.55-       |
|            | ** GL 27700 TOTAL                      | 1,413,328.31-     |
| 27800      | CONSTRUCTION WORK IN PROGRESS          |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 040000     | EXPENSES                               | 0.00              |
| 180000     | TRANSFERS                              | 0.00              |
| 310308     | REIMBURSE ST TRANSPORT TF              | 0.00              |
|            | ** GL 27800 TOTAL                      | 0.00              |
| 35200      | DUE TO STATE FUNDS, WITHIN DEPARTMENT  |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 68,455,631.83-    |
| 040000     | EXPENSES                               | 497,841.41        |
| 310308     | REIMBURSE ST TRANSPORT TF              | 53,382,209.56     |
|            | ** GL 35200 TOTAL                      | 14,575,580.86-    |
| 37300      | CURRENT BONDS PAYABLE FROM RESTRICTED  |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 1,525,000.00-     |
| 44500      | BONDS PAYABLE FROM RESTRICTED ASSETS   |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 45100      | ADVANCES FROM OTHER FUNDS BETWEEN DEPA |                   |
| 040000     | EXPENSES                               | 0.00              |
| 180000     | TRANSFERS                              | 0.00              |
|            | ** GL 45100 TOTAL                      | 0.00              |
| 45630      | ARBITRAGE DUE TO FEDS - 1997 SERIES/AL |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 46100      | BONDS PAYABLE                          |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 37,395,000.00-    |

BEGINNING TRIAL BALANCE BY FUND  
 JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
 50 8 403041 EVERGLADES PARKWAY TOLL FUND

| G-L<br>CAT      | G-L ACCOUNT NAME                                                  | BEGINNING BALANCE |
|-----------------|-------------------------------------------------------------------|-------------------|
| 46300<br>000000 | UNAMORTIZED PREMIUMS - BONDS PAYABLE<br>BALANCE BROUGHT FORWARD   | 1,837,969.99-     |
| 46400<br>000000 | UNAMORTIZED DISCOUNTS - BONDS PAYABLE<br>BALANCE BROUGHT FORWARD  | 0.00              |
| 46500<br>000000 | AMOUNT DEFERRED ON REFUNDING - BONDS P<br>BALANCE BROUGHT FORWARD | 994,732.79        |
| 48700<br>310308 | CAPITAL LEASES LIABILITY<br>REIMBURSE ST TRANSPORT TF             | 0.00              |
| 51100<br>000000 | GENERAL LEDGER NAME NOT ON FILE<br>BALANCE BROUGHT FORWARD        | 128,219,994.73    |
| 040000          | EXPENSES                                                          | 9.04-             |
| 060000          | OPERATING CAPITAL OUTLAY                                          | 47,408.06-        |
| 088716          | INTRASTATE HIGHWAY CONSTR                                         | 255,138.22-       |
| 088922          | TOLLS SYS EQUIP & DEVELOP                                         | 3,420.73-         |
| 130200          | DOT CARRYFORWARD FUNDS                                            | 587.83-           |
| 310308          | REIMBURSE ST TRANSPORT TF                                         | 127,913,430.85-   |
|                 | ** GL 51100 TOTAL                                                 | 0.00              |
| 53600<br>000000 | INVESTED IN CAPITAL ASSETS NET OF RELA<br>BALANCE BROUGHT FORWARD | 37,391,297.54-    |
| 53800<br>000000 | OTHER RESTRICTED<br>BALANCE BROUGHT FORWARD                       | 690,450.39-       |
| 53900<br>000000 | NET ASSETS UNRESTRICTED<br>BALANCE BROUGHT FORWARD                | 0.00              |
|                 | *** FUND TOTAL                                                    | 0.00              |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
50 8 415011 PINELLAS BAYWAY TOLL FUND

| G-L<br>CAT      | G-L ACCOUNT NAME                                                  | BEGINNING BALANCE |
|-----------------|-------------------------------------------------------------------|-------------------|
| 11200<br>000000 | CASH IN BANK<br>BALANCE BROUGHT FORWARD                           | 35,409.38         |
| 11210<br>000000 | CASH IN BANK - SUNPASS<br>BALANCE BROUGHT FORWARD                 | 23,543.94         |
| 14400<br>000000 | NON-STATE AND CU INVESTMENTS WITH STAT<br>BALANCE BROUGHT FORWARD | 43,447,657.66     |
| 15310<br>000000 | INTEREST RECEIVABLE FROM DFS<br>BALANCE BROUGHT FORWARD           | 268,250.53        |
| 16200<br>000000 | DUE FROM STATE FUNDS, WITHIN DEPART.<br>BALANCE BROUGHT FORWARD   | 214,819.17        |
| 16330<br>000000 | DUE FROM DFS - TOLL FUNDS<br>BALANCE BROUGHT FORWARD              | 0.00              |
| 19100<br>000000 | PREPAID ITEMS<br>BALANCE BROUGHT FORWARD                          | 0.00              |
| 19200<br>000000 | DEPOSITS<br>BALANCE BROUGHT FORWARD                               | 0.00              |
| 000400          |                                                                   | 0.00              |
| 000500          |                                                                   | 0.00              |
| 040000          | EXPENSES                                                          | 0.00              |
| 310308          | REIMBURSE ST TRANSPORT TF                                         | 0.00              |
|                 | ** GL 19200 TOTAL                                                 | 0.00              |
| 26300<br>000000 | INFRASTRUCTURE - NONDEPRECIABLE<br>BALANCE BROUGHT FORWARD        | 0.00              |
| 040000          | EXPENSES                                                          | 505,882.35        |
| 180000          | TRANSFERS                                                         | 8,593,115.26      |
| 310308          | REIMBURSE ST TRANSPORT TF                                         | 13,435,205.08     |
|                 | ** GL 26300 TOTAL                                                 | 22,534,202.69     |
| 27100<br>310308 | LAND AND LAND IMPROVEMENTS<br>REIMBURSE ST TRANSPORT TF           | 1,336,930.55      |
| 27200<br>000000 | BUILDINGS AND BUILDING IMPROVEMENTS<br>BALANCE BROUGHT FORWARD    | 1,619,003.50      |
| 040000          | EXPENSES                                                          | 760.59            |
| 060000          | OPERATING CAPITAL OUTLAY                                          | 156.28            |
| 130200          | DOT CARRYFORWARD FUNDS                                            | 102.00            |
| 310308          | REIMBURSE ST TRANSPORT TF                                         | 8,104.58          |
|                 | ** GL 27200 TOTAL                                                 | 1,628,126.95      |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
50 8 415011 PINELLAS BAYWAY TOLL FUND

| G-L<br>CAT | G-L ACCOUNT NAME                       | BEGINNING BALANCE |
|------------|----------------------------------------|-------------------|
| 27300      | ACC DEPR - BUILDINGS & BUILDING IMPROV |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 794,854.92-       |
| 060000     | OPERATING CAPITAL OUTLAY               | 28.77-            |
| 130200     | DOT CARRYFORWARD FUNDS                 | 6.84-             |
| 310308     | REIMBURSE ST TRANSPORT TF              | 1,851.66-         |
|            | ** GL 27300 TOTAL                      | 796,742.19-       |
| 27400      | INFRASTRUCTURE AND OTHER IMPROVEMENTS  |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 27600      | FURNITURE AND EQUIPMENT                |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 7,240.44-         |
| 040000     | EXPENSES                               | 22,921.65         |
| 060000     | OPERATING CAPITAL OUTLAY               | 48,246.31         |
| 088717     | ARTERIAL HIGHWAY CONSTR                | 2,300.00          |
| 088922     | TOLLS SYS EQUIP & DEVELOP              | 175,071.13        |
| 100021     | ACQUISITION/MOTOR VEHICLES             | 3,394.09          |
| 101640     | HUMAN RESOURCE DEVELOPMENT             | 37.22             |
| 130200     | DOT CARRYFORWARD FUNDS                 | 2,141.05          |
| 180000     | TRANSFERS                              | 0.00              |
| 310308     | REIMBURSE ST TRANSPORT TF              | 2,459,074.95      |
|            | ** GL 27600 TOTAL                      | 2,705,945.96      |
| 27700      | ACC DEPR - FURNITURE & EQUIPMENT       |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 175,099.82-       |
| 040000     | EXPENSES                               | 2,312.94-         |
| 060000     | OPERATING CAPITAL OUTLAY               | 109,269.69-       |
| 088717     | ARTERIAL HIGHWAY CONSTR                | 167.72-           |
| 088922     | TOLLS SYS EQUIP & DEVELOP              | 229,753.55-       |
| 100021     | ACQUISITION/MOTOR VEHICLES             | 1,300.88-         |
| 101640     | HUMAN RESOURCE DEVELOPMENT             | 14.88-            |
| 130200     | DOT CARRYFORWARD FUNDS                 | 574.45-           |
| 310308     | REIMBURSE ST TRANSPORT TF              | 1,917,859.95-     |
|            | ** GL 27700 TOTAL                      | 2,436,353.88-     |
| 27800      | CONSTRUCTION WORK IN PROGRESS          |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 842,442.10        |
| 040000     | EXPENSES                               | 766,235.31        |
| 180000     | TRANSFERS                              | 8,968,766.10-     |
| 310308     | REIMBURSE ST TRANSPORT TF              | 13,543,504.43     |
|            | ** GL 27800 TOTAL                      | 6,183,415.74      |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
50 8 415011 PINELLAS BAYWAY TOLL FUND

| G-L<br>CAT | G-L ACCOUNT NAME                       | BEGINNING BALANCE |
|------------|----------------------------------------|-------------------|
| 35200      | DUE TO STATE FUNDS, WITHIN DEPARTMENT  |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 4,709,004.67-     |
| 180000     | TRANSFERS                              | 0.00              |
| 310308     | REIMBURSE ST TRANSPORT TF              | 1,866,810.24      |
|            | ** GL 35200 TOTAL                      | 2,842,194.43-     |
| 45100      | ADVANCES FROM OTHER FUNDS BETWEEN DEPA |                   |
| 310308     | REIMBURSE ST TRANSPORT TF              | 0.00              |
| 45700      | ADVANCES FROM OTHER FUNDS WITHIN DEPAR |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 1,110,793.12-     |
| 310308     | REIMBURSE ST TRANSPORT TF              | 33,491,495.25-    |
|            | ** GL 45700 TOTAL                      | 34,602,288.37-    |
| 48700      | CAPITAL LEASES LIABILITY               |                   |
| 310308     | REIMBURSE ST TRANSPORT TF              | 0.00              |
| 51100      | GENERAL LEDGER NAME NOT ON FILE        |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 386,463.35        |
| 040000     | EXPENSES                               | 13.82-            |
| 060000     | OPERATING CAPITAL OUTLAY               | 233,416.70-       |
| 088922     | TOLLS SYS EQUIP & DEVELOP              | 4,233.40-         |
| 130200     | DOT CARRYFORWARD FUNDS                 | 1,089.35-         |
| 310308     | REIMBURSE ST TRANSPORT TF              | 147,710.08-       |
|            | ** GL 51100 TOTAL                      | 0.00              |
| 53600      | INVESTED IN CAPITAL ASSETS NET OF RELA |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 31,155,525.82-    |
| 53800      | OTHER RESTRICTED                       |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 040000     | EXPENSES                               | 0.00              |
|            | ** GL 53800 TOTAL                      | 0.00              |
| 53900      | NET ASSETS UNRESTRICTED                |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 6,545,197.88-     |
|            | *** FUND TOTAL                         | 0.00              |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
50 8 415021 SUNSHINE SKYWAY TOLL FUND

| G-L<br>CAT      | G-L ACCOUNT NAME                                                  | BEGINNING BALANCE |
|-----------------|-------------------------------------------------------------------|-------------------|
| 11200<br>000000 | CASH IN BANK<br>BALANCE BROUGHT FORWARD                           | 155,429.63        |
| 11210<br>000000 | CASH IN BANK - SUNPASS<br>BALANCE BROUGHT FORWARD                 | 128,817.14        |
| 15300<br>000000 | INTEREST AND DIVIDENDS RECEIVABLE<br>BALANCE BROUGHT FORWARD      | 0.00              |
| 16200<br>000000 | DUE FROM STATE FUNDS, WITHIN DEPART.<br>BALANCE BROUGHT FORWARD   | 11,290.33         |
| 19100<br>000000 | PREPAID ITEMS<br>BALANCE BROUGHT FORWARD                          | 0.00              |
| 22300<br>000000 | RESTRICTED CASH W/STATE BOARD OF ADM.<br>BALANCE BROUGHT FORWARD  | 0.00              |
| 22600<br>000000 | REST. INVESTMENT WITH SBA<br>BALANCE BROUGHT FORWARD              | 0.00              |
| 22605<br>000000 | REVALUATION OF INVESTMENTS TO FAIR MKT<br>BALANCE BROUGHT FORWARD | 0.00              |
| 25200<br>000000 | DEFERRED CHARGES<br>BALANCE BROUGHT FORWARD                       | 0.00              |
| 26300<br>000000 | INFRASTRUCTURE - NONDEPRECIABLE<br>BALANCE BROUGHT FORWARD        | 284,755,664.51    |
| 040000          | EXPENSES                                                          | 84,349.91         |
| 180000          | TRANSFERS                                                         | 557,771.57        |
| 310308          | REIMBURSE ST TRANSPORT TF                                         | 2,570,567.31      |
|                 | ** GL 26300 TOTAL                                                 | 287,968,353.30    |
| 27200<br>000000 | BUILDINGS AND BUILDING IMPROVEMENTS<br>BALANCE BROUGHT FORWARD    | 12,360,511.81     |
| 040000          | EXPENSES                                                          | 1,424.09          |
| 060000          | OPERATING CAPITAL OUTLAY                                          | 65.62             |
| 130200          | DOT CARRYFORWARD FUNDS                                            | 96.75             |
| 180000          | TRANSFERS                                                         | 1,493.38          |
| 310308          | REIMBURSE ST TRANSPORT TF                                         | 12,263.33         |
|                 | ** GL 27200 TOTAL                                                 | 12,375,854.98     |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
50 8 415021 SUNSHINE SKYWAY TOLL FUND

| G-L<br>CAT | G-L ACCOUNT NAME                       | BEGINNING BALANCE |
|------------|----------------------------------------|-------------------|
| 27300      | ACC DEPR - BUILDINGS & BUILDING IMPROV |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 4,781,077.50-     |
| 060000     | OPERATING CAPITAL OUTLAY               | 9.78-             |
| 130200     | DOT CARRYFORWARD FUNDS                 | 6.48-             |
| 310308     | REIMBURSE ST TRANSPORT TF              | 3,157.65-         |
|            | ** GL 27300 TOTAL                      | 4,784,251.41-     |
| 27400      | INFRASTRUCTURE AND OTHER IMPROVEMENTS  |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 27600      | FURNITURE AND EQUIPMENT                |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 732,610.64        |
| 040000     | EXPENSES                               | 63,011.02         |
| 060000     | OPERATING CAPITAL OUTLAY               | 1,190,475.71-     |
| 088922     | TOLLS SYS EQUIP & DEVELOP              | 153,863.54        |
| 100021     | ACQUISITION/MOTOR VEHICLES             | 6,416.55          |
| 101640     | HUMAN RESOURCE DEVELOPMENT             | 71.82             |
| 130200     | DOT CARRYFORWARD FUNDS                 | 2,533.07          |
| 180000     | TRANSFERS                              | 6,544.70          |
| 310308     | REIMBURSE ST TRANSPORT TF              | 2,285,060.48      |
|            | ** GL 27600 TOTAL                      | 2,059,636.11      |
| 27700      | ACC DEPR - FURNITURE & EQUIPMENT       |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 132,777.98-       |
| 040000     | EXPENSES                               | 6,442.83-         |
| 060000     | OPERATING CAPITAL OUTLAY               | 19,683.50         |
| 088922     | TOLLS SYS EQUIP & DEVELOP              | 45,847.71-        |
| 100021     | ACQUISITION/MOTOR VEHICLES             | 2,460.08-         |
| 101640     | HUMAN RESOURCE DEVELOPMENT             | 28.80-            |
| 130200     | DOT CARRYFORWARD FUNDS                 | 705.91-           |
| 310308     | REIMBURSE ST TRANSPORT TF              | 1,627,854.40-     |
|            | ** GL 27700 TOTAL                      | 1,796,434.21-     |
| 27800      | CONSTRUCTION WORK IN PROGRESS          |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 35200      | DUE TO STATE FUNDS, WITHIN DEPARTMENT  |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 119,766.33        |
| 040000     | EXPENSES                               | 0.00              |
| 310308     | REIMBURSE ST TRANSPORT TF              | 671,172.32-       |
|            | ** GL 35200 TOTAL                      | 551,405.99-       |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
50 8 415021 SUNSHINE SKYWAY TOLL FUND

| G-L<br>CAT | G-L ACCOUNT NAME                       | BEGINNING BALANCE |
|------------|----------------------------------------|-------------------|
| 35240      | SUNSHINE SKYWAY                        |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 562,281.50-       |
| 040000     | EXPENSES                               | 0.00              |
| 310308     | REIMBURSE ST TRANSPORT TF              | 0.00              |
|            | ** GL 35240 TOTAL                      | 562,281.50-       |
| 35260      | PROJECTS NON-FACILITY RELATED          |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 35,947,328.66-    |
| 310308     | REIMBURSE ST TRANSPORT TF              | 22,695,848.69     |
|            | ** GL 35260 TOTAL                      | 13,251,479.97-    |
| 38900      | DEFERRED REVENUES                      |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 180000     | TRANSFERS                              | 0.00              |
|            | ** GL 38900 TOTAL                      | 0.00              |
| 44500      | BONDS PAYABLE FROM RESTRICTED ASSETS   |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 44600      | INTEREST PAY FROM RESTRICTED ASSETS    |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 45100      | ADVANCES FROM OTHER FUNDS BETWEEN DEPA |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 310308     | REIMBURSE ST TRANSPORT TF              | 0.00              |
|            | ** GL 45100 TOTAL                      | 0.00              |
| 45600      | DUE TO FEDERAL - ARBITRAGE             |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 45700      | ADVANCES FROM OTHER FUNDS WITHIN DEPAR |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 310308     | REIMBURSE ST TRANSPORT TF              | 0.00              |
|            | ** GL 45700 TOTAL                      | 0.00              |
| 45760      | PROJECTS NON-FACILITY RELATED - LT     |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 32,456,577.80-    |
| 46100      | BONDS PAYABLE                          |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 0.00              |
| 180000     | TRANSFERS                              | 0.00              |
|            | ** GL 46100 TOTAL                      | 0.00              |



BEGINNING TRIAL BALANCE BY FUND  
 JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
 50 8 415021 SUNSHINE SKYWAY TOLL FUND

| G-L<br>CAT                                              | G-L ACCOUNT NAME                                                                                                                                                           | BEGINNING BALANCE                                                  |
|---------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------|
| 46300<br>000000                                         | UNAMORTIZED PREMIUMS - BONDS PAYABLE<br>BALANCE BROUGHT FORWARD                                                                                                            | 0.00                                                               |
| 46400<br>000000<br>040000                               | UNAMORTIZED DISCOUNTS - BONDS PAYABLE<br>BALANCE BROUGHT FORWARD<br>EXPENSES                                                                                               | 0.00<br>0.00                                                       |
|                                                         | ** GL 46400 TOTAL                                                                                                                                                          | 0.00                                                               |
| 46500<br>000000                                         | AMOUNT DEFERRED ON REFUNDING - BONDS P<br>BALANCE BROUGHT FORWARD                                                                                                          | 0.00                                                               |
| 48700<br>310308                                         | CAPITAL LEASES LIABILITY<br>REIMBURSE ST TRANSPORT TF                                                                                                                      | 0.00                                                               |
| 51100<br>000000<br>060000<br>088922<br>130200<br>310308 | GENERAL LEDGER NAME NOT ON FILE<br>BALANCE BROUGHT FORWARD<br>OPERATING CAPITAL OUTLAY<br>TOLLS SYS EQUIP & DEVELOP<br>DOT CARRYFORWARD FUNDS<br>REIMBURSE ST TRANSPORT TF | 436,439.59<br>207,351.92-<br>7,794.33-<br>1,431.42-<br>219,861.92- |
|                                                         | ** GL 51100 TOTAL                                                                                                                                                          | 0.00                                                               |
| 53600<br>000000                                         | INVESTED IN CAPITAL ASSETS NET OF RELA<br>BALANCE BROUGHT FORWARD                                                                                                          | 249,296,950.61-                                                    |
| 53800<br>000000<br>040000                               | OTHER RESTRICTED<br>BALANCE BROUGHT FORWARD<br>EXPENSES                                                                                                                    | 0.00<br>0.00                                                       |
|                                                         | ** GL 53800 TOTAL                                                                                                                                                          | 0.00                                                               |
| 53900<br>000000                                         | NET ASSETS UNRESTRICTED<br>BALANCE BROUGHT FORWARD                                                                                                                         | 0.00                                                               |
|                                                         | *** FUND TOTAL                                                                                                                                                             | 0.00                                                               |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
50 8 475051 BEELINE EAST EXPRESSWAY TOLL FUND

| G-L<br>CAT      | G-L ACCOUNT NAME                                                 | BEGINNING BALANCE |
|-----------------|------------------------------------------------------------------|-------------------|
| 11200<br>000000 | CASH IN BANK<br>BALANCE BROUGHT FORWARD                          | 1,746.12          |
| 11210<br>000000 | CASH IN BANK - SUNPASS<br>BALANCE BROUGHT FORWARD                | 3,343.74          |
| 15300<br>000000 | INTEREST AND DIVIDENDS RECEIVABLE<br>BALANCE BROUGHT FORWARD     | 0.00              |
| 040000          | EXPENSES                                                         | 0.00              |
|                 | ** GL 15300 TOTAL                                                | 0.00              |
| 15310<br>000000 | INTEREST RECEIVABLE FROM DFS<br>BALANCE BROUGHT FORWARD          | 0.00              |
| 16200<br>000000 | DUE FROM STATE FUNDS, WITHIN DEPART.<br>BALANCE BROUGHT FORWARD  | 852.10            |
| 16300<br>040000 | DUE FROM OTHER DEPARTMENTS<br>EXPENSES                           | 0.00              |
| 16330<br>000000 | DUE FROM DFS - TOLL FUNDS<br>BALANCE BROUGHT FORWARD             | 0.00              |
| 16575<br>000000 | DUE FROM OOCEA-REV MGT<br>BALANCE BROUGHT FORWARD                | 89,033.89         |
| 19100<br>000000 | PREPAID ITEMS<br>BALANCE BROUGHT FORWARD                         | 0.00              |
| 22300<br>000000 | RESTRICTED CASH W/STATE BOARD OF ADM.<br>BALANCE BROUGHT FORWARD | 0.00              |
| 040000          | EXPENSES                                                         | 0.00              |
|                 | ** GL 22300 TOTAL                                                | 0.00              |
| 22600<br>000000 | REST. INVESTMENT WITH SBA<br>BALANCE BROUGHT FORWARD             | 0.00              |
| 040000          | EXPENSES                                                         | 0.00              |
|                 | ** GL 22600 TOTAL                                                | 0.00              |
| 26300<br>000000 | INFRASTRUCTURE - NONDEPRECIABLE<br>BALANCE BROUGHT FORWARD       | 0.00              |
| 310308          | REIMBURSE ST TRANSPORT TF                                        | 15,404,900.84     |
|                 | ** GL 26300 TOTAL                                                | 15,404,900.84     |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
50 8 475051 BEELINE EAST EXPRESSWAY TOLL FUND

| G-L<br>CAT      | G-L ACCOUNT NAME                                        | BEGINNING BALANCE |
|-----------------|---------------------------------------------------------|-------------------|
| 27100<br>310308 | LAND AND LAND IMPROVEMENTS<br>REIMBURSE ST TRANSPORT TF | 4,413,570.41      |
| 27200           | BUILDINGS AND BUILDING IMPROVEMENTS                     |                   |
| 000000          | BALANCE BROUGHT FORWARD                                 | 0.00              |
| 040000          | EXPENSES                                                | 115.15            |
| 060000          | OPERATING CAPITAL OUTLAY                                | 179.49            |
| 130200          | DOT CARRYFORWARD FUNDS                                  | 24.00             |
| 310308          | REIMBURSE ST TRANSPORT TF                               | 1,191.88          |
|                 | ** GL 27200 TOTAL                                       | 1,510.52          |
| 27300           | ACC DEPR - BUILDINGS & BUILDING IMPROV                  |                   |
| 000000          | BALANCE BROUGHT FORWARD                                 | 0.00              |
| 060000          | OPERATING CAPITAL OUTLAY                                | 37.01-            |
| 130200          | DOT CARRYFORWARD FUNDS                                  | 1.56-             |
| 310308          | REIMBURSE ST TRANSPORT TF                               | 274.20-           |
|                 | ** GL 27300 TOTAL                                       | 312.77-           |
| 27400           | INFRASTRUCTURE AND OTHER IMPROVEMENTS                   |                   |
| 000000          | BALANCE BROUGHT FORWARD                                 | 0.00              |
| 27600           | FURNITURE AND EQUIPMENT                                 |                   |
| 000000          | BALANCE BROUGHT FORWARD                                 | 385,967.76        |
| 040000          | EXPENSES                                                | 43,146.79         |
| 060000          | OPERATING CAPITAL OUTLAY                                | 510,837.43        |
| 088716          | INTRASTATE HIGHWAY CONSTR                               | 149,212.00        |
| 088922          | TOLLS SYS EQUIP & DEVELOP                               | 44,947.90         |
| 100021          | ACQUISITION/MOTOR VEHICLES                              | 594.58            |
| 101640          | HUMAN RESOURCE DEVELOPMENT                              | 6.15              |
| 130200          | DOT CARRYFORWARD FUNDS                                  | 325.05            |
| 180000          | TRANSFERS                                               | 0.00              |
| 310308          | REIMBURSE ST TRANSPORT TF                               | 471,826.86        |
|                 | ** GL 27600 TOTAL                                       | 1,606,864.52      |
| 27700           | ACC DEPR - FURNITURE & EQUIPMENT                        |                   |
| 000000          | BALANCE BROUGHT FORWARD                                 | 658,224.61-       |
| 040000          | EXPENSES                                                | 3,443.77-         |
| 060000          | OPERATING CAPITAL OUTLAY                                | 574,836.27-       |
| 088716          | INTRASTATE HIGHWAY CONSTR                               | 54,492.76-        |
| 088922          | TOLLS SYS EQUIP & DEVELOP                               | 12,878.56-        |
| 100021          | ACQUISITION/MOTOR VEHICLES                              | 228.62-           |
| 101640          | HUMAN RESOURCE DEVELOPMENT                              | 2.40-             |
| 130200          | DOT CARRYFORWARD FUNDS                                  | 67.56-            |
| 310308          | REIMBURSE ST TRANSPORT TF                               | 143,145.95-       |
|                 | ** GL 27700 TOTAL                                       | 1,447,320.50-     |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
50 8 475051 BEELINE EAST EXPRESSWAY TOLL FUND

| G-L<br>CAT                                              | G-L ACCOUNT NAME                                                                                                                                | BEGINNING BALANCE                                                                        |
|---------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|
| 31100<br>000000                                         | ACCOUNTS PAYABLE<br>BALANCE BROUGHT FORWARD                                                                                                     | 10,394.75-                                                                               |
| 33100<br>000000                                         | DEPOSITS PAYABLE<br>BALANCE BROUGHT FORWARD                                                                                                     | 0.00                                                                                     |
| 35200<br>000000<br>000120<br>040000<br>180000<br>310308 | DUE TO STATE FUNDS, WITHIN DEPARTMENT<br>BALANCE BROUGHT FORWARD<br><br>EXPENSES<br>TRANSFERS<br>REIMBURSE ST TRANSPORT TF<br>** GL 35200 TOTAL | 23,968,394.22-<br>4,125.89<br>653,923.66<br>150,947.86<br>18,521,202.49<br>4,638,194.32- |
| 35500<br>040000                                         | DUE TO OTHER GOVERNMENTAL UNITS<br>EXPENSES                                                                                                     | 0.00                                                                                     |
| 44500<br>000000                                         | BONDS PAYABLE FROM RESTRICTED ASSETS<br>BALANCE BROUGHT FORWARD                                                                                 | 0.00                                                                                     |
| 44600<br>000000                                         | INTEREST PAY FROM RESTRICTED ASSETS<br>BALANCE BROUGHT FORWARD                                                                                  | 0.00                                                                                     |
| 45100<br>180000<br>310308                               | ADVANCES FROM OTHER FUNDS BETWEEN DEPA<br>TRANSFERS<br>REIMBURSE ST TRANSPORT TF<br>** GL 45100 TOTAL                                           | 0.00<br>0.00<br>0.00                                                                     |
| 45700<br>000000<br>180000<br>310308                     | ADVANCES FROM OTHER FUNDS WITHIN DEPAR<br>BALANCE BROUGHT FORWARD<br>TRANSFERS<br>REIMBURSE ST TRANSPORT TF<br>** GL 45700 TOTAL                | 12,291,113.40-<br>0.00<br>16,780,909.18-<br>29,072,022.58-                               |
| 45740<br>000000<br>310308                               | SR520 PROJECTS NON-FACILITY RELATED<br>BALANCE BROUGHT FORWARD<br>REIMBURSE ST TRANSPORT TF<br>** GL 45740 TOTAL                                | 21,349,505.43-<br>3,000,000.00<br>18,349,505.43-                                         |
| 46100<br>000000                                         | BONDS PAYABLE<br>BALANCE BROUGHT FORWARD                                                                                                        | 0.00                                                                                     |

BEGINNING TRIAL BALANCE BY FUND  
 JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
 50 8 475051 BEELINE EAST EXPRESSWAY TOLL FUND

| G-L<br>CAT      | G-L ACCOUNT NAME                                                  | BEGINNING BALANCE |
|-----------------|-------------------------------------------------------------------|-------------------|
| 48700<br>310308 | CAPITAL LEASES LIABILITY<br>REIMBURSE ST TRANSPORT TF             | 0.00              |
| 51100           | GENERAL LEDGER NAME NOT ON FILE                                   |                   |
| 000000          | BALANCE BROUGHT FORWARD                                           | 968,300.45        |
| 040000          | EXPENSES                                                          | 1.90-             |
| 060000          | OPERATING CAPITAL OUTLAY                                          | 108,176.41-       |
| 088716          | INTRASTATE HIGHWAY CONSTR                                         | 232,958.62-       |
| 088922          | TOLLS SYS EQUIP & DEVELOP                                         | 763.68-           |
| 130200          | DOT CARRYFORWARD FUNDS                                            | 120.60-           |
| 310308          | REIMBURSE ST TRANSPORT TF                                         | 626,279.24-       |
|                 | ** GL 51100 TOTAL                                                 | 0.00              |
| 53600<br>000000 | INVESTED IN CAPITAL ASSETS NET OF RELA<br>BALANCE BROUGHT FORWARD | 0.00              |
| 53800           | OTHER RESTRICTED                                                  |                   |
| 000000          | BALANCE BROUGHT FORWARD                                           | 0.00              |
| 040000          | EXPENSES                                                          | 0.00              |
|                 | ** GL 53800 TOTAL                                                 | 0.00              |
| 53900<br>000000 | NET ASSETS UNRESTRICTED<br>BALANCE BROUGHT FORWARD                | 31,995,928.21     |
|                 | *** FUND TOTAL                                                    | 0.00              |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
50 8 495001 I-95 EXPRESS LANES TOLL FUND

| G-L<br>CAT                                                        | G-L ACCOUNT NAME                                                                                                                                                                          | BEGINNING BALANCE                                                      |
|-------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|
| 11200<br>000000                                                   | CASH IN BANK<br>BALANCE BROUGHT FORWARD                                                                                                                                                   | 41.25                                                                  |
| 11210<br>000000                                                   | CASH IN BANK - SUNPASS<br>BALANCE BROUGHT FORWARD                                                                                                                                         | 240,951.20                                                             |
| 14400<br>000000                                                   | NON-STATE AND CU INVESTMENTS WITH STAT<br>BALANCE BROUGHT FORWARD                                                                                                                         | 4,712,873.53                                                           |
| 15310<br>000000                                                   | INTEREST RECEIVABLE FROM DFS<br>BALANCE BROUGHT FORWARD                                                                                                                                   | 14,480.92                                                              |
| 16200<br>000000                                                   | DUE FROM STATE FUNDS, WITHIN DEPART.<br>BALANCE BROUGHT FORWARD                                                                                                                           | 18,852.71                                                              |
| 26300<br>310308                                                   | INFRASTRUCTURE - NONDEPRECIABLE<br>REIMBURSE ST TRANSPORT TF                                                                                                                              | 78,777,363.87                                                          |
| 27200<br>088716<br>310308                                         | BUILDINGS AND BUILDING IMPROVEMENTS<br>INTRASTATE HIGHWAY CONSTR<br>REIMBURSE ST TRANSPORT TF                                                                                             | 2,805,942.00<br>18.00                                                  |
|                                                                   | ** GL 27200 TOTAL                                                                                                                                                                         | 2,805,960.00                                                           |
| 27300<br>088716<br>310308                                         | ACC DEPR - BUILDINGS & BUILDING IMPROV<br>INTRASTATE HIGHWAY CONSTR<br>REIMBURSE ST TRANSPORT TF                                                                                          | 105,222.78-<br>1.20-                                                   |
|                                                                   | ** GL 27300 TOTAL                                                                                                                                                                         | 105,223.98-                                                            |
| 27600<br>040000<br>060000<br>088716<br>088922<br>130200<br>310308 | FURNITURE AND EQUIPMENT<br>EXPENSES<br>OPERATING CAPITAL OUTLAY<br>INTRASTATE HIGHWAY CONSTR<br>TOLLS SYS EQUIP & DEVELOP<br>DOT CARRYFORWARD FUNDS<br>REIMBURSE ST TRANSPORT TF          | 12.27<br>1,076.98<br>321,725.36<br>24,543.91<br>618,100.09<br>9,804.66 |
|                                                                   | ** GL 27600 TOTAL                                                                                                                                                                         | 975,263.27                                                             |
| 27700<br>040000<br>060000<br>088716<br>088922<br>130200<br>310308 | ACC DEPR - FURNITURE & EQUIPMENT<br>EXPENSES<br>OPERATING CAPITAL OUTLAY<br>INTRASTATE HIGHWAY CONSTR<br>TOLLS SYS EQUIP & DEVELOP<br>DOT CARRYFORWARD FUNDS<br>REIMBURSE ST TRANSPORT TF | 0.66-<br>40.33-<br>77,080.09-<br>1,664.57-<br>44,456.12-<br>2,344.57-  |
|                                                                   | ** GL 27700 TOTAL                                                                                                                                                                         | 125,586.34-                                                            |

BEGINNING TRIAL BALANCE BY FUND  
 JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
 50 8 495001 I-95 EXPRESS LANES TOLL FUND

| G-L<br>CAT | G-L ACCOUNT NAME                       | BEGINNING BALANCE |
|------------|----------------------------------------|-------------------|
| 35200      | DUE TO STATE FUNDS, WITHIN DEPARTMENT  |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 473,038.84-       |
| 040000     | EXPENSES                               | 292,020.28        |
| 310308     | REIMBURSE ST TRANSPORT TF              | 292,020.28-       |
|            | ** GL 35200 TOTAL                      | 473,038.84-       |
| 51100      | GENERAL LEDGER NAME NOT ON FILE        |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 644.53            |
| 060000     | OPERATING CAPITAL OUTLAY               | 22.68-            |
| 310308     | REIMBURSE ST TRANSPORT TF              | 621.85-           |
|            | ** GL 51100 TOTAL                      | 0.00              |
| 53600      | INVESTED IN CAPITAL ASSETS NET OF RELA |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 82,327,776.82-    |
| 53900      | NET ASSETS UNRESTRICTED                |                   |
| 000000     | BALANCE BROUGHT FORWARD                | 4,514,160.77-     |
|            | *** FUND TOTAL                         | 0.00              |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
55 8 693061 SOUTH FL REGIONAL TRANS AUTHORITY

| G-L<br>CAT      | G-L ACCOUNT NAME                                                  | BEGINNING BALANCE |
|-----------------|-------------------------------------------------------------------|-------------------|
| 11200<br>000000 | CASH IN BANK<br>BALANCE BROUGHT FORWARD                           | 48,286,748.00     |
| 15100<br>000000 | ACCOUNTS RECEIVABLE<br>BALANCE BROUGHT FORWARD                    | 162,314.00        |
| 16400<br>000000 | DUE FROM FEDERAL GOVERNMENT<br>BALANCE BROUGHT FORWARD            | 10,495,717.00     |
| 16500<br>000000 | DUE FROM OTHER GOVERNMENTAL UNITS<br>BALANCE BROUGHT FORWARD      | 219,187.00        |
| 16700<br>000000 | DUE FROM COMPONENT UNIT/PRIMARY<br>BALANCE BROUGHT FORWARD        | 3,245,988.00      |
| 19100<br>000000 | PREPAID ITEMS<br>BALANCE BROUGHT FORWARD                          | 280,556.00        |
| 19200<br>000000 | DEPOSITS<br>BALANCE BROUGHT FORWARD                               | 14,506.00         |
| 24100<br>000000 | LONG TERM INVESTMENTS-STATE TREASURER<br>BALANCE BROUGHT FORWARD  | 0.00              |
| 27100<br>000000 | LAND AND LAND IMPROVEMENTS<br>BALANCE BROUGHT FORWARD             | 19,894,361.00     |
| 27200<br>000000 | BUILDINGS AND BUILDING IMPROVEMENTS<br>BALANCE BROUGHT FORWARD    | 138,691,571.00    |
| 27300<br>000000 | ACC DEPR - BUILDINGS & BUILDING IMPROV<br>BALANCE BROUGHT FORWARD | 54,253,941.00-    |
| 27400<br>000000 | INFRASTRUCTURE AND OTHER IMPROVEMENTS<br>BALANCE BROUGHT FORWARD  | 353,606,667.00    |
| 27500<br>000000 | ACC DEPR - INFRASTRUCTURE & OTHER IMPR<br>BALANCE BROUGHT FORWARD | 43,412,088.00-    |
| 27600<br>000000 | FURNITURE AND EQUIPMENT<br>BALANCE BROUGHT FORWARD                | 184,887,252.00    |



BEGINNING TRIAL BALANCE BY FUND  
 JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
 55 8 693061 SOUTH FL REGIONAL TRANS AUTHORITY

| G-L<br>CAT      | G-L ACCOUNT NAME                                                  | BEGINNING BALANCE |
|-----------------|-------------------------------------------------------------------|-------------------|
| 27700<br>000000 | ACC DEPR - FURNITURE & EQUIPMENT<br>BALANCE BROUGHT FORWARD       | 65,222,509.00-    |
| 27800<br>000000 | CONSTRUCTION WORK IN PROGRESS<br>BALANCE BROUGHT FORWARD          | 18,363,744.00     |
| 31100<br>000000 | ACCOUNTS PAYABLE<br>BALANCE BROUGHT FORWARD                       | 3,367,288.00-     |
| 32100<br>000000 | ACCRUED SALARIES AND WAGES<br>BALANCE BROUGHT FORWARD             | 528,457.00-       |
| 33100<br>000000 | DEPOSITS PAYABLE<br>BALANCE BROUGHT FORWARD                       | 0.00              |
| 35500<br>000000 | DUE TO OTHER GOVERNMENTAL UNITS<br>BALANCE BROUGHT FORWARD        | 11,506.00-        |
| 35700<br>000000 | DUE TO COMPONENT UNIT/PRIMARY<br>BALANCE BROUGHT FORWARD          | 0.00              |
| 38600<br>000000 | CURRENT COMPENSATED ABSENCES LIABILITY<br>BALANCE BROUGHT FORWARD | 328,068.00-       |
| 38900<br>000000 | DEFERRED REVENUES<br>BALANCE BROUGHT FORWARD                      | 98,400.00-        |
| 45800<br>000000 | ADVANCES FROM PRIMARY - LONGTERM<br>BALANCE BROUGHT FORWARD       | 3,663,972.00-     |
| 48600<br>000000 | COMPENSATED ABSENCES LIABILITY<br>BALANCE BROUGHT FORWARD         | 492,103.00-       |
| 49900<br>000000 | OTHER LONG-TERM LIABILITIES<br>BALANCE BROUGHT FORWARD            | 1,661,201.00-     |
| 53600<br>000000 | INVESTED IN CAPITAL ASSETS NET OF RELA<br>BALANCE BROUGHT FORWARD | 552,555,057.00-   |
| 53900<br>000000 | NET ASSETS UNRESTRICTED<br>BALANCE BROUGHT FORWARD                | 52,554,021.00-    |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
55 8 693061 SOUTH FL REGIONAL TRANS AUTHORITY

| G-L<br>CAT      | G-L ACCOUNT NAME                                       | BEGINNING BALANCE |
|-----------------|--------------------------------------------------------|-------------------|
| 55900<br>000000 | OTHER FUND BALANCE RESERVED<br>BALANCE BROUGHT FORWARD | 0.00              |
|                 | *** FUND TOTAL                                         | 0.00              |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
74 8 476001 SUNPASS PREPAID FUND

| G-L<br>CAT      | G-L ACCOUNT NAME                                                 | BEGINNING BALANCE |
|-----------------|------------------------------------------------------------------|-------------------|
| 11200<br>000000 | CASH IN BANK<br>BALANCE BROUGHT FORWARD                          | 10,864,512.65     |
| 14300<br>000000 | SPECIAL INVESTMENTS W/STATE TREASURY<br>BALANCE BROUGHT FORWARD  | 72,885,670.41     |
| 15100<br>000000 | ACCOUNTS RECEIVABLE<br>BALANCE BROUGHT FORWARD                   | 0.00              |
| 15300<br>000000 | INTEREST AND DIVIDENDS RECEIVABLE<br>BALANCE BROUGHT FORWARD     | 184,775.67        |
| 15700<br>000000 | FEES RECEIVABLE<br>BALANCE BROUGHT FORWARD                       | 6,576,850.96      |
| 16200<br>000000 | DUE FROM STATE FUNDS, WITHIN DEPART.<br>BALANCE BROUGHT FORWARD  | 275,556.27        |
| 31100<br>000000 | ACCOUNTS PAYABLE<br>BALANCE BROUGHT FORWARD                      | 5,151,560.50-     |
| 33100<br>000000 | DEPOSITS PAYABLE<br>BALANCE BROUGHT FORWARD                      | 0.00              |
| 35200<br>000000 | DUE TO STATE FUNDS, WITHIN DEPARTMENT<br>BALANCE BROUGHT FORWARD | 940,567.16-       |
| 35300<br>000000 | DUE TO OTHER DEPARTMENTS<br>BALANCE BROUGHT FORWARD              | 7,288.56-         |
| 35500<br>000000 | DUE TO OTHER GOVERNMENTAL UNITS<br>BALANCE BROUGHT FORWARD       | 1,903,566.39-     |
| 38800<br>000000 | UNEARNED REVENUE - CURRENT<br>BALANCE BROUGHT FORWARD            | 82,784,383.35-    |
|                 | *** FUND TOTAL                                                   | 0.00              |

BEGINNING TRIAL BALANCE BY FUND  
 JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
 74 8 478001 JOINT PARTICIPATION FUND

| G-L<br>CAT      | G-L ACCOUNT NAME                                                  | BEGINNING BALANCE |
|-----------------|-------------------------------------------------------------------|-------------------|
| 14400<br>000000 | NON-STATE AND CU INVESTMENTS WITH STAT<br>BALANCE BROUGHT FORWARD | 239,539,152.37    |
| 15310<br>000000 | INTEREST RECEIVABLE FROM DFS<br>BALANCE BROUGHT FORWARD           | 1,691,869.63      |
| 16300<br>000000 | DUE FROM OTHER DEPARTMENTS<br>BALANCE BROUGHT FORWARD             | 0.00              |
| 31180<br>000000 | ACCOUNTS PAYABLE - JPAS<br>BALANCE BROUGHT FORWARD                | 0.00              |
| 38880<br>000000 | UNEARNED REVENUE - DFS SECURITY<br>BALANCE BROUGHT FORWARD        | 163,335,650.17-   |
| 48880<br>000000 | LT UNEARNED REVENUE-DFS SECURITY<br>BALANCE BROUGHT FORWARD       | 77,895,371.83-    |
|                 | *** FUND TOTAL                                                    | 0.00              |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
74 8 550001 TRAVEL REVOLVING FUND

| G-L<br>CAT      | G-L ACCOUNT NAME                                                  | BEGINNING BALANCE |
|-----------------|-------------------------------------------------------------------|-------------------|
| 11200<br>000000 | CASH IN BANK<br>BALANCE BROUGHT FORWARD                           | 150,000.00        |
| 16800<br>000000 | DUE FROM STATE FUNDS - REVOLVING FUND<br>BALANCE BROUGHT FORWARD  | 0.00              |
| 45700<br>000000 | ADVANCES FROM OTHER FUNDS WITHIN DEPAR<br>BALANCE BROUGHT FORWARD | 150,000.00-       |
|                 | *** FUND TOTAL                                                    | 0.00              |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
74 8 550003 PETTY CASH REVOLVING FUND

| G-L<br>CAT      | G-L ACCOUNT NAME                                                  | BEGINNING BALANCE |
|-----------------|-------------------------------------------------------------------|-------------------|
| 11200<br>000000 | CASH IN BANK<br>BALANCE BROUGHT FORWARD                           | 4,100.00          |
| 45700<br>000000 | ADVANCES FROM OTHER FUNDS WITHIN DEPAR<br>BALANCE BROUGHT FORWARD | 4,100.00-         |
|                 | *** FUND TOTAL                                                    | 0.00              |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
74 8 550004 TOLL FACILITIES CHANGE FUND

| G-L<br>CAT      | G-L ACCOUNT NAME                                                  | BEGINNING BALANCE |
|-----------------|-------------------------------------------------------------------|-------------------|
| 11100<br>000000 | CASH ON HAND<br>BALANCE BROUGHT FORWARD                           | 806,330.00        |
| 45700<br>000000 | ADVANCES FROM OTHER FUNDS WITHIN DEPAR<br>BALANCE BROUGHT FORWARD | 806,330.00-       |
|                 | *** FUND TOTAL                                                    | 0.00              |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
80 9 000001 GENERAL FIXED ASSET ACCOUNT

| G-L<br>CAT      | G-L ACCOUNT NAME                                                  | BEGINNING BALANCE |
|-----------------|-------------------------------------------------------------------|-------------------|
| 26300<br>000000 | INFRASTRUCTURE - NONDEPRECIABLE<br>BALANCE BROUGHT FORWARD        | 2,288,806.00      |
| 26334<br>000000 | INFRASTRUCTURE - NONDEPRECIABLE<br>BALANCE BROUGHT FORWARD        | 33,272,338,048.40 |
| 088849          | PRELIMINARY ENGR CONSULT                                          | 78,556,780.44-    |
| 310308          | REIMBURSE ST TRANSPORT TF                                         | 29,354,509.40     |
|                 | ** GL 26334 TOTAL                                                 | 33,223,135,777.36 |
| 26600<br>000000 | ART & HISTORICAL TREASURES - NONDEPREC<br>BALANCE BROUGHT FORWARD | 147,418.68        |
| 060000          | OPERATING CAPITAL OUTLAY                                          | 3,677.50          |
| 081610          | DISTRICT THREE OP CENTER                                          | 10,080.00         |
| 086101          | CATEGORY NAME NOT ON TITLE FILE                                   | 26,000.00         |
| 088520          | OFF BLDG REP/RENO/ADD-STW                                         | 4,500.00          |
| 088526          | CATEGORY NAME NOT ON TITLE FILE                                   | 19,350.00         |
| 088527          | CONSOL-LEESBRG/OCALA MAINT                                        | 6,940.00          |
| 088623          | CATEGORY NAME NOT ON TITLE FILE                                   | 14,000.00         |
| 088648          | ADD/RENO-DIST OFFICE-MIAMI                                        | 12,780.00         |
| 088744          | RENO-ST MATL OFF - G'VILLE                                        | 14,000.00         |
|                 | ** GL 26600 TOTAL                                                 | 258,746.18        |
| 27100<br>000000 | LAND AND LAND IMPROVEMENTS<br>BALANCE BROUGHT FORWARD             | 8,993,612.32      |
| 010000          | SALARIES AND BENEFITS                                             | 291,358.73        |
| 040000          | EXPENSES                                                          | 1,362,957.17      |
| 060000          | OPERATING CAPITAL OUTLAY                                          | 275,732.70        |
| 085971          | CATEGORY NAME NOT ON TITLE FILE                                   | 1,182.96-         |
| 088526          | CATEGORY NAME NOT ON TITLE FILE                                   | 92,494.00         |
| 088527          | CONSOL-LEESBRG/OCALA MAINT                                        | 250,000.00        |
| 088716          | INTRASTATE HIGHWAY CONSTR                                         | 5,514,474.74      |
| 088717          | ARTERIAL HIGHWAY CONSTR                                           | 702,824.92        |
| 088718          | CONSTRUCT INSPECT CONSULT                                         | 6,316,356.93      |
| 088747          | CONST PRKG/EOC/STOR-DIST4                                         | 364,247.89        |
| 088777          | RIGHT-OF-WAY LAND ACQ                                             | 9,470,352.78      |
| 088849          | PRELIMINARY ENGR CONSULT                                          | 3,166,911.28      |
| 088853          | RIGHT-OF-WAY SUPPORT                                              | 1,364,631.27      |
|                 | ** GL 27100 TOTAL                                                 | 38,164,771.77     |
| 27134<br>000000 | RIGHT-OF-WAY<br>BALANCE BROUGHT FORWARD                           | 11,497,454,397.99 |
| 310308          | REIMBURSE ST TRANSPORT TF                                         | 1,137,629.44      |
|                 | ** GL 27134 TOTAL                                                 | 11,498,592,027.43 |



BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
80 9 000001 GENERAL FIXED ASSET ACCOUNT

| G-L<br>CAT | G-L ACCOUNT NAME                            | BEGINNING BALANCE |
|------------|---------------------------------------------|-------------------|
| 27200      | BUILDINGS AND BUILDING IMPROVEMENTS         |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 223,601,693.53    |
| 010000     | SALARIES AND BENEFITS                       | 709,776.90        |
| 040000     | EXPENSES                                    | 19,276,861.53     |
| 060000     | OPERATING CAPITAL OUTLAY                    | 31,104,354.24     |
| 080002     | MINOR REPAIRS/IMPROV-STATE                  | 6,232,865.83      |
| 080840     | CATEGORY NAME NOT ON TITLE FILE             | 31,120.00-        |
| 081409     | CODE/SAFETY CORRECT-STWIDE                  | 63,850.89         |
| 081610     | DISTRICT THREE OP CENTER                    | 191,387.54        |
| 082528     | CATEGORY NAME NOT ON TITLE FILE             | 138,738.86        |
| 083549     | CATEGORY NAME NOT ON TITLE FILE             | 11,622.00-        |
| 083644     | REP/RENO/MAINT YD-FT LAUD                   | 1,792,772.00      |
| 086101     | CATEGORY NAME NOT ON TITLE FILE             | 1,325.00          |
| 088043     | CRESTVIEW SUB MAINT. YARD                   | 36,290.50-        |
| 088056     | DEFUNIAK CREW BLDG                          | 102,107.00-       |
| 088513     | FLD FAC REP/RENO/ADD-STW                    | 17,547,500.79     |
| 088520     | OFF BLDG REP/RENO/ADD-STW                   | 2,690,894.10      |
| 088526     | CATEGORY NAME NOT ON TITLE FILE             | 4,269,743.49      |
| 088527     | CONSOL-LEESBRG/OCALA MAINT                  | 5,093,288.89      |
| 088533     | CATEGORY NAME NOT ON TITLE FILE             | 3,011,748.52      |
| 088542     | UNDERGROUND/TANK PROG-STW                   | 1,364,018.90      |
| 088567     | CATEGORY NAME NOT ON TITLE FILE             | 73,416.76-        |
| 088568     | CATEGORY NAME NOT ON TITLE FILE             | 6,592.29          |
| 088569     | RADIO COMMU PROG-STATEWIDE                  | 1,154,099.86      |
| 088611     | MAINT COMPLEX-LAKE CITY                     | 5,782.13-         |
| 088623     | CATEGORY NAME NOT ON TITLE FILE             | 22,788.99         |
| 088648     | ADD/RENO-DIST OFFICE-MIAMI                  | 3,296,719.68      |
| 088701     | CATEGORY NAME NOT ON TITLE FILE             | 482,499.00-       |
| 088712     | HIGHWAY MAINTENANCE CONTR                   | 117,636.78        |
| 088716     | INTRASTATE HIGHWAY CONSTR                   | 115,727,897.77    |
| 088717     | ARTERIAL HIGHWAY CONSTR                     | 8,849,569.85      |
| 088718     | CONSTRUCT INSPECT CONSULT                   | 5,937,714.41      |
| 088732     | CATEGORY NAME NOT ON TITLE FILE             | 3,000.00          |
| 088734     | RENO-MAINT YARD-TAMPA                       | 1,410,741.07      |
| 088744     | RENO-ST MATL OFF - G'VILLE                  | 19,048,599.23     |
| 088746     | CATEGORY NAME NOT ON TITLE FILE             | 6,572,199.40      |
| 088777     | RIGHT-OF-WAY LAND ACQ                       | 1,978,879.00      |
| 088849     | PRELIMINARY ENGR CONSULT                    | 44,200.51         |
| 088853     | RIGHT-OF-WAY SUPPORT                        | 2,424,000.00      |
| 088866     | TRAFFIC ENGR CONSULTANTS                    | 17,803,657.98     |
| 088922     | TOLLS SYS EQUIP & DEVELOP                   | 72.35             |
| 089938     | G/A MAJOR DISASTER 04-05 HURR IVAN DOT WK P | 264,000.00        |
| 089947     | G/A MD HURR JEANNE AGY MGD 04-217           | 11,560.00         |
| 089957     | GRANTS AND AIDS - 2005 HURRICANES - AGY MGD | 3,500.00          |
| 100021     | ACQUISITION/MOTOR VEHICLES                  | 4,901.00          |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
80 9 000001 GENERAL FIXED ASSET ACCOUNT

| G-L<br>CAT | G-L ACCOUNT NAME                            | BEGINNING BALANCE |
|------------|---------------------------------------------|-------------------|
| 100777     | CONTRACTED SERVICES                         | 9,274.80          |
| 102905     | CATEGORY NAME NOT ON TITLE FILE             | 126,400.00-       |
| 103892     | TRANS MATERIALS & EQUIP                     | 450,391.38        |
| 105258     | REPAIRS/REPLACE-ANDREW DAM                  | 57,727.80-        |
| 109838     | MD HURR JEANNE - PUR CD ST OPER             | 13,000.00         |
| 109847     | GRANTS AND AIDS - 2005 HURRICANES - PURC CA | 11,940.00         |
| 130200     | DOT CARRYFORWARD FUNDS                      | 168,496.00        |
| 180000     | TRANSFERS                                   | 233,046.77        |
| 310308     | REIMBURSE ST TRANSPORT TF                   | 72.35-            |
|            | ** GL 27200 TOTAL                           | 501,732,262.59    |
| 27300      | ACC DEPR - BUILDINGS & BUILDING IMPROV      |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 131,118,321.93-   |
| 040000     | EXPENSES                                    | 6,056,761.88-     |
| 060000     | OPERATING CAPITAL OUTLAY                    | 9,019,435.56-     |
| 080002     | MINOR REPAIRS/IMPROV-STATE                  | 508,884.24-       |
| 082335     | RENOVATIONS-HEATING, VENTILATION AND AIR CO | 54,691.89-        |
| 083192     | MAINT FAC REPAIRS/ PAVING                   | 273,330.60-       |
| 083304     | MARIANNA CREW BLDG & MAINT                  | 54,930.96-        |
| 083581     | DISTRICT OFFICE - FT LAUD                   | 5,873,714.46-     |
| 083644     | REP/RENO/MAINT YD-FT LAUD                   | 527,312.99-       |
| 086101     | CATEGORY NAME NOT ON TITLE FILE             | 63,758.43-        |
| 086118     | ST.AUGUSTINE MAINT YARD                     | 673,588.57-       |
| 086615     | CATEGORY NAME NOT ON TITLE FILE             | 163,737.60-       |
| 088016     | CATEGORY NAME NOT ON TITLE FILE             | 304,693.47-       |
| 088022     | CATEGORY NAME NOT ON TITLE FILE             | 162,503.52-       |
| 088047     | GAINESVILLE MAINT. YARD                     | 661,438.71-       |
| 088050     | CATEGORY NAME NOT ON TITLE FILE             | 437,018.08-       |
| 088062     | PANAMA CITY MAINT. YARD                     | 9,544.00-         |
| 088508     | STW STRUCTURES SHOP-OVIEDO                  | 218,181.74-       |
| 088511     | CATEGORY NAME NOT ON TITLE FILE             | 5,195.66-         |
| 088513     | FLD FAC REP/RENO/ADD-STW                    | 1,916,877.38-     |
| 088520     | OFF BLDG REP/RENO/ADD-STW                   | 357,737.63-       |
| 088526     | CATEGORY NAME NOT ON TITLE FILE             | 1,218,799.48-     |
| 088527     | CONSOL-LEESBRG/OCALA MAINT                  | 1,402,163.71-     |
| 088533     | CATEGORY NAME NOT ON TITLE FILE             | 844,962.97-       |
| 088542     | UNDERGROUND/TANK PROG-STW                   | 139,255.37-       |
| 088543     | ALT FUEL TANK PROG-STW                      | 37,962.46-        |
| 088546     | CATEGORY NAME NOT ON TITLE FILE             | 15,212.90-        |
| 088552     | DIST DESIGN BLDG - CHIPLEY                  | 1,902,550.80-     |
| 088567     | CATEGORY NAME NOT ON TITLE FILE             | 723,146.47-       |
| 088568     | CATEGORY NAME NOT ON TITLE FILE             | 21,997.71-        |
| 088569     | RADIO COMMU PROG-STATEWIDE                  | 219,600.54-       |
| 088580     | ANNEX-BARTOW DIST OFC                       | 4,769,100.36-     |
| 088611     | MAINT COMPLEX-LAKE CITY                     | 387,758.82-       |
| 088643     | MAINT YARD - FT PIERCE                      | 1,367,717.87-     |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
80 9 000001 GENERAL FIXED ASSET ACCOUNT

| G-L<br>CAT | G-L ACCOUNT NAME                            | BEGINNING BALANCE |
|------------|---------------------------------------------|-------------------|
| 088662     | CATEGORY NAME NOT ON TITLE FILE             | 21,218.00-        |
| 088702     | CATEGORY NAME NOT ON TITLE FILE             | 469,940.45-       |
| 088712     | HIGHWAY MAINTENANCE CONTR                   | 151.33-           |
| 088716     | INTRASTATE HIGHWAY CONSTR                   | 14,961,447.15-    |
| 088717     | ARTERIAL HIGHWAY CONSTR                     | 1,021,941.56-     |
| 088718     | CONSTRUCT INSPECT CONSULT                   | 949,718.85-       |
| 088723     | CATEGORY NAME NOT ON TITLE FILE             | 835,801.08-       |
| 088734     | RENO-MAINT YARD-TAMPA                       | 192,197.35-       |
| 088744     | RENO-ST MATL OFF - G'VILLE                  | 4,975,313.83-     |
| 088746     | CATEGORY NAME NOT ON TITLE FILE             | 3,860,550.12-     |
| 088747     | CONST PRKG/EOC/STOR-DIST4                   | 98,501.14-        |
| 088777     | RIGHT-OF-WAY LAND ACQ                       | 1,996,222.42-     |
| 088805     | CATEGORY NAME NOT ON TITLE FILE             | 30,195.00-        |
| 088836     | CATEGORY NAME NOT ON TITLE FILE             | 30,000.00-        |
| 088842     | CATEGORY NAME NOT ON TITLE FILE             | 59,902.05-        |
| 088844     | CATEGORY NAME NOT ON TITLE FILE             | 473,771.10-       |
| 088853     | RIGHT-OF-WAY SUPPORT                        | 396,594.37-       |
| 088866     | TRAFFIC ENGR CONSULTANTS                    | 4,401,460.02-     |
| 089938     | G/A MAJOR DISASTER 04-05 HURR IVAN DOT WK P | 91,568.68-        |
| 089957     | GRANTS AND AIDS - 2005 HURRICANES - AGY MGD | 777.69-           |
| 100021     | ACQUISITION/MOTOR VEHICLES                  | 4,901.00-         |
| 102905     | CATEGORY NAME NOT ON TITLE FILE             | 2,022,944.99-     |
| 103892     | TRANS MATERIALS & EQUIP                     | 205,270.81-       |
| 105258     | REPAIRS/REPLACE-ANDREW DAM                  | 19,242.60-        |
| 109847     | GRANTS AND AIDS - 2005 HURRICANES - PURC CA | 3,448.64-         |
|            | ** GL 27300 TOTAL                           | 208,634,968.99-   |
| 27400      | INFRASTRUCTURE AND OTHER IMPROVEMENTS       |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 14,253,610.68     |
| 010000     | SALARIES AND BENEFITS                       | 531,448.74        |
| 040000     | EXPENSES                                    | 23,825,809.24     |
| 060000     | OPERATING CAPITAL OUTLAY                    | 2,428,121.29      |
| 080002     | MINOR REPAIRS/IMPROV-STATE                  | 276,314.90        |
| 086101     | CATEGORY NAME NOT ON TITLE FILE             | 3,650.70          |
| 088513     | FLD FAC REP/RENO/ADD-STW                    | 490,859.58        |
| 088520     | OFF BLDG REP/RENO/ADD-STW                   | 440,501.50        |
| 088526     | CATEGORY NAME NOT ON TITLE FILE             | 652,656.75        |
| 088527     | CONSOL-LEESBRG/OCALA MAINT                  | 1,924,897.91      |
| 088623     | CATEGORY NAME NOT ON TITLE FILE             | 250.22            |
| 088716     | INTRASTATE HIGHWAY CONSTR                   | 48,214,800.59     |
| 088717     | ARTERIAL HIGHWAY CONSTR                     | 2,742,000.63      |
| 088718     | CONSTRUCT INSPECT CONSULT                   | 10,100,631.99     |
| 088744     | RENO-ST MATL OFF - G'VILLE                  | 1,276,316.30      |
| 088774     | PUBLIC TRANSIT DEV/GRANTS                   | 11,145.00         |
| 088849     | PRELIMINARY ENGR CONSULT                    | 1,415,229.04      |
| 103892     | TRANS MATERIALS & EQUIP                     | 18,863.00         |
|            | ** GL 27400 TOTAL                           | 108,607,108.06    |

BEGINNING TRIAL BALANCE BY FUND  
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550000 DEPARTMENT OF TRANSPORTATION  
80 9 000001 GENERAL FIXED ASSET ACCOUNT

| G-L<br>CAT | G-L ACCOUNT NAME                            | BEGINNING BALANCE |
|------------|---------------------------------------------|-------------------|
| 27500      | ACC DEPR - INFRASTRUCTURE & OTHER IMPR      |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 7,862,817.12-     |
| 010000     | SALARIES AND BENEFITS                       | 74,175.36-        |
| 040000     | EXPENSES                                    | 10,263,158.95-    |
| 060000     | OPERATING CAPITAL OUTLAY                    | 609,430.70-       |
| 080002     | MINOR REPAIRS/IMPROV-STATE                  | 8,240.40-         |
| 080905     | CATEGORY NAME NOT ON TITLE FILE             | 52,220.75-        |
| 085971     | CATEGORY NAME NOT ON TITLE FILE             | 111,431.07-       |
| 086058     | CATEGORY NAME NOT ON TITLE FILE             | 38,111.88-        |
| 086101     | CATEGORY NAME NOT ON TITLE FILE             | 2,884.05-         |
| 088511     | CATEGORY NAME NOT ON TITLE FILE             | 3,745.05-         |
| 088513     | FLD FAC REP/RENO/ADD-STW                    | 171,306.50-       |
| 088520     | OFF BLDG REP/RENO/ADD-STW                   | 742,895.03-       |
| 088526     | CATEGORY NAME NOT ON TITLE FILE             | 265,650.55-       |
| 088527     | CONSOL-LEESBRG/OCALA MAINT                  | 822,818.85-       |
| 088580     | ANNEX-BARTOW DIST OFC                       | 7,366.08-         |
| 088642     | RADIO SHOP/PKG LOT-CHIPLEY                  | 644,968.91-       |
| 088716     | INTRASTATE HIGHWAY CONSTR                   | 17,823,561.13-    |
| 088717     | ARTERIAL HIGHWAY CONSTR                     | 621,030.68-       |
| 088718     | CONSTRUCT INSPECT CONSULT                   | 2,920,039.96-     |
| 088732     | CATEGORY NAME NOT ON TITLE FILE             | 4,016.05-         |
| 088734     | RENO-MAINT YARD-TAMPA                       | 1,458.00-         |
| 088744     | RENO-ST MATL OFF - G'VILLE                  | 444,056.24-       |
| 088774     | PUBLIC TRANSIT DEV/GRANTS                   | 3,096.00-         |
| 088849     | PRELIMINARY ENGR CONSULT                    | 487,094.40-       |
| 103892     | TRANS MATERIALS & EQUIP                     | 4,590.24-         |
|            | ** GL 27500 TOTAL                           | 43,990,163.95-    |
| 27600      | FURNITURE AND EQUIPMENT                     |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 229,207,498.57    |
| 040000     | EXPENSES                                    | 6,333,442.13      |
| 060000     | OPERATING CAPITAL OUTLAY                    | 45,962,800.07     |
| 080002     | MINOR REPAIRS/IMPROV-STATE                  | 897,550.23        |
| 080840     | CATEGORY NAME NOT ON TITLE FILE             | 2,888.29-         |
| 080905     | CATEGORY NAME NOT ON TITLE FILE             | 146,504.85-       |
| 081409     | CODE/SAFETY CORRECT-STWIDE                  | 216.66            |
| 081610     | DISTRICT THREE OP CENTER                    | 71,104.62         |
| 082309     | HVAC REPLACEMENT-STWIDE                     | 6,453.00-         |
| 082335     | RENOVATIONS-HEATING, VENTILATION AND AIR CO | 982,250.63-       |
| 082751     | CATEGORY NAME NOT ON TITLE FILE             | 238,858.09-       |
| 083579     | NEW CON/ADD/REM-D.O.-FT.LA                  | 226,971.08-       |
| 083644     | REP/RENO/MAINT YD-FT LAUD                   | 14,218.56         |
| 083699     | CATEGORY NAME NOT ON TITLE FILE             | 2,098.27-         |
| 086058     | CATEGORY NAME NOT ON TITLE FILE             | 9,112.90-         |
| 086101     | CATEGORY NAME NOT ON TITLE FILE             | 1,132,146.95      |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
80 9 000001 GENERAL FIXED ASSET ACCOUNT

| G-L<br>CAT | G-L ACCOUNT NAME                | BEGINNING BALANCE |
|------------|---------------------------------|-------------------|
| 086419     | CATEGORY NAME NOT ON TITLE FILE | 198,835.38-       |
| 086420     | CATEGORY NAME NOT ON TITLE FILE | 10,888.00-        |
| 088002     | CATEGORY NAME NOT ON TITLE FILE | 99,016.08-        |
| 088508     | STW STRUCTURES SHOP-OVIEDO      | 1,378.33-         |
| 088511     | CATEGORY NAME NOT ON TITLE FILE | 8,932.00-         |
| 088513     | FLD FAC REP/RENO/ADD-STW        | 226,938.00-       |
| 088515     | MINI-SRVC SHP-BARTOW MAINT      | 3,130.00-         |
| 088520     | OFF BLDG REP/RENO/ADD-STW       | 1,198,614.25      |
| 088525     | JAX URBAN OFF REP/RENO/ADD      | 8,712.00-         |
| 088526     | CATEGORY NAME NOT ON TITLE FILE | 478,764.58        |
| 088527     | CONSOL-LEESBRG/OCALA MAINT      | 333,252.42        |
| 088542     | UNDERGROUND/TANK PROG-STW       | 229,295.70-       |
| 088568     | CATEGORY NAME NOT ON TITLE FILE | 522,200.10-       |
| 088569     | RADIO COMMU PROG-STATEWIDE      | 197,408.00        |
| 088580     | ANNEX-BARTOW DIST OFC           | 412,486.13-       |
| 088582     | CONST MATL OFF/LAB, BARTOW      | 222,315.65-       |
| 088586     | OFC ADD-GAINESVILLE CONST       | 6,985.00-         |
| 088604     | DISTRICT OFFICE - DELAND        | 8,430.00-         |
| 088611     | MAINT COMPLEX-LAKE CITY         | 22,115.83-        |
| 088623     | CATEGORY NAME NOT ON TITLE FILE | 43,392.55-        |
| 088646     | RELOCATE MAINT YARD-TALLA       | 23,872.15-        |
| 088648     | ADD/RENO-DIST OFFICE-MIAMI      | 906,013.10        |
| 088701     | CATEGORY NAME NOT ON TITLE FILE | 18,282.50-        |
| 088702     | CATEGORY NAME NOT ON TITLE FILE | 285,365.70-       |
| 088704     | TRANSP PLANNING CONSULT         | 730,872.57        |
| 088709     | CONST/SHOP/STAT-W PALM BCH      | 241,628.00-       |
| 088712     | HIGHWAY MAINTENANCE CONTR       | 29,150.85         |
| 088716     | INTRASTATE HIGHWAY CONSTR       | 5,167,277.31      |
| 088717     | ARTERIAL HIGHWAY CONSTR         | 558,275.30        |
| 088718     | CONSTRUCT INSPECT CONSULT       | 17,272.62         |
| 088732     | CATEGORY NAME NOT ON TITLE FILE | 4,003.50-         |
| 088734     | RENO-MAINT YARD-TAMPA           | 15,353.40         |
| 088744     | RENO-ST MATL OFF - G'VILLE      | 1,865,712.87      |
| 088752     | CATEGORY NAME NOT ON TITLE FILE | 28,301.99-        |
| 088757     | CATEGORY NAME NOT ON TITLE FILE | 2,000,000.00      |
| 088774     | PUBLIC TRANSIT DEV/GRANTS       | 824,914.50-       |
| 088777     | RIGHT-OF-WAY LAND ACQ           | 46,844.00         |
| 088796     | HIWAY SAFETY CONSTR/GRANTS      | 4,758.31-         |
| 088797     | RESURFACING                     | 26,861.00         |
| 088799     | BRIDGE CONSTRUCTION             | 10,000.00         |
| 088820     | CATEGORY NAME NOT ON TITLE FILE | 21,126.00-        |
| 088821     | REPL BRIDGE INSP OFC-TAMPA      | 18,181.00-        |
| 088857     | MATERIALS AND RESEARCH          | 385,251.33        |
| 088866     | TRAFFIC ENGR CONSULTANTS        | 1,148,033.08      |
| 088920     | TURNPIKE SYS EQUIP & DEVEL      | 136,623.73-       |
| 088922     | TOLLS SYS EQUIP & DEVELOP       | 801,522.86        |

BEGINNING TRIAL BALANCE BY FUND  
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550000 DEPARTMENT OF TRANSPORTATION  
80 9 000001 GENERAL FIXED ASSET ACCOUNT

| G-L<br>CAT | G-L ACCOUNT NAME                            | BEGINNING BALANCE |
|------------|---------------------------------------------|-------------------|
| 089929     | MAJOR DISASTER 04-05 HURRICANE CHARLEY      | 79,840.00         |
| 089957     | GRANTS AND AIDS - 2005 HURRICANES - AGY MGD | 4,517.89          |
| 100021     | ACQUISITION/MOTOR VEHICLES                  | 31,704,250.17     |
| 100034     | CATEGORY NAME NOT ON TITLE FILE             | 25,733,958.46-    |
| 100045     | FAIRBANKS HAZARDOUS WASTE                   | 395,814.59-       |
| 100686     | CONSULTANT FEES                             | 9,935.97          |
| 100777     | CONTRACTED SERVICES                         | 4,363.00          |
| 101640     | HUMAN RESOURCE DEVELOPMENT                  | 1,063,086.00-     |
| 102835     | CATEGORY NAME NOT ON TITLE FILE             | 182,537.45-       |
| 102842     | CATEGORY NAME NOT ON TITLE FILE             | 3,587.50-         |
| 103892     | TRANS MATERIALS & EQUIP                     | 2,147,496.96      |
| 109827     | G/A MAJOR DISASTER 04-05-HURICANE CHARLEY   | 315,011.17        |
| 109829     | G/A-M/D 2004-05 HURR FRANCES-E/O 04-192 ST  | 1,309,793.56      |
| 109833     | MD 04-05 HURR CHARLEY-PURCH CARD-ST OPERATI | 320,071.52        |
| 109834     | MD 04-05 HURR FRANCES-PURCH CARD-ST OPERATI | 316,616.16        |
| 109835     | MD 04-05 HURR IVAN-PURCH CARD-ST OPERATIONS | 520,335.65        |
| 109838     | MD HURR JEANNE - PUR CD ST OPER             | 78,141.98         |
| 109845     | GRANTS AND AIDS - 2005 HURRICANES - STATE O | 70,940.00         |
| 109847     | GRANTS AND AIDS - 2005 HURRICANES - PURC CA | 150,342.93        |
| 109923     | G/A-M/D 98-99-GEORGES-SO                    | 259.92-           |
| 130200     | DOT CARRYFORWARD FUNDS                      | 1,052,482.14      |
| 310308     | REIMBURSE ST TRANSPORT TF                   | 335,760.84-       |
| 990000     | CATEGORY NAME NOT ON TITLE FILE             | 735,478.81-       |
|            | ** GL 27600 TOTAL                           | 303,921,867.62    |
| 27610      | MACHINERY & EQUIPMENT - TURNPIKE            |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 0.00              |
| 101640     | HUMAN RESOURCE DEVELOPMENT                  | 0.00              |
|            | ** GL 27610 TOTAL                           | 0.00              |
| 27630      | MACHINERY & EQUIPMENT - CME                 |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 876,772.30        |
| 060000     | OPERATING CAPITAL OUTLAY                    | 660,011.53-       |
| 100021     | ACQUISITION/MOTOR VEHICLES                  | 14,633.00-        |
|            | ** GL 27630 TOTAL                           | 202,127.77        |
| 27700      | ACC DEPR - FURNITURE & EQUIPMENT            |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 7,699,429.99-     |
| 040000     | EXPENSES                                    | 9,113,862.16-     |
| 060000     | OPERATING CAPITAL OUTLAY                    | 71,113,484.56-    |
| 080002     | MINOR REPAIRS/IMPROV-STATE                  | 225,619.66-       |
| 080905     | CATEGORY NAME NOT ON TITLE FILE             | 66,830.37-        |
| 081409     | CODE/SAFETY CORRECT-STWIDE                  | 11,714.55-        |
| 081610     | DISTRICT THREE OP CENTER                    | 11,456.13-        |
| 081623     | CATEGORY NAME NOT ON TITLE FILE             | 9,925.00-         |
| 082309     | HVAC REPLACEMENT-STWIDE                     | 2,167.00-         |

BEGINNING TRIAL BALANCE BY FUND  
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550000 DEPARTMENT OF TRANSPORTATION  
 80 9 000001 GENERAL FIXED ASSET ACCOUNT

| G-L<br>CAT | G-L ACCOUNT NAME                            | BEGINNING BALANCE |
|------------|---------------------------------------------|-------------------|
| 082335     | RENOVATIONS-HEATING, VENTILATION AND AIR CO | 78,730.70-        |
| 083579     | NEW CON/ADD/REM-D.O.-FT.LA                  | 2,762.50-         |
| 083644     | REP/RENO/MAINT YD-FT LAUD                   | 14,218.56-        |
| 083699     | CATEGORY NAME NOT ON TITLE FILE             | 124,012.75-       |
| 086058     | CATEGORY NAME NOT ON TITLE FILE             | 69,977.90-        |
| 086101     | CATEGORY NAME NOT ON TITLE FILE             | 797,333.79-       |
| 088002     | CATEGORY NAME NOT ON TITLE FILE             | 4,251.00-         |
| 088508     | STW STRUCTURES SHOP-OVIEDO                  | 13,610.00-        |
| 088511     | CATEGORY NAME NOT ON TITLE FILE             | 4,346.03-         |
| 088513     | FLD FAC REP/RENO/ADD-STW                    | 890,599.38-       |
| 088515     | MINI-SRVC SHP-BARTOW MAINT                  | 4,615.54-         |
| 088516     | CATEGORY NAME NOT ON TITLE FILE             | 2,506.32-         |
| 088519     | CATEGORY NAME NOT ON TITLE FILE             | 1,726.00-         |
| 088520     | OFF BLDG REP/RENO/ADD-STW                   | 1,147,017.17-     |
| 088523     | ADD-MAINT WELD SHP-PINELAS                  | 6,952.96-         |
| 088524     | ADD-CONST OFF-CLEARWATER                    | 12,057.00-        |
| 088526     | CATEGORY NAME NOT ON TITLE FILE             | 327,775.63-       |
| 088527     | CONSOL-LEESBRG/OCALA MAINT                  | 205,957.57-       |
| 088542     | UNDERGROUND/TANK PROG-STW                   | 236,564.83-       |
| 088548     | CATEGORY NAME NOT ON TITLE FILE             | 17,124.89-        |
| 088549     | CATEGORY NAME NOT ON TITLE FILE             | 5,899.00-         |
| 088552     | DIST DESIGN BLDG - CHIPLEY                  | 3,485.00-         |
| 088561     | CONST/TEST LAB/OFF-MIAMI                    | 199,405.65-       |
| 088562     | CATEGORY NAME NOT ON TITLE FILE             | 1,377.00-         |
| 088568     | CATEGORY NAME NOT ON TITLE FILE             | 105,099.32-       |
| 088569     | RADIO COMMU PROG-STATEWIDE                  | 36,778.56-        |
| 088580     | ANNEX-BARTOW DIST OFC                       | 1,461,308.70-     |
| 088587     | CATEGORY NAME NOT ON TITLE FILE             | 8,173.65-         |
| 088604     | DISTRICT OFFICE - DELAND                    | 34,787.97-        |
| 088611     | MAINT COMPLEX-LAKE CITY                     | 20,404.13-        |
| 088623     | CATEGORY NAME NOT ON TITLE FILE             | 624,852.40-       |
| 088641     | MAINT YRD-PHIV-PANAMA CITY                  | 43,778.10-        |
| 088643     | MAINT YARD - FT PIERCE                      | 39,779.46-        |
| 088646     | RELOCATE MAINT YARD-TALLA                   | 41,599.20-        |
| 088648     | ADD/RENO-DIST OFFICE-MIAMI                  | 420,344.43-       |
| 088666     | ACQUIRE OFF BLDG - JAX                      | 20,769.68-        |
| 088701     | CATEGORY NAME NOT ON TITLE FILE             | 4,025.60-         |
| 088704     | TRANSP PLANNING CONSULT                     | 499,650.54-       |
| 088712     | HIGHWAY MAINTENANCE CONTR                   | 36,303.96-        |
| 088716     | INTRASTATE HIGHWAY CONSTR                   | 2,784,902.32-     |
| 088717     | ARTERIAL HIGHWAY CONSTR                     | 306,681.72-       |
| 088718     | CONSTRUCT INSPECT CONSULT                   | 13,422.62-        |
| 088732     | CATEGORY NAME NOT ON TITLE FILE             | 54,250.29-        |
| 088734     | RENO-MAINT YARD-TAMPA                       | 56,100.37-        |
| 088744     | RENO-ST MATL OFF - G'VILLE                  | 982,554.01-       |
| 088752     | CATEGORY NAME NOT ON TITLE FILE             | 261,430.91-       |

BEGINNING TRIAL BALANCE BY FUND  
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550000 DEPARTMENT OF TRANSPORTATION  
80 9 000001 GENERAL FIXED ASSET ACCOUNT

| G-L<br>CAT | G-L ACCOUNT NAME                            | BEGINNING BALANCE |
|------------|---------------------------------------------|-------------------|
| 088757     | CATEGORY NAME NOT ON TITLE FILE             | 2,003,850.00-     |
| 088774     | PUBLIC TRANSIT DEV/GRANTS                   | 355,990.92-       |
| 088777     | RIGHT-OF-WAY LAND ACQ                       | 18,737.94-        |
| 088797     | RESURFACING                                 | 25,686.38-        |
| 088799     | BRIDGE CONSTRUCTION                         | 4,236,293.20-     |
| 088816     | TAMPA DIST OFC 1 ,2, 3                      | 9,758.65-         |
| 088821     | REPL BRIDGE INSP OFC-TAMPA                  | 13,455.70-        |
| 088849     | PRELIMINARY ENGR CONSULT                    | 9,969.00-         |
| 088857     | MATERIALS AND RESEARCH                      | 196,227.81-       |
| 088866     | TRAFFIC ENGR CONSULTANTS                    | 409,562.91-       |
| 088922     | TOLLS SYS EQUIP & DEVELOP                   | 158,810.26-       |
| 089929     | MAJOR DISASTER 04-05 HURRICANE CHARLEY      | 46,572.50-        |
| 089957     | GRANTS AND AIDS - 2005 HURRICANES - AGY MGD | 1,957.80-         |
| 100021     | ACQUISITION/MOTOR VEHICLES                  | 57,700,723.20-    |
| 100034     | CATEGORY NAME NOT ON TITLE FILE             | 53,683,350.65-    |
| 100045     | FAIRBANKS HAZARDOUS WASTE                   | 107,066.31-       |
| 100686     | CONSULTANT FEES                             | 4,869.74-         |
| 100777     | CONTRACTED SERVICES                         | 366.63-           |
| 101640     | HUMAN RESOURCE DEVELOPMENT                  | 763,500.98-       |
| 102041     | G/A-M/D 99-2000-FLOYD-SO                    | 1,572.93-         |
| 102835     | CATEGORY NAME NOT ON TITLE FILE             | 1,260.76-         |
| 103892     | TRANS MATERIALS & EQUIP                     | 1,610,109.75-     |
| 109827     | G/A MAJOR DISASTER 04-05-HURICANE CHARLEY   | 193,833.08-       |
| 109829     | G/A-M/D 2004-05 HURR FRANCES-E/O 04-192 ST  | 753,256.43-       |
| 109833     | MD 04-05 HURR CHARLEY-PURCH CARD-ST OPERATI | 194,712.69-       |
| 109834     | MD 04-05 HURR FRANCES-PURCH CARD-ST OPERATI | 191,544.08-       |
| 109835     | MD 04-05 HURR IVAN-PURCH CARD-ST OPERATIONS | 291,047.30-       |
| 109838     | MD HURR JEANNE - PUR CD ST OPER             | 54,157.05-        |
| 109845     | GRANTS AND AIDS - 2005 HURRICANES - STATE O | 33,404.66-        |
| 109847     | GRANTS AND AIDS - 2005 HURRICANES - PURC CA | 78,135.38-        |
| 109923     | G/A-M/D 98-99-GEORGES-SO                    | 27,087.80-        |
| 130200     | DOT CARRYFORWARD FUNDS                      | 678,066.41-       |
| 310308     | REIMBURSE ST TRANSPORT TF                   | 2,569.14-         |
| 990000     | CATEGORY NAME NOT ON TITLE FILE             | 212,640.83-       |
|            | ** GL 27700 TOTAL                           | 224,353,943.40-   |
| 27710      | DEPRECIATION, M & E - TURNPIKE              |                   |
| 101640     | HUMAN RESOURCE DEVELOPMENT                  | 0.00              |
| 27730      | DEPRECIATION, M & E -                       |                   |
| 060000     | OPERATING CAPITAL OUTLAY                    | 202,127.77-       |
| 27800      | CONSTRUCTION WORK IN PROGRESS               |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 60,898,485.36     |
| 010000     | SALARIES AND BENEFITS                       | 260,454.10        |
| 040000     | EXPENSES                                    | 37,189,056.21-    |



BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
80 9 000001 GENERAL FIXED ASSET ACCOUNT

| G-L<br>CAT | G-L ACCOUNT NAME                            | BEGINNING BALANCE |
|------------|---------------------------------------------|-------------------|
| 060000     | OPERATING CAPITAL OUTLAY                    | 7,461,439.75      |
| 080002     | MINOR REPAIRS/IMPROV-STATE                  | 312,426.24        |
| 081409     | CODE/SAFETY CORRECT-STWIDE                  | 1,352.12          |
| 081610     | DISTRICT THREE OP CENTER                    | 6,404,352.81      |
| 082528     | CATEGORY NAME NOT ON TITLE FILE             | 16,228.80-        |
| 083644     | REP/RENO/MAINT YD-FT LAUD                   | 621,026.69-       |
| 086101     | CATEGORY NAME NOT ON TITLE FILE             | 167,963.91        |
| 088503     | CATEGORY NAME NOT ON TITLE FILE             | 4,066.98-         |
| 088513     | FLD FAC REP/RENO/ADD-STW                    | 5,400,713.09-     |
| 088520     | OFF BLDG REP/RENO/ADD-STW                   | 126,786.66        |
| 088526     | CATEGORY NAME NOT ON TITLE FILE             | 40,000.00-        |
| 088527     | CONSOL-LEESBRG/OCALA MAINT                  | 3,223,462.27-     |
| 088542     | UNDERGROUND/TANK PROG-STW                   | 57,576.79-        |
| 088569     | RADIO COMMU PROG-STATEWIDE                  | 357,080.90        |
| 088611     | MAINT COMPLEX-LAKE CITY                     | 43,146.01         |
| 088614     | CONST MAINT FAC, DEFUNIAK                   | 673,869.03        |
| 088648     | ADD/RENO-DIST OFFICE-MIAMI                  | 1,304,832.73-     |
| 088716     | INTRASTATE HIGHWAY CONSTR                   | 12,194,277.54     |
| 088717     | ARTERIAL HIGHWAY CONSTR                     | 6,988,791.32-     |
| 088718     | CONSTRUCT INSPECT CONSULT                   | 14,024,651.22-    |
| 088732     | CATEGORY NAME NOT ON TITLE FILE             | 29,253.53         |
| 088734     | RENO-MAINT YARD-TAMPA                       | 226,656.98-       |
| 088744     | RENO-ST MATL OFF - G'VILLE                  | 5,568,720.25-     |
| 088747     | CONST PRKG/EOC/STOR-DIST4                   | 890,855.69-       |
| 088757     | CATEGORY NAME NOT ON TITLE FILE             | 1,955,464.00-     |
| 088849     | PRELIMINARY ENGR CONSULT                    | 3,075,313.67      |
| 088853     | RIGHT-OF-WAY SUPPORT                        | 569.21            |
| 089933     | G/A-M/D 2004-05 HURR FRANCES-E/O 04-192 AGY | 0.00              |
| 089947     | G/A MD HURR JEANNE AGY MGD 04-217           | 0.00              |
| 180000     | TRANSFERS                                   | 0.00              |
|            | ** GL 27800 TOTAL                           | 14,494,667.82     |
| 27834      | WIP - INFRASTRUCTURE                        |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 6,082,963,621.13  |
| 28200      | LIBRARY RESOURCES                           |                   |
| 000000     | BALANCE BROUGHT FORWARD                     | 33,964.85         |
| 040000     | EXPENSES                                    | 7,385.57-         |
| 060000     | OPERATING CAPITAL OUTLAY                    | 23,276.37-        |
| 101640     | HUMAN RESOURCE DEVELOPMENT                  | 3,302.91-         |
|            | ** GL 28200 TOTAL                           | 0.00              |
| 28300      | ACC DEPR - LIBRARY RESOURCES                |                   |
| 040000     | EXPENSES                                    | 0.00              |
| 060000     | OPERATING CAPITAL OUTLAY                    | 0.00              |
| 101640     | HUMAN RESOURCE DEVELOPMENT                  | 0.00              |
|            | ** GL 28300 TOTAL                           | 0.00              |

BEGINNING TRIAL BALANCE BY FUND  
 JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
 80 9 000001 GENERAL FIXED ASSET ACCOUNT

| G-L<br>CAT | G-L ACCOUNT NAME                | BEGINNING BALANCE  |
|------------|---------------------------------|--------------------|
| 28800      | OTHER CAPITAL ASSETS            |                    |
| 000000     | BALANCE BROUGHT FORWARD         | 30,380,622.00      |
| 040000     | EXPENSES                        | 9,200.00           |
| 060000     | OPERATING CAPITAL OUTLAY        | 26,104.67          |
|            | ** GL 28800 TOTAL               | 30,415,926.67      |
| 28900      | ACC DEPR - OTHER CAPITAL ASSETS |                    |
| 000000     | BALANCE BROUGHT FORWARD         | 25,130,822.15-     |
| 040000     | EXPENSES                        | 4,878,896.67-      |
| 060000     | OPERATING CAPITAL OUTLAY        | 9,267.12-          |
|            | ** GL 28900 TOTAL               | 30,018,985.94-     |
| 54900      | FUND BALANCE UNRESERVED         |                    |
| 000000     | BALANCE BROUGHT FORWARD         | 51,297,577,520.35- |
|            | *** FUND TOTAL                  | 0.00               |

BEGINNING TRIAL BALANCE BY FUND  
JULY 01, 2010

550000 DEPARTMENT OF TRANSPORTATION  
90 9 000002 GENERAL LONG TERM DEBT ACCOUNT

| G-L<br>CAT                          | G-L ACCOUNT NAME                                                                                          | BEGINNING BALANCE              |
|-------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|
| 38600<br>010000                     | CURRENT COMPENSATED ABSENCES LIABILITY<br>SALARIES AND BENEFITS                                           | 10,877,833.11-                 |
| 45520<br>088777<br>088809<br>120000 | LT PAYABLE TO FHWA FOR MIC LOAN<br>RIGHT-OF-WAY LAND ACQ<br>INTERMODAL DEVELOPMENT/GRANTS<br>DEBT SERVICE | 0.00<br>0.00<br>0.00           |
|                                     | ** GL 45520 TOTAL                                                                                         | 0.00                           |
| 45600<br>040000                     | DUE TO FEDERAL - ARBITRAGE<br>EXPENSES                                                                    | 206,955.39-                    |
| 46200<br>000000<br>060000           | CERTIFICATES OF PARTICIPATION<br>BALANCE BROUGHT FORWARD<br>OPERATING CAPITAL OUTLAY                      | 0.00<br>0.00                   |
|                                     | ** GL 46200 TOTAL                                                                                         | 0.00                           |
| 48500<br>000000<br>120000           | INSTALLMENT PURCHASE CONTRACTS<br>BALANCE BROUGHT FORWARD<br>DEBT SERVICE                                 | 0.00<br>0.00                   |
|                                     | ** GL 48500 TOTAL                                                                                         | 0.00                           |
| 48600<br>000000<br>010000           | COMPENSATED ABSENCES LIABILITY<br>BALANCE BROUGHT FORWARD<br>SALARIES AND BENEFITS                        | 1,366,642.47<br>46,768,925.97- |
|                                     | ** GL 48600 TOTAL                                                                                         | 45,402,283.50-                 |
| 48700<br>000000                     | CAPITAL LEASES LIABILITY<br>BALANCE BROUGHT FORWARD                                                       | 0.00                           |
| 49900<br>000000                     | OTHER LONG-TERM LIABILITIES<br>BALANCE BROUGHT FORWARD                                                    | 32,494,534.09-                 |
| 54900<br>000000                     | FUND BALANCE UNRESERVED<br>BALANCE BROUGHT FORWARD                                                        | 88,840,420.89                  |
| 54902<br>000000                     | FUND BALANCE - SWFS ADJUSTMENTS<br>BALANCE BROUGHT FORWARD                                                | 141,185.20                     |
|                                     | *** FUND TOTAL                                                                                            | 0.00                           |

E

**SCHEDULE ID: REQUEST FOR CREATION, RE-CREATION, RETENTION, TERMINATION,  
OR MODIFICATION OF A TRUST FUND**

|                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
|-----------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Department:                                                     | Transportation                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| Fund Name:                                                      | Central Florida Beltway TF                                                                                                                                                                                                                                                                                                                                                                                                                          |
| FLAIR #:*                                                       | 552074                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| Name<br>Position<br>Telephone No. of Person<br>Completing Form: | Sara Liro<br>Revenue Management Administrator<br>(850) 414-4865                                                                                                                                                                                                                                                                                                                                                                                     |
| <b>Type of Action Requested :</b><br><br>(Check one)            | <input checked="" type="checkbox"/> <b>Exempt From Termination</b> <input type="checkbox"/> <b>Re-create without modification (last action was initial create)</b><br><br><input type="checkbox"/> <b>Retain without modification</b> <input type="checkbox"/> <b>Re-create/Retain with modification (last action was re-create)</b><br><br><input type="checkbox"/> <b>Create New Fund</b> <input type="checkbox"/> <b>Terminate Existing Fund</b> |

\* Enter ONLY the six-digit code. Not applicable for requests to **Create** trust fund.

For **All Trust Funds** scheduled for review this year, answer questions 1-6.

|   |                                                                                                                                                                      |                                                                                                                                                                                                                            |
|---|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1 | Cite the statutory authority for the trust fund (Florida Statutes or, if none, Laws of Florida). Give the statutory purpose, if stated, for the trust fund.          | Section 338.250(2)(a), F.S. creates the Central Florida Beltway TF. This fund supports the environmental mitigation efforts for projects the department is authorized to construct as part of the Central Florida Beltway. |
| 2 | List the specific sources of receipts to the trust fund and the statutory references for those receipts.                                                             | The Central Florida Beltway TF is funded with bond proceeds in accordance with 338.250(2)(a).                                                                                                                              |
| 3 | If state or federal law requires or prohibits specific expenditures from the trust fund, list the requirements or prohibitions and the statutory citations for them. | Funds are to be spent for environmental mitigation related to the Central Florida Beltway project.                                                                                                                         |
| 4 | If any source of receipts is federal, describe any restrictions on those receipts that are inconsistent with how the state does business.                            | N/A                                                                                                                                                                                                                        |
| 5 | If this trust fund could be combined with other agency trust funds that accomplish a similar purpose, list those trust funds.                                        | N/A                                                                                                                                                                                                                        |
| 6 | If General Revenue funding supports the same programs or activities that the trust fund supports, provide a justification.                                           | N/A                                                                                                                                                                                                                        |

For Trust Funds that the agency believes are **Exempt from Termination** answer question 7.

|   |                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 7 | If this trust fund is exempt from termination according to Article III, section 19(f)(3) of the <i>Florida Constitution</i> , list the specific exemptions that apply. | This fund is created by Section 338.250, and as such is described in Article III, section 19(f)(3) of the Constitution "...trust funds established for bond covenants, indentures, or resolution, whose revenues are legally pledged...to meet...financial requirements of any debt obligations..." The revenues in this fund are bond proceeds in accordance with Section 338.250(2)(a), F.S. |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

For Trust Funds that the agency recommends should be **Re-created/Retained with or without modification** answer questions 8 and 9 and attach draft legislation as requested.

|   |                                                                                                                                                                                                                                                       |  |
|---|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 8 | Give the specific reasons that continuation (re-creation after initial creation or retention after subsequent re-creation) of this trust fund is necessary. List agency activities (based on the activity detail report) supported by the trust fund. |  |
| 9 | Describe any modifications the agency is requesting when this fund is re-created/retained. Attach draft legislation to accomplish the requested change.                                                                                               |  |

For Trust Funds that the agency recommends should be **Terminated** answer question 10 and attach draft legislation as requested.

|    |                                                                                                                                                                                           |  |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 10 | Explain how the current cash balance and all current receipts of the trust fund will be distributed. Attach draft legislation that removes reference to the trust fund from the statutes. |  |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|

For **New** Trust Funds that the agency recommends should be **Created** answer questions 11-13 and attach draft legislation as requested.

|    |                                                                                                                                                                              |  |
|----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 11 | Describe the purpose of the trust fund and identify its revenue sources. Attach draft legislation that meets the requirements of section 215.3207, <i>Florida Statutes</i> . |  |
| 12 | Describe the specific impact on any other trust fund or the General Revenue Fund from the creation of this new trust fund.                                                   |  |
| 13 | Describe the period of time for which this new trust fund will be needed, or the circumstances under which it will no longer be needed.                                      |  |

**SCHEDULE ID: REQUEST FOR CREATION, RE-CREATION, RETENTION,  
TERMINATION, OR MODIFICATION OF A TRUST FUND**

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**Proposed Language to terminate Trust Funds within the Department of Transportation**

An act relating to trust funds; terminating specified trust funds within the Department of Transportation; providing for the transfer of current balances to general revenue, the paying of outstanding debts and obligations, and the removal of the terminated funds and accounts from the various state accounting systems; providing an effective date.

Be It Enacted by the Legislature of the State of Florida:

**Section 1. Transportation and Governmental Bond Trust Fund -**

(1) The following trust funds within the Department of Transportation are terminated on July 1, 2011:

(a) The Everglades Parkway Construction Trust Fund, FLAIR number 55-2-199.

(b) The Jacksonville Transportation Authority Project Construction Trust Fund, FLAIR number 55-2-413.

(2) All balances of these trust funds were spent in accordance with bond covenants and no balances remain. No future revenues will be generated.

(3) For each trust fund terminated by this act, the Department of Transportation shall pay any outstanding debts or obligations of the terminated fund or account as soon as practicable, and the Chief Financial Officer shall close out and remove the terminated fund or account from the various state accounting systems using generally accepted accounting principles concerning warrants outstanding, assets, and liabilities.

**Section 2. This act shall take effect upon becoming a law.**

**SCHEDULE ID: REQUEST FOR CREATION, RE-CREATION, RETENTION, TERMINATION,  
OR MODIFICATION OF A TRUST FUND**

|                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|-----------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Department:                                                     | Transportation                                                                                                                                                                                                                                                                                                                                                                                                                              |
| Fund Name:                                                      | Everglades Parkway Const TF                                                                                                                                                                                                                                                                                                                                                                                                                 |
| FLAIR #:*                                                       | 552199                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| Name<br>Position<br>Telephone No. of Person<br>Completing Form: | Sara Liro<br>Revenue Management Administrator<br>(850) 414-4865                                                                                                                                                                                                                                                                                                                                                                             |
| <b>Type of Action Requested :</b><br><br>(Check one)            | <input type="checkbox"/> <b>Exempt From Termination</b> <input type="checkbox"/> <b>Re-create without modification (last action was initial create)</b><br><input type="checkbox"/> <b>Retain without modification</b> <input type="checkbox"/> <b>Re-create/Retain with modification (last action was re-create)</b><br><input type="checkbox"/> <b>Create New Fund</b> <input checked="" type="checkbox"/> <b>Terminate Existing Fund</b> |

\* Enter ONLY the six-digit code. Not applicable for requests to **Create** trust fund.

For **All Trust Funds** scheduled for review this year, answer questions 1-6.

|   |                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                      |
|---|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1 | Cite the statutory authority for the trust fund (Florida Statutes or, if none, Laws of Florida). Give the statutory purpose, if stated, for the trust fund.          | This fund was created in accordance with 339.081(2)F.S., the State Bond Act, 215.57-215.83 F.S., and Section 4 of Chapter 94-115, Laws of Florida. The purpose of the bonds was to fund certain transportation projects contained in the 1993-1994 Adopted Work Program or in any subsequent adopted work program of the Department. |
| 2 | List the specific sources of receipts to the trust fund and the statutory references for those receipts.                                                             | The specific sources of receipts were bond proceeds as referenced above and interest earnings on investments of the bond proceeds                                                                                                                                                                                                    |
| 3 | If state or federal law requires or prohibits specific expenditures from the trust fund, list the requirements or prohibitions and the statutory citations for them. | Expenditures were made in accordance with the bond covenants.                                                                                                                                                                                                                                                                        |
| 4 | If any source of receipts is federal, describe any restrictions on those receipts that are inconsistent with how the state does business.                            | N/A                                                                                                                                                                                                                                                                                                                                  |
| 5 | If this trust fund could be combined with other agency trust funds that accomplish a similar purpose, list those trust funds.                                        | N/A                                                                                                                                                                                                                                                                                                                                  |
| 6 | If General Revenue funding supports the same programs or activities that the trust fund supports, provide a justification.                                           | N/A                                                                                                                                                                                                                                                                                                                                  |

For Trust Funds that the agency believes are **Exempt from Termination** answer question 7.

|   |                                                                                                                                                                        |  |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 7 | If this trust fund is exempt from termination according to Article III, section 19(f)(3) of the <i>Florida Constitution</i> , list the specific exemptions that apply. |  |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|

For Trust Funds that the agency recommends should be **Re-created/Retained with or without modification** answer questions 8 and 9 and attach draft legislation as requested.

|   |                                                                                                                                                                                                                                                       |  |
|---|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 8 | Give the specific reasons that continuation (re-creation after initial creation or retention after subsequent re-creation) of this trust fund is necessary. List agency activities (based on the activity detail report) supported by the trust fund. |  |
| 9 | Describe any modifications the agency is requesting when this fund is re-created/retained. Attach draft legislation to accomplish the requested change.                                                                                               |  |

For Trust Funds that the agency recommends should be **Terminated** answer question 10 and attach draft legislation as requested.

|    |                                                                                                                                                                                           |                                                                                  |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|
| 10 | Explain how the current cash balance and all current receipts of the trust fund will be distributed. Attach draft legislation that removes reference to the trust fund from the statutes. | There is no remaining cash balance or anticipated future receipts for this fund. |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|

For **New** Trust Funds that the agency recommends should be **Created** answer questions 11-13 and attach draft legislation as requested.

|    |                                                                                                                                                                              |  |
|----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 11 | Describe the purpose of the trust fund and identify its revenue sources. Attach draft legislation that meets the requirements of section 215.3207, <i>Florida Statutes</i> . |  |
| 12 | Describe the specific impact on any other trust fund or the General Revenue Fund from the creation of this new trust fund.                                                   |  |
| 13 | Describe the period of time for which this new trust fund will be needed, or the circumstances under which it will no longer be needed.                                      |  |



2324

Turnpike Renewal / Replacement Trust Fund

## **Schedule 1 Narrative for:**

### **Turnpike Renewal Replacement Trust Fund - 2324**

#### ***Section 1: Detail of Revenues***

Revenue estimate calculations for Fiscal Year 2010-2011 and 2011-2012 are based on the following methodology:

- Interest revenue is forecasted using the following methods:
  - The first month uses the beginning and ending actual cash balances divided by two and multiplied by monthly interest rate.
  - The second month uses the actual beginning and the forecasted ending balance divided by two and multiplied by monthly interest rate.
  - The third month and beyond uses the forecasted beginning and ending balance divided by two and multiplied by monthly interest rate.
  
- Anticipated Revenues – The Department budgets and operates on a commitment basis. The Department’s budget is based on a 5 year work program and 3 year cash forecast. Within the 5 year work program, each year includes projects that will be started that year. Our annual budget is based on the current year column of the work program. However, while the projects are scheduled to be started in the budget year, the projects typically last longer than the budget year. Therefore, we are receiving and committing budget each year that we will spend over multiple years. While we commit the budget in the current year, we may not have the cash available in the current year to cover the entire commitment. This is appropriate since we know we will not be paying out the entire amount in the same year. The purpose of the 3 year cash forecast is to ensure that we have the money in future years for this budget. Therefore, the anticipated revenues represent the funds projected to be available in future years to cover the commitments made in previous years. The amount is calculated on Schedule 1C.
  
- Transfers In from Turnpike General Reserve Trust Fund – This revenue is toll revenue transferred from the General Reserve Trust Fund to fund renewal and replacement activities on the Turnpike. The amount of the transfer is based upon the cash balance of the fund and projected expenditures for the year. Revenues needed to fund the fund’s expenditures are decreasing for fiscal year 2011 and will be increasing for fiscal year 2012.
  
- Refunds-Amounts refunded to the Turnpike General Reserve Trust Fund (\$48,689) for insurance subrogation recovery.

### ***Section III: Adjustments***

- FCO not included on Schedule 1 - the difference between the amount reserved in the accounting records and the total amount of FCO appropriations/expenditures related to prior appropriation years.
- Reserve for PY FCO-Fixed Capital Outlay accounts payable not certified forward from the prior year (\$19,357,024).

