



CHIEF FINANCIAL OFFICER
JEFF ATWATER
STATE OF FLORIDA

LEGISLATIVE BUDGET REQUEST

October 15, 2012

Jerry L. McDaniel, Director
Office of Policy and Budget
Executive Office of the Governor
1701 Capitol
Tallahassee, Florida 32399-0001

JoAnne Leznoff, Staff Director
Appropriations Committee
221 Capitol
Tallahassee, Florida 32399-1300

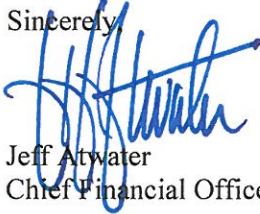
Terry Rhodes, Staff Director
Committee on Budget
201 Capitol
Tallahassee, Florida 32399-1300

Dear Directors:

Pursuant to Chapter 216, Florida Statutes, our Legislative Budget Request for the Department of Financial Services is submitted in the format prescribed in the budget instructions. The information provided electronically and contained herein is a true and accurate presentation of our proposed needs for the 2013-14 Fiscal Year.

I approve this submission. Please let me know if you need additional information.

Sincerely,



Jeff Atwater
Chief Financial Officer

Enclosures

JA:tjm



OFFICE OF INSURANCE REGULATION

KEVIN M. MCCARTY
COMMISSIONER

FINANCIAL SERVICES
COMMISSION

RICK SCOTT
GOVERNOR

JEFF ATWATER
CHIEF FINANCIAL OFFICER

PAM BONDI
ATTORNEY GENERAL

ADAM PUTNAM
COMMISSIONER OF
AGRICULTURE

October 15, 2012

Jerry L. McDaniel, Director
Office of Policy and Budget
Executive Office of the Governor
1701 Capitol
Tallahassee, FL 32399-0001

JoAnne Leznoff, Staff Director
House Appropriations Committee
221 Capitol
Tallahassee, FL 32399-1300

Terry Rhodes, Staff Director
Senate Budget Committee
201 Capitol
Tallahassee, FL 32399-1300

Dear Directors:

Pursuant to Chapter 216, Florida Statutes, our Legislative Budget Request for the Office of Insurance Regulation is submitted in the format prescribed in the budget instructions. The information provided electronically and contained herein is a true and accurate presentation of our proposed needs for the 2013-14 Fiscal Year. This submission has been approved by Kevin McCarty, Insurance Commissioner of the State of Florida.

Sincerely,

A handwritten signature in blue ink that reads "Audrey Brown".

Audrey Brown
Chief of Staff

...
AUDREY SUMRALL BROWN • CHIEF OF STAFF
200 EAST GAINES STREET • TALLAHASSEE, FLORIDA 32399-0326 • (850) 413-5100 • FAX (850) 413-2348
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LINDA B. CHARITY
INTERIM COMMISSIONER

October 15, 2012

Jerry McDaniel, Director
Office of Policy and Budget
Executive Office of the Governor
1701 Capitol
Tallahassee, Florida 32399-0001

JoAnne Leznoff, Staff Director
House Appropriations Committee
221 Capitol
Tallahassee, Florida 32399-1300

Terry Rhodes, Staff Director
Senate Budget Committee
201 Capitol
Tallahassee, Florida 32399-1300

Dear Directors:

Pursuant to Chapter 216, Florida Statutes, our Legislative Budget Request for the Office of Financial Regulation is submitted in the format prescribed in the budget instructions. The information provided electronically and contained herein is a true and accurate presentation of our proposed needs for the 2013-14 Fiscal Year. This submission has been approved by Linda Charity, Interim Commissioner.