## **5 Percent Trust Fund Calculation**

**Turnpike Renewal and Replacement Trust Fund – 2324**

**Not required for this fund**

**2324**

**Schedule IB-Detail of Unreserved Fund Balances**

**There are no unreserved fund balance amounts reflected on the schedule 1's that are restricted, therefore this section is not applicable in this budget cycle.**

## SCHEDULE IC: RECONCILIATION OF UNRESERVED FUND BALANCE

**Budget Period: 2011 - 2012**

|                             |                                     |
|-----------------------------|-------------------------------------|
| <b>Department Title:</b>    | Transportation                      |
| <b>Trust Fund Title:</b>    | Turnpike Renewal and Replacement TF |
| <b>Budget Entity:</b>       |                                     |
| <b>LAS/PBS Fund Number:</b> | 2324                                |

|                                                     | Balance as of<br>6/30/2010 |     | SWFS*<br>Adjustments | Adjusted<br>Balance |
|-----------------------------------------------------|----------------------------|-----|----------------------|---------------------|
| <b>Chief Financial Officer's (CFO) Cash Balance</b> | 110,121.38                 | (A) |                      | 110,121.38          |
| ADD: Other Cash (See Instructions)                  |                            | (B) |                      |                     |
| ADD: Investments                                    | 20,437,889.80              | (C) |                      | 20,437,889.80       |
| ADD: Outstanding Accounts Receivable                | 53,988.79                  | (D) |                      | 53,988.79           |
| ADD: Estimated Cash Forecast for FCO Projects       | (9,293,981.33)             | (E) |                      | (9,293,981.33)      |
| <b>Total Cash plus Accounts Receivable</b>          | 11,308,018.64              | (F) | 0.00                 | 11,308,018.64       |
| LESS Allowances for Uncollectibles                  |                            | (G) |                      |                     |
| LESS Approved "A" Certified Forwards                |                            | (H) |                      |                     |
| Approved "B" Certified Forwards                     |                            | (H) |                      |                     |
| Approved "FCO" Certified Forwards                   | 11,304,747.95              | (H) |                      | 11,304,747.95       |
| LESS: Other Accounts Payable (Nonoperating)         | 3,270.69                   | (I) |                      | 3,270.69            |
| LESS: _____                                         |                            | (J) |                      |                     |
| <b>Unreserved Fund Balance, 07/01/10</b>            | (0.00)                     | (K) | 0.00                 | (0.00) **           |

**Notes:**

\*SWFS = Statewide Financial Statement

\*\* This amount should agree with Line I, Section IV of the Schedule I for the most recent completed fiscal year and Line A for the following year.

**RECONCILIATION: BEGINNING TRIAL BALANCE TO SCHEDULE I and IC**

**Budget Period: 2011 - 2012**

|                             |                                                     |
|-----------------------------|-----------------------------------------------------|
| <b>Department Title:</b>    | <u>Transportation</u>                               |
| <b>Trust Fund Title:</b>    | <u>Turnpke Renewal &amp; Replacement trust fund</u> |
| <b>LAS/PBS Fund Number:</b> | <u>2324</u>                                         |

**BEGINNING TRIAL BALANCE:**

|                                                     |                        |     |
|-----------------------------------------------------|------------------------|-----|
| Unreserved Fund Balance Per Trial Balance, 07-01-10 | <b>19,716,110.85</b>   | (A) |
| Fixed Assets - GLC 26XXX                            | <b>(59,651,119.18)</b> | (A) |
| Fixed Assets - GLC 27XXX                            | <b>(1,098,641.09)</b>  | (A) |
| Invested in Capital Assets Net of Related Debt      | <b>60,749,760.27</b>   | (A) |

**Add/Subtract:**

|     |     |
|-----|-----|
| [ ] | (B) |
|-----|-----|

**Other Adjustment(s):**

|                       |                        |     |
|-----------------------|------------------------|-----|
| Anticipated Revenues  | <b>(9,293,981.33)</b>  | (C) |
| FCO C/F Request       | <b>(11,304,747.95)</b> | (C) |
| AP - C/F not reserved | <b>9,579.75</b>        | (C) |
| AP - C/F not reserved | <b>148,441.13</b>      | (C) |
| AP - C/F not reserved | <b>724,154.69</b>      | (C) |
| AP - C/F not reserved | <b>442.86</b>          | (C) |

|                                          |             |     |
|------------------------------------------|-------------|-----|
| <b>ADJUSTED BEGINNING TRIAL BALANCE:</b> | <b>0.00</b> | (D) |
|------------------------------------------|-------------|-----|

|                                             |               |     |
|---------------------------------------------|---------------|-----|
| <b>UNRESERVED FUND BALANCE, SCHEDULE IC</b> | <b>(0.00)</b> | (E) |
|---------------------------------------------|---------------|-----|

|                    |             |      |
|--------------------|-------------|------|
| <b>DIFFERENCE:</b> | <b>0.00</b> | (F)* |
|--------------------|-------------|------|

**\*SHOULD EQUAL ZERO.**

**SCHEDULE ID: REQUEST FOR CREATION, RE-CREATION, RETENTION, TERMINATION,  
OR MODIFICATION OF A TRUST FUND**

|                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|-----------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Department:                                                     | Transportation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| Fund Name:                                                      | Turnpike Renewal & Replacement TF                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| FLAIR #.*                                                       | 552324                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| Name<br>Position<br>Telephone No. of Person<br>Completing Form: | Sara Liro<br>Revenue Management Administrator<br>(850) 414-4865                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| <b>Type of Action Requested :</b><br><br>(Check one)            | <input checked="" type="checkbox"/> <b>Exempt From Termination</b> <input type="checkbox"/> <b>Re-create without modification</b><br><span style="margin-left: 400px;">(last action was initial create)</span><br><br><input type="checkbox"/> <b>Retain without modification</b> <input type="checkbox"/> <b>Re-create/Retain with modification</b><br><span style="margin-left: 400px;">(last action was re-create)</span><br><br><input type="checkbox"/> <b>Create New Fund</b> <input type="checkbox"/> <b>Terminate Existing Fund</b> |

\* Enter ONLY the six-digit code. Not applicable for requests to **Create** trust fund.

For **All Trust Funds** scheduled for review this year, answer questions 1-6.

|   |                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                             |
|---|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1 | Cite the statutory authority for the trust fund (Florida Statutes or, if none, Laws of Florida). Give the statutory purpose, if stated, for the trust fund.          | This fund was created in accordance with Section 339.081(2) F.S. The purpose of the fund as defined in the Turnpike Bond Resolution is to pay the cost of replacement or renewal of capital assets or facilities, excluding non-Toll roads except Feeder Roads, of the TPK system, or extraordinary repairs of the TPK system excluding non-Toll roads except Feeder Roads. |
| 2 | List the specific sources of receipts to the trust fund and the statutory references for those receipts.                                                             | Revenues are transferred monthly into this fund by the State Board of Administration in accordance with Section 4.03(5) of the Turnpike bond resolution.                                                                                                                                                                                                                    |
| 3 | If state or federal law requires or prohibits specific expenditures from the trust fund, list the requirements or prohibitions and the statutory citations for them. | Expenditures are made in accordance with bond documents.                                                                                                                                                                                                                                                                                                                    |
| 4 | If any source of receipts is federal, describe any restrictions on those receipts that are inconsistent with how the state does business.                            | N/A                                                                                                                                                                                                                                                                                                                                                                         |
| 5 | If this trust fund could be combined with other agency trust funds that accomplish a similar purpose, list those trust funds.                                        | N/A                                                                                                                                                                                                                                                                                                                                                                         |
| 6 | If General Revenue funding supports the same programs or activities that the trust fund supports, provide a justification.                                           | N/A                                                                                                                                                                                                                                                                                                                                                                         |



For Trust Funds that the agency believes are **Exempt from Termination** answer question 7.

|   |                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 7 | If this trust fund is exempt from termination according to Article III, section 19(f)(3) of the <i>Florida Constitution</i> , list the specific exemptions that apply. | This fund is established under the authority granted the Department in Section 339.081(2), F.S., and is used to comply with the covenants of bonds issued pursuant to Section 338.227(1), F.S. Article III, Section 19(f)(3) exempts trust funds established to comply with bond covenants. This fund is established to comply with the Turnpike bond covenants that require funding of renewal and replacement costs, and is therefore exempt. |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

For Trust Funds that the agency recommends should be **Re-created/Retained with or without modification** answer questions 8 and 9 and attach draft legislation as requested.

|   |                                                                                                                                                                                                                                                       |  |
|---|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 8 | Give the specific reasons that continuation (re-creation after initial creation or retention after subsequent re-creation) of this trust fund is necessary. List agency activities (based on the activity detail report) supported by the trust fund. |  |
| 9 | Describe any modifications the agency is requesting when this fund is re-created/retained. Attach draft legislation to accomplish the requested change.                                                                                               |  |

For Trust Funds that the agency recommends should be **Terminated** answer question 10 and attach draft legislation as requested.

|    |                                                                                                                                                                                           |  |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 10 | Explain how the current cash balance and all current receipts of the trust fund will be distributed. Attach draft legislation that removes reference to the trust fund from the statutes. |  |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|

For **New** Trust Funds that the agency recommends should be **Created** answer questions 11-13 and attach draft legislation as requested.

|    |                                                                                                                                                                              |  |
|----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 11 | Describe the purpose of the trust fund and identify its revenue sources. Attach draft legislation that meets the requirements of section 215.3207, <i>Florida Statutes</i> . |  |
| 12 | Describe the specific impact on any other trust fund or the General Revenue Fund from the creation of this new trust fund.                                                   |  |
| 13 | Describe the period of time for which this new trust fund will be needed, or the circumstances under which it will no longer be needed.                                      |  |

2326

Turnpike General Reserve Trust Fund

## **Schedule 1 Narrative for:**

### **Turnpike General Reserve Trust Fund - 2326**

#### ***Section 1: Detail of Revenues***

Revenue estimate calculations for Fiscal Year 2010-2011 and 2011-2012 are based on the following methodology:

- The Tolls and Concession revenue is based on revenue forecast prepared by URS Corporation who is hired by the Turnpike Enterprise to provide an independent estimate of toll and concession revenue.
- Interest revenue is forecasted using the following methods:
  - The first month uses the beginning and ending actual cash balances divided by two and multiplied by monthly interest rate.
  - The second month uses the actual beginning and the forecasted ending balance divided by two and multiplied by monthly interest rate.
  - The third month and beyond uses the forecasted beginning and ending balance divided by two and multiplied by monthly interest rate.
- Anticipated Revenues – The Department budgets and operates on a commitment basis. The Department’s budget is based on a 5 year work program and 3 year cash forecast. Within the 5 year work program, each year includes projects that will be started that year. Our annual budget is based on the current year column of the work program. However, while the projects are scheduled to be started in the budget year, the projects typically last longer than the budget year. Therefore, we are receiving and committing budget each year that we will spend over multiple years. While we commit the budget in the current year, we may not have the cash available in the current year to cover the entire commitment. This is appropriate since we know we will not be paying out the entire amount in the same year. The purpose of the 3 year cash forecast is to ensure that we have the money in future years for this budget. Therefore, the anticipated revenues represent the funds projected to be available in future years to cover the commitments made in previous years. The amount is calculated on Schedule 1C.
- Grants and Donations revenue represents donations to turnpike projects from various entities and is forecasted from historical receipts.
- Damage Claims/Insurance recoveries revenues is revenue from individual and insurance reimbursements for damages to Turnpike infrastructure. Projections are based upon historical receipts.

- Transfers In from the Bond Fund is the anticipated revenues based upon projected bond sales planned for each fiscal year.
- Sales of goods and services/ JPA service revenue is revenue from local government entities to fund transportation projects. Projections are based upon anticipated receipts for actual planned Turnpike projects.
- Toll Facilities Revenue and Toll Concession revenue represents tolls collected on the Turnpike System and Concession revenue at the toll service plazas. Projections are based upon toll revenue projections provided by independent consultants.
- Federal SIB Loan – projections are based upon the SIB loan agreement. Overall, revenues in the trust fund are projected to increase in fiscal year 2011 and decrease in fiscal year 2012.

***Section II: Non-operating***

- Interest Payment on Bonds at SBA – SBA makes debt service payments on the Agency's behalf. In this case, neither operating nor FCO budget is used for the payment of interest on outstanding bonds. Therefore, this is recorded as a non-operating transfer.

***Section III: Adjustments***

- FCO not included on Schedule 1 – Actual expenditures relating to prior year FCO that were not reserved in the accounting records.

**5 Percent Trust Fund Calculation**  
**Turnpike General Reserve Trust Fund – 2326**

**Not required for this fund**

**Executive Office of the Governor  
Inter-Agency Transfers Reported on Schedule I**

**Agency Name** Transporation

List all transfers totaling \$100,000 or more. Provide the applicable agency name and fund number, the transfer category used, and the amount of the transfer for each of the fiscal years indicated, as well as the name and phone number of the person at the other agency who confirmed the amount of the transfer. If transferred in/out to the General Revenue Fund, do not include on this form; however, on Schedule I be sure to include "To GR" or "From GR" in the description field.

**Fund Name and Number :** Turnpike General Reserve TF 2326

| <b>Transfers In<br/>(Provide Agency and Fund Number Received From)</b>                                 | <b>Transfer<br/>Category</b> | <b>Amount<br/>FY 09-10 (A01)</b> | <b>Amount<br/>FY 10-11 (A02)</b> | <b>Amount<br/>FY 11-12 (A03)</b> | <b>Confirmed By</b>           |
|--------------------------------------------------------------------------------------------------------|------------------------------|----------------------------------|----------------------------------|----------------------------------|-------------------------------|
| HSMV 76-20-2-009001 Troop K                                                                            | 001500                       | 1,448,955                        | 0                                | 0                                | Stuart Strickland<br>617-3159 |
|                                                                                                        |                              |                                  |                                  |                                  |                               |
|                                                                                                        |                              |                                  |                                  |                                  |                               |
|                                                                                                        |                              |                                  |                                  |                                  |                               |
|                                                                                                        |                              |                                  |                                  |                                  |                               |
|                                                                                                        |                              |                                  |                                  |                                  |                               |
|                                                                                                        |                              |                                  |                                  |                                  |                               |
|                                                                                                        |                              |                                  |                                  |                                  |                               |
|                                                                                                        |                              |                                  |                                  |                                  |                               |
|                                                                                                        |                              |                                  |                                  |                                  |                               |
| <b>Transfers Out (Operating and Non-Operating)<br/>(Provide Agency and Fund Number Transferred To)</b> | <b>Transfer<br/>Category</b> |                                  |                                  |                                  | <b>Confirmed By</b>           |
| HSMV 76-20-2-009001 Work Zone Safety                                                                   | 088716                       | 903,199                          | 900,000                          | 900,000                          | Stuart Strickland<br>617-3159 |
|                                                                                                        |                              |                                  |                                  |                                  |                               |
|                                                                                                        |                              |                                  |                                  |                                  |                               |
|                                                                                                        |                              |                                  |                                  |                                  |                               |
|                                                                                                        |                              |                                  |                                  |                                  |                               |
|                                                                                                        |                              |                                  |                                  |                                  |                               |
|                                                                                                        |                              |                                  |                                  |                                  |                               |
|                                                                                                        |                              |                                  |                                  |                                  |                               |
|                                                                                                        |                              |                                  |                                  |                                  |                               |

**2326**

**Schedule IB- Detail of Unreserved Fund Balances**

**There are no unreserved fund balance amounts reflected on the schedule 1's that are restricted, therefore this section is not applicable in this budget cycle.**

## SCHEDULE IC: RECONCILIATION OF UNRESERVED FUND BALANCE

|                             |                             |
|-----------------------------|-----------------------------|
| <b>Department Title:</b>    | Budget Period: 2011 - 2012  |
| <b>Trust Fund Title:</b>    | Transportation              |
| <b>Budget Entity:</b>       | Turnpike General Reserve TF |
| <b>LAS/PBS Fund Number:</b> | 2326                        |

|                                                     | Balance as of<br>6/30/2010 |     | SWFS*<br>Adjustments | Adjusted<br>Balance |
|-----------------------------------------------------|----------------------------|-----|----------------------|---------------------|
| <b>Chief Financial Officer's (CFO) Cash Balance</b> | 1,225,629.87               | (A) |                      | 1,225,629.87        |
| ADD: Other Cash (See Instructions)                  | 11,886,718.86              | (B) |                      | 11,886,718.86       |
| ADD: Investments                                    | 345,869,379.40             | (C) |                      | 345,869,379.40      |
| ADD: Outstanding Accounts Receivable                | 124,445,240.36             | (D) |                      | 124,445,240.36      |
| ADD: Estimated Cash Forecast for FCO Projects       | (110,253,212.86)           | (E) |                      | (110,253,212.86)    |
| <b>Total Cash plus Accounts Receivable</b>          | 373,173,755.63             | (F) | 0.00                 | 373,173,755.63      |
| LESS: Allowances for Uncollectibles                 |                            | (G) |                      |                     |
| LESS: Approved "A" Certified Forwards               |                            | (H) |                      |                     |
| Approved "B" Certified Forwards                     |                            | (H) |                      |                     |
| Approved "FCO" Certified Forwards                   | 338,337,774.88             | (H) |                      | 338,337,774.88      |
| LESS: Other Accounts Payable (Nonoperating)         | 26,058,405.42              | (I) |                      | 26,058,405.42       |
| LESS: Other Liabilities                             | 8,777,575.33               | (J) |                      | 8,777,575.33        |
| <b>Unreserved Fund Balance, 07/01/10</b>            | 0.00                       | (K) | 0.00                 | 0.00 **             |

**Notes:**

\*SWFS = Statewide Financial Statement

\*\* This amount should agree with Line I, Section IV of the Schedule I for the most recent completed fiscal year and Line A for the following year.



**RECONCILIATION: BEGINNING TRIAL BALANCE TO SCHEDULE I and IC**

**Budget Period: 2011 - 2012**

|                             |                                            |
|-----------------------------|--------------------------------------------|
| <b>Department Title:</b>    | <u>Transportation</u>                      |
| <b>Trust Fund Title:</b>    | <u>Turnpike General Reserve Trust Fund</u> |
| <b>LAS/PBS Fund Number:</b> | <u>2326</u>                                |

**BEGINNING TRIAL BALANCE:**

|                                                     |                             |
|-----------------------------------------------------|-----------------------------|
| Unreserved Fund Balance Per Trial Balance, 07-01-10 | <u>4,350,143,836.41</u> (A) |
|                                                     | <u>269,153,156.50</u>       |
|                                                     | <u>272,482,143.18</u>       |
| Fixed Assets GLC 26XXX                              | <u>(5,587,334,378.85)</u>   |
| Fixed Assets GLC 27XXX                              | <u>(1,673,639,457.56)</u>   |

**Add/Subtract:**

|                 |                            |
|-----------------|----------------------------|
| SWFS Adjustment | <u>(569,582.56)</u> (B)    |
| SWFS Adjustment | <u>(97,498,363.44)</u> (B) |
| SWFS Adjustment | <u>4,174,968.91</u> (B)    |

**Other Adjustment(s):**

|                             |                             |
|-----------------------------|-----------------------------|
| GLC 22XXX                   | <u>(269,153,156.50)</u> (C) |
| GLC 172XX                   | <u>(5,235,540.94)</u> (C)   |
| Advances - HSMV             | <u>(500,000.00)</u> (C)     |
| Deferred Charges            | <u>(15,470,565.64)</u> (C)  |
| Current Bonds Payable       | <u>99,000,000.00</u> (C)    |
| Long Term Unearned Revenue  | <u>748,428.21</u> (C)       |
| Other Long Term Liabilities | <u>4,768,675.58</u> (C)     |
| GLC 45XXX                   | <u>153,285,982.59</u> (C)   |
| GLC 46XXX                   | <u>2,938,581,576.34</u> (C) |
| FCO C/F                     | <u>(338,001,181.03)</u> (C) |
| FCO C/F                     | <u>(151,518.00)</u> (C)     |
| FCO C/F                     | <u>(185,075.85)</u> (C)     |
| AP not reserved             | <u>14,309,735.95</u> (C)    |
| Non-spendable Investments   | <u>(8,656,470.44)</u> (C)   |

|                                       |                             |
|---------------------------------------|-----------------------------|
| Anticipated Revenues for FCO Projects | <u>(110,253,212.86)</u> (C) |
|---------------------------------------|-----------------------------|

**ADJUSTED BEGINNING TRIAL BALANCE:** 0.00 (D)

**UNRESERVED FUND BALANCE, SCHEDULE IC** 0.00 (E)

**DIFFERENCE:** (0.00) (F)\*

**\*SHOULD EQUAL ZERO.**

**SCHEDULE ID: REQUEST FOR CREATION, RE-CREATION, RETENTION, TERMINATION,  
OR MODIFICATION OF A TRUST FUND**

|                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
|-----------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Department:                                                     | Transportation                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| Fund Name:                                                      | Turnpike General Reserve TF                                                                                                                                                                                                                                                                                                                                                                                                                         |
| FLAIR #:*                                                       | 552326                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| Name<br>Position<br>Telephone No. of Person<br>Completing Form: | Sara Liro<br>Revenue Management Administrator<br>(850) 414-4865                                                                                                                                                                                                                                                                                                                                                                                     |
| <b>Type of Action Requested :</b><br><br>(Check one)            | <input checked="" type="checkbox"/> <b>Exempt From Termination</b> <input type="checkbox"/> <b>Re-create without modification (last action was initial create)</b><br><br><input type="checkbox"/> <b>Retain without modification</b> <input type="checkbox"/> <b>Re-create/Retain with modification (last action was re-create)</b><br><br><input type="checkbox"/> <b>Create New Fund</b> <input type="checkbox"/> <b>Terminate Existing Fund</b> |

\* Enter ONLY the six-digit code. Not applicable for requests to **Create** trust fund.

For All Trust Funds scheduled for review this year, answer questions 1-6.

|   |                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                             |
|---|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1 | Cite the statutory authority for the trust fund (Florida Statutes or, if none, Laws of Florida). Give the statutory purpose, if stated, for the trust fund.          | This fund was created in accordance with Section 339.081(2), F.S. and Section 4.03(7) of the Turnpike bond resolution. Section 338.227(2), F.S., states: "All revenue...from the turnpike system received by the department ..... shall be used only for the cost of turnpike projects and turnpike improvements and for the administration, operation, maintenance, and financing of the turnpike system." |
| 2 | List the specific sources of receipts to the trust fund and the statutory references for those receipts.                                                             | Turnpike revenues are transferred into this fund by the State Board of Administration in accordance with Section 4.03(7) of the Turnpike bond resolution.                                                                                                                                                                                                                                                   |
| 3 | If state or federal law requires or prohibits specific expenditures from the trust fund, list the requirements or prohibitions and the statutory citations for them. | 338.223 F.S. addresses the requirements for turnpike projects. The bond covenants require that the Turnpike funds be used on the Turnpike System/Turnpike projects.                                                                                                                                                                                                                                         |
| 4 | If any source of receipts is federal, describe any restrictions on those receipts that are inconsistent with how the state does business.                            | N/A                                                                                                                                                                                                                                                                                                                                                                                                         |
| 5 | If this trust fund could be combined with other agency trust funds that accomplish a similar purpose, list those trust funds.                                        | N/A                                                                                                                                                                                                                                                                                                                                                                                                         |
| 6 | If General Revenue funding supports the same programs or activities that the trust fund supports, provide a justification.                                           | N/A                                                                                                                                                                                                                                                                                                                                                                                                         |

For Trust Funds that the agency believes are **Exempt from Termination** answer question 7.

|   |                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 7 | If this trust fund is exempt from termination according to Article III, section 19(f)(3) of the <i>Florida Constitution</i> , list the specific exemptions that apply. | This fund is established under the authority granted the Department in Section 339.081(2), F.S., and is used to comply with bonds issued pursuant to Section 338.227(1), F.S. Article III, Section 19(f)(3) exempts trust funds established to comply with bond covenants. This fund is established to comply with Turnpike bond covenants and is therefore exempt. The projects authorized to be paid with bond proceeds are paid for out of this fund, then reimbursed by the bond funds. |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

For Trust Funds that the agency recommends should be **Re-created/Retained with or without modification** answer questions 8 and 9 and attach draft legislation as requested.

|   |                                                                                                                                                                                                                                                       |  |
|---|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 8 | Give the specific reasons that continuation (re-creation after initial creation or retention after subsequent re-creation) of this trust fund is necessary. List agency activities (based on the activity detail report) supported by the trust fund. |  |
| 9 | Describe any modifications the agency is requesting when this fund is re-created/retained. Attach draft legislation to accomplish the requested change.                                                                                               |  |

For Trust Funds that the agency recommends should be **Terminated** answer question 10 and attach draft legislation as requested.

|    |                                                                                                                                                                                           |  |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 10 | Explain how the current cash balance and all current receipts of the trust fund will be distributed. Attach draft legislation that removes reference to the trust fund from the statutes. |  |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|

For **New** Trust Funds that the agency recommends should be **Created** answer questions 11-13 and attach draft legislation as requested.

|    |                                                                                                                                                                              |  |
|----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 11 | Describe the purpose of the trust fund and identify its revenue sources. Attach draft legislation that meets the requirements of section 215.3207, <i>Florida Statutes</i> . |  |
| 12 | Describe the specific impact on any other trust fund or the General Revenue Fund from the creation of this new trust fund.                                                   |  |
| 13 | Describe the period of time for which this new trust fund will be needed, or the circumstances under which it will no longer be needed.                                      |  |

**SCHEDULE ID: REQUEST FOR CREATION, RE-CREATION, RETENTION, TERMINATION,  
OR MODIFICATION OF A TRUST FUND**

|                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|-----------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Department:                                                     | Transportation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| Fund Name:                                                      | Turnpike Bond Construction TF                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| FLAIR #:*                                                       | 552340                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| Name<br>Position<br>Telephone No. of Person<br>Completing Form: | Sara Liro<br>Revenue Management Administrator<br>(850) 414-4865                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| <b>Type of Action Requested :</b><br><br>(Check one)            | <input checked="" type="checkbox"/> <b>Exempt From Termination</b> <input type="checkbox"/> <b>Re-create without modification</b><br><span style="margin-left: 400px;">(last action was initial create)</span><br><br><input type="checkbox"/> <b>Retain without modification</b> <input type="checkbox"/> <b>Re-create/Retain with modification</b><br><span style="margin-left: 400px;">(last action was re-create)</span><br><br><input type="checkbox"/> <b>Create New Fund</b> <input type="checkbox"/> <b>Terminate Existing Fund</b> |

\* Enter ONLY the six-digit code. Not applicable for requests to **Create** trust fund.

For **All Trust Funds** scheduled for review this year, answer questions 1-6.

|   |                                                                                                                                                                      |                                                                                                                                                                                             |
|---|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1 | Cite the statutory authority for the trust fund (Florida Statutes or, if none, Laws of Florida). Give the statutory purpose, if stated, for the trust fund.          | This fund was created in accordance with 339.081(2) F.S. & 338.227 F.S., for the purpose of paying all or any part of the cost of any one or more legislatively approved turnpike projects. |
| 2 | List the specific sources of receipts to the trust fund and the statutory references for those receipts.                                                             | Receipts consist of bond proceeds issued in accordance with 338.227 F.S. and interest earnings on investments of the bond proceeds.                                                         |
| 3 | If state or federal law requires or prohibits specific expenditures from the trust fund, list the requirements or prohibitions and the statutory citations for them. | Expenditures are made in accordance with bond covenants.                                                                                                                                    |
| 4 | If any source of receipts is federal, describe any restrictions on those receipts that are inconsistent with how the state does business.                            | N/A                                                                                                                                                                                         |
| 5 | If this trust fund could be combined with other agency trust funds that accomplish a similar purpose, list those trust funds.                                        | N/A                                                                                                                                                                                         |
| 6 | If General Revenue funding supports the same programs or activities that the trust fund supports, provide a justification.                                           | N/A                                                                                                                                                                                         |

For Trust Funds that the agency believes are **Exempt from Termination** answer question 7.

|   |                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                       |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 7 | If this trust fund is exempt from termination according to Article III, section 19(f)(3) of the <i>Florida Constitution</i> , list the specific exemptions that apply. | This fund is established under the authority granted the Department in Section 339.081(2), F.S., and has issued bonds pursuant to Section 338.227(1), F.S. Article III, Section 19(f)(3) exempts trust funds established to comply with bond covenants. This fund is established to comply with the Turnpike bond covenants, and is therefore exempt. |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

For Trust Funds that the agency recommends should be **Re-created/Retained with or without modification** answer questions 8 and 9 and attach draft legislation as requested.

|   |                                                                                                                                                                                                                                                       |  |
|---|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 8 | Give the specific reasons that continuation (re-creation after initial creation or retention after subsequent re-creation) of this trust fund is necessary. List agency activities (based on the activity detail report) supported by the trust fund. |  |
| 9 | Describe any modifications the agency is requesting when this fund is re-created/retained. Attach draft legislation to accomplish the requested change.                                                                                               |  |

For Trust Funds that the agency recommends should be **Terminated** answer question 10 and attach draft legislation as requested.

|    |                                                                                                                                                                                           |  |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 10 | Explain how the current cash balance and all current receipts of the trust fund will be distributed. Attach draft legislation that removes reference to the trust fund from the statutes. |  |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|

For **New** Trust Funds that the agency recommends should be **Created** answer questions 11-13 and attach draft legislation as requested.

|    |                                                                                                                                                                              |  |
|----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 11 | Describe the purpose of the trust fund and identify its revenue sources. Attach draft legislation that meets the requirements of section 215.3207, <i>Florida Statutes</i> . |  |
| 12 | Describe the specific impact on any other trust fund or the General Revenue Fund from the creation of this new trust fund.                                                   |  |
| 13 | Describe the period of time for which this new trust fund will be needed, or the circumstances under which it will no longer be needed.                                      |  |

**SCHEDULE ID: REQUEST FOR CREATION, RE-CREATION, RETENTION,  
TERMINATION, OR MODIFICATION OF A TRUST FUND**

---

**Proposed Language to terminate Trust Funds within the Department of Transportation**

An act relating to trust funds; terminating specified trust funds within the Department of Transportation; providing for the transfer of current balances to general revenue, the paying of outstanding debts and obligations, and the removal of the terminated funds and accounts from the various state accounting systems; providing an effective date.

Be It Enacted by the Legislature of the State of Florida:

**Section 1. Transportation and Governmental Bond Trust Fund -**

- (1) The following trust funds within the Department of Transportation are terminated on July 1, 2011:
  - (a) The Everglades Parkway Construction Trust Fund, FLAIR number 55-2-199.
  - (b) The Jacksonville Transportation Authority Project Construction Trust Fund, FLAIR number 55-2-413.
- (2) All balances of these trust funds were spent in accordance with bond covenants and no balances remain. No future revenues will be generated.
- (3) For each trust fund terminated by this act, the Department of Transportation shall pay any outstanding debts or obligations of the terminated fund or account as soon as practicable, and the Chief Financial Officer shall close out and remove the terminated fund or account from the various state accounting systems using generally accepted accounting principles concerning warrants outstanding, assets, and liabilities.

**Section 2.** This act shall take effect upon becoming a law.

**SCHEDULE ID: REQUEST FOR CREATION, RE-CREATION, RETENTION, TERMINATION,  
OR MODIFICATION OF A TRUST FUND**

|                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
|-----------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Department:                                                     | Transportation                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| Fund Name:                                                      | Jax Transportation Authority Project Construction TF                                                                                                                                                                                                                                                                                                                                                                                                |
| FLAIR #:*                                                       | 552413                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| Name<br>Position<br>Telephone No. of Person<br>Completing Form: | Sara Liro<br>Revenue Management Administrator<br>(850) 414-4865                                                                                                                                                                                                                                                                                                                                                                                     |
| <b>Type of Action Requested :</b><br><br>(Check one)            | <input type="checkbox"/> <b>Exempt From Termination</b> <input type="checkbox"/> <b>Re-create without modification (last action was initial create)</b><br><br><input type="checkbox"/> <b>Retain without modification</b> <input type="checkbox"/> <b>Re-create/Retain with modification (last action was re-create)</b><br><br><input type="checkbox"/> <b>Create New Fund</b> <input checked="" type="checkbox"/> <b>Terminate Existing Fund</b> |

\* Enter ONLY the six-digit code. Not applicable for requests to **Create** trust fund.

For **All Trust Funds** scheduled for review **this year**, answer questions 1-6.

|   |                                                                                                                                                                      |                                                                                                                                 |
|---|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------|
| 1 | Cite the statutory authority for the trust fund (Florida Statutes or, if none, Laws of Florida). Give the statutory purpose, if stated, for the trust fund.          | This fund was created in accordance with 339.081(2), F.S.                                                                       |
| 2 | List the specific sources of receipts to the trust fund and the statutory references for those receipts.                                                             | The specific sources of receipts to this trust fund were bond proceeds and interest earned on investments of the bond proceeds. |
| 3 | If state or federal law requires or prohibits specific expenditures from the trust fund, list the requirements or prohibitions and the statutory citations for them. | The Department transferred funds to the Jacksonville Transportation Authority upon request.                                     |
| 4 | If any source of receipts is federal, describe any restrictions on those receipts that are inconsistent with how the state does business.                            | N/A                                                                                                                             |
| 5 | If this trust fund could be combined with other agency trust funds that accomplish a similar purpose, list those trust funds.                                        | N/A                                                                                                                             |
| 6 | If General Revenue funding supports the same programs or activities that the trust fund supports, provide a justification.                                           | N/A                                                                                                                             |

For Trust Funds that the agency believes are **Exempt from Termination** answer question 7.

|   |                                                                                                                                                                        |  |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 7 | If this trust fund is exempt from termination according to Article III, section 19(f)(3) of the <i>Florida Constitution</i> , list the specific exemptions that apply. |  |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|

For Trust Funds that the agency recommends should be **Re-created/Retained with or without modification** answer questions 8 and 9 and attach draft legislation as requested.

|   |                                                                                                                                                                                                                                                       |  |
|---|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 8 | Give the specific reasons that continuation (re-creation after initial creation or retention after subsequent re-creation) of this trust fund is necessary. List agency activities (based on the activity detail report) supported by the trust fund. |  |
| 9 | Describe any modifications the agency is requesting when this fund is re-created/retained. Attach draft legislation to accomplish the requested change.                                                                                               |  |

For Trust Funds that the agency recommends should be **Terminated** answer question 10 and attach draft legislation as requested.

|    |                                                                                                                                                                                           |                                                                                  |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|
| 10 | Explain how the current cash balance and all current receipts of the trust fund will be distributed. Attach draft legislation that removes reference to the trust fund from the statutes. | There is no remaining cash balance or anticipated future receipts for this fund. |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|

For **New** Trust Funds that the agency recommends should be **Created** answer questions 11-13 and attach draft legislation as requested.

|    |                                                                                                                                                                              |  |
|----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 11 | Describe the purpose of the trust fund and identify its revenue sources. Attach draft legislation that meets the requirements of section 215.3207, <i>Florida Statutes</i> . |  |
| 12 | Describe the specific impact on any other trust fund or the General Revenue Fund from the creation of this new trust fund.                                                   |  |
| 13 | Describe the period of time for which this new trust fund will be needed, or the circumstances under which it will no longer be needed.                                      |  |



2540

## State Transportation Trust Fund

## **Schedule 1 Narrative for:**

### **State Transportation Trust Fund - 2540**

#### ***Section 1: Detail of Revenues***

Revenue estimate calculations for Fiscal Year 2010-2011 and Fiscal Year 2011-2012 are based on the following methodology:

- Motor Vehicle License Fees, Initial Registration Fees and Title Fees are based on State Revenue Estimating Conference Summary Data for August 2010.
- Motor Fuel Tax, Aviation Fuels, and Rental Car Surcharges are based on the State Revenue Estimating Conference Summary Data for August 2010.
- Interest revenue is forecasted using the following methods:
  - The first month uses the beginning and ending actual cash balances divided by two and multiplied by monthly interest rate.
  - The second month uses the actual beginning and the forecasted ending balance divided by two and multiplied by monthly interest rate.
  - The third month and beyond uses the forecasted beginning and ending balance divided by two and multiplied by monthly interest rate.
- Federal Aid Reimbursements, including ARRA and indirect, are based upon our annual cash forecast of expenditures for current and planned federally funded projects in fiscal years 2011 and 2012.
- Fees, Charges, Commissions, and Sales; Outdoor Advertising Fees; License and Permits; Fines, Forfeitures, Judgments, Settlements; Overweight Penalties – These revenues are from various programs and/or fees charged by the Department and are projected based upon historical receipts.
- Doc Stamps from DOR - Doc stamp revenue was projected based upon the August 2010 Doc Stamp Revenues estimating conference.
- Bond proceeds are based upon a projected bond sale in fiscal year 2011.
- Transfers from ROW trust fund is revenue from planned ROW bond sales in each fiscal year.
- Transfers from Toll funds is the reimbursement for operations and maintenance activities on toll facilities and is estimated based on planned activities on the toll facilities.
- Sale of Fixed Assets revenue is projected based on historical receipts.

- TIF2 loan is planned receipts on a Federal loan and is based upon anticipated draw-downs during the fiscal year.
- Anticipated Revenues – The Department budgets and operates on a commitment basis. The Department’s budget is based on a 5 year work program and 3 year cash forecast. Within the 5 year work program, each year includes projects that will be started that year. Our annual budget is based on the current year column of the work program. However, while the projects are scheduled to be started in the budget year, the projects typically last longer than the budget year. Therefore, we are receiving and committing budget each year that we will spend over multiple years. While we commit the budget in the current year, we may not have the cash available in the current year to cover the entire commitment. This is appropriate since we know we will not be paying out the entire amount in the same year. The purpose of the 3 year cash forecast is to ensure that we have the money in future years for this budget. Therefore, the anticipated revenues represent the funds projected to be available in future years to cover the commitments made in previous years. The amount is calculated on Schedule 1C.

***Section III: Adjustments***

- FCO Expenditures not included on Schedule 1 - The difference between the amount reserved in accounting records and the total amount of FCO appropriations/expenditures related to prior appropriation years.
- Prior Year September Operating Reversions are September operating reversions from the prior year.
- YE FS Adj to HSMV – Rev Smoothing - Revenue Smoothing adjustment (\$120,329,756) for amounts that flow from HSMV through the DFS 43-20-2-732001 to DOT for Motor Vehicle fees.
- YE FS Adj to Motor Vehicle License fees to HSMV - The net of three post-closing adjustments for transfers to HSMV 76-20-2-009001 (\$691,601.07), 76-20-2-319001 (129,680.00), and 76-20-2-488001 (\$47,590.00).

## **5 Percent Trust Fund Calculation**

**State Transportation Trust Fund – 2540**

**Not required for this fund**

**Executive Office of the Governor  
Inter-Agency Transfers Reported on Schedule I**

**Agency Name Department of Transportation**

List all transfers totaling \$100,000 or more. Provide the applicable agency name and fund number, the transfer category used, and the amount of the transfer for each of the fiscal years indicated, as well as the name and phone number of the person at the other agency who confirmed the amount of the transfer. If transferred in/out to the General Revenue Fund, do not include on this form; however, on Schedule I be sure to include "To GR" or "From GR" in the description field.

**Fund Name and Number :** State Transportation Trust Fund 2540

| <b>Transfers In<br/>(Provide Agency and Fund Number Received From)</b>                                 | <b>Transfer<br/>Category</b> | <b>Amount<br/>FY 09-10 (A01)</b> | <b>Amount<br/>FY 10-11 (A02)</b> | <b>Amount<br/>FY 11-12 (A03)</b> | <b>Confirmed By</b>                                          |
|--------------------------------------------------------------------------------------------------------|------------------------------|----------------------------------|----------------------------------|----------------------------------|--------------------------------------------------------------|
| DEP 37-20-2-644001                                                                                     | 001500                       | 500,000                          | 0                                | 0                                | Kathy Shettle<br>245-2428                                    |
| DFS 43-20-2-732001 Revenue Smoothing                                                                   | 001500                       | 120,329,756                      | 120,000,000                      | 120,000,000                      | We are not in agreement with DFS<br>as of 10.13.10           |
| DCA 52-20-2-750001                                                                                     | 001510                       | 503,650                          | 230,100                          | 3,317,159                        | Sherie Carrington<br>922-1658                                |
| FDLE 71-20-2-261018 Fed Aid Reimbursement                                                              | 001510                       | 559,864                          | 0                                | 0                                | Sheri Boyce<br>410-7133                                      |
| DMS 72-20-2-510103 Sale of Fixed Assets                                                                | 002900                       | 473,101                          | 0                                | 0                                | Debbie Goodson<br>414-0830                                   |
| DOR 73-20-2-166001 Document Stamp Tax                                                                  | 001500                       | 75,859,352                       | 82,600,000                       | 122,300,000                      | Tony Lloyd<br>717-7018                                       |
| DOR 73-20-2-319001 Fuel Tax                                                                            | 001500                       | 1,776,361,367                    | 1,803,800,000                    | 1,890,500,000                    | Tony Lloyd This amnt differs<br>717-7018 from DOT SCH1 & REC |
| DOR 73-20-2-494001 Rental Car Surcharge                                                                | 001500                       | 92,895,153                       | 96,200,000                       | 100,600,000                      | Tony Lloyd<br>717-7018                                       |
| DOR 73-20-2-448001 Local Option Gas Tax                                                                | 001500                       | 39,784,603                       | 40,800,000                       | 42,000,000                       | Tony Lloyd<br>717-7018                                       |
| HSMV 76-20-2-319001 Motor Fuel Use Charge                                                              | 001500                       | 13,713,838                       | 13,800,000                       | 15,100,000                       | Stuart Strickland<br>617-3159                                |
| HSMV 76-20-2-488001                                                                                    | 001500                       | 543,573,201                      | 700,600,000                      | 732,900,000                      | Stuart Strickland<br>617-3159                                |
| HSMV 76-20-2-009001 Reimbursement                                                                      | 001500                       | 210,181                          | 0                                | 0                                | Stuart Strickland<br>617-3159                                |
| <b>Transfers Out (Operating and Non-Operating)<br/>(Provide Agency and Fund Number Transferred To)</b> | <b>Transfer<br/>Category</b> |                                  |                                  |                                  |                                                              |
| EOG 31-20-2-175001 - OTTED                                                                             | 088859                       | 13,560,140                       | 20,300,000                       | 10,000,000                       | Cynthia Smith<br>487-1011; A02 is diff. from EOG             |
| DFS 43-10-2-078001 - Insurance                                                                         | 103241 /<br>103242           | 10,523,201                       | 15,923,506                       | 15,923,506                       | Response from DFS for FY 09-10<br>only as of 10.13.10        |
|                                                                                                        |                              |                                  |                                  |                                  | We are not in agreement with DFS                             |

**Executive Office of the Governor  
Inter-Agency Transfers Reported on Schedule I**

**Agency Name Department of Transportation**

List all transfers totaling \$100,000 or more. Provide the applicable agency name and fund number, the transfer category used, and the amount of the transfer for each of the fiscal years indicated, as well as the name and phone number of the person at the other agency who confirmed the amount of the transfer. If transferred in/out to the General Revenue Fund, do not include on this form; however, on Schedule I be sure to include "To GR" or "From GR" in the description field.

**Fund Name and Number :** State Transportation Trust Fund 2540

| <b>Transfers In<br/>(Provide Agency and Fund Number Received From)</b> | <b>Transfer<br/>Category</b>  | <b>Amount<br/>FY 09-10 (A01)</b> | <b>Amount<br/>FY 10-11 (A02)</b> | <b>Amount<br/>FY 11-12 (A03)</b> | <b>Confirmed By</b>                                   |
|------------------------------------------------------------------------|-------------------------------|----------------------------------|----------------------------------|----------------------------------|-------------------------------------------------------|
| DFS 43-10-2-393001 - Insurance                                         | 103242                        | 183,558                          | 185,000                          | 185,000                          | as of 10.13.10<br>Stuart Strickland<br>617-3159       |
| HSMV 76-20-2-319001 - Fuel Tax Collection TF                           | 181221                        | 128,570                          | 128,570                          | 128,570                          | Stuart Strickland<br>617-3159                         |
| HSMV 76-20-2-009001 - Joint Dispatch                                   |                               | 818,831                          | 818,831                          | 818,831                          | 617-3159                                              |
|                                                                        | 088797,<br>088716,<br>088717, |                                  |                                  |                                  | Stuart Strickland                                     |
| HSMV 76-20-2-009001 - Troop K, Alligator Alley, Dispatch               | 101337                        | 21,823,189                       | 21,432,725                       | 21,465,294                       | 617-3159<br>Stuart Strickland                         |
| HSMV 76-20-2-261020                                                    | 088796                        | 2,014,315                        | 1,464,000                        | 1,141,300                        | 617-3159                                              |
|                                                                        | 088866,                       |                                  |                                  |                                  | Stuart Strickland                                     |
| HSMV 76-20-2-009001 Workzone Enforcement                               | 088712                        | 9,618,666                        | 10,457,635                       | 10,457,635                       | 617-3159                                              |
| DOC 70-60-2-151001                                                     | 088810                        | 18,046,000                       | 18,588,000                       | 19,146,000                       | Mark Tallent<br>tallent.mark@mail.dc.state.fl.us      |
|                                                                        | 088717,<br>088718,            |                                  |                                  |                                  |                                                       |
| DEP 37-20-2-339074                                                     | 088825,<br>088849             | 5,674,512                        | 0                                | 0                                | Kathy Shettle<br>245-2428<br>Johanna Vogl<br>245-6564 |
| DOS 45-20-2-510002                                                     | 088849                        | 195,120                          | 240,950                          | 240,950                          | Dawne Sutherland<br>245-4537                          |
| DOH 64-20-2-141001                                                     | 088796                        | 120,599                          | 100,000                          | 100,000                          | Dawne Sutherland<br>245-4537                          |
| DOH 64-20-2-261009                                                     | 088796                        | 1,142,189                        | 828,569                          | 883,025                          | Sheri Boyce<br>410-7133                               |
| FDLE 71-20-2-261018                                                    | 088796                        | 321,467                          | 0                                | 0                                |                                                       |
|                                                                        |                               |                                  |                                  |                                  |                                                       |



# **LEGISLATIVE BUDGET REQUEST 2011-2012**

## **Schedule IA**

### **Detail of Fees and Related Program Costs**

#### **Part I: Examination of Regulatory Fees**

#### **Part II: Examination of Regulatory Fees**

**SCHEDULE 1A: DETAIL OF FEES AND RELATED PROGRAM COSTS**

**Department:** Transportation (Right of Way)      **Budget Period:** 2011-2012  
**Program:** Outdoor Advertising Control  
**Fund:** Transportation Trust Fund

**Specific Authority:** Chapter 479, F.S.

**Purpose of Fees Collected:** To offset the total cost of the outdoor advertising program

Type of Fee or Program: (Check **ONE** Box and answer questions as indicated.)

|                                     |                                                                                                                                                                           |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> | Regulatory services or oversight to businesses or professions. (Complete Sections I, II, and III and attach <b>Examination of Regulatory Fees Form - Part I and II.</b> ) |
| <input type="checkbox"/>            | Non-regulatory fees authorized to cover full cost of conducting a specific program or service. (Complete Sections I, II, and III only.)                                   |

| <u><b>SECTION I - FEE COLLECTION</b></u>              | <b>ACTUAL</b>    | <b>ESTIMATED</b> | <b>REQUEST</b>   |
|-------------------------------------------------------|------------------|------------------|------------------|
|                                                       | <b>2009-2010</b> | <b>2010-2011</b> | <b>2011-2012</b> |
| <u>Receipts:</u>                                      |                  |                  |                  |
| permit fees                                           | 1,257,777        | 1,261,300        | 1,300,000        |
| license fees                                          | 136,800          | 138,000          | 138,000          |
| tag replacements                                      | 897              | 4,500            | 3,000            |
| other income                                          | 67,330           | 48,000           | 15,000.00        |
| <b>Total Fee Collection to Line (A) - Section III</b> | <b>1,462,804</b> | <b>1,451,800</b> | <b>1,456,000</b> |

| <u><b>SECTION II - FULL COSTS</b></u>             |                  |                  |                  |
|---------------------------------------------------|------------------|------------------|------------------|
| <u>Direct Costs:</u>                              |                  |                  |                  |
| Salaries and Benefits                             | 411,805          | 480,000          | 480,000          |
| Other Personal Services                           |                  |                  |                  |
| Expenses                                          | 1,033,728        | 859,260          | 859,260          |
| Operating Capital Outlay                          |                  |                  |                  |
| Deficiency recapture                              | 228,817          | 211,547          | 165,161          |
| Indirect Costs Charged to Trust Fund              |                  |                  |                  |
| <b>Total Full Costs to Line (B) - Section III</b> | <b>1,674,350</b> | <b>1,550,807</b> | <b>1,504,421</b> |

Basis Used: \_\_\_\_\_

| <u><b>SECTION III - SUMMARY</b></u> |            |                  |                 |
|-------------------------------------|------------|------------------|-----------------|
| TOTAL SECTION I                     | (A)        | 1,462,804        | 1,451,800       |
| TOTAL SECTION II                    | (B)        | 1,674,350        | 1,550,807       |
| <b>TOTAL - Surplus/Deficit</b>      | <b>(C)</b> | <b>(211,546)</b> | <b>(99,007)</b> |

**EXPLANATION of LINE C:**

Any excess or deficiency is carried forward in setting permit fee amounts for the subsequent biennial fee period. Permit fee amounts are set in Rule 14-10.0043, Florida Administrative Code. The rule implements the authority in Section 479.07(3)©, Florida Statutes.



## Schedule IA - Part I: Examination of Regulatory Fees

Department: Transportation

Regulatory Service to or Oversight of Businesses or Professions

Program: Outdoor Advertising

1. What recent operational efficiencies have been achieved to either decrease costs or improve services? If costs have been reduced, how much money has been saved during the fiscal year?

The Department of Transportation is constantly working to ensure that the Regulatory processes are as efficient as possible. In 1997, the program was partially privatized and regulatory decision making was centralized. This resulted in numerous operational efficiencies. Quality measures are in place for all functions and these are monitored and adjustments made as necessary. A 2008 internal audit has found the control process to be efficient and effective. A 2009 Quality Assurance Review performed by Department employees found the control process to be efficient and effective.

2. What additional operational efficiencies are planned? What are the estimated savings associated with these efficiencies during the next fiscal year?

A major upgrade of the regulatory software is necessary to achieve the next level of operational efficiencies. The current software does not include several processes which are essential to an efficient regulatory program. These are currently being completed manually.

3. Is the regulatory activity an appropriate function that the agency should continue at its current level?

Yes, the effective control of outdoor advertising is essential to protect the State's federal funding for transportation. Failure to maintain such control can subject the State to loss of 10% of its federal funding each year.

4. Are the fees charged for the regulatory service or oversight to businesses or professions based on revenue projections that are prepared using generally accepted governmental accounting procedures or official estimates by the Revenue Estimating Conference, if applicable?

Yes. Internal audits have confirmed the methodology used for setting permit fee amounts is correct.

5. Are the fees charged for the regulatory service or oversight to businesses or professions adequate to cover both direct and indirect costs of providing the regulatory service or oversight?

Yes. Fees are adjusted on a biennial basis to account for fluctuations in cost.

6. Are the fees charged for the regulatory service or oversight to businesses or professions reasonable and do they take into account differences between the types of professions or businesses that are regulated? For example, do fees reflect the amount of time required to conduct inspections by using a sliding scale for annual fees based on the size of the regulated business; or do fees provide a financial incentive for regulated entities to maintain compliance with state standards by assessing a re-inspection fee if violations are found at initial inspection?

Fees are reasonable and remain substantially below the statutory limit provided in Section 279.07(3)(c), Florida Statutes.

7. If the fees charged for the regulatory services or oversight to businesses or professions are **not** adequate to cover direct and indirect program costs provide either:
- a) information regarding alternatives for realigning revenues or costs to make the regulatory service or program totally self-sufficient, including any statutory changes that are necessary to implement the alternative; or
  - b) demonstrate that the service or program provides substantial benefits to the public which justify a partial subsidy from other state funds, specifically describing the benefits to the general public (statements such as 'providing consumer benefits' or 'promoting health, safety and welfare' are not sufficient justification). For example, the program produces a range of benefits to the general public, including pollution reduction, wildlife preservation, and improved drinking water supply. Alternatively, the agency can demonstrate that requiring self-sufficiency would put the regulated entity at an unfair advantage. For example, raising fees sufficiently to cover program costs would require so high an assessment as to damage its competitive position with similar entities in other states.

Not applicable.

8. If the regulatory program is not self-sufficient and provides a public benefit using state subsidization, please provide a plan for reducing the state subsidy.

Not applicable.

## Schedule IA - Part II: Examination of Regulatory Fees

Department: **Transportation (Office of Right of Way)**

Regulatory Service to or Oversight of Business or Profession Program: **Outdoor Advertising Control**

Does Florida Statutes require the regulatory program to be financially self-sufficient? (Yes or No and F.S.): **Yes**

What percent of the regulatory cost is currently subsidized? (0 to 100%) **0**

If the program is subsidized from other state funds, what is the source(s)? **n/a**

What is the current annual amount of the subsidy? \$ **n/a**

| Service/Product Regulated                                                                                                                             | Specific Fee Title | Statutory Authority for Fee | Maximum Fee Authorized (cap) | Year of Last Statutory Revision to Fee | Is Fee Set by Rule? (Yes or No) | Current Fee Assessed | Fund Fee Deposited in (indicate General Revenue or Specific Trust Fund) |
|-------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|-----------------------------|------------------------------|----------------------------------------|---------------------------------|----------------------|-------------------------------------------------------------------------|
| Permit Fee                                                                                                                                            | Annual Permit Fee  | 470.07(8)©                  | \$100                        | 2009*                                  | Yes                             | **                   | Transportation Trust Fund                                               |
| License Fee                                                                                                                                           | Annual License Fee | 479.04                      | \$300                        | 1941                                   | No                              | \$300                | Transportation Trust Fund                                               |
| Tag Replacement Fee                                                                                                                                   | Tag Replac. Fee    | 479.07(5)(b)                | \$12.00                      | 2009                                   | No                              | \$12                 | Transportation Trust Fund                                               |
| Transfer Fee                                                                                                                                          | Transfer Fee       | 479.07(6)                   | ***                          | 1984                                   | No                              | ***                  | Transportation Trust Fund                                               |
| Reinstatement Fee                                                                                                                                     | Reinstatement Fee  | 479.08(b)                   | \$300                        | 1995                                   | No                              | \$300                | Transportation Trust Fund                                               |
|                                                                                                                                                       |                    |                             |                              |                                        |                                 |                      |                                                                         |
| * Statute authorizes fee to be set by rule. Implemented in Rule Section 14-10.0043, FAC                                                               |                    |                             |                              |                                        |                                 |                      |                                                                         |
| ** \$51.00 per permit for signs under 200 square feet; \$71.00 per permit for signs 200 square feet and larger.                                       |                    |                             |                              |                                        |                                 |                      |                                                                         |
| ***\$5.00 per permit; however, the maximum transfer fee for any multiple transfer between two outdoor advertisers in a single transaction is \$100.00 |                    |                             |                              |                                        |                                 |                      |                                                                         |
|                                                                                                                                                       |                    |                             |                              |                                        |                                 |                      |                                                                         |
|                                                                                                                                                       |                    |                             |                              |                                        |                                 |                      |                                                                         |
|                                                                                                                                                       |                    |                             |                              |                                        |                                 |                      |                                                                         |
|                                                                                                                                                       |                    |                             |                              |                                        |                                 |                      |                                                                         |
|                                                                                                                                                       |                    |                             |                              |                                        |                                 |                      |                                                                         |
|                                                                                                                                                       |                    |                             |                              |                                        |                                 |                      |                                                                         |
|                                                                                                                                                       |                    |                             |                              |                                        |                                 |                      |                                                                         |
|                                                                                                                                                       |                    |                             |                              |                                        |                                 |                      |                                                                         |
|                                                                                                                                                       |                    |                             |                              |                                        |                                 |                      |                                                                         |

**2540**

**Schedule IB-Detail of Unreserved Fund Balances**

**There are no unreserved fund balance amounts reflected on the schedule 1's that are restricted, therefore this section is not applicable in this budget cycle.**

## SCHEDULE IC: RECONCILIATION OF UNRESERVED FUND BALANCE

|                             |                                     |
|-----------------------------|-------------------------------------|
| <b>Department Title:</b>    | <b>Budget Period: 2011 - 2012</b>   |
| <b>Trust Fund Title:</b>    | Transportation                      |
| <b>Budget Entity:</b>       | 20 2 540001 State Transportation TF |
| <b>LAS/PBS Fund Number:</b> | 2540                                |

|                                                     | Balance as of<br>6/30/2010 |     | SWFS*<br>Adjustments | Adjusted<br>Balance |
|-----------------------------------------------------|----------------------------|-----|----------------------|---------------------|
| <b>Chief Financial Officer's (CFO) Cash Balance</b> | <b>167,304,923.13</b>      | (A) |                      | 167,304,923.13      |
| ADD: Other Cash (See Instructions)                  | 798,602.85                 | (B) |                      | 798,602.85          |
| ADD: Investments                                    | 144,714,803.93             | (C) |                      | 144,714,803.93      |
| ADD: Outstanding Accounts Receivable                | 385,258,551.04             | (D) | 3,486,178.17         | 388,744,729.21      |
| ADD: Estimated Cash Forecast for FCO Projects       | 4,769,098,873.34           | (E) |                      | 4,769,098,873.34    |
| <b>Total Cash plus Accounts Receivable</b>          | <b>5,467,175,754.29</b>    | (F) | 3,486,178.17         | 5,470,661,932.46    |
| LESS: Allowances for Uncollectibles                 | 512,623.58                 | (G) |                      | 512,623.58          |
| LESS: Approved "A" Certified Forwards               | 13,867,133.20              | (H) |                      | 13,867,133.20       |
| Approved "B" Certified Forwards                     | 19,748,730.82              | (H) |                      | 19,748,730.82       |
| Approved "FCO" Certified Forwards                   | 5,289,371,043.80           | (H) |                      | 5,289,371,043.80    |
| LESS: Other Accounts Payable (Nonoperating)         | 4,834,264.80               | (I) |                      | 4,834,264.80        |
| LESS: Deferred Revenue                              | 8,710,213.47               | (J) |                      | 8,710,213.47        |
| LESS: Unearned Revenue                              | 133,617,922.79             | (J) |                      | 133,617,922.79      |
| <b>Unreserved Fund Balance, 07/01/10</b>            | <b>(3,486,178.17)</b>      | (K) | 3,486,178.17         | 0.00 **             |

**Notes:**

\*SWFS = Statewide Financial Statement

\*\* This amount should agree with Line I, Section IV of the Schedule I for the most recent completed fiscal year and Line A for the following year.

**RECONCILIATION: BEGINNING TRIAL BALANCE TO SCHEDULE I and IC**

**Budget Period: 2011 - 2012**

**Department Title:** Transportation  
**Trust Fund Title:** State Transportation Trust Fund  
**LAS/PBS Fund Number:** 2540

**BEGINNING TRIAL BALANCE:**

Unreserved Fund Balance Per Trial Balance, 07-01-10  (A)

**Add/Subtract:**  (B)

**Other Adjustment(s):**  (C)

(C)

**ADJUSTED BEGINNING TRIAL BALANCE:**  (D)

**UNRESERVED FUND BALANCE, SCHEDULE IC**  (E)

**DIFFERENCE:**  (F)\*

**\*SHOULD EQUAL ZERO.**

**SCHEDULE ID: REQUEST FOR CREATION, RE-CREATION, RETENTION, TERMINATION,  
OR MODIFICATION OF A TRUST FUND**

|                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
|-----------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Department:                                                     | Transportation                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| Fund Name:                                                      | State Transportation (Primary) TF                                                                                                                                                                                                                                                                                                                                                                                                                   |
| FLAIR #:*                                                       | 552540                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| Name<br>Position<br>Telephone No. of Person<br>Completing Form: | Sara Liro<br>Revenue Management Administrator<br>(850) 414-4865                                                                                                                                                                                                                                                                                                                                                                                     |
| <b>Type of Action Requested :</b><br><br>(Check one)            | <input checked="" type="checkbox"/> <b>Exempt From Termination</b> <input type="checkbox"/> <b>Re-create without modification (last action was initial create)</b><br><br><input type="checkbox"/> <b>Retain without modification</b> <input type="checkbox"/> <b>Re-create/Retain with modification (last action was re-create)</b><br><br><input type="checkbox"/> <b>Create New Fund</b> <input type="checkbox"/> <b>Terminate Existing Fund</b> |

\* Enter ONLY the six-digit code. Not applicable for requests to **Create** trust fund.

For **All Trust Funds** scheduled for review this year, answer questions 1-6.

|   |                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
|---|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1 | Cite the statutory authority for the trust fund (Florida Statutes or, if none, Laws of Florida). Give the statutory purpose, if stated, for the trust fund.          | Section 339.081(1) F.S. and Section 206.46(1)F.S. create the State Transportation Trust Fund, STTF, for transportation purposes.                                                                                                                                                                                                                                                                                                                                              |
| 2 | List the specific sources of receipts to the trust fund and the statutory references for those receipts.                                                             | Section 339.081(1)F.S. references gas tax proceeds as authorized by chapter 83-3, Laws of Florida and such other funds which accrue to the department which are not required to be maintained in separate trust funds. Other receipts include Federal reimbursements; Rental Car Surcharges and Doc Stamp TF allocations transferred to STTF from DOR; Motor Vehicle Fees and other fees transferred to STTF from DHSMV; interest earnings; reimbursement for costs incurred. |
| 3 | If state or federal law requires or prohibits specific expenditures from the trust fund, list the requirements or prohibitions and the statutory citations for them. | Section 206.46(1) F.S. states that the fund shall be used for transportation purposes. State funds can only be used off the State Highway System for federal matching except for county transportation programs in accordance with 339.08, F.S.                                                                                                                                                                                                                               |
| 4 | If any source of receipts is federal, describe any restrictions on those receipts that are inconsistent with how the state does business.                            | There are no inconsistent restrictions.                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| 5 | If this trust fund could be combined with other agency trust funds that accomplish a similar purpose, list those trust funds.                                        | N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| 6 | If General Revenue funding supports the same programs or activities that the trust fund supports, provide a justification.                                           | N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |



For Trust Funds that the agency believes are **Exempt from Termination** answer question 7.

|   |                                                                                                                                                                        |                                                                                                                                                                                                     |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 7 | If this trust fund is exempt from termination according to Article III, section 19(f)(3) of the <i>Florida Constitution</i> , list the specific exemptions that apply. | The State Transportation TF is established under Section 206.46(1) F.S., and Article III, Section 19(f)(3) specifically names the State Transportation Trust Fund as being exempt from termination. |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

For Trust Funds that the agency recommends should be **Re-created/Retained with or without modification** answer questions 8 and 9 and attach draft legislation as requested.

|   |                                                                                                                                                                                                                                                       |  |
|---|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 8 | Give the specific reasons that continuation (re-creation after initial creation or retention after subsequent re-creation) of this trust fund is necessary. List agency activities (based on the activity detail report) supported by the trust fund. |  |
| 9 | Describe any modifications the agency is requesting when this fund is re-created/retained. Attach draft legislation to accomplish the requested change.                                                                                               |  |

For Trust Funds that the agency recommends should be **Terminated** answer question 10 and attach draft legislation as requested.

|    |                                                                                                                                                                                           |  |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 10 | Explain how the current cash balance and all current receipts of the trust fund will be distributed. Attach draft legislation that removes reference to the trust fund from the statutes. |  |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|

For **New** Trust Funds that the agency recommends should be **Created** answer questions 11-13 and attach draft legislation as requested.

|    |                                                                                                                                                                              |  |
|----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 11 | Describe the purpose of the trust fund and identify its revenue sources. Attach draft legislation that meets the requirements of section 215.3207, <i>Florida Statutes</i> . |  |
| 12 | Describe the specific impact on any other trust fund or the General Revenue Fund from the creation of this new trust fund.                                                   |  |
| 13 | Describe the period of time for which this new trust fund will be needed, or the circumstances under which it will no longer be needed.                                      |  |

2586

Right of Way Acquisition / Bridge Construction  
Trust Fund

## **Schedule 1 Narrative for:**

### **Right of Way Acquisition/Bridge Construction Trust Fund - 2586**

#### ***Section I: Detail of Revenues***

Revenue estimate calculations for Fiscal Year 2010-2011 and 2011-2012 are based on the following methodology:

- Interest revenue is forecasted using the following methods:
  - The first month uses the beginning and ending actual cash balances divided by two and multiplied by monthly interest rate.
  - The second month uses the actual beginning and the forecasted ending balance divided by two and multiplied by monthly interest rate.
  - The third month and beyond uses the forecasted beginning and ending balance divided by two and multiplied by monthly interest rate.
  
- Anticipated Revenues – The Department budgets and operates on a commitment basis. The Department’s budget is based on a 5 year work program and 3 year cash forecast. Within the 5 year work program, each year includes projects that will be started that year. Our annual budget is based on the current year column of the work program. However, while the projects are scheduled to be started in the budget year, the projects typically last longer than the budget year. Therefore, we are receiving and committing budget each year that we will spend over multiple years. While we commit the budget in the current year, we may not have the cash available in the current year to cover the entire commitment. This is appropriate since we know we will not be paying out the entire amount in the same year. The purpose of the 3 year cash forecast is to ensure that we have the money in future years for this budget. Therefore, the anticipated revenues represent the funds projected to be available in future years to cover the commitments made in previous years. The amount is calculated on Schedule 1C.
  
- Bond Proceeds – Revenue based upon planned bond sales in each of the fiscal years. Bond sales are planned based upon cash needs to cover ROW bond eligible expenditures. No Right-of-Way bond sales are in fiscal year 2011.

#### ***Section III: Adjustments***

- FCO not included on Schedule 1 - the difference between the amount reserved in the accounting records and the total amount of FCO appropriations/expenditures related to prior appropriation years.

## **5 Percent Trust Fund Calculation**

**Right-of-Way Acquisition/Bridge Construction Trust Fund – 2586**

**Not required for this fund**

**2586**

**Schedule IB-Detail of Unreserved Fund Balances**

**There are no unreserved fund balance amounts reflected on the schedule 1's that are restricted, therefore this section is not applicable in this budget cycle.**

## SCHEDULE IC: RECONCILIATION OF UNRESERVED FUND BALANCE

**Budget Period: 2011 - 2012**

**Department Title:**

Transportation

**Trust Fund Title:**

20 2 586001 Right of Way/Bridge Construction TF

**Budget Entity:**

**LAS/PBS Fund Number:**

2586

|                                                      | Balance as of<br>6/30/2010 |     | SWFS*<br>Adjustments | Adjusted<br>Balance |
|------------------------------------------------------|----------------------------|-----|----------------------|---------------------|
| <b>Chief Financial Officer's (CFO) Cash Balance</b>  | 968,189.57                 | (A) |                      | 968,189.57          |
| ADD: Other Cash (See Instructions)                   |                            | (B) |                      |                     |
| ADD: Investments                                     | 55,105,251.35              | (C) |                      | 55,105,251.35       |
| ADD: Outstanding Accounts Receivable                 | 145,422.21                 | (D) |                      | 145,422.21          |
| ADD: <u>Estimated Cash Forecast for FCO Projects</u> | 39,193,245.90              | (E) |                      | 39,193,245.90       |
| <b>Total Cash plus Accounts Receivable</b>           | <b>95,412,109.03</b>       | (F) | 0.00                 | 95,412,109.03       |
| LESS Allowances for Uncollectibles                   |                            | (G) |                      |                     |
| LESS Approved "A" Certified Forwards                 |                            | (H) |                      |                     |
| Approved "B" Certified Forwards                      | 39,387,272.94              | (H) |                      | 39,387,272.94       |
| Approved "FCO" Certified Forwards                    |                            | (H) |                      |                     |
| LESS: Other Accounts Payable (Nonoperating)          | 5,800.26                   | (I) |                      | 5,800.26            |
| LESS: Other Liabilities - Advanced from STTF         | 56,019,035.83              | (J) |                      | 56,019,035.83       |
| <b>Unreserved Fund Balance, 07/01/10</b>             | <b>0.00</b>                | (K) | 0.00                 | <b>0.00</b> **      |

**Notes:**

\*SWFS = Statewide Financial Statement

\*\* This amount should agree with Line I, Section IV of the Schedule I for the most recent completed fiscal year and Line A for the following year.

**RECONCILIATION: BEGINNING TRIAL BALANCE TO SCHEDULE I and IC**

**Budget Period: 2011 - 2012**

|                             |                                                        |
|-----------------------------|--------------------------------------------------------|
| <b>Department Title:</b>    | <u>Transportation</u>                                  |
| <b>Trust Fund Title:</b>    | <u>Right of Way Acquisition/Bridge Construction TF</u> |
| <b>LAS/PBS Fund Number:</b> | <u>2586</u>                                            |

**BEGINNING TRIAL BALANCE:**

|                                                     |                                            |     |
|-----------------------------------------------------|--------------------------------------------|-----|
| Unreserved Fund Balance Per Trial Balance, 07-01-10 | <input type="text" value="14,249,509.43"/> | (A) |
|-----------------------------------------------------|--------------------------------------------|-----|

|                      |                      |     |
|----------------------|----------------------|-----|
| <b>Add/Subtract:</b> | <input type="text"/> | (B) |
|----------------------|----------------------|-----|

**Other Adjustment(s):**

|                               |                                              |     |
|-------------------------------|----------------------------------------------|-----|
| Fund Balance Reserved for FCO | <input type="text" value="39,193,245.90"/>   | (C) |
| FCO C/F Request               | <input type="text" value="(39,387,272.94)"/> | (C) |
| AP not C/F                    | <input type="text" value="3.19"/>            | (C) |
| AP not C/F                    | <input type="text" value="415.92"/>          | (C) |
| AP not C/F                    | <input type="text" value="194,023.85"/>      | (C) |
| AP not C/F                    | <input type="text" value="2,575,864.58"/>    | (C) |
| AP not C/F                    | <input type="text" value="0.00"/>            | (C) |
| Advance from STTF             | <input type="text" value="(56,019,035.83)"/> |     |

|                                       |                                            |     |
|---------------------------------------|--------------------------------------------|-----|
| Anticipated Revenues for FCO Projects | <input type="text" value="39,193,245.90"/> | (C) |
|---------------------------------------|--------------------------------------------|-----|

|                                          |                                   |     |
|------------------------------------------|-----------------------------------|-----|
| <b>ADJUSTED BEGINNING TRIAL BALANCE:</b> | <input type="text" value="0.00"/> | (D) |
|------------------------------------------|-----------------------------------|-----|

|                                             |                                   |     |
|---------------------------------------------|-----------------------------------|-----|
| <b>UNRESERVED FUND BALANCE, SCHEDULE IC</b> | <input type="text" value="0.00"/> | (E) |
|---------------------------------------------|-----------------------------------|-----|

|                    |                                   |      |
|--------------------|-----------------------------------|------|
| <b>DIFFERENCE:</b> | <input type="text" value="0.00"/> | (F)* |
|--------------------|-----------------------------------|------|

**\*SHOULD EQUAL ZERO.**

**SCHEDULE ID: REQUEST FOR CREATION, RE-CREATION, RETENTION, TERMINATION,  
OR MODIFICATION OF A TRUST FUND**

|                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|-----------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Department:                                                     | Transportation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| Fund Name:                                                      | Right-of-Way Acquisition & Bridge Const TF                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| FLAIR #.*                                                       | 552586                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| Name<br>Position<br>Telephone No. of Person<br>Completing Form: | Sara Liro<br>Revenue Management Administrator<br>(850) 414-4865                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| <b>Type of Action Requested :</b><br><br>(Check one)            | <input checked="" type="checkbox"/> <b>Exempt From Termination</b> <input type="checkbox"/> <b>Re-create without modification</b><br><span style="margin-left: 400px;">(last action was initial create)</span><br><br><input type="checkbox"/> <b>Retain without modification</b> <input type="checkbox"/> <b>Re-create/Retain with modification</b><br><span style="margin-left: 400px;">(last action was re-create)</span><br><br><input type="checkbox"/> <b>Create New Fund</b> <input type="checkbox"/> <b>Terminate Existing Fund</b> |

\* Enter ONLY the six-digit code. Not applicable for requests to **Create** trust fund.

For **All Trust Funds** scheduled for review this year, answer questions 1-6.

|   |                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                  |
|---|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1 | Cite the statutory authority for the trust fund (Florida Statutes or, if none, Laws of Florida). Give the statutory purpose, if stated, for the trust fund.          | This fund was created in accordance with 215.605 F.S. for the purpose of acquiring real property or the rights to real property for state roads as defined by law, or to finance or refinance the cost of state bridge construction, and purposes incidental to such property acquisition or bridge construction.                                |
| 2 | List the specific sources of receipts to the trust fund and the statutory references for those receipts.                                                             | Funds are transferred from the State Transportation TF into this trust fund for ROW acquisition, bridge construction and debt service payments. The STTF is required to transfer up to 7% of revenues under Section 206.46(2), F.S., to meet debt service. Bonds are sold to reimburse the State Transportation TF for appropriate expenditures. |
| 3 | If state or federal law requires or prohibits specific expenditures from the trust fund, list the requirements or prohibitions and the statutory citations for them. | Expenditures from this Trust Fund are made in accordance with Statute.                                                                                                                                                                                                                                                                           |
| 4 | If any source of receipts is federal, describe any restrictions on those receipts that are inconsistent with how the state does business.                            | N/A                                                                                                                                                                                                                                                                                                                                              |
| 5 | If this trust fund could be combined with other agency trust funds that accomplish a similar purpose, list those trust funds.                                        | N/A                                                                                                                                                                                                                                                                                                                                              |
| 6 | If General Revenue funding supports the same programs or activities that the trust fund supports, provide a justification.                                           | N/A                                                                                                                                                                                                                                                                                                                                              |



For Trust Funds that the agency believes are **Exempt from Termination** answer question 7.

|   |                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                           |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 7 | If this trust fund is exempt from termination according to Article III, section 19(f)(3) of the <i>Florida Constitution</i> , list the specific exemptions that apply. | This fund was created under 215.605(2) F.S., and the State Bond Act in 215.57-215.83, F.S., and as such is described in Article III, Section 19(f)(3) of the Constitution - "...trust funds established for bond covenants, indentures, or resolutions, whose revenues are legally pledged...to meet...financial requirements of any debt obligations..." |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

For Trust Funds that the agency recommends should be **Re-created/Retained with or without modification** answer questions 8 and 9 and attach draft legislation as requested.

|   |                                                                                                                                                                                                                                                       |  |
|---|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 8 | Give the specific reasons that continuation (re-creation after initial creation or retention after subsequent re-creation) of this trust fund is necessary. List agency activities (based on the activity detail report) supported by the trust fund. |  |
| 9 | Describe any modifications the agency is requesting when this fund is re-created/retained. Attach draft legislation to accomplish the requested change.                                                                                               |  |

For Trust Funds that the agency recommends should be **Terminated** answer question 10 and attach draft legislation as requested.

|    |                                                                                                                                                                                           |  |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 10 | Explain how the current cash balance and all current receipts of the trust fund will be distributed. Attach draft legislation that removes reference to the trust fund from the statutes. |  |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|

For **New** Trust Funds that the agency recommends should be **Created** answer questions 11-13 and attach draft legislation as requested.

|    |                                                                                                                                                                              |  |
|----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 11 | Describe the purpose of the trust fund and identify its revenue sources. Attach draft legislation that meets the requirements of section 215.3207, <i>Florida Statutes</i> . |  |
| 12 | Describe the specific impact on any other trust fund or the General Revenue Fund from the creation of this new trust fund.                                                   |  |
| 13 | Describe the period of time for which this new trust fund will be needed, or the circumstances under which it will no longer be needed.                                      |  |

2719

Federal Law Enforcement Trust Fund

**Schedule 1 Narrative for:**

**Federal Equity Sharing/Law Enforcement Trust Fund - 2719**

*Section 1: Detail of Revenues*

- Seizures – Estimated based on past years and current cases that are being investigated.
- Interest - Interest revenue is calculated on the monthly cash balance in the trust fund.
- Revenue estimate calculations are based on the following:
  - Trust Fund established in Florida Statutes 339.082 and the revenues are deposited into the trust fund as a result of federal criminal, civil or administrative forfeiture proceedings and receipts and revenues received from federal asset – sharing programs. Projections are based upon historical receipts from the Federal Government.

## **5 Percent Trust Fund Calculation**

**Federal Equitable Sharing/Law Enforcement Trust Fund – 2719**

**Not required for this fund**

**SCHEDULE 1B: DETAIL OF UNRESERVED FUND BALANCES**

**Budget Period: 2011 - 2012**

**Department:** Department of Transportation  
**Budget Entity:** 55000000  
**Fund:** Federal Equitable Sharing Trust Fund 2719

| (1)                               | (2)              | (3)              | (4)            |
|-----------------------------------|------------------|------------------|----------------|
|                                   | ACTUAL           | ESTIMATED        | REQUEST        |
| <u>FUNDING SOURCE - STATE</u>     | FY 2009 - 2010   | FY 2010 - 2011   | FY 2011 - 2012 |
|                                   |                  |                  |                |
|                                   |                  |                  |                |
|                                   |                  |                  |                |
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|                                   |                  |                  |                |
|                                   |                  |                  |                |
| <u>FUNDING SOURCE - NON-STATE</u> |                  |                  |                |
| U.S. Treasury Seizures            | 1,092,501        | 1,269,369        | 634,927        |
|                                   |                  |                  |                |
|                                   |                  |                  |                |
|                                   |                  |                  |                |
|                                   |                  |                  |                |
|                                   |                  |                  |                |
|                                   |                  |                  |                |
|                                   |                  |                  |                |
|                                   |                  |                  |                |
|                                   |                  |                  |                |
| <b>TOTALS*</b>                    | <b>1,092,501</b> | <b>1,269,369</b> | <b>634,927</b> |

*\*Must agree to amounts on Schedule I, Section IV, Line I.*

## SCHEDULE IC: RECONCILIATION OF UNRESERVED FUND BALANCE

**Budget Period: 2011 - 2012**

**Department Title:**

**Transportation**

**Trust Fund Title:**

**20 2 719003 Federal Equitable Sharing/Law Enforcement**

**Budget Entity:**

**LAS/PBS Fund Number:**

2719

|                                                     | Balance as of<br>6/30/2010 |     | SWFS*<br>Adjustments |  | Adjusted<br>Balance            |
|-----------------------------------------------------|----------------------------|-----|----------------------|--|--------------------------------|
| <b>Chief Financial Officer's (CFO) Cash Balance</b> | <input type="text"/>       | (A) | <input type="text"/> |  | <input type="text" value="0"/> |
| ADD: Other Cash (See Instructions)                  | <input type="text"/>       | (B) | <input type="text"/> |  | <input type="text" value="0"/> |
| ADD: Investments                                    | 1,163,086.46               | (C) | <input type="text"/> |  | 1,163,086.46                   |
| ADD: Outstanding Accounts Receivable                | 2,852.58                   | (D) | <input type="text"/> |  | 2,852.58                       |
| ADD: _____                                          | <input type="text"/>       | (E) | <input type="text"/> |  | <input type="text" value="0"/> |
| <b>Total Cash plus Accounts Receivable</b>          | <b>1,165,939.04</b>        | (F) | <input type="text"/> |  | 1,165,939.04                   |
| LESS: Allowances for Uncollectibles                 | <input type="text"/>       | (G) | <input type="text"/> |  | <input type="text" value="0"/> |
| LESS: Approved "A" Certified Forwards               | <input type="text"/>       | (H) | <input type="text"/> |  | <input type="text" value="0"/> |
| Approved "B" Certified Forwards                     | 73,324.71                  | (H) | <input type="text"/> |  | 73,324.71                      |
| Approved "FCO" Certified Forwards                   | <input type="text"/>       | (H) | <input type="text"/> |  | <input type="text" value="0"/> |
| LESS: Other Accounts Payable (Nonoperating)         | 113.77                     | (I) | <input type="text"/> |  | 113.77                         |
| LESS: _____                                         | <input type="text"/>       | (J) | <input type="text"/> |  | <input type="text" value="0"/> |
| <b>Unreserved Fund Balance, 07/01/10</b>            | <b>1,092,500.56</b>        | (K) | <input type="text"/> |  | 1,092,500.56 **                |

**Notes:**

\*SWFS = Statewide Financial Statement

\*\* This amount should agree with Line I, Section IV of the Schedule I for the most recent completed fiscal year and Line A for the following year.

**RECONCILIATION: BEGINNING TRIAL BALANCE TO SCHEDULE I and IC**

**Budget Period: 2011 - 2012**

**Department Title:** Transportation  
**Trust Fund Title:** Federal Equitable Sharing/Law Enforcement TF  
**LAS/PBS Fund Number:** 2719

**BEGINNING TRIAL BALANCE:**

Unreserved Fund Balance Per Trial Balance, 07-01-10  (A)

**Add/Subtract:**

Accounts Payable not CF  (B)

**Other Adjustment(s):**

(C)

(C)

**ADJUSTED BEGINNING TRIAL BALANCE:**  (D)

**UNRESERVED FUND BALANCE, SCHEDULE IC**  (E)

**DIFFERENCE:**  (F)\*

**\*SHOULD EQUAL ZERO.**

**SCHEDULE ID: REQUEST FOR CREATION, RE-CREATION, RETENTION, TERMINATION,  
OR MODIFICATION OF A TRUST FUND**

|                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
|-----------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Department:                                                     | Transportation                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| Fund Name:                                                      | Federal Law Enforcement TF                                                                                                                                                                                                                                                                                                                                                                                                                          |
| FLAIR #:*                                                       | 552719                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| Name<br>Position<br>Telephone No. of Person<br>Completing Form: | Sara Liro<br>Revenue Management Administrator<br>(850) 414-4865                                                                                                                                                                                                                                                                                                                                                                                     |
| <b>Type of Action Requested :</b><br><br>(Check one)            | <input type="checkbox"/> <b>Exempt From Termination</b> <input type="checkbox"/> <b>Re-create without modification (last action was initial create)</b><br><br><input checked="" type="checkbox"/> <b>Retain without modification</b> <input type="checkbox"/> <b>Re-create/Retain with modification (last action was re-create)</b><br><br><input type="checkbox"/> <b>Create New Fund</b> <input type="checkbox"/> <b>Terminate Existing Fund</b> |

\* Enter ONLY the six-digit code. Not applicable for requests to **Create** trust fund.

For All Trust Funds scheduled for review this year, answer questions 1-6.

|   |                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
|---|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1 | Cite the statutory authority for the trust fund (Florida Statutes or, if none, Laws of Florida). Give the statutory purpose, if stated, for the trust fund.          | This fund is created under Section 339.082(1), F.S., to receive receipts and revenues received as a result of federal criminal, administrative, or civil forfeiture proceedings and receipts and revenues received from federal asset-sharing programs. The funds are to be used for purposes of drug interdiction (Section 932.7055(5)(k)).                                                                                                                           |
| 2 | List the specific sources of receipts to the trust fund and the statutory references for those receipts.                                                             | Section 339.082(1)F.S., - to receive receipts and revenues received as a result of federal criminal, administrative, or civil forfeiture proceedings and receipts and revenues received from federal asset-sharing programs. Also, Section 932.7055(5)(k) - proceeds accrued pursuant to the provisions of the Florida Contraband Forfeiture Act.                                                                                                                      |
| 3 | If state or federal law requires or prohibits specific expenditures from the trust fund, list the requirements or prohibitions and the statutory citations for them. | Section 932.7055(3), F.S., states that if the forfeited property is subject to a lien the agency shall sell the property and use the proceeds to satisfy the lien; proceeds from the sale shall next pay cost incurred by the seizing agency in connection with storage, maintenance, security, and forfeiture of such property, and next it shall be used to pay court costs. Section 932.7055(5)(k), F.S., refers to use of funds for purposes of drug interdiction. |
| 4 | If any source of receipts is federal, describe any restrictions on those receipts that are inconsistent with how the state does business.                            | There are no restrictions on the receipts that are inconsistent with how the state does business.                                                                                                                                                                                                                                                                                                                                                                      |
| 5 | If this trust fund could be combined with other agency trust funds that accomplish a similar purpose, list those trust funds.                                        | N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 6 | If General Revenue funding supports the same programs or activities that the trust fund supports, provide a justification.                                           | N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |



For Trust Funds that the agency believes are **Exempt from Termination** answer question 7.

|   |                                                                                                                                                                        |  |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 7 | If this trust fund is exempt from termination according to Article III, section 19(f)(3) of the <i>Florida Constitution</i> , list the specific exemptions that apply. |  |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|

For Trust Funds that the agency recommends should be **Re-created/Retained with or without modification** answer questions 8 and 9 and attach draft legislation as requested.

|   |                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                  |
|---|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 8 | Give the specific reasons that continuation (re-creation after initial creation or retention after subsequent re-creation) of this trust fund is necessary. List agency activities (based on the activity detail report) supported by the trust fund. | This fund assists our Motor Carrier Compliance officers to restrict the flow of drugs that come into this country through the State of Florida. This is accomplished through drug interdiction efforts, and these funds enable the Department to increase it's efforts in this critical area. The Long Range Program Plan, LRPP, makes reference to these activities under the linkage to Governor's priorities. |
| 9 | Describe any modifications the agency is requesting when this fund is re-created/retained. Attach draft legislation to accomplish the requested change.                                                                                               | N/A                                                                                                                                                                                                                                                                                                                                                                                                              |

For Trust Funds that the agency recommends should be **Terminated** answer question 10 and attach draft legislation as requested.

|    |                                                                                                                                                                                           |  |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 10 | Explain how the current cash balance and all current receipts of the trust fund will be distributed. Attach draft legislation that removes reference to the trust fund from the statutes. |  |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|

For **New** Trust Funds that the agency recommends should be **Created** answer questions 11-13 and attach draft legislation as requested.

|    |                                                                                                                                                                              |  |
|----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 11 | Describe the purpose of the trust fund and identify its revenue sources. Attach draft legislation that meets the requirements of section 215.3207, <i>Florida Statutes</i> . |  |
| 12 | Describe the specific impact on any other trust fund or the General Revenue Fund from the creation of this new trust fund.                                                   |  |
| 13 | Describe the period of time for which this new trust fund will be needed, or the circumstances under which it will no longer be needed.                                      |  |

2729

## Toll Facility Revolving Trust Fund

**Schedule 1 Narrative for:**

**Toll Facilities Revolving Trust Fund – 2729**

***Section 1: Detail of Revenues***

- Repayment of loans/Transfers from STTF for Loan Repayment – Based on the repayment schedules for outstanding loans. The repayment schedule is provided by the borrower of the various outstanding loans. This required schedule includes repayment of principal and for the interest earned on borrowed funds deposited in interest bearing accounts. For fiscal year 2010-2011, repayment schedules are currently projected at approximately \$4.7 million. In fiscal year 2011-2012, repayment schedules are currently projected to be approximately \$4.9 million. As required by Florida Statute 338.251(4) FS, repayments must start in the seventh year (from award date) and be fully repaid in the twelfth year.
- Current projections have interest earnings for fiscal year 2010-2011 at \$275 thousand and interest earnings for fiscal year 2011-2012 at \$932 thousand. Actual interest earned for fiscal year 2009-2010 was \$731 thousand. Interest earning potential is highly dependent on the cash balance and the prevailing interest rate actually received.

**5 Percent Trust Fund Calculation**  
**Budget Year 2011-12**  
**Toll Facility Revolving Trust Fund - 2729**

|                                                         |                       |
|---------------------------------------------------------|-----------------------|
| Total Revenues for Fiscal Year 10/11                    | 5,132,057             |
|                                                         | <hr/>                 |
| Total Revenue subject to 5% calculation                 | <u>5,132,057</u>      |
| Multiplied by 5%                                        | X 5%                  |
| Total 5% Reserve for Toll Facility Revolving Trust Fund | <u><u>256,603</u></u> |

**SCHEDULE 1B: DETAIL OF UNRESERVED FUND BALANCES**

**Budget Period: 2011 - 2012**

**Department:** Department of Transportation  
**Budget Entity:** 55000000  
**Fund:** Toll Facilities Revolving TF 2729

| (1)                               | (2)               | (3)               | (4)               |
|-----------------------------------|-------------------|-------------------|-------------------|
|                                   | ACTUAL            | ESTIMATED         | REQUEST           |
| <u>FUNDING SOURCE - STATE</u>     | FY 2009 - 2010    | FY 2010 - 2011    | FY 2011 - 2012    |
|                                   |                   |                   |                   |
|                                   |                   |                   |                   |
|                                   |                   |                   |                   |
|                                   |                   |                   |                   |
|                                   |                   |                   |                   |
|                                   |                   |                   |                   |
|                                   |                   |                   |                   |
|                                   |                   |                   |                   |
|                                   |                   |                   |                   |
|                                   |                   |                   |                   |
|                                   |                   |                   |                   |
| <u>FUNDING SOURCE - NON-STATE</u> |                   |                   |                   |
| Repayment of Loans                | 17,654,588        | 16,252,657        | 16,408,238        |
|                                   |                   |                   |                   |
|                                   |                   |                   |                   |
|                                   |                   |                   |                   |
|                                   |                   |                   |                   |
|                                   |                   |                   |                   |
|                                   |                   |                   |                   |
|                                   |                   |                   |                   |
| <b>TOTALS*</b>                    | <b>17,654,588</b> | <b>16,252,657</b> | <b>16,408,238</b> |

**\*Must agree to amounts on Schedule I, Section IV, Line I.**

## SCHEDULE IC: RECONCILIATION OF UNRESERVED FUND BALANCE

Budget Period: 2011 - 2012

|                             |                                          |
|-----------------------------|------------------------------------------|
| <b>Department Title:</b>    | Transportation                           |
| <b>Trust Fund Title:</b>    | 20 2 729001 Toll Facilities Revolving TF |
| <b>Budget Entity:</b>       |                                          |
| <b>LAS/PBS Fund Number:</b> | 2729                                     |

|                                                     | Balance as of<br>6/30/2010 |     | SWFS*<br>Adjustments |  | Adjusted<br>Balance  |
|-----------------------------------------------------|----------------------------|-----|----------------------|--|----------------------|
| <b>Chief Financial Officer's (CFO) Cash Balance</b> | <input type="text"/>       | (A) | <input type="text"/> |  | <input type="text"/> |
| ADD: Other Cash (See Instructions)                  | <input type="text"/>       | (B) | <input type="text"/> |  | <input type="text"/> |
| ADD: Investments                                    | 13,258,454.49              | (C) | <input type="text"/> |  | 13,258,454.49        |
| ADD: Outstanding Accounts Receivable                | 4,897,450.73               | (D) | <input type="text"/> |  | 4,897,450.73         |
| ADD: _____                                          | <input type="text"/>       | (E) | <input type="text"/> |  | <input type="text"/> |
| <b>Total Cash plus Accounts Receivable</b>          | <b>18,155,905.22</b>       | (F) | <input type="text"/> |  | 18,155,905.22        |
| LESS: Allowances for Uncollectibles                 | <input type="text"/>       | (G) | <input type="text"/> |  | <input type="text"/> |
| LESS: Approved "A" Certified Forwards               | <input type="text"/>       | (H) | <input type="text"/> |  | <input type="text"/> |
| Approved "B" Certified Forwards                     | <input type="text"/>       | (H) | <input type="text"/> |  | <input type="text"/> |
| Approved "FCO" Certified Forwards                   | 500,000.00                 | (H) | <input type="text"/> |  | 500,000.00           |
| LESS: Other Accounts Payable (Nonoperating)         | 1,317.61                   | (I) | <input type="text"/> |  | 1,317.61             |
| LESS: _____                                         | <input type="text"/>       | (J) | <input type="text"/> |  | <input type="text"/> |
| <b>Unreserved Fund Balance, 07/01/10</b>            | <b>17,654,587.61</b>       | (K) | <input type="text"/> |  | 17,654,587.61 **     |

**Notes:**

\*SWFS = Statewide Financial Statement

\*\* This amount should agree with Line I, Section IV of the Schedule I for the most recent completed fiscal year and Line A for the following year.

**RECONCILIATION: BEGINNING TRIAL BALANCE TO SCHEDULE I and IC**

**Budget Period: 2011 - 2012**

|                             |                                     |
|-----------------------------|-------------------------------------|
| <b>Department Title:</b>    | <u>Transportation</u>               |
| <b>Trust Fund Title:</b>    | <u>Toll Facilities Revolving TF</u> |
| <b>LAS/PBS Fund Number:</b> | <u>2729</u>                         |

**BEGINNING TRIAL BALANCE:**

Unreserved Fund Balance Per Trial Balance, 07-01-10 17,654,587.61 (A)

**Add/Subtract:**

[ ] (B)

**Other Adjustment(s):**

|                                                   |                     |
|---------------------------------------------------|---------------------|
| Long Term Receivables                             | (29,340,346.68) (C) |
| Fund Balance Reserved for Long Term Receivables   | 19,459,706.46 (C)   |
| Long Term Interest Receivable                     | (1,137,995.52) (C)  |
| Advances                                          | (8,999,999.00) (C)  |
| Fund Balance Reserved for Advances to Other Funds | 8,999,999.00 (C)    |
| Long Term Deferred Revenue                        | 1,137,995.52 (C)    |
| Allowance for Uncollectibles                      | 9,880,640.22 (C)    |

**ADJUSTED BEGINNING TRIAL BALANCE:** 17,654,587.61 (D)

**UNRESERVED FUND BALANCE, SCHEDULE IC** 17,654,587.61 (E)

**DIFFERENCE:** 0.00 (F)\*

**\*SHOULD EQUAL ZERO.**

**SCHEDULE ID: REQUEST FOR CREATION, RE-CREATION, RETENTION, TERMINATION,  
OR MODIFICATION OF A TRUST FUND**

|                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
|-----------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Department:                                                     | Transportation                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| Fund Name:                                                      | Toll Facilities Revolving TF                                                                                                                                                                                                                                                                                                                                                                                                                        |
| FLAIR #:*                                                       | 552729                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| Name<br>Position<br>Telephone No. of Person<br>Completing Form: | Sara Liro<br>Revenue Management Administrator<br>(850) 414-4865                                                                                                                                                                                                                                                                                                                                                                                     |
| <b>Type of Action Requested :</b><br><br>(Check one)            | <input type="checkbox"/> <b>Exempt From Termination</b> <input type="checkbox"/> <b>Re-create without modification (last action was initial create)</b><br><br><input checked="" type="checkbox"/> <b>Retain without modification</b> <input type="checkbox"/> <b>Re-create/Retain with modification (last action was re-create)</b><br><br><input type="checkbox"/> <b>Create New Fund</b> <input type="checkbox"/> <b>Terminate Existing Fund</b> |

\* Enter ONLY the six-digit code. Not applicable for requests to **Create** trust fund.

For All Trust Funds scheduled for review this year, answer questions 1-6.

|   |                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
|---|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1 | Cite the statutory authority for the trust fund (Florida Statutes or, if none, Laws of Florida). Give the statutory purpose, if stated, for the trust fund.          | This fund was created under 338.251 F.S., "...for the purpose of encouraging the development and enhancing the financial feasibility of revenue-producing road projects undertaken by local governmental entities in a county or combination of contiguous counties and the turnpike enterprise."                                                                                                                                                                      |
| 2 | List the specific sources of receipts to the trust fund and the statutory references for those receipts.                                                             | Funds were originally transferred from the State Transportation Trust Fund in accordance with Sections 206.46(1) and 338.251(1), F.S. Receipts consist of repayments of the loans and interest payments and are used to make additional loans in accordance with Section 338.251(10), F.S.                                                                                                                                                                             |
| 3 | If state or federal law requires or prohibits specific expenditures from the trust fund, list the requirements or prohibitions and the statutory citations for them. | Section 338.251(1) - the funds are "...for preliminary engineering, traffic and revenue studies, environmental impact studies, financial advisory services, engineering design, right-of-way preparation, other appropriate project-related professional services, and advanced right-of-way acquisition to expressway authorities, the turnpike enterprise, counties, or other local governmental entities that desire to undertake revenue-producing road projects." |
| 4 | If any source of receipts is federal, describe any restrictions on those receipts that are inconsistent with how the state does business.                            | N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 5 | If this trust fund could be combined with other agency trust funds that accomplish a similar purpose, list those trust funds.                                        | N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 6 | If General Revenue funding supports the same programs or activities that the trust fund supports, provide a justification.                                           | N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |



For Trust Funds that the agency believes are **Exempt from Termination** answer question 7.

|   |                                                                                                                                                                        |  |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 7 | If this trust fund is exempt from termination according to Article III, section 19(f)(3) of the <i>Florida Constitution</i> , list the specific exemptions that apply. |  |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|

For Trust Funds that the agency recommends should be **Re-created/Retained with or without modification** answer questions 8 and 9 and attach draft legislation as requested.

|   |                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                  |
|---|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 8 | Give the specific reasons that continuation (re-creation after initial creation or retention after subsequent re-creation) of this trust fund is necessary. List agency activities (based on the activity detail report) supported by the trust fund. | This is a fully self-supporting revolving fund and successfully fulfills its legislated purpose of assisting expressway authorities, counties and other local governmental entities undertaking revenue-producing road projects. |
| 9 | Describe any modifications the agency is requesting when this fund is re-created/retained. Attach draft legislation to accomplish the requested change.                                                                                               | N/A                                                                                                                                                                                                                              |

For Trust Funds that the agency recommends should be **Terminated** answer question 10 and attach draft legislation as requested.

|    |                                                                                                                                                                                           |  |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 10 | Explain how the current cash balance and all current receipts of the trust fund will be distributed. Attach draft legislation that removes reference to the trust fund from the statutes. |  |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|

For **New** Trust Funds that the agency recommends should be **Created** answer questions 11-13 and attach draft legislation as requested.

|    |                                                                                                                                                                              |  |
|----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 11 | Describe the purpose of the trust fund and identify its revenue sources. Attach draft legislation that meets the requirements of section 215.3207, <i>Florida Statutes</i> . |  |
| 12 | Describe the specific impact on any other trust fund or the General Revenue Fund from the creation of this new trust fund.                                                   |  |
| 13 | Describe the period of time for which this new trust fund will be needed, or the circumstances under which it will no longer be needed.                                      |  |

2731

**Transportation Disadvantaged Trust Fund**

## **Schedule 1 Narrative for:**

### **State Transportation Trust Fund - 2731**

#### ***Section I: Detail of Revenues***

Revenue estimate calculations for Fiscal Year 2010-2011 and Fiscal Year 2011-2012 are based on the following methodology:

- Transfers from Highway Safety and Motor Vehicles include revenue estimates from the following sources: (1) the \$1.50 additional fee on the initial or renewal registration of private autos and light trucks (s.320.02(9), F.S.); (2) the \$5.00 portion of the fee charged for granting exemption parking permits to persons with temporary disabilities (s. 320.0848(3), F.S.); and (3) the \$1.00 donation that is permitted when a motor vehicle is registered (s.320.02(15), F.S.).
- Transfers from STTF - Florida Statutes Chapter 206 requires a minimum of 15% of revenues deposited in the State Transportation Trust Fund be committed for public transportation projects.
- \$6 million transfer for other transportation projects based on Executive Policies within the Department. In previous year, there was a transfer of \$1.4 from the State Transportation Trust Fund for rural capital equipment purchases. With the anticipated reductions in revenues for the STTF, the Department has suspended this action.
- \$65.9 million based on the negotiated contract with the Agency for Health Care Administration to provide Non-Emergency Medicaid Transportation services.
- Interest revenue is calculated on the monthly cash balance in the trust fund. It is projected that the average monthly cash balance will yield \$426,190 of interest in 2011-2012. We are maximizing the use of the available fund balance in the trust fund, leaving less cash for investment. The resulting lower monthly cash balance will generate less interest revenue

#### ***Section III: Adjustments***

- Adjustment to Line A - \$3,159,223 A/P not certified forward from the prior year.
- Adjustment to Fund Balance Reserves - Reclassification of equity from Fund Balance Reserved for Encumbrances to Unreserved Fund Balance in the amount of \$6,196,467.09.
- Prior Year Operating Reversions are September operating reversions from the prior year.
- Financial Statement Adjustments - A post-closing adjustment for transfers from AHCA 68-20-2-474001 (\$8,177,423).

## **5 Percent Trust Fund Calculation**

**Transportation Disadvantage Trust Fund – 2731**

**Not required for this fund**

**Executive Office of the Governor  
Inter-Agency Transfers Reported on Schedule I**

**Agency Name** Transportation

List all transfers totaling \$100,000 or more. Provide the applicable agency name and fund number, the transfer category used, and the amount of the transfer for each of the fiscal years indicated, as well as the name and phone number of the person at the other agency who confirmed the amount of the transfer. If transferred in/out to the General Revenue Fund, do not include on this form; however, on Schedule I be sure to include "To GR" or "From GR" in the description field.

**Fund Name and Number :** Transportation Disadvantaged TF 2731

| <b>Transfers In<br/>(Provide Agency and Fund Number Received From)</b>                                 | <b>Transfer Category</b> | <b>Amount<br/>FY 09-10 (A01)</b> | <b>Amount<br/>FY 10-11 (A02)</b> | <b>Amount<br/>FY 11-12 (A03)</b> | <b>Confirmed By</b>                     |
|--------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------------|
| AHCA 68-10-1-000298                                                                                    | 001500                   | 18,523,498                       | 0                                | 0                                | No response from AHCA<br>as of 10.13.10 |
| AHCA 68-20-2-474001                                                                                    | 001500                   | 38,718,461                       | 65,969,125                       | 65,969,125                       | No response from AHCA<br>as of 10.13.10 |
| HSMV 76-20-2-488001                                                                                    | 001500                   | 22,030,557                       | 20,123,659                       | 24,889,400                       | Stuart Strickland<br>617-3159           |
|                                                                                                        |                          |                                  |                                  |                                  |                                         |
|                                                                                                        |                          |                                  |                                  |                                  |                                         |
|                                                                                                        |                          |                                  |                                  |                                  |                                         |
|                                                                                                        |                          |                                  |                                  |                                  |                                         |
|                                                                                                        |                          |                                  |                                  |                                  |                                         |
|                                                                                                        |                          |                                  |                                  |                                  |                                         |
|                                                                                                        |                          |                                  |                                  |                                  |                                         |
|                                                                                                        |                          |                                  |                                  |                                  |                                         |
|                                                                                                        |                          |                                  |                                  |                                  |                                         |
|                                                                                                        |                          |                                  |                                  |                                  |                                         |
|                                                                                                        |                          |                                  |                                  |                                  |                                         |
| <b>Transfers Out (Operating and Non-Operating)<br/>(Provide Agency and Fund Number Transferred To)</b> | <b>Transfer Category</b> |                                  |                                  |                                  |                                         |
|                                                                                                        |                          |                                  |                                  |                                  |                                         |
|                                                                                                        |                          |                                  |                                  |                                  |                                         |
|                                                                                                        |                          |                                  |                                  |                                  |                                         |
|                                                                                                        |                          |                                  |                                  |                                  |                                         |
|                                                                                                        |                          |                                  |                                  |                                  |                                         |
|                                                                                                        |                          |                                  |                                  |                                  |                                         |
|                                                                                                        |                          |                                  |                                  |                                  |                                         |
|                                                                                                        |                          |                                  |                                  |                                  |                                         |

**SCHEDULE 1B: DETAIL OF UNRESERVED FUND BALANCES**

**Budget Period: 2011 - 2012**

**Department:** Department of Transportation  
**Budget Entity:** 55000000  
**Fund:** Transportation Disadvantaged TF 2731

| (1)                                        | (2)              | (3)              | (4)               |
|--------------------------------------------|------------------|------------------|-------------------|
|                                            | ACTUAL           | ESTIMATED        | REQUEST           |
| <u>FUNDING SOURCE - STATE</u>              | FY 2009 - 2010   | FY 2010 - 2011   | FY 2011 - 2012    |
| Transfers from STTF - Block/Capital Grants | 7,520,993        | 7,696,759        | 11,804,031        |
|                                            |                  |                  |                   |
|                                            |                  |                  |                   |
|                                            |                  |                  |                   |
|                                            |                  |                  |                   |
|                                            |                  |                  |                   |
|                                            |                  |                  |                   |
|                                            |                  |                  |                   |
|                                            |                  |                  |                   |
|                                            |                  |                  |                   |
| <u>FUNDING SOURCE - NON-STATE</u>          |                  |                  |                   |
|                                            |                  |                  |                   |
|                                            |                  |                  |                   |
|                                            |                  |                  |                   |
|                                            |                  |                  |                   |
|                                            |                  |                  |                   |
|                                            |                  |                  |                   |
|                                            |                  |                  |                   |
|                                            |                  |                  |                   |
| <b>TOTALS*</b>                             | <b>7,520,993</b> | <b>7,696,759</b> | <b>11,804,031</b> |

**\*Must agree to amounts on Schedule I, Section IV, Line I.**

# SCHEDULE IC: RECONCILIATION OF UNRESERVED FUND BALANCE

Budget Period: 2011 - 2012

|                             |                                             |
|-----------------------------|---------------------------------------------|
| <b>Department Title:</b>    | Transportation                              |
| <b>Trust Fund Title:</b>    | 20 2 731001 Transportation Disadvantaged TF |
| <b>Budget Entity:</b>       |                                             |
| <b>LAS/PBS Fund Number:</b> | 2731                                        |

|                                                     | Balance as of<br>6/30/2010 |     | SWFS*<br>Adjustments | Adjusted<br>Balance |
|-----------------------------------------------------|----------------------------|-----|----------------------|---------------------|
| <b>Chief Financial Officer's (CFO) Cash Balance</b> | 438,833.15                 | (A) |                      | 438,833.15          |
| ADD: Other Cash (See Instructions)                  |                            | (B) |                      |                     |
| ADD: Investments                                    | 14,525,988.08              | (C) |                      | 14,525,988.08       |
| ADD: Outstanding Accounts Receivable                | 2,860,060.36               | (D) | 8,177,422.75         | 11,037,483.11       |
| ADD: _____                                          |                            | (E) |                      |                     |
| <b>Total Cash plus Accounts Receivable</b>          | <b>17,824,881.59</b>       | (F) | 8,177,422.75         | 26,002,304.34       |
| LESS Allowances for Uncollectibles                  |                            | (G) |                      |                     |
| LESS Approved "A" Certified Forwards                | 11,658.87                  | (H) |                      | 11,658.87           |
| Approved "B" Certified Forwards                     | 18,134,657.25              | (H) |                      | 18,134,657.25       |
| Approved "FCO" Certified Forwards                   | 333,077.44                 | (H) |                      | 333,077.44          |
| LESS: Other Accounts Payable (Nonoperating)         | 1,917.25                   | (I) |                      | 1,917.25            |
| LESS: _____                                         |                            | (J) |                      |                     |
| <b>Unreserved Fund Balance, 07/01/10</b>            | <b>(656,429.22)</b>        | (K) | 16,354,845.50        | 7,520,993.53 **     |

**Notes:**

\*SWFS = Statewide Financial Statement

\*\* This amount should agree with Line I, Section IV of the Schedule I for the most recent completed fiscal year and Line A for the following year.

**RECONCILIATION: BEGINNING TRIAL BALANCE TO SCHEDULE I and IC**

**Budget Period: 2011 - 2012**

**Department Title:** Transportation  
**Trust Fund Title:** Transportation Disadvantaged Trust Fund  
**LAS/PBS Fund Number:** 2731

**BEGINNING TRIAL BALANCE:**

Unreserved Fund Balance Per Trial Balance, 07-01-10 0.00 (A)

**Add/Subtract:**

Adjust Fund balance reserve for encumbrances (4,967,402.77) (B)

**Other Adjustment(s):**

|                                          |                    |
|------------------------------------------|--------------------|
| AP not C/F: URP                          | 6,992,896.65 (C)   |
| AP not C/F: Due to Other Depts URP       | 0.00 (C)           |
| AP not C/F: Compensated Absences         | 10,560.38 (C)      |
| FCO - C/F not reserved                   | (333,077.44) (C)   |
| Encumbrances - C/F not reserved          | (2,359,406.04) (C) |
| Financial Statement Adjustment B55000012 | 8,177,422.75 (C)   |

**ADJUSTED BEGINNING TRIAL BALANCE:** 7,520,993.53 (D)

**UNRESERVED FUND BALANCE, SCHEDULE IC** 7,520,993.53 (E)

**DIFFERENCE:** 0.00 (F)\*

**\*SHOULD EQUAL ZERO.**



**SCHEDULE ID: REQUEST FOR CREATION, RE-CREATION, RETENTION, TERMINATION,  
OR MODIFICATION OF A TRUST FUND**

|                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
|-----------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Department:                                                     | Transportation                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| Fund Name:                                                      | Transportation Disadvantage TF                                                                                                                                                                                                                                                                                                                                                                                                                      |
| FLAIR #:*                                                       | 552731                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| Name<br>Position<br>Telephone No. of Person<br>Completing Form: | Sara Liro<br>Revenue Management Administrator<br>(850) 414-4865                                                                                                                                                                                                                                                                                                                                                                                     |
| <b>Type of Action Requested :</b><br><br>(Check one)            | <input type="checkbox"/> <b>Exempt From Termination</b> <input type="checkbox"/> <b>Re-create without modification (last action was initial create)</b><br><br><input checked="" type="checkbox"/> <b>Retain without modification</b> <input type="checkbox"/> <b>Re-create/Retain with modification (last action was re-create)</b><br><br><input type="checkbox"/> <b>Create New Fund</b> <input type="checkbox"/> <b>Terminate Existing Fund</b> |

\* Enter ONLY the six-digit code. Not applicable for requests to **Create** trust fund.

**For All Trust Funds scheduled for review this year, answer questions 1-6.**

|   |                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
|---|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1 | Cite the statutory authority for the trust fund (Florida Statutes or, if none, Laws of Florida). Give the statutory purpose, if stated, for the trust fund.          | This fund was established in accordance with Section 427.0159(1)F.S. Section 427.012 F.S. creates the Commission for the Transportation Disadvantaged. Section 427.013 F.S. states: "The purpose of the commission is to accomplish the coordination of transportation services provided to the transportation disadvantaged. The goal of this coordination shall be to assure the cost-effective provision of transportation ..."                                                                                                                           |
| 2 | List the specific sources of receipts to the trust fund and the statutory references for those receipts.                                                             | The Dept. of Highway Safety transfers \$1.50 from each vehicle registration fee in accordance Section 320.03(9), F.S., \$5.00 from each temporary disabled parking permit in accordance with Section 320.0848(4) (c)(2), F.S., & and \$1 voluntary contribution per vehicle registration applicant in accordance with Section 320.02(15), F.S. The FDOT transfers 15% of the public transit block grant program in accordance with Section 341.052(5),F.S. AHCA transfer funds per the agreement between them and the Transportatin Disadvantaged Commission |
| 3 | If state or federal law requires or prohibits specific expenditures from the trust fund, list the requirements or prohibitions and the statutory citations for them. | Section 427.016 - Expenditure of local government, state, and federal funds for the transportation disadvantaged, (1)(a) requires the funds be "expended to purchase transportation services from community transportation coordinators or public, private, or private nonprofit transportation operators....".                                                                                                                                                                                                                                              |
| 4 | If any source of receipts is federal, describe any restrictions on those receipts that are inconsistent with how the state does business.                            | N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| 5 | If this trust fund could be combined with other agency trust funds that accomplish a similar purpose, list those trust funds.                                        | N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| 6 | If General Revenue funding supports the same programs or activities that the trust fund supports, provide a justification.                                           | N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |

For Trust Funds that the agency believes are **Exempt from Termination** answer question 7.

|   |                                                                                                                                                                        |  |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 7 | If this trust fund is exempt from termination according to Article III, section 19(f)(3) of the <i>Florida Constitution</i> , list the specific exemptions that apply. |  |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|

For Trust Funds that the agency recommends should be **Re-created/Retained with or without modification** answer questions 8 and 9 and attach draft legislation as requested.

|   |                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
|---|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 8 | Give the specific reasons that continuation (re-creation after initial creation or retention after subsequent re-creation) of this trust fund is necessary. List agency activities (based on the activity detail report) supported by the trust fund. | The funds herein help ensure that the transportation needs of the transportation disadvantaged segment of our population are met, and provide the resources for the Transportation Commission to oversee and coordinate this type of transportation. The Department's activities supported by this fund consist of compiling information, establishing objectives, developing policies and procedures, assisting communities in developing transportation systems, etc |
| 9 | Describe any modifications the agency is requesting when this fund is re-created/retained. Attach draft legislation to accomplish the requested change.                                                                                               | N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |

For Trust Funds that the agency recommends should be **Terminated** answer question 10 and attach draft legislation as requested.

|    |                                                                                                                                                                                           |  |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 10 | Explain how the current cash balance and all current receipts of the trust fund will be distributed. Attach draft legislation that removes reference to the trust fund from the statutes. |  |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|

For **New** Trust Funds that the agency recommends should be **Created** answer questions 11-13 and attach draft legislation as requested.

|    |                                                                                                                                                                              |  |
|----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 11 | Describe the purpose of the trust fund and identify its revenue sources. Attach draft legislation that meets the requirements of section 215.3207, <i>Florida Statutes</i> . |  |
| 12 | Describe the specific impact on any other trust fund or the General Revenue Fund from the creation of this new trust fund.                                                   |  |
| 13 | Describe the period of time for which this new trust fund will be needed, or the circumstances under which it will no longer be needed.                                      |  |

**SCHEDULE ID: REQUEST FOR CREATION, RE-CREATION, RETENTION, TERMINATION,  
OR MODIFICATION OF A TRUST FUND**

|                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
|-----------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Department:                                                     | Transportation                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| Fund Name:                                                      | Transportation Revenue Bond TF                                                                                                                                                                                                                                                                                                                                                                                                                      |
| FLAIR #:*                                                       | 552734                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| Name<br>Position<br>Telephone No. of Person<br>Completing Form: | Sara Liro<br>Revenue Management Administrator<br>(850) 414-4865                                                                                                                                                                                                                                                                                                                                                                                     |
| <b>Type of Action Requested :</b><br><br>(Check one)            | <input type="checkbox"/> <b>Exempt From Termination</b> <input type="checkbox"/> <b>Re-create without modification (last action was initial create)</b><br><br><input checked="" type="checkbox"/> <b>Retain without modification</b> <input type="checkbox"/> <b>Re-create/Retain with modification (last action was re-create)</b><br><br><input type="checkbox"/> <b>Create New Fund</b> <input type="checkbox"/> <b>Terminate Existing Fund</b> |

\* Enter ONLY the six-digit code. Not applicable for requests to **Create** trust fund.

**For All Trust Funds scheduled for review this year, answer questions 1-6.**

|   |                                                                                                                                                                      |                                                                                                                                                                                                                                                                                    |
|---|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1 | Cite the statutory authority for the trust fund (Florida Statutes or, if none, Laws of Florida). Give the statutory purpose, if stated, for the trust fund.          | This fund was created in accordance with Section 339.081(2) F.S. and Section 338.165(4) F.S. for the purpose of funding transportation projects located within the county or counties in which the project is located and contained in the adopted work program of the department. |
| 2 | List the specific sources of receipts to the trust fund and the statutory references for those receipts.                                                             | Receipts consist solely of bond proceeds and interest earnings on the investment of the bond proceeds.                                                                                                                                                                             |
| 3 | If state or federal law requires or prohibits specific expenditures from the trust fund, list the requirements or prohibitions and the statutory citations for them. | Expenditures are required to be made in accordance with the bond covenants.                                                                                                                                                                                                        |
| 4 | If any source of receipts is federal, describe any restrictions on those receipts that are inconsistent with how the state does business.                            | N/A                                                                                                                                                                                                                                                                                |
| 5 | If this trust fund could be combined with other agency trust funds that accomplish a similar purpose, list those trust funds.                                        | N/A                                                                                                                                                                                                                                                                                |
| 6 | If General Revenue funding supports the same programs or activities that the trust fund supports, provide a justification.                                           | N/A                                                                                                                                                                                                                                                                                |

For Trust Funds that the agency believes are **Exempt from Termination** answer question 7.

|   |                                                                                                                                                                        |  |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 7 | If this trust fund is exempt from termination according to Article III, section 19(f)(3) of the <i>Florida Constitution</i> , list the specific exemptions that apply. |  |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|

For Trust Funds that the agency recommends should be **Re-created/Retained with or without modification** answer questions 8 and 9 and attach draft legislation as requested.

|   |                                                                                                                                                                                                                                                       |                                                                                                                                                        |
|---|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|
| 8 | Give the specific reasons that continuation (re-creation after initial creation or retention after subsequent re-creation) of this trust fund is necessary. List agency activities (based on the activity detail report) supported by the trust fund. | This fund is necessary for the deposit and management of bond proceeds which the Department is authorized to issue in accordance with 338.165 (4) F.S. |
| 9 | Describe any modifications the agency is requesting when this fund is re-created/retained. Attach draft legislation to accomplish the requested change.                                                                                               | N/A                                                                                                                                                    |

For Trust Funds that the agency recommends should be **Terminated** answer question 10 and attach draft legislation as requested.

|    |                                                                                                                                                                                           |  |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 10 | Explain how the current cash balance and all current receipts of the trust fund will be distributed. Attach draft legislation that removes reference to the trust fund from the statutes. |  |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|

For **New** Trust Funds that the agency recommends should be **Created** answer questions 11-13 and attach draft legislation as requested.

|    |                                                                                                                                                                              |  |
|----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 11 | Describe the purpose of the trust fund and identify its revenue sources. Attach draft legislation that meets the requirements of section 215.3207, <i>Florida Statutes</i> . |  |
| 12 | Describe the specific impact on any other trust fund or the General Revenue Fund from the creation of this new trust fund.                                                   |  |
| 13 | Describe the period of time for which this new trust fund will be needed, or the circumstances under which it will no longer be needed.                                      |  |

**SCHEDULE ID: REQUEST FOR CREATION, RE-CREATION, RETENTION, TERMINATION,  
OR MODIFICATION OF A TRUST FUND**

|                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
|-----------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Department:                                                     | Transportation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| Fund Name:                                                      | Transportation Governmental Bond TF                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| FLAIR #:*                                                       | 552739                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| Name<br>Position<br>Telephone No. of Person<br>Completing Form: | Sara Liro<br>Revenue Management Administrator<br>(850) 414-4865                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| <b>Type of Action Requested :</b><br><br>(Check one)            | <input type="checkbox"/> Exempt From Termination <input checked="" type="checkbox"/> Re-create without modification<br><span style="margin-left: 150px;">(last action was initial create)</span><br><br><input type="checkbox"/> Retain without modification <input type="checkbox"/> Re-create/Retain with modification<br><span style="margin-left: 150px;">(last action was re-create)</span><br><br><input type="checkbox"/> Create New Fund <input type="checkbox"/> Terminate Existing Fund |

\* Enter ONLY the six-digit code. Not applicable for requests to **Create** trust fund.

For **All Trust Funds** scheduled for review **this year**, answer questions 1-6.

|   |                                                                                                                                                                      |                                                                                                                                                                                                                                                 |
|---|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1 | Cite the statutory authority for the trust fund (Florida Statutes or, if none, Laws of Florida). Give the statutory purpose, if stated, for the trust fund.          | The Transportation Governmental Bond Trust Fund was created during the 2010 legislative session under Senate Bill 1634. The purpose of the trust fund is for the deposit/management of funds received from the proceeds upon issuance of bonds. |
| 2 | List the specific sources of receipts to the trust fund and the statutory references for those receipts.                                                             | Receipts consist of bond proceeds authorized in Section 215.616 & 215.615 F.S. and interest earnings on the investments of the bond proceeds.                                                                                                   |
| 3 | If state or federal law requires or prohibits specific expenditures from the trust fund, list the requirements or prohibitions and the statutory citations for them. | Expenditures are required to be made in accordance with bond covenants.                                                                                                                                                                         |
| 4 | If any source of receipts is federal, describe any restrictions on those receipts that are inconsistent with how the state does business.                            | N/A                                                                                                                                                                                                                                             |
| 5 | If this trust fund could be combined with other agency trust funds that accomplish a similar purpose, list those trust funds.                                        | N/A                                                                                                                                                                                                                                             |
| 6 | If General Revenue funding supports the same programs or activities that the trust fund supports, provide a justification.                                           | N/A                                                                                                                                                                                                                                             |

For Trust Funds that the agency believes are **Exempt from Termination** answer question 7.

|   |                                                                                                                                                                        |  |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 7 | If this trust fund is exempt from termination according to Article III, section 19(f)(3) of the <i>Florida Constitution</i> , list the specific exemptions that apply. |  |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|

For Trust Funds that the agency recommends should be **Re-created/Retained with or without modification** answer questions 8 and 9 and attach draft legislation as requested.

|   |                                                                                                                                                                                                                                                       |                                                                                                                                                              |
|---|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 8 | Give the specific reasons that continuation (re-creation after initial creation or retention after subsequent re-creation) of this trust fund is necessary. List agency activities (based on the activity detail report) supported by the trust fund. | This fund is necessary for the deposit and management of bond proceeds which the Department is authorized to issue in accordance with 215.615 & 215.616 F.S. |
| 9 | Describe any modifications the agency is requesting when this fund is re-created/retained. Attach draft legislation to accomplish the requested change.                                                                                               | N/A                                                                                                                                                          |

For Trust Funds that the agency recommends should be **Terminated** answer question 10 and attach draft legislation as requested.

|    |                                                                                                                                                                                           |  |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 10 | Explain how the current cash balance and all current receipts of the trust fund will be distributed. Attach draft legislation that removes reference to the trust fund from the statutes. |  |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|

For **New** Trust Funds that the agency recommends should be **Created** answer questions 11-13 and attach draft legislation as requested.

|    |                                                                                                                                                                              |  |
|----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 11 | Describe the purpose of the trust fund and identify its revenue sources. Attach draft legislation that meets the requirements of section 215.3207, <i>Florida Statutes</i> . |  |
| 12 | Describe the specific impact on any other trust fund or the General Revenue Fund from the creation of this new trust fund.                                                   |  |
| 13 | Describe the period of time for which this new trust fund will be needed, or the circumstances under which it will no longer be needed.                                      |  |

# Program and Resource Plan

**FLORIDA DEPARTMENT OF TRANSPORTATION  
2010/11 PROGRAM AND RESOURCE PLAN SUMMARY  
FISCAL YEARS 2011/12 TO 2019/20  
(MILLIONS OF \$)**

**12LBR01  
WORK PROGRAM  
FILE: 1-JULY-2010**

| (Excludes Hurricanes)            | ACTUAL        | PLAN          | First Five Years |               |               |               |               |                | Next Four Years |               |               |               |                | 10 YR.         |
|----------------------------------|---------------|---------------|------------------|---------------|---------------|---------------|---------------|----------------|-----------------|---------------|---------------|---------------|----------------|----------------|
|                                  | 09/10         | 10/11         | 11/12            | 12/13         | 13/14         | 14/15         | 15/16         | TOTAL          | 16/17           | 17/18         | 18/19         | 19/20         | TOTAL          | TOTAL          |
| <b>PROGRAM AREAS</b>             |               |               |                  |               |               |               |               |                |                 |               |               |               |                |                |
| <b>I. PRODUCT</b>                | 3475.0        | 6665.6        | 4173.0           | 3623.0        | 4478.4        | 4335.0        | 3754.4        | <b>20363.8</b> | 3935.2          | 4027.4        | 4452.5        | 4452.5        | 16867.6        | <b>43897.0</b> |
| A. SIS/Intrastate Highways       | 1080.1        | 1666.4        | 923.4            | 842.7         | 1678.9        | 1086.3        | 1014.1        | 5545.4         | 1131.7          | 1211.1        | 1411.2        | 1411.2        | 5165.2         | 12377.0        |
| B. Other Arterials               | 647.6         | 754.1         | 536.7            | 511.3         | 590.1         | 776.5         | 555.9         | 2970.5         | 564.8           | 605.8         | 603.2         | 603.2         | 2377.1         | 6101.6         |
| C. Right Of Way                  | 206.6         | 1078.6        | 265.6            | 304.4         | 249.4         | 280.8         | 283.2         | 1383.3         | 286.8           | 274.2         | 461.0         | 461.0         | 1482.8         | 3944.8         |
| D. Aviation                      | 160.6         | 139.2         | 134.8            | 147.8         | 130.0         | 133.3         | 160.8         | 706.7          | 156.7           | 162.4         | 166.0         | 166.0         | 651.1          | 1497.0         |
| E. Transit                       | 299.9         | 663.0         | 504.9            | 301.5         | 296.5         | 335.6         | 314.3         | 1752.8         | 325.3           | 333.7         | 341.1         | 341.1         | 1341.2         | 3757.0         |
| F. Rail                          | 58.8          | 617.3         | 219.2            | 165.4         | 253.8         | 249.7         | 217.7         | 1105.9         | 207.5           | 211.8         | 214.8         | 214.8         | 848.8          | 2572.1         |
| G. Intermodal Access             | 49.3          | 102.0         | 41.6             | 42.9          | 19.4          | 116.0         | 33.6          | 253.5          | 36.1            | 37.6          | 38.7          | 38.7          | 151.0          | 506.6          |
| H. Seaports                      | 46.9          | 51.1          | 87.6             | 87.5          | 40.3          | 70.0          | 60.0          | 345.4          | 53.1            | 54.8          | 55.9          | 55.9          | 219.7          | 616.1          |
| I. Safety                        | 136.6         | 145.3         | 125.2            | 112.7         | 119.1         | 129.2         | 112.7         | 598.8          | 114.6           | 116.1         | 117.6         | 117.6         | 465.8          | 1210.0         |
| J. Resurfacing                   | 545.4         | 997.2         | 956.7            | 859.7         | 902.0         | 934.8         | 796.8         | 4450.1         | 848.9           | 805.3         | 823.6         | 823.6         | 3301.3         | 8748.6         |
| K. Bridge                        | 243.1         | 451.3         | 377.3            | 247.0         | 199.1         | 222.8         | 205.1         | 1251.3         | 209.8           | 214.5         | 219.6         | 219.6         | 863.6          | 2566.2         |
| L. Trans. Outreach               | 0.0           | 0.0           | 0.0              | 0.0           | 0.0           | 0.0           | 0.0           | 0.0            | 0.0             | 0.0           | 0.0           | 0.0           | 0.0            | 0.0            |
| <b>II. PRODUCT SUPPORT</b>       | 1031.4        | 1423.2        | 1001.0           | 895.1         | 954.6         | 846.1         | 965.6         | <b>4662.4</b>  | 990.6           | 1043.2        | 1042.4        | 1042.4        | 4118.5         | <b>10204.1</b> |
| A. Preliminary Engineering       | 557.7         | 695.8         | 519.2            | 433.5         | 460.5         | 412.2         | 461.5         | 2286.9         | 499.9           | 546.7         | 504.5         | 504.5         | 2055.5         | 5038.3         |
| B. Const.Eng.Inspection          | 250.7         | 357.5         | 284.0            | 232.2         | 272.4         | 211.5         | 228.6         | 1228.8         | 199.3           | 202.3         | 231.5         | 231.5         | 864.6          | 2450.8         |
| C. R/W Support                   | 55.3          | 178.5         | 46.8             | 54.8          | 66.2          | 59.7          | 89.6          | 317.2          | 99.6            | 96.1          | 102.0         | 102.0         | 399.7          | 895.5          |
| D.Environmental Mitigation       | 22.4          | 23.4          | 4.1              | 23.4          | 0.5           | 1.6           | 7.5           | 37.1           | 7.7             | 7.9           | 8.2           | 8.2           | 32.0           | 92.5           |
| E. Material & Research           | 42.5          | 49.8          | 43.8             | 45.4          | 46.9          | 48.7          | 51.8          | 236.6          | 53.6            | 55.5          | 57.4          | 57.4          | 223.8          | 510.3          |
| F. Planning & Environment        | 91.6          | 105.6         | 90.3             | 92.3          | 93.9          | 97.7          | 111.4         | 485.7          | 114.8           | 118.1         | 121.7         | 121.7         | 476.3          | 1067.6         |
| G. Public Transport. Ops.        | 11.1          | 12.5          | 12.8             | 13.5          | 14.1          | 14.6          | 15.2          | 70.3           | 15.8            | 16.5          | 17.1          | 17.1          | 66.5           | 149.3          |
| <b>III. OPERAT. &amp; MAINT.</b> | 1052.9        | 1114.6        | 1062.1           | 1085.2        | 1130.7        | 1195.4        | 1123.2        | <b>5596.6</b>  | 1148.0          | 1171.9        | 1215.3        | 1215.3        | 4750.6         | <b>11461.8</b> |
| A. Operations & Maint.           | 655.8         | 707.6         | 701.5            | 741.4         | 778.2         | 799.5         | 758.4         | 3779.0         | 776.6           | 806.4         | 829.6         | 829.6         | 3242.3         | 7728.9         |
| B. Traffic Eng. & Opers.         | 71.6          | 89.8          | 84.7             | 96.7          | 103.2         | 121.2         | 95.4          | 501.3          | 98.6            | 101.9         | 105.4         | 105.4         | 411.3          | 1002.4         |
| C. Toll Operations               | 286.6         | 275.9         | 231.6            | 202.4         | 202.8         | 226.3         | 219.2         | 1082.3         | 220.5           | 209.3         | 223.8         | 223.8         | 877.4          | 2235.6         |
| D. Motor Carrier Comp.           | 38.8          | 41.3          | 44.4             | 44.7          | 46.4          | 48.3          | 50.2          | 234.0          | 52.2            | 54.3          | 56.5          | 56.5          | 219.6          | 494.9          |
| <b>IV. ADMINISTRATION</b>        | 138.2         | 142.4         | 150.8            | 174.6         | 177.0         | 187.2         | 193.7         | <b>883.3</b>   | 200.9           | 208.4         | 216.2         | 216.2         | 841.7          | <b>1867.4</b>  |
| A. Administration                | 105.4         | 91.3          | 97.6             | 98.2          | 101.8         | 105.6         | 109.6         | 512.8          | 113.7           | 118.0         | 122.4         | 122.4         | 476.5          | 1080.5         |
| B. Fixed Capital                 | 8.1           | 9.4           | 10.2             | 31.3          | 28.2          | 32.7          | 33.3          | 135.7          | 34.4            | 35.5          | 36.7          | 36.7          | 143.2          | 288.4          |
| C. Office Info. Systems          | 24.6          | 41.7          | 43.1             | 45.1          | 47.0          | 48.8          | 50.8          | 234.8          | 52.8            | 54.9          | 57.1          | 57.1          | 222.0          | 498.5          |
| <b>TOTAL PROGRAM</b>             | <b>5697.5</b> | <b>9345.8</b> | <b>6386.9</b>    | <b>5777.9</b> | <b>6740.7</b> | <b>6563.7</b> | <b>6036.9</b> | <b>31506.1</b> | <b>6274.7</b>   | <b>6450.9</b> | <b>6926.4</b> | <b>6926.4</b> | <b>26578.4</b> | <b>67430.4</b> |
| <b>V. OTHER</b>                  | 197.4         | 228.7         | 226.9            | 287.6         | 330.4         | 325.1         | 386.7         | <b>1556.7</b>  | 409.0           | 434.7         | 444.2         | 444.2         | 1732.3         | <b>3517.6</b>  |
| A. Local Govt. Reimb.            | 49.3          | 53.6          | 33.5             | 50.1          | 15.9          | 4.1           | 34.8          | 138.4          | 35.5            | 36.1          | 36.7          | 36.7          | 145.0          | 337.0          |
| B. Other                         | 148.2         | 175.1         | 193.4            | 237.5         | 314.4         | 321.0         | 351.9         | 1418.3         | 373.6           | 398.7         | 407.5         | 407.5         | 1587.3         | 3180.6         |
| <b>TOTAL BUDGET</b>              | <b>5895.0</b> | <b>9574.5</b> | <b>6613.8</b>    | <b>6065.5</b> | <b>7071.0</b> | <b>6888.8</b> | <b>6423.6</b> | <b>33062.8</b> | <b>6683.8</b>   | <b>6885.6</b> | <b>7370.7</b> | <b>7370.7</b> | <b>28310.7</b> | <b>70948.0</b> |
| <b>HIGHLIGHTS:</b>               |               |               |                  |               |               |               |               |                |                 |               |               |               |                |                |
| 1. Construction                  | 2500.1        | 3812.8        | 2831.7           | 2445.6        | 3347.1        | 3001.8        | 2531.6        | 14157.8        | 2712.3          | 2790.1        | 3007.4        | 3007.4        | 11517.2        | 29487.9        |
| 2. PTO (w/o TD Comm.)            | 513.1         | 1468.9        | 884.2            | 698.2         | 697.2         | 867.6         | 734.7         | 3881.9         | 725.2           | 745.1         | 759.3         | 759.3         | 2989.0         | 8339.8         |
| 3. Prod.Supp.Consult.            | 722.2         | 973.9         | 710.3            | 566.9         | 637.6         | 515.7         | 589.4         | 3019.9         | 594.4           | 639.8         | 622.3         | 622.3         | 2478.8         | 6472.6         |
| a. Preliminary Eng.              | 467.1         | 602.7         | 425.3            | 332.7         | 355.7         | 303.2         | 348.2         | 1765.2         | 382.0           | 424.1         | 377.0         | 377.0         | 1560.1         | 3927.9         |
| b. Cst.Eng. Inspect.             | 250.7         | 357.5         | 284.0            | 232.2         | 272.4         | 211.5         | 228.6         | 1228.8         | 199.3           | 202.3         | 231.5         | 231.5         | 864.6          | 2450.8         |
| c. R/W Support                   | 4.4           | 13.8          | 1.0              | 1.9           | 9.4           | 1.0           | 12.7          | 26.0           | 13.1            | 13.4          | 13.8          | 13.8          | 54.1           | 93.9           |



FLORIDA DEPARTMENT OF TRANSPORTATION  
2010/11 PROGRAM AND RESOURCE PLAN SUMMARY  
FISCAL YEARS 2011/12 TO 2019/20  
(MILLIONS OF \$)

**12LBR01**  
**WORK PROGRAM**  
**FILE: 1-JULY-2010**  
(Excludes Hurricanes)

| PROGRAM AREAS                     | ACTUAL | PLAN   | First Five Years |        |        |        |        |                | Next Four Years |        |        |        |         | 10 YR.         |
|-----------------------------------|--------|--------|------------------|--------|--------|--------|--------|----------------|-----------------|--------|--------|--------|---------|----------------|
|                                   | 09/10  | 10/11  | 11/12            | 12/13  | 13/14  | 14/15  | 15/16  | TOTAL          | 16/17           | 17/18  | 18/19  | 19/20  | TOTAL   | TOTAL          |
| <b>I. PRODUCT</b>                 | 3475.0 | 6665.6 | 4173.0           | 3623.0 | 4478.4 | 4335.0 | 3754.4 | <b>20363.8</b> | 3935.2          | 4027.4 | 4452.5 | 4452.5 | 16867.6 | <b>43897.0</b> |
| <b>A. SIS/Intrastate Highways</b> | 1080.1 | 1666.4 | 923.4            | 842.7  | 1678.9 | 1086.3 | 1014.1 | <b>5545.4</b>  | 1131.7          | 1211.1 | 1411.2 | 1411.2 | 5165.2  | <b>12377.0</b> |
| 1. Interstate Const.              | 456.1  | 1107.7 | 364.2            | 695.1  | 1078.4 | 874.3  | 821.4  | 3833.4         | 845.7           | 905.3  | 773.2  | 773.2  | 3297.4  | 8238.4         |
| 2. Turnpike                       | 122.2  | 54.7   | 112.2            | 27.5   | 18.3   | 15.0   | 8.1    | 181.1          | 5.9             | 4.7    | 338.4  | 338.4  | 687.3   | 923.1          |
| 3. Other SIS/Intrastate           | 496.2  | 482.0  | 417.0            | 106.8  | 568.0  | 183.2  | 182.6  | 1457.7         | 278.1           | 299.1  | 297.6  | 297.6  | 1172.4  | 3112.1         |
| 4. SIS/Intrast. Traffic Oper.     | 5.6    | 22.0   | 30.0             | 13.2   | 14.2   | 13.8   | 2.0    | 73.2           | 2.0             | 2.0    | 2.0    | 2.0    | 8.0     | 103.3          |
| <b>B. Other Arterials</b>         | 647.6  | 754.1  | 536.7            | 511.3  | 590.1  | 776.5  | 555.9  | <b>2970.5</b>  | 564.8           | 605.8  | 603.2  | 603.2  | 2377.1  | <b>6101.6</b>  |
| 1. Arterial Traff. Oper.          | 52.9   | 47.3   | 40.6             | 40.3   | 41.4   | 40.5   | 48.2   | 211.0          | 56.6            | 59.8   | 61.3   | 61.3   | 239.0   | 497.3          |
| 2. Construction                   | 469.2  | 537.0  | 435.3            | 367.7  | 432.3  | 614.6  | 387.7  | 2237.6         | 384.3           | 417.3  | 408.7  | 408.7  | 1618.9  | 4393.6         |
| 3. County Trans. Programs         | 111.9  | 94.3   | 50.8             | 93.2   | 106.4  | 111.4  | 110.0  | 471.8          | 113.8           | 118.7  | 123.3  | 123.3  | 479.1   | 1045.3         |
| 4. Economic Develop.              | 13.6   | 75.5   | 10.0             | 10.0   | 10.0   | 10.0   | 10.0   | 50.0           | 10.0            | 10.0   | 10.0   | 10.0   | 40.0    | 165.5          |
| <b>C. Right-of-Way</b>            | 206.6  | 1078.6 | 265.6            | 304.4  | 249.4  | 280.8  | 283.2  | <b>1383.3</b>  | 286.8           | 274.2  | 461.0  | 461.0  | 1482.8  | <b>3944.8</b>  |
| 1. SIS/Intrastate                 | 62.9   | 404.3  | 63.7             | 78.8   | 31.5   | 106.2  | 159.9  | 440.0          | 152.4           | 131.2  | 315.9  | 315.9  | 915.4   | 1759.7         |
| 2. Other Art. & Bridge            | 77.5   | 392.6  | 131.5            | 119.6  | 151.7  | 102.6  | 85.4   | 590.8          | 95.1            | 103.5  | 104.7  | 104.7  | 408.1   | 1391.4         |
| 3. SIS/Intra. Advance Corr.       | 41.9   | 126.7  | 10.1             | 26.1   | 0.0    | 3.6    | 22.4   | 62.1           | 23.5            | 23.4   | 23.9   | 23.9   | 94.8    | 283.5          |
| 4. Other Advance Corr.            | 24.4   | 155.1  | 60.2             | 79.9   | 66.2   | 68.5   | 15.5   | 290.4          | 15.8            | 16.0   | 16.4   | 16.4   | 64.6    | 510.1          |
| <b>D. Aviation</b>                | 160.6  | 139.2  | 134.8            | 147.8  | 130.0  | 133.3  | 160.8  | <b>706.7</b>   | 156.7           | 162.4  | 166.0  | 166.0  | 651.1   | <b>1497.0</b>  |
| 1. Airport Improvement            | 125.7  | 105.5  | 104.0            | 112.7  | 94.1   | 98.0   | 113.3  | 522.1          | 107.5           | 111.3  | 113.1  | 113.1  | 445.1   | 1072.7         |
| 2. Land Acquisition               | 2.4    | 2.2    | 1.4              | 0.4    | 1.5    | 1.0    | 5.4    | 9.6            | 5.7             | 6.2    | 6.4    | 6.4    | 24.7    | 36.5           |
| 3. Planning                       | 4.1    | 1.9    | 1.6              | 3.5    | 3.7    | 3.6    | 3.6    | 16.0           | 3.7             | 3.8    | 3.9    | 3.9    | 15.4    | 33.2           |
| 4. Discretionary Cap.             | 28.4   | 29.7   | 27.9             | 31.2   | 30.7   | 30.7   | 38.6   | 159.0          | 39.8            | 41.1   | 42.5   | 42.5   | 165.9   | 354.6          |
| <b>E. Transit</b>                 | 299.9  | 663.0  | 504.9            | 301.5  | 296.5  | 335.6  | 314.3  | <b>1752.8</b>  | 325.3           | 333.7  | 341.1  | 341.1  | 1341.2  | <b>3757.0</b>  |
| 1. Transit Systems                | 78.5   | 74.4   | 50.4             | 84.7   | 78.1   | 101.9  | 89.6   | 404.7          | 92.0            | 92.2   | 94.7   | 94.7   | 373.6   | 852.7          |
| 2. Trans. Disad.-Dept.            | 9.8    | 21.5   | 12.1             | 13.4   | 13.5   | 14.2   | 18.6   | 72.0           | 19.0            | 19.2   | 19.5   | 19.5   | 77.2    | 170.6          |
| 3. Trans. Disad.-Comm.            | 102.6  | 103.8  | 103.9            | 47.0   | 42.7   | 37.0   | 51.8   | 282.5          | 53.5            | 55.2   | 57.1   | 57.1   | 222.8   | 609.1          |
| 4. Other                          | 13.3   | 16.3   | 11.1             | 12.7   | 11.3   | 13.1   | 21.0   | 69.1           | 22.9            | 24.5   | 25.1   | 25.1   | 97.5    | 182.9          |
| 5. Block Grants                   | 80.3   | 90.3   | 87.4             | 91.3   | 93.3   | 93.3   | 98.2   | 463.5          | 100.8           | 103.2  | 105.3  | 105.3  | 414.7   | 968.5          |
| 6. New Starts Transit             | 15.5   | 356.8  | 240.0            | 52.4   | 57.5   | 76.1   | 35.0   | 461.0          | 37.2            | 39.4   | 39.4   | 39.4   | 155.4   | 973.2          |
| <b>F. Rail</b>                    | 58.8   | 617.3  | 219.2            | 165.4  | 253.8  | 249.7  | 217.7  | <b>1105.9</b>  | 207.5           | 211.8  | 214.8  | 214.8  | 848.8   | <b>2572.1</b>  |
| 1. High Speed Rail                | 2.7    | 130.8  | 0.0              | 0.0    | 0.0    | 0.0    | 0.0    | 0.0            | 0.0             | 0.0    | 0.0    | 0.0    | 0.0     | 130.8          |
| 2. Passenger Service              | 45.2   | 458.8  | 210.4            | 157.3  | 245.5  | 231.3  | 207.8  | 1052.3         | 197.3           | 201.5  | 204.3  | 204.3  | 807.5   | 2318.5         |
| 3. Rail/Hwy. Crossings            | 9.0    | 8.5    | 8.1              | 7.4    | 7.6    | 7.7    | 8.2    | 39.1           | 8.4             | 8.5    | 8.6    | 8.6    | 33.9    | 81.5           |
| 4. Rail Capital Imp./Rehab.       | 1.9    | 19.3   | 0.7              | 0.7    | 0.7    | 10.7   | 1.7    | 14.6           | 1.8             | 1.8    | 1.9    | 1.9    | 7.4     | 41.2           |
| <b>G. Intermodal Access</b>       | 49.3   | 102.0  | 41.6             | 42.9   | 19.4   | 116.0  | 33.6   | <b>253.5</b>   | 36.1            | 37.6   | 38.7   | 38.7   | 151.0   | <b>506.6</b>   |
| <b>H. Seaport Development</b>     | 46.9   | 51.1   | 87.6             | 87.5   | 40.3   | 70.0   | 60.0   | <b>345.4</b>   | 53.1            | 54.8   | 55.9   | 55.9   | 219.7   | <b>616.1</b>   |

**FLORIDA DEPARTMENT OF TRANSPORTATION**  
**2010/11 PROGRAM AND RESOURCE PLAN SUMMARY**  
**FISCAL YEARS 2011/12 TO 2019/20**  
**(MILLIONS OF \$)**

**12LBR01**  
**WORK PROGRAM**  
**FILE: 1-JULY-2010**  
(Excludes Hurricanes)

| PROGRAM AREAS                       | ACTUAL | PLAN   | First Five Years |       |       |       |       | Next Four Years |       |        |        |        | 10 YR. |                |
|-------------------------------------|--------|--------|------------------|-------|-------|-------|-------|-----------------|-------|--------|--------|--------|--------|----------------|
|                                     | 09/10  | 10/11  | 11/12            | 12/13 | 13/14 | 14/15 | 15/16 | TOTAL           | 16/17 | 17/18  | 18/19  | 19/20  | TOTAL  | TOTAL          |
| <b>I. Safety</b>                    | 136.6  | 145.3  | 125.2            | 112.7 | 119.1 | 129.2 | 112.7 | <b>598.8</b>    | 114.6 | 116.1  | 117.6  | 117.6  | 465.8  | <b>1210.0</b>  |
| 1.Highway Safety                    | 109.3  | 113.7  | 98.3             | 88.1  | 93.5  | 102.9 | 79.7  | 462.4           | 81.0  | 82.1   | 83.1   | 83.1   | 329.2  | 905.3          |
| 2.Rail/Hwy.Crossings                | 0.0    | 0.0    | 0.0              | 0.0   | 0.0   | 0.0   | 0.0   | 0.0             | 0.0   | 0.0    | 0.0    | 0.0    | 0.0    | 0.0            |
| 3.Grants                            | 27.2   | 31.7   | 26.9             | 24.6  | 25.6  | 26.3  | 33.1  | 136.4           | 33.6  | 34.1   | 34.5   | 34.5   | 136.6  | 304.7          |
| <b>J. Resurfacing</b>               | 545.4  | 997.2  | 956.7            | 859.7 | 902.0 | 934.8 | 796.8 | <b>4450.1</b>   | 848.9 | 805.3  | 823.6  | 823.6  | 3301.3 | <b>8748.6</b>  |
| 1.Interstate                        | 55.4   | 235.2  | 226.3            | 161.8 | 161.9 | 153.5 | 182.1 | 885.6           | 217.8 | 157.6  | 158.6  | 158.6  | 692.5  | 1813.2         |
| 2.Arterial & Freeway                | 361.8  | 724.7  | 653.1            | 665.9 | 718.1 | 751.3 | 583.6 | 3372.0          | 600.0 | 616.5  | 633.8  | 633.8  | 2484.1 | 6580.8         |
| 3.Off-System                        | 123.5  | 7.1    | 3.5              | 0.0   | 0.0   | 0.0   | 1.1   | 4.7             | 1.2   | 1.2    | 1.2    | 1.2    | 4.7    | 16.6           |
| 4.Turnpike                          | 4.8    | 30.2   | 73.8             | 32.0  | 22.0  | 30.0  | 30.0  | 187.8           | 30.0  | 30.0   | 30.0   | 30.0   | 120.0  | 338.0          |
| <b>K. Bridge</b>                    | 243.1  | 451.3  | 377.3            | 247.0 | 199.1 | 222.8 | 205.1 | <b>1251.3</b>   | 209.8 | 214.5  | 219.6  | 219.6  | 863.6  | <b>2566.2</b>  |
| 1.Repair-On System                  | 82.1   | 181.6  | 117.7            | 89.0  | 75.8  | 103.5 | 101.7 | 487.8           | 104.9 | 108.2  | 111.8  | 111.8  | 436.7  | 1106.0         |
| 2.Replace-On System                 | 49.0   | 247.0  | 223.5            | 138.3 | 108.8 | 103.0 | 90.9  | 664.4           | 92.2  | 93.4   | 94.7   | 94.7   | 375.0  | 1286.5         |
| 3.Local Bdg.Replace                 | 108.3  | 19.8   | 22.2             | 18.6  | 14.0  | 15.8  | 12.6  | 83.1            | 12.8  | 12.9   | 13.1   | 13.1   | 51.9   | 154.8          |
| 4.Turnpike                          | 3.7    | 3.0    | 13.9             | 1.1   | 0.5   | 0.5   | 0.0   | 16.0            | 0.0   | 0.0    | 0.0    | 0.0    | 0.0    | 19.0           |
| <b>L. Trans. Outreach</b>           | 0.0    | 0.0    | 0.0              | 0.0   | 0.0   | 0.0   | 0.0   | <b>0.0</b>      | 0.0   | 0.0    | 0.0    | 0.0    | 0.0    | <b>0.0</b>     |
| <b>II. PRODUCT SUPPORT</b>          | 1031.4 | 1423.2 | 1001.0           | 895.1 | 954.6 | 846.1 | 965.6 | <b>4662.4</b>   | 990.6 | 1043.2 | 1042.4 | 1042.4 | 4118.5 | <b>10204.1</b> |
| <b>A.Preliminary Engineering</b>    | 557.7  | 695.8  | 519.2            | 433.5 | 460.5 | 412.2 | 461.5 | <b>2286.9</b>   | 499.9 | 546.7  | 504.5  | 504.5  | 2055.5 | <b>5038.3</b>  |
| 1.In-House                          | 90.6   | 93.2   | 93.9             | 100.8 | 104.8 | 109.0 | 113.3 | 521.7           | 117.9 | 122.6  | 127.5  | 127.5  | 495.4  | 1110.3         |
| 2.Consultant                        | 467.1  | 602.7  | 425.3            | 332.7 | 355.7 | 303.2 | 348.2 | 1765.2          | 382.0 | 424.1  | 377.0  | 377.0  | 1560.1 | 3927.9         |
| <b>B.Const.Eng.Inspection</b>       | 250.7  | 357.5  | 284.0            | 232.2 | 272.4 | 211.5 | 228.6 | <b>1228.8</b>   | 199.3 | 202.3  | 231.5  | 231.5  | 864.6  | <b>2450.8</b>  |
| 1.In-House                          | 0.0    | 0.0    | 0.0              | 0.0   | 0.0   | 0.0   | 0.0   | 0.0             | 0.0   | 0.0    | 0.0    | 0.0    | 0.0    | 0.0            |
| 2.Consultant                        | 250.7  | 357.5  | 284.0            | 232.2 | 272.4 | 211.5 | 228.6 | 1228.8          | 199.3 | 202.3  | 231.5  | 231.5  | 864.6  | 2450.8         |
| <b>C.R/W Support</b>                | 55.3   | 178.5  | 46.8             | 54.8  | 66.2  | 59.7  | 89.6  | <b>317.2</b>    | 99.6  | 96.1   | 102.0  | 102.0  | 399.7  | <b>895.5</b>   |
| 1.In-House                          | 27.3   | 30.9   | 31.0             | 33.4  | 34.7  | 36.1  | 37.5  | 172.7           | 39.0  | 40.6   | 42.2   | 42.2   | 164.1  | 367.6          |
| 2.OPS                               | 23.7   | 133.9  | 14.9             | 19.5  | 22.1  | 22.7  | 39.4  | 118.5           | 47.5  | 42.2   | 45.9   | 45.9   | 181.5  | 434.0          |
| 3.Consultant                        | 4.4    | 13.8   | 1.0              | 1.9   | 9.4   | 1.0   | 12.7  | 26.0            | 13.1  | 13.4   | 13.8   | 13.8   | 54.1   | 93.9           |
| <b>D.Environmental Mitigation</b>   | 22.4   | 23.4   | 4.1              | 23.4  | 0.5   | 1.6   | 7.5   | <b>37.1</b>     | 7.7   | 7.9    | 8.2    | 8.2    | 32.0   | <b>92.5</b>    |
| <b>E.Materials &amp; Research</b>   | 42.5   | 49.8   | 43.8             | 45.4  | 46.9  | 48.7  | 51.8  | <b>236.6</b>    | 53.6  | 55.5   | 57.4   | 57.4   | 223.8  | <b>510.3</b>   |
| 1.In-House                          | 30.6   | 30.2   | 31.2             | 32.7  | 34.0  | 35.3  | 36.8  | 170.0           | 38.2  | 39.8   | 41.3   | 41.3   | 160.7  | 360.9          |
| 2.Applied Research                  | 11.9   | 19.6   | 12.6             | 12.7  | 12.9  | 13.4  | 15.0  | 66.6            | 15.4  | 15.7   | 16.1   | 16.1   | 63.2   | 149.4          |
| 3.Consultants/Grants                | 0.0    | 0.0    | 0.0              | 0.0   | 0.0   | 0.0   | 0.0   | 0.0             | 0.0   | 0.0    | 0.0    | 0.0    | 0.0    | 0.0            |
| <b>F.Planning &amp; Environment</b> | 91.6   | 105.6  | 90.3             | 92.3  | 93.9  | 97.7  | 111.4 | <b>485.7</b>    | 114.8 | 118.1  | 121.7  | 121.7  | 476.3  | <b>1067.6</b>  |
| 1.In-House                          | 21.9   | 26.6   | 26.9             | 28.8  | 29.9  | 31.1  | 32.4  | 149.0           | 33.7  | 35.0   | 36.4   | 36.4   | 141.4  | 317.1          |
| 2.Consultants/Grants                | 69.7   | 79.0   | 63.4             | 63.5  | 64.0  | 66.6  | 79.1  | 336.6           | 81.1  | 83.1   | 85.3   | 85.3   | 334.9  | 750.5          |
| <b>G.Public Transport. Ops.</b>     | 11.1   | 12.5   | 12.8             | 13.5  | 14.1  | 14.6  | 15.2  | <b>70.3</b>     | 15.8  | 16.5   | 17.1   | 17.1   | 66.5   | <b>149.3</b>   |

**FLORIDA DEPARTMENT OF TRANSPORTATION**  
**2010/11 PROGRAM AND RESOURCE PLAN SUMMARY**  
**FISCAL YEARS 2011/12 TO 2019/20**  
**(MILLIONS OF \$)**

**12LBR01**  
**WORK PROGRAM**  
**FILE: 1-JULY-2010**  
(Excludes Hurricanes)

| PROGRAM AREAS                       | ACTUAL        | PLAN          | First Five Years |               |               |               |               |                       | Next Four Years |               |               |               |                | 10 YR.                |
|-------------------------------------|---------------|---------------|------------------|---------------|---------------|---------------|---------------|-----------------------|-----------------|---------------|---------------|---------------|----------------|-----------------------|
|                                     | 09/10         | 10/11         | 11/12            | 12/13         | 13/14         | 14/15         | 15/16         | TOTAL                 | 16/17           | 17/18         | 18/19         | 19/20         | TOTAL          | TOTAL                 |
| <b>III. OPER. &amp; MAINT.</b>      | 1052.9        | 1114.6        | 1062.1           | 1085.2        | 1130.7        | 1195.4        | 1123.2        | <b>5596.6</b>         | 1148.0          | 1171.9        | 1215.3        | 1215.3        | 4750.6         | <b>11461.8</b>        |
| <b>A. Operations &amp; Maint.</b>   | 655.8         | 707.6         | 701.5            | 741.4         | 778.2         | 799.5         | 758.4         | <b>3779.0</b>         | 776.6           | 806.4         | 829.6         | 829.6         | 3242.3         | <b>7728.9</b>         |
| 1.In-House                          | 237.4         | 248.4         | 248.8            | 268.7         | 279.5         | 290.6         | 302.3         | 1389.9                | 314.4           | 326.9         | 340.0         | 340.0         | 1321.3         | 2959.6                |
| 2.M&O Contracts                     | 384.6         | 423.4         | 429.8            | 449.2         | 473.8         | 483.7         | 431.4         | 2267.8                | 440.9           | 453.7         | 467.1         | 467.1         | 1828.8         | 4520.1                |
| 3.Consult./Contracts                | 33.8          | 35.7          | 22.9             | 23.5          | 25.0          | 25.2          | 24.7          | 121.3                 | 21.3            | 25.8          | 22.5          | 22.5          | 92.2           | 249.2                 |
| <b>B. Traffic Eng. &amp; Opers.</b> | 71.6          | 89.8          | 84.7             | 96.7          | 103.2         | 121.2         | 95.4          | <b>501.3</b>          | 98.6            | 101.9         | 105.4         | 105.4         | 411.3          | <b>1002.4</b>         |
| 1.In-House                          | 23.8          | 24.9          | 25.1             | 27.0          | 28.0          | 29.2          | 30.3          | 139.7                 | 31.5            | 32.8          | 34.1          | 34.1          | 132.6          | 297.2                 |
| 2.Consultants/Grants                | 47.8          | 64.9          | 59.5             | 69.8          | 75.2          | 92.1          | 65.1          | 361.6                 | 67.0            | 69.1          | 71.3          | 71.3          | 278.7          | 705.1                 |
| <b>C. Toll Operations</b>           | 286.6         | 275.9         | 231.6            | 202.4         | 202.8         | 226.3         | 219.2         | <b>1082.3</b>         | 220.5           | 209.3         | 223.8         | 223.8         | 877.4          | <b>2235.6</b>         |
| 1.In-House                          | 55.6          | 43.4          | 43.5             | 46.9          | 48.8          | 50.8          | 52.8          | 242.8                 | 54.9            | 57.1          | 59.4          | 59.4          | 230.7          | 516.9                 |
| 2.Ops. Contracts/Transfers          | 79.8          | 131.2         | 131.2            | 131.2         | 131.2         | 131.2         | 131.2         | 655.8                 | 131.2           | 131.2         | 131.2         | 131.2         | 524.6          | 1311.6                |
| 3.Consult./Contracts                | 151.2         | 101.3         | 56.9             | 24.3          | 22.9          | 44.4          | 35.2          | 183.7                 | 34.5            | 21.0          | 33.3          | 33.3          | 122.0          | 407.1                 |
| <b>D. Motor Carrier Comp.</b>       | 38.8          | 41.3          | 44.4             | 44.7          | 46.4          | 48.3          | 50.2          | 234.0                 | 52.2            | 54.3          | 56.5          | 56.5          | 219.6          | 494.9                 |
| <b>IV. ADMINISTRATION</b>           | 138.2         | 142.4         | 150.8            | 174.6         | 177.0         | 187.2         | 193.7         | <b>883.3</b>          | 200.9           | 208.4         | 216.2         | 216.2         | 841.7          | <b>1867.4</b>         |
| <b>A. Administration</b>            | 105.4         | 91.3          | 97.6             | 98.2          | 101.8         | 105.6         | 109.6         | <b>512.8</b>          | 113.7           | 118.0         | 122.4         | 122.4         | 476.5          | <b>1080.5</b>         |
| 1.In-House                          | 101.1         | 84.6          | 90.8             | 91.5          | 95.1          | 98.9          | 102.9         | 479.2                 | 107.0           | 111.3         | 115.7         | 115.7         | 449.7          | 1013.5                |
| 2.Contractual Services              | 4.3           | 6.7           | 6.8              | 6.7           | 6.7           | 6.7           | 6.7           | 33.6                  | 6.7             | 6.7           | 6.7           | 6.7           | 26.8           | 67.0                  |
| <b>B. Fixed Capital Outlay</b>      | 8.1           | 9.4           | 10.2             | 31.3          | 28.2          | 32.7          | 33.3          | <b>135.7</b>          | 34.4            | 35.5          | 36.7          | 36.7          | 143.2          | <b>288.4</b>          |
| 1.Construction                      | 6.4           | 8.0           | 8.8              | 29.6          | 26.6          | 31.7          | 30.4          | 127.0                 | 31.4            | 32.4          | 33.5          | 33.5          | 130.8          | 265.8                 |
| 2.Design Consultants                | 1.7           | 1.4           | 1.4              | 1.7           | 1.6           | 1.1           | 2.9           | 8.7                   | 3.0             | 3.1           | 3.2           | 3.2           | 12.4           | 22.6                  |
| <b>C. Office Info. Systems</b>      | 24.6          | 41.7          | 43.1             | 45.1          | 47.0          | 48.8          | 50.8          | <b>234.8</b>          | 52.8            | 54.9          | 57.1          | 57.1          | 222.0          | <b>498.5</b>          |
| <b>TOTAL PROGRAM</b>                | <u>5697.5</u> | <u>9345.8</u> | <u>6386.9</u>    | <u>5777.9</u> | <u>6740.7</u> | <u>6563.7</u> | <u>6036.9</u> | <b><u>31506.1</u></b> | <u>6274.7</u>   | <u>6450.9</u> | <u>6926.4</u> | <u>6926.4</u> | <u>26578.4</u> | <b><u>67430.4</u></b> |
| <b>V. OTHER</b>                     | 197.4         | 228.7         | 226.9            | 287.6         | 330.4         | 325.1         | 386.7         | <b>1556.7</b>         | 409.0           | 434.7         | 444.2         | 444.2         | 1732.3         | <b>3517.6</b>         |
| A. Local Govt. Reimb.               | 49.3          | 53.6          | 33.5             | 50.1          | 15.9          | 4.1           | 34.8          | 138.4                 | 35.5            | 36.1          | 36.7          | 36.7          | 145.0          | 337.0                 |
| B. CME                              | 0.0           | 0.0           | 0.0              | 0.0           | 0.0           | 0.0           | 0.0           | 0.0                   | 0.0             | 0.0           | 0.0           | 0.0           | 0.0            | 0.0                   |
| C. Miscellaneous                    | 157.9         | 184.9         | 203.2            | 237.5         | 314.4         | 321.0         | 351.9         | 1428.0                | 373.6           | 398.7         | 407.5         | 407.5         | 1587.3         | 3200.2                |
| D. Offset-Pay Package               | -9.8          | -9.8          | -9.8             | 0.0           | 0.0           | 0.0           | 0.0           | -9.8                  | 0.0             | 0.0           | 0.0           | 0.0           | 0.0            | -19.5                 |
| <b>TOTAL BUDGET</b>                 | <u>5895.0</u> | <u>9574.5</u> | <u>6613.8</u>    | <u>6065.5</u> | <u>7071.0</u> | <u>6888.8</u> | <u>6423.6</u> | <b><u>33062.8</u></b> | <u>6683.8</u>   | <u>6885.6</u> | <u>7370.7</u> | <u>7370.7</u> | <u>28310.7</u> | <b><u>70948.0</u></b> |

**FLORIDA DEPARTMENT OF TRANSPORTATION**  
**2010/11 PROGRAM AND RESOURCE PLAN SUMMARY**  
**FISCAL YEARS 2011/12 TO 2019/20**  
(MILLIONS OF \$)

**12LBR01**  
**WORK PROGRAM**  
**FILE: 1-JULY-2010**  
(Excludes Hurricanes)

| PROGRAM AREAS             | ACTUAL        | PLAN          | First Five Years |               |               |               |               | Next Four Years |               |               |               |               | 10 YR.         |                |
|---------------------------|---------------|---------------|------------------|---------------|---------------|---------------|---------------|-----------------|---------------|---------------|---------------|---------------|----------------|----------------|
|                           | 09/10         | 10/11         | 11/12            | 12/13         | 13/14         | 14/15         | 15/16         | TOTAL           | 16/17         | 17/18         | 18/19         | 19/20         | TOTAL          | TOTAL          |
| <b>CONSTRUCTION FUND.</b> | <b>2500.1</b> | <b>3812.8</b> | <b>2831.7</b>    | <b>2445.6</b> | <b>3347.1</b> | <b>3001.8</b> | <b>2531.6</b> | <b>14157.8</b>  | <b>2712.3</b> | <b>2790.1</b> | <b>3007.4</b> | <b>3007.4</b> | <b>11517.2</b> | <b>29487.9</b> |
| I,IM                      | 79.3          | 204.7         | 185.7            | 128.1         | 120.1         | 128.1         | 117.2         | 679.2           | 137.5         | 138.1         | 142.1         | 142.1         | 559.9          | 1443.8         |
| NHS                       | 227.6         | 833.3         | 440.9            | 344.0         | 865.4         | 566.9         | 579.8         | 2797.0          | 539.7         | 599.2         | 432.0         | 432.0         | 2003.1         | 5633.3         |
| O.F.A                     | 552.3         | 1046.3        | 745.7            | 606.3         | 721.3         | 739.4         | 634.3         | 3447.0          | 645.3         | 654.4         | 662.4         | 662.4         | 2624.4         | 7117.7         |
| 100% FED                  | 1018.2        | 106.0         | 25.7             | 14.6          | 0.2           | 1.8           | 0.0           | 42.3            | 0.0           | 0.0           | 0.0           | 0.0           | 0.0            | 148.4          |
| 100% STATE                | 414.6         | 921.3         | 863.3            | 895.7         | 1168.9        | 1268.1        | 1037.9        | 5234.1          | 1141.9        | 1195.9        | 1232.6        | 1232.6        | 4803.0         | 10958.4        |
| TURNPIKE                  | 107.4         | 86.9          | 200.5            | 172.7         | 99.2          | 105.6         | 46.1          | 624.1           | 35.9          | 34.7          | 368.4         | 368.4         | 807.3          | 1518.2         |
| TOLL,LOCAL,OTHER          | 85.1          | 548.6         | 267.9            | 217.4         | 371.9         | 183.8         | 116.2         | 1157.2          | 212.1         | 167.8         | 169.9         | 169.9         | 719.6          | 2425.4         |
| R/W & BDG. BONDS          | 15.5          | 65.8          | 102.0            | 66.8          | 0.0           | 8.1           | 0.0           | 176.9           | 0.0           | 0.0           | 0.0           | 0.0           | 0.0            | 242.7          |
| <b>RIGHT OF WAY LAND</b>  | <b>206.6</b>  | <b>1078.6</b> | <b>265.6</b>     | <b>304.4</b>  | <b>249.4</b>  | <b>280.8</b>  | <b>283.2</b>  | <b>1383.3</b>   | <b>286.8</b>  | <b>274.2</b>  | <b>461.0</b>  | <b>461.0</b>  | <b>1482.8</b>  | <b>3944.8</b>  |
| I,IM                      | 0.0           | 0.9           | 1.0              | 0.0           | 0.0           | 0.0           | 0.0           | 1.0             | 0.0           | 0.0           | 0.0           | 0.0           | 0.0            | 1.9            |
| NHS                       | 21.0          | 165.0         | 5.9              | 37.7          | 3.8           | 56.3          | 31.2          | 134.9           | 23.9          | 6.3           | 176.0         | 176.0         | 382.2          | 682.2          |
| O.F.A                     | 42.0          | 263.1         | 108.1            | 159.3         | 102.8         | 116.1         | 72.8          | 559.0           | 73.8          | 74.5          | 75.4          | 75.4          | 299.1          | 1121.2         |
| 100% FED                  | 13.8          | 56.2          | 1.8              | 3.4           | 0.0           | 0.0           | 0.0           | 5.2             | 0.0           | 0.0           | 0.0           | 0.0           | 0.0            | 61.4           |
| 100% STATE                | 49.0          | 240.5         | 70.5             | 72.2          | 119.0         | 85.6          | 175.0         | 522.3           | 179.4         | 190.6         | 205.7         | 205.7         | 781.4          | 1544.2         |
| TURNPIKE                  | 2.7           | 14.2          | 0.6              | 0.6           | 1.3           | 1.3           | 1.4           | 5.0             | 7.0           | 0.0           | 1.0           | 1.0           | 9.0            | 28.3           |
| TOLL,LOCAL,OTHER          | 28.1          | 114.0         | 11.4             | 3.2           | 22.6          | 21.6          | 2.7           | 61.4            | 2.7           | 2.8           | 2.8           | 2.8           | 11.1           | 186.5          |
| R/W & BDG. BONDS          | 50.1          | 224.7         | 66.3             | 28.1          | 0.0           | 0.0           | 0.0           | 94.4            | 0.0           | 0.0           | 0.0           | 0.0           | 0.0            | 319.1          |
| <b>PTO FUNDING</b>        | <b>615.7</b>  | <b>1572.7</b> | <b>988.1</b>     | <b>745.2</b>  | <b>739.9</b>  | <b>904.6</b>  | <b>786.5</b>  | <b>4164.4</b>   | <b>778.7</b>  | <b>800.3</b>  | <b>816.4</b>  | <b>816.4</b>  | <b>3211.8</b>  | <b>8948.8</b>  |
| I,IM                      | 4.0           | 4.0           | 4.0              | 4.0           | 4.0           | 4.0           | 4.0           | 20.0            | 0.0           | 0.0           | 0.0           | 0.0           | 0.0            | 24.0           |
| NHS                       | 0.0           | 1.6           | 3.5              | 2.1           | 2.1           | 2.1           | 2.1           | 11.9            | 2.1           | 0.0           | 0.0           | 0.0           | 2.1            | 15.6           |
| O.F.A                     | 11.9          | 26.0          | 19.4             | 17.3          | 13.1          | 54.3          | 20.6          | 124.7           | 20.9          | 21.1          | 21.4          | 21.4          | 84.8           | 235.5          |
| 100% FED                  | 66.2          | 395.5         | 161.2            | 43.6          | 47.6          | 60.3          | 57.8          | 370.6           | 58.8          | 59.5          | 60.3          | 60.3          | 238.8          | 1004.9         |
| 100% STATE                | 416.6         | 901.4         | 614.1            | 604.7         | 611.7         | 728.5         | 636.3         | 3195.2          | 629.1         | 649.6         | 662.5         | 662.5         | 2603.6         | 6700.2         |
| TURNPIKE                  | 0.0           | 0.0           | 0.0              | 0.0           | 0.0           | 0.0           | 0.0           | 0.0             | 0.0           | 0.0           | 0.0           | 0.0           | 0.0            | 0.0            |
| TOLL,LOCAL,OTHER          | 117.0         | 244.2         | 185.9            | 73.5          | 61.4          | 55.5          | 65.7          | 442.0           | 67.9          | 70.1          | 72.2          | 72.2          | 282.4          | 968.6          |
| R/W & BDG. BONDS          | 0.0           | 0.0           | 0.0              | 0.0           | 0.0           | 0.0           | 0.0           | 0.0             | 0.0           | 0.0           | 0.0           | 0.0           | 0.0            | 0.0            |
| <b>IN-HOUSE FUNDING</b>   | <b>663.0</b>  | <b>677.7</b>  | <b>691.5</b>     | <b>733.0</b>  | <b>762.3</b>  | <b>792.8</b>  | <b>824.5</b>  | <b>3804.0</b>   | <b>857.5</b>  | <b>891.8</b>  | <b>927.4</b>  | <b>927.4</b>  | <b>3604.1</b>  | <b>8085.8</b>  |
| I,IM                      | 1.7           | 11.1          | 7.3              | 3.4           | 0.0           | 0.0           | 0.0           | 10.7            | 0.0           | 0.0           | 0.0           | 0.0           | 0.0            | 21.8           |
| NHS                       | 2.9           | 22.9          | 1.4              | 2.4           | 11.7          | 11.3          | 6.4           | 33.2            | 6.6           | 6.9           | 6.9           | 6.9           | 27.2           | 83.3           |
| O.F.A                     | 89.0          | 115.6         | 104.2            | 102.4         | 105.6         | 104.9         | 159.4         | 576.6           | 162.9         | 166.5         | 166.5         | 166.5         | 662.3          | 1354.6         |
| 100% FED                  | 11.9          | 18.8          | 15.5             | 12.4          | 13.2          | 13.2          | 12.6          | 66.9            | 13.1          | 13.6          | 13.6          | 13.6          | 53.9           | 139.5          |
| 100% STATE                | 474.5         | 426.3         | 484.7            | 527.9         | 545.0         | 573.0         | 546.4         | 2677.0          | 569.3         | 593.3         | 625.0         | 625.0         | 2412.6         | 5515.9         |
| TURNPIKE                  | 80.3          | 71.6          | 71.8             | 77.4          | 80.5          | 83.8          | 87.1          | 400.7           | 90.6          | 94.2          | 98.0          | 98.0          | 380.8          | 853.1          |
| TOLL,LOCAL,OTHER          | 2.7           | 11.4          | 6.5              | 7.0           | 6.2           | 6.6           | 12.7          | 39.0            | 15.0          | 17.3          | 17.5          | 17.5          | 67.3           | 117.6          |
| <b>TOTAL PROGRAM</b>      | <b>5697.5</b> | <b>9345.8</b> | <b>6386.9</b>    | <b>5777.9</b> | <b>6740.7</b> | <b>6563.7</b> | <b>6036.9</b> | <b>31506.1</b>  | <b>6274.7</b> | <b>6450.9</b> | <b>6926.4</b> | <b>6926.4</b> | <b>26578.4</b> | <b>67430.4</b> |
| I,IM                      | 94.2          | 269.8         | 233.7            | 155.2         | 155.5         | 158.6         | 161.7         | 864.6           | 164.9         | 168.2         | 171.5         | 171.5         | 676.2          | 1810.7         |
| NHS                       | 318.8         | 1255.9        | 567.8            | 462.6         | 1012.6        | 722.5         | 706.9         | 3472.4          | 642.2         | 650.1         | 660.6         | 660.6         | 2613.4         | 7341.7         |
| O.F.A                     | 852.7         | 1727.9        | 1137.1           | 1040.1        | 1065.8        | 1114.4        | 1003.5        | 5360.8          | 1021.6        | 1037.3        | 1048.1        | 1048.1        | 4155.1         | 11243.8        |
| 100% FED                  | 1260.3        | 682.1         | 275.7            | 119.8         | 108.2         | 123.9         | 124.2         | 751.8           | 126.5         | 128.5         | 129.9         | 129.9         | 514.9          | 1948.7         |
| 100% STATE                | 2252.1        | 3509.2        | 2875.8           | 3050.7        | 3465.0        | 3664.9        | 3391.7        | 16448.1         | 3540.1        | 3686.5        | 3816.8        | 3816.8        | 14860.2        | 34817.6        |
| TURNPIKE                  | 464.8         | 435.2         | 483.6            | 405.2         | 332.6         | 366.0         | 295.9         | 1883.4          | 281.7         | 320.9         | 634.2         | 634.2         | 1871.0         | 4189.5         |
| TOLL,LOCAL,OTHER          | 376.9         | 1137.8        | 625.4            | 440.9         | 601.0         | 405.3         | 353.0         | 2425.6          | 497.7         | 459.4         | 465.2         | 465.2         | 1887.6         | 5451.0         |
| R/W & BDG. BONDS          | 77.8          | 328.0         | 187.9            | 103.4         | 0.0           | 8.1           | 0.0           | 299.5           | 0.0           | 0.0           | 0.0           | 0.0           | 0.0            | 627.5          |

**FLORIDA DEPARTMENT OF TRANSPORTATION**  
**2010/11 PROGRAM AND RESOURCE PLAN SUMMARY**  
**FISCAL YEARS 2011/12 TO 2019/20**  
(MILLIONS OF \$)

**12LBR01**  
**WORK PROGRAM**  
**FILE: 1-JULY-2010**  
(Excludes Hurricanes)

| PROGRAM AREAS                  | ACTUAL        |               | PLAN          |               | First Five Years |               |               |                |               | Next Four Years |               |               |                |                | 10 YR. |
|--------------------------------|---------------|---------------|---------------|---------------|------------------|---------------|---------------|----------------|---------------|-----------------|---------------|---------------|----------------|----------------|--------|
|                                | 09/10         | 10/11         | 11/12         | 12/13         | 13/14            | 14/15         | 15/16         | TOTAL          | 16/17         | 17/18           | 18/19         | 19/20         | TOTAL          | TOTAL          |        |
| <b>I. PRODUCT</b>              | 1002.0        | 2228.6        | 1608.6        | 1675.5        | 2011.4           | 2203.3        | 1969.3        | <b>9468.0</b>  | 2074.2        | 2164.8          | 2234.1        | 2234.1        | 8707.1         | <b>20403.8</b> |        |
| A. SIS/Intrastate Highways     | 67.5          | 313.9         | 243.2         | 194.0         | 351.1            | 365.3         | 309.1         | 1462.7         | 479.0         | 495.1           | 512.2         | 512.2         | 1998.5         | 3775.1         |        |
| B. Other Arterials             | 218.9         | 336.4         | 209.3         | 302.1         | 373.9            | 415.1         | 276.0         | 1576.4         | 236.2         | 260.4           | 265.4         | 265.4         | 1027.4         | 2940.3         |        |
| C. Right Of Way                | 49.0          | 240.5         | 70.5          | 72.2          | 119.0            | 85.6          | 175.0         | 522.3          | 179.4         | 190.6           | 205.7         | 205.7         | 781.4          | 1544.2         |        |
| D. Aviation                    | 159.3         | 121.9         | 134.8         | 147.6         | 125.5            | 133.3         | 160.8         | 702.0          | 156.7         | 162.4           | 166.0         | 166.0         | 651.1          | 1474.9         |        |
| E. Transit                     | 144.9         | 229.5         | 173.6         | 194.9         | 191.1            | 209.8         | 183.2         | 952.6          | 191.1         | 198.7           | 203.0         | 203.0         | 795.7          | 1977.7         |        |
| F. Rail                        | 39.4          | 466.9         | 204.2         | 151.0         | 239.3            | 235.0         | 202.1         | 1031.6         | 195.5         | 199.6           | 202.5         | 202.5         | 800.2          | 2298.6         |        |
| G. Intermodal Access           | 26.1          | 32.1          | 19.9          | 35.6          | 15.6             | 80.3          | 30.2          | 181.6          | 32.6          | 34.1            | 35.2          | 35.2          | 137.0          | 350.7          |        |
| H. Seaports                    | 46.9          | 51.1          | 81.7          | 75.5          | 40.3             | 70.0          | 60.0          | 327.6          | 53.1          | 54.8            | 55.9          | 55.9          | 219.7          | 598.3          |        |
| I. Safety                      | 3.8           | 3.6           | 0.9           | 0.7           | 0.7              | 0.7           | 0.6           | 3.5            | 0.6           | 0.6             | 0.6           | 0.6           | 2.4            | 9.5            |        |
| J. Resurfacing                 | 165.5         | 304.1         | 383.4         | 416.6         | 459.0            | 509.6         | 464.5         | 2233.2         | 438.9         | 453.8           | 469.1         | 469.1         | 1831.0         | 4368.2         |        |
| K. Bridge                      | 80.8          | 128.8         | 87.1          | 85.2          | 96.0             | 98.5          | 107.8         | 474.6          | 111.1         | 114.7           | 118.5         | 118.5         | 462.9          | 1066.3         |        |
| L. Trans. Outreach             | 0.0           | 0.0           | 0.0           | 0.0           | 0.0              | 0.0           | 0.0           | 0.0            | 0.0           | 0.0             | 0.0           | 0.0           | 0.0            | 0.0            |        |
| <b>II. PRODUCT SUPPORT</b>     | 537.6         | 559.5         | 492.7         | 522.1         | 564.3            | 520.6         | 547.0         | <b>2646.7</b>  | 558.7         | 579.8           | 603.7         | 603.7         | 2346.0         | <b>5552.1</b>  |        |
| A. Preliminary Engineering     | 311.0         | 301.4         | 284.7         | 275.2         | 277.8            | 273.6         | 268.9         | 1380.1         | 284.3         | 297.8           | 309.6         | 309.6         | 1201.3         | 2882.8         |        |
| B. Const.Eng.Inspection        | 74.8          | 90.1          | 78.4          | 104.7         | 129.6            | 96.2          | 101.8         | 510.7          | 92.8          | 95.0            | 98.1          | 98.1          | 383.9          | 984.7          |        |
| C. R/W Support                 | 42.1          | 68.3          | 38.8          | 40.2          | 54.7             | 43.4          | 61.6          | 238.8          | 62.8          | 64.2            | 67.2          | 67.2          | 261.4          | 568.5          |        |
| D.Environmental Mitigation     | 18.3          | 11.2          | 3.3           | 4.1           | 0.5              | 1.6           | 6.2           | 15.7           | 6.4           | 6.6             | 6.8           | 6.8           | 26.6           | 53.5           |        |
| E. Material & Research         | 33.0          | 35.3          | 36.3          | 37.8          | 38.0             | 40.3          | 37.0          | 189.3          | 38.5          | 39.9            | 41.8          | 41.8          | 162.0          | 386.6          |        |
| F. Planning & Environment      | 48.3          | 42.4          | 39.4          | 47.7          | 50.0             | 51.9          | 57.8          | 246.6          | 59.6          | 61.5            | 64.7          | 64.7          | 250.6          | 539.7          |        |
| G. Public Transport. Ops.      | 10.0          | 10.8          | 11.8          | 12.5          | 13.7             | 13.6          | 13.7          | 65.4           | 14.3          | 14.8            | 15.5          | 15.5          | 60.1           | 136.3          |        |
| <b>III. OPER. &amp; MAINT.</b> | 654.1         | 668.1         | 715.8         | 773.6         | 809.1            | 852.2         | 777.2         | <b>3927.9</b>  | 802.7         | 830.7           | 861.0         | 861.0         | 3355.3         | <b>7951.3</b>  |        |
| A. Operations & Maint.         | 565.8         | 575.6         | 607.4         | 651.0         | 682.1            | 708.6         | 655.7         | 3304.6         | 677.9         | 701.3           | 726.1         | 726.1         | 2831.3         | 6711.5         |        |
| B. Traffic Eng. & Opers.       | 59.5          | 64.1          | 78.0          | 89.0          | 92.4             | 107.2         | 82.4          | 448.9          | 84.2          | 87.1            | 90.4          | 90.4          | 352.2          | 865.2          |        |
| C. Toll Operations             | 0.0           | 0.0           | 0.0           | 0.0           | 0.0              | 0.0           | 0.0           | 0.0            | 0.0           | 0.0             | 0.0           | 0.0           | 0.0            | 0.0            |        |
| D. Motor Carrier Comp.         | 28.8          | 28.4          | 30.5          | 33.6          | 34.6             | 36.5          | 39.1          | 174.3          | 40.6          | 42.3            | 44.4          | 44.4          | 171.8          | 374.5          |        |
| <b>IV. ADMINISTRATION</b>      | 58.4          | 53.0          | 58.8          | 79.5          | 80.3             | 88.8          | 98.2          | <b>405.6</b>   | 104.6         | 111.2           | 118.1         | 118.1         | 451.8          | <b>910.4</b>   |        |
| A. Administration              | 26.0          | 6.1           | 9.8           | 6.2           | 6.2              | 7.6           | 14.1          | 43.9           | 17.4          | 20.8            | 24.3          | 24.3          | 86.7           | 136.6          |        |
| B. Fixed Capital               | 7.7           | 9.1           | 9.8           | 30.9          | 27.8             | 32.4          | 33.3          | 134.2          | 34.4          | 35.5            | 36.7          | 36.7          | 143.2          | 286.5          |        |
| C. Office Info. Systems        | 24.6          | 37.8          | 39.2          | 42.4          | 46.2             | 48.8          | 50.8          | 227.5          | 52.8          | 54.9            | 57.1          | 57.1          | 222.0          | 487.3          |        |
| <b>TOTAL PROGRAM</b>           | <u>2252.1</u> | <u>3509.2</u> | <u>2875.8</u> | <u>3050.7</u> | <u>3465.0</u>    | <u>3664.9</u> | <u>3391.7</u> | <b>16448.1</b> | <u>3540.1</u> | <u>3686.5</u>   | <u>3816.8</u> | <u>3816.8</u> | <u>14860.2</u> | <b>34817.6</b> |        |
| <b>V. OTHER</b>                | 186.4         | 185.4         | 214.4         | 261.1         | 284.3            | 299.8         | 340.9         | <b>1400.5</b>  | 363.0         | 387.9           | 397.1         | 397.1         | 1545.1         | <b>3131.0</b>  |        |
| A. Local Govt. Reimb.          | 30.2          | 7.0           | 16.7          | 43.4          | 11.9             | 0.0           | 8.5           | 80.5           | 8.8           | 9.1             | 9.4           | 9.4           | 36.6           | 124.1          |        |
| B. Other                       | 156.2         | 178.4         | 197.7         | 217.7         | 272.4            | 299.8         | 332.4         | 1319.9         | 354.2         | 378.8           | 387.8         | 387.8         | 1508.5         | 3006.8         |        |
| <b>TOTAL BUDGET</b>            | <u>2438.5</u> | <u>3694.6</u> | <u>3090.2</u> | <u>3311.8</u> | <u>3749.4</u>    | <u>3964.7</u> | <u>3732.6</u> | <b>17848.6</b> | <u>3903.1</u> | <u>4074.4</u>   | <u>4214.0</u> | <u>4214.0</u> | <u>16405.4</u> | <b>37948.6</b> |        |
| <b>HIGHLIGHTS:</b>             |               |               |               |               |                  |               |               |                |               |                 |               |               |                |                |        |
| 1. Construction                | 414.6         | 921.3         | 863.3         | 895.7         | 1168.9           | 1268.1        | 1037.9        | 5234.1         | 1141.9        | 1195.9          | 1232.6        | 1232.6        | 4803.0         | 10958.4        |        |
| 2. PTO (w/o TD Comm.)          | 416.6         | 901.4         | 614.1         | 604.7         | 611.7            | 728.5         | 636.3         | 3195.2         | 629.1         | 649.6           | 662.5         | 662.5         | 2603.6         | 6700.2         |        |
| 3. Prod.Supp.Consult.          | 306.5         | 323.8         | 277.0         | 285.2         | 322.4            | 269.5         | 301.0         | 1455.1         | 305.5         | 319.1           | 329.6         | 329.6         | 1283.8         | 3062.7         |        |
| a. Preliminary Eng.            | 228.1         | 230.7         | 197.7         | 179.5         | 184.9            | 172.4         | 189.2         | 923.7          | 202.4         | 213.4           | 220.5         | 220.5         | 856.8          | 2011.3         |        |
| b. Cst.Eng.Inspect.            | 74.8          | 90.1          | 78.4          | 104.7         | 129.6            | 96.2          | 101.8         | 510.7          | 92.8          | 95.0            | 98.1          | 98.1          | 383.9          | 984.7          |        |
| c. R/W Support                 | 3.6           | 2.9           | 0.9           | 1.1           | 7.9              | 0.9           | 10.0          | 20.8           | 10.3          | 10.7            | 11.0          | 11.0          | 43.0           | 66.7           |        |

**FLORIDA DEPARTMENT OF TRANSPORTATION**  
**2010/11 PROGRAM AND RESOURCE PLAN SUMMARY**  
**FISCAL YEARS 2011/12 TO 2019/20**  
(MILLIONS OF \$)

**12LBR01**  
**WORK PROGRAM**  
**FILE: 1-JULY-2010**  
(Excludes Hurricanes)

| PROGRAM AREAS                  | ACTUAL       | PLAN         | First Five Years |              |              |              |              |               | Next Four Years |              |              |              |               | 10 YR.        |
|--------------------------------|--------------|--------------|------------------|--------------|--------------|--------------|--------------|---------------|-----------------|--------------|--------------|--------------|---------------|---------------|
|                                | 09/10        | 10/11        | 11/12            | 12/13        | 13/14        | 14/15        | 15/16        | TOTAL         | 16/17           | 17/18        | 18/19        | 19/20        | TOTAL         | TOTAL         |
| <b>TURNPIKE</b>                |              |              |                  |              |              |              |              |               |                 |              |              |              |               |               |
| <b>I. PRODUCT</b>              | 110.1        | 101.1        | 201.1            | 173.2        | 100.4        | 106.8        | 47.6         | <b>629.1</b>  | 42.9            | 34.7         | 369.4        | 369.4        | 816.3         | <b>1546.5</b> |
| A. SIS/Intrastate Highways     | 98.9         | 53.7         | 112.8            | 139.6        | 76.7         | 75.1         | 16.1         | 420.2         | 5.9             | 4.7          | 338.4        | 338.4        | 687.3         | 1161.2        |
| B. Other Arterials             | 0.0          | 0.0          | 0.0              | 0.0          | 0.0          | 0.0          | 0.0          | 0.0           | 0.0             | 0.0          | 0.0          | 0.0          | 0.0           | 0.0           |
| C. Right Of Way                | 2.7          | 14.2         | 0.6              | 0.6          | 1.3          | 1.3          | 1.4          | 5.0           | 7.0             | 0.0          | 1.0          | 1.0          | 9.0           | 28.3          |
| D. Aviation                    | 0.0          | 0.0          | 0.0              | 0.0          | 0.0          | 0.0          | 0.0          | 0.0           | 0.0             | 0.0          | 0.0          | 0.0          | 0.0           | 0.0           |
| E. Transit                     | 0.0          | 0.0          | 0.0              | 0.0          | 0.0          | 0.0          | 0.0          | 0.0           | 0.0             | 0.0          | 0.0          | 0.0          | 0.0           | 0.0           |
| F. Rail                        | 0.0          | 0.0          | 0.0              | 0.0          | 0.0          | 0.0          | 0.0          | 0.0           | 0.0             | 0.0          | 0.0          | 0.0          | 0.0           | 0.0           |
| G. Intermodal Access           | 0.0          | 0.0          | 0.0              | 0.0          | 0.0          | 0.0          | 0.0          | 0.0           | 0.0             | 0.0          | 0.0          | 0.0          | 0.0           | 0.0           |
| H. Seaports                    | 0.0          | 0.0          | 0.0              | 0.0          | 0.0          | 0.0          | 0.0          | 0.0           | 0.0             | 0.0          | 0.0          | 0.0          | 0.0           | 0.0           |
| I. Safety                      | 0.0          | 0.0          | 0.0              | 0.0          | 0.0          | 0.0          | 0.0          | 0.0           | 0.0             | 0.0          | 0.0          | 0.0          | 0.0           | 0.0           |
| J. Resurfacing                 | 4.8          | 30.2         | 73.8             | 32.0         | 22.0         | 30.0         | 30.0         | 187.8         | 30.0            | 30.0         | 30.0         | 30.0         | 120.0         | 338.0         |
| K. Bridge                      | 3.7          | 3.0          | 13.9             | 1.1          | 0.5          | 0.5          | 0.0          | 16.0          | 0.0             | 0.0          | 0.0          | 0.0          | 0.0           | 19.0          |
| L. Trans. Outreach             | 0.0          | 0.0          | 0.0              | 0.0          | 0.0          | 0.0          | 0.0          | 0.0           | 0.0             | 0.0          | 0.0          | 0.0          | 0.0           | 0.0           |
| <b>II. PRODUCT SUPPORT</b>     | 107.4        | 119.6        | 89.6             | 69.1         | 63.3         | 69.5         | 72.2         | <b>363.6</b>  | 66.1            | 119.1        | 84.8         | 84.8         | 354.8         | <b>838.0</b>  |
| A. Preliminary Engineering     | 83.3         | 93.1         | 62.7             | 58.4         | 56.5         | 60.3         | 62.5         | 300.4         | 57.0            | 110.4        | 47.3         | 47.3         | 262.0         | 655.5         |
| B. Const.Eng.Inspection        | 22.7         | 22.9         | 25.9             | 9.5          | 5.6          | 8.1          | 8.1          | 57.2          | 6.9             | 7.1          | 35.9         | 35.9         | 85.8          | 165.8         |
| C. R/W Support                 | 0.7          | 2.1          | 0.6              | 0.7          | 0.7          | 0.7          | 0.7          | 3.4           | 1.3             | 0.7          | 0.7          | 0.7          | 3.5           | 9.0           |
| D. Environmental Mitigation    | 0.3          | 1.2          | 0.0              | 0.0          | 0.0          | 0.0          | 0.3          | 0.3           | 0.3             | 0.3          | 0.4          | 0.4          | 1.4           | 2.9           |
| E. Material & Research         | 0.0          | 0.0          | 0.0              | 0.0          | 0.0          | 0.0          | 0.0          | 0.0           | 0.0             | 0.0          | 0.0          | 0.0          | 0.0           | 0.0           |
| F. Planning & Environment      | 0.3          | 0.4          | 0.4              | 0.4          | 0.4          | 0.5          | 0.5          | 2.2           | 0.5             | 0.5          | 0.5          | 0.5          | 2.1           | 4.8           |
| G. Public Transport. Ops.      | 0.0          | 0.0          | 0.0              | 0.0          | 0.0          | 0.0          | 0.0          | 0.0           | 0.0             | 0.0          | 0.0          | 0.0          | 0.0           | 0.0           |
| <b>III. OPER. &amp; MAINT.</b> | 233.1        | 200.1        | 178.5            | 147.4        | 152.8        | 173.0        | 159.2        | <b>810.9</b>  | 155.1           | 148.7        | 160.9        | 160.9        | 625.5         | <b>1636.5</b> |
| A. Operations & Maint.         | 49.7         | 56.7         | 59.4             | 57.2         | 62.2         | 58.9         | 62.5         | 300.3         | 57.2            | 62.2         | 59.9         | 59.9         | 239.3         | 596.2         |
| B. Traffic Eng. & Opers.       | 1.3          | 1.4          | 1.4              | 1.7          | 1.7          | 1.8          | 1.9          | 8.4           | 1.9             | 2.0          | 2.1          | 2.1          | 8.2           | 18.0          |
| C. Toll Operations             | 182.0        | 142.1        | 117.8            | 88.5         | 88.9         | 112.3        | 94.8         | 502.2         | 95.9            | 84.5         | 98.8         | 98.8         | 378.1         | 1022.3        |
| D. Motor Carrier Comp.         | 0.0          | 0.0          | 0.0              | 0.0          | 0.0          | 0.0          | 0.0          | 0.0           | 0.0             | 0.0          | 0.0          | 0.0          | 0.0           | 0.0           |
| <b>IV. ADMINISTRATION</b>      | 14.2         | 14.3         | 14.4             | 15.5         | 16.1         | 16.7         | 17.0         | <b>79.8</b>   | 17.7            | 18.4         | 19.1         | 19.1         | 74.4          | <b>168.4</b>  |
| A. Administration              | 13.8         | 14.0         | 14.0             | 15.1         | 15.7         | 16.4         | 17.0         | 78.3          | 17.7            | 18.4         | 19.1         | 19.1         | 74.4          | 166.6         |
| B. Fixed Capital               | 0.4          | 0.3          | 0.4              | 0.4          | 0.4          | 0.4          | 0.0          | 1.5           | 0.0             | 0.0          | 0.0          | 0.0          | 0.0           | 1.8           |
| C. Office Info. Systems        | 0.0          | 0.0          | 0.0              | 0.0          | 0.0          | 0.0          | 0.0          | 0.0           | 0.0             | 0.0          | 0.0          | 0.0          | 0.0           | 0.0           |
| <b>TOTAL PROGRAM</b>           | <b>464.8</b> | <b>435.2</b> | <b>483.6</b>     | <b>405.2</b> | <b>332.6</b> | <b>366.0</b> | <b>295.9</b> | <b>1883.4</b> | <b>281.7</b>    | <b>320.9</b> | <b>634.2</b> | <b>634.2</b> | <b>1871.0</b> | <b>4189.5</b> |
| <b>V. OTHER</b>                | 0.0          | 0.0          | 0.0              | 0.0          | 0.0          | 0.0          | 0.0          | <b>0.0</b>    | 0.0             | 0.0          | 0.0          | 0.0          | 0.0           | <b>0.0</b>    |
| A. Local Govt. Reimb.          | 0.0          | 0.0          | 0.0              | 0.0          | 0.0          | 0.0          | 0.0          | 0.0           | 0.0             | 0.0          | 0.0          | 0.0          | 0.0           | 0.0           |
| B. Other                       | 0.0          | 0.0          | 0.0              | 0.0          | 0.0          | 0.0          | 0.0          | 0.0           | 0.0             | 0.0          | 0.0          | 0.0          | 0.0           | 0.0           |
| <b>TOTAL BUDGET</b>            | <b>464.8</b> | <b>435.2</b> | <b>483.6</b>     | <b>405.2</b> | <b>332.6</b> | <b>366.0</b> | <b>295.9</b> | <b>1883.4</b> | <b>281.7</b>    | <b>320.9</b> | <b>634.2</b> | <b>634.2</b> | <b>1871.0</b> | <b>4189.5</b> |
| <b>HIGHLIGHTS:</b>             |              |              |                  |              |              |              |              |               |                 |              |              |              |               |               |
| 1. Construction                | 107.4        | 86.9         | 200.5            | 172.7        | 99.2         | 105.6        | 46.1         | 624.1         | 35.9            | 34.7         | 368.4        | 368.4        | 807.3         | 1518.2        |
| 2. PTO (w/o TD Comm.)          | 0.0          | 0.0          | 0.0              | 0.0          | 0.0          | 0.0          | 0.0          | 0.0           | 0.0             | 0.0          | 0.0          | 0.0          | 0.0           | 0.0           |
| 3. Prod.Supp.Consult.          | 104.3        | 114.2        | 86.3             | 65.6         | 59.7         | 65.7         | 67.8         | 345.1         | 61.1            | 114.5        | 80.0         | 80.0         | 335.7         | 795.0         |
| a. Preliminary Eng.            | 81.6         | 90.8         | 60.4             | 55.9         | 53.9         | 57.6         | 59.7         | 287.5         | 54.1            | 107.4        | 44.2         | 44.2         | 249.8         | 628.1         |
| b. Cst.Eng.Inspect.            | 22.7         | 22.9         | 25.9             | 9.5          | 5.6          | 8.1          | 8.1          | 57.2          | 6.9             | 7.1          | 35.9         | 35.9         | 85.8          | 165.8         |
| c. R/W Support                 | 0.0          | 0.6          | 0.1              | 0.1          | 0.1          | 0.1          | 0.0          | 0.4           | 0.1             | 0.0          | 0.0          | 0.0          | 0.2           | 1.1           |

**FLORIDA DEPARTMENT OF TRANSPORTATION**  
**2010/11 PROGRAM AND RESOURCE PLAN SUMMARY**  
**FISCAL YEARS 2011/12 TO 2019/20**  
(MILLIONS OF \$)

**12LBR01**  
**WORK PROGRAM**  
**FILE: 1-JULY-2010**  
(Excludes Hurricanes)

| <b>ALL BUT TURNPIKE</b><br>PROGRAM AREAS | ACTUAL        | PLAN          | First Five Years |               |               |               |               |                | Next Four Years |               |               |               |                | 10 YR.         |
|------------------------------------------|---------------|---------------|------------------|---------------|---------------|---------------|---------------|----------------|-----------------|---------------|---------------|---------------|----------------|----------------|
|                                          | 09/10         | 10/11         | 11/12            | 12/13         | 13/14         | 14/15         | 15/16         | TOTAL          | 16/17           | 17/18         | 18/19         | 19/20         | TOTAL          | TOTAL          |
| <b>I. PRODUCT</b>                        | 3364.9        | 6564.5        | 3971.9           | 3449.7        | 4378.0        | 4228.2        | 3706.8        | <b>19734.7</b> | 3892.4          | 3992.7        | 4083.1        | 4083.1        | 16051.3        | <b>42350.5</b> |
| A. SIS/Intrastate Highways               | 981.2         | 1612.7        | 810.6            | 703.1         | 1602.2        | 1011.2        | 998.0         | 5125.2         | 1125.8          | 1206.4        | 1072.8        | 1072.8        | 4477.9         | 11215.7        |
| B. Other Arterials                       | 647.6         | 754.1         | 536.7            | 511.3         | 590.1         | 776.5         | 555.9         | 2970.5         | 564.8           | 605.8         | 603.2         | 603.2         | 2377.1         | 6101.6         |
| C. Right Of Way                          | 203.9         | 1064.3        | 265.0            | 303.8         | 248.1         | 279.6         | 281.8         | 1378.3         | 279.8           | 274.2         | 460.0         | 460.0         | 1473.8         | 3916.5         |
| D. Aviation                              | 160.6         | 139.2         | 134.8            | 147.8         | 130.0         | 133.3         | 160.8         | 706.7          | 156.7           | 162.4         | 166.0         | 166.0         | 651.1          | 1497.0         |
| E. Transit                               | 299.9         | 663.0         | 504.9            | 301.5         | 296.5         | 335.6         | 314.3         | 1752.8         | 325.3           | 333.7         | 341.1         | 341.1         | 1341.2         | 3757.0         |
| F. Rail                                  | 58.8          | 617.3         | 219.2            | 165.4         | 253.8         | 249.7         | 217.7         | 1105.9         | 207.5           | 211.8         | 214.8         | 214.8         | 848.8          | 2572.1         |
| G. Intermodal Access                     | 49.3          | 102.0         | 41.6             | 42.9          | 19.4          | 116.0         | 33.6          | 253.5          | 36.1            | 37.6          | 38.7          | 38.7          | 151.0          | 506.6          |
| H. Seaports                              | 46.9          | 51.1          | 87.6             | 87.5          | 40.3          | 70.0          | 60.0          | 345.4          | 53.1            | 54.8          | 55.9          | 55.9          | 219.7          | 616.1          |
| I. Safety                                | 136.6         | 145.3         | 125.2            | 112.7         | 119.1         | 129.2         | 112.7         | 598.8          | 114.6           | 116.1         | 117.6         | 117.6         | 465.8          | 1210.0         |
| J. Resurfacing                           | 540.7         | 967.0         | 882.9            | 827.7         | 880.0         | 904.8         | 766.8         | 4262.2         | 818.9           | 775.3         | 793.6         | 793.6         | 3181.3         | 8410.6         |
| K. Bridge                                | 239.4         | 448.3         | 363.4            | 245.9         | 198.6         | 222.3         | 205.1         | 1235.3         | 209.8           | 214.5         | 219.6         | 219.6         | 863.6          | 2547.3         |
| L. Trans. Outreach                       | 0.0           | 0.0           | 0.0              | 0.0           | 0.0           | 0.0           | 0.0           | 0.0            | 0.0             | 0.0           | 0.0           | 0.0           | 0.0            | 0.0            |
| <b>II. PRODUCT SUPPORT</b>               | 924.1         | 1303.6        | 911.5            | 826.0         | 891.2         | 776.6         | 893.5         | <b>4298.8</b>  | 924.6           | 924.1         | 957.5         | 957.5         | 3763.7         | <b>9366.1</b>  |
| A. Preliminary Engineering               | 474.4         | 602.8         | 456.5            | 375.1         | 404.0         | 351.9         | 399.0         | 1986.5         | 442.9           | 436.3         | 457.2         | 457.2         | 1793.5         | 4382.8         |
| B. Const.Eng.Inspection                  | 228.0         | 334.6         | 258.1            | 222.7         | 266.8         | 203.5         | 220.5         | 1171.6         | 192.3           | 195.2         | 195.6         | 195.6         | 778.8          | 2285.0         |
| C. R/W Support                           | 54.6          | 176.5         | 46.2             | 54.1          | 65.5          | 59.0          | 88.9          | 313.7          | 98.3            | 95.5          | 101.2         | 101.2         | 396.3          | 886.5          |
| D. Environmental Mitigation              | 22.1          | 22.2          | 4.1              | 23.4          | 0.5           | 1.6           | 7.2           | 36.7           | 7.4             | 7.6           | 7.8           | 7.8           | 30.6           | 89.6           |
| E. Material & Research                   | 42.5          | 49.8          | 43.8             | 45.4          | 46.9          | 48.7          | 51.8          | 236.6          | 53.6            | 55.5          | 57.4          | 57.4          | 223.8          | 510.3          |
| F. Planning & Environment                | 91.3          | 105.2         | 89.9             | 91.8          | 93.5          | 97.3          | 110.9         | 483.4          | 114.3           | 117.6         | 121.2         | 121.2         | 474.2          | 1062.8         |
| G. Public Transport. Ops.                | 11.1          | 12.5          | 12.8             | 13.5          | 14.1          | 14.6          | 15.2          | 70.3           | 15.8            | 16.5          | 17.1          | 17.1          | 66.5           | 149.3          |
| <b>III. OPER. &amp; MAINT.</b>           | 819.8         | 914.5         | 883.6            | 937.8         | 977.9         | 1022.4        | 964.0         | <b>4785.8</b>  | 992.9           | 1023.2        | 1054.4        | 1054.4        | 4125.0         | <b>9825.3</b>  |
| A. Operations & Maint.                   | 606.1         | 650.9         | 642.1            | 684.2         | 716.0         | 740.6         | 695.8         | 3478.8         | 719.4           | 744.2         | 769.7         | 769.7         | 3003.0         | 7132.7         |
| B. Traffic Eng. & Opers.                 | 70.3          | 88.4          | 83.3             | 95.1          | 101.5         | 119.4         | 93.5          | 492.8          | 96.7            | 99.9          | 103.3         | 103.3         | 403.1          | 984.4          |
| C. Toll Operations                       | 104.6         | 133.8         | 113.8            | 113.9         | 114.0         | 114.1         | 124.4         | 580.2          | 124.6           | 124.8         | 125.0         | 125.0         | 499.3          | 1213.3         |
| D. Motor Carrier Comp.                   | 38.8          | 41.3          | 44.4             | 44.7          | 46.4          | 48.3          | 50.2          | 234.0          | 52.2            | 54.3          | 56.5          | 56.5          | 219.6          | 494.9          |
| <b>IV. ADMINISTRATION</b>                | 124.0         | 128.2         | 136.4            | 159.1         | 160.9         | 170.4         | 176.7         | <b>803.5</b>   | 183.2           | 190.0         | 197.1         | 197.1         | 767.3          | <b>1699.0</b>  |
| A. Administration                        | 91.6          | 77.3          | 83.6             | 83.0          | 86.1          | 89.3          | 92.6          | 434.5          | 96.0            | 99.6          | 103.3         | 103.3         | 402.1          | 913.9          |
| B. Fixed Capital                         | 7.7           | 9.1           | 9.8              | 30.9          | 27.8          | 32.4          | 33.3          | 134.2          | 34.4            | 35.5          | 36.7          | 36.7          | 143.2          | 286.5          |
| C. Office Info. Systems                  | 24.6          | 41.7          | 43.1             | 45.1          | 47.0          | 48.8          | 50.8          | 234.8          | 52.8            | 54.9          | 57.1          | 57.1          | 222.0          | 498.5          |
| <b>TOTAL PROGRAM</b>                     | <b>5232.7</b> | <b>8910.7</b> | <b>5903.4</b>    | <b>5372.7</b> | <b>6408.0</b> | <b>6197.6</b> | <b>5741.0</b> | <b>29622.7</b> | <b>5993.1</b>   | <b>6130.0</b> | <b>6292.2</b> | <b>6292.2</b> | <b>24707.5</b> | <b>63240.9</b> |
| <b>V. OTHER</b>                          | 197.4         | 228.7         | 226.9            | 287.6         | 330.4         | 325.1         | 386.7         | <b>1556.7</b>  | 409.0           | 434.7         | 444.2         | 444.2         | 1732.3         | <b>3517.6</b>  |
| A. Local Govt. Reimb.                    | 49.3          | 53.6          | 33.5             | 50.1          | 15.9          | 4.1           | 34.8          | 138.4          | 35.5            | 36.1          | 36.7          | 36.7          | 145.0          | 337.0          |
| B. Other                                 | 148.2         | 175.1         | 193.4            | 237.5         | 314.4         | 321.0         | 351.9         | 1418.3         | 373.6           | 398.7         | 407.5         | 407.5         | 1587.3         | 3180.6         |
| <b>TOTAL BUDGET</b>                      | <b>5430.2</b> | <b>9139.4</b> | <b>6130.3</b>    | <b>5660.3</b> | <b>6738.4</b> | <b>6522.7</b> | <b>6127.7</b> | <b>31179.4</b> | <b>6402.1</b>   | <b>6564.7</b> | <b>6736.4</b> | <b>6736.4</b> | <b>26439.7</b> | <b>66758.5</b> |
| <b>HIGHLIGHTS:</b>                       |               |               |                  |               |               |               |               |                |                 |               |               |               |                |                |
| 1. Construction                          | 2392.7        | 3726.0        | 2631.2           | 2272.9        | 3247.9        | 2896.3        | 2485.5        | 13533.7        | 2676.5          | 2755.4        | 2639.0        | 2639.0        | 10710.0        | 27969.7        |
| 2. PTO (w/o TD Comm.)                    | 513.1         | 1468.9        | 884.2            | 698.2         | 697.2         | 867.6         | 734.7         | 3881.9         | 725.2           | 745.1         | 759.3         | 759.3         | 2989.0         | 8339.8         |
| 3. Prod.Supp.Consult.                    | 617.9         | 859.7         | 623.9            | 501.3         | 577.9         | 450.0         | 521.6         | 2674.8         | 533.3           | 525.3         | 542.2         | 542.2         | 2143.1         | 5677.6         |
| a. Preliminary Eng.                      | 385.5         | 511.9         | 364.9            | 276.8         | 301.8         | 245.7         | 288.5         | 1477.6         | 327.9           | 316.8         | 332.8         | 332.8         | 1310.3         | 3299.9         |
| b. Cst.Eng.Inspect.                      | 228.0         | 334.6         | 258.1            | 222.7         | 266.8         | 203.5         | 220.5         | 1171.6         | 192.3           | 195.2         | 195.6         | 195.6         | 778.8          | 2285.0         |
| c. R/W Support                           | 4.4           | 13.2          | 0.9              | 1.8           | 9.3           | 0.9           | 12.7          | 25.6           | 13.0            | 13.4          | 13.8          | 13.8          | 54.0           | 92.7           |

**FLORIDA DEPARTMENT OF TRANSPORTATION**

**LEGISLATIVE BUDGET REQUEST  
2010-11**



**Office of Financial Development  
October 15, 2010**

**FINANCE PLANS**

**for**



**State Transportation Trust Fund**

**Right-of-Way Acquisition and Bridge Construction Trust Fund**

**and**

**Turnpike Trust Funds**



FLORIDA DEPARTMENT OF TRANSPORTATION  
STATE TRANSPORTATION TRUST FUND (STTF)  
LEGISLATIVE BUDGET REQUEST FISCAL YEAR 2011-12  
OFFICE OF FINANCIAL DEVELOPMENT  
(IN MILLIONS)

| Fiscal Years                                                     | Actual<br>2010 | 2011           | 2012           | 2013           | 2014           | 2015           | 6 YEAR<br>TOTAL |
|------------------------------------------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|-----------------|
| <b>BEGINNING OF YEAR BALANCE</b>                                 | 411.8          | 312.0          | 274.5          | 228.3          | 130.6          | 120.2          | 411.8           |
| <b>REVENUES</b>                                                  |                |                |                |                |                |                |                 |
| FUEL TAX                                                         | 1,787.6        | 1,843.0        | 1,946.0        | 2,043.1        | 2,134.5        | 2,231.6        | 11,985.8        |
| AVIATION FUELS                                                   | 42.5           | 39.8           | 41.7           | 43.5           | 44.5           | 45.7           | 257.7           |
| MOTOR VEHICLE LICENSE/TAG FEES                                   | 669.5          | 667.1          | 697.5          | 730.5          | 758.9          | 783.1          | 4,306.6         |
| RENTAL CAR SURCHARGE                                             | 92.0           | 93.1           | 97.4           | 101.4          | 104.2          | 106.4          | 594.5           |
| DOCUMENTARY STAMPS                                               | 75.7           | 94.6           | 121.3          | 167.2          | 302.7          | 328.3          | 1,089.8         |
| MISCELLANEOUS REVENUE                                            | 82.2           | 77.7           | 80.0           | 82.4           | 84.9           | 87.4           | 494.5           |
| REIMBURSEMENTS                                                   | 740.0          | 769.1          | 818.3          | 932.4          | 947.0          | 1,009.8        | 5,216.6         |
| INTEREST                                                         | 11.2           | 7.8            | 8.9            | 7.7            | 5.8            | 4.4            | 45.8            |
| FEDERAL AID REIMBURSEMENTS                                       | <u>1,844.9</u> | <u>2,186.0</u> | <u>2,270.7</u> | <u>2,165.8</u> | <u>1,975.6</u> | <u>2,117.9</u> | <u>12,561.0</u> |
| <b>TOTAL REVENUES</b>                                            | <u>5,345.5</u> | <u>5,778.2</u> | <u>6,081.8</u> | <u>6,274.0</u> | <u>6,358.0</u> | <u>6,714.6</u> | <u>36,552.2</u> |
| <b>EXPENDITURES</b>                                              |                |                |                |                |                |                |                 |
| ADMINISTRATION/OPERATIONS/IN-HOUSE                               | 632.6          | 607.4          | 641.3          | 662.9          | 688.0          | 715.5          | 3,947.6         |
| MAINTENANCE                                                      | 344.5          | 382.9          | 492.6          | 511.2          | 509.7          | 525.7          | 2,766.5         |
| CONSULTANT SUPPORT                                               | 673.9          | 552.4          | 818.4          | 747.6          | 699.3          | 652.7          | 4,144.4         |
| RIGHT-OF-WAY                                                     | 150.2          | 200.5          | 218.5          | 182.6          | 228.3          | 273.1          | 1,253.2         |
| CONSTRUCTION                                                     | 2,041.4        | 2,073.9        | 2,265.3        | 2,484.9        | 2,456.9        | 2,673.5        | 13,995.9        |
| PUBLIC TRANSPORTATION                                            | 631.7          | 1,125.8        | 726.1          | 758.3          | 762.8          | 781.7          | 4,786.5         |
| MISCELLANEOUS EXPENDITURES                                       | 377.1          | 269.0          | 304.9          | 343.9          | 378.4          | 376.4          | 2,049.8         |
| FIXED CAPITAL OUTLAY                                             | <u>7.7</u>     | <u>3.8</u>     | <u>9.5</u>     | <u>23.4</u>    | <u>31.3</u>    | <u>30.3</u>    | <u>106.0</u>    |
| SUBTOTAL                                                         | 4,859.2        | 5,215.8        | 5,476.7        | 5,714.8        | 5,754.6        | 6,028.9        | 33,049.9        |
| <b>OTHER FUNDED</b>                                              |                |                |                |                |                |                |                 |
| LOCAL FUNDS/STATE INFRASTRUCTURE BANK                            | 231.4          | 303.5          | 357.3          | 357.6          | 317.5          | 459.6          | 2,026.8         |
| TOLL/TURNPIKE SERVICES                                           | 234.6          | 296.4          | 294.1          | 299.3          | 296.3          | 296.0          | 1,716.8         |
| LEGISLATIVE CASH SWEEP                                           | <u>120.2</u>   | <u>-</u>       | <u>-</u>       | <u>-</u>       | <u>-</u>       | <u>-</u>       | <u>120.2</u>    |
| SUBTOTAL                                                         | 586.2          | 599.9          | 651.3          | 656.9          | 613.8          | 755.6          | 3,863.7         |
| <b>TOTAL EXPENDITURES</b>                                        | 5,445.3        | 5,815.7        | 6,128.0        | 6,371.7        | 6,368.4        | 6,784.4        | 36,913.7        |
| <b>END OF YEAR BALANCE AVAILABLE FOR OUTSTANDING COMMITMENTS</b> | 312.0          | 274.5          | 228.3          | 130.6          | 120.2          | 50.4           | 50.4            |
| <b>OUTSTANDING COMMITMENTS</b>                                   | 5,414.2        | 5,784.7        | 5,927.8        | 5,368.7        | 5,768.5        | 5,659.1        |                 |

**Assumptions Used:**

- 1 Fuel Tax, Aviation Fuels, Motor Vehicle License/Tag Fees, Rental Car Surcharge, and Documentary Stamps revenue are based on the Revenue Estimating Conference (REC) Forecasts in February and March 2010.
- 2 Includes the Legislative Cash Sweep of \$120.2M.
- 3 Includes a GARVEE bond sale in FY 2011.
- 4 Federal Aid Funding levels are based on the Official Federal Aid Highway Forecast of September 2005.
- 5 Includes Advanced Construction Forecast Plans [SA11EB01A]; [SA11EXP01A]; updated July 2010.
- 6 Includes the Districts' cash requirements for Right-of-Way expenditures reported by the Right of Way Office in July 2010 for FY 2011 and FY 2012.
- 7 Includes annual transfer to Right-of-Way Acquisition and Bridge Construction Trust Fund for debt service. Also includes temporary advance of STTF funds each fiscal year to be reimbursed by bond proceeds from bond sales in FY 2011 through FY 2015. [Plan RA11F01A, July 2010]
- 8 Includes reimbursement of \$208.2M in long-term receivables from toll facilities in FY 2011 through FY 2015 and payment of \$149.7M in operating and maintenance subsidies/loans for toll facilities in FY 2011 through FY 2015.
- 9 Includes \$10M per year for operating budget reversions.
- 10 Includes Adopted Work Program, Base Tape of July 1, 2010 [11ADOPT1]. The rollforwards in Construction (24%), Consultants (32%), Right-of-Way Operations (72%), Fixed Capital Outlay (35%) and Public Transportation (31%) are based on current year contingency analysis and program lapse trends.