Respectfully,

Gregory J. Hila
Deputy Commissioner

FINANCIAL SERVICES COMMISSION

RICK SCOTT
GOVERNOR

PAM BOND
ATTORNEY
GENERAL

JEFF ATWATER
CHIEF FINANCIAL
OFFICER

ADAM PUTNAM
COMMISSIONER OF
AGRICULTURE

Department Level Exhibits and Schedules

Non-Strategic IT Service:		Network Service			
Dept/Agency: Financial Services		# of Assets & Resources Apportioned to this IT Service in FY 2013-14			
Prepared by: Jamie Gordon					
Phone: 850/413-2220					
Service Provisioning -- Assets & Resources (Cost Elements)		Footnote Number	Number used for this service	Number w/ costs in FY 2013-14	Estimated FY 2013-14 Allocation of Recurring Base Budget (based on Column G64 minus G65)
A. Personnel			24.57		\$51,821
A-1.1	State FTE	1	24.57		\$51,821
A-2.1	OPS FTE		0.00		\$0
A-3.1	Contractor Positions (Staff Augmentation)		0.00		\$0
B. Hardware					\$490,629
B-1	Servers		94	0	\$0
B-2	Server Maintenance & Support	2	36	36	\$24,668
B-3	Network Devices & Hardware (e.g., routers, switches, hubs, cabling, etc.)		3809	0	\$458,842
B-4	Online Storage for file and print (indicate GB of storage)	3	60732.84		\$0
B-5	Archive Storage for file and print (indicate GB of storage)		0		\$0
B-6	Other Hardware Assets (Please specify in Footnote Section below)	4			\$7,120
C. Software		5 & 6			\$515,552
D. External Service Provider(s)					\$986,792
D-1	MyFloridaNet				\$790,026
D-2	Other (Please specify in Footnote Section below)	7			\$196,766
E. Other (Please describe in Footnotes Section below)		8			\$199,066
F. Total for IT Service					\$2,243,861
G. Please identify the number of users of the Network Service					2,784
H. How many locations currently host IT assets and resources used to provide LAN services?					29
I. How many locations currently use WAN services?					25
J.	Footnotes - Please indicate a footnote for each corresponding row above. Maximum footnote length is 1024 characters.				
1	Amt reflects 6% of salaries & benefits for 24 FTE, 1 Supervisor @90%, Bureau Chief @67%. 6% is percentage applied for non-strategic support.				
2	Servers have warranty maintenance as part of purchase. Amount specified is to cover those projected to come out of warranty.				
3	Cost included in Data center service Item B-4				
4	25% of BLM Maintenance Contract (Other 75% in Desktop Service Item D.)				
5	VMware SW Lic Upgrades, Maint. & Support; Wmware Workstation License; Microsoft EA; Microsoft Premier Support; Uniprint SW Support;				
6	FileUp Std Server License Maint; 10-User Hyena License Key				
7	DMS VoIP				
8	Verizon, Comcast				
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Non-Strategic IT Service:		E-Mail, Messaging, and Calendaring Service			
Agency: Financial Services		# of Assets & Resources			
Prepared by: Jamie Gordon		AppORTioned to this IT Service in FY 2013-14			
Phone: 850/413-2220					
Service Provisioning -- Assets & Resources (Cost Elements)		Footnote Number	Number used for this service	Number w/ costs in FY 2013-14	Estimated FY 2013-14 Allocation of Recurring Base Budget (based on Column G64 minus G65)
A. Personnel			2.43		\$9,724
A-1	State FTE	1	2.43		\$9,724
A-2	OPS FTE		0.00		\$0
A-3	Contractor Positions (Staff Augmentation)		0.00		\$0
B. Hardware					\$6,020
B-1	Servers		19	0	\$0
B-2	Server Maintenance & Support	2	0	0	\$0
B-3	Wireless Communication Devices (e.g., Blackberries, iPhones, PDAs, etc.)		219	0	\$6,020
B-4	Online Storage (indicate GB of storage)	3	9420		\$0
B-5	Archive Storage (indicate GB of storage)	3	17142		\$0
B-6	Other Hardware Assets (Please specify in Footnote Section below)				\$0
C. Software		4			\$20,332
D. External Service Provider(s)					\$0
D-1	Southwood Shared Resource Center				\$0
D-2	Northwood Shared Resource Center				\$0
D-3	Northwest Regional Data Center				\$0
D-4	Other Data Center External Service Provider (specify in Footnotes below)				\$0
E. Other (Please describe in Footnotes Section below)					\$0
F. Total for IT Service					\$36,076
G. Please provide the number of user mailboxes.					2,792
H. Please provide the number of resource mailboxes.					519
I. Footnotes - Please indicate a footnote for each corresponding row above. Maximum footnote length is 1024 characters.					