FLORIDA DEPARTMENT OF TRANSPORTATION  
RIGHT OF WAY ACQUISITION and BRIDGE CONSTRUCTION TRUST FUND  
LEGISLATIVE BUDGET REQUEST FISCAL YEAR 2011-12  
OFFICE OF FINANCIAL DEVELOPMENT  
(IN MILLIONS)

| Fiscal Years              | Actual<br>2010 | 2011        | 2012         | 2013         | 2014         | 2015         | 6 YEAR<br>TOTAL |
|---------------------------|----------------|-------------|--------------|--------------|--------------|--------------|-----------------|
| <b>Bond Sales</b>         |                |             | 101.2        | 202.4        | 253.0        | 207.4        | 764.0           |
| <b>Beginning Balance</b>  | 50.9           | 56.1        | 50.0         | 50.0         | 50.0         | 50.0         | 50.9            |
| <b>Revenues</b>           |                |             |              |              |              |              |                 |
| Net Proceeds from Bonds   | 205.5          | -           | 100.0        | 200.0        | 250.0        | 205.0        | 960.5           |
| Investment Interest       | 1.0            | 0.7         | 0.9          | 1.1          | 1.2          | 1.3          | 6.2             |
| Transfers in:             |                |             |              |              |              |              |                 |
| Debt Service              | 142.2          | 142.6       | 148.9        | 162.4        | 179.8        | 194.8        | 970.8           |
| Miscellaneous             | 0.1            | -           | -            | -            | -            | -            | 0.1             |
| Fund Advances from STTF   | <u>101.8</u>   | <u>59.4</u> | <u>80.5</u>  | <u>125.6</u> | <u>143.7</u> | <u>122.1</u> | <u>633.0</u>    |
| <b>Total Revenues</b>     | 450.6          | 202.7       | 330.3        | 489.1        | 574.7        | 523.2        | 2,570.5         |
| <b>Expenditures</b>       |                |             |              |              |              |              |                 |
| Right of Way Projects     | 52.2           | 41.4        | 46.3         | 59.0         | 61.0         | 60.0         | 320.0           |
| Bridge Projects           | 45.5           | 24.7        | 35.0         | 67.7         | 83.8         | 63.4         | 320.2           |
| Debt Service              | 142.2          | 142.6       | 148.9        | 162.4        | 179.8        | 194.8        | 970.8           |
| Transfers to STTF:        |                |             |              |              |              |              |                 |
| Repayment of Advances     | <u>205.5</u>   | -           | <u>100.0</u> | <u>200.0</u> | <u>250.0</u> | <u>205.0</u> | <u>960.5</u>    |
| <b>Total Expenditures</b> | 445.4          | 208.7       | 330.3        | 489.1        | 574.7        | 523.2        | 2,571.4         |
| <b>Ending Balance</b>     | 56.1           | 50.0        | 50.0         | 50.0         | 50.0         | 50.0         | 50.0            |

**Assumptions Used:**

- 1 Interest rates on bond issues are in accordance with the "20 Municipal Bond Average", Global Insight & DRI-WEFA Reports, July 2010. Interest rates used: 4.50% - 2011, 4.73% - 2012, 5.34% - 2013, 5.60% - 2014, 6.04% - 2015
- 2 Bond sales are estimated to be in FY 2011 through FY 2015 with no debt service reserve requirement.
- 3 Funds are advanced from the State Transportation Trust Fund and are reimbursed from bond proceeds.

**FLORIDA DEPARTMENT OF TRANSPORTATION  
TURNPIKE ENTERPRISE REVENUE FUNDS  
LEGISLATIVE BUDGET REQUEST FISCAL YEAR 2011-12  
OFFICE OF FINANCIAL DEVELOPMENT  
(IN MILLIONS)**

| Fiscal Years                                                                       | Actual<br>2010  | 2011         | 2012         | 2013         | 2014         | 2015         | 6 YEAR<br>TOTAL |
|------------------------------------------------------------------------------------|-----------------|--------------|--------------|--------------|--------------|--------------|-----------------|
| <b>BEGINNING OF YEAR BALANCE</b>                                                   | 332.3           | 391.0        | 354.4        | 314.2        | 287.5        | 276.8        | 332.3           |
| <b>REVENUES</b>                                                                    |                 |              |              |              |              |              |                 |
| TOLL REVENUE                                                                       | 597.6           | 569.9        | 576.8        | 584.6        | 593.4        | 604.7        | 3,527.1         |
| CONCESSIONS                                                                        | 9.9             | 7.4          | 7.4          | 7.4          | 7.6          | 7.8          | 47.6            |
| MISCELLANEOUS                                                                      | 47.6            | 7.6          | 2.0          | 1.1          | 0.9          | 0.7          | 59.9            |
| REIMBURSEMENTS FROM BOND FUNDS                                                     | 298.6           | 270.2        | 102.8        | 131.3        | 68.9         | 65.2         | 937.0           |
| TRANSFER FROM STATE INFRASTRUCTURE BANK ESCROW<br>ACCOUNT                          | 2.3             | 1.1          | -            | -            | -            | -            | 3.4             |
| INTEREST                                                                           | <del>9.9</del>  | 11.8         | 13.0         | 13.0         | 14.4         | 71.8         |                 |
| <b>TOTAL REVENUES</b>                                                              | <u>965.7</u>    | <u>866.2</u> | <u>700.9</u> | <u>737.5</u> | <u>683.8</u> | <u>692.8</u> | <u>4,646.8</u>  |
| <b>EXPENDITURES</b>                                                                |                 |              |              |              |              |              |                 |
| TRANSFER TO OPERATIONS & MAINTENANCE FUND HELD BY<br>STATE BOARD OF ADMINISTRATION | -               |              |              |              |              |              | -               |
| OPERATIONS & MAINTENANCE                                                           | 187.0           | 195.5        | 199.6        | 199.7        | 206.9        | 212.0        | 1,200.9         |
| NET DEBT SERVICE                                                                   | 221.9           | 237.7        | 243.2        | 253.6        | 259.9        | 261.5        | 1,477.8         |
| RENEWAL & REPLACEMENT FUND DISBURSEMENTS                                           | 30.2            | 32.3         | 57.1         | 64.5         | 49.7         | 45.7         | 279.4           |
| GENERAL RESERVE FUND DISBURSEMENTS                                                 | 152.1           | 273.8        | 135.6        | 110.9        | 101.9        | 95.9         | 870.2           |
| DISBURSEMENTS FOR BOND FUNDS                                                       | 236.0           | 145.2        | 102.8        | 131.3        | 68.9         | 65.2         | 749.4           |
| MISCELLANEOUS                                                                      | <del>7.88</del> | 2.7          | 4.2          | 7.2          | 9.2          | 121.3        |                 |
| <b>TOTAL EXPENDITURES</b>                                                          | <u>906.9</u>    | <u>902.8</u> | <u>741.1</u> | <u>764.2</u> | <u>694.5</u> | <u>689.6</u> | <u>4,699.1</u>  |
| <b>END OF YEAR BALANCE AVAILABLE FOR OUTSTANDING COMMITMENTS</b>                   | 391.0           | 354.4        | 314.2        | 287.5        | 276.8        | 280.0        | 280.0           |
| <b>OUTSTANDING COMMITMENTS</b>                                                     | 415.4           | 432.6        | 254.6        | 141.9        | 120.3        | 151.9        |                 |

**Assumptions used:**

- 1 Planned commitments are from the July 1, 2010, tape of the Adopted file in Work Program Administration (WPA).
- 2 The prior year commitment balance to flow is from the Office of Comptroller's July 20, 2010 Certified Forward Request for balances as of July 1, 2010.
- 3 Selected amounts totalling 441.6 million are being force flowed to ensure the cash is available when needed: 61.9 million for service plazas, 80.0 million for the I-4 Connector, 144.0 million for the I-595 public-private partnership, and 155.7 million for toll system replacement.
- 4 Project costs have been updated to reflect project managements' estimates of present day costs. The estimates of present day costs of construction and construction engineering and inspection were inflated using the indices in WPA.
- 5 Revenue projections are from the Traffic Engineer's Annual Report for Fiscal Year Ended June 30, 2009.
- 6 Operations and Maintenance Costs (O&M) are based on the O&M Budget projections of Florida's Turnpike Enterprise Finance Office dated December 9, 2009.
- 7 Interest rates for earnings are based on rates from the Treasurer's Investment Earnings Yield Forecast received March 15, 2010.
- 8 Lapse rates are assumed in the Turnpike Enterprise's General Reserve, Renewal and Replacement, and Master Bond trust funds.
- 9 The State Infrastructure Bank provided a \$55.5 million construction loan for Seminole II, and a \$16.9 million interest cost loan over FYs 2000/2011. Repayment of the construction loan started in FY 2004 and is scheduled to be completed in FY 2026. Repayment of the interest cost loan is scheduled to start in FY 2011 and be completed in FY 2034.
- 10 Turnpike Enterprise has received loans from the Toll Facility Revolving Trust Fund (TFRTF) of \$1.5 million in FY 2003 for the Western Beltway Part C expansion project, \$1.5 million in FYs 2004 and 2005 for the Hollywood Boulevard interchange modification, \$1.5 million in 2006 for the Lake Worth Rd interchange modification, \$1.5 million in 2007 for the Hollywood Boulevard interchange modification, and \$1.5 million in 2008 for the Lake Worth Rd interchange modification. Repayments of the loans started in FY 2010 and are scheduled to be completed in FY 2020.
- 11 In accordance with Section 4.03(5) of the 1989 Turnpike Bond Resolution, Post, Buckley, Schuh & Jernigan (PBS&J) certified, in their May 1, 2010 letter, that the April 8, 2010 programmed commitment level in the Renewal and Replacement fund of \$43,749,000 for fiscal 2010 was sufficient and, based on a cash forecast from the Department's Comptroller, that the beginning cash balance plus expected transfers into the fund were sufficient to cover the projected payouts for fiscal 2011. The July 1, 2010 adopted programmed commitment level in the Renewal and Replacement fund exceeds the level certified by PBS&J and the Department's Comptroller's cash forecast shows the beginning balance plus expected transfers into the fund are sufficient to cover the payouts projected for fiscal 2011.

**FLORIDA DEPARTMENT OF TRANSPORTATION  
TURNPIKE ENTERPRISE BOND FUNDS  
LEGISLATIVE BUDGET REQUEST FISCAL YEAR 2011-12  
OFFICE OF FINANCIAL DEVELOPMENT  
(IN MILLIONS)**

| Fiscal Years                                                     | 2010         | 2011         | 2012         | 2013         | 2014        | 2015        | 6 YEAR<br>TOTAL |
|------------------------------------------------------------------|--------------|--------------|--------------|--------------|-------------|-------------|-----------------|
| <b>BEGINNING OF YEAR BALANCE</b>                                 | 0.0          | 250.1        | 42.8         | 54.7         | 28.7        | 27.2        | -               |
| <b>SOURCES</b>                                                   |              |              |              |              |             |             |                 |
| NET BOND PROCEEDS                                                | 547.8        | 59.1         | 113.0        | 103.6        | 66.1        | 41.8        | 931.3           |
| MISCELLANEOUS (FROM/TO) GENERAL RESERVE FUND                     | -            | -            | -            | -            | -           | -           | -               |
| INTEREST ON FUNDS                                                | 0.9          | 3.9          | 1.7          | 1.8          | 1.3         | 0.8         | 10.4            |
| TOTAL SOURCES                                                    | <u>548.6</u> | <u>63.0</u>  | <u>114.7</u> | <u>105.3</u> | <u>67.4</u> | <u>42.6</u> | <u>941.7</u>    |
| <b>USES</b>                                                      |              |              |              |              |             |             |                 |
| REIMBURSEMENT TO TURNPIKE GENERAL RESERVE FUND                   | <u>298.6</u> | <u>270.2</u> | <u>102.8</u> | <u>131.3</u> | <u>68.9</u> | <u>65.2</u> | <u>937.0</u>    |
| TOTAL USES                                                       | <u>298.6</u> | <u>270.2</u> | <u>102.8</u> | <u>131.3</u> | <u>68.9</u> | <u>65.2</u> | <u>937.0</u>    |
| <b>END OF YEAR BALANCE AVAILABLE FOR OUTSTANDING COMMITMENTS</b> | 250.1        | 42.8         | 54.7         | 28.7         | 27.2        | 4.6         | 4.6             |
| OUTSTANDING COMMITMENTS                                          | 407.6        | 197.9        | 80.2         | 117.7        | 59.3        | 62.3        |                 |

**Assumptions used:**

- 1 Planned commitments are from the July 1, 2010, tape of the Adopted file in Work Program Administration (WPA).
- 2 The prior year commitment balance to flow is from the Office of Comptroller's July 20, 2010 Certified Forward Request for balances as of July 1, 2010.
- 3 Project costs have been updated to reflect project managements' estimates of present day costs. The estimates of present day costs of construction and construction engineering and inspection were inflated using the indices in WPA.
- 4 Selected planned commitments for three projects: 61.9 million for the service plaza projects, 80.0 million for the Tampa Crosstown Connector project, and 144.0 million for the I-595 P3 project are being force flowed to ensure the cash is available when needed. The total required for the I-595 P3 project is 157.9 million. There is a 5.9 million lump-sum contract incentive programmed in 2013 and an 8.0 million construction phase programmed in 2016 which, when added to the 144.0 million, brings the total up to the required 157.9.
- 5 The finance plan includes eight bond sales: \$323.4 million sold in July 2009, \$251.1 million sold in June 2010, \$64.2 million to be sold in May 2011, \$35.9 million to be sold in November 2011, \$86.9 million to be sold in May 2012, \$112.4 million to be sold in November 2012, \$71.8 million to be sold in November 2013, and \$45.6 million to be sold in November 2014. Bonds are scheduled to be sold each year to cover that year's reimbursements to the Turnpike System's General Reserve Fund for bondable disbursements made prior to the sale of the bond plus 7/12s of that year's projected product disbursements plus 5/12s of the next year's projected product disbursements. Interest costs, proceeds, and debt service on all proposed bond issues were calculated by Mun-Ease. Interest rates used were the higher of the Municipal Bond Buyer 20-Bond Index or 5.5% with 5.5% being higher for all sales except the November 2014 sale for which the Municipal Bond Buyer 20-Bond Index was 6.040%. Debt service reserve accounts were included in all projected bond sales. Comparing the \$10.0 billion outstanding bond cap to the total of the par amount of outstanding principal for bonds already issued plus the estimated par value of the eight planned bond sales above shows the Turnpike System's program is under the \$10.0 billion outstanding bonds cap by approximately \$7.1 billion.
- 6 The budget for disbursements of cash from the Turnpike's master bond fund is in the Turnpike General Reserve Fund. So the disbursements must be made, initially, from the General Reserve Fund. The General Reserve Fund is reimbursed monthly from the master bond fund.
- 7 Interest rates for earnings are based on rates from the Treasurer's Investment Earnings Yield Forecast received March 15, 2010.

## Assumptions



# Florida Department of Transportation Cash Forecast Facts

Actual Activity through June 30, 2010

- The State Transportation Trust Fund  
**ADOPT0111 July 1, 2010 Work Program Plan**
  
- The Right of Way Acquisition & Bridge  
Construction Trust Fund  
**ADOPT0111 July 1, 2010 Work Program Plan**
  
- Florida's Turnpike Funds  
**TPAD0111 July 1, 2010 Work Program Plan**

# State Transportation Trust Fund Cash Forecast Facts

## Latest Updates

|                                                  |             |
|--------------------------------------------------|-------------|
| Fuel Taxes, Aviation Fuel and Motor Vehicle Fees | March 2010  |
| Federal Aid Effective Rates                      | August 2009 |
| AC Conversion Plan                               | June 2010   |
| Interest Rates                                   | March 2010  |
| Long-Term Receivables                            | July 2010   |
| Two-Year District ROW Cash Plan                  | July 2010   |
| ROW Bond Plan                                    | July 2010   |
| Bond Rates                                       | July 2010   |
| Commitment Profiles                              | August 2009 |
| Cash Flow Profiles                               | August 2009 |

## REC

|                    | Revenue (in Millions) |                  |                  |                  |                  |
|--------------------|-----------------------|------------------|------------------|------------------|------------------|
|                    | FY 2011               | FY 2012          | FY 2013          | FY 2014          | FY 2015          |
| Fuel Taxes         | \$ 1,936.1            | \$ 2,043.4       | \$ 2,144.5       | \$ 2,238.7       | \$ 2,338.0       |
| Aviation Fuel      | \$ 39.8               | \$ 41.7          | \$ 43.5          | \$ 44.5          | \$ 45.7          |
| Motor Vehicle Fees | \$ 667.1              | \$ 697.5         | \$ 730.5         | \$ 758.9         | \$ 783.1         |
| <b>Total REC</b>   | <b>\$ 2643.0</b>      | <b>\$ 2782.6</b> | <b>\$ 2918.5</b> | <b>\$ 3042.1</b> | <b>\$ 3166.8</b> |

## Growth Management

The following amounts are being transferred from General Revenue and Department of Revenue Documentary Stamp Trust Fund into STTF as part of the Growth Management Legislation passed in 2005, and updated based on the Revenue Estimating Conference Forecast of March 2010.

| <u>FY 2011</u> | <u>FY 2012</u> | <u>FY 2013</u> | <u>FY 2014</u> | <u>FY 2015</u> |
|----------------|----------------|----------------|----------------|----------------|
| \$94.5M        | \$121.3M       | \$167.2M       | \$302.7M       | \$328.3M       |

# State Transportation Trust Fund Cash Forecast Facts

Grant Anticipation Revenue Vehicle (GARVEE) Bond Sales are scheduled on an as needed basis:

| <u>FY 2011</u> | <u>FY 2012</u> | <u>FY 2013</u> | <u>FY 2014</u> | <u>FY 2015</u> |
|----------------|----------------|----------------|----------------|----------------|
| \$0M           | \$0M           | \$0M           | \$0M           | \$0M           |

ROW bond sales are scheduled on as needed basis with annual debt service cap of \$275M:

| <u>Fiscal Year</u> | <u>Bond Sales</u> | <u>Debt Service</u> |
|--------------------|-------------------|---------------------|
| 2011               | \$0.0 M           | \$142.6M            |
| 2012               | \$100.0 M         | \$148.8M            |
| 2013               | \$200.0 M         | \$162.0M            |
| 2014               | \$175.0 M         | \$173.7M            |
| 2015               | \$100.0 M         | \$180.8M            |

Annual transfer of Right of Way Acquisition and Bridge Construction Trust Fund for Debt Service is included in disbursements.

The state-wide Advanced Construction projects are converted to federal funds as needed to fund the work program based on current and projected expenditure levels. AC conversions are anticipated as follows:

| <u>FY 2011</u> | <u>FY 2012</u> | <u>FY 2013</u> | <u>FY 2014</u> | <u>FY 2015</u> |
|----------------|----------------|----------------|----------------|----------------|
| \$463.8M       | \$827.6M       | \$1146.0M      | \$1162.3M      | \$1221.4M      |

| <u>FY 2011</u> | <u>FY 2012</u> | <u>FY 2013</u> | <u>FY 2014</u> | <u>FY 2015</u> |
|----------------|----------------|----------------|----------------|----------------|
| 2.7%           | 3.6%           | 4.4%           | 4.7%           | 5.3%           |

**Bonds**

**Advanced  
Construction**

**Interest Rates**

# State Transportation Trust Fund Cash Forecast Facts

## Long-Term Receivables

|                                                         | FY 10/11            | FY 11/12            | FY 12/13            | FY 13/14            | FY 14/15            |
|---------------------------------------------------------|---------------------|---------------------|---------------------|---------------------|---------------------|
| <b>O &amp; M SET UP AS<br/>L-T DEBT:</b>                |                     |                     |                     |                     |                     |
| Bee Line                                                | 658,595             | 665,176             | 741,316             | 725,425             | 750,815             |
| Garcon                                                  | 1,201,958           | 1,240,212           | 1,279,795           | 1,320,758           | 1,363,145           |
| OOCEA                                                   | 8,151,064           | 8,436,351           | 8,731,624           | 9,037,230           | 9,353,533           |
| Bayway                                                  | 727,318             | 777,974             | 843,349             | 854,949             | 884,872             |
| Seminole II                                             | 1,764,000           | -                   | -                   | -                   | -                   |
| SR 80                                                   | 998,000             | 836,000             | 676,000             | 520,000             | 365,000             |
| Suncoast                                                | 5,622,000           | -                   | -                   | -                   | -                   |
| Suncoast II                                             | -                   | -                   | -                   | -                   | -                   |
| I-95 Express                                            | 10,021,930          | 14,489,607          | 18,351,773          | 18,880,387          | 19,460,610          |
| <b>TOTAL</b>                                            | <b>29,144,865</b>   | <b>26,445,320</b>   | <b>30,623,857</b>   | <b>31,338,749</b>   | <b>32,177,976</b>   |
| <b>EXCESS REVENUE APPLIED<br/>TO L-T DEBT DUE STTF:</b> |                     |                     |                     |                     |                     |
| Bee Line                                                | -                   | -                   | -                   | -                   | -                   |
| Everglades (R&R Fund Reimb)                             | 10,586,154          | 11,797,431          | 5,974,369           | 1,549,840           | 790,182             |
| MDX                                                     | -                   | -                   | -                   | -                   | -                   |
| Mid-Bay                                                 | 1,043,682           | (1,265,756)         | 1,161,597           | 3,054,909           | 3,263,293           |
| Skyway                                                  | 9,090,490           | 10,137,970          | 9,859,319           | 11,195,524          | 11,531,337          |
| THCEA                                                   | -                   | -                   | -                   | 5,952,055           | 6,893,568           |
| Turnpike - Seminole II                                  | -                   | 101,000             | 227,000             | 349,000             | 470,000             |
| Turnpike - SR 80                                        |                     |                     |                     |                     |                     |
| Turnpike - Suncoast                                     | -                   | 3,256,000           | 4,997,000           | 6,730,000           | 8,419,000           |
| I-95 Express                                            | 9,600,000           | 12,120,000          | 19,585,920          | 19,781,779          | 19,979,597          |
| <b>TOTAL</b>                                            | <b>\$30,320,326</b> | <b>\$36,146,644</b> | <b>\$41,805,205</b> | <b>\$48,613,107</b> | <b>\$51,346,977</b> |



# State Transportation Trust Fund Cash Forecast Facts

## Lapse Rates

|              | Lapse Rates |         |         |         |         |         |
|--------------|-------------|---------|---------|---------|---------|---------|
|              | FY 2010     | FY 2011 | FY 2012 | FY 2013 | FY 2014 | FY 2015 |
| Construction | 24%         | 24%     | 24%     | 24%     | 24%     | 24%     |
| Consultant   | 32%         | 32%     | 32%     | 32%     | 32%     | 32%     |
| PTO          | 31%         | 31%     | 31%     | 31%     | 31%     | 31%     |

## Right of Way Disbursements

| FY 2011  | FY 2012  | FY 2013  | FY 2014  | FY 2015  |
|----------|----------|----------|----------|----------|
| \$200.6M | \$218.8M | \$182.6M | \$228.3M | \$273.1M |

## State Infrastructure Bank (SIB)

The following amounts are set aside to fund the State Funded Infrastructure Bank:

| FY 2011 | FY 2012 | FY 2013 | FY 2014 | FY 2015 |
|---------|---------|---------|---------|---------|
| \$10.0M | \$10.0M | \$10.0M | \$10.0M | \$20.0M |

# State Transportation Trust Fund Cash Forecast Facts

## Hurricane Related

For FY2005 and FY2006 hurricanes, a \$2.9M encumbrance balance is included for permanent restoration and FEMA related expenditures. The Expenditures and Federal Aid Reimbursement are forecasted to occur as follows:

| Fiscal Year | Expenditures | Reimbursements |
|-------------|--------------|----------------|
| 2011        | \$1.3M       | \$.9M          |
| 2012        | \$1.3M       | \$1.3M         |
| 2013        | \$.3M        | \$.6M          |

# State Transportation Trust Fund Cash Forecast Facts

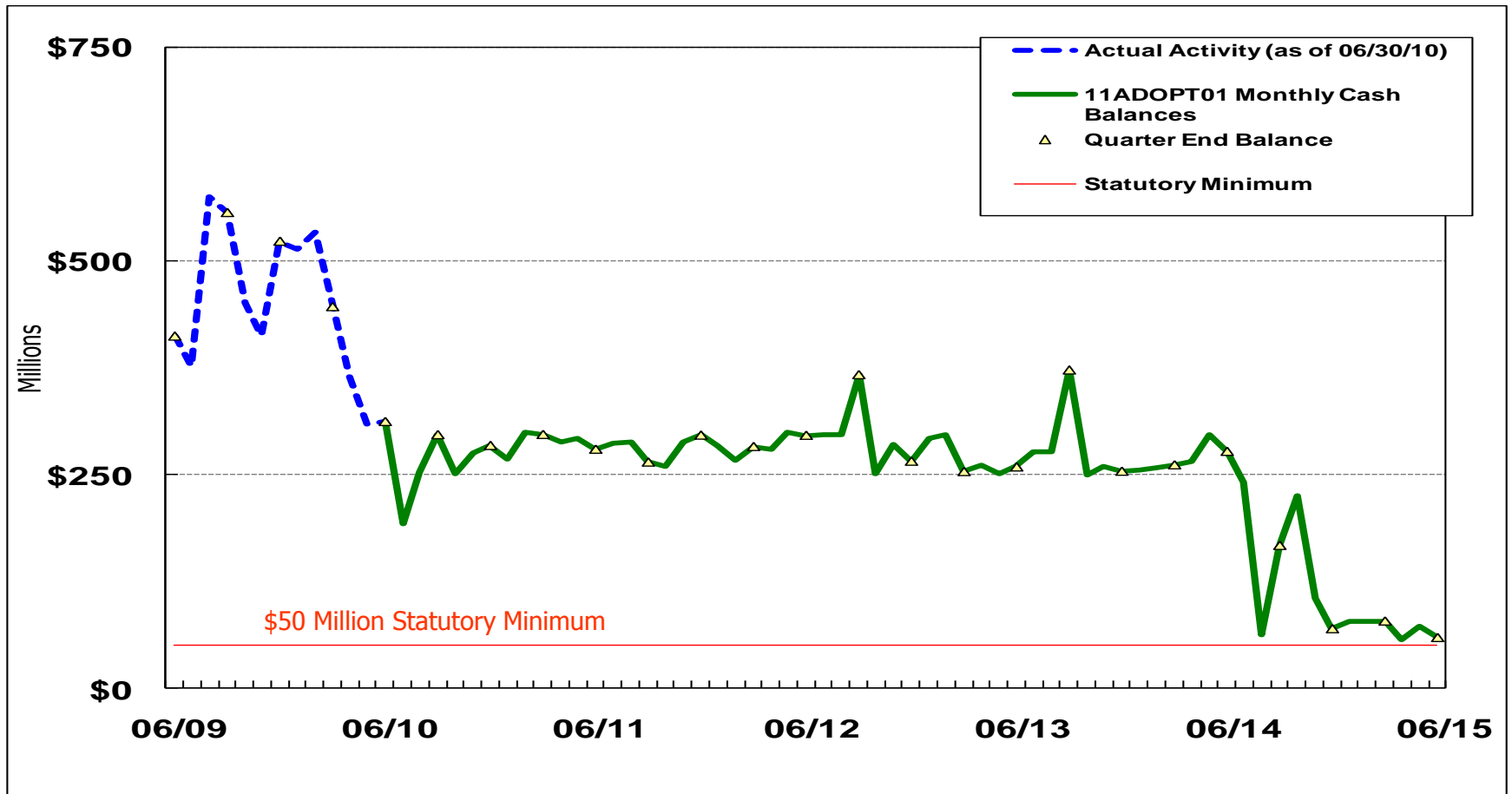
## Special Project Assumptions

The following amounts are payout assumptions for selected major projects. In the event that these payouts do not materialize as scheduled, cash balances will vary greatly from the forecast.

| FPN          | Project                                       | Forecasted Payouts by FY (in Millions) |              |              |              |              |
|--------------|-----------------------------------------------|----------------------------------------|--------------|--------------|--------------|--------------|
|              |                                               | FY11                                   | FY12         | FY13         | FY14         | FY15         |
| 412994-2     | Sunrail - PE consultant for initial segment   | 4.8                                    | 17.0         |              |              |              |
| 412994-3     | Sunrail - Station Construction Contract       | 16.6                                   | 41.1         |              |              |              |
| 412994-4     | Sunrail - Phase 1 Design Build                | 107.8                                  | 70.9         | 3.6          |              |              |
| 412994-6     | Sunrail - Vehicles                            | 34.1                                   | 0.0          | 66.1         |              |              |
| 423446-9     | Sunrail - Phase 2                             |                                        |              | 55.7         | 92.8         | 37.1         |
| 428343-1     | Sunrail Purchase                              | 173.0                                  |              |              |              |              |
| 428614/5/6-1 | High Speed Rail                               | 10.0                                   | 12.0         | 17.0         | 15.0         | 13.0         |
|              | <b>Subtotal - Sunrail/ High Speed Rail</b>    | <b>346.3</b>                           | <b>141.0</b> | <b>142.4</b> | <b>107.8</b> | <b>50.1</b>  |
| 251156-3     | Port of Miami Tunnel - Milestone Payments     | 20.0                                   | 65.0         | 25.0         |              | 350.0        |
| 251156-3     | Port of Miami Tunnel - CapEx and OpEx         |                                        |              |              | 4.9          | 39.1         |
| 420809-3     | I-595 Milestone Payments                      |                                        |              |              | 103.6        | 71.7         |
| 420809-3     | I-595 CapEx and OpEx                          |                                        |              | 54.9         | 14.9         | 13.3         |
| 420655-1     | I-75 Collier and Lee Counties (IROX)          | 48.4                                   | 32.0         |              |              |              |
| 415456-1     | I-95 Express                                  | 10.2                                   | 15.0         | 36.2         |              |              |
| 405506-8     | I-95 Pineda Interchange                       | 63.2                                   | 36.8         | 5.8          |              |              |
| 249856-4     | SR5-US1                                       | 8.7                                    | 13.5         | 27.4         | 27.8         | 15.6         |
| 249035-1     | Palmetto Section 2                            | 47.4                                   | 42.0         |              |              |              |
| 249581-1     | Palmetto Section 5                            | 88.1                                   | 79.7         | 74.6         | 71.9         | 50.7         |
| 258415-1     | I-4 Connector (includes \$80M turnpike funds) | 24.7                                   |              | 87.0         | 54.3         | 87.8         |
| 256881-1     | US19                                          | 28.1                                   | 9.9          | 33.2         | 23.9         |              |
|              | <b>Subtotal - Public Private Partnerships</b> | <b>338.8</b>                           | <b>293.9</b> | <b>344.1</b> | <b>301.3</b> | <b>628.2</b> |
|              | <b>Grand Total</b>                            | <b>685.1</b>                           | <b>434.9</b> | <b>486.5</b> | <b>409.1</b> | <b>678.3</b> |

# State Transportation Trust Fund 6 Year Forecast of Monthly Cash Balances

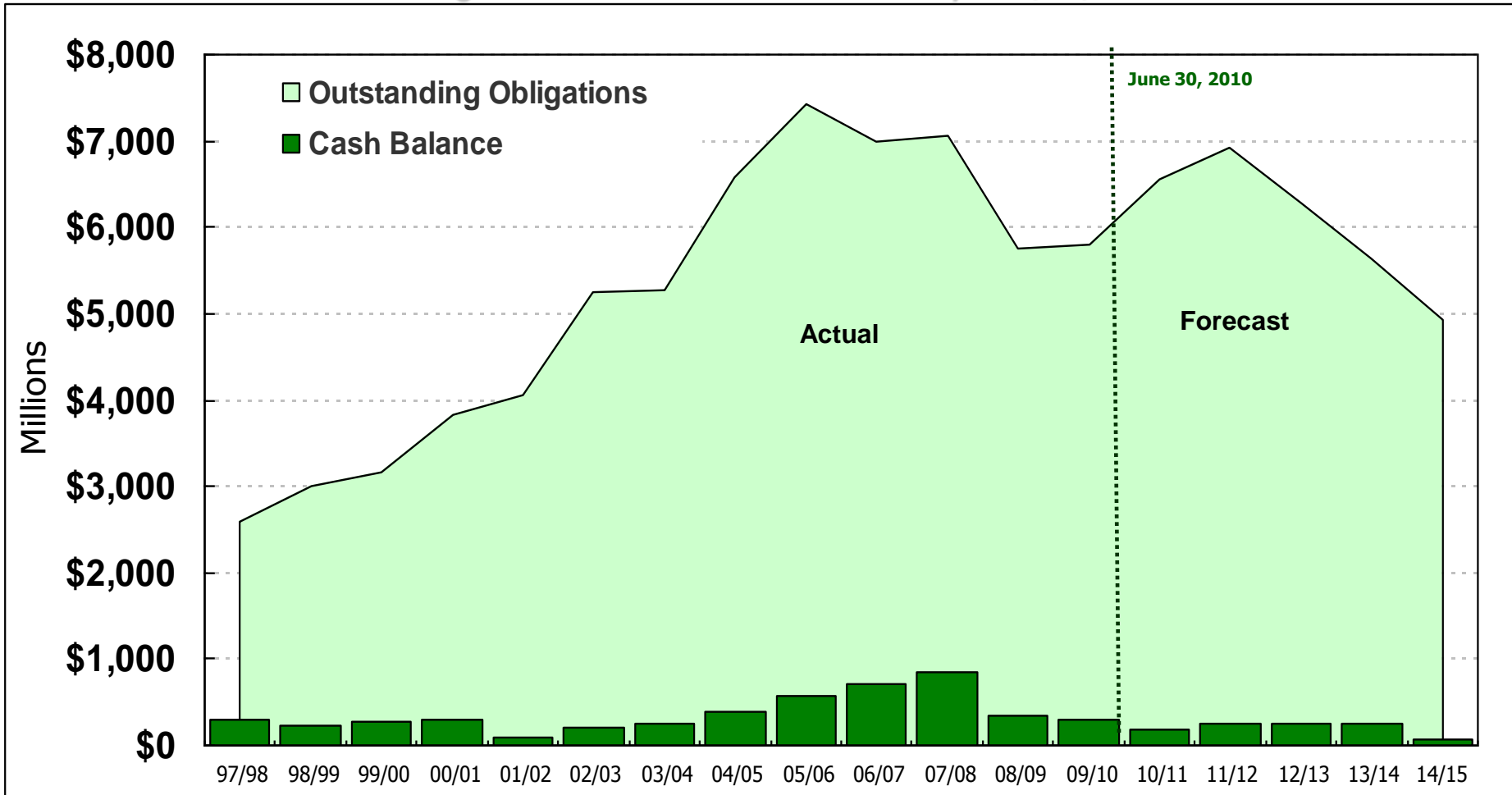
Program Plan and Resource Summary – 07/01/2010



# State Transportation Trust Fund

## Annual Low Point Cash Balance and Contractual Obligations

Program Plan and Resource Summary – 07/01/2010



Florida Department of Transportation - STTF Cash Forecast  
 Samas Fund ID 540001

| Execution Date: 8/9/2010 8:13:14 AM |             |             |             |             |             |             |             |             |             |             |             |             |               |
|-------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|---------------|
| FY 2009 - 2010                      |             |             |             |             |             |             |             |             |             |             |             |             |               |
| Description                         | July        | August      | September   | October     | November    | December    | January     | February    | March       | April       | May         | June        | Total         |
| <b>Beginning Cash Balance</b>       | 411,767,826 | 376,914,721 | 574,540,566 | 556,127,880 | 450,474,601 | 411,818,232 | 522,623,018 | 513,465,238 | 533,566,478 | 446,150,832 | 365,466,176 | 308,128,749 | 411,767,826   |
| <b>Revenue</b>                      |             |             |             |             |             |             |             |             |             |             |             |             |               |
| <b>REC</b>                          |             |             |             |             |             |             |             |             |             |             |             |             |               |
| AVIATION FUELS                      | 2,728,248   | 3,407,472   | 2,923,628   | 2,350,693   | 2,337,521   | 3,126,764   | 2,312,608   | 4,580,368   | 7,655,848   | 1,532,766   | 5,468,843   | 4,076,969   | 42,501,728    |
| FUEL TAX REC                        | 163,495,374 | 152,810,090 | 153,564,391 | 159,657,258 | 151,597,071 | 157,866,724 | 150,168,861 | 156,107,028 | 151,312,980 | 148,302,335 | 176,016,652 | 158,741,852 | 1,879,640,617 |
| MOTOR VEHICLE FEES                  | 42,259,061  | 59,061,470  | 71,525,004  | 72,454,973  | 53,559,749  | 66,465,260  | 55,501,095  | 57,809,752  | 57,901,960  | 53,779,286  | 21,009,043  | 58,141,688  | 669,468,342   |
| Total REC                           | 208,482,683 | 215,279,031 | 228,013,024 | 234,462,924 | 207,494,342 | 227,458,748 | 207,982,563 | 218,497,148 | 216,870,789 | 203,614,387 | 202,494,539 | 220,960,509 | 2,591,610,687 |
| <b>FEDERAL REIMBURSEMENT</b>        |             |             |             |             |             |             |             |             |             |             |             |             |               |
| FEDERAL REIMBURSEMENT               | 74,659,000  | 59,573,000  | 38,230,000  | 127,167,000 | 88,888,000  | 211,538,000 | 107,989,000 | 83,535,000  | 138,026,000 | 192,530,000 | 69,398,334  | 187,023,874 | 1,378,557,208 |
| FEDERAL REIMBURSEMENT - AC          | 155,425,000 | 188,796,000 | 62,344,000  | 451,000     | 0           | 40,449,000  | 10,911,000  | 6,535,000   | 2,685,000   | 10,577,439  | 11,353,880  | 0           | 489,527,320   |
| FEDERAL REIMBURSEMENT - AC          | -4,888,000  | -2,067,000  | 0           | 0           | 0           | -403,000    | -5,450,000  | -967,000    | -2,684,000  | -886,000    | -5,802,000  | 0           | -23,147,000   |
| GROWTH MANAGEMENT                   | 4,985,216   | 5,186,244   | 6,539,483   | 4,413,554   | 7,110,790   | 1,379,672   | 9,198,909   | 8,265,907   | 899,703     | 5,897,923   | 8,792,076   | 12,996,279  | 75,665,756    |
| INTEREST                            | 1,128,996   | 748,569     | 1,092,808   | 1,213,243   | 1,143,500   | 1,083,968   | 657,548     | 840,186     | 772,232     | 1,071,229   | 684,582     | 743,274     | 11,180,135    |
| LOCAL FUNDS                         | 16,291,000  | 14,842,000  | 15,635,000  | 20,152,000  | 12,505,000  | 11,631,000  | 24,685,000  | 13,267,000  | 22,617,000  | 19,645,936  | 19,072,395  | 13,717,944  | 204,061,275   |
| MISCELLANEOUS REVENUE               | 164,000     | 3,748,000   | 4,703,000   | 6,027,000   | 6,701,000   | 8,303,000   | 4,247,000   | 10,738,000  | 6,172,000   | 20,780,000  | 6,222,500   | 4,370,252   | 82,175,752    |
| REIMBURSEMENT                       | 0           | 205,465,000 | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 205,465,000   |
| TOLL TURNPIKE SERVICES              | 47,799,000  | 46,655,000  | 30,030,000  | 15,260,000  | 23,901,000  | 18,862,000  | 24,378,000  | 22,790,000  | 24,275,000  | 32,559,529  | 28,593,461  | 15,453,276  | 330,556,266   |
| Total Revenue                       | 504,046,895 | 738,225,845 | 386,587,315 | 409,146,721 | 347,743,632 | 520,302,388 | 384,599,020 | 363,501,241 | 409,633,724 | 485,790,443 | 340,809,766 | 455,265,409 | 5,345,652,398 |
| <b>Disbursements</b>                |             |             |             |             |             |             |             |             |             |             |             |             |               |
| <b>ADMIN/OPS/INHOUSE</b>            |             |             |             |             |             |             |             |             |             |             |             |             |               |
| INT ADMIN/OPS/INHOUSE               | 0           | 291,003     | 139,227     | 162,803     | 130,819     | 143,961     | 70,807      | 90,613      | 134,742     | 161,244     | 122,434     | 123,820     | 1,571,474     |
| OFA ADMIN/OPS/INHOUSE               | 301,603     | 7,356,700   | 4,003,488   | 4,097,733   | 9,002,565   | 27,400,126  | 8,000,631   | 7,197,401   | 8,300,412   | 3,240,447   | 3,236,610   | 2,190,610   | 84,328,326    |
| ST ADMIN/OPS/INHOUSE                | 58,565,649  | 37,081,491  | 42,331,302  | 41,561,053  | 37,665,594  | 46,126,778  | 38,592,547  | 42,998,603  | 40,264,764  | 48,036,901  | 45,849,108  | 67,642,385  | 546,716,175   |
| Total ADMIN/OPS/INHOUSE             | 58,867,252  | 44,729,195  | 46,474,016  | 45,821,588  | 46,798,978  | 73,670,865  | 46,663,985  | 50,286,617  | 48,699,919  | 51,438,592  | 49,208,152  | 69,956,815  | 632,615,975   |
| <b>CONSTRUCTION</b>                 |             |             |             |             |             |             |             |             |             |             |             |             |               |
| INT CONSTRUCTION                    | 4,233,276   | 8,596,467   | 5,666,188   | 6,245,705   | 7,701,727   | 6,842,091   | 3,963,398   | -2,684,995  | 11,436,632  | 8,539,818   | 5,895,137   | 4,432,144   | 70,867,588    |
| OFA CONSTRUCTION                    | 69,459,074  | 60,067,407  | 68,608,727  | 111,067,590 | 82,464,063  | 77,871,493  | 95,482,375  | 73,918,294  | 117,896,535 | 101,535,989 | 131,310,740 | 108,596,568 | 1,098,278,856 |
| ST CONSTRUCTION                     | 95,397,413  | 88,411,776  | 75,412,769  | 90,551,800  | 67,358,245  | 74,545,110  | 47,964,971  | 54,918,201  | 94,651,160  | 67,045,425  | 64,097,022  | 51,954,142  | 872,308,033   |
| Total CONSTRUCTION                  | 169,089,763 | 157,075,650 | 149,687,685 | 207,865,095 | 157,524,035 | 159,258,694 | 147,410,744 | 126,151,500 | 223,984,327 | 177,121,233 | 201,302,898 | 164,982,854 | 2,041,454,477 |
| <b>CONSULTANT SUPPORT</b>           |             |             |             |             |             |             |             |             |             |             |             |             |               |
| INT CONSULT                         | 1,069,938   | 752,307     | 1,083,314   | 1,044,546   | 1,059,935   | 1,288,485   | 1,211,314   | 1,105,696   | 884,208     | 601,716     | 663,755     | 511,409     | 11,276,623    |
| OFA CONSULT                         | 19,483,378  | 18,830,916  | 17,256,391  | 16,918,269  | 16,736,222  | 22,307,794  | 14,828,116  | 16,672,713  | 21,178,124  | 22,190,962  | 21,387,800  | 27,100,888  | 234,891,574   |
| ST CONSULT                          | 41,500,861  | 30,814,854  | 36,334,694  | 33,912,739  | 32,107,548  | 44,508,534  | 32,475,965  | 29,033,233  | 39,320,616  | 35,242,992  | 32,397,927  | 40,078,332  | 427,728,295   |
| Total CONSULTANT SUPPORT            | 62,054,178  | 50,398,077  | 54,674,400  | 51,875,554  | 49,903,705  | 68,104,812  | 48,515,395  | 46,811,643  | 61,382,947  | 58,035,670  | 54,449,482  | 67,690,629  | 673,896,492   |
| <b>OTHER</b>                        |             |             |             |             |             |             |             |             |             |             |             |             |               |
| ECON DEV/DEBT SERVICE/ADMIN         | 34,029,327  | 148,241,011 | 15,300,576  | 86,083,022  | 28,786,205  | 4,332,920   | 39,384,608  | 15,031,368  | 16,670,749  | 107,896,958 | 1,444,249   | 93,273      | 497,294,266   |
| FIXED CAPITAL OUTLAY                | 714,173     | 524,979     | 640,793     | 1,182,208   | 546,537     | 400,444     | 769,222     | 808,915     | 560,858     | 574,241     | 568,331     | 372,207     | 7,662,907     |
| LOCAL FUND PROJECTS                 | 24,305,750  | 19,292,380  | 16,916,656  | 15,697,233  | 13,143,446  | 12,876,904  | 19,184,883  | 21,487,173  | 25,628,506  | 37,195,594  | 2,567,440   | 23,073,227  | 231,369,193   |
| TOLL/TPK SERVICES                   | 22,883,430  | 20,984,830  | 17,030,904  | 20,882,362  | 16,699,582  | 18,907,349  | 18,341,113  | 16,519,227  | 24,084,152  | 25,219,445  | 15,373,169  | 17,692,695  | 234,618,258   |
| Total OTHER                         | 81,932,679  | 189,043,200 | 49,888,929  | 123,844,826 | 59,175,770  | 36,517,617  | 77,679,827  | 53,846,683  | 66,944,264  | 170,886,238 | 19,953,188  | 41,231,403  | 970,944,624   |
| <b>PUBLIC TRANSPORTATION</b>        |             |             |             |             |             |             |             |             |             |             |             |             |               |
| INT PTO                             | 0           | 1           | 0           | 0           | 0           | 729,928     | 0           | 826,590     | 0           | 622,934     | 416,972     | 655,202     | 3,251,626     |
| OFA PTO                             | -7,388,431  | 6,008,980   | 19,028,149  | 9,657,933   | 10,336,111  | 6,201,451   | 6,307,969   | 9,474,436   | 8,377,416   | 8,237,333   | 8,857,306   | 11,475,007  | 96,573,661    |
| ST PTO                              | 131,969,196 | 49,170,132  | 34,560,402  | 47,340,287  | 23,412,021  | 26,409,343  | 30,660,951  | 20,169,248  | 44,604,293  | 65,282,908  | 26,784,606  | 31,546,727  | 531,910,114   |
| Total PUBLIC TRANSPORTATION         | 124,580,766 | 55,179,112  | 53,588,551  | 56,998,221  | 33,748,132  | 33,340,722  | 36,968,920  | 30,470,274  | 52,981,709  | 74,143,175  | 36,058,883  | 43,676,935  | 631,735,401   |
| <b>RIGHT OF WAY</b>                 |             |             |             |             |             |             |             |             |             |             |             |             |               |
| INT RIGHT OF WAY                    | 0           | 12,500      | 34,814      | -46,909     | 0           | 0           | 1,669       | 1,500       | 0           | 0           | 0           | 1,927       | 5,501         |
| OFA RIGHT OF WAY                    | 7,159,045   | 5,699,177   | 9,283,232   | 7,482,529   | 5,143,289   | 6,653,400   | 2,782,603   | 6,853,191   | 6,413,939   | 3,107,133   | 3,320,772   | 9,543,284   | 73,441,593    |
| ST RIGHT OF WAY                     | 4,833,660   | 11,224,388  | 13,164,116  | -5,437,096  | 11,593,583  | 5,491,482   | 8,896,549   | 5,710,843   | 8,081,097   | 5,789,548   | 1,271,757   | 6,168,378   | 76,788,305    |
| Total RIGHT OF WAY                  | 11,992,705  | 18,936,065  | 22,482,162  | 1,998,524   | 16,736,872  | 12,144,882  | 11,680,821  | 12,565,534  | 14,495,035  | 8,896,681   | 4,592,529   | 15,713,589  | 150,235,399   |

Florida Department of Transportation - STTF Cash Forecast

Samas Fund ID 540001

| Execution Date: 8/9/2010 8:13:14 AM | FY 2009 - 2010 |               |               |               |               |               |               |               |               |               |               |               |               |
|-------------------------------------|----------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| Description                         | July           | August        | September     | October       | November      | December      | January       | February      | March         | April         | May           | June          | Total         |
| ROUTINE MAINTENANCE                 |                |               |               |               |               |               |               |               |               |               |               |               |               |
| INT MAINTENANCE                     | 0              | 0             | 0             | 0             | 0             | 0             | 0             | 0             | 0             | 0             | 0             | 0             | 0             |
| MAINT CONTRACTS                     | 22,439,782     | 21,086,903    | 23,343,071    | 21,363,602    | 17,766,776    | 21,394,302    | 18,115,845    | 17,416,578    | 20,626,367    | 19,073,582    | 24,039,209    | 37,149,413    | 263,815,430   |
| OFA MAINTENANCE                     | 1,413,094      | 766,006       | 1,171,236     | 1,112,959     | 1,275,266     | 1,276,555     | 2,020,038     | 1,408,574     | 1,848,775     | 1,675,941     | 1,615,359     | 1,585,035     | 17,168,838    |
| ST MAINTENANCE                      | 6,529,780      | 5,385,792     | 3,689,951     | 3,919,632     | 3,470,466     | 3,789,154     | 4,701,224     | 4,442,598     | 6,086,026     | 5,203,987     | 6,927,493     | 9,387,758     | 63,533,862    |
| Total ROUTINE MAINTENANCE           | 30,382,657     | 27,238,701    | 28,204,258    | 26,396,193    | 22,512,508    | 26,460,011    | 24,837,108    | 23,267,750    | 28,561,168    | 25,953,510    | 32,582,061    | 48,122,205    | 344,518,130   |
| <b>Total Disbursements</b>          | 538,900,000    | 540,600,000   | 405,000,000   | 514,800,000   | 386,400,001   | 409,497,603   | 393,756,800   | 343,400,000   | 497,049,370   | 566,475,099   | 398,147,194   | 451,374,431   | 5,445,400,498 |
| Ending Cash Balance                 | 376,914,721    | 574,540,566   | 556,127,880   | 450,474,601   | 411,818,232   | 522,623,018   | 513,465,238   | 533,566,478   | 446,150,832   | 365,466,176   | 308,128,749   | 312,019,727   | 312,019,727   |
| Commitment Balance                  | 1,647,759,407  | 6,962,047,612 | 6,800,429,389 | 6,689,727,014 | 6,639,287,486 | 6,593,245,593 | 6,545,614,134 | 6,445,435,632 | 6,189,080,501 | 5,979,397,563 | 5,808,445,381 | 5,414,244,118 |               |





Florida Department of Transportation - STTF Cash Forecast  
 Samas Fund ID 540001

| Execution Date: 9/23/2010 9:09:55 AM | FY 2010 - 2011 |               |               |               |               |               |               |               |               |               |               |               |               |
|--------------------------------------|----------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| Description                          | July           | August        | September     | October       | November      | December      | January       | February      | March         | April         | May           | June          | Total         |
| <b>Total Disbursements</b>           | 478,875,351    | 481,695,871   | 474,253,381   | 491,333,791   | 454,878,562   | 589,995,581   | 439,397,506   | 405,011,020   | 433,722,852   | 484,080,905   | 441,244,682   | 480,983,316   | 5,655,472,818 |
| Ending Cash Balance                  | 515,429,459    | 399,480,079   | 386,409,545   | 353,489,873   | 310,810,653   | 258,461,478   | 256,710,171   | 291,717,473   | 287,956,216   | 267,145,323   | 272,422,786   | 262,717,570   | 262,717,570   |
| Commitment Balance                   | 1,533,334,924  | 6,483,859,765 | 6,695,056,834 | 6,727,404,615 | 6,812,066,660 | 6,865,779,103 | 6,790,201,516 | 6,786,019,400 | 6,827,781,172 | 6,868,597,764 | 6,914,733,270 | 6,944,304,856 |               |
| Statutory Minimum                    | 50,000,000     | 50,000,000    | 50,000,000    | 50,000,000    | 50,000,000    | 50,000,000    | 50,000,000    | 50,000,000    | 50,000,000    | 50,000,000    | 50,000,000    | 50,000,000    |               |



Florida Department of Transportation - STTF Cash Forecast  
 Samas Fund ID 540001

| Execution Date: 9/23/2010 9:09:55 AM | FY 2011 - 2012 |               |               |               |               |               |               |               |               |               |               |               |               |
|--------------------------------------|----------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| Description                          | July           | August        | September     | October       | November      | December      | January       | February      | March         | April         | May           | June          | Total         |
| <b>Total Disbursements</b>           | 581,934,774    | 482,249,439   | 482,818,990   | 519,269,926   | 518,564,224   | 483,798,578   | 567,167,451   | 486,565,869   | 480,724,471   | 521,150,097   | 492,503,661   | 515,750,132   | 6,132,497,612 |
| Ending Cash Balance                  | 264,619,456    | 254,525,982   | 304,618,840   | 270,868,463   | 274,719,127   | 274,867,665   | 284,725,276   | 273,850,008   | 297,406,688   | 285,054,837   | 291,518,996   | 283,653,981   | 283,653,981   |
| Commitment Balance                   | 7,341,107,002  | 7,281,803,094 | 7,496,964,843 | 7,452,818,364 | 7,416,103,835 | 7,351,440,469 | 7,121,734,443 | 7,008,385,331 | 6,968,275,586 | 6,947,910,368 | 6,926,298,149 | 6,895,424,373 |               |
| Statutory Minimum                    | 50,000,000     | 50,000,000    | 50,000,000    | 50,000,000    | 50,000,000    | 50,000,000    | 50,000,000    | 50,000,000    | 50,000,000    | 50,000,000    | 50,000,000    | 50,000,000    |               |

# The Right of Way Acquisition & Bridge Construction Trust Fund Cash Forecast Facts

|                                 |               |
|---------------------------------|---------------|
| Interest Rates                  | March 2010    |
| Two Year District ROW Cash Plan | July 2010     |
| Bond Rates                      | December 2009 |
| Commitment Profiles             | August 2009   |
| Cash Flow Profiles              | August 2009   |

Advances from the State Transportation Trust Fund to the Right of Way Acquisition and Bridge Construction Trust Fund are included.

| Fiscal Year | Bond Sales | Debt Service |
|-------------|------------|--------------|
| 2011        | \$0.0 M    | \$142.6M     |
| 2012        | \$100.0 M  | \$148.8M     |
| 2013        | \$200.0 M  | \$162.0M     |
| 2014        | \$175.0 M  | \$173.7M     |
| 2015        | \$100.0 M  | \$180.8M     |

The Work Program is anticipated to roll forward budget each year in the following programs: Construction - 24% in FY2011 through FY2015; Consultant – 32% in FY2011 through FY2015; Fixed Capital Outlay - 35% in FY2011 through FY2015; Public Transportation – 31% in FY2011 through FY2015; Right of Way OPS - 72% in FY2011 through FY2015.

**Latest  
Updates**

**Advances**

**Planned Net  
Bond Proceeds  
&  
Estimated  
Debt Service**

**Annual  
Program Roll  
forward**

Florida Department of Transportation - ROW Bond Cash Forecast

Samas Fund ID 586001

| Execution Date: 8/9/2010 8:13:20 AM     | FY 2009 - 2010    |                    |                  |                   |                  |                  |                  |                  |                   |                   |                  |                  |                    |
|-----------------------------------------|-------------------|--------------------|------------------|-------------------|------------------|------------------|------------------|------------------|-------------------|-------------------|------------------|------------------|--------------------|
| Description                             | July              | August             | September        | October           | November         | December         | January          | February         | March             | April             | May              | June             | Total              |
| Beginning Cash Balance                  | 50,878,715        | 27,561,149         | 33,231,801       | 25,196,145        | 49,744,931       | 51,353,946       | 50,103,365       | 47,619,755       | 49,769,501        | 49,923,438        | 64,643,250       | 59,929,343       | 50,878,715         |
| <b>Revenue</b>                          |                   |                    |                  |                   |                  |                  |                  |                  |                   |                   |                  |                  |                    |
| ADVANCES                                | 0                 | 0                  | 0                | 45,000,000        | 5,000,000        | 4,000,000        | 3,500,000        | 4,500,000        | 15,400,000        | 22,600,000        | 0                | 0                | 100,000,000        |
| DEBT SERVICE TRANSFERS                  | 0                 | 144,000,000        | 0                | 0                 | 0                | 0                | 0                | 0                | 0                 | 0                 | 0                | 0                | 144,000,000        |
| INTEREST                                | 72,330            | 81,050             | 30,400           | 64,650            | 65,010           | 135,220          | 82,200           | 80,780           | 64,790            | 90,144            | 98,960           | 133,353          | 998,887            |
| RIGHT OF WAY BOND - BOND SALES          | 0                 | 205,465,000        | 0                | 0                 | 0                | 0                | 0                | 0                | 0                 | 0                 | 0                | 0                | 205,465,000        |
| STTF TRANSFER                           | 0                 | 94,160             | 0                | 0                 | 0                | 0                | 0                | 0                | 0                 | 0                 | 0                | 0                | 94,160             |
| <b>Total Revenue</b>                    | <b>72,330</b>     | <b>349,640,210</b> | <b>30,400</b>    | <b>45,064,650</b> | <b>5,065,010</b> | <b>4,135,220</b> | <b>3,582,200</b> | <b>4,580,780</b> | <b>15,464,790</b> | <b>22,690,144</b> | <b>98,960</b>    | <b>133,353</b>   | <b>450,558,047</b> |
| <b>Disbursements</b>                    |                   |                    |                  |                   |                  |                  |                  |                  |                   |                   |                  |                  |                    |
| <b>BRIDGE DISBURSEMENTS</b>             |                   |                    |                  |                   |                  |                  |                  |                  |                   |                   |                  |                  |                    |
| BRIDGE CONSTRUCTION                     | 6,910,807         | 4,957,697          | 3,082,262        | 7,053,071         | 1,405,914        | 2,171,765        | 1,858,281        | 989,389          | 4,309,073         | 2,484,193         | 2,464,785        | 2,721,607        | 40,408,845         |
| CEI CONSULTANTS                         | 257,551           | 244,242            | 315,780          | 281,927           | 254,703          | 284,800          | 324,763          | 292,624          | 432,197           | 333,277           | 233,126          | 204,298          | 3,459,287          |
| LOCAL GOVERNMENT REIMBURSEMENT          | 0                 | 0                  | 0                | 0                 | 0                | 0                | 0                | 0                | 0                 | 0                 | 0                | 0                | 0                  |
| PE CONSULTANTS                          | 58,979            | 95,451             | 22,051           | 34,597            | 0                | 248,095          | 152,291          | 413,649          | 66,923            | 205,151           | 321,469          | 35,158           | 1,653,813          |
| <b>Total BRIDGE DISBURSEMENTS</b>       | <b>7,227,337</b>  | <b>5,297,390</b>   | <b>3,420,094</b> | <b>7,369,595</b>  | <b>1,660,617</b> | <b>2,704,659</b> | <b>2,335,335</b> | <b>1,695,662</b> | <b>4,808,193</b>  | <b>3,022,621</b>  | <b>3,019,380</b> | <b>2,961,062</b> | <b>45,521,945</b>  |
| <b>RIGHT OF WAY DISBURSEMENTS</b>       |                   |                    |                  |                   |                  |                  |                  |                  |                   |                   |                  |                  |                    |
| CONSULTANT SUPPORT (ROW)                | 207,475           | 276,727            | 298,995          | 194,700           | 151,871          | 239,558          | 110,529          | 74,919           | 103,580           | 66,373            | 175,468          | 181,320          | 2,081,516          |
| RIGHT OF WAY LAND                       | 5,045,634         | 1,663,316          | 4,346,967        | 12,951,569        | 1,643,507        | 2,441,584        | 3,619,946        | 660,453          | 10,399,080        | 4,881,338         | 1,618,018        | 905,972          | 50,177,384         |
| <b>Total RIGHT OF WAY DISBURSEMENTS</b> | <b>5,253,109</b>  | <b>1,940,043</b>   | <b>4,645,962</b> | <b>13,146,269</b> | <b>1,795,378</b> | <b>2,681,142</b> | <b>3,730,475</b> | <b>735,372</b>   | <b>10,502,660</b> | <b>4,947,712</b>  | <b>1,793,486</b> | <b>1,087,292</b> | <b>52,258,900</b>  |
| DEBT SERVICE                            | 10,909,449        | 131,267,125        | 0                | 0                 | 0                | 0                | 0                | 0                | 0                 | 0                 | 0                | 0                | 142,176,574        |
| REIMBURSEMENT TO STTF                   | 0                 | 205,465,000        | 0                | 0                 | 0                | 0                | 0                | 0                | 0                 | 0                 | 0                | 0                | 205,465,000        |
| <b>Total Disbursements</b>              | <b>23,389,895</b> | <b>343,969,558</b> | <b>8,066,056</b> | <b>20,515,864</b> | <b>3,455,994</b> | <b>5,385,801</b> | <b>6,065,810</b> | <b>2,431,033</b> | <b>15,310,853</b> | <b>7,970,333</b>  | <b>4,812,866</b> | <b>4,048,353</b> | <b>445,422,419</b> |
| Ending Cash Balance                     | 27,561,149        | 33,231,801         | 25,196,145       | 49,744,931        | 51,353,946       | 50,103,365       | 47,619,755       | 49,769,501       | 49,923,438        | 64,643,250        | 59,929,343       | 56,014,343       | 56,014,343         |
| Commitment Balance                      | -6,081,514        | 68,591,964         | 66,115,182       | 59,536,083        | 57,791,364       | 54,547,478       | 52,392,497       | 44,606,800       | 39,889,146        | 38,195,767        | 42,367,632       | 39,324,903       | 114,911            |

Florida Department of Transportation - ROW Bond Cash Forecast  
Samas Fund ID 586001

| Execution Date: 9/1/2010 12:01:16 PM    | FY 2010 - 2011 |            |            |             |             |             |             |             |             |             |             |             |             |
|-----------------------------------------|----------------|------------|------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Description                             | July           | August     | September  | October     | November    | December    | January     | February    | March       | April       | May         | June        | Total       |
| <b>Beginning Cash Balance</b>           | 56,073,441     | 50,677,857 | 50,722,555 | 50,102,921  | 50,102,338  | 50,101,708  | 50,101,707  | 50,101,706  | 50,101,706  | 50,101,706  | 50,101,706  | 50,101,706  | 56,073,441  |
| <b>Revenue</b>                          |                |            |            |             |             |             |             |             |             |             |             |             |             |
| ADVANCES                                | 10,000,000     | 15,000,000 | 16,048,578 | 17,081,724  | 17,608,380  | 18,329,994  | 15,474,644  | 15,516,985  | 16,959,045  | 16,586,369  | 20,956,773  | 19,375,849  | 198,938,339 |
| DEBT SERVICE TRANSFERS                  | 0              | 0          | 0          | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           |
| INTEREST                                | 139,622        | 102,224    | 102,921    | 102,338     | 101,708     | 101,707     | 101,706     | 101,706     | 101,706     | 101,706     | 101,706     | 101,706     | 1,260,760   |
| RIGHT OF WAY BOND - BOND SALES          | 0              | 0          | 0          | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           |
| STTF TRANSFER                           | 0              | 0          | 0          | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           |
| <b>Total Revenue</b>                    | 10,139,622     | 15,102,224 | 16,151,500 | 17,184,061  | 17,710,088  | 18,431,701  | 15,576,350  | 15,618,691  | 17,060,751  | 16,688,075  | 21,058,480  | 19,477,555  | 200,199,099 |
| <b>Disbursements</b>                    |                |            |            |             |             |             |             |             |             |             |             |             |             |
| <b>BRIDGE DISBURSEMENTS</b>             |                |            |            |             |             |             |             |             |             |             |             |             |             |
| BRIDGE CONSTRUCTION                     | 1,682,577      | 1,622,909  | 1,746,535  | 1,085,046   | 973,751     | 1,302,542   | 1,256,783   | 1,354,880   | 1,399,250   | 928,631     | 1,304,154   | 1,583,605   | 16,240,683  |
| CEI CONSULTANTS                         | 230,705        | 197,410    | 291,390    | 291,390     | 296,585     | 303,014     | 306,456     | 311,391     | 316,132     | 320,483     | 324,964     | 380,992     | 3,570,913   |
| LOCAL GOVERNMENT REIMBURSEMENT          | 0              | 0          | 0          | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           |
| PE CONSULTANTS                          | 588,029        | 461,234    | 225,846    | 225,846     | 234,118     | 244,353     | 249,833     | 257,691     | 265,239     | 225,259     | 232,393     | 444,275     | 3,654,115   |
| <b>Total BRIDGE DISBURSEMENTS</b>       | 2,501,311      | 2,281,553  | 2,263,771  | 1,602,283   | 1,504,454   | 1,849,909   | 1,813,072   | 1,923,962   | 1,980,620   | 1,474,373   | 1,861,510   | 2,408,872   | 23,465,692  |
| <b>RIGHT OF WAY DISBURSEMENTS</b>       |                |            |            |             |             |             |             |             |             |             |             |             |             |
| CONSULTANT SUPPORT (ROW)                | 95,347         | 55,997     | 118,975    | 118,975     | 146,876     | 181,405     | 199,892     | 226,342     | 251,744     | 260,315     | 280,582     | 310,296     | 2,246,746   |
| RIGHT OF WAY LAND                       | 1,138,765      | 920,193    | 2,588,604  | 3,663,604   | 4,259,604   | 4,600,604   | 1,763,604   | 1,668,604   | 3,028,604   | 3,153,604   | 7,116,604   | 4,958,604   | 38,861,000  |
| <b>Total RIGHT OF WAY DISBURSEMENTS</b> | 1,234,112      | 976,190    | 2,707,579  | 3,782,579   | 4,406,480   | 4,782,009   | 1,963,496   | 1,894,946   | 3,280,348   | 3,413,920   | 7,397,186   | 5,268,900   | 41,107,746  |
| <b>DEBT SERVICE</b>                     | 11,799,783     | 11,799,783 | 11,799,783 | 11,799,783  | 11,799,783  | 11,799,783  | 11,799,783  | 11,799,783  | 11,799,783  | 11,799,783  | 11,799,783  | 11,799,783  | 141,597,396 |
| REIMBURSEMENT TO STTF                   | 0              | 0          | 0          | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           |
| <b>Total Disbursements</b>              | 15,535,206     | 15,057,526 | 16,771,134 | 17,184,645  | 17,710,717  | 18,431,702  | 15,576,351  | 15,618,691  | 17,060,751  | 16,688,075  | 21,058,480  | 19,477,555  | 206,170,834 |
| <b>Ending Cash Balance</b>              | 50,677,857     | 50,722,555 | 50,102,921 | 50,102,338  | 50,101,708  | 50,101,707  | 50,101,706  | 50,101,706  | 50,101,706  | 50,101,706  | 50,101,706  | 50,101,706  | 50,101,706  |
| <b>Commitment Balance</b>               | 22,205,552     | 59,029,111 | 87,084,083 | 111,936,577 | 136,663,555 | 160,059,546 | 180,460,756 | 201,801,134 | 223,396,118 | 246,933,191 | 268,953,179 | 290,275,923 |             |

Florida Department of Transportation - ROW Bond Cash Forecast  
Samas Fund ID 586001

| Execution Date: 9/1/2010 12:01:16 PM    | FY 2011 - 2012 |             |             |             |             |             |             |             |             |             |             |             |             |
|-----------------------------------------|----------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Description                             | July           | August      | September   | October     | November    | December    | January     | February    | March       | April       | May         | June        | Total       |
| <b>Beginning Cash Balance</b>           | 50,101,706     | 50,127,759  | 50,026,060  | 50,026,040  | 50,026,014  | 50,026,014  | 50,026,014  | 50,026,014  | 50,026,014  | 50,026,014  | 50,026,014  | 50,026,014  | 50,101,706  |
| <b>Revenue</b>                          |                |             |             |             |             |             |             |             |             |             |             |             |             |
| ADVANCES                                | 18,348,321     | 18,318,410  | 18,986,359  | 19,017,157  | 19,510,443  | 19,916,233  | 19,913,219  | 20,264,244  | 20,379,632  | 20,503,549  | 20,982,164  | 21,221,695  | 237,361,423 |
| DEBT SERVICE TRANSFERS                  | 0              | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           |
| INTEREST                                | 26,053         | 26,060      | 26,040      | 26,014      | 26,014      | 26,014      | 26,014      | 26,014      | 26,014      | 26,014      | 26,014      | 26,014      | 312,274     |
| RIGHT OF WAY BOND - BOND SALES          | 100,000,000    | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 100,000,000 |
| STTF TRANSFER                           | 0              | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           |
| <b>Total Revenue</b>                    | 118,374,374    | 18,344,470  | 19,012,399  | 19,043,170  | 19,536,456  | 19,942,246  | 19,939,232  | 20,290,258  | 20,405,645  | 20,529,562  | 21,008,177  | 21,247,708  | 337,673,698 |
| <b>Disbursements</b>                    |                |             |             |             |             |             |             |             |             |             |             |             |             |
| <b>BRIDGE DISBURSEMENTS</b>             |                |             |             |             |             |             |             |             |             |             |             |             |             |
| BRIDGE CONSTRUCTION                     | 1,649,134      | 1,631,001   | 1,998,856   | 1,917,844   | 2,258,617   | 2,551,371   | 2,414,761   | 2,610,609   | 2,571,652   | 2,546,180   | 2,913,156   | 3,016,983   | 28,080,164  |
| CEI CONSULTANTS                         | 383,849        | 386,707     | 457,911     | 468,357     | 499,452     | 537,932     | 558,532     | 588,073     | 616,447     | 642,489     | 632,116     | 654,271     | 6,426,136   |
| LOCAL GOVERNMENT REIMBURSEMENT          | 0              | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           |
| PE CONSULTANTS                          | 448,824        | 453,374     | 468,962     | 474,118     | 484,553     | 450,762     | 457,676     | 467,589     | 477,111     | 485,850     | 494,851     | 500,241     | 5,663,912   |
| <b>Total BRIDGE DISBURSEMENTS</b>       | 2,481,808      | 2,471,081   | 2,925,729   | 2,860,318   | 3,242,622   | 3,540,066   | 3,430,969   | 3,666,271   | 3,665,210   | 3,674,519   | 4,040,123   | 4,171,496   | 40,170,212  |
| <b>RIGHT OF WAY DISBURSEMENTS</b>       |                |             |             |             |             |             |             |             |             |             |             |             |             |
| CONSULTANT SUPPORT (ROW)                | 303,647        | 322,069     | 341,290     | 345,097     | 363,785     | 379,863     | 393,679     | 417,135     | 439,642     | 460,310     | 481,606     | 498,055     | 4,746,179   |
| RIGHT OF WAY LAND                       | 3,165,616      | 3,255,769   | 3,348,150   | 3,440,531   | 3,532,799   | 3,625,067   | 3,717,335   | 3,809,603   | 3,903,543   | 3,997,483   | 4,089,195   | 4,180,907   | 44,066,000  |
| <b>Total RIGHT OF WAY DISBURSEMENTS</b> | 3,469,263      | 3,577,838   | 3,689,440   | 3,785,628   | 3,896,584   | 4,004,931   | 4,111,014   | 4,226,737   | 4,343,185   | 4,457,793   | 4,570,804   | 4,678,962   | 48,812,179  |
| <b>DEBT SERVICE</b>                     | 12,397,250     | 12,397,250  | 12,397,250  | 12,397,250  | 12,397,250  | 12,397,250  | 12,397,250  | 12,397,250  | 12,397,250  | 12,397,250  | 12,397,250  | 12,397,250  | 148,767,000 |
| REIMBURSEMENT TO STTF                   | 100,000,000    | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           | 0           |
| <b>Total Disbursements</b>              | 118,348,321    | 18,446,169  | 19,012,419  | 19,043,197  | 19,536,456  | 19,942,246  | 19,939,232  | 20,290,258  | 20,405,645  | 20,529,562  | 21,008,177  | 21,247,708  | 337,749,391 |
| <b>Ending Cash Balance</b>              | 50,127,759     | 50,026,060  | 50,026,040  | 50,026,014  | 50,026,014  | 50,026,014  | 50,026,014  | 50,026,014  | 50,026,014  | 50,026,014  | 50,026,014  | 50,026,014  | 50,026,014  |
| <b>Commitment Balance</b>               | 301,589,474    | 306,822,430 | 321,516,876 | 328,175,909 | 335,941,429 | 341,468,693 | 341,385,319 | 344,222,981 | 348,420,300 | 356,710,766 | 363,258,540 | 370,103,797 |             |

# Florida's Turnpike Enterprise Cash Forecast Facts

**Latest  
Updates**

|                               |             |
|-------------------------------|-------------|
| Toll and Concession Estimates | August 2009 |
| Interest Rates                | March 2010  |
| Two Year ROW Expenditure Plan | August 2009 |
| Commitment Profiles           | August 2009 |
| Cash Flow Profiles            | August 2009 |

**Planned Net  
Bond Proceeds  
&  
Estimated  
Debt Service**

Bond Sales totaling \$400.5 million are planned during the Work Program period. Bonds are scheduled to be issued according to "cash-flow" needs.

| Fiscal Year | Net Proceeds | Debt Service |
|-------------|--------------|--------------|
| 2011        | \$ 63.2 M    | \$238.3 M    |
| 2012        | \$158.9 M    | \$245.5 M    |
| 2013        | \$ 49.0 M    | \$254.7 M    |
| 2014        | \$ 65.9 M    | \$259.6 M    |
| 2015        | \$ 63.5 M    | \$262.2 M    |

**Annual  
Program Roll  
forward**

The Work Program is anticipated to roll forward the following amount of budget each year in the following programs: General Reserve Fund: Construction 45% in FY2011 through FY2015; Consultant 27% in FY2011 through FY2015; Renewal and Replacement Fund: Construction - 34% in FY2011 through FY2015; Consultant - 51% in FY2011 through FY2015. Cash Based Bond Fund: Construction - 18% in FY2011 through FY2015; Consultant - 57% in FY2011 through FY2015.



# Florida's Turnpike Enterprise Cash Forecast Facts

## Operating & Maintenance

### Operating & Maintenance Allowances

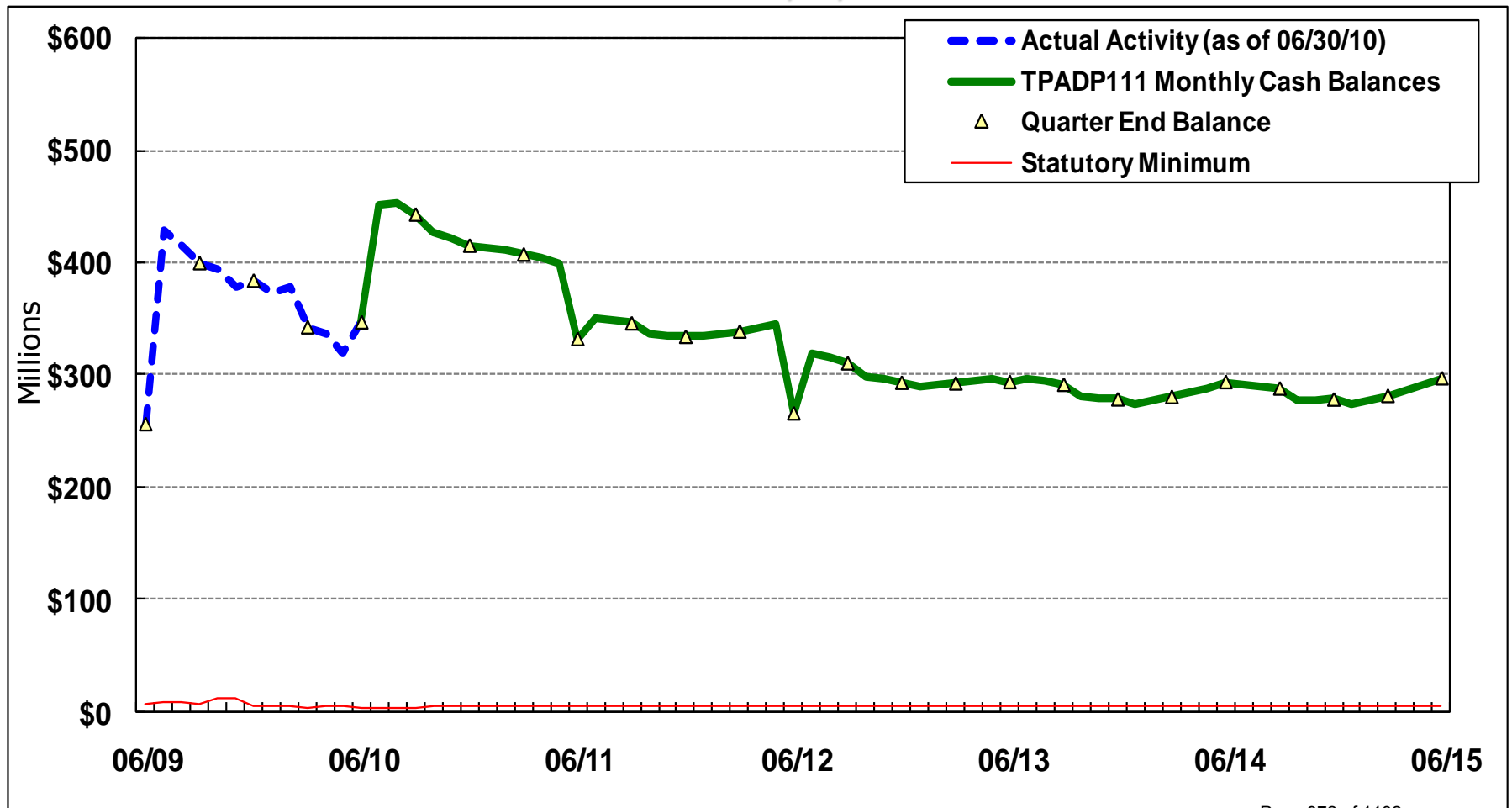
| Fiscal Year | SR 80  | Seminole II | Suncoast |
|-------------|--------|-------------|----------|
| 2011        | \$1.0M | \$1.8M      | \$5.6M   |
| 2012        | \$0.8M | -\$0.1M     | -\$3.3M  |
| 2013        | \$0.7M | -\$0.2M     | -\$5.0M  |
| 2014        | \$0.5M | -\$0.3M     | -\$6.7M  |
| 2015        | \$0.3M | -\$0.5M     | -\$8.4M  |

## State Infrastructure Bank Loans

The State Infrastructure Bank has provided a \$16.9M loan for the reimbursement of interest cost associated with a certain portion of the construction of State Road 710 Interchange and related projects in Palm Beach County with draws beginning in FY2004 and ending in FY2011.

# Florida's Turnpike Enterprise 6 Year Forecast of General Reserve Fund Monthly Cash Balances

TPADP111 – 07/01/2010



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FLORIDA DEPARTMENT OF TRANSPORTATION  
TURNPIKE RENEWAL AND REPLACEMENT FUND  
CASH FORECAST  
(MILLION)

AS OF DATE: 6/30/2010

|                          | JUL<br>2009 | AUG<br>2009 | SEP<br>2009 | OCT<br>2009 | NOV<br>2009 | DEC<br>2009 | JAN<br>2010 | FEB<br>2010 | MAR<br>2010 | APR<br>2010 | MAY<br>2010 | JUN<br>2010 | FY2010<br>TOTAL | AUG<br>FCST |
|--------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-----------------|-------------|
| BEGINNING BALANCE        | 35.8        | 32.7        | 31.2        | 30          | 28.6        | 26.6        | 25.5        | 25.4        | 25.5        | 23.1        | 23.1        | 22.3        | 35.8            | 8.4         |
| CASH RECEIPTS:           |             |             |             |             |             |             |             |             |             |             |             |             |                 |             |
| TRANSFERS-IN **          | 1.2         | 1.2         | 1.2         | 1.2         | 1.2         | 1.2         | 1.2         | 1.2         | 1.2         | 1.2         | 1.2         | 1.2         | 14.2            | 49.9        |
| INTEREST                 | 0.1         | 0.1         | 0.1         | 0.1         | 0.1         | 0.1         |             |             |             | 0.1         |             |             | 0.7             | 0.4         |
| MISC REVENUE             |             |             |             |             |             |             |             |             |             |             |             |             |                 |             |
| TOTAL CASH RECEIPTS      | 1.3         | 1.3         | 1.2         | 1.2         | 1.3         | 1.3         | 1.2         | 1.2         | 1.2         | 1.2         | 1.2         | 1.2         | 14.9            | 50.3        |
| CASH DISBURSEMENTS:      |             |             |             |             |             |             |             |             |             |             |             |             |                 |             |
| OPS & MAINT              |             |             |             |             |             |             |             |             |             |             |             |             |                 |             |
| IN-HOUSE                 |             |             |             |             |             |             |             |             |             |             |             |             |                 | 0.9         |
| CONSULTANTS              | 0.5         | 0.1         | 0.8         |             | 0.9         | 0.3         |             | 0.7         |             | 0.4         | 0.1         | 1.3         | 5.3             | 6.9         |
| RIGHT OF WAY             |             |             |             |             |             |             |             |             |             |             |             |             |                 |             |
| CONSTRUCTION             | 3.9         | 2.6         | 1.7         | 2.6         | 2.3         | 2           | 1.4         | 0.4         | 3.5         | 0.8         | 2           | 1.8         | 24.9            | 45.9        |
| MISCELLANEOUS            |             |             |             |             |             |             |             |             |             |             |             |             |                 |             |
| TOTAL CASH DISBURSEMENTS | 4.4         | 2.7         | 2.5         | 2.6         | 3.3         | 2.3         | 1.4         | 1.1         | 3.6         | 1.2         | 2           | 3.1         | 30.2            | 53.7        |
| ENDING CASH BALANCE      | 32.7        | 31.2        | 30          | 28.6        | 26.6        | 25.5        | 25.4        | 25.5        | 23.1        | 23.1        | 22.3        | 20.5        | 20.5            | 4.9         |
| STATUTORY MINIMUM        | 1.4         | 1.3         | 1.3         | 1.2         | 1           | 0.9         | 0.9         | 0.9         | 0.7         | 0.8         | 0.7         | 0.6         |                 |             |
| COMMITMENT BALANCE       | 28.7        | 25.5        | 25.7        | 24.1        | 20.5        | 18.3        | 18.2        | 17.8        | 13.9        | 16.1        | 14.2        | 11.1        |                 | 24.9        |
| AVAILABLE CASH           | 31.2        | 29.9        | 28.7        | 27.4        | 25.6        | 24.6        | 24.5        | 24.6        | 22.4        | 22.3        | 21.6        | 19.9        |                 |             |

Florida Department of Transportation - Turnpike Renewal and Replacement Trust Fund - Cash Forecast  
 Samas Fund ID 324001

| Execution Date: 8/30/2010 11:09:58 AM | FY 2010 - 2011 |            |            |            |            |            |            |            |            |            |            |            |            |
|---------------------------------------|----------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Description                           | July           | August     | September  | October    | November   | December   | January    | February   | March      | April      | May        | June       | Total FY11 |
| Beginning Cash Balance                | 20,548,014     | 19,300,824 | 18,547,172 | 18,021,522 | 17,551,020 | 17,387,044 | 16,772,051 | 15,553,348 | 13,534,565 | 12,277,251 | 9,953,196  | 7,857,620  | 20,548,014 |
| <b>Revenue</b>                        |                |            |            |            |            |            |            |            |            |            |            |            |            |
| INTEREST                              | 48,312         | 44,830     | 42,579     | 41,140     | 40,019     | 39,305     | 38,429     | 36,366     | 32,724     | 29,038     | 25,009     | 20,037     | 437,789    |
| MISCELLANEOUS REVENUE                 | 0              | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          |
| TRANSFERS-IN                          | 1,123,344      | 1,123,344  | 1,123,344  | 1,123,344  | 1,123,344  | 1,123,344  | 1,123,344  | 1,123,344  | 1,123,344  | 1,123,344  | 1,123,344  | 1,123,344  | 13,480,123 |
| <b>Total Revenue</b>                  | 1,171,656      | 1,168,174  | 1,165,923  | 1,164,483  | 1,163,363  | 1,162,649  | 1,161,773  | 1,159,710  | 1,156,068  | 1,152,382  | 1,148,353  | 1,143,381  | 13,917,912 |
| <b>Disbursements</b>                  |                |            |            |            |            |            |            |            |            |            |            |            |            |
| CONSTRUCTION                          | 1,284,116      | 1,154,599  | 1,055,825  | 1,073,020  | 841,215    | 1,015,570  | 1,297,246  | 1,801,956  | 1,370,183  | 2,408,139  | 2,072,854  | 2,761,976  | 18,136,698 |
| CONSULTANT                            | 1,057,230      | 689,729    | 558,249    | 484,466    | 408,718    | 684,666    | 1,005,824  | 1,299,131  | 965,793    | 990,892    | 1,093,669  | 873,830    | 10,112,196 |
| IN HOUSE                              | 77,499         | 77,499     | 77,499     | 77,499     | 77,406     | 77,406     | 77,406     | 77,406     | 77,406     | 77,406     | 77,406     | 77,406     | 929,243    |
| RIGHT OF WAY                          | 0              | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          |
| <b>Total Disbursements</b>            | 2,418,845      | 1,921,826  | 1,691,572  | 1,634,986  | 1,327,339  | 1,777,641  | 2,380,476  | 3,178,493  | 2,413,382  | 3,476,437  | 3,243,929  | 3,713,212  | 29,178,137 |
| Ending Cash Balance                   | 19,300,824     | 18,547,172 | 18,021,522 | 17,551,020 | 17,387,044 | 16,772,051 | 15,553,348 | 13,534,565 | 12,277,251 | 9,953,196  | 7,857,620  | 5,287,789  | 5,287,789  |
| Commitment Balance                    | 9,496,585      | 26,142,070 | 34,697,651 | 35,104,730 | 36,147,397 | 34,973,590 | 33,196,949 | 30,847,341 | 31,002,359 | 28,129,756 | 25,226,447 | 21,590,641 |            |
| Statutory Minimum                     | 474,829        | 1,307,104  | 1,734,883  | 1,755,236  | 1,807,370  | 1,748,680  | 1,659,847  | 1,542,367  | 1,550,118  | 1,406,488  | 1,261,322  | 1,079,532  |            |

Florida Department of Transportation - Turnpike Renewal and Replacement Trust Fund - Cash Forecast  
 Samas Fund ID 324001

| Execution Date: 8/30/2010 11:09:58 AM | FY 2011 - 2012 |            |            |            |            |            |            |            |            |            |            |            | Total FY12 |
|---------------------------------------|----------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Description                           | July           | August     | September  | October    | November   | December   | January    | February   | March      | April      | May        | June       |            |
| Beginning Cash Balance                | 5,287,789      | 7,167,573  | 8,607,037  | 10,451,464 | 12,084,959 | 13,250,895 | 14,405,822 | 14,539,862 | 12,720,353 | 11,838,104 | 8,856,568  | 7,709,498  | 5,287,789  |
| <b>Revenue</b>                        |                |            |            |            |            |            |            |            |            |            |            |            |            |
| INTEREST                              | 19,718         | 18,683     | 23,662     | 28,588     | 33,805     | 38,004     | 41,485     | 43,419     | 40,890     | 36,838     | 31,042     | 24,849     | 380,982    |
| MISCELLANEOUS REVENUE                 | 0              | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          |
| TRANSFERS-IN                          | 4,175,831      | 4,175,831  | 4,175,831  | 4,175,831  | 4,175,831  | 4,175,831  | 4,175,831  | 4,175,831  | 4,175,831  | 4,175,831  | 4,175,831  | 4,175,831  | 50,109,974 |
| <b>Total Revenue</b>                  | 4,195,549      | 4,194,514  | 4,199,493  | 4,204,419  | 4,209,636  | 4,213,835  | 4,217,316  | 4,219,250  | 4,216,721  | 4,212,669  | 4,206,873  | 4,200,680  | 50,490,956 |
| <b>Disbursements</b>                  |                |            |            |            |            |            |            |            |            |            |            |            |            |
| CONSTRUCTION                          | 1,434,764      | 1,915,606  | 1,468,036  | 1,647,572  | 2,082,136  | 2,201,493  | 2,966,680  | 4,860,222  | 4,063,893  | 6,268,740  | 4,483,507  | 5,890,570  | 39,283,220 |
| CONSULTANT                            | 875,803        | 834,248    | 881,833    | 918,155    | 956,374    | 852,224    | 1,111,405  | 1,173,346  | 1,029,887  | 920,274    | 865,245    | 808,989    | 11,227,784 |
| IN HOUSE                              | 5,197          | 5,197      | 5,197      | 5,197      | 5,191      | 5,191      | 5,191      | 5,191      | 5,191      | 5,191      | 5,191      | 5,191      | 62,311     |
| RIGHT OF WAY                          | 0              | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          |
| <b>Total Disbursements</b>            | 2,315,765      | 2,755,050  | 2,355,066  | 2,570,924  | 3,043,700  | 3,058,908  | 4,083,276  | 6,038,759  | 5,098,971  | 7,194,205  | 5,353,943  | 6,704,749  | 50,573,315 |
| Ending Cash Balance                   | 7,167,573      | 8,607,037  | 10,451,464 | 12,084,959 | 13,250,895 | 14,405,822 | 14,539,862 | 12,720,353 | 11,838,104 | 8,856,568  | 7,709,498  | 5,205,430  | 5,205,430  |
| Commitment Balance                    | 20,555,405     | 61,262,838 | 68,468,326 | 70,471,071 | 71,459,374 | 69,680,989 | 66,878,235 | 61,901,574 | 62,651,597 | 56,737,915 | 52,026,828 | 45,327,270 |            |
| Statutory Minimum                     | 1,027,770      | 3,063,142  | 3,423,416  | 3,523,554  | 3,572,969  | 3,484,049  | 3,343,912  | 3,095,079  | 3,132,580  | 2,836,896  | 2,601,341  | 2,266,363  |            |

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FLORIDA DEPARTMENT OF TRANSPORTATION  
TURNPIKE GENERAL RESERVE FUND  
CASH FORECAST

AS OF DATE: 6/30/2010

|                          | (MILLION) |       |       |       |       |       |       |       |       |       |       |       |        |       |
|--------------------------|-----------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|--------|-------|
|                          | JUL       | AUG   | SEP   | OCT   | NOV   | DEC   | JAN   | FEB   | MAR   | APR   | MAY   | JUN   | FY2010 | AUG   |
|                          | 2009      | 2009  | 2009  | 2009  | 2009  | 2009  | 2010  | 2010  | 2010  | 2010  | 2010  | 2010  | TOTAL  | FCST  |
| BEGINNING BALANCE        | 256.3     | 428.3 | 414.3 | 399.7 | 393.6 | 378.6 | 384.1 | 373.1 | 377.9 | 342.6 | 336.7 | 318.4 | 256.3  | 460.2 |
| CASH RECEIPTS:           |           |       |       |       |       |       |       |       |       |       |       |       |        |       |
| TRANSFERS-IN             | 36        | 9.8   | 15.9  | 26.3  | 12    | 43.6  | 22.2  | 26    | 25.2  | 45.8  | 22.5  | 71.9  | 357    | 465.3 |
| INTEREST                 | 0.6       | 0.8   | 0.8   | 0.9   | 1     | 1.1   | 0.6   | 0.6   | 0.5   | 0.7   | 0.6   | 0.7   | 8.9    | 20.3  |
| MISC REVENUE ***         | 183.4     | 21.7  | 28.9  | 21.1  | 30.6  | 21.8  | 6.2   | 3.6   | 2     | 1.3   | 1.4   | 1.6   | 323.5  | 35.7  |
| TOTAL CASH RECEIPTS      | 219.9     | 32.3  | 45.6  | 48.3  | 43.6  | 66.5  | 29    | 30.2  | 27.8  | 47.8  | 24.5  | 74.2  | 689.5  | 521.2 |
| CASH DISBURSEMENTS:      |           |       |       |       |       |       |       |       |       |       |       |       |        |       |
| OPS & MAINT              | 19.3      | 10.8  | 17.5  | 10.9  | 13.5  | 12.4  | 6.7   | 11.2  | 12.5  | 19.6  | 14.8  | 12    | 161.3  | 213.1 |
| IN-HOUSE                 | 1.2       |       | 0.2   | 0.1   | 0.7   | 1.9   | 10.1  | 2.9   | 1.5   | 0.8   | 3.8   | 1.6   | 25     | 17.3  |
| CONSULTANTS              | 5.9       | 5.8   | 7.7   | 14.2  | 10.5  | 12.4  | 4.2   | 7.4   | 9.2   | 5.3   | 8.1   | 10.2  | 101.1  | 150.6 |
| RIGHT OF WAY             | 0.5       |       |       |       |       |       | 0.3   |       |       |       | 1.9   |       | 2.6    | 5.5   |
| CONSTRUCTION             | 19.7      | 26.5  | 32.7  | 27.5  | 29.3  | 32.7  | 16.4  | 2.9   | 38.1  | 17    | 13.1  | 19.8  | 275.6  | 477.5 |
| MISCELLANEOUS **         | 1.3       | 3.2   | 2.1   | 1.7   | 4.5   | 1.5   | 2.2   | 1     | 1.8   | 10.8  | 1.1   | 1.9   | 33.1   | 53    |
| CANDIDATE DISBURSEMENTS: |           |       |       |       |       |       |       |       |       |       |       |       |        |       |
| CONSULTANTS              |           |       |       |       |       |       |       |       |       |       |       |       |        |       |
| RIGHT OF WAY             |           |       |       |       |       |       |       |       |       |       |       |       |        |       |
| TOTAL CASH DISBURSEMENTS | 47.9      | 46.3  | 60.2  | 54.4  | 58.6  | 61    | 39.9  | 25.4  | 63.1  | 53.6  | 42.8  | 45.5  | 598.7  | 916.9 |
| ENDING CASH BALANCE      | 428.3     | 414.3 | 399.7 | 393.6 | 378.6 | 384.1 | 373.1 | 377.9 | 342.6 | 336.7 | 318.4 | 347   | 347    | 64.4  |
| STATUTORY MINIMUM        | 7.7       | 7.4   | 6.3   | 10.8  | 10.6  | 4.6   | 4.3   | 4.1   | 3.5   | 3.7   | 3.7   | 3.3   |        |       |
| COMMITMENT BALANCE       | 153.3     | 148.4 | 126.5 | 215.9 | 212.4 | 91.9  | 85.4  | 81.2  | 70.4  | 74.7  | 74.9  | 65.9  |        | 185.1 |
| AVAILABLE CASH           | 420.7     | 406.9 | 393.4 | 382.8 | 368   | 379.5 | 368.9 | 373.8 | 339   | 333   | 314.7 | 343.7 |        |       |

NOTE: MISC. REV. LINE INCLUDES REIMBURSEMENT FROM 1999A, 2000A, & 2000B FUNDS AS PLAN EXPENDITURES OCCUR.

Florida Department of Transportation - Turnpike General Reserve Trust Fund Cash Forecast  
Samas Fund ID 326001

| Execution Date: 8/30/2010 11:10:37 AM | FY 2010 - 2011 |             |             |             |             |             |             |             |             |             |             |             |             |
|---------------------------------------|----------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Description                           | July           | August      | September   | October     | November    | December    | January     | February    | March       | April       | May         | June        | Total FY11  |
| Beginning Cash Balance                | 347,079,656    | 451,670,752 | 452,046,952 | 447,652,109 | 435,653,408 | 433,212,642 | 429,441,235 | 435,251,111 | 432,750,781 | 429,829,578 | 428,243,326 | 424,956,272 | 347,079,656 |
| <b>Revenue</b>                        |                |             |             |             |             |             |             |             |             |             |             |             |             |
| INTEREST                              | 748,753        | 898,594     | 1,016,682   | 1,012,161   | 993,719     | 977,474     | 970,486     | 972,779     | 976,502     | 970,403     | 965,332     | 959,850     | 11,462,735  |
| MISCELLANEOUS REVENUE                 | 142,420,822    | 23,704,553  | 18,401,683  | 16,100,008  | 14,052,393  | 12,673,835  | 10,845,864  | 9,614,912   | 8,532,805   | 7,624,713   | 6,609,394   | 7,810,003   | 278,390,983 |
| TRANSFERS-IN                          | 22,085,876     | 25,577,222  | 23,756,257  | 18,522,871  | 25,603,598  | 26,496,260  | 29,050,901  | 30,152,616  | 29,218,262  | 30,726,117  | 29,859,497  | 31,946,049  | 322,995,526 |
| <b>Total Revenue</b>                  | 165,255,451    | 50,180,369  | 43,174,622  | 35,635,040  | 40,649,709  | 40,147,570  | 40,867,251  | 40,740,306  | 38,727,569  | 39,321,233  | 37,434,223  | 40,715,901  | 612,849,245 |
| <b>Disbursements</b>                  |                |             |             |             |             |             |             |             |             |             |             |             |             |
| CONSTRUCTION                          | 30,611,055     | 21,196,693  | 19,099,878  | 16,907,868  | 15,045,553  | 14,302,138  | 13,465,978  | 12,485,825  | 11,772,447  | 11,294,532  | 11,169,903  | 65,038,979  | 242,390,851 |
| CONSULTANT                            | 8,297,146      | 7,249,388   | 6,788,823   | 6,840,172   | 6,548,467   | 8,123,792   | 8,490,728   | 9,114,983   | 8,321,434   | 7,979,437   | 7,891,754   | 14,034,884  | 99,680,988  |
| IN HOUSE                              | 1,602,920      | 1,602,920   | 1,602,920   | 1,602,920   | 1,600,717   | 1,600,717   | 1,600,717   | 1,600,717   | 1,600,717   | 1,600,717   | 1,600,717   | 1,600,717   | 19,217,418  |
| MISCELLANEOUS                         | 2,652,813      | 2,205,249   | 2,478,429   | 4,633,872   | 2,217,782   | 2,164,936   | 2,107,121   | 2,212,866   | 2,078,459   | 2,107,636   | 2,084,292   | 14,821,681  | 41,765,137  |
| OPERATIONS & MAINTENANCE              | 17,005,927     | 17,005,927  | 17,005,927  | 17,005,927  | 16,985,536  | 16,985,536  | 8,601,536   | 16,985,536  | 16,985,536  | 16,985,536  | 16,985,536  | 16,985,536  | 195,524,000 |
| RIGHT OF WAY                          | 494,496        | 543,992     | 593,487     | 642,983     | 692,420     | 741,856     | 791,293     | 840,729     | 890,177     | 939,626     | 989,074     | 1,038,661   | 9,198,794   |
| <b>Total Disbursements</b>            | 60,664,356     | 49,804,169  | 47,569,464  | 47,633,742  | 43,090,475  | 43,918,976  | 35,057,375  | 43,240,637  | 41,648,771  | 40,907,485  | 40,721,277  | 113,520,460 | 607,777,188 |
| Ending Cash Balance                   | 451,670,752    | 452,046,952 | 447,652,109 | 435,653,408 | 433,212,642 | 429,441,235 | 435,251,111 | 432,750,781 | 429,829,578 | 428,243,326 | 424,956,272 | 352,151,714 | 352,151,714 |
| Commitment Balance                    | 158,430,449    | 155,579,886 | 198,235,917 | 191,237,599 | 187,445,749 | 178,239,697 | 169,250,291 | 161,066,701 | 151,153,944 | 139,726,847 | 128,748,013 | 75,385,217  |             |
| Statutory Minimum                     | 7,921,522      | 7,778,994   | 9,911,796   | 9,561,880   | 9,372,287   | 8,911,985   | 8,462,515   | 8,053,335   | 7,557,697   | 6,986,342   | 6,437,401   | 3,769,261   |             |

Florida Department of Transportation - Turnpike General Reserve Trust Fund Cash Forecast  
 Samas Fund ID 326001

| Execution Date: 8/30/2010 11:10:37 AM | FY 2011 - 2012    |                   |                   |                   |                   |                   |                   |                   |                   |                   |                   |                    | Total FY12         |
|---------------------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|--------------------|--------------------|
| Description                           | July              | August            | September         | October           | November          | December          | January           | February          | March             | April             | May               | June               | Total FY12         |
| Beginning Cash Balance                | 352,151,714       | 371,363,767       | 372,545,468       | 370,757,579       | 362,716,028       | 362,997,667       | 364,673,201       | 365,647,403       | 370,217,180       | 373,562,289       | 378,988,500       | 383,332,713        | 352,151,714        |
| <b>Revenue</b>                        |                   |                   |                   |                   |                   |                   |                   |                   |                   |                   |                   |                    |                    |
| INTEREST                              | 1,165,662         | 1,085,273         | 1,115,864         | 1,114,955         | 1,100,210         | 1,088,571         | 1,091,506         | 1,095,481         | 1,103,797         | 1,115,669         | 1,128,826         | 1,143,482          | 13,349,296         |
| MISCELLANEOUS REVENUE                 | 25,039,624        | 5,327,408         | 5,017,480         | 4,757,691         | 3,652,548         | 3,534,952         | 3,204,103         | 2,350,542         | 2,076,160         | 2,432,130         | 2,148,266         | 1,885,412          | 61,426,314         |
| TRANSFERS-IN                          | 22,532,540        | 22,511,384        | 20,666,647        | 15,369,084        | 22,536,243        | 23,438,752        | 26,024,954        | 27,140,450        | 26,195,380        | 27,721,344        | 26,844,513        | 28,957,713         | 289,939,005        |
| <b>Total Revenue</b>                  | <b>48,737,826</b> | <b>28,924,065</b> | <b>26,799,991</b> | <b>21,241,730</b> | <b>27,289,001</b> | <b>28,062,275</b> | <b>30,320,563</b> | <b>30,586,473</b> | <b>29,375,337</b> | <b>31,269,143</b> | <b>30,121,605</b> | <b>31,986,606</b>  | <b>364,714,615</b> |
| <b>Disbursements</b>                  |                   |                   |                   |                   |                   |                   |                   |                   |                   |                   |                   |                    |                    |
| CONSTRUCTION                          | 2,815,372         | 2,936,823         | 2,944,793         | 1,704,493         | 1,832,596         | 1,591,004         | 1,057,680         | 944,654           | 1,422,844         | 1,299,811         | 1,227,387         | 84,658,016         | 104,435,475        |
| CONSULTANT                            | 7,182,495         | 6,010,693         | 6,893,025         | 6,373,716         | 6,375,973         | 6,043,535         | 6,999,211         | 6,383,720         | 5,937,371         | 5,837,055         | 5,851,078         | 5,267,665          | 75,155,538         |
| IN HOUSE                              | 1,725,079         | 1,725,079         | 1,725,079         | 1,725,079         | 1,723,035         | 1,723,035         | 1,723,035         | 1,723,035         | 1,723,035         | 1,723,035         | 1,723,035         | 1,723,035          | 20,684,594         |
| MISCELLANEOUS                         | 981,173           | 246,182           | 199,462           | 2,652,539         | 266,337           | 217,813           | 2,753,152         | 150,071           | 129,845           | 163,976           | 154,919           | 132,402            | 8,047,870          |
| OPERATIONS & MAINTENANCE              | 16,648,558        | 16,648,558        | 16,648,558        | 16,648,558        | 16,628,596        | 16,628,596        | 16,628,596        | 16,628,596        | 16,628,596        | 16,628,596        | 16,628,596        | 16,628,596         | 199,623,000        |
| RIGHT OF WAY                          | 173,096           | 175,029           | 176,962           | 178,895           | 180,826           | 182,757           | 184,688           | 186,619           | 188,539           | 190,458           | 192,378           | 194,297            | 2,204,543          |
| <b>Total Disbursements</b>            | <b>29,525,772</b> | <b>27,742,365</b> | <b>28,587,879</b> | <b>29,283,281</b> | <b>27,007,362</b> | <b>26,386,740</b> | <b>29,346,362</b> | <b>26,016,695</b> | <b>26,030,228</b> | <b>25,842,932</b> | <b>25,777,393</b> | <b>108,604,011</b> | <b>410,151,020</b> |
| Ending Cash Balance                   | 371,363,767       | 372,545,468       | 370,757,579       | 362,716,028       | 362,997,667       | 364,673,201       | 365,647,403       | 370,217,180       | 373,562,289       | 378,988,500       | 383,332,713       | 306,715,309        | 306,715,309        |
| Commitment Balance                    | 71,302,786        | 75,570,618        | 118,113,583       | 116,568,771       | 116,842,998       | 115,432,660       | 115,251,245       | 115,458,239       | 113,505,500       | 109,527,006       | 106,403,809       | 74,619,954         |                    |
| Statutory Minimum                     | 3,565,139         | 3,778,531         | 5,905,679         | 5,828,439         | 5,842,150         | 5,771,833         | 5,782,562         | 5,772,912         | 5,675,275         | 5,476,350         | 5,320,190         | 3,730,998          |                    |



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FLORIDA DEPARTMENT OF TRANSPORTATION  
TURNPIKE REVENUE FUND

AS OF DATE: 6/30/2010

CASH FORECAST  
(MILLION)

|                            | JUL<br>2009 | AUG<br>2009 | SEP<br>2009 | OCT<br>2009 | NOV<br>2009 | DEC<br>2009 | JAN<br>2010 | FEB<br>2010 | MAR<br>2010 | APR<br>2010 | MAY<br>2010 | JUN<br>2010 | FY2010<br>TOTAL | AUG<br>FCST |
|----------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-----------------|-------------|
| BEGINNING BALANCE          | 40.2        | 22.4        | 27.9        | 35.1        | 23.5        | 35.2        | 20.7        | 27.2        | 27.7        | 41          | 21.4        | 29.4        | 40.2            | 49.8        |
| CASH RECEIPTS:             |             |             |             |             |             |             |             |             |             |             |             |             |                 |             |
| TOLL REVENUE               | 47.7        | 44.9        | 55.2        | 44.2        | 53.5        | 46.4        | 46.9        | 45.9        | 60.5        | 49.1        | 46.8        | 81.3        | 622.5           | 707.6       |
| CONCESSION REVENUE         | 0.9         | 0.8         | 1           | 0.8         | 0.8         | 0.8         | 0.8         | 0.8         | 0.8         | 0.8         | 0.8         | 0.8         | 9.9             | 11.4        |
| TRANSFER FROM SIB ESCROW   |             |             |             |             |             |             |             |             |             |             |             |             |                 |             |
| INTEREST                   |             |             | -0.2        | 0.2         |             |             |             |             |             |             |             |             |                 | 1.3         |
| REV MISC *                 |             |             |             |             |             |             |             |             |             |             |             |             |                 |             |
| TOLL INCREASE RESERVE      |             |             |             |             |             |             |             |             |             |             |             |             |                 |             |
| TOTAL CASH RECEIPTS        | 48.6        | 45.7        | 56          | 45.2        | 54.3        | 47.2        | 47.7        | 46.7        | 61.3        | 49.9        | 47.6        | 82.1        | 632.4           | 720.3       |
| CASH DISBURSEMENTS:        |             |             |             |             |             |             |             |             |             |             |             |             |                 |             |
| O & M FUND ***             |             |             |             |             |             |             |             |             |             |             |             |             |                 |             |
| DEBT SERVICE 1989A         |             |             |             |             |             |             |             |             |             |             |             |             |                 |             |
| DEBT SERVICE **            | 19          | 19          | 19.1        | 19.1        | 19.2        | 17          | 17.8        | 19.1        | 19.1        | 22.5        | 15.9        | 15          | 221.9           | 221.1       |
| RESERVE FOR SIB DEBT       | 10.2        | 10.2        | 12.7        | 10.2        | 10.2        |             |             |             | 2.4         |             |             |             | 56.1            |             |
| RENEWAL & REPLACEMENT FUND | 1.2         | 1.2         | 1.2         | 1.2         | 1.2         | 1.2         | 1.2         | 1.2         | 1.2         | 1.2         | 1.2         | 1.2         | 14.2            | 49.9        |
| GENERAL RESERVE FUND       | 36          | 9.8         | 15.9        | 26.3        | 12          | 43.6        | 22.2        | 26          | 25.2        | 45.8        | 22.5        | 71.9        | 357             | 465.3       |
| TOTAL CASH DISBURSEMENTS   | 66.4        | 40.2        | 48.8        | 56.8        | 42.6        | 61.7        | 41.2        | 46.3        | 48          | 69.5        | 39.6        | 88.1        | 649.2           | 736.2       |
| ENDING CASH BALANCE        | 22.4        | 27.9        | 35.1        | 23.5        | 35.2        | 20.7        | 27.2        | 27.7        | 41          | 21.4        | 29.4        | 23.4        | 23.4            | 33.9        |

Florida Department of Transportation - Turnpike General Reserve - REVENUE FUND - Cash Forecast  
 Samas Fund ID 326001

| Execution Date: 8/30/2010 11:10:15 AM | FY 2010 - 2011    |                   |                   |                   |                   |                   |                   |                   |                   |                   |                   |                   |                    |
|---------------------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|--------------------|
| Description                           | July              | August            | September         | October           | November          | December          | January           | February          | March             | April             | May               | June              | Total FY11         |
| Beginning Cash Balance                | 23,402,093        | 19,682,694        | 26,893,439        | 17,861,729        | 21,660,054        | 24,918,624        | 22,552,716        | 27,473,265        | 23,654,430        | 26,538,912        | 25,162,285        | 25,672,292        | 23,402,093         |
| <b>Revenue</b>                        |                   |                   |                   |                   |                   |                   |                   |                   |                   |                   |                   |                   |                    |
| INTEREST                              | 59,453            | 48,470            | 52,398            | 50,350            | 44,462            | 52,401            | 53,405            | 56,279            | 57,519            | 56,468            | 58,164            | 57,189            | 646,558            |
| TURNPIKE CONCESSIONS                  | 559,409           | 705,135           | 695,916           | 386,694           | 519,483           | 612,347           | 695,916           | 660,897           | 700,228           | 709,522           | 660,897           | 528,554           | 7,435,000          |
| TURNPIKE TOLLS                        | 38,746,526        | 53,033,273        | 34,975,144        | 42,883,064        | 49,273,303        | 44,440,685        | 54,197,209        | 46,591,685        | 52,320,077        | 49,558,581        | 50,625,523        | 53,911,931        | 570,557,000        |
| <b>Total Revenue</b>                  | <b>39,365,388</b> | <b>53,786,879</b> | <b>35,723,458</b> | <b>43,320,108</b> | <b>49,837,248</b> | <b>45,105,432</b> | <b>54,946,531</b> | <b>47,308,861</b> | <b>53,077,824</b> | <b>50,324,571</b> | <b>51,344,584</b> | <b>54,497,674</b> | <b>578,638,558</b> |
| <b>Disbursements</b>                  |                   |                   |                   |                   |                   |                   |                   |                   |                   |                   |                   |                   |                    |
| DEBT SERVICE                          | 19,875,568        | 19,875,568        | 19,875,568        | 19,875,568        | 19,851,736        | 19,851,736        | 19,851,736        | 19,851,736        | 19,851,736        | 19,851,736        | 19,851,736        | 19,851,736        | 238,316,164        |
| DEBT SERVICE RESERVE                  | 0                 | 0                 | 0                 | 0                 | 0                 | 0                 | 0                 | 0                 | 0                 | 0                 | 0                 | 0                 | 0                  |
| GENERAL RESERVE FUND                  | 22,085,876        | 25,577,222        | 23,756,257        | 18,522,871        | 25,603,598        | 26,496,260        | 29,050,901        | 30,152,616        | 29,218,262        | 30,726,117        | 29,859,497        | 31,946,049        | 322,995,526        |
| OPERATIONS & MAINTENANCE              | 0                 | 0                 | 0                 | 0                 | 0                 | 0                 | 0                 | 0                 | 0                 | 0                 | 0                 | 0                 | 0                  |
| RENEWAL & REPLACEMENT FUND            | 1,123,344         | 1,123,344         | 1,123,344         | 1,123,344         | 1,123,344         | 1,123,344         | 1,123,344         | 1,123,344         | 1,123,344         | 1,123,344         | 1,123,344         | 1,123,344         | 13,480,123         |
| <b>Total Disbursements</b>            | <b>43,084,788</b> | <b>46,576,134</b> | <b>44,755,169</b> | <b>39,521,783</b> | <b>46,578,678</b> | <b>47,471,340</b> | <b>50,025,982</b> | <b>51,127,696</b> | <b>50,193,342</b> | <b>51,701,197</b> | <b>50,834,577</b> | <b>52,921,129</b> | <b>574,791,814</b> |
| Ending Cash Balance                   | 19,682,694        | 26,893,439        | 17,861,729        | 21,660,054        | 24,918,624        | 22,552,716        | 27,473,265        | 23,654,430        | 26,538,912        | 25,162,285        | 25,672,292        | 27,248,837        | 27,248,837         |
| Total Commitments                     | 19,875,568        | 19,875,568        | 19,875,568        | 19,875,568        | 19,851,736        | 19,851,736        | 19,851,736        | 19,851,736        | 19,851,736        | 19,851,736        | 19,851,736        | 19,851,736        |                    |

Florida Department of Transportation - Turnpike General Reserve - REVENUE FUND - Cash Forecast  
 Samas Fund ID 326001

| Execution Date: 8/30/2010 11:10:15 AM | FY 2011 - 2012    |                   |                   |                   |                   |                   |                   |                   |                   |                   |                   |                   |                    |
|---------------------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|--------------------|
| Description                           | July              | August            | September         | October           | November          | December          | January           | February          | March             | April             | May               | June              | Total FY12         |
| Beginning Cash Balance                | 27,248,837        | 19,928,714        | 27,227,682        | 18,083,976        | 21,930,119        | 25,226,591        | 22,832,629        | 27,812,792        | 23,948,125        | 26,867,722        | 25,474,089        | 25,990,892        | 27,248,837         |
| <b>Revenue</b>                        |                   |                   |                   |                   |                   |                   |                   |                   |                   |                   |                   |                   |                    |
| INTEREST                              | 79,382            | 70,766            | 70,735            | 67,967            | 60,021            | 70,735            | 72,089            | 75,968            | 77,641            | 76,224            | 78,513            | 77,197            | 877,239            |
| TURNPIKE CONCESSIONS                  | 559,409           | 705,135           | 695,916           | 386,694           | 519,483           | 612,347           | 695,916           | 660,897           | 700,228           | 709,522           | 660,897           | 528,554           | 7,435,000          |
| TURNPIKE TOLLS                        | 39,218,636        | 53,679,462        | 35,401,302        | 43,405,576        | 49,873,677        | 44,982,176        | 54,857,580        | 47,159,385        | 52,957,575        | 50,162,432        | 51,242,374        | 54,568,825        | 577,509,000        |
| <b>Total Revenue</b>                  | <b>39,857,427</b> | <b>54,455,363</b> | <b>36,167,952</b> | <b>43,860,238</b> | <b>50,453,182</b> | <b>45,665,258</b> | <b>55,625,585</b> | <b>47,896,250</b> | <b>53,735,445</b> | <b>50,948,178</b> | <b>51,981,783</b> | <b>55,174,577</b> | <b>585,821,239</b> |
| <b>Disbursements</b>                  |                   |                   |                   |                   |                   |                   |                   |                   |                   |                   |                   |                   |                    |
| DEBT SERVICE                          | 20,469,180        | 20,469,180        | 20,469,180        | 20,469,180        | 20,444,636        | 20,444,636        | 20,444,636        | 20,444,636        | 20,444,636        | 20,444,636        | 20,444,636        | 20,444,636        | 245,433,809        |
| DEBT SERVICE RESERVE                  | 0                 | 0                 | 0                 | 0                 | 0                 | 0                 | 0                 | 0                 | 0                 | 0                 | 0                 | 0                 | 0                  |
| GENERAL RESERVE FUND                  | 22,532,540        | 22,511,384        | 20,666,647        | 15,369,084        | 22,536,243        | 23,438,752        | 26,024,954        | 27,140,450        | 26,195,380        | 27,721,344        | 26,844,513        | 28,957,713        | 289,939,005        |
| OPERATIONS & MAINTENANCE              | 0                 | 0                 | 0                 | 0                 | 0                 | 0                 | 0                 | 0                 | 0                 | 0                 | 0                 | 0                 | 0                  |
| RENEWAL & REPLACEMENT FUND            | 4,175,831         | 4,175,831         | 4,175,831         | 4,175,831         | 4,175,831         | 4,175,831         | 4,175,831         | 4,175,831         | 4,175,831         | 4,175,831         | 4,175,831         | 4,175,831         | 50,109,974         |
| <b>Total Disbursements</b>            | <b>47,177,551</b> | <b>47,156,395</b> | <b>45,311,658</b> | <b>40,014,095</b> | <b>47,156,710</b> | <b>48,059,220</b> | <b>50,645,421</b> | <b>51,760,917</b> | <b>50,815,848</b> | <b>52,341,811</b> | <b>51,464,980</b> | <b>53,578,180</b> | <b>585,482,787</b> |
| Ending Cash Balance                   | 19,928,714        | 27,227,682        | 18,083,976        | 21,930,119        | 25,226,591        | 22,832,629        | 27,812,792        | 23,948,125        | 26,867,722        | 25,474,089        | 25,990,892        | 27,587,289        | 27,587,289         |
| Total Commitments                     | 20,469,180        | 20,469,180        | 20,469,180        | 20,469,180        | 20,444,636        | 20,444,636        | 20,444,636        | 20,444,636        | 20,444,636        | 20,444,636        | 20,444,636        | 20,444,636        |                    |

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FLORIDA DEPARTMENT OF TRANSPORTATION  
TURNPIKE CASH BASED BOND FUNDS (2003C, 2004A, 2005A, 2006A, 2007A, 2008A, 2010A)

AS OF DATE: 6/30/2010

|                          | CASH FORECAST<br>(MILLION) |             |             |             |             |             |             |             |             |             |             |             | FY2010<br>TOTAL | AUG<br>FCST |
|--------------------------|----------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-----------------|-------------|
|                          | JUL<br>2009                | AUG<br>2009 | SEP<br>2009 | OCT<br>2009 | NOV<br>2009 | DEC<br>2009 | JAN<br>2010 | FEB<br>2010 | MAR<br>2010 | APR<br>2010 | MAY<br>2010 | JUN<br>2010 |                 |             |
| BEGINNING BALANCE        |                            | 117.1       | 97          | 70.4        | 51.5        | 22.4        | 2.5         |             |             |             |             |             |                 | 157.4       |
| CASH RECEIPTS:           |                            |             |             |             |             |             |             |             |             |             |             |             |                 |             |
| NET BOND SALE            | 297.7                      |             |             |             |             |             |             |             |             |             |             | 250.1       | 547.8           | 476.2       |
| TRANSFERS-IN             |                            |             |             |             |             |             |             |             |             |             |             |             |                 |             |
| INTEREST                 |                            |             | 0.5         | 0.2         | 0.1         | 0.1         |             |             |             |             |             |             | 0.9             | 11.3        |
| MISC REVENUE             |                            |             |             |             |             |             |             |             |             |             |             |             |                 |             |
| TOTAL CASH RECEIPTS      | 297.7                      |             | 0.5         | 0.2         | 0.1         | 0.1         |             |             |             |             |             | 250.1       | 548.6           | 487.5       |
| CASH DISBURSEMENTS:      |                            |             |             |             |             |             |             |             |             |             |             |             |                 |             |
| OPS & MAINT              |                            |             |             |             |             |             |             |             |             |             |             |             |                 |             |
| IN-HOUSE                 |                            |             |             |             |             |             |             |             |             |             |             |             |                 |             |
| CONSULTANTS              | 1.8                        | 1.6         | 2.4         | 2.5         | 1.8         | 1.6         | 2.4         | 1.7         | 1.9         | 1.4         | 1.5         | 2.7         | 23.2            | 46.1        |
| RIGHT OF WAY             |                            |             |             |             |             |             |             |             |             |             |             |             |                 |             |
| CONSTRUCTION             | 18.2                       | 25.6        | 16.6        | 26.7        | 18.9        | 17          | 14.2        | 1           | 31.6        | 16          | 12.3        | 14.6        | 212.8           | 409.5       |
| MISCELLANEOUS            | 180.6                      | 20.1        | 27.1        | 19          | 29.2        | 20          | 2.5         |             |             |             |             |             | 298.6           | 21.9        |
| TOTAL CASH DISBURSEMENTS | 180.6                      | 20.1        | 27.1        | 19          | 29.2        | 20          | 2.5         |             |             |             |             |             | 298.6           | 21.9        |
| ENDING CASH BALANCE      | 117.1                      | 97          | 70.4        | 51.5        | 22.4        | 2.5         |             |             |             |             |             | 250.1       | 250.1           | 623         |

Florida Department of Transportation - Turnpike General Reserve - BOND FUND - Cash Forecast  
 Samas Fund ID 326001

| Execution Date: 8/26/2010 10:09:45 AM | FY 2010 - 2011 |             |            |            |            |            |            |            |            |            |            |            |             |
|---------------------------------------|----------------|-------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|-------------|
| Description                           | July           | August      | September  | October    | November   | December   | January    | February   | March      | April      | May        | June       | Total FY11  |
| Beginning Cash Balance                | 250,055,000    | 108,370,687 | 85,450,775 | 67,917,433 | 52,316,156 | 38,788,423 | 27,655,862 | 17,171,482 | 7,994,565  | 63,047,486 | 55,778,895 | 49,562,793 | 250,055,000 |
| <b>Revenue</b>                        |                |             |            |            |            |            |            |            |            |            |            |            |             |
| INTEREST                              | 281,312        | 403,229     | 218,049    | 172,539    | 135,263    | 102,493    | 74,750     | 50,431     | 28,312     | 79,922     | 133,680    | 118,509    | 1,798,488   |
| TURNPIKE BONDS                        | 0              | 0           | 0          | 0          | 0          | 0          | 0          | 0          | 63,307,928 | 0          | 0          | 0          | 63,307,928  |
| <b>Total Revenue</b>                  | 281,312        | 403,229     | 218,049    | 172,539    | 135,263    | 102,493    | 74,750     | 50,431     | 63,336,240 | 79,922     | 133,680    | 118,509    | 65,106,416  |
| <b>Disbursements</b>                  |                |             |            |            |            |            |            |            |            |            |            |            |             |
| MISC DISBURSEMENT                     | 141,965,625    | 23,323,141  | 17,751,392 | 15,773,816 | 13,662,996 | 11,235,054 | 10,559,130 | 9,227,348  | 8,283,319  | 7,348,513  | 6,349,781  | 6,147,620  | 271,627,733 |
| <b>Total Disbursements</b>            | 141,965,625    | 23,323,141  | 17,751,392 | 15,773,816 | 13,662,996 | 11,235,054 | 10,559,130 | 9,227,348  | 8,283,319  | 7,348,513  | 6,349,781  | 6,147,620  | 271,627,733 |
| Ending Cash Balance                   | 108,370,687    | 85,450,775  | 67,917,433 | 52,316,156 | 38,788,423 | 27,655,862 | 17,171,482 | 7,994,565  | 63,047,486 | 55,778,895 | 49,562,793 | 43,533,683 | 43,533,683  |

Florida Department of Transportation - Turnpike General Reserve - BOND FUND - Cash Forecast  
 Samas Fund ID 326001

| Execution Date: 8/26/2010 10:09:45 AM | FY 2011 - 2012 |            |            |           |            |            |            |            |            |            |             |             |             |
|---------------------------------------|----------------|------------|------------|-----------|------------|------------|------------|------------|------------|------------|-------------|-------------|-------------|
| Description                           | July           | August     | September  | October   | November   | December   | January    | February   | March      | April      | May         | June        | Total FY12  |
| Beginning Cash Balance                | 43,533,683     | 18,882,582 | 13,900,534 | 9,137,246 | 4,585,901  | 79,624,936 | 76,437,208 | 73,701,220 | 71,726,597 | 69,999,671 | 67,944,133  | 146,157,728 | 43,533,683  |
| <b>Revenue</b>                        |                |            |            |           |            |            |            |            |            |            |             |             |             |
| INTEREST                              | 139,645        | 93,624     | 49,175     | 34,557    | 20,585     | 126,316    | 234,093    | 225,208    | 218,142    | 212,589    | 206,916     | 321,153     | 1,882,002   |
| TURNPIKE BONDS                        | 0              | 0          | 0          | 0         | 78,402,797 | 0          | 0          | 0          | 0          | 0          | 80,000,001  | 0           | 158,402,798 |
| <b>Total Revenue</b>                  | 139,645        | 93,624     | 49,175     | 34,557    | 78,423,382 | 126,316    | 234,093    | 225,208    | 218,142    | 212,589    | 80,206,917  | 321,153     | 160,284,800 |
| <b>Disbursements</b>                  |                |            |            |           |            |            |            |            |            |            |             |             |             |
| MISC DISBURSEMENT                     | 24,790,746     | 5,075,672  | 4,812,463  | 4,585,901 | 3,384,348  | 3,314,043  | 2,970,081  | 2,199,832  | 1,945,068  | 2,268,127  | 1,993,322   | 1,752,990   | 59,092,592  |
| <b>Total Disbursements</b>            | 24,790,746     | 5,075,672  | 4,812,463  | 4,585,901 | 3,384,348  | 3,314,043  | 2,970,081  | 2,199,832  | 1,945,068  | 2,268,127  | 1,993,322   | 1,752,990   | 59,092,592  |
| Ending Cash Balance                   | 18,882,582     | 13,900,534 | 9,137,246  | 4,585,901 | 79,624,936 | 76,437,208 | 73,701,220 | 71,726,597 | 69,999,671 | 67,944,133 | 146,157,728 | 144,725,891 | 144,725,891 |

## Fiscal Year 2011-12 LBR Technical Review Checklist (Rev. 10-13-2010)

Department/Budget Entity (Service):

Agency Budget Officer/OPB Analyst Name:

A "Y" indicates "YES" and is acceptable, an "N/J" indicates "NO/Justification Provided" - these require further explanation/justification (additional sheets can be used as necessary), and "TIPS" are other areas to consider.

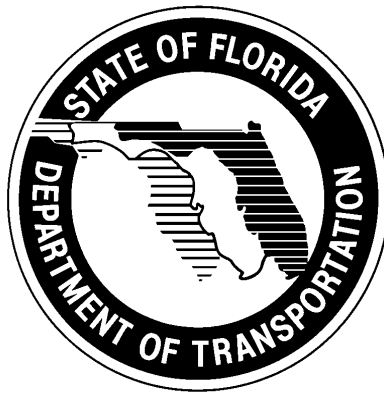
|        | Program or Service (Budget Entity Codes) |
|--------|------------------------------------------|
| Action | Department                               |

### 8. SCHEDULE I & RELATED DOCUMENTS (SC1R, SC1 - Budget Entity Level or SC1R, SC1D - Department Level)

|      |                                                                                                                                                                                                                                                                     |   |
|------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|
| 8.1  | Has a separate department level Schedule I and supporting documents package been submitted by the agency?                                                                                                                                                           | Y |
| 8.2  | Has a Schedule I been completed in LAS/PBS for each operating trust fund?                                                                                                                                                                                           | Y |
| 8.3  | Have the appropriate Schedule I supporting documents been included for the trust funds (Schedule IA, Schedule IB, Schedule IC, and Reconciliation to Trial Balance)?                                                                                                | Y |
| 8.4  | Have the Examination of Regulatory Fees Part I and Part II forms been included for the applicable regulatory programs?                                                                                                                                              | Y |
| 8.5  | Have the required detailed narratives been provided (5% trust fund reserve narrative; method for computing the distribution of cost for general management and administrative services narrative; adjustments narrative; revenue estimating methodology narrative)? | Y |
| 8.6  | Has the Inter-Agency Transfers Reported on Schedule I form been included as applicable for transfers totaling \$100,000 or more for the fiscal year?                                                                                                                | Y |
| 8.7  | If the agency is scheduled for the annual trust fund review this year, have the Schedule ID and applicable draft legislation been included for recreation, modification or termination of existing trust funds?                                                     | Y |
| 8.8  | If the agency is scheduled for the annual trust fund review this year, have the necessary trust funds been requested for creation pursuant to <i>section 215.32(2)(b), Florida Statutes</i> - including the Schedule ID and applicable legislation?                 | Y |
| 8.9  | Are the revenue codes correct? In the case of federal revenues, has the agency appropriately identified direct versus indirect receipts (object codes 000700, 000750, 000799, 001510 and 001599)?                                                                   | Y |
| 8.10 | Are the statutory authority references correct?                                                                                                                                                                                                                     | Y |
| 8.11 | Are the General Revenue Service Charge percentage rates used for each revenue source correct? (Refer to Chapter 2009-78, Laws of Florida, for appropriate general revenue service charge percentage rates.)                                                         | Y |
| 8.12 | Is this an accurate representation of revenues based on the most recent Consensus Estimating Conference forecasts?                                                                                                                                                  | Y |
| 8.13 | If there is no Consensus Estimating Conference forecast available, do the revenue estimates appear to be reasonable?                                                                                                                                                | Y |
| 8.14 | Are the federal funds revenues reported in Section I broken out by individual grant? Are the correct CFDA codes used?                                                                                                                                               | Y |
| 8.15 | Are anticipated grants included and based on the state fiscal year (rather than federal fiscal year)?                                                                                                                                                               | Y |
| 8.16 | Are the Schedule I revenues consistent with the FSI's reported in the Exhibit D-3A?                                                                                                                                                                                 | Y |
| 8.17 | If applicable, are nonrecurring revenues entered into Column A04?                                                                                                                                                                                                   | Y |

|                |                                                                                                                                                                                                                                                                                                                        | Program or Service (Budget Entity Codes) |
|----------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|
| Action         |                                                                                                                                                                                                                                                                                                                        | Department                               |
| 8.18           | Has the agency certified the revenue estimates in columns A02 and A03 to be the latest and most accurate available? Does the certification include a statement that the agency will notify OPB of any significant changes in revenue estimates that occur prior to the Governor's Budget Recommendations being issued? | Y                                        |
| 8.19           | Is a 5% trust fund reserve reflected in Section II? If not, is sufficient justification provided for exemption? Are the additional narrative requirements provided?                                                                                                                                                    | Y                                        |
| 8.20           | Are appropriate service charge nonoperating amounts included in Section II?                                                                                                                                                                                                                                            | Y                                        |
| 8.21           | Are nonoperating expenditures to other budget entities/departments cross-referenced accurately?                                                                                                                                                                                                                        | Y                                        |
| 8.22           | Do transfers balance between funds (within the agency as well as between agencies)? (See also 8.6 for required transfer confirmation of amounts totaling \$100,000 or more.)                                                                                                                                           | Y                                        |
| 8.23           | Are nonoperating expenditures recorded in Section II and adjustments recorded in Section III?                                                                                                                                                                                                                          | Y                                        |
| 8.24           | Are prior year September operating reversions appropriately shown in column A01?                                                                                                                                                                                                                                       | Y                                        |
| 8.25           | Are current year September operating reversions appropriately shown in column A02?                                                                                                                                                                                                                                     | Y                                        |
| 8.26           | Does the Schedule IC properly reflect the unreserved fund balance for each trust fund as defined by the LBR Instructions, and is it reconciled to the agency accounting records?                                                                                                                                       | Y                                        |
| 8.27           | Does Column A01 of the Schedule I accurately represent the actual prior year accounting data as reflected in the agency accounting records, and is it provided in sufficient detail for analysis?                                                                                                                      | Y                                        |
| 8.28           | Does Line I of Column A01 (Schedule I) equal Line K of the Schedule IC?                                                                                                                                                                                                                                                | Y                                        |
| <b>AUDITS:</b> |                                                                                                                                                                                                                                                                                                                        |                                          |
| 8.29           | Is Line I a positive number? (If not, the agency must adjust the budget request to eliminate the deficit).                                                                                                                                                                                                             | Y                                        |
| 8.30           | Is the June 30 Adjusted Unreserved Fund Balance (Line I) equal to the July 1 Unreserved Fund Balance (Line A) of the following year? ( <b>SC1R, SC1A - Report should print "No Discrepancies Exist For This Report"</b> )                                                                                              | Y                                        |
| 8.31           | Has a Department Level Reconciliation been provided for each trust fund and does Line A of the Schedule I equal the CFO amount? If not, the agency must correct Line A. ( <b>SC1R, DEPT</b> )                                                                                                                          | Y                                        |
| TIP            | The Schedule I is the most reliable source of data concerning the trust funds. It is very important that this schedule is as accurate as possible!                                                                                                                                                                     |                                          |
| TIP            | Determine if the agency is scheduled for trust fund review. (See page 125 of the LBR Instructions.)                                                                                                                                                                                                                    |                                          |
| TIP            | Review the unreserved fund balances and compare revenue totals to expenditure totals to determine and understand the trust fund status.                                                                                                                                                                                |                                          |
| TIP            | Typically nonoperating expenditures and revenues should not be a negative number. Any negative numbers must be fully justified.                                                                                                                                                                                        |                                          |





# **LEGISLATIVE BUDGET REQUEST 2011-2012**

## **Schedule VI-Detail of Debt Service**

**SCHEDULE VI: DETAIL OF DEBT SERVICE**

**Department:** 55 Transportation  
**Budget Entity:** 55100100 - Transportation Systems Development  
 55150200 - Highway Operations

**Budget Period** 2011 - 2012

| (1)                            | (2)                 | (3)                 | (4)                 |
|--------------------------------|---------------------|---------------------|---------------------|
| <b>SECTION 1</b>               | <b>ACTUAL</b>       | <b>ESTIMATED</b>    | <b>REQUEST</b>      |
|                                | <b>FY 2009-2010</b> | <b>FY 2010-2011</b> | <b>FY 2011-2012</b> |
| Interest on Debt (A)           | 93,416,412          | 98,351,696          | 112,550,734         |
| Principal (B)                  | 56,665,000          | 67,505,000          | 79,620,000          |
| Repayment of Loans (C)         | 0                   | 0                   | 0                   |
| Fiscal Agent or Other Fees (D) | 199,237             | 209,590             | 221,248             |
| Other Debt Service (E)         | 0                   | 0                   | 0                   |
| <b>Total Debt Service (F)</b>  | <b>150,280,649</b>  | <b>166,066,286</b>  | <b>192,391,981</b>  |

Explanation:

Total combined debt service for outstanding R-O-W acquisition, Alligator Alley and State Infrastructure Bank, and proposed issuance of R-O-W, GARVEE and State Infrastructure Bank bonds.

**SECTION II**

\*Note: Does not include interest credited from Debt Service Reserve Account.

**(1) ISSUE:**

| (2)                            | (3)                  | (4)                 | (5)                  | (6)                  |
|--------------------------------|----------------------|---------------------|----------------------|----------------------|
| <b>INTEREST RATE</b>           | <b>MATURITY DATE</b> | <b>ISSUE AMOUNT</b> | <b>June 30, 2011</b> | <b>June 30, 2012</b> |
|                                |                      |                     |                      |                      |
|                                |                      | (7)                 | (8)                  | (9)                  |
|                                |                      | <b>ACTUAL</b>       | <b>ESTIMATED</b>     | <b>REQUEST</b>       |
|                                |                      | <b>FY 2009-2010</b> | <b>FY 2010-2011</b>  | <b>FY 2011-2012</b>  |
| Interest on Debt (G)           |                      |                     |                      |                      |
| Principal (H)                  |                      |                     |                      |                      |
| Fiscal Agent or Other Fees (I) |                      |                     |                      |                      |
| Other (J)                      |                      |                     |                      |                      |
| <b>Total Debt Service (K)</b>  |                      | <b>0</b>            | <b>0</b>             | <b>0</b>             |

**(1) ISSUE:**

| <b>INTEREST RATE</b>           | <b>MATURITY DATE</b> | <b>ISSUE AMOUNT</b> | <b>June 30, 2011</b> | <b>June 30, 2012</b> |
|--------------------------------|----------------------|---------------------|----------------------|----------------------|
|                                |                      |                     |                      |                      |
|                                |                      | <b>ACTUAL</b>       | <b>ESTIMATED</b>     | <b>REQUEST</b>       |
|                                |                      | <b>FY 2009-2010</b> | <b>FY 2010-2011</b>  | <b>FY 2011-2012</b>  |
| Interest on Debt (G)           |                      |                     |                      |                      |
| Principal (H)                  |                      |                     |                      |                      |
| Fiscal Agent or Other Fees (I) |                      |                     |                      |                      |
| Other (J)                      |                      |                     |                      |                      |
| <b>Total Debt Service (K)</b>  |                      | <b>0</b>            | <b>0</b>             | <b>0</b>             |

**SCHEDULE VI: DETAIL OF DEBT SERVICE**

**Department:** 55 Transportation  
**Budget Entity:** 55100100 - Transportation Systems Development

**Budget Period** 2011 - 2012

| (1)                            | (2)                 | (3)                 | (4)                 |
|--------------------------------|---------------------|---------------------|---------------------|
|                                | <b>ACTUAL</b>       | <b>ESTIMATED</b>    | <b>REQUEST</b>      |
| <b>SECTION 1</b>               | <b>FY 2009-2010</b> | <b>FY 2010-2011</b> | <b>FY 2011-2012</b> |
| Interest on Debt (A)           | 1,988,338           | 1,922,638           | 1,857,825           |
| Principal (B)                  | 1,460,000           | 1,525,000           | 1,590,000           |
| Repayment of Loans (C)         | 0                   | 0                   | 0                   |
| Fiscal Agent or Other Fees (D) | 4,038               | 3,892               | 3,740               |
| Other Debt Service (E)         | 0                   | 0                   | 0                   |
| <b>Total Debt Service (F)</b>  | <b>3,452,376</b>    | <b>3,451,530</b>    | <b>3,451,565</b>    |

Explanation: Combined total debt service for outstanding Alligator Alley bonds and proposed bonds to be issued

**SECTION II**

(1) **ISSUE:** \_\_\_\_\_

| (2)                            | (3)                  | (4)                 | (5)                  | (6)                  |
|--------------------------------|----------------------|---------------------|----------------------|----------------------|
| <b>INTEREST RATE</b>           | <b>MATURITY DATE</b> | <b>ISSUE AMOUNT</b> | <b>June 30, 2011</b> | <b>June 30, 2012</b> |
|                                |                      |                     |                      |                      |
|                                |                      | (7)                 | (8)                  | (9)                  |
|                                |                      | <b>ACTUAL</b>       | <b>ESTIMATED</b>     | <b>REQUEST</b>       |
|                                |                      | <b>FY 2009-2010</b> | <b>FY 2010-2011</b>  | <b>FY 2011-2012</b>  |
| Interest on Debt (G)           |                      |                     |                      |                      |
| Principal (H)                  |                      |                     |                      |                      |
| Fiscal Agent or Other Fees (I) |                      |                     |                      |                      |
| Other (J)                      |                      | 0                   | 0                    | 0                    |
| <b>Total Debt Service (K)</b>  |                      | <b>0</b>            | <b>0</b>             | <b>0</b>             |

(1) **ISSUE:** \_\_\_\_\_

| (2)                            | (3)                  | (4)                 | (5)                  | (6)                  |
|--------------------------------|----------------------|---------------------|----------------------|----------------------|
| <b>INTEREST RATE</b>           | <b>MATURITY DATE</b> | <b>ISSUE AMOUNT</b> | <b>June 30, 2011</b> | <b>June 30, 2012</b> |
|                                |                      |                     |                      |                      |
|                                |                      | (7)                 | (8)                  | (9)                  |
|                                |                      | <b>ACTUAL</b>       | <b>ESTIMATED</b>     | <b>REQUEST</b>       |
|                                |                      | <b>FY 2009-2010</b> | <b>FY 2010-2011</b>  | <b>FY 2011-2012</b>  |
| Interest on Debt (G)           |                      |                     |                      |                      |
| Principal (H)                  |                      |                     |                      |                      |
| Fiscal Agent or Other Fees (I) |                      |                     |                      |                      |
| Other (J)                      |                      | 0                   | 0                    | 0                    |
| <b>Total Debt Service (K)</b>  |                      | <b>0</b>            | <b>0</b>             | <b>0</b>             |

**SCHEDULE VI: DETAIL OF DEBT SERVICE**

**Department:** 55 Transportation  
**Budget Entity:** 55100100 - Transportation Systems Development

**Budget Period** 2011 - 2012

| (1)                            | (2)                 | (3)                 | (4)                 |
|--------------------------------|---------------------|---------------------|---------------------|
|                                | <b>ACTUAL</b>       | <b>ESTIMATED</b>    | <b>REQUEST</b>      |
| <b>SECTION 1</b>               | <b>FY 2009-2010</b> | <b>FY 2010-2011</b> | <b>FY 2011-2012</b> |
| Interest on Debt (A)           | 1,988,338           | 1,922,638           | 1,857,825           |
| Principal (B)                  | 1,460,000           | 1,525,000           | 1,590,000           |
| Repayment of Loans (C)         |                     |                     |                     |
| Fiscal Agent or Other Fees (D) | 4,038               | 3,892               | 3,740               |
| Other Debt Service (E)         |                     |                     |                     |
| <b>Total Debt Service (F)</b>  | <b>3,452,376</b>    | <b>3,451,530</b>    | <b>3,451,565</b>    |

Explanation: Total debt service of outstanding Alligator Alley bonds. Implements the Alley program pursuant to s. 215.57-215.83 (3) F.S., s. 338.165(3) F.S. and s. 11(d), Article VII of the Florida Constitution.

**SECTION II**

(1) ISSUE:

| (2)                            | (3)                  | (4)                 | (5)                  | (6)                  |
|--------------------------------|----------------------|---------------------|----------------------|----------------------|
| <b>INTEREST RATE</b>           | <b>MATURITY DATE</b> | <b>ISSUE AMOUNT</b> | <b>June 30, 2011</b> | <b>June 30, 2012</b> |
|                                |                      |                     |                      |                      |
|                                |                      | (7)                 | (8)                  | (9)                  |
|                                |                      | <b>ACTUAL</b>       | <b>ESTIMATED</b>     | <b>REQUEST</b>       |
|                                |                      | <b>FY 2009-2010</b> | <b>FY 2010-2011</b>  | <b>FY 2011-2012</b>  |
| Interest on Debt (G)           |                      |                     |                      |                      |
| Principal (H)                  |                      |                     |                      |                      |
| Fiscal Agent or Other Fees (I) |                      |                     |                      |                      |
| Other (J)                      |                      |                     |                      |                      |
| <b>Total Debt Service (K)</b>  |                      | <b>0</b>            | <b>0</b>             | <b>0</b>             |

(1) ISSUE:

| <b>INTEREST RATE</b>           | <b>MATURITY DATE</b> | <b>ISSUE AMOUNT</b> | <b>June 30, 2011</b> | <b>June 30, 2012</b> |
|--------------------------------|----------------------|---------------------|----------------------|----------------------|
|                                |                      |                     |                      |                      |
|                                |                      | <b>ACTUAL</b>       | <b>ESTIMATED</b>     | <b>REQUEST</b>       |
|                                |                      | <b>FY 2009-2010</b> | <b>FY 2010-2011</b>  | <b>FY 2011-2012</b>  |
| Interest on Debt (G)           |                      |                     |                      |                      |
| Principal (H)                  |                      |                     |                      |                      |
| Fiscal Agent or Other Fees (I) |                      |                     |                      |                      |
| Other (J)                      |                      |                     |                      |                      |
| <b>Total Debt Service (K)</b>  |                      | <b>0</b>            | <b>0</b>             | <b>0</b>             |

**SCHEDULE VI: DETAIL OF DEBT SERVICE**

**Department:** 55 Transportation  
**Budget Entity:** 55100100 - Transportation Systems Development

**Budget Period** 2011 - 2012

| (1)                        | (2)<br>ACTUAL<br>FY 2009-2010 | (3)<br>ESTIMATED<br>FY 2010-2011 | (4)<br>REQUEST<br>FY 2011-2012 |
|----------------------------|-------------------------------|----------------------------------|--------------------------------|
| <b>SECTION 1</b>           |                               |                                  |                                |
| Interest on Debt           | (A) 0                         | 0                                | 0                              |
| Principal                  | (B) 0                         | 0                                | 0                              |
| Repayment of Loans         | (C) 0                         | 0                                | 0                              |
| Fiscal Agent or Other Fees | (D) 0                         | 0                                | 0                              |
| Other Debt Service         | (E) 0                         | 0                                | 0                              |
| <b>Total Debt Service</b>  | <b>(F) 0</b>                  | <b>0</b>                         | <b>0</b>                       |

Explanation: Debt service requirements for proposed Alligator Alley bond issuance.

**SECTION II**

(1) **ISSUE:** \_\_\_\_\_

| (2)<br>INTEREST RATE       | (3)<br>MATURITY DATE | (4)<br>ISSUE AMOUNT           | (5)<br>June 30, 2011             | (6)<br>June 30, 2012           |
|----------------------------|----------------------|-------------------------------|----------------------------------|--------------------------------|
|                            |                      | (7)<br>ACTUAL<br>FY 2009-2010 | (8)<br>ESTIMATED<br>FY 2010-2011 | (9)<br>REQUEST<br>FY 2011-2012 |
|                            |                      |                               |                                  |                                |
| Interest on Debt           |                      | (G)                           |                                  |                                |
| Principal                  |                      | (H)                           |                                  |                                |
| Fiscal Agent or Other Fees |                      | (I)                           |                                  |                                |
| Other                      |                      | (J) 0                         | 0                                | 0                              |
| <b>Total Debt Service</b>  |                      | <b>(K) 0</b>                  | <b>0</b>                         | <b>0</b>                       |

(1) **ISSUE:** \_\_\_\_\_

| INTEREST RATE              | MATURITY DATE | ISSUE AMOUNT           | June 30, 2011             | June 30, 2012           |
|----------------------------|---------------|------------------------|---------------------------|-------------------------|
|                            |               | ACTUAL<br>FY 2009-2010 | ESTIMATED<br>FY 2010-2011 | REQUEST<br>FY 2011-2012 |
|                            |               |                        |                           |                         |
| Interest on Debt           |               | (G)                    |                           |                         |
| Principal                  |               | (H)                    |                           |                         |
| Fiscal Agent or Other Fees |               | (I)                    |                           |                         |
| Other                      |               | (J) 0                  | 0                         | 0                       |
| <b>Total Debt Service</b>  |               | <b>(K) 0</b>           | <b>0</b>                  | <b>0</b>                |

**SCHEDULE VI: DETAIL OF DEBT SERVICE**

**Department:** 55 Transportation  
**Budget Entity:** 55100100 - Transportation Systems Development

**Budget Period** 2011 - 2012

| (1)                            | (2)                            | (3)                               | (4)                             |
|--------------------------------|--------------------------------|-----------------------------------|---------------------------------|
| <b>SECTION 1</b>               | <b>ACTUAL<br/>FY 2009-2010</b> | <b>ESTIMATED<br/>FY 2010-2011</b> | <b>REQUEST<br/>FY 2011-2012</b> |
| Interest on Debt (A)           | 86,131,668                     | 87,854,253                        | 87,854,253                      |
| Principal (B)                  | 48,130,000                     | 54,735,000                        | 57,275,000                      |
| Repayment of Loans (C)         | 0                              | 0                                 | 0                               |
| Fiscal Agent or Other Fees (D) | 184,488                        | 182,145                           | 176,671                         |
| Other Debt Service (E)         | 0                              | 0                                 | 0                               |
| <b>Total Debt Service (F)</b>  | <b>134,446,156</b>             | <b>142,771,397</b>                | <b>145,305,924</b>              |

Explanation: Debt service requirement for Right-Of-Way and bridge construction bonds outstanding.

**SECTION II**

(1) ISSUE:

| (2)                            | (3)                  | (4)                            | (5)                               | (6)                             |
|--------------------------------|----------------------|--------------------------------|-----------------------------------|---------------------------------|
| <b>INTEREST RATE</b>           | <b>MATURITY DATE</b> | <b>ISSUE AMOUNT</b>            | <b>June 30, 2011</b>              | <b>June 30, 2012</b>            |
|                                |                      |                                |                                   |                                 |
|                                |                      | (7)                            | (8)                               | (9)                             |
|                                |                      | <b>ACTUAL<br/>FY 2009-2010</b> | <b>ESTIMATED<br/>FY 2010-2011</b> | <b>REQUEST<br/>FY 2011-2012</b> |
| Interest on Debt (G)           |                      |                                |                                   |                                 |
| Principal (H)                  |                      |                                |                                   |                                 |
| Fiscal Agent or Other Fees (I) |                      |                                |                                   |                                 |
| Other (J)                      |                      |                                |                                   |                                 |
| <b>Total Debt Service (K)</b>  |                      | <b>0</b>                       | <b>0</b>                          | <b>0</b>                        |

(1) ISSUE:

| <b>INTEREST RATE</b>           | <b>MATURITY DATE</b> | <b>ISSUE AMOUNT</b>            | <b>June 30, 2011</b>              | <b>June 30, 2012</b>            |
|--------------------------------|----------------------|--------------------------------|-----------------------------------|---------------------------------|
|                                |                      |                                |                                   |                                 |
|                                |                      | <b>ACTUAL<br/>FY 2009-2010</b> | <b>ESTIMATED<br/>FY 2010-2011</b> | <b>REQUEST<br/>FY 2011-2012</b> |
| Interest on Debt (G)           |                      |                                |                                   |                                 |
| Principal (H)                  |                      |                                |                                   |                                 |
| Fiscal Agent or Other Fees (I) |                      |                                |                                   |                                 |
| Other (J)                      |                      |                                |                                   |                                 |
| <b>Total Debt Service (K)</b>  |                      | <b>0</b>                       | <b>0</b>                          | <b>0</b>                        |

**SCHEDULE VI: DETAIL OF DEBT SERVICE**

**Department:** 55 Transportation  
**Budget Entity:** 55100100 - Transportation Systems Development

**Budget Period** 2011 - 2012

| (1)                            | (2)                            | (3)                               | (4)                             |
|--------------------------------|--------------------------------|-----------------------------------|---------------------------------|
| <b>SECTION 1</b>               | <b>ACTUAL<br/>FY 2009-2010</b> | <b>ESTIMATED<br/>FY 2010-2011</b> | <b>REQUEST<br/>FY 2011-2012</b> |
| Interest on Debt (A)           | 86,131,668                     | 87,854,253                        | 93,588,636                      |
| Principal (B)                  | 48,130,000                     | 54,735,000                        | 58,555,000                      |
| Repayment of Loans (C)         | 0                              | 0                                 | 0                               |
| Fiscal Agent or Other Fees (D) | 184,488                        | 182,145                           | 186,791                         |
| Other Debt Service (E)         | 0                              | 0                                 | 0                               |
| <b>Total Debt Service (F)</b>  | <b>134,446,156</b>             | <b>142,771,397</b>                | <b>152,330,426</b>              |

Explanation: Combined total debt service for outstanding Right-Of-Way bonds and proposed bonds to be issued

**SECTION II**

(1) ISSUE:

| (2)                            | (3)                  | (4)                            | (5)                               | (6)                             |
|--------------------------------|----------------------|--------------------------------|-----------------------------------|---------------------------------|
| <b>INTEREST RATE</b>           | <b>MATURITY DATE</b> | <b>ISSUE AMOUNT</b>            | <b>June 30, 2011</b>              | <b>June 30, 2012</b>            |
|                                |                      |                                |                                   |                                 |
|                                |                      | (7)                            | (8)                               | (9)                             |
|                                |                      | <b>ACTUAL<br/>FY 2009-2010</b> | <b>ESTIMATED<br/>FY 2010-2011</b> | <b>REQUEST<br/>FY 2011-2012</b> |
| Interest on Debt (G)           |                      |                                |                                   |                                 |
| Principal (H)                  |                      |                                |                                   |                                 |
| Fiscal Agent or Other Fees (I) |                      |                                |                                   |                                 |
| Other (J)                      |                      |                                |                                   |                                 |
| <b>Total Debt Service (K)</b>  |                      | <b>0</b>                       | <b>0</b>                          | <b>0</b>                        |

(1) ISSUE:

| <b>INTEREST RATE</b>           | <b>MATURITY DATE</b> | <b>ISSUE AMOUNT</b>            | <b>June 30, 2011</b>              | <b>June 30, 2012</b>            |
|--------------------------------|----------------------|--------------------------------|-----------------------------------|---------------------------------|
|                                |                      |                                |                                   |                                 |
|                                |                      | <b>ACTUAL<br/>FY 2009-2010</b> | <b>ESTIMATED<br/>FY 2010-2011</b> | <b>REQUEST<br/>FY 2011-2012</b> |
| Interest on Debt (G)           |                      |                                |                                   |                                 |
| Principal (H)                  |                      |                                |                                   |                                 |
| Fiscal Agent or Other Fees (I) |                      |                                |                                   |                                 |
| Other (J)                      |                      |                                |                                   |                                 |
| <b>Total Debt Service (K)</b>  |                      | <b>0</b>                       | <b>0</b>                          | <b>0</b>                        |

**SCHEDULE VI: DETAIL OF DEBT SERVICE**

**Department:** 55 Transportation  
**Budget Entity:** 55100100 - Transportation Systems Development

**Budget Period** 2011 - 2012

| (1)                            | (2)                            | (3)                               | (4)                             |
|--------------------------------|--------------------------------|-----------------------------------|---------------------------------|
| <b>SECTION 1</b>               | <b>ACTUAL<br/>FY 2009-2010</b> | <b>ESTIMATED<br/>FY 2010-2011</b> | <b>REQUEST<br/>FY 2011-2012</b> |
| Interest on Debt (A)           | 0                              | 0                                 | 5,734,383                       |
| Principal (B)                  | 0                              | 0                                 | 1,280,000                       |
| Repayment of Loans (C)         | 0                              | 0                                 | 0                               |
| Fiscal Agent or Other Fees (D) | 0                              | 0                                 | 10,120                          |
| Other Debt Service (E)         | 0                              | 0                                 | 0                               |
| <b>Total Debt Service (F)</b>  | <b>0</b>                       | <b>0</b>                          | <b>7,024,503</b>                |

Explanation: Debt service requirements for proposed Right-Of-Way bond issuance.

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**SECTION II**

**(1) ISSUE:** Proposed Right-of-Way Bond Sale 07/01/11. (R11A)

| (2)                            | (3)                               | (4)                             | (5)                  | (6)                  |
|--------------------------------|-----------------------------------|---------------------------------|----------------------|----------------------|
| <b>INTEREST RATE</b>           | <b>MATURITY DATE</b>              | <b>ISSUE AMOUNT</b>             | <b>June 30, 2011</b> | <b>June 30, 2012</b> |
| 6.000%                         | 7/1/2041                          | 101,195,000                     | 0                    | 99,915,000           |
| (7)                            | (8)                               | (9)                             |                      |                      |
| <b>ACTUAL<br/>FY 2009-2010</b> | <b>ESTIMATED<br/>FY 2010-2011</b> | <b>REQUEST<br/>FY 2011-2012</b> |                      |                      |
| Interest on Debt (G)           | 0                                 | 0                               | 5,734,383            |                      |
| Principal (H)                  | 0                                 | 0                               | 1,280,000            |                      |
| Fiscal Agent or Other Fees (I) | 0                                 | 0                               | 10,120               |                      |
| Other (J)                      | 0                                 | 0                               | 0                    |                      |
| <b>Total Debt Service (K)</b>  | <b>0</b>                          | <b>0</b>                        | <b>7,024,503</b>     |                      |

**(1) ISSUE:** \_\_\_\_\_

| <b>INTEREST RATE</b>           | <b>MATURITY DATE</b>              | <b>ISSUE AMOUNT</b>             | <b>June 30, 2011</b> | <b>June 30, 2012</b> |
|--------------------------------|-----------------------------------|---------------------------------|----------------------|----------------------|
|                                |                                   |                                 |                      | 0                    |
| <b>ACTUAL<br/>FY 2009-2010</b> | <b>ESTIMATED<br/>FY 2010-2011</b> | <b>REQUEST<br/>FY 2011-2012</b> |                      |                      |
| Interest on Debt (G)           | 0                                 | 0                               | 0                    |                      |
| Principal (H)                  | 0                                 | 0                               | 0                    |                      |
| Fiscal Agent or Other Fees (I) | 0                                 | 0                               | 0                    |                      |
| Other (J)                      | 0                                 | 0                               | 0                    |                      |
| <b>Total Debt Service (K)</b>  | <b>0</b>                          | <b>0</b>                        | <b>0</b>             |                      |



## TRUTH IN BONDING WORKSHEET

1. A listing of the purpose of the debt or obligation Implementation of Advanced Right-of-Way Acquisition and Bridge Construction pursuant to Section 337.276, Florida Statutes.
2. The source of repayment of the debt or obligation funded from monies transferred from the State Transportation Trust Fund pursuant to Section 206.46 and 215.605, Florida Statutes.
3. The principle amount of the debt or obligation: \$101,195,000.
4. The interest rate on the debt or obligation (per EEC): 6.000%
5. A schedule of annual debt service payments (attached)
6. The method of sale of the debt or obligation as determined by the Governing board of the Division of Bond Finance.
7. The costs of issuance of the debt or obligation, including a detailed listing of the amounts of the major costs of issuance.

|                                       |                  |
|---------------------------------------|------------------|
| <u>Underwriters Discount</u>          | <u>\$809,560</u> |
| <u>Rating Agency Fees</u>             | <u>\$75,000</u>  |
| <u>Other Costs of Issuance</u>        | <u>\$133,000</u> |
| <u>Debt Service Reserve Insurance</u> | <u>\$176,000</u> |

## TRUTH-IN-BONDING STATEMENT

The State of Florida is proposing to issue \$101,195,000 of debt or obligation for the purpose of Right-of-Way Acquisition and Bridge Construction pursuant to Section 337.276, Florida Statutes.

This debt or obligation is expected to be repaid over a period of 30 years. At a forecasted interest rate of 6.000%, total interest paid over the life of the debt or obligation will be \$119,353,200.

The proposed issuance date is 7/01/2011.

Dated: 7/1/2011  
 Delivered: 7/21/2011

Construction Draws for  
 SBA-FDOT  
 ROW 2011A

Rpt 72

| Draw #                                               | Draw/Cpn Date | Fiscal Date | Tran Type | Pds/Days | Beginning Fund Balance | Interest Earnings | Draw Requirement      | Net Draw Amount       | Applied To Debt Service | Ending Balance |
|------------------------------------------------------|---------------|-------------|-----------|----------|------------------------|-------------------|-----------------------|-----------------------|-------------------------|----------------|
| ROW 2011 ROW 2011 A yielding 6.0000000% : Net-Funded |               |             |           |          |                        |                   |                       |                       |                         |                |
| 0                                                    | 07/21/11      | 7           | 2011      | DEP      | 0 / 0                  |                   |                       |                       |                         | 99,999,994.04  |
| 1                                                    | 07/21/11      | 7           | 2011      | DRAW     | 0 / 0                  | 99,999,994.04     | 100,000,000.00        | 100,000,000.00        |                         | -5.96          |
| <b>Totals For ROW 2011</b>                           |               |             |           |          |                        | <b>0.00</b>       | <b>100,000,000.00</b> | <b>100,000,000.00</b> | <b>0.00</b>             |                |
| <b>Prior Project Costs:</b>                          |               |             |           |          |                        | <b>0.00</b>       |                       |                       |                         |                |
| <b>Grand Totals For All Projects:</b>                |               |             |           |          |                        | <b>0.00</b>       | <b>100,000,000.00</b> | <b>100,000,000.00</b> | <b>0.00</b>             |                |
| <b>Total Prior Costs:</b>                            |               |             |           |          |                        | <b>0.00</b>       |                       |                       |                         |                |

Dated: 7/1/2011  
 Delivered: 7/21/2011

Sizing Debt Service Schedule  
 SBA-FDOT  
 ROW 2011A

Rpt 14

| Fiscal Yr | Coupon Date | Zer Cpn | Cpn Rate | Maturing Principal | Periodic Interest | Gross Semi-Annl Dbt Svc | Cap Int | DbtSvcRcv Int & Prin | Constr. Fund Interest | Net Semi-Annl Dbt Svc | Net Fiscal Dbt Svc |
|-----------|-------------|---------|----------|--------------------|-------------------|-------------------------|---------|----------------------|-----------------------|-----------------------|--------------------|
| 1         | 1/1/12      |         |          |                    | 3,035,850.00      | 3,035,850.00            |         |                      |                       | 3,035,850.00          |                    |
| 1         | 7/1/12      | N       | 6.000    | 1,280,000.00       | 3,035,850.00      | 4,315,850.00            |         |                      |                       | 4,315,850.00          | 7,351,700.00       |
| 1         | 1/1/13      |         |          |                    | 2,997,450.00      | 2,997,450.00            |         |                      |                       | 2,997,450.00          |                    |
| 1         | 7/1/13      | N       | 6.000    | 1,355,000.00       | 2,997,450.00      | 4,352,450.00            |         |                      |                       | 4,352,450.00          | 7,349,900.00       |
| 1         | 1/1/14      |         |          |                    | 2,956,800.00      | 2,956,800.00            |         |                      |                       | 2,956,800.00          |                    |
| 1         | 7/1/14      | N       | 6.000    | 1,440,000.00       | 2,956,800.00      | 4,396,800.00            |         |                      |                       | 4,396,800.00          | 7,353,600.00       |
| 1         | 1/1/15      |         |          |                    | 2,913,600.00      | 2,913,600.00            |         |                      |                       | 2,913,600.00          |                    |
| 1         | 7/1/15      | N       | 6.000    | 1,525,000.00       | 2,913,600.00      | 4,438,600.00            |         |                      |                       | 4,438,600.00          | 7,352,200.00       |
| 1         | 1/1/16      |         |          |                    | 2,867,850.00      | 2,867,850.00            |         |                      |                       | 2,867,850.00          |                    |
| 1         | 7/1/16      | N       | 6.000    | 1,615,000.00       | 2,867,850.00      | 4,482,850.00            |         |                      |                       | 4,482,850.00          | 7,350,700.00       |
| 1         | 1/1/17      |         |          |                    | 2,819,400.00      | 2,819,400.00            |         |                      |                       | 2,819,400.00          |                    |
| 1         | 7/1/17      | N       | 6.000    | 1,715,000.00       | 2,819,400.00      | 4,534,400.00            |         |                      |                       | 4,534,400.00          | 7,353,800.00       |
| 1         | 1/1/18      |         |          |                    | 2,767,950.00      | 2,767,950.00            |         |                      |                       | 2,767,950.00          |                    |
| 1         | 7/1/18      | N       | 6.000    | 1,815,000.00       | 2,767,950.00      | 4,582,950.00            |         |                      |                       | 4,582,950.00          | 7,350,900.00       |
| 1         | 1/1/19      |         |          |                    | 2,713,500.00      | 2,713,500.00            |         |                      |                       | 2,713,500.00          |                    |
| 1         | 7/1/19      | N       | 6.000    | 1,925,000.00       | 2,713,500.00      | 4,638,500.00            |         |                      |                       | 4,638,500.00          | 7,352,000.00       |
| 2         | 1/1/20      |         |          |                    | 2,655,750.00      | 2,655,750.00            |         |                      |                       | 2,655,750.00          |                    |
| 2         | 7/1/20      | N       | 6.000    | 2,040,000.00       | 2,655,750.00      | 4,695,750.00            |         |                      |                       | 4,695,750.00          | 7,351,500.00       |
| 2         | 1/1/21      |         |          |                    | 2,594,550.00      | 2,594,550.00            |         |                      |                       | 2,594,550.00          |                    |
| 2         | 7/1/21      | N       | 6.000    | 2,165,000.00       | 2,594,550.00      | 4,759,550.00            |         |                      |                       | 4,759,550.00          | 7,354,100.00       |
| 2         | 1/1/22      |         |          |                    | 2,529,600.00      | 2,529,600.00            |         |                      |                       | 2,529,600.00          |                    |
| 2         | 7/1/22      | N       | 6.000    | 2,290,000.00       | 2,529,600.00      | 4,819,600.00            |         |                      |                       | 4,819,600.00          | 7,349,200.00       |
| 2         | 1/1/23      |         |          |                    | 2,460,900.00      | 2,460,900.00            |         |                      |                       | 2,460,900.00          |                    |
| 2         | 7/1/23      | N       | 6.000    | 2,430,000.00       | 2,460,900.00      | 4,890,900.00            |         |                      |                       | 4,890,900.00          | 7,351,800.00       |
| 2         | 1/1/24      |         |          |                    | 2,388,000.00      | 2,388,000.00            |         |                      |                       | 2,388,000.00          |                    |
| 2         | 7/1/24      | N       | 6.000    | 2,575,000.00       | 2,388,000.00      | 4,963,000.00            |         |                      |                       | 4,963,000.00          | 7,351,000.00       |
| 2         | 1/1/25      |         |          |                    | 2,310,750.00      | 2,310,750.00            |         |                      |                       | 2,310,750.00          |                    |
| 2         | 7/1/25      | N       | 6.000    | 2,730,000.00       | 2,310,750.00      | 5,040,750.00            |         |                      |                       | 5,040,750.00          | 7,351,500.00       |
| 2         | 1/1/26      |         |          |                    | 2,228,850.00      | 2,228,850.00            |         |                      |                       | 2,228,850.00          |                    |
| 2         | 7/1/26      | N       | 6.000    | 2,895,000.00       | 2,228,850.00      | 5,123,850.00            |         |                      |                       | 5,123,850.00          | 7,352,700.00       |
| 2         | 1/1/27      |         |          |                    | 2,142,000.00      | 2,142,000.00            |         |                      |                       | 2,142,000.00          |                    |
| 2         | 7/1/27      | N       | 6.000    | 3,070,000.00       | 2,142,000.00      | 5,212,000.00            |         |                      |                       | 5,212,000.00          | 7,354,000.00       |
| 2         | 1/1/28      |         |          |                    | 2,049,900.00      | 2,049,900.00            |         |                      |                       | 2,049,900.00          |                    |
| 2         | 7/1/28      | N       | 6.000    | 3,250,000.00       | 2,049,900.00      | 5,299,900.00            |         |                      |                       | 5,299,900.00          | 7,349,800.00       |
| 2         | 1/1/29      |         |          |                    | 1,952,400.00      | 1,952,400.00            |         |                      |                       | 1,952,400.00          |                    |
| 2         | 7/1/29      | N       | 6.000    | 3,445,000.00       | 1,952,400.00      | 5,397,400.00            |         |                      |                       | 5,397,400.00          | 7,349,800.00       |
| 3         | 1/1/30      |         |          |                    | 1,849,050.00      | 1,849,050.00            |         |                      |                       | 1,849,050.00          |                    |
| 3         | 7/1/30      | N       | 6.000    | 3,655,000.00       | 1,849,050.00      | 5,504,050.00            |         |                      |                       | 5,504,050.00          | 7,353,100.00       |
| 3         | 1/1/31      |         |          |                    | 1,739,400.00      | 1,739,400.00            |         |                      |                       | 1,739,400.00          |                    |
| 3         | 7/1/31      | N       | 6.000    | 3,875,000.00       | 1,739,400.00      | 5,614,400.00            |         |                      |                       | 5,614,400.00          | 7,353,800.00       |
| 3         | 1/1/32      |         |          |                    | 1,623,150.00      | 1,623,150.00            |         |                      |                       | 1,623,150.00          |                    |
| 3         | 7/1/32      | N       | 6.000    | 4,105,000.00       | 1,623,150.00      | 5,728,150.00            |         |                      |                       | 5,728,150.00          | 7,351,300.00       |
| 3         | 1/1/33      |         |          |                    | 1,500,000.00      | 1,500,000.00            |         |                      |                       | 1,500,000.00          |                    |
| 3         | 7/1/33      | N       | 6.000    | 4,350,000.00       | 1,500,000.00      | 5,850,000.00            |         |                      |                       | 5,850,000.00          | 7,350,000.00       |
| 3         | 1/1/34      |         |          |                    | 1,369,500.00      | 1,369,500.00            |         |                      |                       | 1,369,500.00          |                    |
| 3         | 7/1/34      | N       | 6.000    | 4,610,000.00       | 1,369,500.00      | 5,979,500.00            |         |                      |                       | 5,979,500.00          | 7,349,000.00       |
| 3         | 1/1/35      |         |          |                    | 1,231,200.00      | 1,231,200.00            |         |                      |                       | 1,231,200.00          |                    |
| 3         | 7/1/35      | N       | 6.000    | 4,890,000.00       | 1,231,200.00      | 6,121,200.00            |         |                      |                       | 6,121,200.00          | 7,352,400.00       |
| 3         | 1/1/36      |         |          |                    | 1,084,500.00      | 1,084,500.00            |         |                      |                       | 1,084,500.00          |                    |
| 3         | 7/1/36      | N       | 6.000    | 5,185,000.00       | 1,084,500.00      | 6,269,500.00            |         |                      |                       | 6,269,500.00          | 7,354,000.00       |
| 3         | 1/1/37      |         |          |                    | 928,950.00        | 928,950.00              |         |                      |                       | 928,950.00            |                    |
| 3         | 7/1/37      | N       | 6.000    | 5,495,000.00       | 928,950.00        | 6,423,950.00            |         |                      |                       | 6,423,950.00          | 7,352,900.00       |
| 3         | 1/1/38      |         |          |                    | 764,100.00        | 764,100.00              |         |                      |                       | 764,100.00            |                    |
| 3         | 7/1/38      | N       | 6.000    | 5,825,000.00       | 764,100.00        | 6,589,100.00            |         |                      |                       | 6,589,100.00          | 7,353,200.00       |
| 3         | 1/1/39      |         |          |                    | 589,350.00        | 589,350.00              |         |                      |                       | 589,350.00            |                    |
| 3         | 7/1/39      | N       | 6.000    | 6,170,000.00       | 589,350.00        | 6,759,350.00            |         |                      |                       | 6,759,350.00          | 7,348,700.00       |

Prepared by:

Prepared on: 8/25/2010 14:3 13.75e

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ROW-2011-A

Dated: 7/1/2011  
 Delivered: 7/21/2011

Sizing Debt Service Schedule  
 SBA-FDOT  
 ROW 2011A

Rpt 14

| <i>Fiscal Yr</i>                      | <i>Coupon Date</i> | <i>Zer Cpn</i> | <i>Cpn Rate</i> | <i>Maturing Principal</i> | <i>Periodic Interest</i> | <i>Gross Semi-Annl Dbt Svc</i> | <i>Cap Int</i>                                  | <i>DbtSvcRcv Int &amp; Prin</i> | <i>Constr. Fund Interest</i> | <i>Net Semi-Annl Dbt Svc</i> | <i>Net Fiscal Dbt Svc</i> |
|---------------------------------------|--------------------|----------------|-----------------|---------------------------|--------------------------|--------------------------------|-------------------------------------------------|---------------------------------|------------------------------|------------------------------|---------------------------|
| 4                                     | 1/1/40             |                |                 |                           | 404,250.00               | 404,250.00                     |                                                 |                                 |                              | 404,250.00                   |                           |
| 4                                     | 7/1/40             | N              | 6.000           | 6,540,000.00              | 404,250.00               | 6,944,250.00                   |                                                 |                                 |                              | 6,944,250.00                 | 7,348,500.00              |
| 4                                     | 1/1/41             |                |                 |                           | 208,050.00               | 208,050.00                     |                                                 |                                 |                              | 208,050.00                   |                           |
| 4                                     | 7/1/41             | N              | 6.000           | 6,935,000.00              | 208,050.00               | 7,143,050.00                   |                                                 |                                 |                              | 7,143,050.00                 | 7,351,100.00              |
|                                       |                    |                |                 | <b>101,195,000.00</b>     | <b>119,353,200.00</b>    | <b>220,548,200.00</b>          | <b>0.00</b>                                     | <b>0.00</b>                     | <b>0.00</b>                  | <b>220,548,200.00</b>        | <b>220,548,200.00</b>     |
| <b>True Interest Cost (TIC) .....</b> |                    |                |                 |                           |                          | <b>6.0908082</b>               | <b>Arbitrage Yield Limit (AYL) .....</b>        |                                 |                              |                              | <b>6.0157805</b>          |
| <b>Net Interest Cost (NIC) .....</b>  |                    |                |                 |                           |                          | <b>6.0495450</b>               | <b>Arbitrage Net Interest Cost (ANIC) .....</b> |                                 |                              |                              | <b>6.0088728</b>          |

Dated: 7/1/2011  
Delivered: 7/21/2011

Summary of Sizing Inputs  
SBA-FDOT  
ROW 2011A

Rpt 17a

**General Information**

Profile:

Denomination: 5000.

Rate scale: 6%

Issue type: REVENUE

Sizing Rule 1: Level debt service - yearly principal payments. You input the gross construction costs (or a draw schedule) and the size of the bond issue is computed to cover the construction draws, reserves, and the various costs of issuance.

**Dates**

07/01/2011-> Dated (bond issue) date

07/21/2011-> Delivery date

01/01/2012-> 1st coupon date

07/01/2012-> First principal payment

07/01/2041-> Last maturity date

No CABS in bond issue

**Gross Construction Costs**

|                                                  |                |
|--------------------------------------------------|----------------|
| Total project costs                              | 100,000,000.00 |
| Total prior costs                                |                |
| Less: interest earned & applied to project draws | -5.96          |
| Net total project costs:                         | 99,999,994.04  |
| Total number of projects = 1                     |                |

**Restricted Accounts**

DSR rule: No debt service reserve fund

No capitalized interest

Restricted yield = 6.000000%

Net deposit to Debt Service Reserve Fund

Net deposit to Contingency Fund 1,445.87

**Costs of Issuance**

|                                                          |             |
|----------------------------------------------------------|-------------|
| Underwriter spread: 8.000/\$1,000                        | -809,560.00 |
| Bond insurance: 17.000% (net of accrued & cap. interest) | 176,000.00  |
| Other TIC costs:                                         | 208,000.00  |

Dated: 7/1/2011  
 Delivered: 7/21/2011

Summary of Sizing Calculations  
 SBA-FDOT  
 ROW 2011A

Rpt 17b

| <u>Sources of Funds</u>                                     |                  |
|-------------------------------------------------------------|------------------|
| Par amount of bonds                                         | 101,195,000.00   |
| Original Issue Premium                                      |                  |
| Accrued Interest                                            | 337,316.67       |
| <u>Construction Costs</u>                                   |                  |
| Costs to complete construction                              | 100,000,000.00   |
| Less: interest earned in fund & applied to project draws    | -5.96            |
| Prior costs to be paid from bond proceeds                   |                  |
| Gross Construction Costs                                    | 99,999,994.04    |
| <u>Restricted Funds</u>                                     |                  |
| Gross capitalized interest                                  |                  |
| Less: Interest earned on Capitalized Interest Fund @ 6.000% |                  |
| Net deposit to Capitalized Interest Fund                    |                  |
| Net deposit to Debt Service Reserve Fund                    |                  |
| Net deposit to Contingency Fund                             | 1,445.87         |
| <u>Costs of Issuance</u>                                    |                  |
| Underwriter spread: 8.000/\$1,000                           | -809,560.00      |
| Bond insurance: 17.000%                                     | 176,000.00       |
| Other issuance costs                                        | 208,000.00       |
| <u>Calculations</u>                                         |                  |
| Net Interest Cost (NIC)                                     | 6.0495450        |
| True Interest Cost (TIC)                                    | 6.0908082        |
| All-Inclusive TIC:                                          | 6.1102394        |
| Arbitrage Net Interest Cost (NIC)                           | 6.0088728        |
| Arbitrage Yield Limit (AYL)                                 | 6.0157805        |
| Total Bond Years (delivery date)                            | 1,983,598,055.56 |
| Average Bond Years (Delivery date)                          | 19.60            |
| Level debt service calculation                              | 7,351,559.55     |

**SCHEDULE VI: DETAIL OF DEBT SERVICE**

**Department:** 55 Transportation  
**Budget Entity:** 55180100 - Florida Turnpike Enterprise

**Budget Period** 2011 - 2012

| (1)                            | (2)                | (3)                | (4)                |
|--------------------------------|--------------------|--------------------|--------------------|
|                                | ACTUAL             | ESTIMATED          | REQUEST            |
| <b>SECTION 1</b>               | FY 2009-2010       | FY 2010-2011       | FY 2011-2012       |
| Interest on Debt (A)           | 132,815,717        | 145,733,301        | 147,503,947        |
| Principal (B)                  | 91,405,000         | 99,370,000         | 105,155,000        |
| Repayment of Loans (C)         | 0                  | 0                  | 0                  |
| Fiscal Agent or Other Fees (D) | 273,738            | 297,354            | 299,444            |
| Other Debt Service (E)         | 0                  | (5,943,001)        | (5,943,001)        |
| <b>Total Debt Service (F)</b>  | <b>224,494,455</b> | <b>239,457,654</b> | <b>247,015,390</b> |

Explanation: Combined total debt service for outstanding Turnpike bonds and proposed bonds to be issued  
\*Note: Other Debt Service is credit for Build America Bonds (2009 A&B).  
Does not include interest credited from Debt Service Reserve Account.

**SECTION II**

**(1) ISSUE:**

| (2)                            | (3)           | (4)          | (5)           | (6)           |
|--------------------------------|---------------|--------------|---------------|---------------|
| INTEREST RATE                  | MATURITY DATE | ISSUE AMOUNT | June 30, 2011 | June 30, 2012 |
|                                |               |              |               |               |
|                                |               | (7)          | (8)           | (9)           |
|                                |               | ACTUAL       | ESTIMATED     | REQUEST       |
|                                |               | FY 2009-2010 | FY 2010-2011  | FY 2011-2012  |
| Interest on Debt (G)           |               |              |               |               |
| Principal (H)                  |               |              |               |               |
| Fiscal Agent or Other Fees (I) |               |              |               |               |
| Other (J)                      |               |              |               |               |
| <b>Total Debt Service (K)</b>  |               | <b>0</b>     | <b>0</b>      | <b>0</b>      |

**(1) ISSUE:**

| INTEREST RATE                  | MATURITY DATE | ISSUE AMOUNT | June 30, 2011 | June 30, 2012 |
|--------------------------------|---------------|--------------|---------------|---------------|
|                                |               |              |               |               |
|                                |               | ACTUAL       | ESTIMATED     | REQUEST       |
|                                |               | FY 2009-2010 | FY 2010-2011  | FY 2011-2012  |
| Interest on Debt (G)           |               |              |               |               |
| Principal (H)                  |               |              |               |               |
| Fiscal Agent or Other Fees (I) |               |              |               |               |
| Other (J)                      |               |              |               |               |
| <b>Total Debt Service (K)</b>  |               | <b>0</b>     | <b>0</b>      | <b>0</b>      |

**SCHEDULE VI: DETAIL OF DEBT SERVICE**

**Department:** 55 Transportation  
**Budget Entity:** 55180100 - Florida Turnpike Enterprise

**Budget Period** 2011 - 2012

| (1)                            | (2)                 | (3)                 | (4)                 |
|--------------------------------|---------------------|---------------------|---------------------|
| <b>SECTION 1</b>               | <b>ACTUAL</b>       | <b>ESTIMATED</b>    | <b>REQUEST</b>      |
|                                | <b>FY 2009-2010</b> | <b>FY 2010-2011</b> | <b>FY 2011-2012</b> |
| Interest on Debt (A)           | 132,815,717         | 144,061,034         | 139,513,247         |
| Principal (B)                  | 91,405,000          | 99,000,000          | 103,460,000         |
| Repayment of Loans (C)         | 0                   | 0                   | 0                   |
| Fiscal Agent or Other Fees (D) | 273,738             | 291,083             | 281,183             |
| Other Debt Service (E)         | 0                   | (5,943,001)         | (5,943,001)         |
| <b>Total Debt Service (F)</b>  | <b>224,494,455</b>  | <b>237,409,116</b>  | <b>237,311,429</b>  |

Explanation: Debt service requirement for Florida Turnpike bonds outstanding.  
\*Note: Other Debt Service is credit for Build America Bonds (2009 A&B).  
Does not include interest credited from Debt Service Reserve Account.

**SECTION II**

(1) ISSUE: \_\_\_\_\_

| (2)                            | (3)                  | (4)                 | (5)                  | (6)                  |
|--------------------------------|----------------------|---------------------|----------------------|----------------------|
| <b>INTEREST RATE</b>           | <b>MATURITY DATE</b> | <b>ISSUE AMOUNT</b> | <b>June 30, 2011</b> | <b>June 30, 2012</b> |
|                                |                      |                     |                      |                      |
|                                |                      | (7)                 | (8)                  | (9)                  |
|                                |                      | <b>ACTUAL</b>       | <b>ESTIMATED</b>     | <b>REQUEST</b>       |
|                                |                      | <b>FY 2009-2010</b> | <b>FY 2010-2011</b>  | <b>FY 2011-2012</b>  |
| Interest on Debt (G)           |                      |                     |                      |                      |
| Principal (H)                  |                      |                     |                      |                      |
| Fiscal Agent or Other Fees (I) |                      |                     |                      |                      |
| Other (J)                      |                      |                     |                      |                      |
| <b>Total Debt Service (K)</b>  |                      | <b>0</b>            | <b>0</b>             | <b>0</b>             |

(1) ISSUE: \_\_\_\_\_

| (2)                            | (3)                  | (4)                 | (5)                  | (6)                  |
|--------------------------------|----------------------|---------------------|----------------------|----------------------|
| <b>INTEREST RATE</b>           | <b>MATURITY DATE</b> | <b>ISSUE AMOUNT</b> | <b>June 30, 2011</b> | <b>June 30, 2012</b> |
|                                |                      |                     |                      |                      |
|                                |                      | (7)                 | (8)                  | (9)                  |
|                                |                      | <b>ACTUAL</b>       | <b>ESTIMATED</b>     | <b>REQUEST</b>       |
|                                |                      | <b>FY 2009-2010</b> | <b>FY 2010-2011</b>  | <b>FY 2011-2012</b>  |
| Interest on Debt (G)           |                      |                     |                      |                      |
| Principal (H)                  |                      |                     |                      |                      |
| Fiscal Agent or Other Fees (I) |                      |                     |                      |                      |
| Other (J)                      |                      |                     |                      |                      |
| <b>Total Debt Service (K)</b>  |                      | <b>0</b>            | <b>0</b>             | <b>0</b>             |



**SCHEDULE VI: DETAIL OF DEBT SERVICE**

**Department:** 55 Transportation  
**Budget Entity:** 55180100 - Florida Turnpike Enterprise

**Budget Period** 2011 - 2012

| (1)                            | (2)                            | (3)                               | (4)                             |
|--------------------------------|--------------------------------|-----------------------------------|---------------------------------|
| <b>SECTION 1</b>               | <b>ACTUAL<br/>FY 2009-2010</b> | <b>ESTIMATED<br/>FY 2010-2011</b> | <b>REQUEST<br/>FY 2011-2012</b> |
| Interest on Debt (A)           | 0                              | 1,672,267                         | 7,990,700                       |
| Principal (B)                  | 0                              | 370,000                           | 1,695,000                       |
| Repayment of Loans (C)         | 0                              | 0                                 | 0                               |
| Fiscal Agent or Other Fees (D) | 0                              | 6,271                             | 18,261                          |
| Other Debt Service (E)         | 0                              | 0                                 | 0                               |
| <b>Total Debt Service (F)</b>  | <b>0</b>                       | <b>2,048,538</b>                  | <b>9,703,961</b>                |

Explanation: Total proposed Turnpike bond sales.  
\*Note: Does not include interest credited from Debt Service Reserve Account.

**SECTION II**

(1) **ISSUE:** Proposed Turnpike Bond Sale T11A 1/01/11.

| (2)                            | (3)                               | (4)                             | (5)                               | (6)                             |
|--------------------------------|-----------------------------------|---------------------------------|-----------------------------------|---------------------------------|
| <b>INTEREST RATE</b>           | <b>MATURITY DATE</b>              | <b>ISSUE AMOUNT</b>             | <b>June 30, 2011</b>              | <b>June 30, 2012</b>            |
| 6.000%                         | 7/1/2040                          | 62,710,000                      | 62,340,000                        | 61,570,000                      |
| (7)                            | (8)                               | (9)                             | (8)                               | (9)                             |
| <b>ACTUAL<br/>FY 2009-2010</b> | <b>ESTIMATED<br/>FY 2010-2011</b> | <b>REQUEST<br/>FY 2011-2012</b> | <b>ESTIMATED<br/>FY 2010-2011</b> | <b>REQUEST<br/>FY 2011-2012</b> |
| Interest on Debt (G)           | 0                                 | 1,672,267                       | 3,729,000                         |                                 |
| Principal (H)                  | 0                                 | 370,000                         | 770,000                           |                                 |
| Fiscal Agent or Other Fees (I) | 0                                 | 6,271                           | 6,234                             |                                 |
| Other (J)                      | 0                                 | 0                               | 0                                 |                                 |
| <b>Total Debt Service (K)</b>  | <b>0</b>                          | <b>2,048,538</b>                | <b>4,505,234</b>                  |                                 |

(1) **ISSUE:** Proposed Turnpike Bond Sale T11B 7/1/11

| (2)                            | (3)                               | (4)                             | (5)                               | (6)                             |
|--------------------------------|-----------------------------------|---------------------------------|-----------------------------------|---------------------------------|
| <b>INTEREST RATE</b>           | <b>MATURITY DATE</b>              | <b>ISSUE AMOUNT</b>             | <b>June 30, 2011</b>              | <b>June 30, 2012</b>            |
| 6.000%                         | 7/1/2041                          | 35,150,000                      |                                   | 34,730,000                      |
| (7)                            | (8)                               | (9)                             | (8)                               | (9)                             |
| <b>ACTUAL<br/>FY 2009-2010</b> | <b>ESTIMATED<br/>FY 2010-2011</b> | <b>REQUEST<br/>FY 2011-2012</b> | <b>ESTIMATED<br/>FY 2010-2011</b> | <b>REQUEST<br/>FY 2011-2012</b> |
| Interest on Debt (G)           | 0                                 | 0                               | 1,991,833                         |                                 |
| Principal (H)                  | 0                                 | 0                               | 420,000                           |                                 |
| Fiscal Agent or Other Fees (I) | 0                                 | 0                               | 3,515                             |                                 |
| Other (J)                      | 0                                 | 0                               | 0                                 |                                 |
| <b>Total Debt Service (K)</b>  | <b>0</b>                          | <b>0</b>                        | <b>2,415,348</b>                  |                                 |

## TRUTH IN BONDING WORKSHEET

1. A listing of the purpose of the debt or obligation Implementation of Florida Turnpike Program as authorized by Chapter 338, Florida Statutes.
2. The source of repayment of the debt or obligation Net revenues of the Florida Turnpike System.
3. The principle amount of the debt or obligation: \$62,710,000
4. The interest rate on the debt or obligation (per EEC): 6.000%
5. A schedule of annual debt service payments (attached)
6. The method of sale of the debt or obligation as determined by the Governing board of the Division of Bond Finance.
7. The costs of issuance of the debt or obligation, including a detailed listing of the amounts of the major costs of issuance.

|                                 |                    |
|---------------------------------|--------------------|
| <u>Underwriters Discount</u>    | <u>\$501,680</u>   |
| <u>Rating Agency Fees</u>       | <u>\$75,000</u>    |
| <u>Other Costs of Issuance</u>  | <u>\$90,472</u>    |
| <u>Deposit into DSR Account</u> | <u>\$4,506,400</u> |

### TRUTH-IN-BONDING STATEMENT

The State of Florida is proposing to issue \$62,710,000 debt or obligation for the purpose of implementing the Turnpike program as authorized by Chapter 338, Florida Statutes.

This debt or obligation is expected to be repaid over a period of 30 years. At a forecasted interest rate of 6.000%, total interest paid over the life of the debt or obligation will be \$74,627,100.

The proposed issuance date is 1/01/11.

Dated: 1/1/2011  
 Delivered: 1/21/2011

Construction Draws for  
 SBA-FDOT  
 Turnpike 2011 A

Rpt 72

| Draw #                                               | Draw/Cpn Date | Fiscal Date | Tran Type | Pds/Days | Beginning Fund Balance | Interest Earnings   | Draw Requirement     | Net Draw Amount      | Applied To Debt Service | Ending Balance |
|------------------------------------------------------|---------------|-------------|-----------|----------|------------------------|---------------------|----------------------|----------------------|-------------------------|----------------|
| TPK 2011A TPK 2011A yielding 6.0000000% : Net-Funded |               |             |           |          |                        |                     |                      |                      |                         |                |
| 0                                                    | 01/21/11      | 1           | 2011 DEP  | 0 / 0    |                        |                     |                      |                      |                         | 57,535,956.63  |
| 1                                                    | 01/21/11      | 1           | 2011 DRAW | 0 / 0    | 57,535,956.63          |                     | 4,925,641.08         | 4,925,641.08         |                         | 52,610,315.55  |
| 2                                                    | 02/21/11      | 2           | 2011 DRAW | 0 / 30   | 52,610,315.55          | 259,822.46          | 4,925,641.08         | 4,665,818.62         |                         | 47,944,496.93  |
| 3                                                    | 03/21/11      | 3           | 2011 DRAW | 0 / 30   | 47,944,496.93          | 236,779.75          | 4,925,641.08         | 4,688,861.33         |                         | 43,255,635.60  |
| 4                                                    | 04/21/11      | 4           | 2011 DRAW | 0 / 30   | 43,255,635.60          | 213,623.23          | 4,925,641.08         | 4,712,017.85         |                         | 38,543,617.75  |
| 5                                                    | 05/21/11      | 5           | 2011 DRAW | 0 / 30   | 38,543,617.75          | 190,352.36          | 4,925,641.08         | 4,735,288.72         |                         | 33,808,329.03  |
| 6                                                    | 06/21/11      | 6           | 2011 DRAW | 0 / 30   | 33,808,329.03          | 166,966.56          | 4,925,641.08         | 4,758,674.52         |                         | 29,049,654.51  |
| 7                                                    | 07/21/11      | 7           | 2011 DRAW | 0 / 30   | 29,049,654.51          | 143,465.26          | 4,925,641.08         | 4,782,175.82         |                         | 24,267,478.70  |
| 8                                                    | 08/21/11      | 8           | 2011 DRAW | 0 / 30   | 24,267,478.70          | 119,847.90          | 4,925,641.08         | 4,805,793.18         |                         | 19,461,685.52  |
| 9                                                    | 09/21/11      | 9           | 2011 DRAW | 0 / 30   | 19,461,685.52          | 96,113.91           | 4,925,641.08         | 4,829,527.17         |                         | 14,632,158.35  |
| 10                                                   | 10/21/11      | 1           | 2011 DRAW | 0 / 30   | 14,632,158.35          | 72,262.70           | 4,925,641.08         | 4,853,378.38         |                         | 9,778,779.97   |
| 11                                                   | 11/21/11      | 1           | 2011 DRAW | 0 / 30   | 9,778,779.97           | 48,293.70           | 4,925,641.08         | 4,877,347.38         |                         | 4,901,432.59   |
| 12                                                   | 12/21/11      | 1           | 2011 DRAW | 0 / 30   | 4,901,432.59           | 24,206.32           | 4,925,641.08         | 4,901,434.76         |                         | -2.17          |
| <b>Totals For TPK 2011A</b>                          |               |             |           |          |                        | <b>1,571,734.16</b> | <b>59,107,692.96</b> | <b>57,535,958.80</b> | <b>0.00</b>             |                |
| <b>Prior Project Costs:</b>                          |               |             |           |          |                        | <b>0.00</b>         |                      |                      |                         |                |
| <b>Grand Totals For All Projects:</b>                |               |             |           |          |                        | <b>1,571,734.16</b> | <b>59,107,692.96</b> | <b>57,535,958.80</b> | <b>0.00</b>             |                |
| <b>Total Prior Costs:</b>                            |               |             |           |          |                        | <b>0.00</b>         |                      |                      |                         |                |

Dated: 1/1/2011  
 Delivered: 1/21/2011

Sizing Debt Service Schedule  
 SBA-FDOT  
 Turnpike 2011 A

Rpt 14

| Fiscal Yr | Coupon Date | Zer Cpn | Cpn Rate | Maturing Principal | Periodic Interest | Gross Semi-Annl Dbt Svc | Cap Int | DbtSvcRcv Int & Prin | Constr. Fund Interest | Net Semi-Annl Dbt Svc | Net Fiscal Dbt Svc |
|-----------|-------------|---------|----------|--------------------|-------------------|-------------------------|---------|----------------------|-----------------------|-----------------------|--------------------|
| 1         | 7/1/11      | N       | 6.000    | 370,000.00         | 1,881,300.00      | 2,251,300.00            |         | 119,972.57           |                       | 2,131,327.43          | 2,131,327.43       |
| 1         | 1/1/12      | N       | 6.000    | 380,000.00         | 1,870,200.00      | 2,250,200.00            |         | 135,192.00           |                       | 2,115,008.00          |                    |
| 1         | 7/1/12      | N       | 6.000    | 390,000.00         | 1,858,800.00      | 2,248,800.00            |         | 135,192.00           |                       | 2,113,608.00          | 4,228,616.00       |
| 1         | 1/1/13      | N       | 6.000    | 405,000.00         | 1,847,100.00      | 2,252,100.00            |         | 135,192.00           |                       | 2,116,908.00          |                    |
| 1         | 7/1/13      | N       | 6.000    | 415,000.00         | 1,834,950.00      | 2,249,950.00            |         | 135,192.00           |                       | 2,114,758.00          | 4,231,666.00       |
| 1         | 1/1/14      | N       | 6.000    | 430,000.00         | 1,822,500.00      | 2,252,500.00            |         | 135,192.00           |                       | 2,117,308.00          |                    |
| 1         | 7/1/14      | N       | 6.000    | 440,000.00         | 1,809,600.00      | 2,249,600.00            |         | 135,192.00           |                       | 2,114,408.00          | 4,231,716.00       |
| 1         | 1/1/15      | N       | 6.000    | 455,000.00         | 1,796,400.00      | 2,251,400.00            |         | 135,192.00           |                       | 2,116,208.00          |                    |
| 1         | 7/1/15      | N       | 6.000    | 470,000.00         | 1,782,750.00      | 2,252,750.00            |         | 135,192.00           |                       | 2,117,558.00          | 4,233,766.00       |
| 1         | 1/1/16      | N       | 6.000    | 485,000.00         | 1,768,650.00      | 2,253,650.00            |         | 135,192.00           |                       | 2,118,458.00          |                    |
| 1         | 7/1/16      | N       | 6.000    | 495,000.00         | 1,754,100.00      | 2,249,100.00            |         | 135,192.00           |                       | 2,113,908.00          | 4,232,366.00       |
| 1         | 1/1/17      | N       | 6.000    | 510,000.00         | 1,739,250.00      | 2,249,250.00            |         | 135,192.00           |                       | 2,114,058.00          |                    |
| 1         | 7/1/17      | N       | 6.000    | 525,000.00         | 1,723,950.00      | 2,248,950.00            |         | 135,192.00           |                       | 2,113,758.00          | 4,227,816.00       |
| 1         | 1/1/18      | N       | 6.000    | 545,000.00         | 1,708,200.00      | 2,253,200.00            |         | 135,192.00           |                       | 2,118,008.00          |                    |
| 1         | 7/1/18      | N       | 6.000    | 560,000.00         | 1,691,850.00      | 2,251,850.00            |         | 135,192.00           |                       | 2,116,658.00          | 4,234,666.00       |
| 1         | 1/1/19      | N       | 6.000    | 575,000.00         | 1,675,050.00      | 2,250,050.00            |         | 135,192.00           |                       | 2,114,858.00          |                    |
| 1         | 7/1/19      | N       | 6.000    | 595,000.00         | 1,657,800.00      | 2,252,800.00            |         | 135,192.00           |                       | 2,117,608.00          | 4,232,466.00       |
| 2         | 1/1/20      | N       | 6.000    | 610,000.00         | 1,639,950.00      | 2,249,950.00            |         | 135,192.00           |                       | 2,114,758.00          |                    |
| 2         | 7/1/20      | N       | 6.000    | 630,000.00         | 1,621,650.00      | 2,251,650.00            |         | 135,192.00           |                       | 2,116,458.00          | 4,231,216.00       |
| 2         | 1/1/21      | N       | 6.000    | 650,000.00         | 1,602,750.00      | 2,252,750.00            |         | 135,192.00           |                       | 2,117,558.00          |                    |
| 2         | 7/1/21      | N       | 6.000    | 670,000.00         | 1,583,250.00      | 2,253,250.00            |         | 135,192.00           |                       | 2,118,058.00          | 4,235,616.00       |
| 2         | 1/1/22      | N       | 6.000    | 690,000.00         | 1,563,150.00      | 2,253,150.00            |         | 135,192.00           |                       | 2,117,958.00          |                    |
| 2         | 7/1/22      | N       | 6.000    | 710,000.00         | 1,542,450.00      | 2,252,450.00            |         | 135,192.00           |                       | 2,117,258.00          | 4,235,216.00       |
| 2         | 1/1/23      | N       | 6.000    | 730,000.00         | 1,521,150.00      | 2,251,150.00            |         | 135,192.00           |                       | 2,115,958.00          |                    |
| 2         | 7/1/23      | N       | 6.000    | 750,000.00         | 1,499,250.00      | 2,249,250.00            |         | 135,192.00           |                       | 2,114,058.00          | 4,230,016.00       |
| 2         | 1/1/24      | N       | 6.000    | 775,000.00         | 1,476,750.00      | 2,251,750.00            |         | 135,192.00           |                       | 2,116,558.00          |                    |
| 2         | 7/1/24      | N       | 6.000    | 800,000.00         | 1,453,500.00      | 2,253,500.00            |         | 135,192.00           |                       | 2,118,308.00          | 4,234,866.00       |
| 2         | 1/1/25      | N       | 6.000    | 820,000.00         | 1,429,500.00      | 2,249,500.00            |         | 135,192.00           |                       | 2,114,308.00          |                    |
| 2         | 7/1/25      | N       | 6.000    | 845,000.00         | 1,404,900.00      | 2,249,900.00            |         | 135,192.00           |                       | 2,114,708.00          | 4,229,016.00       |
| 2         | 1/1/26      | N       | 6.000    | 870,000.00         | 1,379,550.00      | 2,249,550.00            |         | 135,192.00           |                       | 2,114,358.00          |                    |
| 2         | 7/1/26      | N       | 6.000    | 900,000.00         | 1,353,450.00      | 2,253,450.00            |         | 135,192.00           |                       | 2,118,258.00          | 4,232,616.00       |
| 2         | 1/1/27      | N       | 6.000    | 925,000.00         | 1,326,450.00      | 2,251,450.00            |         | 135,192.00           |                       | 2,116,258.00          |                    |
| 2         | 7/1/27      | N       | 6.000    | 955,000.00         | 1,298,700.00      | 2,253,700.00            |         | 135,192.00           |                       | 2,118,508.00          | 4,234,766.00       |
| 2         | 1/1/28      | N       | 6.000    | 980,000.00         | 1,270,050.00      | 2,250,050.00            |         | 135,192.00           |                       | 2,114,858.00          |                    |
| 2         | 7/1/28      | N       | 6.000    | 1,010,000.00       | 1,240,650.00      | 2,250,650.00            |         | 135,192.00           |                       | 2,115,458.00          | 4,230,316.00       |
| 2         | 1/1/29      | N       | 6.000    | 1,040,000.00       | 1,210,350.00      | 2,250,350.00            |         | 135,192.00           |                       | 2,115,158.00          |                    |
| 2         | 7/1/29      | N       | 6.000    | 1,070,000.00       | 1,179,150.00      | 2,249,150.00            |         | 135,192.00           |                       | 2,113,958.00          | 4,229,116.00       |
| 3         | 1/1/30      | N       | 6.000    | 1,105,000.00       | 1,147,050.00      | 2,252,050.00            |         | 135,192.00           |                       | 2,116,858.00          |                    |
| 3         | 7/1/30      | N       | 6.000    | 1,135,000.00       | 1,113,900.00      | 2,248,900.00            |         | 135,192.00           |                       | 2,113,708.00          | 4,230,566.00       |
| 3         | 1/1/31      | N       | 6.000    | 1,170,000.00       | 1,079,850.00      | 2,249,850.00            |         | 135,192.00           |                       | 2,114,658.00          |                    |
| 3         | 7/1/31      | N       | 6.000    | 1,205,000.00       | 1,044,750.00      | 2,249,750.00            |         | 135,192.00           |                       | 2,114,558.00          | 4,229,216.00       |
| 3         | 1/1/32      | N       | 6.000    | 1,245,000.00       | 1,008,600.00      | 2,253,600.00            |         | 135,192.00           |                       | 2,118,408.00          |                    |
| 3         | 7/1/32      | N       | 6.000    | 1,280,000.00       | 971,250.00        | 2,251,250.00            |         | 135,192.00           |                       | 2,116,058.00          | 4,234,466.00       |
| 3         | 1/1/33      | N       | 6.000    | 1,320,000.00       | 932,850.00        | 2,252,850.00            |         | 135,192.00           |                       | 2,117,658.00          |                    |
| 3         | 7/1/33      | N       | 6.000    | 1,360,000.00       | 893,250.00        | 2,253,250.00            |         | 135,192.00           |                       | 2,118,058.00          | 4,235,716.00       |
| 3         | 1/1/34      | N       | 6.000    | 1,400,000.00       | 852,450.00        | 2,252,450.00            |         | 135,192.00           |                       | 2,117,258.00          |                    |
| 3         | 7/1/34      | N       | 6.000    | 1,440,000.00       | 810,450.00        | 2,250,450.00            |         | 135,192.00           |                       | 2,115,258.00          | 4,232,516.00       |
| 3         | 1/1/35      | N       | 6.000    | 1,485,000.00       | 767,250.00        | 2,252,250.00            |         | 135,192.00           |                       | 2,117,058.00          |                    |
| 3         | 7/1/35      | N       | 6.000    | 1,530,000.00       | 722,700.00        | 2,252,700.00            |         | 135,192.00           |                       | 2,117,508.00          | 4,234,566.00       |
| 3         | 1/1/36      | N       | 6.000    | 1,575,000.00       | 676,800.00        | 2,251,800.00            |         | 135,192.00           |                       | 2,116,608.00          |                    |
| 3         | 7/1/36      | N       | 6.000    | 1,620,000.00       | 629,550.00        | 2,249,550.00            |         | 135,192.00           |                       | 2,114,358.00          | 4,230,966.00       |
| 3         | 1/1/37      | N       | 6.000    | 1,670,000.00       | 580,950.00        | 2,250,950.00            |         | 135,192.00           |                       | 2,115,758.00          |                    |
| 3         | 7/1/37      | N       | 6.000    | 1,720,000.00       | 530,850.00        | 2,250,850.00            |         | 135,192.00           |                       | 2,115,658.00          | 4,231,416.00       |
| 3         | 1/1/38      | N       | 6.000    | 1,770,000.00       | 479,250.00        | 2,249,250.00            |         | 135,192.00           |                       | 2,114,058.00          |                    |
| 3         | 7/1/38      | N       | 6.000    | 1,825,000.00       | 426,150.00        | 2,251,150.00            |         | 135,192.00           |                       | 2,115,958.00          | 4,230,016.00       |
| 3         | 1/1/39      | N       | 6.000    | 1,880,000.00       | 371,400.00        | 2,251,400.00            |         | 135,192.00           |                       | 2,116,208.00          |                    |

Prepared by:

Prepared on: 8/24/2010 16:3 13.75e

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TURNPIKE-2011-A

Dated: 1/1/2011  
 Delivered: 1/21/2011

Sizing Debt Service Schedule  
 SBA-FDOT  
 Turnpike 2011 A

Rpt 14

| <i>Fiscal Yr</i>                       | <i>Coupon Date</i> | <i>Zer Cpn</i> | <i>Cpn Rate</i> | <i>Maturing Principal</i> | <i>Periodic Interest</i> | <i>Gross Semi-Annl Dbt Svc</i> | <i>Cap Int</i>                                  | <i>DbtSvcRcv Int &amp; Prin</i> | <i>Constr. Fund Interest</i> | <i>Net Semi-Annl Dbt Svc</i> | <i>Net Fiscal Dbt Svc</i> |
|----------------------------------------|--------------------|----------------|-----------------|---------------------------|--------------------------|--------------------------------|-------------------------------------------------|---------------------------------|------------------------------|------------------------------|---------------------------|
| 3                                      | 7/1/39             | N              | 6.000           | 1,940,000.00              | 315,000.00               | 2,255,000.00                   |                                                 | 135,192.00                      |                              | 2,119,808.00                 | 4,236,016.00              |
| 4                                      | 1/1/40             | N              | 6.000           | 1,995,000.00              | 256,800.00               | 2,251,800.00                   |                                                 | 135,192.00                      |                              | 2,116,608.00                 |                           |
| 4                                      | 7/1/40             | N              | 6.000           | 6,565,000.00              | 196,950.00               | 6,761,950.00                   |                                                 | 4,641,592.00                    |                              | 2,120,358.00                 | 4,236,966.00              |
|                                        |                    |                |                 | <b>62,710,000.00</b>      | <b>74,627,100.00</b>     | <b>137,337,100.00</b>          | <b>0.00</b>                                     | <b>12,467,508.57</b>            | <b>0.00</b>                  | <b>124,869,591.43</b>        | <b>124,869,591.43</b>     |
| <b>Trune Interest Cost (TIC) .....</b> |                    |                |                 |                           |                          | <b>6.0740830</b>               | <b>Arbitrage Yield Limit (AYL) .....</b>        |                                 |                              | <b>5.9995964</b>             |                           |
| <b>Net Interest Cost (NIC) .....</b>   |                    |                |                 |                           |                          | <b>6.0403349</b>               | <b>Arbitrage Net Interest Cost (ANIC) .....</b> |                                 |                              | <b>6.0000000</b>             |                           |

Dated: 1/1/2011  
Delivered: 1/21/2011

Summary of Sizing Inputs  
SBA-FDOT  
Turnpike 2011 A

Rpt 17a

**General Information**

Denomination: 5000.  
Rate scale: 6%  
Issue type: revENUE  
Sizing Rule 2: Level debt service - periodic principal payments. You input the gross construction costs (or a draw schedule) and the size of the bond issue is computed to cover the construction draws, reserves, and the various costs of issuance.