1	Amt reflects 6% of salaries & benefits for 2 FTE, 10% of Supervisor and 33% of Bureau Chief. 6% is percentage applied for non-strategic support.				
2	Servers have warranty maint as part of purchase. Amt to cover any that may come out of warranty specified in Network Service Item B-2				
3	Costs included in Data Center Service Item B-4				
4	Mail Express Attach, Mimosa				
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Non-Strategic IT Service:		Desktop Computing Service			
Agency: Financial Services		# of Assets & Resources Apportioned to this IT Service in FY 2013-14			
Prepared by: Jamie Gordon					
Phone: 850/413-2220					
Service Provisioning -- Assets & Resources (Cost Elements)		Footnote Number	Number used for this service	Number w/ costs in FY 2013-14	Estimated FY 2013-14 Allocation of Recurring Base Budget (based on Column G64 minus G65)
A. Personnel			14.50		\$37,435
A-1	State FTE	1	11.50		\$34,565
A-2	OPS FTE	2	3.00		\$2,870
A-3	Contractor Positions (Staff Augmentation)		0.00		\$0
B. Hardware			4510	0	\$460,781
B-1	Servers		26	0	\$0
B-2	Server Maintenance & Support	3 & 4	0	0	\$0
B-3.1	Desktop Computers	5	2764	0	\$460,781
B-3.2	Mobile Computers (e.g., Laptop, Notebook, Handheld, Wireless Computer)	5	1720	0	\$0
B-3.3	Other Hardware Assets (Please specify in Footnote Section below)		0	0	\$0
C. Software		6			\$9,310
D. External Service Provider(s)		7	0	0	\$28,480
E. Other (Please describe in Footnotes Section below)					\$0
F. Total for IT Service					\$536,006
G. Please identify the number of users of this service.					2,784
H. How many locations currently use this service?					37
I. Footnotes - Please indicate a footnote for each corresponding row above. Maximum footnote length is 1024 characters.					
1	Amount reflects 6% of salaries and benefits for 11.5 FTE. 6% is the percentage applied for non-strategic support.				
2	Amount reflects 6% of salaries and benefits for 3 OPS. 6% is the percentage applied for non-strategic support.				
3	Servers have warranty maintenance as part of purchase.				
4	Amount to cover any servers that may come out of warranty specified in Network Service Item B-2				
5	Desktop Refresh for DFS, OFR and OIR. OFR & OIR receive separate funding for this purpose which is reflected in Budget Entity Cost Sheet.				
6	Citrix Preferred Support; WinZip				
7	75% of maint service contract (25% for Network Service)				
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Non-Strategic IT Service:		Helpdesk Service					
Agency: Financial Services				# of Assets & Resources Apportioned to this IT Service in FY 2013-14			
Prepared by: Jamie Gordon							
Phone: 850/413-2220							
Service Provisioning -- Assets & Resources (Cost Elements)				Footnote Number	Number used for this service	Number w/ costs in FY 2013-14	Estimated FY 2013-14 Allocation of Recurring Base Budget (based on Column G64 minus G65)
A. Personnel					12.50		\$37,231
A-1	State FTE			1	12.50		\$37,231
A-2	OPS FTE				0.00		\$0
A-3	Contractor Positions (Staff Augmentation)				0.00		\$0
B. Hardware					9	0	\$0
B-1	Servers				9	0	\$0
B-2	Server Maintenance & Support			2	0	0	\$0
B-3	Other Hardware Assets (Please specify in Footnote Section below)				0	0	\$0
C. Software				3			\$71,847
D. External Service Provider(s)					0	0	\$0
E. Other (Please describe in Footnotes Section below)				4			\$1,995
F. Total for IT Service							\$111,073
G. Please identify the number of users of this service.							2,784
H. How many locations currently host IT assets and resources used to provide this service?							1
I. What is the average monthly volume of calls/cases/tickets?							5,289
J. Footnotes - Please indicate a footnote for each corresponding row above. Maximum footnote length is 1024 characters.							
1	Amount reflects 6% of salaries and benefits for 12.5 FTE. 6% is the percentage applied for non-strategic support.						
2	Servers have warranty maintenance as part of purchase. Amount to cover any that may come out of warranty specified in Network Service Tab B-2						
3	Remedy and CRD Standard Software (Report Scheduler)						
4	Help Desk Institute Subscription.						
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Non-Strategic IT Service: **IT Security/Risk Mitigation Service**