**Dates**

01/01/2011-> Dated (bond issue) date  
01/21/2011-> Delivery date  
07/01/2011-> 1st coupon date  
07/01/2011-> First principal payment  
07/01/2040-> Last maturity date  
No CABS in bond issue

**Gross Construction Costs**

|                                                  |               |
|--------------------------------------------------|---------------|
| Total project costs                              | 59,107,692.96 |
| Total prior costs                                |               |
| Less: interest earned & applied to project draws | -1,571,736.33 |
| Net total project costs:                         | 57,535,956.63 |
| Total number of projects = 1                     |               |

**Restricted Accounts**

|                                          |              |
|------------------------------------------|--------------|
| DSR rule: Maximum yearly debt service    |              |
| No capitalized interest                  |              |
| Restricted yield = 6.000000%             |              |
| Net deposit to Debt Service Reserve Fund | 4,506,400.00 |
| Net deposit to Contingency Fund          | 457.63       |

**Costs of Issuance**

|                                                         |             |
|---------------------------------------------------------|-------------|
| Underwriter spread: 8.000/\$1,000                       | -501,680.00 |
| Bond insurance: 0.000% (net of accrued & cap. interest) |             |
| Other TIC costs:                                        | 165,472.00  |

Dated: 1/1/2011  
Delivered: 1/21/2011

Summary of Sizing Calculations  
SBA-FDOT  
Turnpike 2011 A

Rpt 17b

| <u>Sources of Funds</u>                                     |                  |
|-------------------------------------------------------------|------------------|
| Par amount of bonds                                         | 62,710,000.00    |
| Original Issue Premium                                      |                  |
| Accrued Interest                                            | 209,033.33       |
| <u>Construction Costs</u>                                   |                  |
| Costs to complete construction                              | 59,107,692.96    |
| Less: interest earned in fund & applied to project draws    | -1,571,736.33    |
| Prior costs to be paid from bond proceeds                   |                  |
| Gross Construction Costs                                    | 57,535,956.63    |
| <u>Restricted Funds</u>                                     |                  |
| Gross capitalized interest                                  |                  |
| Less: Interest earned on Capitalized Interest Fund @ 6.000% |                  |
| Net deposit to Capitalized Interest Fund                    |                  |
| Net deposit to Debt Service Reserve Fund                    | 4,506,400.00     |
| Net deposit to Contingency Fund                             | 457.63           |
| <u>Costs of Issuance</u>                                    |                  |
| Underwriter spread: 8.000/\$1,000                           | -501,680.00      |
| Bond insurance: 0.000%                                      |                  |
| Other issuance costs                                        | 165,472.00       |
| Rounding due to denomination size                           | 33.74            |
| <u>Calculations</u>                                         |                  |
| Net Interest Cost (NIC)                                     | 6.0403349        |
| True Interest Cost (TIC)                                    | 6.0740830        |
| All-Inclusive TIC:                                          | 6.0988598        |
| Arbitrage Net Interest Cost (NIC)                           | 6.0000000        |
| Arbitrage Yield Limit (AYL)                                 | 5.9995964        |
| Total Bond Years (delivery date)                            | 1,240,301,111.11 |
| Average Bond Years (Delivery date)                          | 19.78            |
| Level debt service calculation                              | 2,251,109.95     |

## TRUTH IN BONDING WORKSHEET

1. A listing of the purpose of the debt or obligation Implementation of Florida Turnpike Program as authorized by Chapter 338, Florida Statutes.
2. The source of repayment of the debt or obligation Net revenues of the Florida Turnpike System.
3. The principle amount of the debt or obligation: \$35,150,000
4. The interest rate on the debt or obligation (per EEC): 6.000%
5. A schedule of annual debt service payments (attached)
6. The method of sale of the debt or obligation as determined by the Governing board of the Division of Bond Finance.
7. The costs of issuance of the debt or obligation, including a detailed listing of the amounts of the major costs of issuance.

|                          |             |
|--------------------------|-------------|
| Underwriters Discount    | \$281,200   |
| Rating Agency Fees       | \$75,000    |
| Other Costs of Issuance  | \$63,292    |
| Deposit into DSR Account | \$2,529,000 |

### TRUTH-IN-BONDING STATEMENT

The State of Florida is proposing to issue \$35,150,000 debt or obligation for the purpose of implementing the Turnpike program as authorized by Chapter 338, Florida Statutes.

This debt or obligation is expected to be repaid over a period of 30 years. At a forecasted interest rate of 6.000%, total interest paid over the life of the debt or obligation will be \$43,015,200.

The proposed issuance date is 7/01/11.



Dated: 7/1/2011  
 Delivered: 7/21/2011

Construction Draws for  
 SBA-FDOT  
 Turnpike 2011B

Rpt 72

| Draw #                                                | Draw/Cpn Date | Fiscal Date | Tran Type | Pds/Days | Beginning Fund Balance | Interest Earnings | Draw Requirement     | Net Draw Amount      | Applied To Debt Service | Ending Balance |
|-------------------------------------------------------|---------------|-------------|-----------|----------|------------------------|-------------------|----------------------|----------------------|-------------------------|----------------|
| TPK 2011B TPK 2011B yielding 6.00000000% : Net-Funded |               |             |           |          |                        |                   |                      |                      |                         |                |
| 0                                                     | 07/21/11      | 7           | 2011      | DEP      | 0 / 0                  |                   |                      |                      |                         | 32,203,775.30  |
| 1                                                     | 07/01/11      | 7           | 2011      | DRAW     | 0 / -20                | 32,203,775.30     | -107,345.92          | 2,747,768.37         | 2,855,114.29            | 29,348,661.01  |
| 2                                                     | 08/01/11      | 8           | 2011      | DRAW     | 0 / 30                 | 29,348,661.01     | 144,941.94           | 2,747,768.37         | 2,602,826.43            | 26,745,834.59  |
| 3                                                     | 09/01/11      | 9           | 2011      | DRAW     | 0 / 30                 | 26,745,834.59     | 132,087.57           | 2,747,768.37         | 2,615,680.80            | 24,130,153.78  |
| 4                                                     | 10/01/11      | 1           | 2011      | DRAW     | 0 / 30                 | 24,130,153.78     | 119,169.71           | 2,747,768.37         | 2,628,598.66            | 21,501,555.12  |
| 5                                                     | 11/01/11      | 1           | 2011      | DRAW     | 0 / 30                 | 21,501,555.12     | 106,188.05           | 2,747,768.37         | 2,641,580.32            | 18,859,974.81  |
| 6                                                     | 12/01/11      | 1           | 2011      | DRAW     | 0 / 30                 | 18,859,974.81     | 93,142.29            | 2,747,768.37         | 2,654,626.08            | 16,205,348.72  |
| 7                                                     | 01/01/12      | 1           | 2012      | DRAW     | 0 / 30                 | 16,205,348.72     | 80,032.09            | 2,747,768.37         | 2,667,736.28            | 13,537,612.45  |
| 8                                                     | 02/01/12      | 2           | 2012      | DRAW     | 0 / 30                 | 13,537,612.45     | 66,857.15            | 2,747,768.37         | 2,680,911.22            | 10,856,701.23  |
| 9                                                     | 03/01/12      | 3           | 2012      | DRAW     | 0 / 30                 | 10,856,701.23     | 53,617.14            | 2,747,768.37         | 2,694,151.23            | 8,162,550.00   |
| 10                                                    | 04/01/12      | 4           | 2012      | DRAW     | 0 / 30                 | 8,162,550.00      | 40,311.75            | 2,747,768.37         | 2,707,456.62            | 5,455,093.38   |
| 11                                                    | 05/01/12      | 5           | 2012      | DRAW     | 0 / 30                 | 5,455,093.38      | 26,940.64            | 2,747,768.37         | 2,720,827.73            | 2,734,265.66   |
| 12                                                    | 06/01/12      | 6           | 2012      | DRAW     | 0 / 30                 | 2,734,265.66      | 13,503.50            | 2,747,768.37         | 2,734,264.87            | 0.79           |
| <b>Totals For TPK 2011B</b>                           |               |             |           |          |                        | <b>769,445.93</b> | <b>32,973,220.44</b> | <b>32,203,774.51</b> | <b>0.00</b>             |                |
| <b>Prior Project Costs:</b>                           |               |             |           |          |                        | <b>0.00</b>       |                      |                      |                         |                |
| <b>Grand Totals For All Projects:</b>                 |               |             |           |          |                        | <b>769,445.93</b> | <b>32,973,220.44</b> | <b>32,203,774.51</b> | <b>0.00</b>             |                |
| <b>Total Prior Costs:</b>                             |               |             |           |          |                        | <b>0.00</b>       |                      |                      |                         |                |

Dated: 7/1/2011  
 Delivered: 7/21/2011

Sizing Debt Service Schedule  
 SBA-FDOT  
 Turnpike 2011B

Rpt 14

| Fiscal Yr | Coupon Date | Zer Cpn | Cpn Rate | Maturing Principal | Periodic Interest | Gross Semi-Annl Dbt Svc | Cap Int | DbtSvcRcv Int & Prin | Constr. Fund Interest | Net Semi-Annl Dbt Svc | Net Fiscal Dbt Svc |
|-----------|-------------|---------|----------|--------------------|-------------------|-------------------------|---------|----------------------|-----------------------|-----------------------|--------------------|
| 1         | 1/1/12      |         |          |                    | 1,054,500.00      | 1,054,500.00            |         | 67,328.83            |                       | 987,171.17            |                    |
| 1         | 7/1/12      | N       | 6.000    | 420,000.00         | 1,054,500.00      | 1,474,500.00            |         | 75,870.00            |                       | 1,398,630.00          | 2,385,801.17       |
| 1         | 1/1/13      |         |          |                    | 1,041,900.00      | 1,041,900.00            |         | 75,870.00            |                       | 966,030.00            |                    |
| 1         | 7/1/13      | N       | 6.000    | 435,000.00         | 1,041,900.00      | 1,476,900.00            |         | 75,870.00            |                       | 1,401,030.00          | 2,367,060.00       |
| 1         | 1/1/14      |         |          |                    | 1,028,850.00      | 1,028,850.00            |         | 75,870.00            |                       | 952,980.00            |                    |
| 1         | 7/1/14      | N       | 6.000    | 465,000.00         | 1,028,850.00      | 1,493,850.00            |         | 75,870.00            |                       | 1,417,980.00          | 2,370,960.00       |
| 1         | 1/1/15      |         |          |                    | 1,014,900.00      | 1,014,900.00            |         | 75,870.00            |                       | 939,030.00            |                    |
| 1         | 7/1/15      | N       | 6.000    | 490,000.00         | 1,014,900.00      | 1,504,900.00            |         | 75,870.00            |                       | 1,429,030.00          | 2,368,060.00       |
| 1         | 1/1/16      |         |          |                    | 1,000,200.00      | 1,000,200.00            |         | 75,870.00            |                       | 924,330.00            |                    |
| 1         | 7/1/16      | N       | 6.000    | 520,000.00         | 1,000,200.00      | 1,520,200.00            |         | 75,870.00            |                       | 1,444,330.00          | 2,368,660.00       |
| 1         | 1/1/17      |         |          |                    | 984,600.00        | 984,600.00              |         | 75,870.00            |                       | 908,730.00            |                    |
| 1         | 7/1/17      | N       | 6.000    | 550,000.00         | 984,600.00        | 1,534,600.00            |         | 75,870.00            |                       | 1,458,730.00          | 2,367,460.00       |
| 1         | 1/1/18      |         |          |                    | 968,100.00        | 968,100.00              |         | 75,870.00            |                       | 892,230.00            |                    |
| 1         | 7/1/18      | N       | 6.000    | 585,000.00         | 968,100.00        | 1,553,100.00            |         | 75,870.00            |                       | 1,477,230.00          | 2,369,460.00       |
| 1         | 1/1/19      |         |          |                    | 950,550.00        | 950,550.00              |         | 75,870.00            |                       | 874,680.00            |                    |
| 1         | 7/1/19      | N       | 6.000    | 620,000.00         | 950,550.00        | 1,570,550.00            |         | 75,870.00            |                       | 1,494,680.00          | 2,369,360.00       |
| 2         | 1/1/20      |         |          |                    | 931,950.00        | 931,950.00              |         | 75,870.00            |                       | 856,080.00            |                    |
| 2         | 7/1/20      | N       | 6.000    | 660,000.00         | 931,950.00        | 1,591,950.00            |         | 75,870.00            |                       | 1,516,080.00          | 2,372,160.00       |
| 2         | 1/1/21      |         |          |                    | 912,150.00        | 912,150.00              |         | 75,870.00            |                       | 836,280.00            |                    |
| 2         | 7/1/21      | N       | 6.000    | 695,000.00         | 912,150.00        | 1,607,150.00            |         | 75,870.00            |                       | 1,531,280.00          | 2,367,560.00       |
| 2         | 1/1/22      |         |          |                    | 891,300.00        | 891,300.00              |         | 75,870.00            |                       | 815,430.00            |                    |
| 2         | 7/1/22      | N       | 6.000    | 740,000.00         | 891,300.00        | 1,631,300.00            |         | 75,870.00            |                       | 1,555,430.00          | 2,370,860.00       |
| 2         | 1/1/23      |         |          |                    | 869,100.00        | 869,100.00              |         | 75,870.00            |                       | 793,230.00            |                    |
| 2         | 7/1/23      | N       | 6.000    | 785,000.00         | 869,100.00        | 1,654,100.00            |         | 75,870.00            |                       | 1,578,230.00          | 2,371,460.00       |
| 2         | 1/1/24      |         |          |                    | 845,550.00        | 845,550.00              |         | 75,870.00            |                       | 769,680.00            |                    |
| 2         | 7/1/24      | N       | 6.000    | 830,000.00         | 845,550.00        | 1,675,550.00            |         | 75,870.00            |                       | 1,599,680.00          | 2,369,360.00       |
| 2         | 1/1/25      |         |          |                    | 820,650.00        | 820,650.00              |         | 75,870.00            |                       | 744,780.00            |                    |
| 2         | 7/1/25      | N       | 6.000    | 880,000.00         | 820,650.00        | 1,700,650.00            |         | 75,870.00            |                       | 1,624,780.00          | 2,369,560.00       |
| 2         | 1/1/26      |         |          |                    | 794,250.00        | 794,250.00              |         | 75,870.00            |                       | 718,380.00            |                    |
| 2         | 7/1/26      | N       | 6.000    | 935,000.00         | 794,250.00        | 1,729,250.00            |         | 75,870.00            |                       | 1,653,380.00          | 2,371,760.00       |
| 2         | 1/1/27      |         |          |                    | 766,200.00        | 766,200.00              |         | 75,870.00            |                       | 690,330.00            |                    |
| 2         | 7/1/27      | N       | 6.000    | 990,000.00         | 766,200.00        | 1,756,200.00            |         | 75,870.00            |                       | 1,680,330.00          | 2,370,660.00       |
| 2         | 1/1/28      |         |          |                    | 736,500.00        | 736,500.00              |         | 75,870.00            |                       | 660,630.00            |                    |
| 2         | 7/1/28      | N       | 6.000    | 1,050,000.00       | 736,500.00        | 1,786,500.00            |         | 75,870.00            |                       | 1,710,630.00          | 2,371,260.00       |
| 2         | 1/1/29      |         |          |                    | 705,000.00        | 705,000.00              |         | 75,870.00            |                       | 629,130.00            |                    |
| 2         | 7/1/29      | N       | 6.000    | 1,110,000.00       | 705,000.00        | 1,815,000.00            |         | 75,870.00            |                       | 1,739,130.00          | 2,368,260.00       |
| 3         | 1/1/30      |         |          |                    | 671,700.00        | 671,700.00              |         | 75,870.00            |                       | 595,830.00            |                    |
| 3         | 7/1/30      | N       | 6.000    | 1,180,000.00       | 671,700.00        | 1,851,700.00            |         | 75,870.00            |                       | 1,775,830.00          | 2,371,660.00       |
| 3         | 1/1/31      |         |          |                    | 636,300.00        | 636,300.00              |         | 75,870.00            |                       | 560,430.00            |                    |
| 3         | 7/1/31      | N       | 6.000    | 1,250,000.00       | 636,300.00        | 1,886,300.00            |         | 75,870.00            |                       | 1,810,430.00          | 2,370,860.00       |
| 3         | 1/1/32      |         |          |                    | 598,800.00        | 598,800.00              |         | 75,870.00            |                       | 522,930.00            |                    |
| 3         | 7/1/32      | N       | 6.000    | 1,325,000.00       | 598,800.00        | 1,923,800.00            |         | 75,870.00            |                       | 1,847,930.00          | 2,370,860.00       |
| 3         | 1/1/33      |         |          |                    | 559,050.00        | 559,050.00              |         | 75,870.00            |                       | 483,180.00            |                    |
| 3         | 7/1/33      | N       | 6.000    | 1,405,000.00       | 559,050.00        | 1,964,050.00            |         | 75,870.00            |                       | 1,888,180.00          | 2,371,360.00       |
| 3         | 1/1/34      |         |          |                    | 516,900.00        | 516,900.00              |         | 75,870.00            |                       | 441,030.00            |                    |
| 3         | 7/1/34      | N       | 6.000    | 1,485,000.00       | 516,900.00        | 2,001,900.00            |         | 75,870.00            |                       | 1,926,030.00          | 2,367,060.00       |
| 3         | 1/1/35      |         |          |                    | 472,350.00        | 472,350.00              |         | 75,870.00            |                       | 396,480.00            |                    |
| 3         | 7/1/35      | N       | 6.000    | 1,575,000.00       | 472,350.00        | 2,047,350.00            |         | 75,870.00            |                       | 1,971,480.00          | 2,367,960.00       |
| 3         | 1/1/36      |         |          |                    | 425,100.00        | 425,100.00              |         | 75,870.00            |                       | 349,230.00            |                    |
| 3         | 7/1/36      | N       | 6.000    | 1,670,000.00       | 425,100.00        | 2,095,100.00            |         | 75,870.00            |                       | 2,019,230.00          | 2,368,460.00       |
| 3         | 1/1/37      |         |          |                    | 375,000.00        | 375,000.00              |         | 75,870.00            |                       | 299,130.00            |                    |
| 3         | 7/1/37      | N       | 6.000    | 1,770,000.00       | 375,000.00        | 2,145,000.00            |         | 75,870.00            |                       | 2,069,130.00          | 2,368,260.00       |
| 3         | 1/1/38      |         |          |                    | 321,900.00        | 321,900.00              |         | 75,870.00            |                       | 246,030.00            |                    |
| 3         | 7/1/38      | N       | 6.000    | 1,875,000.00       | 321,900.00        | 2,196,900.00            |         | 75,870.00            |                       | 2,121,030.00          | 2,367,060.00       |
| 3         | 1/1/39      |         |          |                    | 265,650.00        | 265,650.00              |         | 75,870.00            |                       | 189,780.00            |                    |
| 3         | 7/1/39      | N       | 6.000    | 1,990,000.00       | 265,650.00        | 2,255,650.00            |         | 75,870.00            |                       | 2,179,780.00          | 2,369,560.00       |

Prepared by:

Prepared on: 8/25/2010 15:53 13.75e

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TURNPIKE-2011-B

Dated: 7/1/2011  
 Delivered: 7/21/2011

Sizing Debt Service Schedule  
 SBA-FDOT  
 Turnpike 2011B

Rpt 14

| <i>Fiscal Yr</i>                      | <i>Coupon Date</i> | <i>Zer Cpn</i> | <i>Cpn Rate</i> | <i>Maturing Principal</i> | <i>Periodic Interest</i> | <i>Gross Semi-Annl Dbt Svc</i> | <i>Cap Int</i>                                  | <i>DbtSvcRcv Int &amp; Prin</i> | <i>Constr. Fund Interest</i> | <i>Net Semi-Annl Dbt Svc</i> | <i>Net Fiscal Dbt Svc</i> |
|---------------------------------------|--------------------|----------------|-----------------|---------------------------|--------------------------|--------------------------------|-------------------------------------------------|---------------------------------|------------------------------|------------------------------|---------------------------|
| 4                                     | 1/1/40             |                |                 |                           | 205,950.00               | 205,950.00                     |                                                 | 75,870.00                       |                              | 130,080.00                   |                           |
| 4                                     | 7/1/40             | N              | 6.000           | 2,110,000.00              | 205,950.00               | 2,315,950.00                   |                                                 | 75,870.00                       |                              | 2,240,080.00                 | 2,370,160.00              |
| 4                                     | 1/1/41             |                |                 |                           | 142,650.00               | 142,650.00                     |                                                 | 75,870.00                       |                              | 66,780.00                    |                           |
| 4                                     | 7/1/41             | N              | 6.000           | 4,755,000.00              | 142,650.00               | 4,897,650.00                   |                                                 | 2,604,870.00                    |                              | 2,292,780.00                 | 2,359,560.00              |
|                                       |                    |                |                 | <b>35,150,000.00</b>      | <b>43,015,200.00</b>     | <b>78,165,200.00</b>           | <b>0.00</b>                                     | <b>7,072,658.83</b>             | <b>0.00</b>                  | <b>71,092,541.17</b>         | <b>71,092,541.17</b>      |
| <b>True Interest Cost (TIC) .....</b> |                    |                |                 |                           |                          | <b>6.0729233</b>               | <b>Arbitrage Yield Limit (AYL) .....</b>        |                                 |                              |                              | <b>5.9996026</b>          |
| <b>Net Interest Cost (NIC) .....</b>  |                    |                |                 |                           |                          | <b>6.0392178</b>               | <b>Arbitrage Net Interest Cost (ANIC) .....</b> |                                 |                              |                              | <b>6.0000000</b>          |

Dated: 7/1/2011  
Delivered: 7/21/2011

Summary of Sizing Inputs  
SBA-FDOT  
Turnpike 2011B

Rpt 17a

**General Information**

Denomination: 5000.  
Rate scale: 6%  
Issue type: REVENUE  
Sizing Rule 1: Level debt service - yearly principal payments. You input the gross construction costs (or a draw schedule) and the size of the bond issue is computed to cover the construction draws, reserves, and the various costs of issuance.

**Dates**

07/01/2011-> Dated (bond issue) date  
07/21/2011-> Delivery date  
01/01/2012-> 1st coupon date  
07/01/2012-> First principal payment  
07/01/2041-> Last maturity date  
No CABS in bond issue

**Gross Construction Costs**

|                                                  |               |
|--------------------------------------------------|---------------|
| Total project costs                              | 32,973,220.44 |
| Total prior costs                                |               |
| Less: interest earned & applied to project draws | -769,445.14   |
| Net total project costs:                         | 32,203,775.30 |
| Total number of projects = 1                     |               |

**Restricted Accounts**

|                                          |              |
|------------------------------------------|--------------|
| DSR rule: Maximum yearly debt service    |              |
| No capitalized interest                  |              |
| Restricted yield = 6.000000%             |              |
| Net deposit to Debt Service Reserve Fund | 2,529,000.00 |
| Net deposit to Contingency Fund          |              |

**Costs of Issuance**

|                                                         |             |
|---------------------------------------------------------|-------------|
| Underwriter spread: 8.000/\$1,000                       | -281,200.00 |
| Bond insurance: 0.000% (net of accrued & cap. interest) |             |
| Other TIC costs:                                        | 138,292.00  |

Dated: 7/1/2011  
 Delivered: 7/21/2011

Summary of Sizing Calculations  
 SBA-FDOT  
 Turnpike 2011B

Rpt 17b

| <u>Sources of Funds</u>                                     |                |
|-------------------------------------------------------------|----------------|
| Par amount of bonds                                         | 35,150,000.00  |
| Original Issue Premium                                      |                |
| Accrued Interest                                            | 117,166.67     |
| <u>Construction Costs</u>                                   |                |
| Costs to complete construction                              | 32,973,220.44  |
| Less: interest earned in fund & applied to project draws    | -769,445.14    |
| Prior costs to be paid from bond proceeds                   |                |
| Gross Construction Costs                                    | 32,203,775.30  |
| <u>Restricted Funds</u>                                     |                |
| Gross capitalized interest                                  |                |
| Less: Interest earned on Capitalized Interest Fund @ 6.000% |                |
| Net deposit to Capitalized Interest Fund                    |                |
| Net deposit to Debt Service Reserve Fund                    | 2,529,000.00   |
| Net deposit to Contingency Fund                             |                |
| <u>Costs of Issuance</u>                                    |                |
| Underwriter spread: 8.000/\$1,000                           | -281,200.00    |
| Bond insurance: 0.000%                                      |                |
| Other issuance costs                                        | 138,292.00     |
| Rounding due to denomination size                           | -2,267.30      |
| <u>Calculations</u>                                         |                |
| Net Interest Cost (NIC)                                     | 6.0392178      |
| True Interest Cost (TIC)                                    | 6.0729233      |
| All-Inclusive TIC:                                          | 6.1093310      |
| Arbitrage Net Interest Cost (NIC)                           | 6.0000000      |
| Arbitrage Yield Limit (AYL)                                 | 5.9996026      |
| Total Bond Years (delivery date)                            | 714,967,222.22 |
| Average Bond Years (Delivery date)                          | 20.34          |
| Level debt service calculation                              | 2,521,402.78   |

**SCHEDULE VI: DETAIL OF DEBT SERVICE**

**Department:** 55 Transportation  
**Budget Entity:** 55180100 - Florida Turnpike Enterprise

**Budget Period** 2011 - 2012

| (1)                            | (2)                            | (3)                               | (4)                             |
|--------------------------------|--------------------------------|-----------------------------------|---------------------------------|
| <b>SECTION 1</b>               | <b>ACTUAL<br/>FY 2009-2010</b> | <b>ESTIMATED<br/>FY 2010-2011</b> | <b>REQUEST<br/>FY 2011-2012</b> |
| Interest on Debt (A)           | 0                              | 0                                 | 2,269,867                       |
| Principal (B)                  | 0                              | 0                                 | 505,000                         |
| Repayment of Loans (C)         | 0                              | 0                                 | 0                               |
| Fiscal Agent or Other Fees (D) | 0                              | 0                                 | 8,512                           |
| Other Debt Service (E)         | 0                              | 0                                 | 0                               |
| <b>Total Debt Service (F)</b>  | <b>0</b>                       | <b>0</b>                          | <b>2,783,379</b>                |

Explanation: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

**SECTION II**

**(1) ISSUE:** Proposed Turnpike Bond Sale T12A 1/1/12

| (2)                            | (3)                  | (4)                            | (5)                               | (6)                             |
|--------------------------------|----------------------|--------------------------------|-----------------------------------|---------------------------------|
| <b>INTEREST RATE</b>           | <b>MATURITY DATE</b> | <b>ISSUE AMOUNT</b>            | <b>June 30, 2011</b>              | <b>June 30, 2012</b>            |
| 6.000%                         | 7/1/2041             | 85,120,000                     |                                   | 84,615,000                      |
|                                |                      | (7)                            | (8)                               | (9)                             |
|                                |                      | <b>ACTUAL<br/>FY 2009-2010</b> | <b>ESTIMATED<br/>FY 2010-2011</b> | <b>REQUEST<br/>FY 2011-2012</b> |
| Interest on Debt (G)           |                      | 0                              | 0                                 | 2,269,867                       |
| Principal (H)                  |                      | 0                              | 0                                 | 505,000                         |
| Fiscal Agent or Other Fees (I) |                      | 0                              | 0                                 | 8,512                           |
| Other (J)                      |                      | 0                              | 0                                 | 0                               |
| <b>Total Debt Service (K)</b>  |                      | <b>0</b>                       | <b>0</b>                          | <b>2,783,379</b>                |

**(1) ISSUE:** \_\_\_\_\_

| <b>INTEREST RATE</b>           | <b>MATURITY DATE</b> | <b>ISSUE AMOUNT</b>            | <b>June 30, 2011</b>              | <b>June 30, 2012</b>            |
|--------------------------------|----------------------|--------------------------------|-----------------------------------|---------------------------------|
|                                |                      |                                |                                   |                                 |
|                                |                      | (7)                            | (8)                               | (9)                             |
|                                |                      | <b>ACTUAL<br/>FY 2009-2010</b> | <b>ESTIMATED<br/>FY 2010-2011</b> | <b>REQUEST<br/>FY 2011-2012</b> |
| Interest on Debt (G)           |                      | 0                              | 0                                 | 0                               |
| Principal (H)                  |                      | 0                              | 0                                 | 0                               |
| Fiscal Agent or Other Fees (I) |                      | 0                              | 0                                 | 0                               |
| Other (J)                      |                      | 0                              | 0                                 | 0                               |
| <b>Total Debt Service (K)</b>  |                      | <b>0</b>                       | <b>0</b>                          | <b>0</b>                        |

## TRUTH IN BONDING WORKSHEET

1. A listing of the purpose of the debt or obligation Implementation of Florida Turnpike Program as authorized by Chapter 338, Florida Statutes.
2. The source of repayment of the debt or obligation Net revenues of the Florida Turnpike System.
3. The principle amount of the debt or obligation: \$85,120,000
4. The interest rate on the debt or obligation (per EEC): 6.000%
5. A schedule of annual debt service payments (attached)
6. The method of sale of the debt or obligation as determined by the Governing board of the Division of Bond Finance.
7. The costs of issuance of the debt or obligation, including a detailed listing of the amounts of the major costs of issuance.

|                          |             |
|--------------------------|-------------|
| Underwriters Discount    | \$680,960   |
| Rating Agency Fees       | \$75,000    |
| Other Costs of Issuance  | \$112,200   |
| Deposit into DSR Account | \$6,114,650 |

## TRUTH-IN-BONDING STATEMENT

The State of Florida is proposing to issue \$85,120,000 debt or obligation for the purpose of implementing the Turnpike program as authorized by Chapter 338, Florida Statutes.

This debt or obligation is expected to be repaid over a period of 30 years. At a forecasted interest rate of 6.000%, total interest paid over the life of the debt or obligation will be \$101,287,500.

The proposed issuance date is 1/01/12.

Dated: 1/1/2012  
 Delivered: 1/21/2012

Construction Draws for  
 SBA-FDOT  
 TPK 2012A

Rpt 72

| Draw #                                              | Draw/Cpn Date | Fiscal Date | Tran Type | Pds/Days | Beginning Fund Balance | Interest Earnings   | Draw Requirement     | Net Draw Amount      | Applied To Debt Service | Ending Balance |
|-----------------------------------------------------|---------------|-------------|-----------|----------|------------------------|---------------------|----------------------|----------------------|-------------------------|----------------|
| TPK 2012A TPK2012A yielding 6.0000000% : Net-Funded |               |             |           |          |                        |                     |                      |                      |                         |                |
| 0                                                   | 01/21/12      | 1           | 2012 DEP  | 0 / 0    |                        |                     |                      |                      |                         | 78,133,154.14  |
| 1                                                   | 01/01/12      | 1           | 2012 DRAW | 0 / -20  | 78,133,154.14          | -260,443.85         | 6,666,665.87         | 6,927,109.72         |                         | 71,206,044.42  |
| 2                                                   | 02/01/12      | 2           | 2012 DRAW | 0 / 30   | 71,206,044.42          | 351,659.74          | 6,666,665.87         | 6,315,006.13         |                         | 64,891,038.29  |
| 3                                                   | 03/01/12      | 3           | 2012 DRAW | 0 / 30   | 64,891,038.29          | 320,472.31          | 6,666,665.87         | 6,346,193.56         |                         | 58,544,844.73  |
| 4                                                   | 04/01/12      | 4           | 2012 DRAW | 0 / 30   | 58,544,844.73          | 289,130.86          | 6,666,665.87         | 6,377,535.01         |                         | 52,167,309.72  |
| 5                                                   | 05/01/12      | 5           | 2012 DRAW | 0 / 30   | 52,167,309.72          | 257,634.63          | 6,666,665.87         | 6,409,031.24         |                         | 45,758,278.48  |
| 6                                                   | 06/01/12      | 6           | 2012 DRAW | 0 / 30   | 45,758,278.48          | 225,982.84          | 6,666,665.87         | 6,440,683.03         |                         | 39,317,595.45  |
| 7                                                   | 07/01/12      | 7           | 2012 DRAW | 0 / 30   | 39,317,595.45          | 194,174.74          | 6,666,665.87         | 6,472,491.13         |                         | 32,845,104.32  |
| 8                                                   | 08/01/12      | 8           | 2012 DRAW | 0 / 30   | 32,845,104.32          | 162,209.56          | 6,666,665.87         | 6,504,456.31         |                         | 26,340,648.01  |
| 9                                                   | 09/01/12      | 9           | 2012 DRAW | 0 / 30   | 26,340,648.01          | 130,086.50          | 6,666,665.87         | 6,536,579.37         |                         | 19,804,068.64  |
| 10                                                  | 10/01/12      | 1           | 2012 DRAW | 0 / 30   | 19,804,068.64          | 97,804.81           | 6,666,665.87         | 6,568,861.06         |                         | 13,235,207.58  |
| 11                                                  | 11/01/12      | 1           | 2012 DRAW | 0 / 30   | 13,235,207.58          | 65,363.69           | 6,666,665.87         | 6,601,302.18         |                         | 6,633,905.40   |
| 12                                                  | 12/01/12      | 1           | 2012 DRAW | 0 / 30   | 6,633,905.40           | 32,762.35           | 6,666,665.87         | 6,633,903.52         |                         | 1.88           |
| <b>Totals For TPK 2012A</b>                         |               |             |           |          |                        | <b>1,866,838.18</b> | <b>79,999,990.44</b> | <b>78,133,152.26</b> | <b>0.00</b>             |                |
| <b>Prior Project Costs:</b>                         |               |             |           |          |                        | <b>0.00</b>         |                      |                      |                         |                |
| <b>Grand Totals For All Projects:</b>               |               |             |           |          |                        | <b>1,866,838.18</b> | <b>79,999,990.44</b> | <b>78,133,152.26</b> | <b>0.00</b>             |                |
| <b>Total Prior Costs:</b>                           |               |             |           |          |                        | <b>0.00</b>         |                      |                      |                         |                |



Dated: 1/1/2012  
 Delivered: 1/21/2012

Sizing Debt Service Schedule  
 SBA-FDOT  
 TPK 2012A

Rpt 14

| Fiscal Yr | Coupon Date | Zer Cpn | Cpn Rate | Maturing Principal | Periodic Interest | Gross Semi-Annl Dbt Svc | Cap Int | DbtSvcRcv Int & Prin | Constr. Fund Interest | Net Semi-Annl Dbt Svc | Net Fiscal Dbt Svc |
|-----------|-------------|---------|----------|--------------------|-------------------|-------------------------|---------|----------------------|-----------------------|-----------------------|--------------------|
| 1         | 7/1/12      | N       | 6.000    | 505,000.00         | 2,553,600.00      | 3,058,600.00            |         | 162,788.54           |                       | 2,895,811.46          | 2,895,811.46       |
| 1         | 1/1/13      | N       | 6.000    | 515,000.00         | 2,538,450.00      | 3,053,450.00            |         | 183,439.50           |                       | 2,870,010.50          |                    |
| 1         | 7/1/13      | N       | 6.000    | 535,000.00         | 2,523,000.00      | 3,058,000.00            |         | 183,439.50           |                       | 2,874,560.50          | 5,744,571.00       |
| 1         | 1/1/14      | N       | 6.000    | 550,000.00         | 2,506,950.00      | 3,056,950.00            |         | 183,439.50           |                       | 2,873,510.50          |                    |
| 1         | 7/1/14      | N       | 6.000    | 565,000.00         | 2,490,450.00      | 3,055,450.00            |         | 183,439.50           |                       | 2,872,010.50          | 5,745,521.00       |
| 1         | 1/1/15      | N       | 6.000    | 580,000.00         | 2,473,500.00      | 3,053,500.00            |         | 183,439.50           |                       | 2,870,060.50          |                    |
| 1         | 7/1/15      | N       | 6.000    | 600,000.00         | 2,456,100.00      | 3,056,100.00            |         | 183,439.50           |                       | 2,872,660.50          | 5,742,721.00       |
| 1         | 1/1/16      | N       | 6.000    | 620,000.00         | 2,438,100.00      | 3,058,100.00            |         | 183,439.50           |                       | 2,874,660.50          |                    |
| 1         | 7/1/16      | N       | 6.000    | 635,000.00         | 2,419,500.00      | 3,054,500.00            |         | 183,439.50           |                       | 2,871,060.50          | 5,745,721.00       |
| 1         | 1/1/17      | N       | 6.000    | 655,000.00         | 2,400,450.00      | 3,055,450.00            |         | 183,439.50           |                       | 2,872,010.50          |                    |
| 1         | 7/1/17      | N       | 6.000    | 675,000.00         | 2,380,800.00      | 3,055,800.00            |         | 183,439.50           |                       | 2,872,360.50          | 5,744,371.00       |
| 1         | 1/1/18      | N       | 6.000    | 695,000.00         | 2,360,550.00      | 3,055,550.00            |         | 183,439.50           |                       | 2,872,110.50          |                    |
| 1         | 7/1/18      | N       | 6.000    | 715,000.00         | 2,339,700.00      | 3,054,700.00            |         | 183,439.50           |                       | 2,871,260.50          | 5,743,371.00       |
| 1         | 1/1/19      | N       | 6.000    | 735,000.00         | 2,318,250.00      | 3,053,250.00            |         | 183,439.50           |                       | 2,869,810.50          |                    |
| 1         | 7/1/19      | N       | 6.000    | 760,000.00         | 2,296,200.00      | 3,056,200.00            |         | 183,439.50           |                       | 2,872,760.50          | 5,742,571.00       |
| 2         | 1/1/20      | N       | 6.000    | 780,000.00         | 2,273,400.00      | 3,053,400.00            |         | 183,439.50           |                       | 2,869,960.50          |                    |
| 2         | 7/1/20      | N       | 6.000    | 805,000.00         | 2,250,000.00      | 3,055,000.00            |         | 183,439.50           |                       | 2,871,560.50          | 5,741,521.00       |
| 2         | 1/1/21      | N       | 6.000    | 830,000.00         | 2,225,850.00      | 3,055,850.00            |         | 183,439.50           |                       | 2,872,410.50          |                    |
| 2         | 7/1/21      | N       | 6.000    | 855,000.00         | 2,200,950.00      | 3,055,950.00            |         | 183,439.50           |                       | 2,872,510.50          | 5,744,921.00       |
| 2         | 1/1/22      | N       | 6.000    | 880,000.00         | 2,175,300.00      | 3,055,300.00            |         | 183,439.50           |                       | 2,871,860.50          |                    |
| 2         | 7/1/22      | N       | 6.000    | 905,000.00         | 2,148,900.00      | 3,053,900.00            |         | 183,439.50           |                       | 2,870,460.50          | 5,742,321.00       |
| 2         | 1/1/23      | N       | 6.000    | 935,000.00         | 2,121,750.00      | 3,056,750.00            |         | 183,439.50           |                       | 2,873,310.50          |                    |
| 2         | 7/1/23      | N       | 6.000    | 960,000.00         | 2,093,700.00      | 3,053,700.00            |         | 183,439.50           |                       | 2,870,260.50          | 5,743,571.00       |
| 2         | 1/1/24      | N       | 6.000    | 990,000.00         | 2,064,900.00      | 3,054,900.00            |         | 183,439.50           |                       | 2,871,460.50          |                    |
| 2         | 7/1/24      | N       | 6.000    | 1,020,000.00       | 2,035,200.00      | 3,055,200.00            |         | 183,439.50           |                       | 2,871,760.50          | 5,743,221.00       |
| 2         | 1/1/25      | N       | 6.000    | 1,050,000.00       | 2,004,600.00      | 3,054,600.00            |         | 183,439.50           |                       | 2,871,160.50          |                    |
| 2         | 7/1/25      | N       | 6.000    | 1,085,000.00       | 1,973,100.00      | 3,058,100.00            |         | 183,439.50           |                       | 2,874,660.50          | 5,745,821.00       |
| 2         | 1/1/26      | N       | 6.000    | 1,115,000.00       | 1,940,550.00      | 3,055,550.00            |         | 183,439.50           |                       | 2,872,110.50          |                    |
| 2         | 7/1/26      | N       | 6.000    | 1,150,000.00       | 1,907,100.00      | 3,057,100.00            |         | 183,439.50           |                       | 2,873,660.50          | 5,745,771.00       |
| 2         | 1/1/27      | N       | 6.000    | 1,185,000.00       | 1,872,600.00      | 3,057,600.00            |         | 183,439.50           |                       | 2,874,160.50          |                    |
| 2         | 7/1/27      | N       | 6.000    | 1,220,000.00       | 1,837,050.00      | 3,057,050.00            |         | 183,439.50           |                       | 2,873,610.50          | 5,747,771.00       |
| 2         | 1/1/28      | N       | 6.000    | 1,255,000.00       | 1,800,450.00      | 3,055,450.00            |         | 183,439.50           |                       | 2,872,010.50          |                    |
| 2         | 7/1/28      | N       | 6.000    | 1,295,000.00       | 1,762,800.00      | 3,057,800.00            |         | 183,439.50           |                       | 2,874,360.50          | 5,746,371.00       |
| 2         | 1/1/29      | N       | 6.000    | 1,330,000.00       | 1,723,950.00      | 3,053,950.00            |         | 183,439.50           |                       | 2,870,510.50          |                    |
| 2         | 7/1/29      | N       | 6.000    | 1,370,000.00       | 1,684,050.00      | 3,054,050.00            |         | 183,439.50           |                       | 2,870,610.50          | 5,741,121.00       |
| 3         | 1/1/30      | N       | 6.000    | 1,415,000.00       | 1,642,950.00      | 3,057,950.00            |         | 183,439.50           |                       | 2,874,510.50          |                    |
| 3         | 7/1/30      | N       | 6.000    | 1,455,000.00       | 1,600,500.00      | 3,055,500.00            |         | 183,439.50           |                       | 2,872,060.50          | 5,746,571.00       |
| 3         | 1/1/31      | N       | 6.000    | 1,500,000.00       | 1,556,850.00      | 3,056,850.00            |         | 183,439.50           |                       | 2,873,410.50          |                    |
| 3         | 7/1/31      | N       | 6.000    | 1,545,000.00       | 1,511,850.00      | 3,056,850.00            |         | 183,439.50           |                       | 2,873,410.50          | 5,746,821.00       |
| 3         | 1/1/32      | N       | 6.000    | 1,590,000.00       | 1,465,500.00      | 3,055,500.00            |         | 183,439.50           |                       | 2,872,060.50          |                    |
| 3         | 7/1/32      | N       | 6.000    | 1,640,000.00       | 1,417,800.00      | 3,057,800.00            |         | 183,439.50           |                       | 2,874,360.50          | 5,746,421.00       |
| 3         | 1/1/33      | N       | 6.000    | 1,685,000.00       | 1,368,600.00      | 3,053,600.00            |         | 183,439.50           |                       | 2,870,160.50          |                    |
| 3         | 7/1/33      | N       | 6.000    | 1,740,000.00       | 1,318,050.00      | 3,058,050.00            |         | 183,439.50           |                       | 2,874,610.50          | 5,744,771.00       |
| 3         | 1/1/34      | N       | 6.000    | 1,790,000.00       | 1,265,850.00      | 3,055,850.00            |         | 183,439.50           |                       | 2,872,410.50          |                    |
| 3         | 7/1/34      | N       | 6.000    | 1,845,000.00       | 1,212,150.00      | 3,057,150.00            |         | 183,439.50           |                       | 2,873,710.50          | 5,746,121.00       |
| 3         | 1/1/35      | N       | 6.000    | 1,900,000.00       | 1,156,800.00      | 3,056,800.00            |         | 183,439.50           |                       | 2,873,360.50          |                    |
| 3         | 7/1/35      | N       | 6.000    | 1,955,000.00       | 1,099,800.00      | 3,054,800.00            |         | 183,439.50           |                       | 2,871,360.50          | 5,744,721.00       |
| 3         | 1/1/36      | N       | 6.000    | 2,015,000.00       | 1,041,150.00      | 3,056,150.00            |         | 183,439.50           |                       | 2,872,710.50          |                    |
| 3         | 7/1/36      | N       | 6.000    | 2,075,000.00       | 980,700.00        | 3,055,700.00            |         | 183,439.50           |                       | 2,872,260.50          | 5,744,971.00       |
| 3         | 1/1/37      | N       | 6.000    | 2,135,000.00       | 918,450.00        | 3,053,450.00            |         | 183,439.50           |                       | 2,870,010.50          |                    |
| 3         | 7/1/37      | N       | 6.000    | 2,200,000.00       | 854,400.00        | 3,054,400.00            |         | 183,439.50           |                       | 2,870,960.50          | 5,740,971.00       |
| 3         | 1/1/38      | N       | 6.000    | 2,265,000.00       | 788,400.00        | 3,053,400.00            |         | 183,439.50           |                       | 2,869,960.50          |                    |
| 3         | 7/1/38      | N       | 6.000    | 2,335,000.00       | 720,450.00        | 3,055,450.00            |         | 183,439.50           |                       | 2,872,010.50          | 5,741,971.00       |
| 3         | 1/1/39      | N       | 6.000    | 2,405,000.00       | 650,400.00        | 3,055,400.00            |         | 183,439.50           |                       | 2,871,960.50          |                    |
| 3         | 7/1/39      | N       | 6.000    | 2,480,000.00       | 578,250.00        | 3,058,250.00            |         | 183,439.50           |                       | 2,874,810.50          | 5,746,771.00       |
| 4         | 1/1/40      | N       | 6.000    | 2,550,000.00       | 503,850.00        | 3,053,850.00            |         | 183,439.50           |                       | 2,870,410.50          |                    |

Prepared by:

Prepared on: 8/25/2010 16:38 13.75e

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TURNPIKE-2012-A

Dated: 1/1/2012  
 Delivered: 1/21/2012

Sizing Debt Service Schedule  
 SBA-FDOT  
 TPK 2012A

Rpt 14

| <i>Fiscal Yr</i>                      | <i>Coupon Date</i> | <i>Zer Cpn</i> | <i>Cpn Rate</i> | <i>Maturing Principal</i> | <i>Periodic Interest</i> | <i>Gross Semi-Annl Dbt Svc</i> | <i>Cap Int</i>                                  | <i>DbtSvcRcv Int &amp; Prin</i> | <i>Constr. Fund Interest</i> | <i>Net Semi-Annl Dbt Svc</i> | <i>Net Fiscal Dbt Svc</i> |
|---------------------------------------|--------------------|----------------|-----------------|---------------------------|--------------------------|--------------------------------|-------------------------------------------------|---------------------------------|------------------------------|------------------------------|---------------------------|
| 4                                     | 7/1/40             | N              | 6.000           | 2,630,000.00              | 427,350.00               | 3,057,350.00                   |                                                 | 183,439.50                      |                              | 2,873,910.50                 | 5,744,321.00              |
| 4                                     | 1/1/41             | N              | 6.000           | 2,710,000.00              | 348,450.00               | 3,058,450.00                   |                                                 | 183,439.50                      |                              | 2,875,010.50                 |                           |
| 4                                     | 7/1/41             | N              | 6.000           | 8,905,000.00              | 267,150.00               | 9,172,150.00                   |                                                 | 6,298,089.50                    |                              | 2,874,060.50                 | 5,749,071.00              |
|                                       |                    |                |                 | <b>85,120,000.00</b>      | <b>101,287,500.00</b>    | <b>186,407,500.00</b>          | <b>0.00</b>                                     | <b>16,916,929.54</b>            | <b>0.00</b>                  | <b>169,490,570.46</b>        | <b>169,490,570.46</b>     |
| <i>True Interest Cost (TIC) .....</i> |                    |                |                 |                           |                          | <b>6.0740839</b>               | <i>Arbitrage Yield Limit (AYL) .....</i>        |                                 |                              |                              | <b>5.9995964</b>          |
| <i>Net Interest Cost (NIC) .....</i>  |                    |                |                 |                           |                          | <b>6.0403359</b>               | <i>Arbitrage Net Interest Cost (ANIC) .....</i> |                                 |                              |                              | <b>6.0000000</b>          |

Dated: 1/1/2012  
Delivered: 1/21/2012

Summary of Sizing Inputs  
SBA-FDOT  
TPK 2012A

Rpt 17a

**General Information**

Denomination: 5000.  
Rate scale: 6%  
Issue type: REVENUE  
Sizing Rule 2: Level debt service - periodic principal payments. You input the gross construction costs (or a draw schedule) and the size of the bond issue is computed to cover the construction draws, reserves, and the various costs of issuance.

**Dates**

01/01/2012-> Dated (bond issue) date  
01/21/2012-> Delivery date  
07/01/2012-> 1st coupon date  
07/01/2012-> First principal payment  
07/01/2041-> Last maturity date  
No CABS in bond issue

**Gross Construction Costs**

|                                                  |               |
|--------------------------------------------------|---------------|
| Total project costs                              | 79,999,990.44 |
| Total prior costs                                |               |
| Less: interest earned & applied to project draws | -1,866,836.30 |
| Net total project costs:                         | 78,133,154.14 |
| Total number of projects = 1                     |               |

**Restricted Accounts**

|                                          |              |
|------------------------------------------|--------------|
| DSR rule: Maximum yearly debt service    |              |
| No capitalized interest                  |              |
| Restricted yield = 6.000000%             |              |
| Net deposit to Debt Service Reserve Fund | 6,114,650.00 |
| Net deposit to Contingency Fund          | 4,013.80     |

**Costs of Issuance**

|                                                         |             |
|---------------------------------------------------------|-------------|
| Underwriter spread: 8.000/\$1,000                       | -680,960.00 |
| Bond insurance: 0.000% (net of accrued & cap. interest) |             |
| Other TIC costs:                                        | 187,200.00  |

Dated: 1/1/2012  
 Delivered: 1/21/2012

Summary of Sizing Calculations  
 SBA-FDOT  
 TPK 2012A

Rpt 17b

| <u>Sources of Funds</u>                                     |                  |
|-------------------------------------------------------------|------------------|
| Par amount of bonds                                         | 85,120,000.00    |
| Original Issue Premium                                      |                  |
| Accrued Interest                                            | 283,733.33       |
| <u>Construction Costs</u>                                   |                  |
| Costs to complete construction                              | 79,999,990.44    |
| Less: interest earned in fund & applied to project draws    | -1,866,836.30    |
| Prior costs to be paid from bond proceeds                   |                  |
| Gross Construction Costs                                    | 78,133,154.14    |
| <u>Restricted Funds</u>                                     |                  |
| Gross capitalized interest                                  |                  |
| Less: Interest earned on Capitalized Interest Fund @ 6.000% |                  |
| Net deposit to Capitalized Interest Fund                    |                  |
| Net deposit to Debt Service Reserve Fund                    | 6,114,650.00     |
| Net deposit to Contingency Fund                             | 4,013.80         |
| <u>Costs of Issuance</u>                                    |                  |
| Underwriter spread: 8.000/\$1,000                           | -680,960.00      |
| Bond insurance: 0.000%                                      |                  |
| Other issuance costs                                        | 187,200.00       |
| Rounding due to denomination size                           | 22.06            |
| <u>Calculations</u>                                         |                  |
| Net Interest Cost (NIC)                                     | 6.0403359        |
| True Interest Cost (TIC)                                    | 6.0740839        |
| All-Inclusive TIC:                                          | 6.0947286        |
| Arbitrage Net Interest Cost (NIC)                           | 6.0000000        |
| Arbitrage Yield Limit (AYL)                                 | 5.9995964        |
| Total Bond Years (delivery date)                            | 1,683,396,111.11 |
| Average Bond Years (Delivery date)                          | 19.78            |
| Level debt service calculation                              | 3,055,494.91     |

**SCHEDULE VI: DETAIL OF DEBT SERVICE**

**Department:** 55 Transportation  
**Budget Entity:** 55150200 - Highway Operations

**Budget Period** 2011 - 2012

| (1)                            | (2)                            | (3)                               | (4)                             |
|--------------------------------|--------------------------------|-----------------------------------|---------------------------------|
| <b>SECTION 1</b>               | <b>ACTUAL<br/>FY 2009-2010</b> | <b>ESTIMATED<br/>FY 2010-2011</b> | <b>REQUEST<br/>FY 2011-2012</b> |
| Interest on Debt (A)           | 5,296,406                      | 6,289,740                         | 12,175,873                      |
| Principal (B)                  | 7,075,000                      | 8,900,000                         | 14,585,000                      |
| Repayment of Loans (C)         | 0                              | 0                                 | 0                               |
| Fiscal Agent or Other Fees (D) | 10,712                         | 14,985                            | 22,383                          |
| Other Debt Service (E)         | 0                              | 0                                 | 0                               |
| <b>Total Debt Service (F)</b>  | <b>12,382,118</b>              | <b>15,204,724</b>                 | <b>26,783,256</b>               |

Explanation:

**Combined total debt service for outstanding State Infrastructure Bank bonds  
and proposed bonds to be issued**

**\*Note: Does not include interest credited from Debt Service Reserve Account.**

**SECTION II**

(1) ISSUE:

| (2)                            | (3)                               | (4)                             | (5)                  | (6)                  |
|--------------------------------|-----------------------------------|---------------------------------|----------------------|----------------------|
| <b>INTEREST RATE</b>           | <b>MATURITY DATE</b>              | <b>ISSUE AMOUNT</b>             | <b>June 30, 2011</b> | <b>June 30, 2012</b> |
|                                |                                   |                                 |                      |                      |
| (7)                            | (8)                               | (9)                             |                      |                      |
| <b>ACTUAL<br/>FY 2009-2010</b> | <b>ESTIMATED<br/>FY 2010-2011</b> | <b>REQUEST<br/>FY 2011-2012</b> |                      |                      |
| Interest on Debt (G)           |                                   |                                 |                      |                      |
| Principal (H)                  |                                   |                                 |                      |                      |
| Fiscal Agent or Other Fees (I) |                                   |                                 |                      |                      |
| Other (J)                      | 0                                 | 0                               | 0                    | 0                    |
| <b>Total Debt Service (K)</b>  | <b>0</b>                          | <b>0</b>                        | <b>0</b>             | <b>0</b>             |

(1) ISSUE:

| (2)                            | (3)                               | (4)                             | (5)                  | (6)                  |
|--------------------------------|-----------------------------------|---------------------------------|----------------------|----------------------|
| <b>INTEREST RATE</b>           | <b>MATURITY DATE</b>              | <b>ISSUE AMOUNT</b>             | <b>June 30, 2011</b> | <b>June 30, 2012</b> |
|                                |                                   |                                 |                      |                      |
| (7)                            | (8)                               | (9)                             |                      |                      |
| <b>ACTUAL<br/>FY 2009-2010</b> | <b>ESTIMATED<br/>FY 2010-2011</b> | <b>REQUEST<br/>FY 2011-2012</b> |                      |                      |
| Interest on Debt (G)           |                                   |                                 |                      |                      |
| Principal (H)                  |                                   |                                 |                      |                      |
| Fiscal Agent or Other Fees (I) |                                   |                                 |                      |                      |
| Other (J)                      | 0                                 | 0                               | 0                    | 0                    |
| <b>Total Debt Service (K)</b>  | <b>0</b>                          | <b>0</b>                        | <b>0</b>             | <b>0</b>             |

**SCHEDULE VI: DETAIL OF DEBT SERVICE**

**Department:** 55 Transportation  
**Budget Entity:** 55150200 - Highway Operations

**Budget Period** 2011 - 2012

| (1)                            | (2)                            | (3)                               | (4)                             |
|--------------------------------|--------------------------------|-----------------------------------|---------------------------------|
| <b>SECTION 1</b>               | <b>ACTUAL<br/>FY 2009-2010</b> | <b>ESTIMATED<br/>FY 2010-2011</b> | <b>REQUEST<br/>FY 2011-2012</b> |
| Interest on Debt (A)           | 5,296,406                      | 4,961,606                         | 4,548,356                       |
| Principal (B)                  | 7,075,000                      | 8,265,000                         | 11,200,000                      |
| Repayment of Loans (C)         | 0                              | 0                                 | 0                               |
| Fiscal Agent or Other Fees (D) | 10,712                         | 10,004                            | 9,178                           |
| Other Debt Service (E)         | 0                              | 0                                 | 0                               |
| <b>Total Debt Service (F)</b>  | <b>12,382,118</b>              | <b>13,236,610</b>                 | <b>15,757,534</b>               |

Explanation: Total debt service for outstanding State Infrastructure Bank bonds.

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**SECTION II**

(1) ISSUE: \_\_\_\_\_

| (2)                            | (3)                  | (4)                            | (5)                               | (6)                             |
|--------------------------------|----------------------|--------------------------------|-----------------------------------|---------------------------------|
| <b>INTEREST RATE</b>           | <b>MATURITY DATE</b> | <b>ISSUE AMOUNT</b>            | <b>June 30, 2011</b>              | <b>June 30, 2012</b>            |
|                                |                      |                                |                                   |                                 |
|                                |                      | (7)                            | (8)                               | (9)                             |
|                                |                      | <b>ACTUAL<br/>FY 2009-2010</b> | <b>ESTIMATED<br/>FY 2010-2011</b> | <b>REQUEST<br/>FY 2011-2012</b> |
| Interest on Debt (G)           |                      |                                |                                   |                                 |
| Principal (H)                  |                      |                                |                                   |                                 |
| Fiscal Agent or Other Fees (I) |                      |                                |                                   |                                 |
| Other (J)                      |                      | 0                              | 0                                 | 0                               |
| <b>Total Debt Service (K)</b>  |                      | <b>0</b>                       | <b>0</b>                          | <b>0</b>                        |

(1) ISSUE: \_\_\_\_\_

| (2)                            | (3)                  | (4)                            | (5)                               | (6)                             |
|--------------------------------|----------------------|--------------------------------|-----------------------------------|---------------------------------|
| <b>INTEREST RATE</b>           | <b>MATURITY DATE</b> | <b>ISSUE AMOUNT</b>            | <b>June 30, 2011</b>              | <b>June 30, 2012</b>            |
|                                |                      |                                |                                   |                                 |
|                                |                      | (7)                            | (8)                               | (9)                             |
|                                |                      | <b>ACTUAL<br/>FY 2009-2010</b> | <b>ESTIMATED<br/>FY 2010-2011</b> | <b>REQUEST<br/>FY 2011-2012</b> |
| Interest on Debt (G)           |                      |                                |                                   |                                 |
| Principal (H)                  |                      |                                |                                   |                                 |
| Fiscal Agent or Other Fees (I) |                      |                                |                                   |                                 |
| Other (J)                      |                      | 0                              | 0                                 | 0                               |
| <b>Total Debt Service (K)</b>  |                      | <b>0</b>                       | <b>0</b>                          | <b>0</b>                        |

**SCHEDULE VI: DETAIL OF DEBT SERVICE**

**Department:** 55 Transportation  
**Budget Entity:** 55150200 - Highway Operations

**Budget Period** 2011 - 2012

| (1)                            | (2)                            | (3)                               | (4)                             |
|--------------------------------|--------------------------------|-----------------------------------|---------------------------------|
| <b>SECTION 1</b>               | <b>ACTUAL<br/>FY 2009-2010</b> | <b>ESTIMATED<br/>FY 2010-2011</b> | <b>REQUEST<br/>FY 2011-2012</b> |
| Interest on Debt (A)           | 0                              | 1,328,133                         | 7,627,517                       |
| Principal (B)                  | 0                              | 635,000                           | 3,385,000                       |
| Repayment of Loans (C)         | 0                              | 0                                 | 0                               |
| Fiscal Agent or Other Fees (D) | 0                              | 4,981                             | 13,206                          |
| Other Debt Service (E)         | 0                              | 0                                 | 0                               |
| <b>Total Debt Service (F)</b>  | <b>0</b>                       | <b>1,968,114</b>                  | <b>11,025,722</b>               |

Explanation:

Total debt service for proposed State Infrastructure Bank bond sales as authorized by Section 339.55, Florida Statutes.

\*Note: Does not include interest credited from Debt Service Reserve Account.

**SECTION II**

**(1) ISSUE:** Proposed State Infrastructure Bank bond Sale 1/01/11 (S11A)

| (2)                            | (3)                               | (4)                             | (5)                               | (6)                             |
|--------------------------------|-----------------------------------|---------------------------------|-----------------------------------|---------------------------------|
| <b>INTEREST RATE</b>           | <b>MATURITY DATE</b>              | <b>ISSUE AMOUNT</b>             | <b>June 30, 2011</b>              | <b>June 30, 2012</b>            |
| 6.000%                         | 7/1/2030                          | 49,805,000                      | 49,170,000                        | 47,850,000                      |
| (7)                            | (8)                               | (9)                             | (8)                               | (9)                             |
| <b>ACTUAL<br/>FY 2009-2010</b> | <b>ESTIMATED<br/>FY 2010-2011</b> | <b>REQUEST<br/>FY 2011-2012</b> | <b>ESTIMATED<br/>FY 2010-2011</b> | <b>REQUEST<br/>FY 2011-2012</b> |
| Interest on Debt (G)           | 0                                 | 1,328,133                       | 2,930,700                         | 2,930,700                       |
| Principal (H)                  | 0                                 | 635,000                         | 1,320,000                         | 1,320,000                       |
| Fiscal Agent or Other Fees (I) | 0                                 | 4,981                           | 4,917                             | 4,917                           |
| Other (J)                      | 0                                 | 0                               | 0                                 | 0                               |
| <b>Total Debt Service (K)</b>  | <b>0</b>                          | <b>1,968,114</b>                | <b>4,255,617</b>                  | <b>4,255,617</b>                |

**(1) ISSUE:** Proposed State Infrastructure Bank bond Sale 7/01/11 (S11B)

| (2)                            | (3)                               | (4)                             | (5)                               | (6)                             |
|--------------------------------|-----------------------------------|---------------------------------|-----------------------------------|---------------------------------|
| <b>INTEREST RATE</b>           | <b>MATURITY DATE</b>              | <b>ISSUE AMOUNT</b>             | <b>June 30, 2011</b>              | <b>June 30, 2012</b>            |
| 6.000%                         | 7/1/2031                          | 82,885,000                      |                                   | 80,820,000                      |
| (7)                            | (8)                               | (9)                             | (8)                               | (9)                             |
| <b>ACTUAL<br/>FY 2009-2010</b> | <b>ESTIMATED<br/>FY 2010-2011</b> | <b>REQUEST<br/>FY 2011-2012</b> | <b>ESTIMATED<br/>FY 2010-2011</b> | <b>REQUEST<br/>FY 2011-2012</b> |
| Interest on Debt (G)           | 0                                 | 4,696,817                       | 0                                 | 4,696,817                       |
| Principal (H)                  | 0                                 | 2,065,000                       | 0                                 | 2,065,000                       |
| Fiscal Agent or Other Fees (I) | 0                                 | 8,289                           | 0                                 | 8,289                           |
| Other (J)                      | 0                                 | 0                               | 0                                 | 0                               |
| <b>Total Debt Service (K)</b>  | <b>0</b>                          | <b>6,770,105</b>                | <b>0</b>                          | <b>6,770,105</b>                |

## TRUTH IN BONDING WORKSHEET

1. A listing of the purpose of the debt or obligation: Implementation of the state-funded State Infrastructure Bank as authorized by Section 339.55, Florida Statutes.
2. The source of repayment of the debt or obligation: Repayment Stream of the loan portfolio of the state-funded State Infrastructure Bank.
3. The principle amount of the debt or obligation: \$49,805,000
4. The interest rate on the debt or obligation (per EEC): 6.000%
5. A schedule of annual debt service payments (attached)
6. The method of sale of the debt or obligation as determined by the Governing board of the Division of Bond Finance.
7. The costs of issuance of the debt or obligation, including a detailed listing of the amounts of the major costs of issuance.

|                                 |                    |
|---------------------------------|--------------------|
| <u>Underwriters Discount</u>    | <u>\$398,440</u>   |
| <u>Rating Agency Fees</u>       | <u>\$75,000</u>    |
| <u>Other Costs of Issuance</u>  | <u>\$75,800</u>    |
| <u>Deposit into DSR Account</u> | <u>\$4,253,650</u> |

## TRUTH-IN-BONDING STATEMENT

The State of Florida is proposing to issue \$49,805,000 of debt or obligation for the purpose of implementing the state-funded State Infrastructure Bank as authorized by Section 339.55, Florida Statutes.

This debt or obligation is expected to be repaid over a period of 20 years. At a forecasted interest rate of 6.000%, total interest paid over the life of the debt or obligation will be \$37,304,700.

The proposed issuance date is 1/01/11.



Dated: 1/1/2011  
 Delivered: 1/21/2011

Construction Draws for

Rpt 72

SBA-FDOT  
 SIB 2011A

| Draw # | Draw/Cpn Date | Fiscal Date | Tran Type | Pds/Days | Beginning Fund Balance | Interest Earnings | Draw Requirement | Net Draw Amount | Applied To Debt Service | Ending Balance |
|--------|---------------|-------------|-----------|----------|------------------------|-------------------|------------------|-----------------|-------------------------|----------------|
|--------|---------------|-------------|-----------|----------|------------------------|-------------------|------------------|-----------------|-------------------------|----------------|

SIB 2011 SIB 2011B yielding 6.0000000% : Net-Funded

|   |          |   |      |      |       |               |              |               |               |                |
|---|----------|---|------|------|-------|---------------|--------------|---------------|---------------|----------------|
| 0 | 01/21/11 | 1 | 2011 | DEP  | 0 / 0 |               |              |               |               | 44,999,994.64  |
| 1 | 07/21/11 | 7 | 2011 | DRAW | 1 / 0 | 44,999,994.64 | 1,349,999.84 | 75,000,000.00 | 73,650,000.16 | -28,650,005.53 |

**Totals For SIB 2011** 1,349,999.84 75,000,000.00 73,650,000.16 0.00

**Prior Project Costs:** 0.00

**Grand Totals For All Projects:** 1,349,999.84 75,000,000.00 73,650,000.16 0.00

**Total Prior Costs:** 0.00

Dated: 1/1/2011  
 Delivered: 1/21/2011

Sizing Debt Service Schedule  
 SBA-FDOT  
 SIB 2011A

Rpt 14

| <i>Fiscal Yr</i> | <i>Coupon Date</i> | <i>Zer Cpn</i> | <i>Cpn Rate</i> | <i>Maturing Principal</i> | <i>Periodic Interest</i> | <i>Gross Semi-Annl Dbt Svc</i> | <i>Cap Int</i> | <i>DbtSvcRcv Int &amp; Prin</i> | <i>Constr. Fund Interest</i> | <i>Net Semi-Annl Dbt Svc</i> | <i>Net Fiscal Dbt Svc</i> |
|------------------|--------------------|----------------|-----------------|---------------------------|--------------------------|--------------------------------|----------------|---------------------------------|------------------------------|------------------------------|---------------------------|
| 1                | 7/1/11             | N              | 6.000           | 635,000.00                | 1,494,150.00             | 2,129,150.00                   |                | 113,243.68                      |                              | 2,015,906.32                 | 2,015,906.32              |
| 1                | 1/1/12             | N              | 6.000           | 650,000.00                | 1,475,100.00             | 2,125,100.00                   |                | 127,609.50                      |                              | 1,997,490.50                 |                           |
| 1                | 7/1/12             | N              | 6.000           | 670,000.00                | 1,455,600.00             | 2,125,600.00                   |                | 127,609.50                      |                              | 1,997,990.50                 | 3,995,481.00              |
| 1                | 1/1/13             | N              | 6.000           | 690,000.00                | 1,435,500.00             | 2,125,500.00                   |                | 127,609.50                      |                              | 1,997,890.50                 |                           |
| 1                | 7/1/13             | N              | 6.000           | 710,000.00                | 1,414,800.00             | 2,124,800.00                   |                | 127,609.50                      |                              | 1,997,190.50                 | 3,995,081.00              |
| 1                | 1/1/14             | N              | 6.000           | 730,000.00                | 1,393,500.00             | 2,123,500.00                   |                | 127,609.50                      |                              | 1,995,890.50                 |                           |
| 1                | 7/1/14             | N              | 6.000           | 755,000.00                | 1,371,600.00             | 2,126,600.00                   |                | 127,609.50                      |                              | 1,998,990.50                 | 3,994,881.00              |
| 1                | 1/1/15             | N              | 6.000           | 775,000.00                | 1,348,950.00             | 2,123,950.00                   |                | 127,609.50                      |                              | 1,996,340.50                 |                           |
| 1                | 7/1/15             | N              | 6.000           | 800,000.00                | 1,325,700.00             | 2,125,700.00                   |                | 127,609.50                      |                              | 1,998,090.50                 | 3,994,431.00              |
| 1                | 1/1/16             | N              | 6.000           | 825,000.00                | 1,301,700.00             | 2,126,700.00                   |                | 127,609.50                      |                              | 1,999,090.50                 |                           |
| 1                | 7/1/16             | N              | 6.000           | 845,000.00                | 1,276,950.00             | 2,121,950.00                   |                | 127,609.50                      |                              | 1,994,340.50                 | 3,993,431.00              |
| 1                | 1/1/17             | N              | 6.000           | 875,000.00                | 1,251,600.00             | 2,126,600.00                   |                | 127,609.50                      |                              | 1,998,990.50                 |                           |
| 1                | 7/1/17             | N              | 6.000           | 900,000.00                | 1,225,350.00             | 2,125,350.00                   |                | 127,609.50                      |                              | 1,997,740.50                 | 3,996,731.00              |
| 1                | 1/1/18             | N              | 6.000           | 925,000.00                | 1,198,350.00             | 2,123,350.00                   |                | 127,609.50                      |                              | 1,995,740.50                 |                           |
| 1                | 7/1/18             | N              | 6.000           | 955,000.00                | 1,170,600.00             | 2,125,600.00                   |                | 127,609.50                      |                              | 1,997,990.50                 | 3,993,731.00              |
| 1                | 1/1/19             | N              | 6.000           | 980,000.00                | 1,141,950.00             | 2,121,950.00                   |                | 127,609.50                      |                              | 1,994,340.50                 |                           |
| 1                | 7/1/19             | N              | 6.000           | 1,010,000.00              | 1,112,550.00             | 2,122,550.00                   |                | 127,609.50                      |                              | 1,994,940.50                 | 3,989,281.00              |
| 2                | 1/1/20             | N              | 6.000           | 1,040,000.00              | 1,082,250.00             | 2,122,250.00                   |                | 127,609.50                      |                              | 1,994,640.50                 |                           |
| 2                | 7/1/20             | N              | 6.000           | 1,075,000.00              | 1,051,050.00             | 2,126,050.00                   |                | 127,609.50                      |                              | 1,998,440.50                 | 3,993,081.00              |
| 2                | 1/1/21             | N              | 6.000           | 1,105,000.00              | 1,018,800.00             | 2,123,800.00                   |                | 127,609.50                      |                              | 1,996,190.50                 |                           |
| 2                | 7/1/21             | N              | 6.000           | 1,140,000.00              | 985,650.00               | 2,125,650.00                   |                | 127,609.50                      |                              | 1,998,040.50                 | 3,994,231.00              |
| 2                | 1/1/22             | N              | 6.000           | 1,175,000.00              | 951,450.00               | 2,126,450.00                   |                | 127,609.50                      |                              | 1,998,840.50                 |                           |
| 2                | 7/1/22             | N              | 6.000           | 1,210,000.00              | 916,200.00               | 2,126,200.00                   |                | 127,609.50                      |                              | 1,998,590.50                 | 3,997,431.00              |
| 2                | 1/1/23             | N              | 6.000           | 1,245,000.00              | 879,900.00               | 2,124,900.00                   |                | 127,609.50                      |                              | 1,997,290.50                 |                           |
| 2                | 7/1/23             | N              | 6.000           | 1,280,000.00              | 842,550.00               | 2,122,550.00                   |                | 127,609.50                      |                              | 1,994,940.50                 | 3,992,231.00              |
| 2                | 1/1/24             | N              | 6.000           | 1,320,000.00              | 804,150.00               | 2,124,150.00                   |                | 127,609.50                      |                              | 1,996,540.50                 |                           |
| 2                | 7/1/24             | N              | 6.000           | 1,360,000.00              | 764,550.00               | 2,124,550.00                   |                | 127,609.50                      |                              | 1,996,940.50                 | 3,993,481.00              |
| 2                | 1/1/25             | N              | 6.000           | 1,400,000.00              | 723,750.00               | 2,123,750.00                   |                | 127,609.50                      |                              | 1,996,140.50                 |                           |
| 2                | 7/1/25             | N              | 6.000           | 1,445,000.00              | 681,750.00               | 2,126,750.00                   |                | 127,609.50                      |                              | 1,999,140.50                 | 3,995,281.00              |
| 2                | 1/1/26             | N              | 6.000           | 1,485,000.00              | 638,400.00               | 2,123,400.00                   |                | 127,609.50                      |                              | 1,995,790.50                 |                           |
| 2                | 7/1/26             | N              | 6.000           | 1,530,000.00              | 593,850.00               | 2,123,850.00                   |                | 127,609.50                      |                              | 1,996,240.50                 | 3,992,031.00              |
| 2                | 1/1/27             | N              | 6.000           | 1,575,000.00              | 547,950.00               | 2,122,950.00                   |                | 127,609.50                      |                              | 1,995,340.50                 |                           |
| 2                | 7/1/27             | N              | 6.000           | 1,625,000.00              | 500,700.00               | 2,125,700.00                   |                | 127,609.50                      |                              | 1,998,090.50                 | 3,993,431.00              |
| 2                | 1/1/28             | N              | 6.000           | 1,675,000.00              | 451,950.00               | 2,126,950.00                   |                | 127,609.50                      |                              | 1,999,340.50                 |                           |
| 2                | 7/1/28             | N              | 6.000           | 1,725,000.00              | 401,700.00               | 2,126,700.00                   |                | 127,609.50                      |                              | 1,999,090.50                 | 3,998,431.00              |
| 2                | 1/1/29             | N              | 6.000           | 1,775,000.00              | 349,950.00               | 2,124,950.00                   |                | 127,609.50                      |                              | 1,997,340.50                 |                           |
| 2                | 7/1/29             | N              | 6.000           | 1,825,000.00              | 296,700.00               | 2,121,700.00                   |                | 127,609.50                      |                              | 1,994,090.50                 | 3,991,431.00              |
| 3                | 1/1/30             | N              | 6.000           | 1,880,000.00              | 241,950.00               | 2,121,950.00                   |                | 127,609.50                      |                              | 1,994,340.50                 |                           |
| 3                | 7/1/30             | N              | 6.000           | 6,185,000.00              | 185,550.00               | 6,370,550.00                   |                | 4,381,259.50                    |                              | 1,989,290.50                 | 3,983,631.00              |
|                  |                    |                |                 | <b>49,805,000.00</b>      | <b>37,304,700.00</b>     | <b>87,109,700.00</b>           | <b>0.00</b>    | <b>9,216,054.68</b>             | <b>0.00</b>                  | <b>77,893,645.32</b>         | <b>77,893,645.32</b>      |

|                                        |                  |                                                 |                  |
|----------------------------------------|------------------|-------------------------------------------------|------------------|
| <i>Trunc Interest Cost (TIC)</i> ..... | <b>6.0976282</b> | <i>Arbitrage Yield Limit (AYL)</i> .....        | <b>5.9994679</b> |
| <i>Net Interest Cost (NIC)</i> .....   | <b>6.0640777</b> | <i>Arbitrage Net Interest Cost (ANIC)</i> ..... | <b>6.0000000</b> |

Dated: 1/1/2011  
Delivered: 1/21/2011

Summary of Sizing Inputs  
SBA-FDOT  
SIB 2011A

Rpt 17a

**General Information**

Profile:

Denomination: 5000.

Rate scale: 6%

Issue type: REVENUE

Sizing Rule 2: Level debt service - periodic principal payments. You input the gross construction costs (or a draw schedule) and the size of the bond issue is computed to cover the construction draws, reserves, and the various costs of issuance.

**Dates**

01/01/2011-> Dated (bond issue) date

01/21/2011-> Delivery date

07/01/2011-> 1st coupon date

07/01/2011-> First principal payment

07/01/2030-> Last maturity date

No CABS in bond issue

**Gross Construction Costs**

|                                                  |               |
|--------------------------------------------------|---------------|
| Total project costs                              | 45,000,000.00 |
| Total prior costs                                |               |
| Less: interest earned & applied to project draws | -5.36         |
| Net total project costs:                         | 44,999,994.64 |
| Total number of projects = 1                     |               |

**Restricted Accounts**

DSR rule: Maximum yearly debt service

No capitalized interest

Restricted yield = 6.000000%

|                                          |              |
|------------------------------------------|--------------|
| Net deposit to Debt Service Reserve Fund | 4,253,650.00 |
|------------------------------------------|--------------|

|                                 |          |
|---------------------------------|----------|
| Net deposit to Contingency Fund | 2,115.36 |
|---------------------------------|----------|

**Costs of Issuance**

|                                   |             |
|-----------------------------------|-------------|
| Underwriter spread: 8.000/\$1,000 | -398,440.00 |
|-----------------------------------|-------------|

|                                                         |  |
|---------------------------------------------------------|--|
| Bond insurance: 0.000% (net of accrued & cap. interest) |  |
|---------------------------------------------------------|--|

|                  |            |
|------------------|------------|
| Other TIC costs: | 150,800.00 |
|------------------|------------|

Dated: 1/1/2011  
 Delivered: 1/21/2011

Summary of Sizing Calculations  
 SBA-FDOT  
 SIB 2011A

Rpt 17b

| <u>Sources of Funds</u>                                     |                |
|-------------------------------------------------------------|----------------|
| Par amount of bonds                                         | 49,805,000.00  |
| Original Issue Premium                                      |                |
| Accrued Interest                                            | 166,016.67     |
| <u>Construction Costs</u>                                   |                |
| Costs to complete construction                              | 45,000,000.00  |
| Less: interest earned in fund & applied to project draws    | -5.36          |
| Prior costs to be paid from bond proceeds                   |                |
| Gross Construction Costs                                    | 44,999,994.64  |
| <u>Restricted Funds</u>                                     |                |
| Gross capitalized interest                                  |                |
| Less: Interest earned on Capitalized Interest Fund @ 6.000% |                |
| Net deposit to Capitalized Interest Fund                    |                |
| Net deposit to Debt Service Reserve Fund                    | 4,253,650.00   |
| Net deposit to Contingency Fund                             | 2,115.36       |
| <u>Costs of Issuance</u>                                    |                |
| Underwriter spread: 8.000/\$1,000                           | -398,440.00    |
| Bond insurance: 0.000%                                      |                |
| Other issuance costs                                        | 150,800.00     |
| <u>Calculations</u>                                         |                |
| Net Interest Cost (NIC)                                     | 6.0640777      |
| True Interest Cost (TIC)                                    | 6.0976282      |
| All-Inclusive TIC:                                          | 6.1350960      |
| Arbitrage Net Interest Cost (NIC)                           | 6.0000000      |
| Arbitrage Yield Limit (AYL)                                 | 5.9994679      |
| Total Bond Years (delivery date)                            | 618,978,055.56 |
| Average Bond Years (Delivery date)                          | 12.43          |
| Level debt service calculation not applicable               |                |

## TRUTH IN BONDING WORKSHEET

1. A listing of the purpose of the debt or obligation: Implementation of the state-funded State Infrastructure Bank as authorized by Section 339.55, Florida Statutes.
2. The source of repayment of the debt or obligation: Repayment Stream of the loan portfolio of the state-funded State Infrastructure Bank.
3. The principle amount of the debt or obligation: \$82,885,000
4. The interest rate on the debt or obligation (per EEC): 6.000%
5. A schedule of annual debt service payments (attached)
6. The method of sale of the debt or obligation as determined by the Governing board of the Division of Bond Finance.
7. The costs of issuance of the debt or obligation, including a detailed listing of the amounts of the major costs of issuance.

|                                 |                    |
|---------------------------------|--------------------|
| <u>Underwriters Discount</u>    | <u>\$663,080</u>   |
| <u>Rating Agency Fees</u>       | <u>\$75,000</u>    |
| <u>Other Costs of Issuance</u>  | <u>\$107,000</u>   |
| <u>Deposit into DSR Account</u> | <u>\$7,038,100</u> |

## TRUTH-IN-BONDING STATEMENT

The State of Florida is proposing to issue \$82,885,000 of debt or obligation for the purpose of implementing the state-funded State Infrastructure Bank as authorized by Section 339.55, Florida Statutes.

This debt or obligation is expected to be repaid over a period of 20 years. At a forecasted interest rate of 6.000%, total interest paid over the life of the debt or obligation will be \$64,849,800.

The proposed issuance date is 7/01/11.

Dated: 7/1/2011  
 Delivered: 7/21/2011

Construction Draws for

Rpt 72

SBA-FDOT  
 SIB 2011B

| Drw #                                                      | Draw/Cpn Date | Fiscal Date | Tran Type | Pds/Days | Beginning Fund Balance | Interest Earnings | Draw Requirement     | Net Draw Amount      | Applied To Debt Service | Ending Balance |
|------------------------------------------------------------|---------------|-------------|-----------|----------|------------------------|-------------------|----------------------|----------------------|-------------------------|----------------|
| <i>SIB 2011 SIB 2011B yielding 6.0000000% : Net-Funded</i> |               |             |           |          |                        |                   |                      |                      |                         |                |
| 0                                                          | 07/21/11      | 7           | 2011      | DEP      | 0 / 0                  |                   |                      |                      |                         | 74,999,991.06  |
| 1                                                          | 07/21/11      | 7           | 2011      | DRAW     | 0 / 0                  | 74,999,991.06     | 75,000,000.00        | 75,000,000.00        |                         | -8.94          |
| <b>Totals For SIB 2011</b>                                 |               |             |           |          |                        | <b>0.00</b>       | <b>75,000,000.00</b> | <b>75,000,000.00</b> | <b>0.00</b>             |                |
| <b>Prior Project Costs:</b>                                |               |             |           |          |                        | <b>0.00</b>       |                      |                      |                         |                |
| <b>Grand Totals For All Projects:</b>                      |               |             |           |          |                        | <b>0.00</b>       | <b>75,000,000.00</b> | <b>75,000,000.00</b> | <b>0.00</b>             |                |
| <b>Total Prior Costs:</b>                                  |               |             |           |          |                        | <b>0.00</b>       |                      |                      |                         |                |

Dated: 7/1/2011  
 Delivered: 7/21/2011

Sizing Debt Service Schedule  
 SBA-FDOT  
 SIB 2011B

Rpt 14

| Fiscal Yr | Coupon Date | Zer Cpn | Cpn Rate | Maturing Principal   | Periodic Interest    | Gross Semi-Annl Dbt Svc | Cap Int     | DbtSvcRcv Int & Prin | Constr. Fund Interest | Net Semi-Annl Dbt Svc | Net Fiscal Dbt Svc    |
|-----------|-------------|---------|----------|----------------------|----------------------|-------------------------|-------------|----------------------|-----------------------|-----------------------|-----------------------|
| 1         | 1/1/12      |         |          |                      | 2,486,550.00         | 2,486,550.00            |             | 187,373.28           |                       | 2,299,176.72          |                       |
| 1         | 7/1/12      | N       | 6.000    | 2,065,000.00         | 2,486,550.00         | 4,551,550.00            |             | 211,143.00           |                       | 4,340,407.00          | 6,639,583.72          |
| 1         | 1/1/13      |         |          |                      | 2,424,600.00         | 2,424,600.00            |             | 211,143.00           |                       | 2,213,457.00          |                       |
| 1         | 7/1/13      | N       | 6.000    | 2,185,000.00         | 2,424,600.00         | 4,609,600.00            |             | 211,143.00           |                       | 4,398,457.00          | 6,611,914.00          |
| 1         | 1/1/14      |         |          |                      | 2,359,050.00         | 2,359,050.00            |             | 211,143.00           |                       | 2,147,907.00          |                       |
| 1         | 7/1/14      | N       | 6.000    | 2,315,000.00         | 2,359,050.00         | 4,674,050.00            |             | 211,143.00           |                       | 4,462,907.00          | 6,610,814.00          |
| 1         | 1/1/15      |         |          |                      | 2,289,600.00         | 2,289,600.00            |             | 211,143.00           |                       | 2,078,457.00          |                       |
| 1         | 7/1/15      | N       | 6.000    | 2,455,000.00         | 2,289,600.00         | 4,744,600.00            |             | 211,143.00           |                       | 4,533,457.00          | 6,611,914.00          |
| 1         | 1/1/16      |         |          |                      | 2,215,950.00         | 2,215,950.00            |             | 211,143.00           |                       | 2,004,807.00          |                       |
| 1         | 7/1/16      | N       | 6.000    | 2,605,000.00         | 2,215,950.00         | 4,820,950.00            |             | 211,143.00           |                       | 4,609,807.00          | 6,614,614.00          |
| 1         | 1/1/17      |         |          |                      | 2,137,800.00         | 2,137,800.00            |             | 211,143.00           |                       | 1,926,657.00          |                       |
| 1         | 7/1/17      | N       | 6.000    | 2,760,000.00         | 2,137,800.00         | 4,897,800.00            |             | 211,143.00           |                       | 4,686,657.00          | 6,613,314.00          |
| 1         | 1/1/18      |         |          |                      | 2,055,000.00         | 2,055,000.00            |             | 211,143.00           |                       | 1,843,857.00          |                       |
| 1         | 7/1/18      | N       | 6.000    | 2,925,000.00         | 2,055,000.00         | 4,980,000.00            |             | 211,143.00           |                       | 4,768,857.00          | 6,612,714.00          |
| 1         | 1/1/19      |         |          |                      | 1,967,250.00         | 1,967,250.00            |             | 211,143.00           |                       | 1,756,107.00          |                       |
| 1         | 7/1/19      | N       | 6.000    | 3,100,000.00         | 1,967,250.00         | 5,067,250.00            |             | 211,143.00           |                       | 4,856,107.00          | 6,612,214.00          |
| 2         | 1/1/20      |         |          |                      | 1,874,250.00         | 1,874,250.00            |             | 211,143.00           |                       | 1,663,107.00          |                       |
| 2         | 7/1/20      | N       | 6.000    | 3,285,000.00         | 1,874,250.00         | 5,159,250.00            |             | 211,143.00           |                       | 4,948,107.00          | 6,611,214.00          |
| 2         | 1/1/21      |         |          |                      | 1,775,700.00         | 1,775,700.00            |             | 211,143.00           |                       | 1,564,557.00          |                       |
| 2         | 7/1/21      | N       | 6.000    | 3,485,000.00         | 1,775,700.00         | 5,260,700.00            |             | 211,143.00           |                       | 5,049,557.00          | 6,614,114.00          |
| 2         | 1/1/22      |         |          |                      | 1,671,150.00         | 1,671,150.00            |             | 211,143.00           |                       | 1,460,007.00          |                       |
| 2         | 7/1/22      | N       | 6.000    | 3,690,000.00         | 1,671,150.00         | 5,361,150.00            |             | 211,143.00           |                       | 5,150,007.00          | 6,610,014.00          |
| 2         | 1/1/23      |         |          |                      | 1,560,450.00         | 1,560,450.00            |             | 211,143.00           |                       | 1,349,307.00          |                       |
| 2         | 7/1/23      | N       | 6.000    | 3,915,000.00         | 1,560,450.00         | 5,475,450.00            |             | 211,143.00           |                       | 5,264,307.00          | 6,613,614.00          |
| 2         | 1/1/24      |         |          |                      | 1,443,000.00         | 1,443,000.00            |             | 211,143.00           |                       | 1,231,857.00          |                       |
| 2         | 7/1/24      | N       | 6.000    | 4,150,000.00         | 1,443,000.00         | 5,593,000.00            |             | 211,143.00           |                       | 5,381,857.00          | 6,613,714.00          |
| 2         | 1/1/25      |         |          |                      | 1,318,500.00         | 1,318,500.00            |             | 211,143.00           |                       | 1,107,357.00          |                       |
| 2         | 7/1/25      | N       | 6.000    | 4,400,000.00         | 1,318,500.00         | 5,718,500.00            |             | 211,143.00           |                       | 5,507,357.00          | 6,614,714.00          |
| 2         | 1/1/26      |         |          |                      | 1,186,500.00         | 1,186,500.00            |             | 211,143.00           |                       | 975,357.00            |                       |
| 2         | 7/1/26      | N       | 6.000    | 4,660,000.00         | 1,186,500.00         | 5,846,500.00            |             | 211,143.00           |                       | 5,635,357.00          | 6,610,714.00          |
| 2         | 1/1/27      |         |          |                      | 1,046,700.00         | 1,046,700.00            |             | 211,143.00           |                       | 835,557.00            |                       |
| 2         | 7/1/27      | N       | 6.000    | 4,940,000.00         | 1,046,700.00         | 5,986,700.00            |             | 211,143.00           |                       | 5,775,557.00          | 6,611,114.00          |
| 2         | 1/1/28      |         |          |                      | 898,500.00           | 898,500.00              |             | 211,143.00           |                       | 687,357.00            |                       |
| 2         | 7/1/28      | N       | 6.000    | 5,240,000.00         | 898,500.00           | 6,138,500.00            |             | 211,143.00           |                       | 5,927,357.00          | 6,614,714.00          |
| 2         | 1/1/29      |         |          |                      | 741,300.00           | 741,300.00              |             | 211,143.00           |                       | 530,157.00            |                       |
| 2         | 7/1/29      | N       | 6.000    | 5,550,000.00         | 741,300.00           | 6,291,300.00            |             | 211,143.00           |                       | 6,080,157.00          | 6,610,314.00          |
| 3         | 1/1/30      |         |          |                      | 574,800.00           | 574,800.00              |             | 211,143.00           |                       | 363,657.00            |                       |
| 3         | 7/1/30      | N       | 6.000    | 5,885,000.00         | 574,800.00           | 6,459,800.00            |             | 211,143.00           |                       | 6,248,657.00          | 6,612,314.00          |
| 3         | 1/1/31      |         |          |                      | 398,250.00           | 398,250.00              |             | 211,143.00           |                       | 187,107.00            |                       |
| 3         | 7/1/31      | N       | 6.000    | 13,275,000.00        | 398,250.00           | 13,673,250.00           |             | 7,249,243.00         |                       | 6,424,007.00          | 6,611,114.00          |
|           |             |         |          | <b>82,885,000.00</b> | <b>64,849,800.00</b> | <b>147,734,800.00</b>   | <b>0.00</b> | <b>15,460,050.28</b> | <b>0.00</b>           | <b>132,274,749.72</b> | <b>132,274,749.72</b> |

|                                        |                  |                                                 |                  |
|----------------------------------------|------------------|-------------------------------------------------|------------------|
| <b>Trunc Interest Cost (TIC)</b> ..... | <b>6.0946878</b> | <b>Arbitrage Yield Limit (AYL)</b> .....        | <b>5.9994839</b> |
| <b>Net Interest Cost (NIC)</b> .....   | <b>6.0613454</b> | <b>Arbitrage Net Interest Cost (ANIC)</b> ..... | <b>6.0000000</b> |

Dated: 7/1/2011  
Delivered: 7/21/2011

Summary of Sizing Inputs  
SBA-FDOT  
SIB 2011B

Rpt 17a

**General Information**

Denomination: 5000.  
Rate scale: 6%  
Issue type: REVENUE  
Sizing Rule 1: Level debt service - yearly principal payments. You input the gross construction costs (or a draw schedule) and the size of the bond issue is computed to cover the construction draws, reserves, and the various costs of issuance.

**Dates**

07/01/2011-> Dated (bond issue) date  
07/21/2011-> Delivery date  
01/01/2012-> 1st coupon date  
07/01/2012-> First principal payment  
07/01/2031-> Last maturity date  
No CABS in bond issue

**Gross Construction Costs**

|                                                  |               |
|--------------------------------------------------|---------------|
| Total project costs                              | 75,000,000.00 |
| Total prior costs                                |               |
| Less: interest earned & applied to project draws | -8.94         |
| Net total project costs:                         | 74,999,991.06 |
| Total number of projects = 1                     |               |

**Restricted Accounts**

|                                          |              |
|------------------------------------------|--------------|
| DSR rule: Maximum yearly debt service    |              |
| No capitalized interest                  |              |
| Restricted yield = 6.000000%             |              |
| Net deposit to Debt Service Reserve Fund | 7,038,100.00 |
| Net deposit to Contingency Fund          | 1,816.92     |

**Costs of Issuance**

|                                                         |             |
|---------------------------------------------------------|-------------|
| Underwriter spread: 8.000/\$1,000                       | -663,080.00 |
| Bond insurance: 0.000% (net of accrued & cap. interest) |             |
| Other TIC costs:                                        | 182,000.00  |



Dated: 7/1/2011  
 Delivered: 7/21/2011

Summary of Sizing Calculations  
 SBA-FDOT  
 SIB 2011B

Rpt 17b

| <u>Sources of Funds</u>                                     |                  |
|-------------------------------------------------------------|------------------|
| Par amount of bonds                                         | 82,885,000.00    |
| Original Issue Premium                                      |                  |
| Accrued Interest                                            | 276,283.33       |
| <u>Construction Costs</u>                                   |                  |
| Costs to complete construction                              | 75,000,000.00    |
| Less: interest earned in fund & applied to project draws    | -8.94            |
| Prior costs to be paid from bond proceeds                   |                  |
| Gross Construction Costs                                    | 74,999,991.06    |
| <u>Restricted Funds</u>                                     |                  |
| Gross capitalized interest                                  |                  |
| Less: Interest earned on Capitalized Interest Fund @ 6.000% |                  |
| Net deposit to Capitalized Interest Fund                    |                  |
| Net deposit to Debt Service Reserve Fund                    | 7,038,100.00     |
| Net deposit to Contingency Fund                             | 1,816.92         |
| <u>Costs of Issuance</u>                                    |                  |
| Underwriter spread: 8.000/\$1,000                           | -663,080.00      |
| Bond insurance: 0.000%                                      |                  |
| Other issuance costs                                        | 182,000.00       |
| Rounding due to denomination size                           | 12.02            |
| <u>Calculations</u>                                         |                  |
| Net Interest Cost (NIC)                                     | 6.0613454        |
| True Interest Cost (TIC)                                    | 6.0946878        |
| All-Inclusive TIC:                                          | 6.1210232        |
| Arbitrage Net Interest Cost (NIC)                           | 6.0000000        |
| Arbitrage Yield Limit (AYL)                                 | 5.9994839        |
| Total Bond Years (delivery date)                            | 1,076,225,277.78 |
| Average Bond Years (Delivery date)                          | 12.98            |
| Level debt service calculation                              | 7,034,570.05     |

**SCHEDULE VI: DETAIL OF DEBT SERVICE**

**Department:** 55 Transportation  
**Budget Entity:** 55150200 - Highway Operations

**Budget Period** 2011 - 2012

| (1)                            | (2)                            | (3)                               | (4)                             |
|--------------------------------|--------------------------------|-----------------------------------|---------------------------------|
| <b>SECTION 1</b>               | <b>ACTUAL<br/>FY 2009-2010</b> | <b>ESTIMATED<br/>FY 2010-2011</b> | <b>REQUEST<br/>FY 2011-2012</b> |
| Interest on Debt (A)           | 0                              | 2,285,067                         | 4,928,400                       |
| Principal (B)                  | 0                              | 2,345,000                         | 4,890,000                       |
| Repayment of Loans (C)         | 0                              | 0                                 | 0                               |
| Fiscal Agent or Other Fees (D) | 0                              | 8,569                             | 8,335                           |
| Other Debt Service (E)         | 0                              | 0                                 | 0                               |
| <b>Total Debt Service (F)</b>  | <b>0</b>                       | <b>4,638,636</b>                  | <b>9,826,735</b>                |

Explanation:

Combined total debt service for outstanding GARVEE bonds and proposed bonds to be issued

\*Note: Does not include interest credited from Debt Service Reserve Account.

**SECTION II**

(1) ISSUE:

| (2)                            | (3)                  | (4)                            | (5)                               | (6)                             |
|--------------------------------|----------------------|--------------------------------|-----------------------------------|---------------------------------|
| <b>INTEREST RATE</b>           | <b>MATURITY DATE</b> | <b>ISSUE AMOUNT</b>            | <b>June 30, 2011</b>              | <b>June 30, 2012</b>            |
|                                |                      |                                |                                   |                                 |
|                                |                      | (7)                            | (8)                               | (9)                             |
|                                |                      | <b>ACTUAL<br/>FY 2009-2010</b> | <b>ESTIMATED<br/>FY 2010-2011</b> | <b>REQUEST<br/>FY 2011-2012</b> |
| Interest on Debt (G)           |                      |                                |                                   |                                 |
| Principal (H)                  |                      |                                |                                   |                                 |
| Fiscal Agent or Other Fees (I) |                      |                                |                                   |                                 |
| Other (J)                      |                      | 0                              | 0                                 | 0                               |
| <b>Total Debt Service (K)</b>  |                      | <b>0</b>                       | <b>0</b>                          | <b>0</b>                        |

(1) ISSUE:

| <b>INTEREST RATE</b>           | <b>MATURITY DATE</b> | <b>ISSUE AMOUNT</b>            | <b>June 30, 2011</b>              | <b>June 30, 2012</b>            |
|--------------------------------|----------------------|--------------------------------|-----------------------------------|---------------------------------|
|                                |                      |                                |                                   |                                 |
|                                |                      | <b>ACTUAL<br/>FY 2009-2010</b> | <b>ESTIMATED<br/>FY 2010-2011</b> | <b>REQUEST<br/>FY 2011-2012</b> |
| Interest on Debt (G)           |                      |                                |                                   |                                 |
| Principal (H)                  |                      |                                |                                   |                                 |
| Fiscal Agent or Other Fees (I) |                      |                                |                                   |                                 |
| Other (J)                      |                      | 0                              | 0                                 | 0                               |
| <b>Total Debt Service (K)</b>  |                      | <b>0</b>                       | <b>0</b>                          | <b>0</b>                        |

**SCHEDULE VI: DETAIL OF DEBT SERVICE**

**Department:** 55 Transportation  
**Budget Entity:** 55150200 - Highway Operations

**Budget Period** 2011 - 2012

| (1)                            | (2)                            | (3)                               | (4)                             |
|--------------------------------|--------------------------------|-----------------------------------|---------------------------------|
| <b>SECTION 1</b>               | <b>ACTUAL<br/>FY 2009-2010</b> | <b>ESTIMATED<br/>FY 2010-2011</b> | <b>REQUEST<br/>FY 2011-2012</b> |
| Interest on Debt (A)           | 0                              | 0                                 | 0                               |
| Principal (B)                  | 0                              | 0                                 | 0                               |
| Repayment of Loans (C)         | 0                              | 0                                 | 0                               |
| Fiscal Agent or Other Fees (D) | 0                              | 0                                 | 0                               |
| Other Debt Service (E)         | 0                              | 0                                 | 0                               |
| <b>Total Debt Service (F)</b>  | <b>0</b>                       | <b>0</b>                          | <b>0</b>                        |

Explanation: Total debt service for outstanding GARVEE bonds.

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**SECTION II**

(1) **ISSUE:** \_\_\_\_\_

| (2)                            | (3)                               | (4)                             | (5)                  | (6)                  |
|--------------------------------|-----------------------------------|---------------------------------|----------------------|----------------------|
| <b>INTEREST RATE</b>           | <b>MATURITY DATE</b>              | <b>ISSUE AMOUNT</b>             | <b>June 30, 2011</b> | <b>June 30, 2012</b> |
|                                |                                   |                                 |                      |                      |
| (7)                            | (8)                               | (9)                             |                      |                      |
| <b>ACTUAL<br/>FY 2009-2010</b> | <b>ESTIMATED<br/>FY 2010-2011</b> | <b>REQUEST<br/>FY 2011-2012</b> |                      |                      |
| Interest on Debt (G)           |                                   |                                 |                      |                      |
| Principal (H)                  |                                   |                                 |                      |                      |
| Fiscal Agent or Other Fees (I) |                                   |                                 |                      |                      |
| Other (J)                      | 0                                 | 0                               | 0                    | 0                    |
| <b>Total Debt Service (K)</b>  | <b>0</b>                          | <b>0</b>                        | <b>0</b>             | <b>0</b>             |

(1) **ISSUE:** \_\_\_\_\_

| <b>INTEREST RATE</b>           | <b>MATURITY DATE</b>              | <b>ISSUE AMOUNT</b>             | <b>June 30, 2011</b> | <b>June 30, 2012</b> |
|--------------------------------|-----------------------------------|---------------------------------|----------------------|----------------------|
|                                |                                   |                                 |                      |                      |
| <b>ACTUAL<br/>FY 2009-2010</b> | <b>ESTIMATED<br/>FY 2010-2011</b> | <b>REQUEST<br/>FY 2011-2012</b> |                      |                      |
| Interest on Debt (G)           |                                   |                                 |                      |                      |
| Principal (H)                  |                                   |                                 |                      |                      |
| Fiscal Agent or Other Fees (I) |                                   |                                 |                      |                      |
| Other (J)                      | 0                                 | 0                               | 0                    | 0                    |
| <b>Total Debt Service (K)</b>  | <b>0</b>                          | <b>0</b>                        | <b>0</b>             | <b>0</b>             |

**SCHEDULE VI: DETAIL OF DEBT SERVICE**

**Department:** 55 Transportation  
**Budget Entity:** 55150200 - Highway Operations

**Budget Period** 2011 - 2012

| (1)                            | (2)<br>ACTUAL<br>FY 2009-2010 | (3)<br>ESTIMATED<br>FY 2010-2011 | (4)<br>REQUEST<br>FY 2011-2012 |
|--------------------------------|-------------------------------|----------------------------------|--------------------------------|
| <b>SECTION I</b>               |                               |                                  |                                |
| Interest on Debt (A)           | 0                             | 2,285,067                        | 4,928,400                      |
| Principal (B)                  | 0                             | 2,345,000                        | 4,890,000                      |
| Repayment of Loans (C)         | 0                             | 0                                | 0                              |
| Fiscal Agent or Other Fees (D) | 0                             | 8,569                            | 8,335                          |
| Other Debt Service (E)         | 0                             | 0                                | 0                              |
| <b>Total Debt Service (F)</b>  | <b>0</b>                      | <b>4,638,636</b>                 | <b>9,826,735</b>               |

Explanation:

Total debt service for proposed GARVEE bond sales as authorized  
by Section 215.616, Florida Statutes.

\*Note: Does not include interest credited from Debt Service Reserve Account.

**SECTION II**

(1) **ISSUE:** Proposed GARVEE bond sale 01/01/11 (G11A)

| (2)<br>INTEREST RATE           | (3)<br>MATURITY DATE | (4)<br>ISSUE AMOUNT           | (5)<br>June 30, 2011             | (6)<br>June 30, 2012           |
|--------------------------------|----------------------|-------------------------------|----------------------------------|--------------------------------|
| 6.000%                         | 7/1/2022             | 85,690,000                    | 83,345,000                       | 78,455,000                     |
|                                |                      | (7)<br>ACTUAL<br>FY 2009-2010 | (8)<br>ESTIMATED<br>FY 2010-2011 | (9)<br>REQUEST<br>FY 2011-2012 |
| Interest on Debt (G)           |                      | 0                             | 2,285,067                        | 4,928,400                      |
| Principal (H)                  |                      | 0                             | 2,345,000                        | 4,890,000                      |
| Fiscal Agent or Other Fees (I) |                      | 0                             | 8,569                            | 8,335                          |
| Other (J)                      |                      | 0                             | 0                                | 0                              |
| <b>Total Debt Service (K)</b>  |                      | <b>0</b>                      | <b>4,638,636</b>                 | <b>9,826,735</b>               |

(1) **ISSUE:** \_\_\_\_\_

| INTEREST RATE                  | MATURITY DATE | ISSUE AMOUNT           | June 30, 2011             | June 30, 2012           |
|--------------------------------|---------------|------------------------|---------------------------|-------------------------|
|                                |               |                        |                           |                         |
|                                |               | ACTUAL<br>FY 2009-2010 | ESTIMATED<br>FY 2010-2011 | REQUEST<br>FY 2011-2012 |
| Interest on Debt (G)           |               | 0                      | 0                         | 0                       |
| Principal (H)                  |               | 0                      | 0                         | 0                       |
| Fiscal Agent or Other Fees (I) |               | 0                      | 0                         | 0                       |
| Other (J)                      |               | 0                      | 0                         | 0                       |
| <b>Total Debt Service (K)</b>  |               | <b>0</b>               | <b>0</b>                  | <b>0</b>                |

## TRUTH IN BONDING WORKSHEET

1. A listing of the purpose of the debt or obligation: provides the opportunity to leverage federal-aid highway funds to accommodate major projects that may preempt the Department's capital program and advance statewide projects by composite issuance.
2. The source of repayment of the debt or obligation: Section 215.616, Florida Statutes, authorizes pledging future Federal-aid reimbursements to pay debt service for GARVEE bonds.
3. The principle amount of the debt or obligation: \$85,690,000.
4. The interest rate on the debt or obligation (per EEC): 6.000%
5. A schedule of annual debt service payments (attach)
6. The method of sale of the debt or obligation as determined by the Governing board of the Division of Bond Finance.
7. The costs of issuance of the debt or obligation, including a detailed listing of the amounts of the major costs of issuance.

|                                 |                    |
|---------------------------------|--------------------|
| <u>Underwriters Discount</u>    | <u>\$685,520</u>   |
| <u>Rating Agency Fees</u>       | <u>\$75,000</u>    |
| <u>Other costs of Issuance</u>  | <u>\$107,000</u>   |
| <u>Deposit into DSR Account</u> | <u>\$9,818,400</u> |

### TRUTH-IN-BONDING STATEMENT

The State of Florida is proposing to issue \$85,690,000 of debt or obligation for the purpose of accommodating and advancing statewide projects by leveraging Federal-aid highway funds pursuant to Section 215.616, Florida Statutes.

This debt or obligation is expected to be repaid over a period of 12 years. At a forecasted interest rate of 6.000%, total interest paid over the life of the debt or obligation will be \$37,024,050.

The proposed issuance date is 1/01/11.

Dated: 1/1/2011  
 Delivered: 1/21/2011

Construction Draws for  
 SBA-FDOT  
 GARVEE 2011A

Rpt 72

| Drw #                                                  | Draw/Cpn Date | Fiscal Date | Tran Type | Pds/Days | Beginning Fund Balance | Interest Earnings | Draw Requirement     | Net Draw Amount      | Applied To Debt Service | Ending Balance |
|--------------------------------------------------------|---------------|-------------|-----------|----------|------------------------|-------------------|----------------------|----------------------|-------------------------|----------------|
| GARVEE 2 GARVEE 2011A yielding 6.0000000% : Net-Funded |               |             |           |          |                        |                   |                      |                      |                         |                |
| 0                                                      | 01/21/11      | 1           | 2011 DEP  | 0 / 0    |                        |                   |                      |                      |                         | 74,999,991.06  |
| 1                                                      | 01/21/11      | 1           | 2011 DRAW | 0 / 0    | 74,999,991.06          |                   | 75,000,000.00        | 75,000,000.00        |                         | -8.94          |
| <b>Totals For GARVEE 2</b>                             |               |             |           |          |                        | <b>0.00</b>       | <b>75,000,000.00</b> | <b>75,000,000.00</b> | <b>0.00</b>             |                |
| <b>Prior Project Costs:</b>                            |               |             |           |          |                        | <b>0.00</b>       |                      |                      |                         |                |
| <b>Grand Totals For All Projects:</b>                  |               |             |           |          |                        | <b>0.00</b>       | <b>75,000,000.00</b> | <b>75,000,000.00</b> | <b>0.00</b>             |                |
| <b>Total Prior Costs:</b>                              |               |             |           |          |                        | <b>0.00</b>       |                      |                      |                         |                |

Dated: 1/1/2011  
 Delivered: 1/21/2011

Sizing Debt Service Schedule  
 SBA-FDOT  
 GARVEE 2011A

Rpt 14

| Fiscal Yr                              | Coupon Date | Zer Cpn | Cpn Rate | Maturing Principal | Periodic Interest | Gross Semi-Annl Dbt Svc | Cap Int                                         | DbtSvcRcv Int & Prin | Constr. Fund Interest | Net Semi-Annl Dbt Svc | Net Fiscal Dbt Svc |
|----------------------------------------|-------------|---------|----------|--------------------|-------------------|-------------------------|-------------------------------------------------|----------------------|-----------------------|-----------------------|--------------------|
| 1                                      | 7/1/11      | N       | 6.000    | 2,345,000.00       | 2,570,700.00      | 4,915,700.00            |                                                 | 261,392.40           |                       | 4,654,307.60          | 4,654,307.60       |
| 1                                      | 1/1/12      | N       | 6.000    | 2,410,000.00       | 2,500,350.00      | 4,910,350.00            |                                                 | 294,552.00           |                       | 4,615,798.00          |                    |
| 1                                      | 7/1/12      | N       | 6.000    | 2,480,000.00       | 2,428,050.00      | 4,908,050.00            |                                                 | 294,552.00           |                       | 4,613,498.00          | 9,229,296.00       |
| 1                                      | 1/1/13      | N       | 6.000    | 2,555,000.00       | 2,353,650.00      | 4,908,650.00            |                                                 | 294,552.00           |                       | 4,614,098.00          |                    |
| 1                                      | 7/1/13      | N       | 6.000    | 2,630,000.00       | 2,277,000.00      | 4,907,000.00            |                                                 | 294,552.00           |                       | 4,612,448.00          | 9,226,546.00       |
| 1                                      | 1/1/14      | N       | 6.000    | 2,710,000.00       | 2,198,100.00      | 4,908,100.00            |                                                 | 294,552.00           |                       | 4,613,548.00          |                    |
| 1                                      | 7/1/14      | N       | 6.000    | 2,790,000.00       | 2,116,800.00      | 4,906,800.00            |                                                 | 294,552.00           |                       | 4,612,248.00          | 9,225,796.00       |
| 1                                      | 1/1/15      | N       | 6.000    | 2,875,000.00       | 2,033,100.00      | 4,908,100.00            |                                                 | 294,552.00           |                       | 4,613,548.00          |                    |
| 1                                      | 7/1/15      | N       | 6.000    | 2,960,000.00       | 1,946,850.00      | 4,906,850.00            |                                                 | 294,552.00           |                       | 4,612,298.00          | 9,225,846.00       |
| 1                                      | 1/1/16      | N       | 6.000    | 3,050,000.00       | 1,858,050.00      | 4,908,050.00            |                                                 | 294,552.00           |                       | 4,613,498.00          |                    |
| 1                                      | 7/1/16      | N       | 6.000    | 3,140,000.00       | 1,766,550.00      | 4,906,550.00            |                                                 | 294,552.00           |                       | 4,611,998.00          | 9,225,496.00       |
| 1                                      | 1/1/17      | N       | 6.000    | 3,235,000.00       | 1,672,350.00      | 4,907,350.00            |                                                 | 294,552.00           |                       | 4,612,798.00          |                    |
| 1                                      | 7/1/17      | N       | 6.000    | 3,335,000.00       | 1,575,300.00      | 4,910,300.00            |                                                 | 294,552.00           |                       | 4,615,748.00          | 9,228,546.00       |
| 1                                      | 1/1/18      | N       | 6.000    | 3,435,000.00       | 1,475,250.00      | 4,910,250.00            |                                                 | 294,552.00           |                       | 4,615,698.00          |                    |
| 1                                      | 7/1/18      | N       | 6.000    | 3,535,000.00       | 1,372,200.00      | 4,907,200.00            |                                                 | 294,552.00           |                       | 4,612,648.00          | 9,228,346.00       |
| 1                                      | 1/1/19      | N       | 6.000    | 3,640,000.00       | 1,266,150.00      | 4,906,150.00            |                                                 | 294,552.00           |                       | 4,611,598.00          |                    |
| 1                                      | 7/1/19      | N       | 6.000    | 3,750,000.00       | 1,156,950.00      | 4,906,950.00            |                                                 | 294,552.00           |                       | 4,612,398.00          | 9,223,996.00       |
| 2                                      | 1/1/20      | N       | 6.000    | 3,865,000.00       | 1,044,450.00      | 4,909,450.00            |                                                 | 294,552.00           |                       | 4,614,898.00          |                    |
| 2                                      | 7/1/20      | N       | 6.000    | 3,980,000.00       | 928,500.00        | 4,908,500.00            |                                                 | 294,552.00           |                       | 4,613,948.00          | 9,228,846.00       |
| 2                                      | 1/1/21      | N       | 6.000    | 4,100,000.00       | 809,100.00        | 4,909,100.00            |                                                 | 294,552.00           |                       | 4,614,548.00          |                    |
| 2                                      | 7/1/21      | N       | 6.000    | 4,220,000.00       | 686,100.00        | 4,906,100.00            |                                                 | 294,552.00           |                       | 4,611,548.00          | 9,226,096.00       |
| 2                                      | 1/1/22      | N       | 6.000    | 4,350,000.00       | 559,500.00        | 4,909,500.00            |                                                 | 294,552.00           |                       | 4,614,948.00          |                    |
| 2                                      | 7/1/22      | N       | 6.000    | 14,300,000.00      | 429,000.00        | 14,729,000.00           |                                                 | 10,112,952.00        |                       | 4,616,048.00          | 9,230,996.00       |
|                                        |             |         |          | 85,690,000.00      | 37,024,050.00     | 122,714,050.00          | 0.00                                            | 16,559,936.40        | 0.00                  | 106,154,113.60        | 106,154,113.60     |
| <b>Trune Interest Cost (TIC) .....</b> |             |         |          |                    |                   | <b>6.1452675</b>        | <b>Arbitrage Yield Limit (AYL) .....</b>        |                      |                       |                       | <b>5.9992082</b>   |
| <b>Net Interest Cost (NIC) .....</b>   |             |         |          |                    |                   | <b>6.1110867</b>        | <b>Arbitrage Net Interest Cost (ANIC) .....</b> |                      |                       |                       | <b>6.0000000</b>   |

Dated: 1/1/2011  
Delivered: 1/21/2011

Summary of Sizing Inputs  
SBA-FDOT  
GARVEE 2011A

Rpt 17a

**General Information**

Denomination: 5000.  
Rate scale: 6%  
Issue type: REVENUE  
Sizing Rule 2: Level debt service - periodic principal payments. You input the gross construction costs (or a draw schedule) and the size of the bond issue is computed to cover the construction draws, reserves, and the various costs of issuance.

**Dates**

01/01/2011-> Dated (bond issue) date  
01/21/2011-> Delivery date  
07/01/2011-> 1st coupon date  
07/01/2011-> First principal payment  
07/01/2022-> Last maturity date  
No CABS in bond issue

**Gross Construction Costs**

|                                                  |               |
|--------------------------------------------------|---------------|
| Total project costs                              | 75,000,000.00 |
| Total prior costs                                |               |
| Less: interest earned & applied to project draws | -8.94         |
| Net total project costs:                         | 74,999,991.06 |
| Total number of projects = 1                     |               |

**Restricted Accounts**

|                                          |              |
|------------------------------------------|--------------|
| DSR rule: Maximum yearly debt service    |              |
| No capitalized interest                  |              |
| Restricted yield = 6.000000%             |              |
| Net deposit to Debt Service Reserve Fund | 9,818,400.00 |
| Net deposit to Contingency Fund          | 4,080.63     |

**Costs of Issuance**

|                                                         |             |
|---------------------------------------------------------|-------------|
| Underwriter spread: 8.000/\$1,000                       | -685,520.00 |
| Bond insurance: 0.000% (net of accrued & cap. interest) |             |
| Other TIC costs:                                        | 182,000.00  |

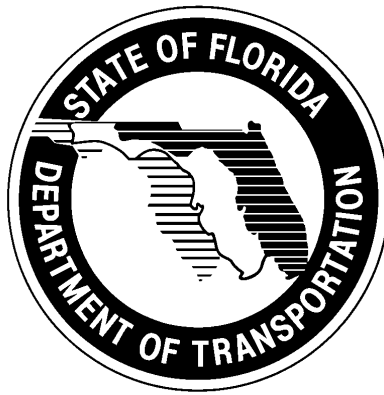


Dated: 1/1/2011  
Delivered: 1/21/2011

Summary of Sizing Calculations  
SBA-FDOT  
GARVEE 2011A

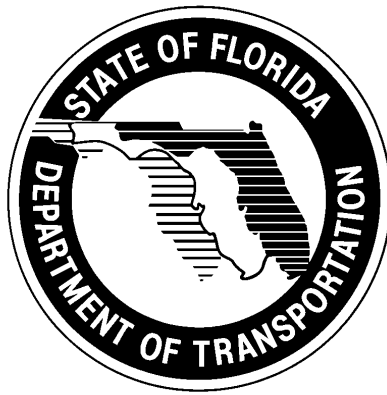
Rpt 17b

| <u>Sources of Funds</u>                                     |                |
|-------------------------------------------------------------|----------------|
| Par amount of bonds                                         | 85,690,000.00  |
| Original Issue Premium                                      |                |
| Accrued Interest                                            | 285,633.33     |
| <u>Construction Costs</u>                                   |                |
| Costs to complete construction                              | 75,000,000.00  |
| Less: interest earned in fund & applied to project draws    | -8.94          |
| Prior costs to be paid from bond proceeds                   |                |
| Gross Construction Costs                                    | 74,999,991.06  |
| <u>Restricted Funds</u>                                     |                |
| Gross capitalized interest                                  |                |
| Less: Interest earned on Capitalized Interest Fund @ 6.000% |                |
| Net deposit to Capitalized Interest Fund                    |                |
| Net deposit to Debt Service Reserve Fund                    | 9,818,400.00   |
| Net deposit to Contingency Fund                             | 4,080.63       |
| <u>Costs of Issuance</u>                                    |                |
| Underwriter spread: 8.000/\$1,000                           | -685,520.00    |
| Bond insurance: 0.000%                                      |                |
| Other issuance costs                                        | 182,000.00     |
| Rounding due to denomination size                           | 8.31           |
| <u>Calculations</u>                                         |                |
| Net Interest Cost (NIC)                                     | 6.1110867      |
| True Interest Cost (TIC)                                    | 6.1452675      |
| All-Inclusive TIC:                                          | 6.1843379      |
| Arbitrage Net Interest Cost (NIC)                           | 6.0000000      |
| Arbitrage Yield Limit (AYL)                                 | 5.9992082      |
| Total Bond Years (delivery date)                            | 612,306,944.44 |
| Average Bond Years (Delivery date)                          | 7.15           |
| Level debt service calculation                              | 4,908,249.42   |



# **LEGISLATIVE BUDGET REQUEST 2011-2012**

## **Schedule VI-Detail of Debt Service**



**LEGISLATIVE  
BUDGET REQUEST  
2011-2012**

**Employee Compensation  
and Benefits**



# Florida Department of Transportation

CHARLIE CRIST  
GOVERNOR

605 Suwannee Street  
Tallahassee, FL 32399-0450

STEPHANIE C. KOPELOUSOS  
SECRETARY

## EMPLOYEE COMPENSATION AND BENEFITS LEGISLATIVE BUDGET REQUEST

October 15, 2010

Jerry McDaniel, Director  
Office of Policy and Budget  
Executive Office of the Governor  
1701 Capitol  
Tallahassee, Florida 32399-0001

JoAnne Leznoff, Council Director  
House Full Appropriations Councils  
221 Capitol  
Tallahassee, Florida 32399-1300

David Coburn, Staff Director  
Senate Policy and Steering Committee on Ways and Means  
201 Capitol  
Tallahassee, Florida 32399-1300

Dear Directors:

Pursuant to s. 216.251(3), F.S., "An agency may not provide general salary increases or pay additives for a cohort of positions sharing the same job classification or job occupations which the Legislature has not authorized in the General Appropriations Act (GAA) or other laws." Section 110.2035(5)(c), F.S. provides similar language. Section 8 of the GAA for FY 2009/10 contains language that provides authority for the department to continue certain pay actions for one year. With this submittal the department requests authority to initiate a pay additive for department law enforcement officers with Hazardous Materials and Cargo Tank/Bulk Package certification and to continue implementation of the following series of pay actions:

- Continuation of the pay additive for department law enforcement officers with Commercial Vehicle Safety Alliance certification;
- Incremental increases in salary for employees in the department's long standing Engineer Trainee, Professional Engineer Trainee and Right of Way Trainee programs; and
- Discretionary pay increases for employees who perform their duties in an exemplary manner.

Also included is proposed language to modify section 20.23, F.S. to specify the Legislature's support for the department's long standing training programs as well as the pay additives noted above.

This submission has been approved by Secretary of Transportation, Stephanie C. Kopelousos.

Sincerely,

Kimberly Ferrell  
Budget Officer

## Proposed Amendment to Section 20.23, Florida Statutes

Included below is proposed language to amend section 20.23, Florida Statutes to specify the Legislature's support for the Department of Transportation's long standing training programs, the pay additive the department has been providing for law enforcement officers with Commercial Vehicle Safety Alliance certification, as well as the pay additive the department requests to initiate for law enforcement officers with Hazardous Materials and Cargo Tank/Bulk Package certification.

Section 20.23, Florida Statutes, is proposed to be amended as follows:

(7) The department will maintain training programs for the department employees and prospective employees, who are graduates from an approved engineering curriculum of 4 years or more in a school, college, or university approved by the State of Florida Board of Professional Engineers, to provide broad practical expertise in the field of transportation engineering, leading to licensure as a professional engineer. The department will maintain training programs for the department employees to provide broad practical experience and enhanced knowledge in the areas of right-of-way property management, real estate appraisal, and business valuation relating to department right-of-way acquisition activities. These training programs may provide for incremental increases to base salary for all employees enrolled in the programs upon successful completion of training phases.

(8) The department may provide employees with pay additives to the extent that the funds are included in federal grants and the pay additives are specified in a budget issue that is included for the department in the General Appropriations Act or other law.

In addition, the department requests the following language continue to be included in the back of the appropriations bill related to exemplary performance and family medical leave or authorized military leave:

- Each agency is authorized to grant merit pay increases to employees based on the employee's exemplary performance.
- Each agency is authorized to continue to grant temporary special duties pay additives to employees assigned additional duties as a result of another employee being absent from work pursuant to the Family Medical Leave Act or authorized military leave.

In the event the amendments to section 20.23, Florida Statutes, requested above are not made, the department requests that the language below continue to be included in the back of the appropriations bill. The language relates to the department's long standing training programs, the pay additive the department

has been providing for law enforcement officers with Commercial Vehicle Safety Alliance certification, as well as the pay additive the department requests to initiate for law enforcement officers with Hazardous Materials and Cargo Tank/Bulk Package certification.

- The Department of Transportation is authorized to continue its training program for employees in the field of transportation engineering under the same guidelines established for the training program prior to June 30, 2006.
- The Department of Transportation is authorized to continue its training program for employees in the areas of right-of-way acquisition, relocation benefits administration, right-of-way property management, real estate appraisal, and business valuation under the guidelines established for the training program prior to June 30, 2006.
- The Department of Transportation is authorized to continue to grant a pay additive of \$75 per pay period for law enforcement officers assigned to the Office of Motor Carrier Compliance who maintain certification by the Commercial Vehicle Safety Alliance.
- The Department of Transportation is authorized to grant a pay additive of \$75 per pay period for law enforcement officers assigned to the Office of Motor Carrier Compliance who maintain Hazardous Materials and Cargo Tank/Bulk Package certification.

**FLORIDA DEPARTMENT OF TRANSPORTATION  
TEMPORARY SPECIAL DUTY PAY ADDITIVE REQUEST  
FOR FISCAL YEAR 2011 - 12**

**Commercial Vehicle Safety Alliance (CVSA)**

**1. How long will the additive be used?**

The Temporary Special Duty Pay additive should be approved to continue the Commercial Vehicle Safety Alliance (CVSA) pay additive for as long as Law Enforcement Officers meet the requirements of the program; as long as the program is in effect; and federal funding is available.

**2. What classes are covered by the additive and how many positions are within those classes?**

| <u>Class Code</u> | <u>Class Title</u>         | <u># of FTE</u> |
|-------------------|----------------------------|-----------------|
| 8515              | Law Enforcement Officer    | 184             |
| 8519              | Law Enforcement Sergeant   | 49              |
| 8522              | Law Enforcement Lieutenant | <u>16</u>       |
|                   | Total FTE                  | 249             |

**3. What is the area impacted (e.g. certain counties, statewide, varies)?**

This additive will impact employees statewide.

**4. Justification**

The CVSA Program was implemented in an effort to reduce the number and/or severity of crashes involving commercial motor vehicles on Florida roads.

This pay additive is used to attract and retain sworn Law Enforcement personnel who are specially trained to conduct safety inspections on commercial motor vehicles. Federal funds are provided to pay this salary additive to Law Enforcement personnel who maintain certification by meeting special training and performance requirements of the Commercial Vehicle Safety Alliance. The Office of Motor Carrier Compliance (MCCO) requires the CVSA Inspector training in order for Law Enforcement personnel to perform safe and comprehensive commercial motor vehicle and driver inspections. Section 8 of the General Appropriations Act for FY2010-11 authorized the Department to continue to grant a pay additive of \$75.00 per pay period for law enforcement officers assigned to the Office of Motor Carrier Compliance who maintain certification by the Commercial Vehicle Safety Alliance. The Department sought guidance from the Department of Management Services (DMS) on the appropriate method to provide this pay additive to employees. The DMS issued the Department

approval to utilize the Temporary Special Duty Pay additive to pay the additive to eligible employees.

## **5. Historical data**

As an incentive for Law Enforcement personnel, a pay additive was implemented in 2004 for sworn Law Enforcement personnel who have attained their Level 1 CVSA certification and maintain it from year to year. Funding of this program has continued each year from FY 2005-06 through FY 2010-11 and is requested to continue in FY 2011-12. The CVSA pay additive is currently \$75.00 bi-weekly.

The number of positions in the program has remained consistent over the years.

## **6. Estimated cost**

The estimated cost of implementing this pay additive is \$678,600 annually.

## **7. Is there any other information you would like to provide?**

While there is not an additive that specifically addresses the provision for this pay additive, the Department proposes to continue to use the Temporary Special Duty Pay additive, listed in s.110.2035(6)(c), Florida Statutes, to continue to issue CVSA payments to eligible employees.

GAA Proviso language Section 8(4)(h) for FY 2010/11 authorizes the Department to continue to grant this pay additive as indicated above.



**FLORIDA DEPARTMENT OF TRANSPORTATION  
TEMPORARY SPECIAL DUTY PAY ADDITIVE REQUEST  
FOR FISCAL YEAR 2011 - 12**

**Hazardous Materials and Cargo Tank/Bulk Package Certification  
(Haz/Mat or HM)**

**1. How long will the additive be used?**

The Temporary Special Duty Pay additive should be approved for the higher level of Hazardous Materials and Cargo Tank/Bulk Package Certification pay additive for as long as Law Enforcement Officers meet the requirements of the program; as long as the program is in effect; and federal funding is available. Fewer than 50% of the Office of Motor Carrier Compliance law enforcement officers currently have the National certification in Hazardous Materials and Cargo Tank/Bulk Package.

**2. What classes are covered by the additive and how many positions are within those classes?**

| <u>Class Code</u> | <u>Class Title</u>         | <u># of FTE</u> |
|-------------------|----------------------------|-----------------|
| 8515              | Law Enforcement Officer    | 184             |
| 8519              | Law Enforcement Sergeant   | 49              |
| 8522              | Law Enforcement Lieutenant | <u>16</u>       |
|                   | Total FTE                  | 249             |

**3. What is the area impacted (e.g. certain counties, statewide, varies)?**

This additive will impact employees statewide.

**4. Justification**

Instruction in hazardous materials (haz/mat or HM) transportation and Cargo Tank/Bulk Package inspection (Level 2) is necessary for an officer to conduct advanced and comprehensive inspections of vehicles known or suspected to be transporting hazardous materials. The Hazardous Materials training consists of a 40 hour block of instruction in basic hazardous materials enforcement, including; familiarization with the outline of the relevant federal regulations, hazard classes, shipping papers, marking, labeling, placarding, and non-bulk packaging requirements. The Cargo Tank/Bulk Package training consists of another 40 hour block of instruction in hazardous materials transportation-related enforcement that is specific to highway cargo tanks and larger packages, including portable tanks, intermodal containers, and intermediate bulk containers (IBC's). This includes construction specifications, safety features, periodic inspection requirements, maintenance requirements, and repair allowances, as

well as a short refresher on basic hazardous materials transportation. The 80 hours of classroom training and field work necessary to successfully complete these courses are provided by instructors certified and assigned by the USDOT National Training Center. Upon completion of the courses of instruction, a minimum of 16 inspections in each discipline are conducted within six months under the supervision of a certified inspector to complete the certification process. Thereafter, a minimum of 8 inspections annually are required in each discipline to maintain certification. Both courses are comprehensive, technical, and require a high degree of comprehension for successful completion. Past experience has shown an average failure rate in the Cargo Tank/Bulk Package training to be nearly thirty percent. Additionally, since these skills are perishable, frequent application of the regulations through enforcement activities is necessary to maintain the level of competence needed to continue to conduct effective inspections.

A salary additive for these certifications will provide an incentive for officers to successfully complete the courses and maintain their competency by regularly conducting the inspections necessary to maintain certification. As with the implementation of the CVSA Additive pay the Department will need to seek guidance from the Department of Management Services (DMS) on the appropriate method to provide this pay additive to employees.

## **5. Historical data**

As an incentive for Law Enforcement personnel, legislation for a pay additive is being submitted in 2011/12 for sworn Law Enforcement personnel who have attained Hazardous Materials and Cargo Tank/Bulk Package (HM) Inspection certification and maintain it from year to year. The requested HM pay additive is currently \$75.00 bi-weekly.

## **6. Estimated cost**

Based on the number of current certified personnel plus a growth factor, the estimated cost of implementing this pay additive is \$503,730 annually, 100% grant funded. This amount is based on the projection that 74% of eligible officers will successfully obtain this certification in the first year.

## **7. Is there any other information you would like to provide?**

While there is not an additive category that specifically addresses the provision for this pay additive, the Department proposes to continue to use the Temporary Special Duty Pay additive, listed in s.110.2035(6)(c), Florida Statutes, to issue the HM payments to eligible employees.

The Department would include the HM pay as Level 2, above the Level 1 CVSA pay additive which is a pre-requisite for the Level 2 HM pay.

**FLORIDA DEPARTMENT OF TRANSPORTATION  
TRAINING PROGRAM PAY INCREASES  
FOR FISCAL YEAR 2011-12**

**1. How long will this requested authority be in effect?**

The Engineer Trainee, Professional Engineer Trainee and Right of Way Trainee Programs have been in effect for over 20 years and the Department hopes that they will continue to be in effect indefinitely with the pay increase provisions intact.

**2. What classes are involved in this request?**

| <u>Class Code</u> | <u>Class Title</u>        |
|-------------------|---------------------------|
| 4406              | Right of Way Specialist I |
| 4654              | Engineer Trainee          |
| 4655              | Senior Engineer Trainee   |

**3. What is the area impacted (e.g. certain counties, statewide, varies)?**

All three programs include trainees from the entire state.

**4. Justification**

On July 1, 2006, s. 216.251(3), Florida Statutes, became effective and inadvertently prevented the Department from providing the incremental pay increases associated with the Engineer Training, Senior Engineer Training and Right of Way Training Programs. Section 8 of the General Appropriations Act (GAA) for FY 2010-11 authorized the Department to continue its training program for employees in the field of transportation engineering under the same guidelines established for the training program prior to June 30, 2006. Section 8 of the GAA for 2010-11 further authorized the Department to continue its training program for employees in the areas of right-of-way acquisition, relocation benefits administration, right-of-way property management, real estate appraisal, and business valuation under the same guidelines established for the training program prior to June 30, 2006.

**5. Historical data**

The Department of Transportation administers three separate training programs: Engineer Training Program; Senior Engineer Training Program and the Right of Way Training Program. The combined Engineer and Senior Engineer Training Programs constitute the Professional Engineer Training Program.

The purpose of the Professional Engineer Training Program is to provide selected employees with broad, practical experience in the field of transportation engineering, leading to registration as a licensed Professional Engineer in the State of Florida. Additionally, trainees receive training in management and administrative functions within the Department. The duration of the program is four years and is divided into two phases. The first phase is the Engineer Training Program. This phase spans 24 months and exposes the trainee to rotational assignments in all aspects of the Department's scope of duties. The second phase is the Senior Engineer Training Program. This program also spans 24 months that combines on-the-job training in both the technical and managerial functions of a specific work area for which the trainee is assigned.

During the course of this training program, trainees are eligible for 6 month incremental pay increases of 5 percent contingent on satisfactory performance evaluations. Successful completion of the Program is defined by taking and successfully passing the Professional Engineer's Examination administered by the Florida Board of Professional Engineers. (Please see Attachment A for more detailed information on this program.)

The purpose of the Right of Way Training Program is to introduce trainees to the Department's Right of Way Program, which includes: land acquisition, appraisal, eminent domain, land title, relocation assistance, outdoor advertising, property management, and technology application. The program is 24 months in duration and is composed of six-month segments that require the trainees to successfully complete designated courses, prescribed demonstrated work products and to work and train in the areas within the Right of Way Program. The trainees receive informal ratings every 3 months to ascertain their progress. Formal evaluations are completed at the end of 6, 12, 18 and 24 months. Upon a satisfactory review of each trainee's performance during these performance evaluations, the trainee becomes eligible for a 5 percent incremental pay increase. (Please see Attachment B for more detailed information on this program.)

## **6. Estimated Cost**

The estimated cost of continuing to implement pay increases associated with the trainee programs will not exceed the Department's existing salary and benefits budget and rate.

## **7. Is there any additional information you would like to provide?**

Upon consultation with Governor's Office and Legislative staff, the Department proposes to amend current Statute in order to correct the inadvertent impact on the Training Programs.

Section 20.23, Florida Statutes, is proposed to be amended as follows;

(7) The department will maintain training programs for the department employees and prospective employees, who are graduates from an approved engineering curriculum of 4 years or more in a school, college, or university approved by the State of Florida Board of Professional Engineers, to provide broad practical expertise in the field of transportation engineering, leading to licensure as a professional engineer. The department will maintain training programs for the department employees to provide broad practical experience and enhanced knowledge in the areas of right-of-way property management, real estate appraisal, and business valuation relating to department right-of-way acquisition activities. These training programs may provide for incremental increases to base salary for all employees enrolled in the programs upon successful completion of training phases.

Approved:

Effective: January 12, 2001

Office: Personnel

Topic No.: 250-015-015-f

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Thomas F. Barry, Jr., P.E.  
Secretary

02/16/01 Pen & Ink change in 3(e) 7

## **PROFESSIONAL ENGINEER TRAINING PROGRAM**

### **PURPOSE:**

The purpose of this procedure is to establish the content and requirements of the Professional Engineer Training Program and the process for administration of the program.

### **AUTHORITY:**

Sections 20.23(3) (a), 110.1095 and 334.044(2), Florida Statutes; Chapter 60L-14, Chapter 60K, Florida Administrative Code.

### **SCOPE:**

The District Directors of Operations have the responsibility for administering this program in the Districts. The Personnel Resource Management Office in Central Office has responsibility for certain administrative functions to maintain the program's operation in the Department.

### **GENERAL:**

The primary purpose of the Professional Engineer Training Program is to provide graduate civil engineers with broad, practical experience in the field of transportation engineering, leading to registration as a licensed Professional Engineer in the State of Florida. The secondary purpose is to provide training in management and supervisory techniques to prepare the Trainee for Management and administrative functions within the Department.

The total Professional Engineer Training Program is a four-year program divided into two phases. The first phase is the Engineer Training (ET) Program. This is a 24-month rotational assignment encompassing all aspects of the Department's work. The second phase is the Senior Engineer Training (SET) Program. This is a 24-month internship combining on-the-job training in both the technical and managerial functions of a specific work area in the Department.

## **DEFINITIONS:**

**Central Office** - The Personnel Resource Management Office located in the Central Office.

**Responsible Office** - The office for the State Surveyor, State Roadway Design Engineer, State Traffic Operations Engineer, State Construction Engineer and the State Maintenance Engineer. These offices develop and maintain questions for required phase exams and prepare and grade the exams for the trainee.

**District Director** - The District Director of Operations.

**Phase Supervisor** - The individual assigned supervisory responsibility for a Trainee during phase training.

**Phase Training** - Training provided by any office that a Trainee is assigned to for the purpose of specific training in the policy and procedure of the Department's work effort.

**Program** - The Professional Engineer Training Program

**District Program Coordinator** - The individual assigned by the District Director of Operations to carry out and be responsible for any or all prescribed activities and responsibilities of the program within their District or work location. Must be a registered professional engineer.

**Trainee** - The graduate Civil Engineer enrolled in the Department's Professional Engineer Training Program.

### **(1) ENROLLMENT IN THE ENGINEER TRAINING PROGRAM:**

#### **(a) Eligibility:**

The P.E. Training Program is not open to registered professional engineers, individuals currently qualified for registration, or those who have more than two years of post graduation engineering experience.

To be eligible for the Engineer Trainee position, candidates shall have the following minimum qualifications:

1. A Bachelor of Civil Engineering or Bachelor of Science in Civil Engineering Degree from a university accredited by the Accreditation Board for Engineering and Technology (ABET).
2. Be a United States citizen or a lawfully authorized alien worker.

This does not include sponsorship or practical training.

3. Be registered to take the Fundamentals of Engineering examination as administered by the Florida Board of Professional Engineers, or the equivalent examination administered by another state within the United States, prior to appointment.

**SPECIAL NOTE:** For continuing eligibility all appointees to this Program must obtain the Fundamentals of Engineering certificate within twelve (12) months of appointment. Failure to do so will result in the employee being removed from the Program.

Candidates who exceed the minimum entry level requirements of the Engineer Training Program, but who do not meet the entry requirements of the Senior Engineer Training Program, shall be considered as having satisfied only the minimum entry level requirements of the Engineer Training Program. Such candidates shall be required to complete the 2-year Engineer Training Program in its entirety. The Senior Engineer Training Program may then be foreshortened by an amount of time, equal to the amount of time the candidate needs to become eligible for professional registration.

**(b) Application and selection:**

Candidates from accredited universities and within the Department may apply to any District Personnel Office or to the Personnel Resource Management Office in the Central Office for consideration to enter the program. The final authority for candidate selection remains with each District or appropriate Central Office Manager in cases where the Trainee position is in the Central Office. Engineer Trainee positions must be advertised statewide in accordance with **Chapter 60K-3** of the **Career Service System Rules**, Department of Management Services and filled in accordance with **DOT Procedure 250-015-005, Career Service Recruitment and Selection**.

**(2) PROGRAM CONTENT AND DURATION:**

The Engineer Training Program is a twenty-four (24) month, rotational training assignment in all aspects of the Department's work. The recommended minimum times in various phases are outlined below. The Trainee must be given meaningful work assignments that will provide hands-on experience from both an engineering and administrative perspective.

The training schedule for an individual Trainee is dependent upon the needs and



workload in the various phase areas. Normally, the training schedule will be developed using the recommended time frames below, but special needs may require modifying the training schedules somewhat.

For example, a District may have a specific need for engineers in Design. In such cases, a special schedule could be developed to emphasize those areas which impact Design by requiring more than the recommended time be spent in such phases as Construction and Maintenance. The Trainee must, however, be scheduled and attend all phases of training outlined below. The Program Coordinator will determine the schedule to include both District and Central Office exposure in these areas.

**(a) Central Office Training Phase (3 weeks)**

Orientation by DOT State Offices

**(b) District Training Phases - (101 weeks)**

Administration  
Transportation Planning (1 week)  
Public Transportation (1 week)  
Work Program  
Environmental Management (2-8 weeks)  
Surveying and Mapping (6-8 weeks)  
Roadway Design (4-12 weeks)  
Structures Design (4-12 weeks)  
Specifications  
Estimates  
Right of Way (1-2 weeks)  
Pavement Management  
Contracts Office  
Construction (8-12 weeks)  
Materials (2 weeks)  
Maintenance (8-12 weeks)  
Traffic Operations (6-12 weeks)  
Safety  
Computer Orientation  
Legal  
Specialty Phase (16-26 weeks)

The Specialty Phase begins after the eighteenth (18th) month of the program. The purpose of this phase is to transition the Trainee from rotational assignments to an internship in the Senior Engineer Training Program.

Prior to the Specialty Phase, the Trainee and the District Director decide which office the Trainee will be assigned to as a Senior Engineer Trainee.

Special Note: The Specialty Phase and Senior Assignment are ultimately at the discretion of the Director.

### **(3) PROGRAM ADMINISTRATION:**

Administration of the Engineer Training Program is the responsibility of the District Director of Operations in each District. Certain aspects of the program will be administered by the Personnel Resource Management Office in the Central Office. The duties of the Central Office and the District are outlined below:

#### **(a) Central Office (Personnel Resource Management Office)**

1. Schedule/coordinate the Central Office Training Phase of the Program.
2. Conduct Quality Assurance Reviews (QAR's) in each District in accordance with the established District Quality Control Plan for the Professional Engineer Training Program. QAR's will include interviews with Trainees to ascertain if program goals are being met.
3. Conduct Quality Assurance Reviews of the Responsible Offices in the Central Office (Surveyor, Roadway Design, Traffic Operations, Construction and Maintenance) to ensure the proper annual development and maintenance of questions for preparing required phase exams.
4. Update and maintain a current procedure for the program.
5. Serve as liaison to any Central office Manager who has a Trainee assigned to him/her, and monitor the Trainee's overall progress through the Program.

#### **(b) Responsible Office**

The office for the State Surveyor, State Roadway Design Engineer, State Traffic Operations Engineer, State Construction Engineer and the State Maintenance Engineer. These offices develop and maintain questions used to prepare

required phase exams.

1. Maintain a pool of one hundred (100) questions to prepare a unique phase exam for each discipline upon request from a District.
2. Update the pool of questions annually.
3. Grade the phase exam and notify the District within four weeks.

**(c) District Director**

The District Director shall:

1. Administer the program.
2. Develop the District training schedule for each Trainee and review with the Trainee.
3. Inform each Phase Supervisor when and how long trainees will be assigned to the respective phase.
4. Act as the Trainee Rater. Coordinate and review all Trainee phase critiques, Trainee ratings and any input deemed necessary from Phase Supervisors to complete the six-month performance evaluations. Take action to correct any deficiencies identified in the critiques or ratings.
5. Conduct Trainee orientation including what is expected of the Trainee and what the Trainee can expect.
6. Conduct periodic counseling with each Trainee regarding interest in the Program, attitude, performance and career options.
7. Hold periodic group meetings with Trainees and Phase Supervisors to discuss any problems in the training process.
8. With the Trainee, determine where the Senior Engineer Training assignment will be completed.
9. Approve all six-month incremental salary increases.
10. Provide guidance to the District Program Coordinator (In Districts where a Coordinator is used) on Trainee scheduling, assigned locations, length of phases, Trainee discipline and counseling.

**(d) District Program Coordinator**

The District Director may appoint a Program Coordinator. The District Program Coordinator may be delegated any of the items assigned to the District Director in section (3)(c) except item 4.

The District Director is ultimately responsible for the quality of the Program. Any issue related to the Training Program, regardless of delegated authority, must be a concern of the District Director of Operations.

**(e) Phase Supervisor**

1. Assume complete supervisory responsibility for all Trainees assigned to the respective phase, for the duration of the phase.
2. Develop an on-the-job training plan consisting of meaningful work assignments for each Trainee assigned to the phase. The outline and checklist found in **Attachment I** may be used as a guideline in developing the training plan. Trainee projects that simulate real world experience should be developed if work program/personnel resource constraints prevent experience on actual projects.
3. Provide a copy of the proposed training plan including a copy of the outline and checklist to the Trainee, District Director and District Program Coordinator, if appropriate.
4. Review the on-the-job training plan and appropriate training outline/checklist from this procedure, (**Attachment I**), with each Trainee on the first day of the Trainee's assignment in the phase.
5. Periodically review the Trainee's progress with the Trainee and any other managers to whom the Trainee is assigned.
6. Sign the training outline and checklist at the end of the phase, attesting the Trainee has been provided the training proposed in the training plan discussed on the first day of the phase. Provide detailed explanation for any training not provided.
7. Rate the Trainee's performance at the end of the phase utilizing **Form No. 250-015-09, Trainee Rating, (Attachment II)**. In addition to evaluating the Trainee on a numerical scale of 1 to 5, specific constructive criticism shall be provided on all ten development items. These comments should focus on Trainee

strengths as well as opportunities for improvement. Comments shall be professional and deliberate to provide the Trainee a foundation for continued development.

8. Submit a copy of each Trainee Rating to the District Director and District Program Coordinator if appropriate.

**(f) Engineer Trainee**

1. Call Phase Supervisor one week prior to reporting to confirm phase start date.
  2. Review phase training outline and checklist in **Attachment I** prior to the phase start date.
  3. Develop and maintain communication with Phase Supervisor and any other managers to whom Trainee is assigned. Issues regarding the training plan, quantity of work, quality of work, office environment, personality conflicts, performance expectations, professional and personal concerns shall be discussed and resolved as necessary throughout the phase.
  4. Complete a written critique of each phase training using the **Form No. 250-015-08, Program Phase Critique, (Attachment III)**. In addition to evaluating the phase on a numerical scale of 1 to 5, specific constructive criticism shall be provided on all ten development items. These comments shall be professional and deliberate for the benefit of the Phase Supervisor and future Trainees.
  5. Sign the outline and checklist at the end of the phase to confirm the actual training covered during the phase.
6. Submit a copy of the signed outline and checklist and phase critique to the District Director and District Program Coordinator.

**(g) District Personnel Office**

1. Process all employee and position actions necessary for the Trainee to successfully progress through the program.
2. Provide District orientation to the new Trainee.

3. Participate in Trainee recruitment and selection as appropriate.
4. Maintain the official personnel file for each Trainee with all appropriate related information including but not limited to:
  - \* Applicant Selection Report
  - \* employee application
  - \* official university transcript
  - \* phase critiques & trainee ratings
  - \* applicant selection guide
  - \* Fundamentals of Engineering exam results
  - \* employee action forms
  - \* performance evaluations and salary increases

#### (4) **TRAINEE PHASE RATINGS**

##### (a) **Trainee Rating**

Each Phase Supervisor shall complete **Form No. 250-015-09, Trainee Rating**, for any Trainee assigned to a phase four (4) weeks or longer in duration. This form contains ten unique development items to be evaluated as a measure of the Trainee's performance during the phase; from the Supervisor's perspective. Each item shall be addressed on a scale of 1 to 5, with 1 being poor and 5 being excellent. Fractional ratings in one-quarter increments may be handwritten on the rating form. If any two or more of the ten evaluation items on this form are rated less than three, the overall rating will be "unsatisfactory". If nine or more of the ten evaluation items are rated three or greater, the overall rating will be "satisfactory." An "unsatisfactory" rating will warrant specific action as defined in **Section (7)**. Additionally, specific constructive criticism shall be provided in the space allocated. These comments shall be professional and deliberate in nature for the benefit of the Trainee's continued development. Trainee Ratings shall be the basis for the six-month Trainee evaluation and corresponding incremental salary increase. At the discretion of the District Director, additional Trainee Ratings may be justified during the program. A copy of the Trainee Rating shall be submitted to the District Director and District Program Coordinator if appropriate. The Trainee Rating shall become a permanent part of the Trainee's official personnel file.

#### (5) **PERFORMANCE EVALUATION & INCREMENTAL SALARY INCREASE:**

##### (a) **Six-month Evaluations - Incremental Increases**

Six (6), twelve (12) and eighteen (18) months after the date the Trainee enters the Program, the District Director as appropriate shall conduct a performance evaluation with the Trainee. The evaluator shall use phase Trainee Ratings as a guide to determine the overall conduct, progress and attitude of the Trainee during the previous six months. This information shall be compiled on **Form No. 250-015-09, Trainee Rating**. This form contains ten(10) unique development items to be evaluated as a measure of the Trainee's performance during the period. Each item shall be addressed on a scale of 1 to 5, with 1 being poor and 5 being excellent. Fractional ratings in one-quarter increments may be handwritten on the form. If any two or more of the ten evaluation items on the six-month evaluation are rated less than three, the overall rating will be "unsatisfactory." The COPES system performance level will reflect a "P" indicating the need for a "Performance Improvement Plan." An "unsatisfactory" six-month evaluation shall warrant specific action as defined in **Section (7)**. If nine or more of the ten evaluation items are rated three or greater, the overall rating will be satisfactory. The COPES system performance level will reflect an "R" indicating "Performance Review Plan." If a six-month overall Trainee evaluation is "satisfactory", the Trainee is eligible for a five (5) percent incremental salary increase. All incremental salary increases are subject to budget and rate availability. The effective date shall be within one (1) pay period of the six-month evaluation date. Incremental salary increases shall not be granted without signature authorization from the District Director as required on **Form No. 250-015-09, Trainee Rating**. Such increases will be processed as an approved Trainee Increase in accordance with Training Schedule - Pay Adjustment Code 30. Engineer Trainees are not eligible for superior proficiency increases, however, they are entitled to any legislatively mandated salary adjustment. The six-month Trainee Evaluation shall become a permanent part of the Trainee's personnel file.

## **(6) PHASE EXAMINATIONS**

Five areas of the rotational training program require a written examination as an evaluation of the Trainee's comprehension of minimum technical knowledge. The State Surveyor, State Roadway Design Engineer, State Traffic Operations Engineer, State Construction Engineer and the State Maintenance Engineer shall be responsible, as the Responsible Office, for developing and updating annually a pool of one hundred (100) questions to be used to prepare a unique phase exam on upon request. An exam is not to be copied and reused, and identical exams may not be repeated for subsequent phase exams. The District Director or Program Coordinator will request each exam through the Responsible Office in the Central Office at least two (2) weeks prior to the completion of the phase.

The Responsible Office will send the exam to the District Director or Program Coordinator who will proctor the exam. Exams will be returned to the Responsible Office for grading. The District will be notified of the results within four (4) weeks. All phase exams must be taken and passed before the Trainee can successfully complete the program and be promoted. Additionally, any scheduled phase exams that are not completed successfully during any six (6) month period of the rotational program, shall be the basis to not grant an incremental salary increase. Retraining, by phase repetition not to exceed one-half the original phase duration, shall be mandatory for any Trainee failing any of the five (5) prescribed exams. Upon completion of the retraining phase, the Trainee shall be re-examined with a new phase exam. A second failure of any one phase exam shall result in dismissal from the program. Failure of any two phase exams, on the first attempt, shall also result in dismissal from the program.

**(7) PROGRAM COMPLETION:**

Successful completion of the Engineer Training Program is defined as completing the prescribed twenty-four (24) months of training as required in **Section (2)** and as further outlined in this procedure. Upon completion of the Engineer Training Program, the incumbent will be reassigned to the Senior Engineer Training Program (SET). A permanent district position may be utilized for the SET Program or the incumbent may occupy one of the originally allocated core Engineer Trainee (ET) positions for completion of this program. Extended use of a core Engineer Trainee position beyond twenty-four (24) months is provided to give Districts and other units with trainees more time and flexibility in locating SET positions or permanent positions when trainees graduate from the program.

However, it is not the intent to automatically allow the use of an allocated core ET position for the entire four years of the program. The District Director or Program Coordinator as appropriate will monitor the duration of the SET assignment in an allocated core ET position to ensure this doesn't occur as a routine.

When an allocated core ET position is used for SET work, no reassignment is necessary upon completion of the Engineer Training Program. The incumbent's position description will be updated to reflect the new duties and responsibilities of the SET. **Form No. 250-015-09, Trainee Rating**, will be completed as an overall evaluation of the Engineer Training Program and indicating entry into the SET Program. The Trainee Rating items will be completed in accordance with **Section (4)**. A pay increase may be granted upon entry into the SET Program in accordance with the Department's pay procedure.

**(8) DISCIPLINARY ACTION:**



Disciplinary action should comply with established conduct standards and guidelines set forth in **Rule Chapter 14-17.012, F.A.C.** Any disciplinary action involving a Trainee should be reviewed with the appropriate Personnel Office prior to taking the action.

Additionally, other specific actions may be taken by the District Director in the event a Trainee receives an unsatisfactory rating in any individual phase or on an overall six month evaluation. Such actions could include, but not be limited to:

- (a) Repeating the phase with emphasis on deficiencies and intermediate Trainee Ratings. This option will postpone the Trainee's six month Trainee Evaluation date and extend the Engineer Training Program duration.
- (b) Denying the next six month incremental salary increase.

**(9) DISMISSAL FROM THE PROGRAM:**

Dismissal from the Program is defined as dismissal from the Department or dismissal from the Program and demotion to an engineering position at a pay grade less than the Engineer Trainee classification. Two situations may justify dismissal from the Program:

- (a) Failure to or achieve a satisfactory rating on a phase a second time after repeating a phase.
- (b) An unsatisfactory Trainee Evaluation at 6, 12, 18 or 24 months in the Program.

**(10) DISMISSAL FROM THE DEPARTMENT:**

Dismissal from the Department may occur only upon approval of the District Director of Operations. Any disciplinary action involving dismissal or which could lead to dismissal from the Program or the Department must be reviewed with the appropriate Personnel Office prior to finalizing such action. Only Trainees who have attained Career Service status in another class have the right to appeal such action to the Public Employees Relations Commission.

**(11) ENROLLMENT IN THE SENIOR ENGINEER TRAINING PROGRAM:**

- (a) **Purpose:**

The primary purpose of the Senior Engineer Training (SET) Program is to provide career path opportunities for graduates of the Engineer Training Program. The SET Program is designed to be an internship offering real world engineering and supervisory experience, as well as specific training leading to registration as a Professional Engineer. Circumstances may justify placing engineers that have satisfied the degree, certificate and experience requirements in Senior Engineer Trainee positions for training purposes. In this case Senior Engineer Trainee positions shall be advertised. Senior Engineer Trainee positions are not to be advertised when used to advance Engineer Trainees in the Program.

**(b) Eligibility:**

To be eligible for a Senior Engineer Trainee position, the candidate must be a United States citizen or a lawfully authorized alien worker. This does not include sponsorship or practical training. Additionally, the candidate must have the following minimum qualifications:

1. Bachelor of Civil Engineering or Bachelor of Science in Civil Engineering degree from an ABET accredited university.
2. Successful completion of the first two years of the Department's Professional Engineer Training Program.

-OR-

1. Bachelor of Civil Engineering or Bachelor of Science in Civil Engineering from an ABET accredited university.
2. Fundamentals of Engineering certificate.
3. Two (2) years of experience as an engineer and eligibility to take the Professional Engineer Examination in no less than 24 months after appointment to the SET Program.

-OR-

1. One (1) year of experience as an engineer, a Masters Degree in Civil Engineering from an ABET accredited university, and the Fundamentals of Engineering certificate, and eligibility to take the Professional Engineer examination in no less than 24 months after appointment to the SET Program.

Special Note: The Senior Engineer Training Program is not open to

individuals eligible for registration or those who have more than two years of post graduation engineering experience.

## (12) TRAINING REQUIREMENTS:

The Senior Engineer Training Program (SET) is an internship where the Trainee gains practical experience. The assignments in the SET Program shall be determined by assessing the Trainee's knowledge, skills and abilities as well as the District's personnel resource needs. The Senior assignment is ultimately at the discretion of the District Director. The Trainee will be given appropriate supervisory and engineering authority. The SET Program shall be geared toward preparing the Trainee for registration as a professional engineer. In addition to on-the-job-training, the Trainee will be expected to attend and complete any technical training as offered, and managerial training such as:

- (a) Certified Public Manager Program (Levels I, II, III).
- (b) Employee Review and Performance Planning
- (c) Fundamental Skills of Communications
- (d) Fundamental Skills of Managing People
- (e) Disciplinary Action
- (f) Employee Grievance
- (g) Attendance and Leave
- (h) Employee Selection

## (13) TRAINEE MONITORING AND EVALUATION:

Progress of the Senior Engineer Trainee will be monitored by the Trainee's Supervisor, the District Director and the Program Coordinator. Every six (6) months, an informal review shall be conducted by the Supervisor with the Senior Engineer Trainee to discuss the Trainee's progress toward completing the stated training requirements. At the end of twelve (12) and twenty-four (24) months, the immediate Supervisor shall prepare **Form No. 250-015-09, Trainee Rating** in accordance with **Section (4)**. If the annual overall Trainee Rating is satisfactory, the Trainee's immediate supervisor will coordinate a five (5) percent salary increase granted within one (1) pay period of the Trainee's anniversary date. The annual increase shall not be granted without signature authorization of the District Director as required on **Form No. 250-015-09, Trainee Rating**. Such increases will be processed as an approved Trainee Increase in accordance with Training Schedule - Pay Adjustment Code 30. All annual salary increases are subject to budget and rate availability. Senior Engineer Trainees are not eligible for superior proficiency increases, however they are entitled to any legislatively mandated salary adjustment. Any unsatisfactory annual rating will warrant specific action as outlined in **Section (13)** which could include removal from the

Program. The annual **Trainee Rating** shall become a permanent part of the Trainee's personnel file. The District Director and Program Coordinator shall be responsible for tracking each Senior Engineer Trainee's progress towards professional registration.

**(14) DISCIPLINARY ACTION:**

Although Senior Engineer Trainees do not have Career Service status (permanent status), any disciplinary action should comply with established conduct standards and guidelines set forth in **Rule Chapter 14-17.012, F.A.C.** Any disciplinary action involving a Trainee should be reviewed with the appropriate Personnel Office prior to taking the action.

Additionally, other specific actions may be taken by the District Director in the event a Trainee receives an unsatisfactory overall rating:

- (a) Postponing any annual salary increase for any bi-weekly period up to six (6) months. This duration will extend the program completion date in kind, and shall not relieve the Trainee's obligation to apply for professional registration based on the original hire date.
- (b) Denying the annual salary increase.
- (c) Removing the Trainee from the Program and placing the Trainee in an appropriate Career Service position at or below the classification for Senior Engineer Trainee.

**(15) DISMISSAL FROM THE DEPARTMENT:**

Dismissal from the Department may only occur upon written recommendation of the District Director. Only Senior Engineer Trainees who have attained Career Service Status in another class have the right to appeal such action to the Public Employees Relations Commission.

**(16) PROGRAM COMPLETION:**

Successful completion of the Program is defined as taking the first Professional Engineer's examination administered by the Florida Board of Professional Engineers, for which the Trainee is eligible. The duration of the Senior Engineer Training Program is dependent upon the Trainee's eligibility for the P.E. exam, but under no circumstances shall the Trainee remain in the class for more than thirty-six (36) months. Failure to meet this obligation shall result in specific disciplinary action that could include removal from the Program and dismissal

from the Department. Upon notification of favorable examination results, the Trainee shall be promoted to the classification of Engineering/Architecture/Surveying - Level IV. A pay increase may be granted upon promotion in accordance with the Department's pay procedure. In the event that exam results are unfavorable, after thirty-six (36) months in the class, the Trainee must be placed in an appropriate Career Service position as determined by the District Director.

**(17) FORMS:**

Form No. 250-015-08, Program Phase Critique, and Form No. 250-015-09, Trainee Rating, are available from the Department's Forms Library.

## ATTACHMENT I - PHASE OUTLINE AND CHECKLIST

1. Planning
2. Surveying and Mapping
3. Design -
  - Roadway
  - Drainage
  - Traffic Plans and Standards
  - Structures
4. Traffic Operations
5. Right of Way Engineering
6. Right of Way Administration
7. Construction
8. Maintenance
9. Materials (District)
10. Materials (Gainesville)
11. Public Transportation
12. Environmental Management

TRAINEE: \_\_\_\_\_

**PLANNING** (Outline and Checklist)

- (1) Transportation Statistics and Data Base
  - (a) Periodic Traffic Count Program
  - (b) Continuous Traffic Count Program
  - (c) Vehicle Classification Program
  - (d) Roadway Characteristics Inventory
  - (e) Straight Line Diagrams
  - (f) Functional Classification
  - (g) Design Traffic
  
- (2) Multi-Modal Transportation Planning
  - (a) Urban Transportation Planning
    1. Metropolitan Planning Organization
      - a. MPO's Relationship to DOT
      - b. Unified Planning Work Program
      - c. Transportation Improvement Program (TIP)
    2. Long Range Transportation Plan Development
      - a. Model Development
        - (I) Networks
        - (II) Calibration
        - (III) Validation
        - (IV) Forecast
          - (A) Trip Generation
          - (B) Trip Distribution
          - (C) Trip Assignment
        - (V) Evaluation
          - (A) Needs Plan
          - (B) Cost Feasible Plan
      - b. Consultant Management
    - (b) FDOT Five Year Transportation Plan
      1. MPO and Local Government Coordination
      2. Preliminary Work Program  
Development/Transportation Priorities
      3. Adoption of Five-Year Transportation Priorities  
Plan
    - (c) Project Evaluation
      1. Design Traffic
      2. Development Regional Impact Study
    - (d) Systems Planning

- 1. NHS
- 2. FIHS
- 3. Corridor Action Plans and Interstate Master Plans

Signed: \_\_\_\_\_  
                                Trainee                                  Date

Verified: \_\_\_\_\_  
                                Phase Supervisor                                  Date



TRAINEE: \_\_\_\_\_

**SURVEYING AND MAPPING** (Outline and Checklist)

- (1) Introduction to Land Surveying
  - (a) Administrative Procedures
  - (b) District Surveying and Mapping
  - (c) Issue Schedule
  - (d) Issue Available Study Materials
  - (e) Necessary Coordination - Next Supervisor
  - (f) Public Relations - Do's and Don'ts
  
- (2) Base Line Control
  - (a) Records Research and Filing Systems
  - (b) Recovery of Control Stations and Bench Marks (BM's)
  - (c) Establishing Control Stations, Referencing and Preparing Reference Sketches
  - (d) Angular and Distance Measurements
  - (e) Computations for the Baseline Control (BLC) Transverse (Including Adjustment)
  
- (3) Design Surveys
  - (a) Horizontal
    1. Centerline Cutting
    2. Care and Use of Instruments
    3. Establishing Alignment
    4. Making and Computing Ties to Base Line Control (BLC) and Pre-Stationing Job
    5. Staking Centerline or Baseline (Including Chaining with Calibrated Tape)
    6. Computing and Staking Curves
    7. Side Street Intersections
    8. Topography, Including Taking Notes
    9. Centerline Referencing
  - (b) Vertical
    1. Research and Recovery of Bench Marks
    2. Care and Use of Level and Rods
    3. Establishing and Describing Job Bench Marks
    4. Running and Adjusting Bench Levels
    5. Regular Roadway Cross Sections
    6. Special Cross Sections
    7. Drainage Surveys
    8. Location and Obtaining Elevations on Underground

Utilities

- (4) Right of Way (R/W) and Other Land Surveys
  - (a) Public Records Research
  - (b) Public Land Corner Recovery
  - (c) Ties to Land Lines
  - (d) Subdivision and Small Property Corner Recovery and Ties
  - (e) Bridge Data Surveys
  
- (5) Final Examination and Critique
  - (a) Examination Administered
  - (b) Final Critique

Signed: \_\_\_\_\_ Date \_\_\_\_\_  
                    Trainee

Verified: \_\_\_\_\_ Date \_\_\_\_\_  
                    Phase Supervisor

TRAINEE: \_\_\_\_\_

**DESIGN - ROADWAY** (Outline and Checklist)

- (1) Introduction to Design Guidelines
  - (a) Roadway "Plans Preparation Manual"
  - (b) AASHTO "A Policy on Geometric Design of Highways and Streets"
  - (c) Standard Indexes
  - (d) Standard Specifications
- (2) Computer Applications
  - (a) GEOPAK
  - (b) CADD
  - (c) CES
- (3) Project Plans Review
  - (a) Field Notes Use
  - (b) Survey Details
    1. Alignment
    2. Topo
    3. Groundlines
  - (c) Alignment Determination
    1. Horizontal
    2. Vertical
  - (d) Cross Sections
  - (e) Drainage Sections
  - (f) Earthwork
  - (g) Quantities/Pay Items/Plans Notes
- (4) Test Review
  - (a) Reduce, Check and Plot Field Survey Notes
  - (b) Horizontal Curves
    1. Superelevation
    2. Sight Distance
  - (c) Vertical Alignment
    1. Stopping Sight Distance
    2. Passing Sight Distance
    3. Minimum Gutter Grades
  - (d) Drainage Structures
  - (e) Earthwork
- (5) Pavement Design
  - (a) Introduction
    1. Review of Pavement Design Process

- 2. Orientation to Supporting Manuals/Procedures
- (b) Project Reviews
- 1. Traffic Data
    - a. ADT
    - b. 18 kip axle loading
  - 2. Soils Data
    - a. Soil Support Value
  - 3. New Construction
    - a. Typical Section
    - b. Subgrade Stabilization
    - c. Optional Base
    - d. Structural Course
    - e. Friction Course
  - 4. Pavement Rehabilitation
    - a. Concrete Pavement (Rigid)
    - b. Asphalt Pavement (Flexible)

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                                Trainee                                Date

Verified: \_\_\_\_\_  
                                Phase Supervisor                                Date

TRAINEE: \_\_\_\_\_

**DESIGN - DRAINAGE** (Outline and Checklist)

- (1) Hydrology
  - (a) Review of Basic Hydrology Concepts Utilized to Determine Discharge
  
- (2) Hydraulics
  - (a) Crossdrain Design
    - 1. Historical Methods Used
  - (b) Design of Ditches
  - (c) Storm Sewer Design
  
- (3) Standard Indexes
  - (a) Inlets
    - 1. Ditch Bottom
    - 2. Curb Inlets
  - (b) Endwalls
  - (c) Underdrains
  
- (4) Review of Drainage Support
  - (a) Permitting
  - (b) Construction
  - (c) Maintenance
  - (d) Legal

Signed: \_\_\_\_\_  
                                Trainee                                Date

Verified: \_\_\_\_\_  
                                Phase Supervisor                                Date

TRAINEE: \_\_\_\_\_

**DESIGN - TRAFFIC PLANS AND STANDARDS** (Outline and Checklist)

- (1) Introduction
  - (a) Plans Preparation Manual
  - (b) Manual of Uniform Traffic Control Devices (MUTCD)
  - (c) Traffic Engineering Manual
  - (d) Traffic Control Devices Handbook
  - (e) Roadway and Traffic Design Standards
  - (f) FDOT Standard Specifications for Road and Bridge Construction
  
- (2) Project Reviews
  - (a) Signing and Markings
    - 1. Roadway
    - 2. Intersections
    - 3. Interchanges
    - 4. School
    - 5. Railroad
  - (b) Lighting
    - 1. Lighting Justification Procedure
    - 2. Conventional Lighting
    - 3. Highmast Lighting
    - 4. External Sign Lighting
  - (c) Signals
    - 1. Loops (Type)
    - 2. Signal Operation Plans
    - 3. Controller (Type)
    - 4. Placement of Signal Heads
    - 5. Signal Head Detail
    - 6. Controller Timing

Signed: \_\_\_\_\_  
                    Trainee                                    Date

Verified: \_\_\_\_\_  
                    Phase Supervisor                                    Date

TRAINEE: \_\_\_\_\_

**DESIGN - STRUCTURES** (Outline and Checklist)

- (1) Orientation
  - (a) Organization
    - 1. Central Office
    - 2. District Offices
  - (b) Bridge Components
  - (c) Reference Documents
    - 1. AASHTO
    - 2. Structures Design Guidelines
    - 3. Structures Detailing Manual
    - 4. Structures Standards
  
- (2) Structures Design
  - (a) Bridge Design
    - 1. Superstructure
    - 2. Substructure
  - (b) Miscellaneous
    - 1. Retaining Walls
    - 2. Sign Structures
    - 3. Box Culverts
    - 4. Traffic Signal Structures
  
- (3) Plans Review
  - (a) BDR
  - (b) Preliminary Plans
  - (c) Final Plans
  - (d) Specifications
  - (e) Estimates
  
- (4) Shop Drawing Review
  - (a) Administration/Process Review
  - (b) Component Review
    - 1. Stay-In-Place Forms
    - 2. Sign Structures
    - 3. Structural Steel
    - 4. Pedestrian/Bicycle Railings
    - 5. Expansion Joints
    - 6. Bearings
    - 7. Proprietary Walls
    - 8. Special Drainage Structures

- (5) Miscellaneous
  - (a) Construction Assistance
  - (b) Field Trips
  - (c) Computer Applications
  - (d) Maintenance Assistance
  - (e) Moveable Bridges
  - (f) Architecture

Signed: \_\_\_\_\_  
                                            Trainee                                            Date

Verified: \_\_\_\_\_  
                                            Phase Supervisor                                            Date



TRAINEE: \_\_\_\_\_

**TRAFFIC OPERATIONS (Outline and Checklist)**

- (1) Signing and Pavement Markings
  - (a) Information Systems Concepts
  - (b) General Procedures
  - (c) Review of Technical Manuals
  - (d) Legal Requirements
  - (e) Positive Guidance
  - (f) Parking Studies
  - (g) Speed Zones
  - (h) Review
  
- (2) Operational Analysis
  - (a) Review of Technical Manuals
  - (b) Data Collection Techniques
  - (c) Operational Studies (Purpose and Methodology)
    - 1. Roadway Operation
    - 2. Intersection Operation
  - (d) Analysis Techniques
    - 1. Manual Methods
    - 2. Computer Methods
  - (e) Review
  
- (3) Signalization and Signal Systems
  - (a) Legal Requirements
  - (b) Signal Needs Studies
  - (c) Signal Operation
  - (d) Computer Traffic Control
  - (e) Review
  
- (4) Safety
  - (a) Literature Review
  - (b) Accident Data Analysis
  - (c) Highway Safety Evaluation Studies
  - (d) Review

(5) Other Related Functions

Signed: \_\_\_\_\_ Date \_\_\_\_\_  
                    Trainee

Verified: \_\_\_\_\_ Date \_\_\_\_\_  
                    Phase Supervisor

TRAINEE: \_\_\_\_\_

**RIGHT OF WAY ENGINEERING (Outline and Checklist)**

- (1) Orientation
  - (a) Introduction to Supervisory Personnel
  - (b) Introduction to Right of Way Engineering Organization Structure
  - (c) Perusal of Chapter 1 through 7 of Right of Way Mapping Manual
  
- (2) Drafting
  - (a) Types of maps and their use
  - (b) Development of Right of Way map
  - (c) Source of information
  - (d) Measurements and Standards
  - (e) Revisions to maps-source
  - (f) Information to be reflected on aerial photo
  - (g) Preparation of sketches for legal purposes
  - (h) Review
  
- (4) Preparation of Legal Descriptions
  - (a) Types of conveying documents
  - (b) Deed, title search
  - (c) Plotting descriptions
  - (d) Strip descriptions
  - (e) Metes and bounds descriptions
  - (f) Other modes of description
  - (g) Preparation and transmittal of right of way package
  - (h) Preparation of suit information
  - (i) Application of knowledge and review

- (3) Right of Way Computations
  - (a) Basic Math requirements
  - (b) Automated geometry
  - (c) Liaison with consultants
  - (d) Liaison with Roadway Design
  - (e) Liaison with District Offices
  - (f) Review

Signed: \_\_\_\_\_ Date \_\_\_\_\_  
Trainee

Verified: \_\_\_\_\_ Date \_\_\_\_\_  
Phase Supervisor

TRAINEE: \_\_\_\_\_

**RIGHT OF WAY ADMINISTRATION** (Outline and Checklist)

- (1) Orientation and Briefing on Right of Way Organization and functions in Appraising, Acquisition, Relocations, Property, Management and Reports and Records
  
- (2) Assignment of Right ofWay Agent in field to observe negotiations in securing rights of way

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                                        Trainee                                        Date

Verified: \_\_\_\_\_  
                                        Phase Supervisor                                        Date

TRAINEE: \_\_\_\_\_

**CONSTRUCTION** (Outline and Checklist)

- (1) Orientation
  - (a) Function and organization of District Construction Office
  - (b) Duties of Resident Engineer
  - (c) Duties of Project Engineer
  
- (2) Construction Layout and Measurements
  - (a) Alignment - Horizontal and Vertical Control
  - (b) Cross Sectioning
  - (c) Quantity Measurements
  
- (3) Construction Inspection
  - (a) Clearing and Grubbing
  - (b) Earthwork
    - 1. Excavation
    - 2. Embankment
    - 3. Stabilization
    - 4. Densities
  - (c) Base
    - 1. Materials
    - 2. Placement
    - 3. Density
    - 4. Finishing
    - 5. Priming
    - 6. Subgrade Preparation
  - (d) Drainage Systems
    - 1. Excavation
    - 2. Dewatering
    - 3. Pipe laying
    - 4. Backfill
    - 5. Manholes/Inlets
  - (e) Box Culvert Construction
    - 1. Line and Grade
    - 2. Excavation
    - 3. Forming
    - 4. Steel Placement
    - 5. Pouring Concrete
    - 6. Stripping and Finishing
  
  - (f) Miscellaneous Concrete Construction

1. Curb and Gutter
  2. Sidewalk
  3. Ditch Pavement
  4. Endwalls
  5. Barrier wall
- (g) Pavement Construction
1. Concrete Pavement
    - a. Base Preparation
    - b. Placing Concrete
    - c. Finishing
  2. Asphalt Pavement
    - a. Placement - Thickness Determination
    - b. Rolling
    - c. Equipment Inspection
    - d. Straightedging
    - e. Recycling Pavement
- (h) Materials Batching Plants
1. Concrete Batch Plant
    - a. Inspection
    - b. Sampling and Testing
    - c. Reports
  2. Asphalt Plant
    - a. Inspection
    - b. Sampling and Testing
    - c. Reporting
- (i) Bridge Construction
1. Layout, Grades
  2. Pile Driving
  3. Substructure Construction  
(Forming, Steel, Concrete)
  4. Super-structure Construction  
(Forming, Steel, Concrete)

- (j) Other Construction Items
  - 1. Traffic Signals
    - a. Material Certification
    - b. Controllers, Heads
  - 2. Sign Placement
    - a. Structure
    - b. Material
  - 3. Pavement Markings
  - 4. Grassing, Mulching, Sodding
  - 5. Guardrail
  - 6. Highway Lighting
  - 7. Maintenance of Traffic
  - 8. Environmental Concerns
  
- (4) Contract Administration
  - (a) Contract Package
    - 1. Plans Review
    - 2. Specifications, Special Provisions
  - (b) Preconstruction Conference
  - (c) Project Diary
    - 1. Daily Report
    - 2. Engineer's Weekly Report
    - 3. Work Plan
  - (d) Contract Changes
    - 1. Plan Errors, Changed Conditions
    - 2. Time Extensions
    - 3. Supplemental Agreements
    - 4. Claims
    - 5. Extra Work
    - 6. Delinquency and Liquidated Damages
    - 7. Negotiating
  - (e) Other Contract Items
    - 1. Construction Scheduling
    - 2. Weekly, Monthly, Final Estimates
    - 3. DBE/EEO Provisions
  - (f) Utilities
  - (g) Final Inspections
  
- (5) Relations with Others
  - (a) FHWA
  - (b) Inspector General
  - (c) Central Office
    - 1. Quality Assurance Reviews



- 2. Area Engineers
  - (d) Public
  - (e) Contractor
  - (f) Utilities
  - (g) Other Government Agencies
  
- (6) DOT Policies
  - (a) Construction Procedures
  - (b) Materials Procedures
  - (c) Instructional Memorandums
  
- (7) Engineer's Supervisory Responsibilities
  - (a) Technician Training
    - 1. Qualification Programs
    - 2. Self Study Courses
    - 3. Technical Training
  - (b) Personnel Rules, Regulations, Procedures
  - (c) Engineer Training
    - (1) Administrative, Managerial
    - (2) Technical

Signed: \_\_\_\_\_ Date \_\_\_\_\_  
Trainee

Verified: \_\_\_\_\_ Date \_\_\_\_\_  
Phase Supervisor

TRAINEE: \_\_\_\_\_

**MAINTENANCE** (Outline and Checklist)

- (1) Orientation
  - (a) District Maintenance Engineer
  - (b) Maintenance Engineer
    - 1. Maintenance definition and purpose
    - 2. Value of adequate maintenance program to Department
    - 3. Organization of maintenance unit
    - 4. Source of revenue
    - 5. Cost distribution
  - (c) Assistant Maintenance Engineer
    - 1. Duties and responsibilities
    - 2. Public contact
    - 3. Work identification
    - 4. Work assigning
    - 5. Contract maintenance
  
- (2) Field Operations
  - (a) Roadway Base and Surface
    - 1. Various surface and base combinations
    - 2. Base and pavement failure causes and methods of repair
  - (b) Shoulders and Side Approaches
    - 1. Shoulder adjustment (raising or lowering as needed)
    - 2. Stabilizing
    - 3. Addition of paved apron for protection of pavement edge
  - (c) Roadside and Drainage
    - 1. Periodic inspection
    - 2. Cleaning storm sewers and cross drains
    - 3. Methods of sealing leaking joints in storm sewers
    - 4. Ditch paving - type and when used
    - 5. Roadside and outfall ditches
    - 6. Sub-drains
  - (d) Guard Rails and Fences
    - 1. Types used
    - 2. Maintenance required
  - (e) Landscape and Mowing
    - 1. Coordination of hand labor
    - 2. Planting
    - 3. Mulching, seeding, grassing, and fertilizing
    - 4. Machine mowing

- 5. Chemical control
- (f) Structures
  - 1. Routine inspection
  - 2. District bridge inspection program
  - 3. Various methods used for repair of bridges
  - 4. Methods and paint used on steel structures
  - 5. Repair of concrete bridge structures
  - 6. Maintenance and repair of drawbridges
  - 7. Bridge accidents - reports and repairs
  - 8. Bridge tenders and draw bridge
  - 9. Tunnel operation District IV
- (g) Traffic Controls and Services
  - 1. Sign crews and sign policy
  - 2. Symbol crews
  - 3. Pavement striping procedure and crews (districtwide)
  - 4. Traffic and railroad signal policy
  - 5. School zone policy
- (h) Litter Clean Up
  - 1. Hand labor
  - 2. Mechanical
- (i) Other Services
  - 1. Rest areas
  - 2. Wayside parks, board ramps, fishing walks
  - 3. Weigh stations
- (j) Skilled Trades
  - 1. Electrician
  - 2. Plumber
  - 3. Carpenter
  - 4. Trades foreman
- (k) Road Prisons
  - 1. Type crews
  - 2. Where used
  - 3. Jurisdiction of DOT and Division of Corrections
- (l) Highway Maintenance Supervisor
  - 1. Duties and responsibilities
  - 2. Supervision of work
- (3) Warehouse
  - (a) Personnel and duties
  - (b) Procurement of supplies by requisition
  - (c) Proper storage and handling of supplies
  - (d) Accounting procedure
  - (e) Disposal of junked or obsolete material
  - (f) State warehouse

- (4) Repair Shop
  - (a) Personnel and duties
  - (b) Tolls and equipment
  - (c) Procedure for obtaining parts
  - (d) Overhauls and trades
  - (e) Routine maintenance of equipment
  - (f) Gas station
    - 1. Purchasing and issuing procedures
    - 2. Inventory
  
- (5) Maintenance Office
  - (a) Personnel and duties of each
  - (b) Permits, reports, policies, and correspondence
  - (c) File system and records
  - (d) Maintenance budget preparation and execution
  
- (6) Maintenance Systems
  - (a) Maintenance Systems Engineer - duties and responsibilities
  - (b) Maintenance Management System
    - 1. Inventories
    - 2. Work plans
    - 3. Performance standards
    - 4. Scheduling
    - 5. Reporting and reports
    - 6. Crew studies
    - 7. Roadway Characteristics Inventory
  
- (7) District Maintenance Engineer and Staff
  - (a) District Maintenance Engineer - duties
  - (b) Assistant District Maintenance Engineer
  - (c) Roadway Engineer
  - (d) Systems Engineer
  - (e) Equipment Manager
  - (f) Bridge Engineer
  - (g) On-going program
    - 1. Centerline crews
    - 2. Facility maintenance
    - 3. Pavement condition surveys
    - 4. Training
    - 5. Maintenance Rating Program
  - (h) Contract Engineer
  - (i) Permit/Drainage Engineer
  - (j) Budget Engineer

- (8) Statewide Support
  - (a) Sign shop
  - (b) Aluminum fabrication shop
  - (c) State warehouse

Signed: \_\_\_\_\_  
                                Trainee                                Date

Verified: \_\_\_\_\_  
                                Phase Supervisor                                Date

TRAINEE: \_\_\_\_\_

**MATERIALS - DISTRICT** (Outline and Checklist)

- (1) Orientation
  - (a) Briefing on District Materials and Research Organization and functions
  - (b) Introduction to personnel
  
- (2) Materials
  - (a) Lab Tests
    - 1. Gradation (coarse & fine aggregate)
    - 2. Proctors (standard & modified)
    - 3. Limerock bearing ratio (L.B.R.)
    - 4. Concrete cylinders (storage & breaking procedures)
    - 5. Soil Classification
  - (b) Field Tests
    - 1. Soil sampling (stock piles or in-place material)
    - 2. Density tests (or nuclear gauge)
    - 3. Concrete slump test
    - 4. Concrete test cylinders
    - 5. Concrete Air Content
    - 6. Concrete Temperature
    - 7. Maturity Measurement
    - 8. Sign Inspection
  
- (3) Bituminous Materials
  - (a) Lab Tests
    - 1. Marshall's
    - 2. Bitumen content
    - 3. Aggregate gradation
    - 4. L.A. Abrasion and minus 200 tests
  - (b) Field Tests
    - 1. Stockpile contamination
    - 2. Sample aggregate
    - 3. Temperature checks
    - 4. Plant certification
    - 5. Coring
    - 6. Rolling straight edge
    - 7. Final inspection

- (4) Geotechnical Engineering
  - (a) Sampling Procedures
    - 1. Standard penetration test
    - 2. Auger borings
  - (b) Field Test
    - 1. Permeability
    - 2. Cone penetration test
    - 3. Corrosion testing
  - (c) Lab Test
    - 1. Triaxial shear
    - 2. Consolidation
  - (d) Geotechnical Analysis and Design
  - (e) Geotechnical Construction Monitoring
  - (f) Dynamic Pile Analysis
  
- (5) Prestress & Precast Structures
  - (a) Piles and Beams
    - 1. Layout
    - 2. Stressing
    - 3. Pouring and sampling
    - 4. Final inspection
  - (b) Precast Units
    - 1. Manufacture
    - 2. Final inspection
  
- (6) Portland Cement Concrete
  - (a) Testing
    - 1. Lab testing
    - 2. Sampling & testing
  - (b) Concrete Plant Inspection
    - 1. Aggregate handling & testing
    - 2. Other materials handling and testing
    - 3. Records
  - (c) Acceptance Methods
  - (d) Progress Record/Independent Assurance
  
- (7) Pavement Management
  - (a) Construction Plans
  - (b) Site Reconnaissance
  - (c) Cone Evaluation
  - (d) Report Writing
  - (e) Special Problems

Signed: \_\_\_\_\_  
                    Trainee                                    Date

Verified: \_\_\_\_\_  
                    Phase Supervisor                                    Date

TRAINEE: \_\_\_\_\_

**MATERIALS (GAINESVILLE) (Outline and Checklist)**

- (1) Orientation  
State Corrosion Engineer
  - (a) Welcome
  - (b) Functions & Organization
  
- (2) Structural, Chemical and Corrosion Section Orientation in equipment and procedures for testing concrete cylinders, cubes, beams and aggregates. Participate in sampling and testing operations. Discussions of quality control requirements for cement, aggregates, steel, and concrete. Discussion of current research projects and future trends in concrete research, including related problems such as shrinkage, creep, temperature effects, corrosion, and reactive aggregates.  
  
Instruction in procedures used to test, evaluate, and approve materials. Participation in the discussion of on-going research on corrosion control materials and methods. Analysis of environmentally sensitive materials.
  
- (3) Bituminous Materials Section  
  
Orientation in the organization and functions of this activity. Familiarization with current specifications. Assist laboratory personnel in testing asphalt plant and paving operations. Review of Department's Asphalt Pavement Program.
  
- (4) Pavement Systems Evaluation Section  
  
Orientation as to the objectives and methods used in this activity, including visual inspection and/or Friction Test Unit, Mays Meter, etc.
  
- (5) Geotechnical Materials Section  
  
Orientation in the use of soil testing equipment and procedures in the central soil



lab, including discussion of relationship between District Materials labs and central lab. Explanation of the statewide functions and responsibilities of the aggregate control section, including the importance of random sampling to the control program. Explanation of the functions of the field section, including discussion of the use of the various field testing equipment. Observation of research in progress in the test pit.

- (6) Exit Interview
  - (a) Critique

Signed: \_\_\_\_\_ Date \_\_\_\_\_  
                  Trainee                                       

Verified: \_\_\_\_\_ Date \_\_\_\_\_  
                  Phase Supervisor

TRAINEE: \_\_\_\_\_

**PUBLIC TRANSPORTATION (Outline and Checklist)**

- (1) Public Transportation
  - (a) Organization
  - (b) District Relationship
  - (c) Goals and Objectives
  - (d) Funding
  
- (2) Aviation Office
  - (a) Overview
    - 1. Functions
    - 2. Publications
  - (b) Aviation Development
    - 1. New airports
    - 2. Noise and land use
    - 3. Tall structures
    - 4. Pilot education
  - (c) Aviation Operations
    - 1. Federal AIP Program
    - 2. State Grant Programs
    - 3. Airport inspection/licensing
  - (d) Field Trip
    - 1. Airport
      - a. Field base operations
      - b. Weather operations
      - c. Hangars
      - d. Fixed base operations
  
- (3) Rail Office
  - (a) Overview
    - 1. Functions
    - 2. Operational objectives
  - (b) Rail Programs
    - 1. Development
      - a. ISTEA High Speed Rail Corridor
      - b. Grade Crossing Safety Projects
      - c. Commuter rail projects
      - d. Ports and intermodal program
    - 2. Systems

- a. Amtrack projects
    - 3. Safety
      - a. Overview
      - b. Functions
    - (c) Rail Operations
      - 1. Contract negotiations
      - 2. Permits and hearings
      - 3. Reports and billing
    - (d) Field Trip
      - 1. Track system
      - 2. Track inspection
      - 3. Reports
      - 4. Crossing signals
      - 5. Crossing surfaces
- (4) Transit Office
  - (a) Office overview
    - 1. Office objectives
    - 2. Federal/state/local relationship
    - 3. Funding/chapter 341
  - (b) Transit Development
    - 1. Program/budget development
    - 2. Application of innovative techniques
    - 3. New programs for Florida
  - (c) Transit Operations
    - 1. Urban: transit program
    - 2. Small urban/rural program
    - 3. Transportation disadvantaged program
    - 4. Service support program
      - a. design engineering/criteria
      - b. Bus fleet
      - c. Bus rehabilitation



\* \* \* \* \*

**Attachments II and III** of this procedure are not displayed in the Infobase. See **Section (17)** of this procedure for accessing forms that are included as **Attachments II and III**.

## Section 13.1

# RIGHT OF WAY TRAINING PROGRAM

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## Section 13.1

# RIGHT OF WAY TRAINING PROGRAM

### PURPOSE

To describe the requirements for participation in the Right of Way Training Program, the respective responsibilities associated with its conduct, and the operations necessary for it to be effectively carried out.

The purposes of the Training Program are to:

- (A) Improve professional competence;
- (B) Provide salary incentives;
- (C) Improve the right of way property acquisition process;
- (D) Prepare employees for advancement.

### AUTHORITY

Sections 20.23 (3) (a) and Section 334.048, Florida Statutes (F.S.); Section 216.521 (3), F.S, Rules of the Department of Management Services Personnel Management System - Chapter 60L-33, Florida Administrative Code (F.A.C.)

### SCOPE

All employees of the Office of Right of Way and the Personnel Office of both Central Office and the Districts.

### TRAINING

There is no mandatory training required in applying this section. All requirements of the Right of Way Training Program are outlined in this section.



## FORMS

The following forms are available through the FDOT Forms Library:

[575-000-02, Right of Way Specialist Trainee Work Unit Worksheet](#)  
[575-000-03, Trainee Rating Form, Real Estate, Level II Training Program](#)  
[575-000-04, Trainee Rating Form - First Year, Right of Way Level III Training Program](#)  
[575-000-05, Trainee Rating Form - Second Year, Right of Way Level III Training Program](#)  
[575-000-06, Trainee Rating Form - Third Year, Right of Way Level III Training Program](#)

## BACKGROUND

In conjunction with the Department's overall policy to increase its internal professional standards and improve its operating practices and procedures, formalized training programs have been developed for Real Estate, Level II employees and selected Real Estate, Level III employees.

The Training Program is designed as an internship where the trainee works with real world situations using knowledge and skills introduced in a specially designed series of courses. Success in the Program is based on passing courses and receiving satisfactory trainee performance ratings from the supervisor. Trainees are expected to be productive employees, apart from their participation in the Training Program.

Conduct of the Training Program described herein is contingent on the approval of funding for each fiscal year and is subject to change based on the Department's needs.

### 13.1.1 Real Estate, Level II Training Program - Eligibility

Participation in this program is mandatory for all Real Estate, Level II employees except those who have completed the Real Estate, Level II Training Program within the previous three (3) years. Participants will be appointed to the Program with trainee status effective on the date the training cycle in which they are enrolled officially begins, which status shall be retained until graduation from the Program or removal from the Program by promotion or as described in **Section 13.1.18**. The official beginning date of a trainee's training cycle is determined by the Central Office Training Program Manager and notification is by a **Letter of Appointment**, see **Attachment 1**.

### 13.1.2 Real Estate, Level III Training Program - Eligibility

Participation in this Program is not available to all Real Estate, Level III employees. Entry

into the Program is by appointment of the District Right of Way Manager. Participants will be appointed to the Program with trainee status effective on the date the cycle in which they are enrolled officially begins, which status shall be retained until graduation from the Program or removal from the Program by promotion or as described in **Section 13.1.18**. The official beginning date of a trainee's training cycle is determined by the Central Office Training Program Manager and notification is by a **Letter of Appointment**, see **Attachment 2**. To be eligible for the Real Estate, Level III Program, a candidate must meet all the following minimum qualifications:

- (A) Be currently employed with the Department as a Real Estate, Level III and be assigned full time to a unit that encompasses one or more of the major functions typically undertaken by the Appraisal, Appraisal Review or Valuation Services Section of the Office of Right of Way;
- (B) Have been employed with the Department as a Real Estate, Level III employee for four years or less.
- (C) Have successfully completed the courses "**AI-110 - Appraisal Principles**" and "**AI-210 - Appraisal Procedures**" which are provided by the Appraisal Institute.

### 13.1.3 Enrollment Procedure

**13.1.3.1** Potential trainees must acknowledge receipt of their **Letter of Appointment**, which stipulates the current terms of the Program, before beginning the Program. The original **Letter of Appointment** is placed in the trainee's official personnel file in the District. Copies of the **Letter of Appointment** are to be sent to the Right of Way Training Program Manager in Tallahassee, the trainee's immediate supervisor, and the trainee.

**13.1.3.2** All trainees are placed on trainee status in the personnel system as noted in **Section 13.1.3.1**. It is the responsibility of the District Right of Way Office to notify the appropriate District Personnel Office of this appointment and the requirement to place the employee on trainee status.

**13.1.3.3** Upon leaving the Training Program, a trainee whose probationary period has not concluded will again be placed on probationary status for the time remaining in his/her probationary period. Probationary and trainee status do not run concurrently.

### **13.1.4 Program Requirements**

Work unit credits may be earned from any section of the Right of Way production process. A prescribed format of courses and a minimum number of required units of demonstrated work products will be included in each segment of the Right of Way Training Program and are identified separately from this section. The course curriculum and required demonstrated work products will be defined by the Director, Office of Right of Way in concert with the District Right of Way Managers. However, these courses and required units of demonstrated work may be amended at any time during the course of the Program at the discretion of the Department when necessary to carry out the responsibilities of the Department. Supporting documentation and evidence of each trainee's satisfactory completion of required demonstrated work products will be maintained in the files of the Right of Way Office of the trainee's respective district.

### **13.1.5 Real Estate, Level II Program - Duration**

This Program is twenty-four (24) months in duration. It is composed of four six-month segments. Within each segment, the trainee is required to attend and satisfactorily complete designated courses, to complete prescribed demonstrated work products and to work and train in a variety of functional areas within Right of Way. The above timeframes are in force even if courses in the curriculum are exempted under provisions of the Program. The cross training provided by the districts will be as follows:

- (A)** In the first segment, the district will provide each trainee experience in any section of the Right of Way production process.
- (B)** In the second, third, and fourth segments, each trainee must complete mandatory work units in the area of Appraisal in accordance with the Right of Way Level II Demonstrated Work Unit Schedule. The district must also ensure that each trainee produces mandatory work units outside of the trainee's section of assignment.
- (C)** At the completion of the 24-month Level II Training Program, each trainee must have a minimum of four (4) work unit credits in each of the Right of Way disciplines of Acquisition, Relocation, and Property Management. The District Right of Way Manager or designee will be responsible for determining that all work products meet Department standards.

### **13.1.6 Real Estate, Level III Program - Duration**

This Program is thirty-six (36) months in duration and is composed of three one-year segments. In addition to regular work assignments, a prescribed format of courses and required units of work will be included in each segment of the program. The Deputy District Right of Way Manager - Appraisal will make appropriate assignments for demonstrated work products to assure that, as much as practicable, course work and training assignments are coordinated to optimize the value of each. The above timeframes are in force even if courses in the curriculum are exempted under provisions of the Program.

### **13.1.7 Trainee Performance Requirements - General**

Following each required course and examination, the Central Office Training Program Manager is responsible for notifying each District Right of Way Manager regarding the performance of trainees in his/her district. District Right of Way Managers or their designees are responsible for assigning and certifying completion of work product assignments in the district work program that will enable the trainee to utilize the course work.

### **13.1.8 Real Estate, Level II Program - Trainee Ratings**

**13.1.8.1** Progress of the trainee will be monitored by the designated supervisor and the District Right of Way Manager. Every three (3) months, between formal ratings, an informal review will be conducted by the trainee's supervisor with the trainee. Its purpose will be to ascertain the trainee's progress toward meeting the Training Program's objectives, particularly the work experience requirements, and to identify those areas within which the trainee needs assistance so as to successfully complete the Program.

**13.1.8.2** A formal trainee rating will be completed by the trainee's supervisor at the end of six (6), twelve (12), eighteen (18) and twenty-four (24) months on a form provided by the Central Right of Way Office. The rating will specify whether the trainee's job performance is satisfactory or unsatisfactory. [Form No. 575-000-02, Right of Way Trainee Work Unit Worksheet](#) and [Form No. 575-000-03, Trainee Rating Form Real Estate, Level II Training Program](#) must be transmitted to the Central Office Training Program Manager not less than three (3) weeks prior to the end of each segment and a copy sent to the District Personnel Office.

Formal trainee ratings will be given not less than once every six (6) months. Additional ratings may be given at any time the respective trainee's performance falls below acceptable standards. The rating period must be clearly shown and may not be less than

sixty (60) days from the earlier rating.

### **13.1.9 Real Estate, Level II Program - Course Examinations**

**13.1.9.1** An examination will be given at the end of each required course. Trainees will be tested on their comprehension of the course material. Courses will be considered satisfactorily completed when the respective course examination has been passed.

**13.1.9.2** If a trainee has taken and passed a required course or its approved substitute within the three (3) years preceding the date the course is offered in the Program, the trainee will not be required to take it again. However, he/she must provide proof of passing the course and of the date it was taken. The final determination of what constitutes approved substitutes is the responsibility of the Central Office Training Program Manager, with the concurrence of a majority of District Right of Way Managers. The trainee will be responsible for all course material covered during each segment.

**13.1.9.3** Whenever trainees are unable to successfully complete a required course they may retake the course as many times as they wish at their own expense and on their own time with no penalty for failing those courses and exams which have been at their own expense and on their own time. Trainees may retake courses at the Department's expense only once. Failure to pass the Department provided course exam a second time will result in dismissal from the Training Program under the conditions outlined in **Section 13.1.18.3**. A trainee will not receive a salary increase under the provisions of **Section 13.1.19** until the end of the segment in which the course is successfully completed. If segment increases have been delayed because of course failure, all training increases for each segment will be computed based on the current base salary of the trainee after adding the delayed segment salary increase(s).

**13.1.9.4** It is the trainee's responsibility to arrange, through the course provider, such as the Appraisal Institute (AI) International Right of Way Association (IRWA), etc., to take subsequent courses of a previously failed course. Upon request, Central Office is available to assist with such arrangements.

### **13.1.10 Real Estate, Level II Program - Promotions While in the Program**

The advancement of fully qualified professionals is encouraged. However, in order to promote a trainee in the Training Program prior to completion of the Program, the trainee must have successfully completed each training course scheduled prior to the date of promotion and a prorata share of demonstrated work products scheduled to that date of

promotion.

### **13.1.11 Real Estate, Level II Program - Program Time Limit**

Trainees will be allowed to repeat an unlimited number of course exams and segment exams once, but in no circumstance will the training period for the entire ***Real Estate, Level II Training Program*** for any trainee be allowed to extend beyond four (4) years from the date of enrollment in the Program, unless an exception is granted by the District Right of Way Manager and the Director, Office of Right of Way. Failure to complete the entire ***Real Estate, Level II Training Program*** within four (4) years from commencement will result in:

Any personnel action, including but not limited to suspension, dismissal, reduction in pay, demotion, or reassignment, at the discretion of the District Right of Way Manager. While the employee is in a trainee or probationary status, he/she is not in a career service protected position. These actions are exempt from the provisions of ***Section 110.227 and Chapter 120, F.S.***

### **13.1.12 Real Estate, Level III Program - Trainee Ratings**

**13.1.12.1** Progress of the trainee will be monitored by the designated supervisor and the District Right of Way Manager. Every six (6) months, between formal ratings, an informal review will be conducted by the trainee's supervisor with the trainee. Its purpose will be to ascertain the trainee's progress toward meeting the Department's goal of having a staff of experienced, educated review appraisers who can ably handle the most complex appraisal problems. Its purpose will also be to identify those areas within which the trainee needs assistance so as to successfully complete the Program.

**13.1.12.2** A formal rating will be completed by the trainee's supervisor at the end of twelve (12), twenty-four (24), and thirty-six (36) months on a form provided by the Central Right of Way Office. The rating will specify whether the trainee's job performance is satisfactory or unsatisfactory. **[Forms No. 575-000-02, Right of Way Trainee Work Unit Worksheet](#)** and either **[Form No. 575-000-04, Trainee Rating Form - First Year Right of Way, Level III Training Program](#)**, **[Form No. 575-000-05, Trainee Rating Form - Second Year Right of Way, Level III Training Program](#)**, or **[Form No. 575-000-06, Trainee Rating Form - Third Year Right of Way, Level III Training Program](#)** must be transmitted to the Central Office Training Program Manager not less than three (3) weeks prior to the end of each twelve (12) month segment. A copy of this rating will be sent to the District Personnel Office.

Formal trainee ratings will be given not less than once every twelve (12) months. Additional ratings may be given at any time the respective trainee's performance falls below acceptable standards. The rating period must be clearly shown and may not be less than sixty (60) days from the earlier rating.

### **13.1.13 Real Estate, Level III Program - Course Examinations**

**13.1.13.1** An examination will be given at the end of each required course. Trainees will be tested on their comprehension of the material presented in the course.

**13.1.13.2** If a trainee has taken and passed a required course, or its approved substitute, within the three (3) years preceding the date the course is offered in the Program, the trainee will not be required to take it again. However, he/she must provide proof of passing the course and of the date it was taken. The final determination of what constitutes approved substitutes is the responsibility of the Central Office Training Program Manager, with the concurrence of the Director, Office of Right of Way. The trainee will be responsible for all course material covered during each segment.

**13.1.13.3** In the event that trainees are unable to successfully complete a required course they may retake the course as many times as they wish at their own expense and on their own time with no penalty for failing those courses and exams which have been at their own expense and on their own time. Trainees may retake courses only once at the Department's expense. Failure to pass the Department provided course exam a second time will result in dismissal from the Training Program under the conditions outlined in **Section 13.1.19.3**.

**13.1.13.4** It is the trainee's responsibility to arrange, through the course provider, such as the AI, IRWA, etc., to take subsequent courses of a previously failed course. Upon request, Central Office is available to assist with such arrangements.

### **13.1.14 Real Estate, Level III Program - Promotions While in the Program**

The advancement of fully qualified professionals is encouraged. However, in order to promote or transfer a trainee within Right of Way to a unit other than appraisal or valuation services, the trainee must have successfully completed each training course scheduled prior to the date of promotion and a prorata share of demonstrated work products scheduled to that date of promotion.

### **13.1.15 Real Estate, Level III Program - Program Time Limit**

Trainees will be allowed to repeat an unlimited number of course exams and segment exams once, but under no circumstances shall the training period for the entire Real Estate, Level III Training Program for any trainee be allowed to extend beyond five (5) years, unless specifically authorized by the District Right of Way Manager and the Director, Office of Right of Way.

### **13.1.16 Real Estate, Level III Program - Program Time Limit**

Failure to complete the entire Real Estate, Level III Program within five (5) years from commencement will result in removal from the Training Program in accordance with **Section 13.1.18**.

### **13.1.17 Payment of Exam and License Fees**

The Department will not pay the fee for any licenses or certifications or for examinations pertaining thereto. Such payment is considered a perquisite and prohibited by law.

### **13.1.18 Removal from the Training Program**

**13.1.18.1** Any trainee who receives two successive unsatisfactory ratings from the supervisor will be removed from the Training Program under the terms and conditions described in **Section 13.1.18.3**.

**13.1.18.2** Should a trainee fail a second time an exam for a course provided and paid for by the Department, the trainee shall be removed from the Training Program under the terms and conditions described in **Section 13.1.18.3**.

**13.1.18.3** Removal from the Training Program will result in:

Any personnel action, including but not limited to suspension, dismissal, reduction in pay, demotion, or reassignment, at the discretion of the District Right of Way Manager. While the employee is in a trainee or probationary status, he/she is not in a career service protected position. These actions are exempt from the provisions of **Section 110.227 and Chapter 120, F.S.**



### **13.1.19 Eligibility for Salary Increases**

**13.1.19.1** Subject to the approval required by **Section 216.251 (3), F.S.**, Real Estate, Level II trainees who successfully complete all the requirements for a given six (6) month segment of the Training Program will receive a five percent (5%) salary increase. The effective date of the increase will be the end of the respective segment or the end of the segment during which the trainee completes the last requirement of the segment. A trainee may take courses offered in succeeding segments prior to completing requirements for an earlier segment, with the approval of the trainee's supervisor and the Central Office Training Program Manager. However, in no case may he/she receive a salary increase until all the requirements of each previous segment are satisfied. This salary increase is subject to budget and rate availability.

**13.1.19.2** Promotion to Real Estate, Level III upon completion of the Real Estate Level II Training Program is at the discretion of the District Right of Way Manager and is encouraged. Reclassification and/or promotion and salary increase are contingent upon rate availability in the district, and should be planned for. Such promotion will be accompanied by not more than a ten percent (10%) salary increase unless exceptional circumstances warrant an advanced appointment rate. This salary increase is subject to budget and rate availability.