Agency: **Financial Services**
 Prepared by: **Jamie Gordon**
 Phone: **850/413-2220**

of Assets & Resources
 Apportioned to this
 IT Service in FY
 2013-14

Service Provisioning -- Assets & Resources (Cost Elements)		Footnote Number	Number used for this service	Number w/ costs in FY 2013-14	Estimated FY 2013-14 Allocation of Recurring Base Budget (based on Column G64 minus G65)
A. Personnel			4.50		\$30,118
A-1	State FTE	1	4.50		\$30,118
A-2	OPS FTE		0.00		\$0
A-3	Contractor Positions (Staff Augmentation)		0.00		\$0
B. Hardware			22	0	\$0
B-1	Servers	2	18	0	\$0
B-2	Server Maintenance & Support	2	0	0	\$0
B-3	Other Hardware Assets (Please specify in Footnote Section below)	3	4	0	\$0
C. Software		4			\$12,177
D. External Service Provider(s)		5	4	4	\$738,234
E. Other (Please describe in Footnotes Section below)		6			\$13,681
F. Total for IT Service					\$794,210

G. Footnotes - Please indicate a footnote for each corresponding row above. Maximum footnote length is 1024 characters.

1	Amount reflects 6% of salaries and benefits for 3.5 FTE. 6% is the percentage applied for non-strategic support. 1 FTE (ISM) calculated at 17%.
2	Servers have warranty maintenance as part of purchase. Amount to cover any that may come out of warranty specified in Network Service Item B-2
3	Sun Encryption Hardware from Owuasius Investment Corp/GCA; Maintenance Contract not renewed
4	Webstart, Nexpose Vulnerability Mgmt Sys, IronPort, IRIS, CheckPoint Encryption, GFI LANguard, Oracle Premier Support for Encryption
5	DR Services
6	Travel for DR testing, DR Trailer, Satellite Phone Services
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Non-Strategic IT Service:		Agency Financial and Administrative Systems Support Service			
Agency: Financial Services				# of Assets & Resources	
Prepared by: Jamie Gordon				Apportioned to this IT Service in FY 2013-14	
Phone: 850/413-2220				14	
Service Provisioning -- Assets & Resources (Cost Elements)		Footnote Number	Number used for this service	Number w/ costs in FY 2013-14	Estimated FY 2013-14 Allocation of Recurring Base Budget (based on Column G64 minus G65)
A. Personnel			8.00		\$30,307
A-1	State FTE		8.00		\$30,307
A-2	OPS FTE		0.00		\$0
A-3	Contractor Positions (Staff Augmentation)		0.00		\$0
B. Hardware			0	0	\$0
B-1	Servers		0	0	\$0
B-2	Server Maintenance & Support		0	0	\$0
B-3	Other Hardware Assets (Please specify in Footnote Section below)		0	0	\$0
C. Software					\$0
D. External Service Provider(s)			0	0	\$0
E. Other (Please describe in Footnotes Section below)					\$0
F. Total for IT Service					\$30,307
G. Please identify the number of users of this service.					2,784
H. How many locations currently host agency financial/administrative systems?					2
I. Footnotes - Please indicate a footnote for each corresponding row above. Maximum footnote length is 1024 characters.					
1	Amount reflects 6% of salaries and benefits for 8FTE. 6% is the percentage applied for non-strategic support.				
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Non-Strategic IT Service:		IT Administration and Management Service			
Agency: Financial Services				# of Assets & Resources	
Prepared by: Jamie Gordon				Apportioned to this IT Service in FY 2013-	
Phone: 850/413-2220				14	
Service Provisioning -- Assets & Resources (Cost Elements)		Footnote Number	Number used for this service	Number w/ costs in FY 2013-14	Estimated FY 2013-14 Allocation of Recurring Base Budget (based on Column G64 minus G65)
A. Personnel			24.50		\$113,371
A-1	State FTE	1	23.50		\$112,123
A-2	OPS FTE	2	1.00		\$1,248
A-3	Contractor Positions (Staff Augmentation)		0.00		\$0
B. Hardware			6	6	\$7,370
B-1	Servers		0	0	\$0
B-2	Server Maintenance & Support		0	0	\$0
B-3	Other Hardware Assets (Please specify in Footnote Section below)	3	6	6	\$7,370
C. Software					\$0
D. External Service Provider(s)			0	0	\$0
E. Other (Please describe in Footnotes Section below)		4			\$104,540
F. Total for IT Service					\$225,281
G. How many locations currently host assets and resources used to provide this service?					1
G. Footnotes - Please indicate a footnote for each corresponding row above. Maximum footnote length is 1024 characters.					
1	Amount reflects 6% of salaries and benefits for 24 FTE. 6% is the percentage applied for non-strategic support.				
2	Amount reflects 6% of salaries and benefits for 1 OPS. 6% is the percentage applied for non-strategic support.				
3	Copiers, Bizhub Printers, Fax				
4	Gartner				
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10					
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13					
14					
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Non-Strategic IT Service: Web/Portal Service					
Dept/Agency: Financial Services Prepared by: Jamie Gordon Phone: 850/413-2220		# of Assets & Resources Apportioned to this IT Service in FY 2013-14			
Service Provisioning -- Assets & Resources (Cost Elements)		Footnote Number	Number used for this service	Number w/ costs in FY 2013-14	Estimated FY 2013-14 Allocation of Recurring Base Budget (based on Column G64 minus G65)
A. Personnel			5.00		\$20,635
A-1.1	State FTE	1	5.00		\$20,635
A-2.1	OPS FTE		0.00		\$0
A-3.1	Contractor Positions (Staff Augmentation)		0.00		\$0
B. Hardware					\$0
B-1	Servers		5	0	\$0
B-2	Server Maintenance & Support	2	0	0	\$0
B-3	Other Hardware Assets (Please specify in Footnotes Section below)		0	0	\$0
C. Software		3			\$300
D. External Service Provider(s)			0	0	\$0
E. Other (Please describe in Footnotes Section below)		4			\$14,999
F. Total for IT Service					\$35,933
G. Please identify the number of Internet users of this service.					13,900,000
H. Please identify the number of intranet users of this service.					2,784
I. How many locations currently host IT assets and resources used to provide this service?					1
J.	Footnotes - Please indicate a footnote for each corresponding row above. Maximum footnote length is 1024 characters.				
1	Amount reflects 6% of salaries and benefits for 5 FTE. 6% is the percentage applied for non-strategic support.				
2	Servers have warranty maint as part of purchase. Amount to cover any that may come out of warranty specified in Network Service B-2				
3	SmarterStats				
4	Brightcove Video Streaming Service				
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Non-Strategic IT Service:		Data Center Service			
Dept/Agency: Financial Services		# of Assets & Resources AppORTioned to this IT Service in FY 2013-14			
Prepared by: Jamie Gordon					
Phone: 850/413-2220					
Service Provisioning -- Assets & Resources (Cost Elements)		Footnote Number	Number used for this service	Number w/ costs in FY 2013-14	Estimated FY 2013-14 Allocation of Recurring Base Budget (based on Column G64 minus G65)
A. Personnel (performing data center functions defined in w. 282.201(2)(d)1.e., F.S.)			12.25		\$56,295
A-1.1	State FTE	1	11.25		\$55,236
A-2.1	OPS FTE	2	1.00		\$1,058
A-3.1	Contractor Positions (Staff Augmentation)		0.00		\$0
B. Hardware					\$123,124
B-1	Non-Mainframe Servers (including single-function logical servers not assigned to another service)		11	0	\$0
B-2	Servers - Mainframe			0	\$0
B-3	Server Maintenance & Support	3	0	0	\$0
B-4	Online or Archival Storage Systems (indicate GB of storage)	4	60,194		\$100,854
B-5	Data Center/ Computing Facility Internal Network	5			\$0
B-6	Other Hardware (Please specify in Footnotes Section below)	6			\$22,270
C. Software		7			\$529,100
D. External Service Provider(s)					\$0
D-1	Southwood Shared Resource Center (indicate # of Board votes)		0		\$0
D-2	Northwood Shared Resource Center (indicate # of Board votes)		0		\$0
D-3	Northwest Regional Data Center (indicate # of Board votes)		0		\$0
D-4	Other Data Center External Service Provider (specify in Footnotes below)				\$0
E. Plant & Facility					\$326,805
E-1	Data Center/Computing Facilities Rent & Insurance				\$185,254
E-2	Utilities (e.g., electricity and water)	8			\$0
E-3	Environmentals (e.g., HVAC, fire control, and physical security)	9			\$141,551
E-4	Other (please specify in Footnotes Section below)				
F. Other (Please describe in Footnotes Section below)					\$0
G. Total for IT Service					\$1,035,323
H. Please provide the number of agency data centers.					1
I. Please provide the number of agency computing facilities.					1
J. Please provide the number of single-server installations.					25
H.	Footnotes - Please indicate a footnote for each corresponding row above. Maximum footnote length is 1024 characters.				
1	Amount reflects 6% of salaries and benefits for 13.25 FTE. 6% is the percentage applied for non-strategic support.				
2	Amount reflects 6% of salaries and benefits for 1 OPS employee. 6% is the percentage applied for non-strategic support.				
3	Servers have warranty maintenance as part of purchase. Amount to cover any that may come out of warranty specified in Network Service B-2				
4	EMC				
5	Included in Network Service Item B-2				
6	Sun Storage Tek				
7	Solarwinds Orion, CommVault Backup, Oracle Partitioning Processor & Maintenance, Quest, Sybase PowerDesigner, Oracle Support				
8	Included in E-1				
9	Liebert UPS Support/maintenance, Georgia Florida Alarm, Interstate Fire, Johnson Controls, Ring Power, Sonitrol, All Florida Electric, DEP				