**13.1.19.3** Subject to the approval required by **Section 216.251 (3), F.S.**, Real Estate, Level III trainees who successfully complete all the requirements for each one (1) year segment will receive a ten percent (10%) salary increase. The effective date of the increase will be the end of the respective segment or the date on which the trainee completes the last requirement of the segment, but not earlier than the segment ending date. With the approval of the trainee's supervisor and the Central Office Training Program Manager, a trainee may take courses offered in succeeding segments prior to completing requirements for an earlier segment. In no case may he/she receive a salary increase until all requirements of the previous successive segment are satisfied. This salary increase is subject to budget and rate availability.

### **13.1.20 Processing Salary Increases**

**13.1.20.1** The Central Office Training Program Manager must recommend all increases to the District Personnel Office after receipt of approval of the District Right of Way Manager.

**13.1.20.2** The Central Office Training Program Manager will notify the District Right of Way Manager, and the District Personnel Office of the names of trainees who have earned a salary increase and the percent of increase.

### **13.1.21 Employee Benefits**

Trainees will receive the employment benefits offered State employees.

### **13.1.22 Program Completion**

Upon successful completion of the Program, the trainee will receive a training certificate. Trainees who do not complete the Program in its entirety as outlined in this procedure will not be eligible for certification.

## **HISTORY**

04/15/1999, 10/14/2002, 04/04/07

**LETTER OF APPOINTMENT - REAL ESTATE, LEVEL II**

(Trainee Name and Address)

Dear \_\_\_\_\_,

Congratulations. You have been appointed to the Florida Department of Transportation Right of Way Training Program effective \_\_\_\_\_ (date)

Below is a description of the basic elements of the Program as found in the **Right of Way Manual, Section 13.1**. The Program is subject to change based on statutory requirements, the needs of the Department, budget authorization and rate availability.

The terms and conditions of the Right of Way Training Program are set forth in the **Right of Way Manual, Section 13.1**. The trainee will abide by all provisions of **Section 13.1**, and particularly note the following:

- Participation in the Real Estate, Level II Training Program, beginning with the next available cycle, is mandatory for all newly appointed Real Estate, Level II employees. (Section 13.1.1)
- On the official date when your Training Program cycle begins, you will be placed on Trainee status which shall be retained until graduation from the Training Program. (Section 13.1.3)
- Upon your successful completion of the Training Program, you will again be placed on Probationary status pursuant to **Rule 60L-33.003 (1)(c), F.A.C.** for the remainder of time to complete a total of your one-year probationary period. (Section 13.1.3.3)
- Upon successful completion of each six-month segment you will receive a 5% salary increase. if such increase is approved pursuant to **Section 216.251 (3), F.S.** (Section 13.1.19.I)
- You will receive all employment benefits for State employees. (Section 13.1.21)
- If you receive two successive unsatisfactory ratings from your supervisor or failure to pass exams (allowing two attempts paid for by the Department), you will be subject to:

Any personnel action, including but not limited to suspension, dismissal, reduction in pay, demotion, or reassignment, at the discretion of the District Right of Way Manager. While the employee is in a trainee or probationary status, he/she is not in a career service protected position. These actions are exempt from the provisions of **Section 110.227 and Chapter 120, F.S.** (Section 13.1.18.3)

THIS IS TO ACKNOWLEDGE RECEIPT OF RIGHT OF WAY MANUAL, SECTION 13.1, AND MY UNDERSTANDING OF ITS CONTENTS AND I AGREE TO THE PROVISIONS THEREIN:

\_\_\_\_\_  
Signature of Employee

\_\_\_\_\_  
Date

**LETTER OF APPOINTMENT - REAL ESTATE, LEVEL III**

(Trainee Name and Address)

Dear \_\_\_\_\_,

Congratulations. You have been appointed to the Florida Department of Transportation Real Estate, Level III Training Program effective \_\_\_(date)\_\_\_.

Below is a description of the basic elements of the Program as found in the **Right of Way Manual, Section 13.1**. The Program is subject to change based on statutory requirements the needs of the Department, budget authorization and rate availability.

The terms and conditions of the Right of Way Training Program are set forth in the **Right of Way Manual, Section 13.1**. The trainee will abide by all provisions of **Section 13.1**, and particularly note the following:

- Participation in the Real Estate, Level III Training Program, beginning with the next available cycle, is by appointment of the District Right of Way Manager. (Section 13.1.2)
- On the official date when your Training Program cycle begins, you will be placed on Trainee status which shall be retained until graduation from the Training Program. (Section 13.1.3)
- Upon your successful completion of the Training Program, you will again be placed on Probationary status pursuant to **Rule 60L-33.003 (1)(c), F.A.C.** for the remainder of time to complete a total of your one-year probationary period. (Section 13.1.3.3)
- Upon successful completion of each one-year segment you will receive a 10% salary increase if such increase is approved pursuant to **Section 216.251 (3), F.S.** (Section 13.1.19.3)
- You will receive all employment benefits for State employees. (Section 13.1.21)
- If you receive two successive unsatisfactory ratings from your supervisor or failure to pass exams (allowing two attempts paid for by the Department), you will be subject to:

Any personnel action, including but not limited to suspension, dismissal, reduction in pay, demotion, or reassignment, at the discretion of the District Right of Way Manager. While the employee is in a trainee or probationary status, he/she is not in a career service protected position. These actions are exempt from the provisions of **Section 110.227 and Chapter 120, F.S.** (Section 13.1.18.3)

THIS IS TO ACKNOWLEDGE RECEIPT OF RIGHT OF WAY MANUAL, SECTION 13.1, AND MY UNDERSTANDING OF ITS CONTENTS AND I AGREE TO THE PROVISIONS THEREIN:

\_\_\_\_\_  
Signature of Employee

\_\_\_\_\_  
Date

**FLORIDA DEPARTMENT OF TRANSPORTATION**  
**DISCRETIONARY PAY INCREASE REQUEST**  
**FOR FISCAL YEAR 2011-12**

**1. How long will this requested authority be in effect?**

The Department's ability to implement discretionary pay increases is critical to the Department's ability to reward employees who perform their duties in an exemplary manner. It is requested that the Department be given the authority to provide discretionary pay increases pursuant to s. 110.2035 (6) (a), Florida Statutes and Rule 60L-32.001, Florida Administrative Code, indefinitely.

**2. What classes are involved in this request?**

All employees in all classes within the Department who perform their duties in an exemplary manner and that merit a discretionary pay increase.

**3. What is the area impacted (e.g. certain counties, statewide, varies)?**

The ability to provide discretionary pay increases has a statewide impact.

**4. Justification**

The Department of Transportation is a decentralized agency, as defined in s. 20.23, Florida Statutes. Because of this statutory organization, each of its districts and the Turnpike Enterprise are able to effect pay increases for employees within their jurisdiction. In order for the Department to comply with the current statutory language in s. 216.251(3), Florida Statutes, which prohibits pay increases to a cohort of employees in the same job classification, the Department is requesting the authority to grant meritorious pay increases to employees based on the employee's exemplary performance. While there are many employees in most job classifications, exemplary performance is determined by assessing the employee's performance against the job expectations for the employee

**5. Historical**

During the 2006 Regular Legislative Session, s. 216.251(3), Florida Statutes, was amended to prohibit general salary increases for a cohort of positions sharing the same job classification or job occupation unless authorized by the Legislature in the General Appropriations Act or other laws (Section 34, Chapter 2006-122, Laws of Florida). The impact of this language and how it has been

interpreted has caused enormous impact to Department employees who in the past have received merit pay increases, pursuant to authority given to agencies in s. 110.2035 (6) (a), Florida Statutes and Rule 60L-32.001, Florida Administrative Code.

## **6. Estimated Cost**

The estimated cost of implementing discretionary pay increases will not exceed the Department's existing salary and benefits budget nor will they exceed the Department's approved rate.

## **7. Is there any additional information you would like to provide?**

The Department is not requesting any additional rate or appropriations for this action. Granting discretionary pay increases to employees based on merit is an accepted practice in both the public and private sectors and serves as a critical tool for retention and recognition in the workforce.

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                                COL A03
                                AGY REQUEST
                                FY 2011-12
                                POS      AMOUNT
                                -----
TRANSPORTATION, DEPT OF
EQUIPMENT NEEDS
REPLACEMENT EQUIPMENT FOR MATERIALS
AND TESTING LABORATORIES
                                001
                                948,500
TRUST FUNDS.....
                                =====
                                2000
  
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SCH VIIIA NARR 11-12 NOTES:

- LRPP Reference: Goal #1: Preserve and manage a safe, efficient transportation system.
- Goal #3: Organizational excellence by promoting and encouraging continuous improvement.

Funds needed to support additional replacement of specialized testing equipment at the State Materials Laboratory in Gainesville. This specialized equipment is needed to ensure roads are constructed in a manner which is safe for travel and the durability and cost effectiveness of materials used in highway and bridges is optimized.

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ADDITIONAL EQUIPMENT FOR MATERIALS
AND TESTING LABORATORIES
                                002
                                293,000
TRUST FUNDS.....
                                =====
                                2000
  
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SCH VIIIA NARR 11-12 NOTES:

- LRPP Reference: Goal #1: Preserve and manage a safe, efficient transportation system.
- Goal #3: Organizational excellence by promoting and encouraging continuous improvement.

Funds needed to support additional specialized testing equipment and maintenance at the State Materials Laboratory in Gainesville.

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                                COL A03
                                AGY REQUEST
                                FY 2011-12
                                POS      AMOUNT
                                -----
TRANSPORTATION, DEPT OF                                55000000
OPERATING REQUIREMENTS                                5500000
BUDGET RESTORATION - EXPENDITURE
REFUNDS                                                003      5503100

TRUST FUNDS.....                                179,575      2000
                                =====
  
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SCH VIIIA NARR 11-12 NOTES:

LRPP Reference: Goal #1: Preserve and manage a safe, efficient transportation system.  
 Goal #3: Organizational excellence by promoting and encouraging continuous improvement.

Funds needed to support travel and overtime costs for the Office of Motor Carrier Compliance for Law Enforcement Officers that are working special duties with other law enforcement agencies related to crime prevention.

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PROGRAM PLAN SUPPORT                                6000000
MOTOR CARRIER CONTRABAND
INTERDICTION PROGRAM                                004      6005000

TRUST FUNDS.....                                837,492      2000
                                =====
  
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SCH VIIIA NARR 11-12 NOTES:

LRPP Reference: Goal #1: Preserve and manage a safe, efficient transportation system.

Funds are needed to purchase equipment used by drug interdiction teams to combat the transport of illegal drugs. The Florida Department of Transportation's Highway Interdiction Program was created to assist Florida's Drug Control Strategy. The Office of Motor Carrier Compliance has effectively implemented a strategy to combat the transportation of illegal substances through the use of full-time interdiction teams. Each team is comprised of two highly trained officers and a drug detection canine. There are currently eight drug detection canine teams, which require specialized equipment.

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                                COL A03
                                AGY REQUEST
                                FY 2011-12
                                POS      AMOUNT
                                -----
TRANSPORTATION, DEPT OF                                55000000
OPERATING REQUIREMENTS                                5500000
SALARY INCENTIVE PAYMENTS                                5507A00
                                005
TRUST FUNDS.....                                15,600                                2000
                                =====
  
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\*\*\*\*\*

SCH VIIIA NARR 11-12 NOTES:

LRPP Reference: Goal #1: Preserve and manage a safe, efficient transportation system.  
 Goal #3: Organizational excellence by promoting and encouraging continuous improvement.

Funds are needed to provide necessary payment for additional law enforcement officers eligible to participate in the Salary Incentive Program.

The Salary Incentive Payment Program requirements are outlined in Chapter 11B-14.003, Florida Administrative Code (FAC) pursuant to s. 943.22, F.S. The FAC states that "full-time law enforcement, correctional, and correctional probation officers satisfying the certification requirements of s. 943.13, F.S., who are not excluded from eligibility pursuant to s. 943.22(4), F.S., shall be eligible to participate in the Salary Incentive Program."

\*\*\*\*\*

```

PROGRAM PLAN SUPPORT                                6000000
MOTOR CARRIER SAFETY ASSISTANCE PROGRAM                                6009A90
                                5.00
TRUST FUNDS.....                                12,013,190                                2000
                                =====
  
```

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SCH VIIIA NARR 11-12 NOTES:

LRPP Reference: Goal #1: Preserve and manage a safe, efficient transportation system.

Spending authority to fund annual federal grant that supports a variety of commercial vehicle safety activities.

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-----
                                COL A03
                                AGY REQUEST
                                FY 2011-12
                                POS      AMOUNT
                                -----
TRANSPORTATION, DEPT OF                                55000000
STRENGTHENING DOMESTIC SECURITY                                3960000
TRUST FUNDS.....                                771,050
                                =====
  
```

\*\*\*\*\*

SCH VIIIA NARR 11-12 NOTES:

LRPP Reference: Goal #1: Preserve and manage a safe, efficient transportation system.

Funds are needed to purchase equipment and provide training to strengthen domestic security as a result of federal grants received through the Department of Homeland Security, Law Enforcement Terrorism Prevention Program. The federal grants provide funding to procure radiological/nuclear detection equipment and training for law enforcement officers to further their ability to detect improvised explosive devices containing radiological/nuclear material.

\*\*\*\*\*

```

PROGRAM PLAN SUPPORT                                6000000
SUPPORT FOR DISADVANTAGED BUSINESS                                6001000
ENTERPRISES                                008
TRUST FUNDS.....                                74,856
                                =====
  
```

\*\*\*\*\*

SCH VIIIA NARR 11-12 NOTES:

LRPP Reference: Goal #3: Organizational excellence by promoting and encouraging continuous improvement.

Funds are needed to support the National Summer Transportation Institute, an initiative being developed in the Department's Equal Opportunity Office in conjunction with Florida Agricultural and Mechanical University (FAMU) in Tallahassee. This initiative will be funded by a federal grant which will be requested each year from the Federal Highway Administration (FHWA). It is intended to support the Department's efforts to increase competition, reduce costs, and increase the participation of Disadvantaged Business Enterprises (DBEs) in the transportation/construction industry.

\*\*\*\*\*

| -----                                |         |          |          |
|--------------------------------------|---------|----------|----------|
| COL A03<br>AGY REQUEST<br>FY 2011-12 |         |          |          |
| POS                                  | AMOUNT  | PRIORITY | CODES    |
| -----                                |         |          |          |
| TRANSPORTATION, DEPT OF              |         |          | 55000000 |
| DATA CENTER CONSOLIDATION            |         |          | 55C0000  |
| ADDITIONAL RESOURCES REQUIRED TO     |         |          |          |
| SUPPORT CONSOLIDATION OF TECHNOLOGY  |         |          |          |
| SERVICES                             |         | 009      | 55C01C0  |
| TRUST FUNDS.....                     | 586,704 |          | 2000     |
|                                      | =====   |          |          |

\*\*\*\*\*

SCH VIIIA NARR 11-12 NOTES:

LRPP Reference: Goal #3: Organizational excellence by promoting and encouraging continuous improvement.

Funds are needed to support the consolidation of data center services at the Southwood Shared Resource Center (SSRC).

This budget is needed to allow the Department to comply with Ch.282.201, F.S. regarding the consolidation of agency data center resources of the Department of Transportation (DOT) within the SSRC. Ch. 282.201(2)(d) requires the Agency for Enterprise Information Technology (AEIT) "By October 1 of each year beginning in 2009, recommend to the Governor and the Legislature at least two nonprimary data centers for consolidation into a primary data center" (PDC). As of September 2009, the AEIT has recommended that the Burns and Planning Data Centers be consolidated by March, 2010.

Budget in the Expenses category is needed for an increase in recurring DMS Network Line Charges. Budget in the Contracted Services category is needed for non-recurring relocation costs.

\*\*\*\*\*

|                                |            |  |          |
|--------------------------------|------------|--|----------|
| TOTAL: TRANSPORTATION, DEPT OF |            |  | 55000000 |
| BY FUND TYPE                   |            |  |          |
|                                | 5.00       |  |          |
| TRUST FUNDS.....               | 15,719,967 |  | 2000     |
|                                | =====      |  |          |



# **LEGISLATIVE BUDGET REQUEST 2011-2012**

## **Schedule IX – Major Audit Findings and Recommendations**

**SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS**

**Budget Period: 20 11 - 12**

**Department:** Transportation

**Chief Internal Auditor:** Joseph K. Maleszewski, Director of Audit

**Budget Entity:** Office of Inspector General

**Phone Number:** 850-410-5506

| (1)                | (2)           | (3)                          | (4)                                                                                                                 | (5)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | (6)        |
|--------------------|---------------|------------------------------|---------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|
| REPORT NUMBER      | PERIOD ENDING | UNIT/AREA                    | SUMMARY OF FINDINGS AND RECOMMENDATIONS                                                                             | SUMMARY OF CORRECTIVE ACTION TAKEN                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | ISSUE CODE |
| 08T-3008-01<br>OIG | 1/13/2010     | Transportation Disadvantaged | <b>FINDING:</b> Oversight and monitoring of state financial assistance to recipients was not adequately documented. | <b>RESPONSE:</b> We have implemented a process for improving the monitoring and oversight function of each element related to successfully completing Single Audit Checklists. The process begins by identifying the total population of all transactions subject to the Single Audit reporting requirement, from years 2007 to the present. The current count is 563 checklists are to be reconciled in the Florida Single Audit Act System (FSAAS). The next step is acquiring Financial Packages from each recipient, for the appropriate years. The current year, 2009, packages are arriving regularly and are catalogued dated and assigned a region. We have inventoried the packages already on hand from the earlier years in order to identify which packages we still need, and have been contacting these recipients requesting their financial packages, noting this in our log list This list is reviewed daily. All the staff has participated in the Single Audit and FSAAS training provided by the OIG on 04/27/2010. With the information obtained through the training we have developed additional resources and skills for tracking these payments and reconciling each to the specific Single Audit Checklists. |            |

| (1)<br>REPORT<br>NUMBER | (2)<br>PERIOD<br>ENDING | (3)<br>UNIT/AREA | (4)<br>SUMMARY OF<br>FINDINGS AND RECOMMENDATIONS                                                                                                                                                                                                                                                                                    | (5)<br>SUMMARY OF<br>CORRECTIVE ACTION TAKEN                                                                                                                                                                                                                                                                                                                                                                   | (6)<br>ISSUE<br>CODE |
|-------------------------|-------------------------|------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|
| 08T-3008-01a            |                         |                  | <p data-bbox="829 263 1180 289" style="text-align: center;">- continued from previous page -</p> <p data-bbox="745 555 1249 701"><b>RECOMMENDATION:</b> Immediately implement controls to ensure appropriate monitoring and oversight of state financial assistance to recipients, as required by state and federal regulations.</p> | <p data-bbox="1264 263 1791 435">The FSAAS system is unique to DOT and some of the skills for capturing, reading financials and reconciling are new to the staff. These skills will improve as we proceed through the process, along with improvement in efficiencies, accuracy, and speed.</p> <p data-bbox="1264 555 1696 613"><b>CURRENT STATUS:</b> Open; a follow-up response is due in October 2010.</p> |                      |

Office of Policy and Budget - July 2011

**SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS**

**Budget Period: 20 11 - 12**

**Department:** Transportation

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**Budget Entity:** Office of Inspector General

**Phone Number:** 850-410-5506

| (1)                | (2)           | (3)                          | (4)                                                                                                                 | (5)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | (6)        |
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| REPORT NUMBER      | PERIOD ENDING | UNIT/AREA                    | SUMMARY OF FINDINGS AND RECOMMENDATIONS                                                                             | SUMMARY OF CORRECTIVE ACTION TAKEN                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | ISSUE CODE |
| 08T-3008-01<br>OIG | 1/13/2010     | Transportation Disadvantaged | <b>FINDING:</b> Oversight and monitoring of state financial assistance to recipients was not adequately documented. | <b>RESPONSE:</b> We have implemented a process for improving the monitoring and oversight function of each element related to successfully completing Single Audit Checklists. The process begins by identifying the total population of all transactions subject to the Single Audit reporting requirement, from years 2007 to the present. The current count is 563 checklists are to be reconciled in the FSAAS system. The next step is acquiring Financial Packages from each recipient, for the appropriate years. The current year, 2009, packages are arriving regularly and are catalogued dated and assigned a region. We have inventoried the packages already on hand from the earlier years in order to identify which packages we still need, and have been contacting these recipients requesting their financial packages, noting this in our log list This list is reviewed daily. |            |

|              |  |  |                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |  |
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| 08T-3008-01b |  |  | <p><b>RECOMMENDATION:</b> Ensure staff who perform single audit functions are adequately trained in and knowledgeable of all state and federal regulations regarding single audits.</p> | <p>All the staff has participated in the Single Audit and FSAAS training provided by the OIG on 04/27/2010. With the information obtained through the training we have developed additional resources and skills for tracking these payments and reconciling each to the specific Single Audit Checklists. The FSAAS system is unique to DOT and some of the skills for capturing, reading financials and reconciling are new to the staff. These skills will improve as we proceed through the process, along with improvement in efficiencies, accuracy, and speed.</p> <p><b>CURRENT STATUS:</b> Open; a follow-up response is due in October 2010.</p> |  |
|--------------|--|--|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|



**SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS**

**Budget Period: 20 11 - 12**

**Department:** Transportation

**Chief Internal Auditor:** Joseph K. Maleszewski, Director of Audit

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**Phone Number:** 850-410-5506

| (1)<br>REPORT<br>NUMBER | (2)<br>PERIOD<br>ENDING | (3)<br>UNIT/AREA                | (4)<br>SUMMARY OF<br>FINDINGS AND RECOMMENDATIONS                                 | (5)<br>SUMMARY OF<br>CORRECTIVE ACTION TAKEN                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | (6)<br>ISSUE<br>CODE |
|-------------------------|-------------------------|---------------------------------|-----------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|
| 08T-3008-02<br>OIG      | 1/13/2010               | Transportation<br>Disadvantaged | <b>FINDING:</b> Single audit checklists were not properly entered into the FSAAS. | <b>RESPONSE:</b> The CTD staff participated in the Single Audit training, 04/27/2010, offered and conducted by the DIG staff, John Boone. As a result of this training the staff acquired a better understanding of the purpose, structure, and process of the Single Audit. The reporting process used by DOT is unique to DOT. Information acquired from the training has focused the reconciliation efforts from a predominantly manual process to a recording and reporting process accomplished on-line using the DOT developed FSAAS application. The use of other system applications is also essential in identifying, capturing and interpreting critical transactional information involves knowledge, skills and abilities that will improve as these are exercised. It is also expected that other efficiencies will become apparent as we proceed. The external system applications are FLAIR VENDOR PAYMENT systems (DFS); (DOT) TSO PAYMENT system; Management Resource Environment (MRE) by DFS; the original raw payment data (CTD). |                      |

08T-3008-02a

**RECOMMENDATION:** Ensure those who perform single audit functions are knowledgeable of and trained in the use of the FSAAS. The OIG has previously and will continue to provide any training and assistance as needed in the use of this system.

**CURRENT STATUS:** Open; a follow-up response is due in October 2010.

**SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS**

Budget Period: 20 11 - 12

Department: Transportation

Chief Internal Auditor: Joseph K. Maleszewski, Director of Audit

Budget Entity: Office of Inspector General

Phone Number: 850-410-5506

| (1)                | (2)           | (3)                          | (4)                                                                                                                                                     | (5)                                                                                                                                                                         | (6)        |
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| REPORT NUMBER      | PERIOD ENDING | UNIT/AREA                    | SUMMARY OF FINDINGS AND RECOMMENDATIONS                                                                                                                 | SUMMARY OF CORRECTIVE ACTION TAKEN                                                                                                                                          | ISSUE CODE |
| 08T-3008-03<br>OIG | 1/13/2010     | Transportation Disadvantaged | <b>FINDING:</b> Florida Commission for the Transportation Disadvantaged recipient files were incomplete and contained contracts with outdated language. | <b>RESPONSE:</b> The language included in the contracts for recipients for state financial assistance has been updated as required by the Department of Financial Services. |            |
| 08T-3008-03a       |               |                              | <b>RECOMMENDATION:</b> Update contracts as needed to ensure the appropriate language is included as required by the Department of Financial Services.   | <b>CURRENT STATUS:</b> Open; a follow-up response is due in October 2010.                                                                                                   |            |

**SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS**

**Budget Period: 20 11 - 12**

**Department:** Transportation

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**Phone Number:** 850-410-5506

| (1)<br>REPORT<br>NUMBER | (2)<br>PERIOD<br>ENDING | (3)<br>UNIT/AREA                | (4)<br>SUMMARY OF<br>FINDINGS AND RECOMMENDATIONS                                                                                                                                                                             | (5)<br>SUMMARY OF<br>CORRECTIVE ACTION TAKEN                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | (6)<br>ISSUE<br>CODE |
|-------------------------|-------------------------|---------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|
| 08T-3008-04<br>OIG      | 1/13/2010               | Transportation<br>Disadvantaged | <b>FINDING:</b> Financial reporting packages were not timely reviewed and contained no evidence of the date received.                                                                                                         | <b>RESPONSE:</b> Immediately implemented order to enhance the way information, invoices, financial packages, and other correspondence are documented as received by this office, in the form of date stamping all information upon receipt was issued by the Executive Director. Any correspondence related to the Single Audit tracking log is to be entered as well. This order also extends to documents within the office that do not automatically receive a system date designation for tracking purposes. |                      |
| 08T-3008-04a            |                         |                                 | <b>RECOMMENDATION:</b> Ensure those performing single audit functions conduct timely reviews of financial reporting packages. Also, ensure that there is evidence of the date of receipt of all financial reporting packages. | <b>CURRENT STATUS:</b> Open; a follow-up response is due in October 2010.                                                                                                                                                                                                                                                                                                                                                                                                                                        |                      |

**SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS**

**Budget Period: 20 11 - 12**

**Department:** Transportation

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| (1)                | (2)           | (3)                          | (4)                                                                                                                                                                                    | (5)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | (6)        |
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| REPORT NUMBER      | PERIOD ENDING | UNIT/AREA                    | SUMMARY OF FINDINGS AND RECOMMENDATIONS                                                                                                                                                | SUMMARY OF CORRECTIVE ACTION TAKEN                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | ISSUE CODE |
| 08T-3008-05<br>OIG | 1/13/2010     | Transportation Disadvantaged | <b>FINDING:</b> Differences between payments in the Florida Accounting Information Resource system (FLAIR) and recipient expenditures in audit reports were not adequately reconciled. | <b>RESPONSE:</b> The Executive Director required all staff to participate in the Single Audit Training provided by the OIG. In this training the information presented was a brief history of the origins and purpose of the process as well as points of responsibility for completing the Single Audit reconciliations. The system used to capture, record, reconcile and report Single Audit information is FSAAS. FSAAS is unique to DOT and requires additional knowledge, skills and abilities that aren't necessarily part of the FSAAS system alone. These other knowledge, skills, and abilities, as well as the ones needed to use FSAAS, will progressively improve as they are exercised in performing these reconciliations. |            |
| 08T-3008-05a       |               |                              | <b>RECOMMENDATION:</b> Ensure that differences between FLAIR payment amounts and recipient expenditures in audit reports are adequately reconciled.                                    | <b>CURRENT STATUS:</b> Open; a follow-up response is due in October 2010.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |            |

**SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS**

**Budget Period: 20 11 - 12**

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|--------------------------------------------------------------------------------|---------------|-----------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|
| REPORT NUMBER                                                                  | PERIOD ENDING | UNIT/AREA                                     | SUMMARY OF FINDINGS AND RECOMMENDATIONS                                                                                                                                                                                                                                                                                                                                                                                                                                     | SUMMARY OF CORRECTIVE ACTION TAKEN                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | ISSUE CODE |
| 09C-3013-01<br>OIG<br><br><br><br><br><br><br><br><br><br><br><br>09C-3013-01a | 6/30/2010     | Professional Services/<br>Turnpike Enterprise | <p><b>FINDING:</b> We determined American Consulting Engineers (ACE) invoiced and was reimbursed for \$63,3331 of labor costs incurred prior to the notice to proceed.</p> <p><b>RECOMMENDATION:</b> The Turnpike Professional Services Administrator seeks reimbursement of \$63,333 of unallowable costs on contract C8A44. The Turnpike Professional Services Administrator has stated he will seek guidance from the Turnpike General Counsel regarding this issue.</p> | <p><b>RESPONSE:</b> American Consulting Professionals responded: Prior to the commencement of the Inspector General's report, American and the Turnpike had concluded preliminary settlement negotiations with respect to the additional \$104,739, whereby Turnpike has agreed that they received value for these services. We assert that the same rationale should be followed with respect to the \$63,333 questioned prior to Notice To Proceed (NTP) in the report.</p> <p><b>CURRENT STATUS:</b> Open; a follow-up response is due in October 2010.</p> |            |

**SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS**

**Budget Period: 20 11 - 12**

**Department:** Transportation

**Chief Internal Auditor:** Joseph K. Maleszewski, Director of Audit

**Budget Entity:** Office of Inspector General

**Phone Number:** 850-410-5506

| (1)                | (2)           | (3)                                           | (4)                                                                                                                                                                                                                                                                        | (5)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | (6)        |
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| REPORT NUMBER      | PERIOD ENDING | UNIT/AREA                                     | SUMMARY OF FINDINGS AND RECOMMENDATIONS                                                                                                                                                                                                                                    | SUMMARY OF CORRECTIVE ACTION TAKEN                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | ISSUE CODE |
| 09C-3013-02<br>OIG | 6/30/2010     | Professional Services/<br>Turnpike Enterprise | <b>FINDING:</b> We determined ACE incurred labor costs prior to authorization on contracts C8F60 (a Central Office contract) and C8O63 (a District Seven contract). We determined ACE invoiced and was reimbursed \$1,706 of precontract costs incurred on contract C8F60. | <b>RESPONSE:</b> American Consulting Professionals responded: With respect to C8F60, the lone questioned timesheet charge was only claimed because we maintain it is allowable. We have written confirmation in emails that the contract's project manager requested that we attend a district workshop related to a task work order on scheduling. The workshop date could not be changed. We feel NTP had occurred in this case. After contracting delays on the Department's end, several days later the contract was executed, and only then did we learn the task was not lump sum. |            |
| 09C-3013-02a       |               |                                               | <b>RECOMMENDATION:</b> The Assistant Secretary for Engineering and Operations seek reimbursement of \$1,706 of unallowable costs identified on contract C8F60.                                                                                                             | <b>CURRENT STATUS:</b> Open; a follow-up response is due in October 2010.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |            |

**SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS**

**Budget Period: 20 11 - 12**

**Department:** Transportation

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**Budget Entity:** Office of Inspector General

**Phone Number:** 850-410-5506

| (1)<br>REPORT NUMBER                                                   | (2)<br>PERIOD ENDING | (3)<br>UNIT/AREA           | (4)<br>SUMMARY OF FINDINGS AND RECOMMENDATIONS                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | (5)<br>SUMMARY OF CORRECTIVE ACTION TAKEN                                                                                                                                                                                                                                             | (6)<br>ISSUE CODE |
|------------------------------------------------------------------------|----------------------|----------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|
| 09P-0001-02<br>OIG<br><br><br><br><br><br><br><br><br><br>09P-0001-02a | 7/8/2009             | Finance and Administration | <p><b>FINDING:</b> Florida's Turnpike Enterprise (FTE) staff indicated that non-SunPass transactions (E-PASS transactions on the FTE facilities) are included in the number of toll transactions reported by the FTE.</p> <p><b>RECOMMENDATION:</b> We determined the validity, reliability and appropriateness of the FTE performance measures could be improved by seeking a resolution regarding the inclusion of non-SunPass toll transactions in the FTE measures. FTE staff and Florida Transportation Commission (FTC) staff should meet to discuss a resolution for this issue.</p> | <p><b>RESPONSE:</b> Turnpike response: FTE staff is aware of this issue and have asked for direction and guidance from the FTC. Plans are to address and resolve this issue during FY 2009-2010.</p> <p><b>CURRENT STATUS:</b> Open; a follow-up response is due in October 2010.</p> |                   |



**SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS**

**Budget Period: 20 11 - 12**

**Department:** Transportation

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| (1)                | (2)           | (3)                   | (4)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | (5)                                                                                                                                                                                                                                                                                                                                                                                                                        | (6)        |
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| REPORT NUMBER      | PERIOD ENDING | UNIT/AREA             | SUMMARY OF FINDINGS AND RECOMMENDATIONS                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | SUMMARY OF CORRECTIVE ACTION TAKEN                                                                                                                                                                                                                                                                                                                                                                                         | ISSUE CODE |
| 09P-0006-01<br>OIG | 5/19/2010     | Office of Maintenance | <p><b>FINDING:</b> The process for developing the department's fuel site pricing is not adequate.</p> <p><b>RECOMMENDATION:</b> Conduct ongoing review and analysis to determine appropriate actions for correcting errors and submitting refunds to address the issues noted in this audit and evaluate alternative pricing models. Follow through with plans to simplify the pricing structure and bulk fuel purchase, which will help decrease the volume of paper receipts required for retention.</p> | <p><b>RESPONSE:</b> We concur with the recommendation and the dispute process will be evaluated and revised to address the issues noted. The department Fuel and Maintenance Card procedure will be revised to include new guidance on the dispute process. The estimated date for implementation of the recommendation is July 2010.</p> <p><b>CURRENT STATUS:</b> Open; a follow-up response is due in October 2010.</p> |            |
| 09P-0006-01a       |               |                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                            |            |



**SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS**

**Budget Period: 20 11 - 12**

**Department:** Transportation

**Chief Internal Auditor:** Joseph K. Maleszewski, Director of Audit

**Budget Entity:** Office of Inspector General

**Phone Number:** 850-410-5506

| (1)                | (2)           | (3)                   | (4)                                                                                                     | (5)                                                                                                                                                                                                                                                                                                                                                      | (6)        |
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| REPORT NUMBER      | PERIOD ENDING | UNIT/AREA             | SUMMARY OF FINDINGS AND RECOMMENDATIONS                                                                 | SUMMARY OF CORRECTIVE ACTION TAKEN                                                                                                                                                                                                                                                                                                                       | ISSUE CODE |
| 09P-0006-03<br>OIG | 5/19/2010     | Office of Maintenance | <b>FINDING:</b> The practices used for reviewing transactions and processing invoices are not adequate. | <b>RESPONSE:</b> We concur with the recommendation and the dispute resolution process will be evaluated and revised to address the issues noted. The department Fuel and Maintenance Card procedure will be revised to include new guidance on the dispute resolution process. The estimated date for implementation of the recommendation is July 2010. |            |
| 09P-0006-03a       |               |                       | <b>RECOMMENDATION:</b> Document and implement an effective and efficient dispute resolution process.    | <b>CURRENT STATUS:</b> Open; a follow-up response is due in October 2010.                                                                                                                                                                                                                                                                                |            |

**SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS**

**Budget Period: 20 11 - 12**

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| (1)                | (2)           | (3)                   | (4)                                                                                                                                                                                                                                   | (5)                                                                                                                                                                                                                                                                                                                                           | (6)        |
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| REPORT NUMBER      | PERIOD ENDING | UNIT/AREA             | SUMMARY OF FINDINGS AND RECOMMENDATIONS                                                                                                                                                                                               | SUMMARY OF CORRECTIVE ACTION TAKEN                                                                                                                                                                                                                                                                                                            | ISSUE CODE |
| 09P-0006-04<br>OIG | 5/19/2010     | Office of Maintenance | <p><b>FINDING:</b> Applicable regulations were not implemented adequately or uniformly.</p> <p><b>RECOMMENDATION:</b> Provide training to district fleet staff to help ensure they are aware of appropriate fuel card procedures.</p> | <p><b>RESPONSE:</b> We concur with the recommendation and training will be provided to fleet staff to provide direction and clarification for issues noted during the audit. The estimated date for implementation of the recommendation is August 2010.</p> <p><b>CURRENT STATUS:</b> Open; a follow-up response is due in October 2010.</p> |            |
| 09P-0006-04a       |               |                       |                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                               |            |

*Office of Policy and Budget - July 2011*

**SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS**

**Budget Period:** 20 11 - 12

**Department:** Transportation

**Chief Internal Auditor:** Joseph K. Maleszewski, Director of Audit

**Budget Entity:** Office of Inspector General

**Phone Number:** 850-410-5506

| (1)                | (2)           | (3)        | (4)                                                                                                                                                                                                                                                                                                                                         | (5)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | (6)        |
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| REPORT NUMBER      | PERIOD ENDING | UNIT/AREA  | SUMMARY OF FINDINGS AND RECOMMENDATIONS                                                                                                                                                                                                                                                                                                     | SUMMARY OF CORRECTIVE ACTION TAKEN                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | ISSUE CODE |
| 09S-3003-01<br>OIG | 4/21/2010     | District 7 | <b>FINDING:</b> While the Construction Engineering and Inspection (CEI) is accountable for project management, the department is still the responsible charge and should provide oversight for activities of the CEI. Management should ensure that the business practice for issuing work orders is consistent with department procedures. | <b>RESPONSE:</b> While the unsupported amount of \$3,161 represents a very small percentage of the \$4.1 million in contract modifications reviewed, we agree with the findings and the recommendation. Our review of the findings identified that there were cases where the print-out of the verified equipment rates and the print-out for some material quotes were not included as supporting documentation for the Engineer's Estimate. This requirement as well as the requirement for the Engineer's Estimate to be signed in advance of Work Order execution has been discussed at recent meetings between the District Construction Engineer (DCE) and all FDOT Resident Engineers. It will also be emphasized as a focus point in the District's review of all contract modifications as they are being processed. We intend to present these recommendations and proposed actions at our next Contract Administration meeting on May 17, 2010. |            |
| 09S-3003-01a       |               |            | <b>RECOMMENDATION:</b> The District Construction Engineer should ensure all staff and CEI involved in the processing of contract modifications understand the requirements for supporting documentation and adequate review prior to payment.                                                                                               | <b>CURRENT STATUS:</b> Open; a follow-up response is due in October 2010.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |            |

**SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS**

**Budget Period: 20 11 - 12**

**Department:** Transportation

**Chief Internal Auditor:** Joseph K. Maleszewski, Director of Audit

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| REPORT NUMBER   | PERIOD ENDING | UNIT/AREA  | SUMMARY OF FINDINGS AND RECOMMENDATIONS                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | SUMMARY OF CORRECTIVE ACTION TAKEN                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | ISSUE CODE |
| 09S-3003<br>OIG | 4/21/2010     | District 7 | <p><b>FINDING:</b> The Engineer's Estimate and Entitlement Analysis for Contingency Supplemental Agreement 1, Work Order 15, (CN 1 WO 15) were dated October 6, 2006, three days after the work order date of October 3, 2006. In addition, the Entitlement Analysis for CN 1 WO 18 was not dated. District management and the CEI should ensure that documentation to support the contract modifications is completed in compliance with department procedures, including Section 7.4, Contingency Supplemental Agreements and Work Orders, of the CPAM, Topic No. 700-000-000.</p> <p><b>RECOMMENDATION:</b> The District Construction Engineer should ensure the business practice for issuing work orders is consistent with department procedures.</p> | <p><b>RESPONSE:</b> We concur with the recommendation that the DCE shall ensure the business practice for issuing work orders is consistent with department procedures. We assume that this recommendation is intended to address the reviewed 'Not to Exceed' work orders. In the past there have been a few occasions where CEI staff executed 'actual cost' Work Orders with the additional stipulation that they not exceed a certain price. Through the review process and discussions it has been pointed out the confusion that this may cause. Therefore we have taken steps to eliminate the use of 'Not to Exceed' work orders by the Field staff in the future. Work Orders that are based on actual costs (plus allowable markups per the specifications) will not be called 'Not to Exceed' work orders and an accounting of these items will be documented in the work order backup. We intend to present these recommendations and proposed actions at our next Contract Administration meeting on May 17, 2010.</p> <p><b>CURRENT STATUS:</b> Open; a follow-up response is due in October 2010.</p> |            |
| 09S-3003-02a    |               |            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |            |

**SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS**

**Budget Period: 20 11 - 12**

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| REPORT NUMBER      | PERIOD ENDING | UNIT/AREA                    | SUMMARY OF FINDINGS AND RECOMMENDATIONS                                                                                                                                                                                                                                    | SUMMARY OF CORRECTIVE ACTION TAKEN                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | ISSUE CODE |
| 09T-6301-03<br>OIG | 11/13/2009    | Public Transportation Office | <p><b>FINDING:</b> Greyhound did not provide the attorney's certification confirming compliance with the Consultants Competitive Negotiation Act.</p> <p><b>RECOMMENDATION:</b> Require Greyhound to submit requests for reimbursement on department prescribed forms.</p> | <p><b>RESPONSE:</b> Greyhound responded: Though discussions with the OIG, we have put our Joint Participation Agreement (JPA) boilerplate language change on hold. Guidance from FTA provides for either using the separate accounts method or acceptable accounting practices. We will not pursue the language change to "acceptable accounting principles" at this time. We will work with the OIG in developing guidance for our District Offices and subrecipients that explains the expectations regarding financial accountability under the terms of the JPA.</p> <p><b>CURRENT STATUS:</b> Open; a follow-up response is due in October 2010.</p> |            |
| 09T-6301-03a       |               |                              |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |            |

**SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS**

**Budget Period: 20 11 - 12**

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| REPORT NUMBER      | PERIOD ENDING | UNIT/AREA                    | SUMMARY OF FINDINGS AND RECOMMENDATIONS                                                                                                                                           | SUMMARY OF CORRECTIVE ACTION TAKEN                                               | ISSUE CODE |
| 09T-6301-04<br>OIG | 11/13/2009    | Public Transportation Office | <p><b>FINDING:</b> Greyhound did not provide documentation showing departmental approval of two of its contractors/consultants prior to entering into third-party agreements.</p> | <p><b>RESPONSE:</b></p>                                                          |            |
| 09T-6301-04a       |               |                              | <p><b>RECOMMENDATION:</b> Require that Greyhound obtain all required certifications.</p>                                                                                          | <p><b>CURRENT STATUS:</b> Open; a follow-up response is due in October 2010.</p> |            |



**SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS**

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| 09T-6302-01<br>OIG | 10/14/2009    | District One | <b>FINDING:</b> Good Wheels did not establish separate accounts within its existing system, nor establish independent accounts, as required by the Joint Participation Agreement (JPA). | <b>RESPONSE:</b> The District One Modal Development Office staff has met with Good Wheels on several occasions as follow up, including to discuss its (local Agency) auditors perform an independent audit that includes all necessary tests on accounts in compliance with the Single Audit Act and to provide the appropriate certifications beginning with year ending June 30, 2010. Florida Department of Transportation policy to date has been to provide FTA Section 5311 grantees only pass-through operating assistance. In order for FTA Section 5311 grantees to comply fully with all of the federal and state mandates and requirements, such grantees clearly need financial assistance. District One has recommend to the Central Office / Public Transit Office expansion of the operating assist to also include administrative assistance, as the FTA Section 5311 program allows, to assist all such grantees with all requirements. District One Modal Development Office staff has met with the auditee on this finding and recommendation and both parties are cooperatively scheduling appropriate action. |            |

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| 09T-6302-01b |  |  | <b>RECOMMENDATION:</b> District One Public Transportation Office require Good Wheels to ensure that its independent auditor performs all applicable tests or provides all certifications required by the JPA. | <b>CURRENT STATUS:</b> Open; a follow-up response is due in October 2010. |  |
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*Office of Policy and Budget - July 2011*

**SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS**

**Budget Period: 20 11 - 12**

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| (1)<br>REPORT<br>NUMBER | (2)<br>PERIOD<br>ENDING | (3)<br>UNIT/AREA | (4)<br>SUMMARY OF<br>FINDINGS AND RECOMMENDATIONS                                        | (5)<br>SUMMARY OF<br>CORRECTIVE ACTION TAKEN                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | (6)<br>ISSUE<br>CODE |
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| 09T-6302-02<br>OIG      | 10/14/2009              | District One     | <b>FINDING:</b> Good Wheels did not maintain complete and accurate records for this JPA. | <b>RESPONSE:</b> District One utilizes multi-year Joint Participation Agreements for recurring grants. Such longer-term Agreements require longer-term document retention. District One has built into its audit technical assistance project with this grantee, and will do so for all other grantees, guidance with respect to document retention requirements. The matter of billing rates in the Section 5311 program is clearly an issue of statewide impact. To date, District One staff persons have participated in the grantees' establishment of rates through their participation in the Local Coordinating Boards for the Transportation Disadvantaged. Through TDLCB proceedings, matters such as billing rates are formal agenda items of action. District One has emphasized consistent District One attendance and participation in the TDLCBs distictwide. |                      |

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| 09T-6302-02a |  |  | <p><b>RECOMMENDATION:</b> Require Good Wheels to invoice the department based upon actual project costs incurred.</p> | <p>District One has coordinated closely with Central Office / Public Transit Office staff regarding the statewide implications of the billing rate issue, and is following Central Office guidance to ensure that the proper procedures and results are in place. We appreciate the coordination between the Central Office / Public Transit Office and the OIG on this matter, and will follow advice Ms. Liz Stutts, State Grants Administrator provided in an electronic mail note to the Districts on April 21, 2010, in which she stated: "The CTD rate model is an acceptable method for developing a billing rate as long as there is documentation supporting actual costs entered into the rate model. An agency using a billing rate needs to be able to document to you that the numbers used to determine the rate are based on eligible actual costs." District One is incorporating into its review of documentation during site visits a review of the documentation supporting the billing rate.</p> <p><b>CURRENT STATUS:</b> Open; a follow-up response is due in October 2010.</p> |  |
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**SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS**

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| REPORT NUMBER      | PERIOD ENDING | UNIT/AREA    | SUMMARY OF FINDINGS AND RECOMMENDATIONS                                                                                                                                                                                                                                                                                                        | SUMMARY OF CORRECTIVE ACTION TAKEN                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | ISSUE CODE |
| 09T-6302-03<br>OIG | 10/14/2009    | District One | <b>FINDING:</b> The costs submitted for invoice summary #9 were not eligible costs for Section 5311. As a result, the \$4,002 reimbursed for invoice #9 is questioned. Examination of additional invoices identified further questioned costs of \$64,000, for a total of \$68,002 in questioned costs that were reimbursed by the department. | <b>RESPONSE:</b> Good Wheels, Inc. is a private-not-for-profit agency and valued Florida Department of Transportation partner providing important life-sustaining rural public transit trips under contract with the Florida Department of Transportation for Federal Transit Administration Section 5311 (rural public transit), and the Commission for the Transportation Disadvantaged in relation to the Transportation Disadvantaged Program. The Agency is a Community Transportation Coordinator operating according to the spirit and intent of Chapter 427, Florida Statutes, that is, in a coordinated transportation manner. We understand, based on an OIG staff / District One staff close out conference, that Good Wheels did have a separate account in the chart of accounts; yet, it was determined to be of a receivables orientation, rather than expense oriented and the agency structured its financial accounting in a manner consistent with a coordinated paratransit operation in relation to the spirit and intent of Chapter 427, Florida Statutes. |            |

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| 09T-6302-03a |  |  | <p><b>RECOMMENDATION:</b> Consider whether Good Wheels should repay the \$68,002 received as a result of billing the department for questionable transportation costs.</p> | <p>Soon after the Office of the Inspector General (OIG) highlighted the issue with respect to maintenance of separate accounts in various audits statewide and with this particular auditee, District One Modal Development Office staff met with local Agency staff, and introduced to local Agency staff a technical assistance consultant to assist the auditee to with implementation of audit responses. The District is assisting the auditee to maintain appropriate job cost accounts for current and future project in relation to Joint Participation Agreement requirements. The District has been in close communication and coordination with the Central Office / Public Transit Office relative to following Central Office / Public Transit Office-provided advice.</p> <p><b>CURRENT STATUS:</b> Open; a follow-up response is due in October 2010.</p> |  |
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**SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS**

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| REPORT NUMBER      | PERIOD ENDING | UNIT/AREA    | SUMMARY OF FINDINGS AND RECOMMENDATIONS                                                                                                                                       | SUMMARY OF CORRECTIVE ACTION TAKEN                                                                                                                                                                                                                                                                                                                                                                                                            | ISSUE CODE |
| 09T-6302-04<br>OIG | 10/14/2009    | District One | <p><b>FINDING:</b> Good Wheels did not apply fuel tax refunds received pertaining to Hendry and Glades counties as a cost reduction to the Joint Participation Agreement.</p> | <p><b>RESPONSE:</b> District One has diligently followed up with Good Wheels on the matter of fuel tax refunds. Good Wheels Chief Financial Officer has reviewed records, and determined that the fuel tax refunds equated to approximately \$50.00 per quarter. Thus far, District One and consultant staff have determined that the fuel tax refunds were not associated with Department Contract Number AM 358 and need not be repaid.</p> |            |
| 09T-6302-04a       |               |              | <p><b>RECOMMENDATION:</b> Require Good Wheels to repay applicable fuel tax refunds received.</p>                                                                              | <p><b>CURRENT STATUS:</b> Open; a follow-up response is due in October 2010.</p>                                                                                                                                                                                                                                                                                                                                                              |            |





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| REPORT NUMBER      | PERIOD ENDING | UNIT/AREA    | SUMMARY OF FINDINGS AND RECOMMENDATIONS                                                                                                                 | SUMMARY OF CORRECTIVE ACTION TAKEN                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | ISSUE CODE |
| 09T-6303-02<br>OIG | 10/14/2009    | District Two | <b>FINDING:</b> Costs invoiced to the department were not adequately supported.                                                                         | <b>RESPONSE:</b> The District has coordinated with the grantee on this finding and it is the intension of the grantee to provide adequate documentation in support of its invoices in detail sufficient to allow for proper pre and post audits as required under Section 7.40 of the JPA. The local agency has committed to provide, at minimum, a General Ledger Transaction Listing Report or equivalent to support and document costs invoiced to the Department. Since October, 2009 the grantee indicates that they have implemented adjustments to their accounting methods that are intended to conform to the accounting standards prescribed by the OIG. |            |
| 09T-6303-02a       |               |              | <b>RECOMMENDATION:</b> Require BBT to submit adequate supporting documentation with each invoice to allow for a proper pre- and post-audit as required. | <b>CURRENT STATUS:</b> Open; a follow-up response is due in October 2010.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |            |

**SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS**

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| 09T-6303-02<br>OIG      | 10/14/2009              | District Two     | <b>FINDING:</b> Costs invoiced to the department were not adequately supported. | <b>RESPONSE:</b> In response to the Attestation Report, Oct. 14, 2009, it was the suggestion of the District that the grantee have its independent auditor access the local agency's financial records and pull from the local agency's project accounts expenses that were specifically attributable to the JPA. This documentation was to be resubmitted to the District and it was suggested that the local agency's independent auditor certify that the invoice package, as re-summarized, represented actual project expenses and that the method of accounting used to determine the expenses complied with appropriate federal audit requirements. If so certified, it was the intension of the District to recommend that recovery of \$304,292 not be pursued. |                      |

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| 09T-6303-02b |  |  | <p><b>RECOMMENDATION:</b> Consider seeking recovery of questioned costs totaling \$304,292 as a result of findings identified in this report.</p> | <p>This approach was laid out in the District's e-mail dated 12/07/09 which was circulated to the OIG and FDOT Central Transit Office as a draft for comment prior to being issued to BBT. Based upon discussion between the Central Office and the OIG, it was determined that the OIG preferred to review the resubmission of project expenses by the local agency rather than use the approach suggested by the District. Accordingly, BBT resubmitted its expense documentation to the OIG in December, 2009. The documentation was provided to the District and was subsequently forwarded to the Central Transit Office who presented the documentation to the OIG for review. Currently, a decision by the OIG on these expenses is still pending.</p> <p><b>CURRENT STATUS:</b> Open; a follow-up response is due in October 2010.</p> |  |
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**SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS**Budget Period: 20 11 - 12Department: TransportationChief Internal Auditor: Joseph K. Maleszewski, Director of AuditBudget Entity: Office of Inspector GeneralPhone Number: 850-410-5506

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| 09T-6303-02<br>OIG | 10/14/2009    | District Two | <b>FINDING:</b> Costs invoiced to the department were not adequately supported.<br><br><b>RECOMMENDATION:</b> Require BBT to ensure that its independent auditor performs all applicable tests or provides all certifications required by the JPA. | <b>RESPONSE:</b> The District has coordinated with the grantee on this finding and it is the intension of the grantee to clarify to its independent auditor the need to administer all applicable tests as required by the JPA. For the most recent audit completed as of September 30, 2009 by John N. Shannahan, III, C.P.A., "...Big Bend was assured that the Big Bend Transit, Inc. Audit Report included the performance of all applicable tests and certifications required by the JPA."<br><br><b>CURRENT STATUS:</b> Open; a follow-up response is due in October 2010. |            |
| 09T-6303-02c       |               |              |                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |            |

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| REPORT NUMBER      | PERIOD ENDING | UNIT/AREA    | SUMMARY OF FINDINGS AND RECOMMENDATIONS                                                                                                                                                                                                                                                                                                                                                | SUMMARY OF CORRECTIVE ACTION TAKEN                                                             | ISSUE CODE |
| 10C-2004-01<br>OIG | 4/8/2010      | District Two | <p><b>FINDING:</b> The prime contractor did not perform the required percentage of work on the project in accordance with the department Standard Specifications for Road and Bridge Construction.</p> <p><b>RECOMMENDATION:</b> We recommend the District Two Construction Engineer consult with the Office of General Counsel on contract terms not met by the prime contractor.</p> | <p><b>RESPONSE:</b> A response is due in October 2010.</p> <p><b>CURRENT STATUS:</b> Open.</p> |            |
| 10C-2004-01a       |               |              |                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                |            |

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**SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS**

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| 10C-2004-02<br>OIG | 4/8/2010      | District Two | <p><b>FINDING:</b> Work contracted to be performed by the prime contractor and the first-tier subcontractor was further subcontracted to four second-tier subcontractors without obtaining the prior approval of the department.</p> <p><b>RECOMMENDATION:</b> We recommend the District Two Construction Engineer consult with the Office of General Counsel on contract terms not met by the prime contractor.</p> | <p><b>RESPONSE:</b> A response is due in October 2010.</p> <p><b>CURRENT STATUS:</b> Open.</p> |            |
| 10C-2004-02a       |               |              |                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                |            |

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| (1)                | (2)           | (3)          | (4)                                                                                                                                                                             | (5)                                                        | (6)        |
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| REPORT NUMBER      | PERIOD ENDING | UNIT/AREA    | SUMMARY OF FINDINGS AND RECOMMENDATIONS                                                                                                                                         | SUMMARY OF CORRECTIVE ACTION TAKEN                         | ISSUE CODE |
| 10C-2004-03<br>OIG | 4/8/2010      | District Two | <p><b>FINDING:</b> Required subcontract documentation for the four second-tier subcontractors has not been submitted to the department.</p>                                     | <p><b>RESPONSE:</b> A response is due in October 2010.</p> |            |
| 10C-2004-03a       |               |              | <p><b>RECOMMENDATION:</b> We recommend the District Two Construction Engineer consult with the Office of General Counsel on contract terms not met by the prime contractor.</p> | <p><b>CURRENT STATUS:</b> Open.</p>                        |            |

**SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS**

**Budget Period: 20 11 - 12**

**Department:** Transportation

**Chief Internal Auditor:** Joseph K. Maleszewski, Director of Audit

**Budget Entity:** Office of Inspector General

**Phone Number:** 850-410-5506

| (1)                | (2)           | (3)          | (4)                                                                                                                                                                                                                                                                                                                    | (5)                                                                              | (6)        |
|--------------------|---------------|--------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|------------|
| REPORT NUMBER      | PERIOD ENDING | UNIT/AREA    | SUMMARY OF FINDINGS AND RECOMMENDATIONS                                                                                                                                                                                                                                                                                | SUMMARY OF CORRECTIVE ACTION TAKEN                                               | ISSUE CODE |
| 10T-3003-01<br>OIG | 5/24/2010     | District Six | <p><b>FINDING:</b> The Miami-Dade Aviation Department (MDAD) did not establish separate accounts within its existing accounting system or independent accounts, as required; however, we conclude MDAD materially complied with JPA No. ANU47, applicable laws, rules, regulations, procedures and other guidance.</p> | <p><b>RESPONSE:</b></p>                                                          |            |
| 10T-3003-01a       |               |              | <p><b>RECOMMENDATION:</b> The District Six Public Transportation Manager require MDAD to establish and maintain separate accounts within its existing accounting system, or establish independent accounts for each current and future state-funded project.</p>                                                       | <p><b>CURRENT STATUS:</b> Open; a follow-up response is due in October 2010.</p> |            |



**SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS**

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| (1)                | (2)           | (3)          | (4)                                                                                                                                                                                                                                                                               | (5)                                                                              | (6)        |
|--------------------|---------------|--------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|------------|
| REPORT NUMBER      | PERIOD ENDING | UNIT/AREA    | SUMMARY OF FINDINGS AND RECOMMENDATIONS                                                                                                                                                                                                                                           | SUMMARY OF CORRECTIVE ACTION TAKEN                                               | ISSUE CODE |
| 10T-3003-01<br>OIG | 5/24/2010     | District Six | <p><b>FINDING:</b> MDAD did not establish separate accounts within its existing accounting system or independent accounts, as required; however, we conclude MDAD materially complied with JPA No. ANU47, applicable laws, rules, regulations, procedures and other guidance.</p> | <p><b>RESPONSE:</b></p>                                                          |            |
| 10T-3003-01b       |               |              | <p><b>RECOMMENDATION:</b> The District Six Public Transportation Manager require MDAD to establish monitoring practices to ensure the MDAD achieves and maintains compliance with this requirement.</p>                                                                           | <p><b>CURRENT STATUS:</b> Open; a follow-up response is due in October 2010.</p> |            |

**SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS**

**Budget Period: 20 11 - 12**

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| (1)                  | (2)                  | (3)                        | (4)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | (5)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | (6)               |
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| <b>REPORT NUMBER</b> | <b>PERIOD ENDING</b> | <b>UNIT/AREA</b>           | <b>SUMMARY OF FINDINGS AND RECOMMENDATIONS</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | <b>SUMMARY OF CORRECTIVE ACTION TAKEN</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | <b>ISSUE CODE</b> |
| 10T-4001-01<br>OIG   | 5/24/2010            | Engineering and Operations | <p><b>FINDING:</b> Jacksonville Transit Authority (JTA) did not establish separate accounts within its existing accounting system, nor establish independent accounts with respect to this Joint Participation Agreement, as required.</p> <p><b>RECOMMENDATION:</b> Require the Jacksonville Transit Authority to establish and maintain separate accounts within its existing accounting system, or establish independent accounts for each state or federally funded project. The District Two Modal Development Manager should establish enhanced monitoring practices to ensure the Jacksonville Transit Authority achieves and maintains compliance with this requirement.</p> | <p><b>RESPONSE:</b> JTA's Response: Management agrees. We have always had separate projects and accounts for each federal and state grant, but due to the unique nature of this grant (being that this Block grant was for operating expense reimbursement), this project did not contain all accounts. JTA has modified the Block Grant project for FY 09/10 to reflect all accounts that are related to the grant (cash, expense, state share and local match).</p> <p><b>CURRENT STATUS:</b> Open; a follow-up response is due in October 2010.</p> |                   |
| 10T-4001-01a         |                      |                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                   |

**SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS**

**Budget Period: 20 11 - 12**

**Department:** Transportation

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| (1)                | (2)           | (3)                        | (4)                                                                                                                                                                                                                                                                                                                                                                                                    | (5)                                                                                                                                                                                                                                                                                                                                                                                                  | (6)        |
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| REPORT NUMBER      | PERIOD ENDING | UNIT/AREA                  | SUMMARY OF FINDINGS AND RECOMMENDATIONS                                                                                                                                                                                                                                                                                                                                                                | SUMMARY OF CORRECTIVE ACTION TAKEN                                                                                                                                                                                                                                                                                                                                                                   | ISSUE CODE |
| 10T-4001-02<br>OIG | 5/24/2010     | Engineering and Operations | <p><b>FINDING:</b> Jacksonville Transit Authority billed the department for expenses incurred prior to the execution date of the Joint Participation Agreement.</p> <p><b>RECOMMENDATION:</b> Require the Jacksonville Transit Authority to request reimbursement for only those applicable expenses incurred between the execution date and expiration date of the Joint Participation Agreement.</p> | <p><b>RESPONSE:</b> JTA's Response: Management agrees. We have modified our billings going forward from an accrual accounting basis to a pure cash basis with detailed documentation which will clearly identify all billings that are only incurred between the execution date and expiration date of the JPA.</p> <p><b>CURRENT STATUS:</b> Open; a follow-up response is due in October 2010.</p> |            |
| 10T-4001-02a       |               |                            |                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                      |            |

**SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS**

**Budget Period: 20 11 - 12**

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| (1)<br>REPORT NUMBER | (2)<br>PERIOD ENDING | (3)<br>UNIT/AREA           | (4)<br>SUMMARY OF FINDINGS AND RECOMMENDATIONS                                                                                                      | (5)<br>SUMMARY OF CORRECTIVE ACTION TAKEN                                                                                                                                                                                                                                                                           | (6)<br>ISSUE CODE |
|----------------------|----------------------|----------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|
| 10T-4001-03<br>OIG   | 5/24/2010            | Engineering and Operations | <p><b>FINDING:</b> Expenses billed to the department were not adequately supported.</p>                                                             | <p><b>RESPONSE:</b> JTA's Response: Management agrees. We have modified our billings going forward from an accrual accounting basis to a pure cash basis with detailed documentation which will clearly identify all billings that are only incurred between the execution date and expiration date of the JPA.</p> |                   |
| 10T-4001-03a         |                      |                            | <p><b>RECOMMENDATION:</b> Require the Jacksonville Transit Authority maintain adequate documentation to support costs billed to the department.</p> | <p><b>CURRENT STATUS:</b> Open; a follow-up response is due in October 2010.</p>                                                                                                                                                                                                                                    |                   |

**SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS**

**Budget Period: 20 11 - 12**

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| (1)                | (2)           | (3)                        | (4)                                                                                                                                                                                                                                                                                                                  | (5)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | (6)        |
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| REPORT NUMBER      | PERIOD ENDING | UNIT/AREA                  | SUMMARY OF FINDINGS AND RECOMMENDATIONS                                                                                                                                                                                                                                                                              | SUMMARY OF CORRECTIVE ACTION TAKEN                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | ISSUE CODE |
| 10T-4001-04<br>OIG | 5/24/2010     | Engineering and Operations | <p><b>FINDING:</b> JTA billed the department for unallowable capital expenses, which were outside the scope of the Joint Participation Agreement.</p> <p><b>RECOMMENDATION:</b> Require the Jacksonville Transit Authority to ensure that only allowable costs are charged to the Joint Participation Agreement.</p> | <p><b>RESPONSE:</b> JTA's Response: Management agrees. Though we have never charged unallowable costs, we have utilized financial statement data prepared in accordance with governmental accounting standards. This requires accrual basis accounting versus pure cash basis. This results in timing differences that have now been deemed to be questionable costs and would be unallowable for future periods. Therefore JTA has modified our billings to include only clearly identifiable, cash basis costs being billed to the FDOT.</p> <p><b>CURRENT STATUS:</b> Open; a follow-up response is due in October 2010.</p> |            |
| 10T-4001-04a       |               |                            |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |            |

**SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS**

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| (1)                | (2)           | (3)                        | (4)                                                                                                                                                                         | (5)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | (6)        |
|--------------------|---------------|----------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|
| REPORT NUMBER      | PERIOD ENDING | UNIT/AREA                  | SUMMARY OF FINDINGS AND RECOMMENDATIONS                                                                                                                                     | SUMMARY OF CORRECTIVE ACTION TAKEN                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | ISSUE CODE |
| 10T-4001-05<br>OIG | 5/24/2010     | Engineering and Operations | <b>FINDING:</b> The Jacksonville Transit Authority's lack of an adequate project accounting system to account for block grants represents a system-wide control deficiency. | <b>RESPONSE:</b> JTA's response: Management disagrees with this recommendation. As previously mentioned, we have utilized financial statement data prepared in accordance with governmental accounting standards. This requires accrual basis accounting versus pure cash basis. This results in timing differences that have now been deemed to be questionable costs and would be unallowable for future periods. That methodology has already been changed so that going forward only cash basis expenses will be used. Management disagrees with this recommendation. As previously mentioned, we have utilized financial statement data prepared in accordance with governmental accounting standards. This requires accrual basis accounting versus pure cash basis. This results in timing differences that have now been deemed to be questionable costs and would be unallowable for future periods. That methodology has already been changed so that going forward only cash basis expenses will be used. |            |

|              |  |  |                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |  |
|--------------|--|--|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 10T-4001-05a |  |  | <p><b>RECOMMENDATION:</b> Consider seeking recovery of \$3,749,917 reimbursed by the department for unallowable expenses as identified in this report and consider suspending future funding to the Jacksonville Transit Authority until they have established an adequate project cost accounting system that meets the requirements of the Joint Participation Agreements administered by the department.</p> | <p>Also, as previously mentioned, FDOT has always recognized that JTA has incurred \$40 to \$60 million in operating expenses annually. The above amount, just a fraction of that total and now being questioned, largely reflects the timing issue just referred to in the prior paragraph.</p> <p>For those reasons, JTA strongly disagrees with any attempt at cost recovery for FY 08/09 or the three preceeding years as noted in the Results of Examination section of the report. JTA had billed in the previous manner in good faith for 14 years with no findings or requests for additional documentation from the FDOT. We believe that this recovery request finding should be restricted to future periods as this had not been an issue with the FOOT prior to the OIG audit.</p> <p><b>CURRENT STATUS:</b> Open; a follow-up response is due in October 2010.</p> |  |
|--------------|--|--|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|

**SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS**

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| (1)                                                                    | (2)           | (3)           | (4)                                                                                                                                                                                                                       | (5)                                                                                            | (6)        |
|------------------------------------------------------------------------|---------------|---------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|------------|
| REPORT NUMBER                                                          | PERIOD ENDING | UNIT/AREA     | SUMMARY OF FINDINGS AND RECOMMENDATIONS                                                                                                                                                                                   | SUMMARY OF CORRECTIVE ACTION TAKEN                                                             | ISSUE CODE |
| 10T-8003-01<br>OIG<br><br><br><br><br><br><br><br><br><br>10T-8003-01a | 6/7/2010      | Safety Office | <p><b>FINDING:</b> All contracts reviewed did not contain, a required part of the standard single audit contract language.</p> <p><b>RECOMMENDATION:</b> Ensure single audit contracts include all required language.</p> | <p><b>RESPONSE:</b> A response is due in October 2010.</p> <p><b>CURRENT STATUS:</b> Open.</p> |            |

*Office of Policy and Budget - July 2011*





**SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS**

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| (1)                | (2)           | (3)           | (4)                                                                                                                                                                                                                                        | (5)                                                                                               | (6)        |
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| REPORT NUMBER      | PERIOD ENDING | UNIT/AREA     | SUMMARY OF FINDINGS AND RECOMMENDATIONS                                                                                                                                                                                                    | SUMMARY OF CORRECTIVE ACTION TAKEN                                                                | ISSUE CODE |
| 10T-8003-03<br>OIG | 6/7/2010      | Safety Office | <p><b>FINDING:</b> Some financial reporting packages did not have evidence of the date of receipt.</p><br><p><b>RECOMMENDATION:</b> Ensure financial reporting packages received from recipients have evidence of the date of receipt.</p> | <p><b>RESPONSE:</b> A response is due in October 2010.</p><br><p><b>CURRENT STATUS:</b> Open.</p> |            |
| 10T-8003-03a       |               |               |                                                                                                                                                                                                                                            |                                                                                                   |            |

*Office of Policy and Budget - July 2011*

**SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS**

Budget Period: 20 11 - 12

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| (1)                | (2)           | (3)           | (4)                                                                                                                                                                                                                   | (5)                                                                                            | (6)        |
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| REPORT NUMBER      | PERIOD ENDING | UNIT/AREA     | SUMMARY OF FINDINGS AND RECOMMENDATIONS                                                                                                                                                                               | SUMMARY OF CORRECTIVE ACTION TAKEN                                                             | ISSUE CODE |
| 10T-8003-05<br>OIG | 6/7/2010      | Safety Office | <p><b>FINDING:</b> Some single audit checklists were not completed timely in the Florida Single Audit Act System.</p> <p><b>RECOMMENDATION:</b> Ensure single audit checklists are completed timely in the FSAAS.</p> | <p><b>RESPONSE:</b> A response is due in October 2010.</p> <p><b>CURRENT STATUS:</b> Open.</p> |            |
| 10T-8003-05a       |               |               |                                                                                                                                                                                                                       |                                                                                                |            |

Office of Policy and Budget - July 2011



## Fiscal Year 2011-12 LBR Technical Review Checklist (Rev. 10-13-2010)

|                                                                                                                                                                                                                                     |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Department/Budget Entity (Service): Transportation/Transportation Systems Development, Florida Rail Enterprise, Highway Operations, Executive Direction and Support Services, Information Technology, Florida's Turnpike Enterprise |
| Agency Budget Officer/OPB Analyst Name: Kimberly Ferrell/Tonja Webb                                                                                                                                                                 |

A "Y" indicates "YES" and is acceptable, an "N/J" indicates "NO/Justification Provided" - these require further explanation/justification (additional sheets can be used as necessary), and "TIPS" are other areas to consider.

|        | Program or Service (Budget Entity Codes) |          |          |          |          |          |
|--------|------------------------------------------|----------|----------|----------|----------|----------|
| Action | 55100100                                 | 55100500 | 55150200 | 55150500 | 55150600 | 55180100 |

### 1. GENERAL

|                                                                                                                                                                                                                                                                                                                                                                            |   |   |   |   |   |   |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|---|---|---|---|---|
| 1.1 Are Columns A01, A02, A04, A05, A36, A90, A91, A92, A93, A94, A95, IA1, IA4, IA5, IP1, V1, IV3 and NV1 set to TRANSFER CONTROL for DISPLAY status and MANAGEMENT CONTROL for UPDATE status for both the Budget and Trust Fund columns? Are Columns A06, A07, A08 and A09 for Fixed Capital Outlay (FCO) set to TRANSFER CONTROL for DISPLAY status only? <b>(CSDR)</b> | Y | Y | Y | Y | Y | Y |
| 1.2 Is Column A03 set to TRANSFER CONTROL for DISPLAY and UPDATE status for both the Budget and Trust Fund columns? <b>(CSDI)</b>                                                                                                                                                                                                                                          | Y | Y | Y | Y | Y | Y |

### AUDITS:

|                                                                                                                                                                                                                                                                       |   |   |   |   |   |   |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|---|---|---|---|---|
| 1.3 Has Column A03 been copied to Column A12? Run the Exhibit B Audit Comparison Report to verify. <b>(EXBR, EXBA)</b>                                                                                                                                                | Y | Y | Y | Y | Y | Y |
| 1.4 Has security been set correctly? <b>(CSDR, CSA)</b>                                                                                                                                                                                                               | Y | Y | Y | Y | Y | Y |
| <b>TIP</b> The agency should prepare the budget request for submission in this order: 1) Lock columns as described above; 2) copy Column A03 to Column A12; and 3) set Column A12 column security to ALL for DISPLAY status and MANAGEMENT CONTROL for UPDATE status. |   |   |   |   |   |   |

### 2. EXHIBIT A (EADR, EXA)

|                                                                                                                                                                         |   |   |   |   |   |   |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|---|---|---|---|---|
| 2.1 Is the budget entity authority and description consistent with the agency's LRPP and does it conform to the directives provided on page 56 of the LBR Instructions? | Y | Y | Y | Y | Y | Y |
| 2.2 Are the statewide issues generated systematically (estimated expenditures, nonrecurring expenditures, etc.) included?                                               | Y | Y | Y | Y | Y | Y |
| 2.3 Are the issue codes and titles consistent with <i>Section 3</i> of the LBR Instructions (pages 15 through 27)? Do they clearly describe the issue?                  | Y | Y | Y | Y | Y | Y |
| 2.4 Have the coding guidelines in <i>Section 3</i> of the LBR Instructions (pages 15 through 27) been followed?                                                         | Y | Y | Y | Y | Y | Y |

### 3. EXHIBIT B (EXBR, EXB)

|                                                                                                                                                                                                                                                                               |      |      |      |      |      |      |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------|------|------|------|------|------|
| 3.1 Is it apparent that there is a fund shift and were the issues entered into LAS/PBS correctly? Check D-3A funding shift issue 340XXX0 - a unique deduct and unique add back issue should be used to ensure fund shifts display correctly on the LBR exhibits.              | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. |
| 3.2 Are the 33XXXX0 issues negative amounts only and do not restore nonrecurring cuts from a prior year or fund any issues that net to a positive or zero amount? Check D-3A issues 33XXXX0 - a unique issue should be used for issues that net to zero or a positive amount. | Y    | Y    | Y    | Y    | Y    | Y    |

### AUDITS:

|                                                                                                                                                                                                                                                                                                                  |   |   |   |   |   |   |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|---|---|---|---|---|
| 3.3 Negative Appropriation Category Audit for Agency Request (Columns A03 and A04): Are all appropriation categories positive by budget entity at the FSI level? Are all nonrecurring amounts less than requested amounts? <b>(NACR, NAC - Report should print "No Negative Appropriation Categories Found")</b> | Y | Y | Y | Y | Y | Y |
| 3.4 Current Year Estimated Verification Comparison Report: Is Column A02 equal to Column B07? <b>(EXBR, EXBC - Report should print "Records Selected Net To Zero")</b>                                                                                                                                           | Y | Y | Y | Y | Y | Y |

| Action                                                                                                                                                                                                                                                                                                                                                                                                                         | Program or Service (Budget Entity Codes) |          |          |          |          |          |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|----------|----------|----------|----------|----------|
|                                                                                                                                                                                                                                                                                                                                                                                                                                | 55100100                                 | 55100500 | 55150200 | 55150500 | 55150600 | 55180100 |
| TIP Generally look for and be able to fully explain significant differences between A02 and A03.                                                                                                                                                                                                                                                                                                                               |                                          |          |          |          |          |          |
| TIP Exhibit B - A02 equal to B07: Compares Current Year Estimated column to a backup of A02. This audit is necessary to ensure that the historical detail records have not been adjusted. Records selected should net to zero.                                                                                                                                                                                                 |                                          |          |          |          |          |          |
| TIP Requests for appropriations which require advance payment authority must use the sub-title "Grants and Aids". For advance payment authority to local units of government, the Aid to Local Government appropriation category (05XXXX) should be used. For advance payment authority to non-profit organizations or other units of state government, the Special Categories appropriation category (10XXXX) should be used. |                                          |          |          |          |          |          |
| <b>4. EXHIBIT D (EADR, EXD)</b>                                                                                                                                                                                                                                                                                                                                                                                                |                                          |          |          |          |          |          |
| 4.1 Is the program component objective statement consistent with the agency LRPP, and does it conform to the directives provided on page 59 of the LBR Instructions?                                                                                                                                                                                                                                                           | Y                                        | Y        | Y        | Y        | Y        | Y        |
| 4.2 Is the program component code and title used correct?                                                                                                                                                                                                                                                                                                                                                                      | Y                                        | Y        | Y        | Y        | Y        | Y        |
| TIP Fund shifts or transfers of services or activities between program components will be displayed on an Exhibit D whereas it may not be visible on an Exhibit A.                                                                                                                                                                                                                                                             |                                          |          |          |          |          |          |
| <b>5. EXHIBIT D-1 (ED1R, EXD1)</b>                                                                                                                                                                                                                                                                                                                                                                                             |                                          |          |          |          |          |          |
| 5.1 Are all object of expenditures positive amounts? (This is a manual check.)                                                                                                                                                                                                                                                                                                                                                 | Y                                        | Y        | Y        | Y        | Y        | Y        |
| <b>AUDITS:</b>                                                                                                                                                                                                                                                                                                                                                                                                                 |                                          |          |          |          |          |          |
| 5.2 Do the fund totals agree with the object category totals within each appropriation category? <b>(ED1R, XD1A - Report should print "No Differences Found For This Report")</b>                                                                                                                                                                                                                                              | Y                                        | Y        | Y        | Y        | Y        | Y        |
| 5.3 FLAIR Expenditure/Appropriation Ledger Comparison Report: Is Column A01 less than Column B04? <b>(EXBR, EXBB - Negative differences need to be corrected in Column A01.)</b>                                                                                                                                                                                                                                               | Y                                        | Y        | Y        | Y        | Y        | Y        |
| 5.4 A01/State Accounts Disbursements and Carry Forward Comparison Report: Does Column A01 equal Column B08? <b>(EXBR, EXBD - Differences need to be corrected in Column A01.)</b>                                                                                                                                                                                                                                              | Y                                        | Y        | Y        | Y        | Y        | Y        |
| TIP If objects are negative amounts, the agency must make adjustments to Column A01 to correct the object amounts. In addition, the fund totals must be adjusted to reflect the adjustment made to the object data.                                                                                                                                                                                                            |                                          |          |          |          |          |          |
| TIP If fund totals and object totals do not agree or negative object amounts exist, the agency must adjust Column A01.                                                                                                                                                                                                                                                                                                         |                                          |          |          |          |          |          |
| TIP Exhibit B - A01 less than B04: This audit is to ensure that the disbursements and carry/certifications forward in A01 are less than FY 2009-10 approved budget. Amounts should be positive.                                                                                                                                                                                                                                |                                          |          |          |          |          |          |
| TIP If B08 is not equal to A01, check the following: 1) the initial FLAIR disbursements or carry forward data load was corrected appropriately in A01; 2) the disbursement data from departmental FLAIR was reconciled to State Accounts; and 3) the FLAIR disbursements did not change after Column B08 was created.                                                                                                          |                                          |          |          |          |          |          |
| <b>6. EXHIBIT D-3 (ED3R, ED3) (Not required in the LBR - for analytical purposes only.)</b>                                                                                                                                                                                                                                                                                                                                    |                                          |          |          |          |          |          |
| 6.1 Are issues appropriately aligned with appropriation categories?                                                                                                                                                                                                                                                                                                                                                            | Y                                        | Y        | Y        | Y        | Y        | Y        |
| TIP Exhibit D-3 is no longer required in the budget submission but may be needed for this particular appropriation category/issue sort. Exhibit D-3 is also a useful report when identifying negative appropriation category problems.                                                                                                                                                                                         |                                          |          |          |          |          |          |

| Action                              | Program or Service (Budget Entity Codes)                                                                                                                                                                                                                               |          |          |          |          |          |      |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|----------|----------|----------|----------|------|
|                                     | 55100100                                                                                                                                                                                                                                                               | 55100500 | 55150200 | 55150500 | 55150600 | 55180100 |      |
| <b>7. EXHIBIT D-3A (EADR, ED3A)</b> |                                                                                                                                                                                                                                                                        |          |          |          |          |          |      |
| 7.1                                 | Are the issue titles correct and do they clearly identify the issue? (See pages 15 through 31 of the LBR Instructions.)                                                                                                                                                | Y        | Y        | Y        | Y        | Y        | Y    |
| 7.2                                 | Does the issue narrative adequately explain the agency's request and is the explanation consistent with the LRPP? (See page 65 of the LBR Instructions.)                                                                                                               | Y        | Y        | Y        | Y        | Y        | Y    |
| 7.3                                 | Does the narrative for Information Technology (IT) issue follow the additional narrative requirements described on pages 66 through 69 of the LBR Instructions?                                                                                                        | Y        | N.A.     | Y        | Y        | Y        | N.A. |
| 7.4                                 | Are all issues with an IT component identified with a "Y" in the "IT COMPONENT?" field? If the issue contains an IT component, has that component been identified and documented?                                                                                      | Y        | N.A.     | Y        | Y        | Y        | N.A. |
| 7.5                                 | Does the issue narrative explain any variances from the Standard Expense and Human Resource Services Assessments package? Is the nonrecurring portion in the nonrecurring column? (See pages E-4 and E-5 of the LBR Instructions.)                                     | N.A.     | N.A.     | Y        | N.A.     | N.A.     | N.A. |
| 7.6                                 | Does the salary rate request amount accurately reflect any new requests and are the amounts proportionate to the Salaries and Benefits request? Note: Salary rate should always be annualized.                                                                         | N.A.     | N.A.     | Y        | N.A.     | N.A.     | N.A. |
| 7.7                                 | Does the issue narrative thoroughly explain/justify all Salaries and Benefits amounts entered into the Other Salary Amounts transactions (OADA/C)? Amounts entered into OAD are reflected in the Position Detail of Salaries and Benefits section of the Exhibit D-3A. | N.A.     | N.A.     | Y        | N.A.     | N.A.     | N.A. |
| 7.8                                 | Does the issue narrative include the Consensus Estimating Conference forecast, where appropriate?                                                                                                                                                                      | N.A.     | N.A.     | N.A.     | N.A.     | N.A.     | N.A. |
| 7.9                                 | Does the issue narrative reference the specific county(ies) where applicable?                                                                                                                                                                                          | N.A.     | N.A.     | Y        | N.A.     | N.A.     | N.A. |
| 7.10                                | Do the 160XXX0 issues reflect budget amendments that have been approved (or in the process of being approved) and that have a recurring impact (including Lump Sums)? Have the approved budget amendments been entered in Column A18 as instructed in Memo #11-006?    | Y        | Y        | Y        | Y        | Y        | Y    |
| 7.11                                | When appropriate are there any 160XXX0 issues included to delete positions placed in reserve in the OPB Position and Rate Ledger (e.g. unfunded grants)? Note: Lump sum appropriations not yet allocated should <u>not</u> be deleted. ( <b>PLRR, PLMO</b> )           | N.A.     | N.A.     | N.A.     | N.A.     | N.A.     | N.A. |
| 7.12                                | Does the issue narrative include plans to satisfy additional space requirements when requesting additional positions?                                                                                                                                                  | N.A.     | N.A.     | Y        | N.A.     | N.A.     | N.A. |
| 7.13                                | Has the agency included a 160XXX0 issue and 210XXXX and 260XXX0 issues as required for lump sum distributions?                                                                                                                                                         | N.A.     | N.A.     | N.A.     | N.A.     | N.A.     | N.A. |
| 7.14                                | Do the amounts reflect appropriate FSI assignments?                                                                                                                                                                                                                    | Y        | Y        | Y        | Y        | Y        | Y    |
| 7.15                                | Do the issues relating to <i>salary and benefits</i> have an "A" in the fifth position of the issue code (XXXXAXX) and are they self-contained (not combined with other issues)? (See page 26 and 86 of the LBR Instructions.)                                         | N.A.     | N.A.     | Y        | N.A.     | N.A.     | N.A. |
| 7.16                                | Do the issues relating to <i>Information Technology (IT)</i> have a "C" in the sixth position of the issue code (36XXXXCX) and are the correct issue codes used (361XXC0, 362XXC0, 363XXC0, 17C01C0, 17C02C0, 17C03C0, 24010C0, 33001C0 or 55C01C0)?                   | Y        | N.A.     | Y        | Y        | Y        | N.A. |
| 7.17                                | Are the issues relating to <i>major audit findings and recommendations</i> properly coded (4A0XXX0, 4B0XXX0)?                                                                                                                                                          | N.A.     | N.A.     | N.A.     | N.A.     | N.A.     | N.A. |
| <b>AUDIT:</b>                       |                                                                                                                                                                                                                                                                        |          |          |          |          |          |      |

| Action                                                                                                          |                                                                                                                                                                                                                                                                                                                                        | Program or Service (Budget Entity Codes) |          |          |          |          |          |
|-----------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|----------|----------|----------|----------|----------|
|                                                                                                                 |                                                                                                                                                                                                                                                                                                                                        | 55100100                                 | 55100500 | 55150200 | 55150500 | 55150600 | 55180100 |
| 7.18                                                                                                            | Are all FSI's equal to '1', '2', '3', or '9'? There should be no FSI's equal to '0'.<br><b>(EADR, FSIA - Report should print "No Records Selected For Reporting")</b>                                                                                                                                                                  | Y                                        | Y        | Y        | Y        | Y        | Y        |
| 7.19                                                                                                            | Does the General Revenue for 160XXXX issues net to zero? <b>(GENR, LBR1)</b>                                                                                                                                                                                                                                                           | N.A.                                     | N.A.     | N.A.     | N.A.     | N.A.     | N.A.     |
| 7.20                                                                                                            | Does the General Revenue for 180XXXX issues net to zero? <b>(GENR, LBR2)</b>                                                                                                                                                                                                                                                           | N.A.                                     | N.A.     | N.A.     | N.A.     | N.A.     | N.A.     |
| 7.21                                                                                                            | Does the General Revenue for 200XXXX issues net to zero? <b>(GENR, LBR3)</b>                                                                                                                                                                                                                                                           | N.A.                                     | N.A.     | N.A.     | N.A.     | N.A.     | N.A.     |
| 7.22                                                                                                            | Have FCO appropriations been entered into the nonrecurring column A04?<br><b>(GENR, LBR4 - Report should print "No Records Selected For Reporting" or a listing of D-3A issue(s) assigned to Debt Service (IOE N) or in some cases State Capital Outlay - Public Education Capital Outlay (IOE L) )</b>                                | Y                                        | Y        | Y        | Y        | Y        | Y        |
| TIP                                                                                                             | Salaries and Benefits amounts entered using the OADA/C transactions must be thoroughly justified in the D-3A issue narrative. Agencies can run <b>OADA/OADR</b> from STAM to identify the amounts entered into OAD and ensure these entries have been thoroughly explained in the D-3A issue narrative.                                |                                          |          |          |          |          |          |
| TIP                                                                                                             | The issue narrative must completely and thoroughly explain and justify each D-3A issue. Agencies must ensure it provides the information necessary for the OPB and legislative analysts to have a complete understanding of the issue submitted. Thoroughly review pages 64 through 70 of the LBR Instructions.                        |                                          |          |          |          |          |          |
| TIP                                                                                                             | Check BAPS to verify status of budget amendments. Check for reapprovals not picked up in the General Appropriations Act. Verify that Lump Sum appropriations in Column A02 do not appear in Column A03. Review budget amendments to verify that 160XXX0 issue amounts correspond accurately and net to zero for General Revenue funds. |                                          |          |          |          |          |          |
| TIP                                                                                                             | If an agency is receiving federal funds from another agency the FSI should = 9 (Transfer - Recipient of Federal Funds). The agency that originally receives the funds directly from the federal agency should use FSI = 3 (Federal Funds).                                                                                             |                                          |          |          |          |          |          |
| TIP                                                                                                             | If an appropriation made in the FY 2009-10 General Appropriations Act duplicates an appropriation made in substantive legislation, the agency must create a unique deduct nonrecurring issue to eliminate the duplicated appropriation. Normally this is taken care of through line item veto.                                         |                                          |          |          |          |          |          |
| <b>8. SCHEDULE I &amp; RELATED DOCUMENTS (SC1R, SC1 - Budget Entity Level or SC1R, SC1D - Department Level)</b> |                                                                                                                                                                                                                                                                                                                                        |                                          |          |          |          |          |          |
| <b>See Separate Worksheet</b>                                                                                   |                                                                                                                                                                                                                                                                                                                                        |                                          |          |          |          |          |          |
| 8.1                                                                                                             | Has a separate department level Schedule I and supporting documents package been submitted by the agency?                                                                                                                                                                                                                              |                                          |          |          |          | Y        |          |
| 8.2                                                                                                             | Has a Schedule I been completed in LAS/PBS for each operating trust fund?                                                                                                                                                                                                                                                              |                                          |          |          |          | Y        |          |
| 8.3                                                                                                             | Have the appropriate Schedule I supporting documents been included for the trust funds (Schedule IA, Schedule IB, Schedule IC, and Reconciliation to Trial Balance)?                                                                                                                                                                   |                                          |          |          |          | Y        |          |
| 8.4                                                                                                             | Have the Examination of Regulatory Fees Part I and Part II forms been included for the applicable regulatory programs?                                                                                                                                                                                                                 |                                          |          |          |          | Y        |          |
| 8.5                                                                                                             | Have the required detailed narratives been provided (5% trust fund reserve narrative; method for computing the distribution of cost for general management and administrative services narrative; adjustments narrative; revenue estimating methodology narrative)?                                                                    |                                          |          |          |          | Y        |          |



| Action                                                                                                                                                                                                                                                                                                                      | Program or Service (Budget Entity Codes) |          |          |          |          |          |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|----------|----------|----------|----------|----------|
|                                                                                                                                                                                                                                                                                                                             | 55100100                                 | 55100500 | 55150200 | 55150500 | 55150600 | 55180100 |
| 8.6 Has the Inter-Agency Transfers Reported on Schedule I form been included as applicable for transfers totaling \$100,000 or more for the fiscal year?                                                                                                                                                                    |                                          |          |          |          |          | Y        |
| 8.7 If the agency is scheduled for the annual trust fund review this year, have the Schedule ID and applicable draft legislation been included for recreation, modification or termination of existing trust funds?                                                                                                         |                                          |          |          |          |          | Y        |
| 8.8 If the agency is scheduled for the annual trust fund review this year, have the necessary trust funds been requested for creation pursuant to <i>section 215.32(2)(b), Florida Statutes</i> - including the Schedule ID and applicable legislation?                                                                     |                                          |          |          |          |          | Y        |
| 8.9 Are the revenue codes correct? In the case of federal revenues, has the agency appropriately identified direct versus indirect receipts (object codes 000700, 000750, 000799, 001510 and 001599)?                                                                                                                       |                                          |          |          |          |          | Y        |
| 8.10 Are the statutory authority references correct?                                                                                                                                                                                                                                                                        |                                          |          |          |          |          | Y        |
| 8.11 Are the General Revenue Service Charge percentage rates used for each revenue source correct? (Refer to Chapter 2009-78, Laws of Florida, for appropriate general revenue service charge percentage rates.)                                                                                                            |                                          |          |          |          |          | Y        |
| 8.12 Is this an accurate representation of revenues based on the most recent Consensus Estimating Conference forecasts?                                                                                                                                                                                                     |                                          |          |          |          |          | Y        |
| 8.13 If there is no Consensus Estimating Conference forecast available, do the revenue estimates appear to be reasonable?                                                                                                                                                                                                   |                                          |          |          |          |          | Y        |
| 8.14 Are the federal funds revenues reported in Section I broken out by individual grant? Are the correct CFDA codes used?                                                                                                                                                                                                  |                                          |          |          |          |          | Y        |
| 8.15 Are anticipated grants included and based on the state fiscal year (rather than federal fiscal year)?                                                                                                                                                                                                                  |                                          |          |          |          |          | Y        |
| 8.16 Are the Schedule I revenues consistent with the FSI's reported in the Exhibit D-3A?                                                                                                                                                                                                                                    |                                          |          |          |          |          | Y        |
| 8.17 If applicable, are nonrecurring revenues entered into Column A04?                                                                                                                                                                                                                                                      |                                          |          |          |          |          | Y        |
| 8.18 Has the agency certified the revenue estimates in columns A02 and A03 to be the latest and most accurate available? Does the certification include a statement that the agency will notify OPB of any significant changes in revenue estimates that occur prior to the Governor's Budget Recommendations being issued? |                                          |          |          |          |          | Y        |
| 8.19 Is a 5% trust fund reserve reflected in Section II? If not, is sufficient justification provided for exemption? Are the additional narrative requirements provided?                                                                                                                                                    |                                          |          |          |          |          | Y        |
| 8.20 Are appropriate service charge nonoperating amounts included in Section II?                                                                                                                                                                                                                                            |                                          |          |          |          |          | Y        |
| 8.21 Are nonoperating expenditures to other budget entities/departments cross-referenced accurately?                                                                                                                                                                                                                        |                                          |          |          |          |          | Y        |
| 8.22 Do transfers balance between funds (within the agency as well as between agencies)? (See also 8.6 for required transfer confirmation of amounts totaling \$100,000 or more.)                                                                                                                                           |                                          |          |          |          |          | Y        |
| 8.23 Are nonoperating expenditures recorded in Section II and adjustments recorded in Section III?                                                                                                                                                                                                                          |                                          |          |          |          |          | Y        |
| 8.24 Are prior year September operating reversions appropriately shown in column A01?                                                                                                                                                                                                                                       |                                          |          |          |          |          | Y        |
| 8.25 Are current year September operating reversions appropriately shown in column A02?                                                                                                                                                                                                                                     |                                          |          |          |          |          | Y        |

| Action                                                                                                                                                                                                                                                                                                                                              | Program or Service (Budget Entity Codes) |          |          |          |          |          |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|----------|----------|----------|----------|----------|
|                                                                                                                                                                                                                                                                                                                                                     | 55100100                                 | 55100500 | 55150200 | 55150500 | 55150600 | 55180100 |
| 8.26 Does the Schedule IC properly reflect the unreserved fund balance for each trust fund as defined by the LBR Instructions, and is it reconciled to the agency accounting records?                                                                                                                                                               |                                          |          |          |          |          | Y        |
| 8.27 Does Column A01 of the Schedule I accurately represent the actual prior year accounting data as reflected in the agency accounting records, and is it provided in sufficient detail for analysis?                                                                                                                                              |                                          |          |          |          |          | Y        |
| 8.28 Does Line I of Column A01 (Schedule I) equal Line K of the Schedule IC?                                                                                                                                                                                                                                                                        |                                          |          |          |          |          | Y        |
| <b>AUDITS:</b>                                                                                                                                                                                                                                                                                                                                      |                                          |          |          |          |          |          |
| 8.29 Is Line I a positive number? (If not, the agency must adjust the budget request to eliminate the deficit).                                                                                                                                                                                                                                     |                                          |          |          |          |          | Y        |
| 8.30 Is the June 30 Adjusted Unreserved Fund Balance (Line I) equal to the July 1 Unreserved Fund Balance (Line A) of the following year? ( <b>SC1R, SC1A - Report should print "No Discrepancies Exist For This Report"</b> )                                                                                                                      |                                          |          |          |          |          | Y        |
| 8.31 Has a Department Level Reconciliation been provided for each trust fund and does Line A of the Schedule I equal the CFO amount? If not, the agency must correct Line A. ( <b>SC1R, DEPT</b> )                                                                                                                                                  |                                          |          |          |          |          | Y        |
| TIP The Schedule I is the most reliable source of data concerning the trust funds. It is very important that this schedule is as accurate as possible!                                                                                                                                                                                              |                                          |          |          |          |          |          |
| TIP Determine if the agency is scheduled for trust fund review. (See page 125 of the LBR Instructions.)                                                                                                                                                                                                                                             |                                          |          |          |          |          |          |
| TIP Review the unreserved fund balances and compare revenue totals to expenditure totals to determine and understand the trust fund status.                                                                                                                                                                                                         |                                          |          |          |          |          |          |
| TIP Typically nonoperating expenditures and revenues should not be a negative number. Any negative numbers must be fully justified.                                                                                                                                                                                                                 |                                          |          |          |          |          |          |
| <b>9. SCHEDULE II (PSCR, SC2)</b>                                                                                                                                                                                                                                                                                                                   |                                          |          |          |          |          |          |
| <b>AUDIT:</b>                                                                                                                                                                                                                                                                                                                                       |                                          |          |          |          |          |          |
| 9.1 Is the pay grade minimum for salary rate utilized for positions in segments 2 and 3? ( <b>BRAR, BRAA - Report should print "No Records Selected For This Request"</b> ) Note: Amounts other than the pay grade minimum should be fully justified in the D-3A issue narrative. (See <i>Base Rate Audit</i> on page 157 of the LBR Instructions.) | Y                                        | Y        | Y        | Y        | Y        | Y        |
| <b>10. SCHEDULE III (PSCR, SC3)</b>                                                                                                                                                                                                                                                                                                                 |                                          |          |          |          |          |          |
| 10.1 Is the appropriate lapse amount applied in Segment 3? (See page 87 of the LBR Instructions.)                                                                                                                                                                                                                                                   | Y                                        | Y        | Y        | Y        | Y        | Y        |
| 10.2 Are amounts in <i>Other Salary Amount</i> appropriate and fully justified? (See page 94 of the LBR Instructions for appropriate use of the OAD transaction.) Use <b>OADI</b> or <b>OADR</b> to identify agency other salary amounts requested.                                                                                                 | Y                                        | Y        | Y        | Y        | Y        | Y        |
| <b>11. SCHEDULE IV (EADR, SC4)</b>                                                                                                                                                                                                                                                                                                                  |                                          |          |          |          |          |          |
| 11.1 Are the correct Information Technology (IT) issue codes used?                                                                                                                                                                                                                                                                                  | Y                                        | Y        | Y        | Y        | Y        | N.A.     |
| TIP If IT issues are not coded correctly (with "C" in 6th position), they will not appear in the Schedule IV.                                                                                                                                                                                                                                       |                                          |          |          |          |          |          |
| <b>12. SCHEDULE VIIIA (EADR, SC8A)</b>                                                                                                                                                                                                                                                                                                              |                                          |          |          |          |          |          |
| 12.1 Is there only one #1 priority, one #2 priority, one #3 priority, etc. reported on the Schedule VIII-A? Are the priority narrative explanations adequate?                                                                                                                                                                                       | Y                                        | Y        | Y        | Y        | Y        | Y        |
| <b>13. SCHEDULE VIIIB-1 (EADR, S8B1)</b>                                                                                                                                                                                                                                                                                                            |                                          |          |          |          |          |          |
| 13.1 Do the reductions comply with the instructions provided on pages 98 through 101 of the LBR Instructions regarding a 5% reduction in recurring and nonrecurring General Revenue and Trust Funds?                                                                                                                                                | Y                                        | Y        | Y        | Y        | Y        | Y        |
| <b>14. SCHEDULE VIIIB-2 (EADR, S8B2)</b>                                                                                                                                                                                                                                                                                                            |                                          |          |          |          |          |          |

| Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Program or Service (Budget Entity Codes) |          |          |          |          |          |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|----------|----------|----------|----------|----------|
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | 55100100                                 | 55100500 | 55150200 | 55150500 | 55150600 | 55180100 |
| 14.1 Do the reductions comply with the instructions provided on pages 102 through 104 of the LBR Instructions regarding a 15% reduction in recurring General Revenue and Trust Funds?                                                                                                                                                                                                                                                                                                                                                                                                       | Y                                        | Y        | Y        | Y        | Y        | Y        |
| <b>15. SCHEDULE XI (LAS/PBS Web - see page 108 of the LBR Instructions for detailed instructions)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                          |          |          |          |          |          |
| 15.1 Has the Schedule XI one page summary Excel file been e-mailed to OPB at OPB.UnitCostSummary@laspbs.state.fl.us? Agencies are required to generate this spreadsheet via the LAS/PBS Web. (Note: Pursuant to <i>section 216.023(4)(b), Florida Statutes</i> , the Legislature can reduce the funding level for any agency that does not provide this information.)                                                                                                                                                                                                                       | Y                                        | Y        | Y        | Y        | Y        | Y        |
| 15.2 Do the PDF files uploaded to the Florida Fiscal Portal for the LRPP and LBR match the Excel file e-mailed to OPB?                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Y                                        | Y        | Y        | Y        | Y        | Y        |
| <b>AUDITS INCLUDED IN THE SCHEDULE XI REPORT:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                          |          |          |          |          |          |
| 15.3 Does the FY 2009-10 Actual (prior year) Expenditures in Column A36 reconcile to Column A01? ( <b>GENR, ACT1</b> )                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Y                                        | Y        | Y        | Y        | Y        | Y        |
| 15.4 None of the executive direction, administrative support and information technology statewide activities (ACT0010 thru ACT0490) have output standards (Record Type 5)? ( <b>Audit #1 should print "No Activities Found"</b> )                                                                                                                                                                                                                                                                                                                                                           | Y                                        | Y        | Y        | Y        | Y        | Y        |
| 15.5 Does the Fixed Capital Outlay (FCO) statewide activity (ACT0210) only contain 08XXXX or 14XXXX appropriation categories? ( <b>Audit #2 should print "No Operating Categories Found"</b> )                                                                                                                                                                                                                                                                                                                                                                                              | Y                                        | Y        | Y        | Y        | Y        | Y        |
| 15.6 Has the agency provided the necessary demand (Record Type 5) for all activities which <u>should</u> appear in Section II? (Note: Audit #3 will identify those activities that do NOT have a Record Type '5' and have not been identified as a 'Pass Through' activity. These activities will be displayed in Section III with the 'Payment of Pensions, Benefits and Claims' activity and 'Other' activities. Verify if these activities should be displayed in Section III. If not, an output standard would need to be added for that activity and the Schedule XI submitted again.) | Y                                        | Y        | Y        | Y        | Y        | Y        |
| 15.7 Does Section I (Final Budget for Agency) and Section III (Total Budget for Agency) equal? ( <b>Audit #4 should print "No Discrepancies Found"</b> )                                                                                                                                                                                                                                                                                                                                                                                                                                    | N- Explained in footnote to Sch XI       |          |          |          |          |          |
| <b>TIP</b> If Section I and Section III have a small difference, it may be due to rounding and therefore will be acceptable.                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                          |          |          |          |          |          |
| <b>16. MANUALLY PREPARED EXHIBITS &amp; SCHEDULES</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                          |          |          |          |          |          |
| 16.1 Do exhibits and schedules comply with LBR Instructions (pages 110 through 154 of the LBR Instructions), and are they accurate and complete?                                                                                                                                                                                                                                                                                                                                                                                                                                            | Y                                        | Y        | Y        | Y        | Y        | Y        |
| 16.2 Are appropriation category totals comparable to Exhibit B, where applicable?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Y                                        | Y        | Y        | Y        | Y        | Y        |
| 16.3 Are agency organization charts (Schedule X) provided and at the appropriate level of detail?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Y                                        | Y        | Y        | Y        | Y        | Y        |
| <b>AUDITS - GENERAL INFORMATION</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                          |          |          |          |          |          |
| <b>TIP</b> Review <i>Section 6: Audits</i> of the LBR Instructions for a list of audits and their descriptions.                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                          |          |          |          |          |          |
| <b>TIP</b> Reorganizations may cause audit errors. Agencies must indicate that these errors are due to an agency reorganization to justify the audit error.                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                          |          |          |          |          |          |
| <b>17. CAPITAL IMPROVEMENTS PROGRAM (CIP)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                          |          |          |          |          |          |
| 17.1 Are the CIP-2, CIP-3, CIP-A and CIP-B forms included?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | NA                                       | NA       | Y        | Y        | NA       | Y        |
| 17.2 Are the CIP-4 and CIP-5 forms submitted when applicable (see CIP Instructions)?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | NA                                       | NA       | Y        | Y        | NA       | Y        |
| 17.3 Do all CIP forms comply with CIP Instructions where applicable (see CIP Instructions)?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | NA                                       | NA       | Y        | Y        | NA       | Y        |

| Action                           |                                                                                                                                                                                                                                                                                                                                                                                   | Program or Service (Budget Entity Codes) |          |          |          |          |          |
|----------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|----------|----------|----------|----------|----------|
|                                  |                                                                                                                                                                                                                                                                                                                                                                                   | 55100100                                 | 55100500 | 55150200 | 55150500 | 55150600 | 55180100 |
| 17.4                             | Does the agency request include 5 year projections (Columns A03, A06, A07, A08 and A09)?                                                                                                                                                                                                                                                                                          | NA                                       | NA       | Y        | Y        | NA       | Y        |
| 17.5                             | Are the appropriate counties identified in the narrative?                                                                                                                                                                                                                                                                                                                         | NA                                       | NA       | Y        | Y        | NA       | Y        |
| 17.6                             | Has the CIP-2 form (Exhibit B) been modified to include the agency priority for each project and the modified form saved as a PDF document?                                                                                                                                                                                                                                       | NA                                       | NA       | Y        | Y        | NA       | Y        |
| TIP                              | Requests for Fixed Capital Outlay appropriations which are Grants and Aids to Local Governments and Non-Profit Organizations must use the Grants and Aids to Local Governments and Non-Profit Organizations - Fixed Capital Outlay major appropriation category (140XXX) and include the sub-title "Grants and Aids". These appropriations utilize a CIP-B form as justification. |                                          |          |          |          |          |          |
| <b>18. FLORIDA FISCAL PORTAL</b> |                                                                                                                                                                                                                                                                                                                                                                                   |                                          |          |          |          |          |          |
| 18.1                             | Have all files been assembled correctly and posted to the Florida Fiscal Portal as outlined in the Florida Fiscal Portal Submittal Process?                                                                                                                                                                                                                                       | Y                                        | Y        | Y        | Y        | Y        | Y        |