Agency: Financial Services

										E-Mail, Messaging, and Calendaring Service	Network Service	Desktop Computing Service	Helpdesk Service	IT Security/Risk Mitigation Service	Agency Financial and Administrative Systems Support Service	IT Administration and Management Service	Web/Portal Service	Data Center Service				
Budget Entity Code	Budget Entity	Program Component Code	Program Component	Appropriation Category Code	Appropriation Category	Fund Code	Fund	FSI	Identified Funding as % of Line Item Total	Funding Identified for IT Service	0.0000%	0.0000%	22.9040%	0.0000%	0.0000%	0.0000%	0.0000%	0.0000%				
										\$0	\$0	\$122,767	\$0	\$0	\$0	\$0	\$0	\$0				
1	43900110	Comp & Enforcement- Ins	12.04.00.00.00	Regulation and Licensing	040000	Expense	2393	Insurance Regulatory Trust	1	\$56,917			\$56,917									
2	43900570	Securities Regulation	12.04.00.00.00	Regulation and Licensing	040000	Expense	2038	Anti-Fraud Trust Fund	1	\$41,850			\$41,850									
3	43900570	Securities Regulation	12.04.00.00.00	Regulation and Licensing	060000	OCO	2038	Anti-Fraud Trust Fund	1	\$24,000			\$24,000									
4										\$0												
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Sum of IT Cost Elements Across IT Services																						
IT Cost Element Data as entered on IT Service Worksheets										Personnel		State FTE (#)	103.25	2.43	24.57	11.50	12.50	4.50	8.00	23.50	5.00	11.25
												State FTE (Costs)	\$381,759	\$9,724	\$51,821	\$34,565	\$37,231	\$30,118	\$30,307	\$112,123	\$20,635	\$55,236
										Other		OPS FTE (#)	5.00	0.00	0.00	3.00	0.00	0.00	0.00	1.00	0.00	1.00
												OPS FTE (Cost)	\$5,177	\$0	\$0	\$2,870	\$0	\$0	\$0	\$1,248	\$0	\$1,058
										Vendor/Staff Augmentation		Vendor/Staff Augmentation (# Positions)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
												Vendor/Staff Augmentation (Costs)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
										Hardware, Software, External Services, Plant & Facility (Data Center Only), Other		Hardware	\$1,087,924	\$6,020	\$490,629	\$460,781	\$0	\$0	\$0	\$7,370	\$0	\$123,124
												Software	\$1,158,618	\$20,332	\$515,552	\$9,310	\$71,847	\$12,177	\$0	\$0	\$300	\$529,100
												External Services	\$1,753,506	\$0	\$986,792	\$28,480	\$0	\$738,234	\$0	\$0	\$0	\$0
												Plant & Facility (Data Center Only)	\$326,805									\$326,805
Other	\$334,281	\$0	\$199,066	\$0	\$1,995	\$13,681	\$0	\$104,540	\$14,999			\$0										
Budget Total		Budget Total	\$5,048,070	\$36,076	\$2,243,861	\$536,006	\$111,073	\$794,210	\$30,307	\$225,281	\$35,933	\$1,035,323										
		FTE Total	108.25	2.43	24.57	14.50	12.50	4.50	8.00	24.50	5.00	12.25										
Users		Users	3,311	2,784	2,784	2,784	2,784	2,784	2,784	2,784	13,902,784											
		Cost Per User	\$11	805,984,4468	192,530,8657	39,896,84626				10,886,08836	0,0025,84625											
										(cost/all mailboxes) Help Desk Tickets: 5,289 Cost/Ticket: 1.750060188												

Schedule VII: Agency Litigation Inventory

For directions on completing this schedule, please see the "Legislative Budget Request (LBR) Instructions" located on the Governor's website.

Agency:	Department of Financial Services		
Contact Person:	Dennis Silverman, Asst. Dir.	Phone Number:	850-413-4162
Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	Hon. Rick Scott, Governor & State of Florida vs. Galaxy Fireworks, Inc. & Itzhak Dickstein (2 nd DCA); Galaxy Fireworks, Inc., et al. v. The Hon. Rick Scott, Governor, and the State of Florida (Hillsborough County Circuit Court)		
Court with Jurisdiction:	2 nd District Court of Appeal; Hillsborough County Circuit Court reserved jurisdiction on matter of attorneys' fees and costs		
Case Number:	DCA Case No. 2D11-1583; Hillsborough Case No. 98-CA-009608		
Summary of the Complaint:	An inverse condemnation action alleging that issuance of Executive Order 98-165 by then Governor Chiles deprived the Plaintiffs of the opportunity to sell fireworks for the July 1998 fireworks sales season, and the prohibition constituted a compensable taking. On appeal to the 2 nd DCA, the issues are whether a taking occurred as a result of the State's exercise of its police power in light of the emergency situation facing Florida (expansive and uncontrollable wildfires) in 1998, and whether lost profits are available as damages for taking claims.		
Amount of the Claim:	\$1 million + interest accumulated since 1998 = \$2,108,494.40. Also subject to post-judgment interest at statutory rate; attorneys' fees and costs may exceed \$300,000.		
Specific Statutes or Laws (including GAA) Challenged:	Executive Order 98-165		
Status of the Case:	Settled for \$1 million on the amount of damages only, with interest accumulating since 1998. Final Judgment entered 2/23/11 was appealed by the State. Oral Argument was held 6/9/12, and a ruling is anticipated in September or October 2012.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input type="checkbox"/>	Agency Counsel	
	<input checked="" type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).			

Schedule VII: Agency Litigation Inventory

For directions on completing this schedule, please see the “Legislative Budget Request (LBR) Instructions” located on the Governor’s website.

Agency:	Office of Insurance Regulation		
Contact Person:	Richard Fox	Phone Number	850-413-5024
Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	N/A		
Court with Jurisdiction:	N/A		
Case Number:	N/A		
Summary of the Complaint:	N/A		
Amount of the Claim:	\$0		
Specific Statutes or Laws (including GAA) Challenged:	N/A		
Status of the Case:	N/A		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input type="checkbox"/>	Agency Counsel	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).	N/A		

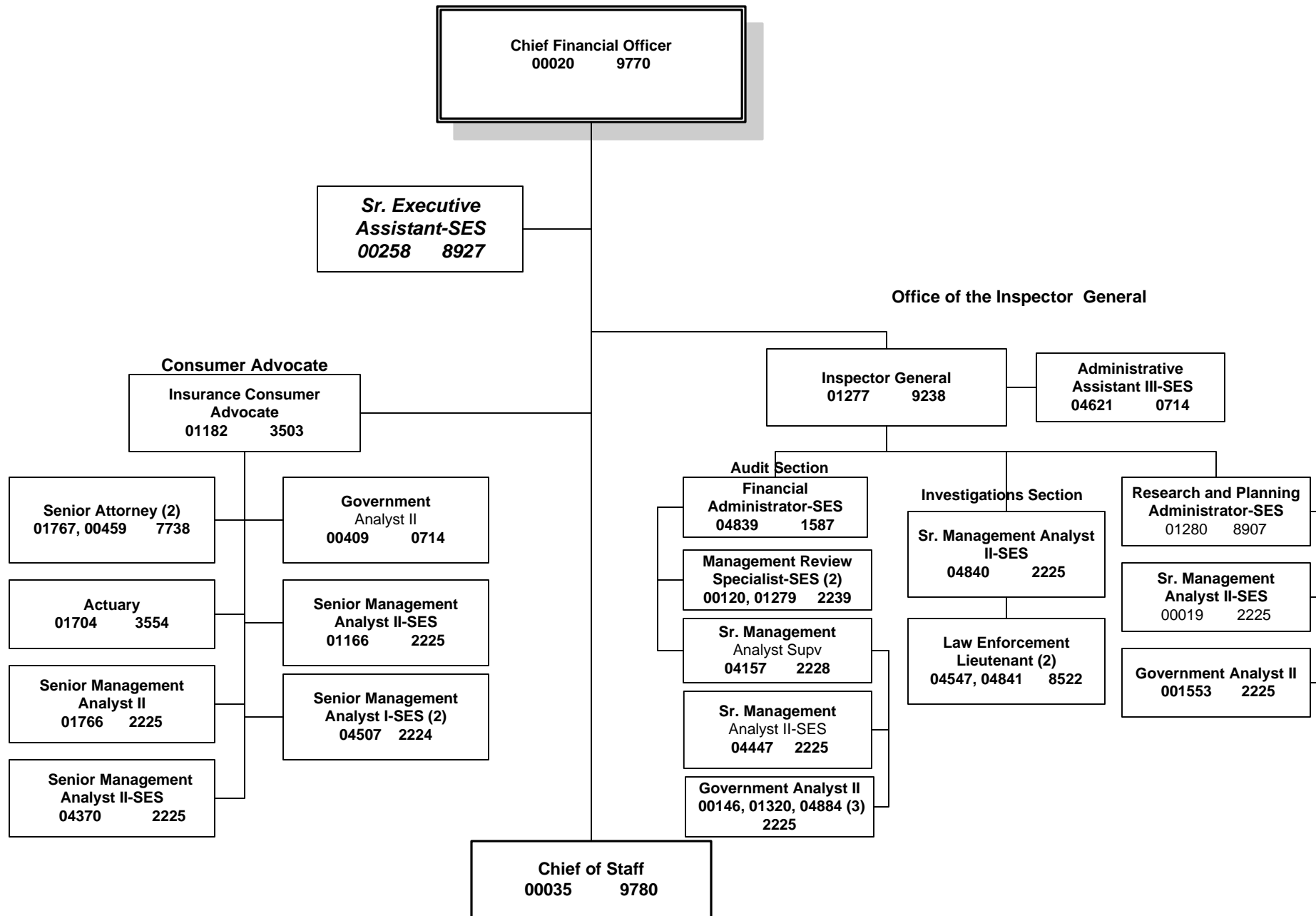
Schedule VII: Agency Litigation Inventory

For directions on completing this schedule, please see the “Legislative Budget Request (LBR) Instructions” located on the Governor’s website.

Agency:	Office of Financial Regulation		
Contact Person:	Ross Nobles	Phone Number	850-413-9771
Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	N/A		
Court with Jurisdiction:	N/A		
Case Number:	N/A		
Summary of the Complaint:	N/A		
Amount of the Claim:	\$0		
Specific Statutes or Laws (including GAA) Challenged:	N/A		
Status of the Case:	N/A		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input type="checkbox"/>	Agency Counsel	
	<input type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).	N/A		

Department of Financial Services

Chief Financial Officer

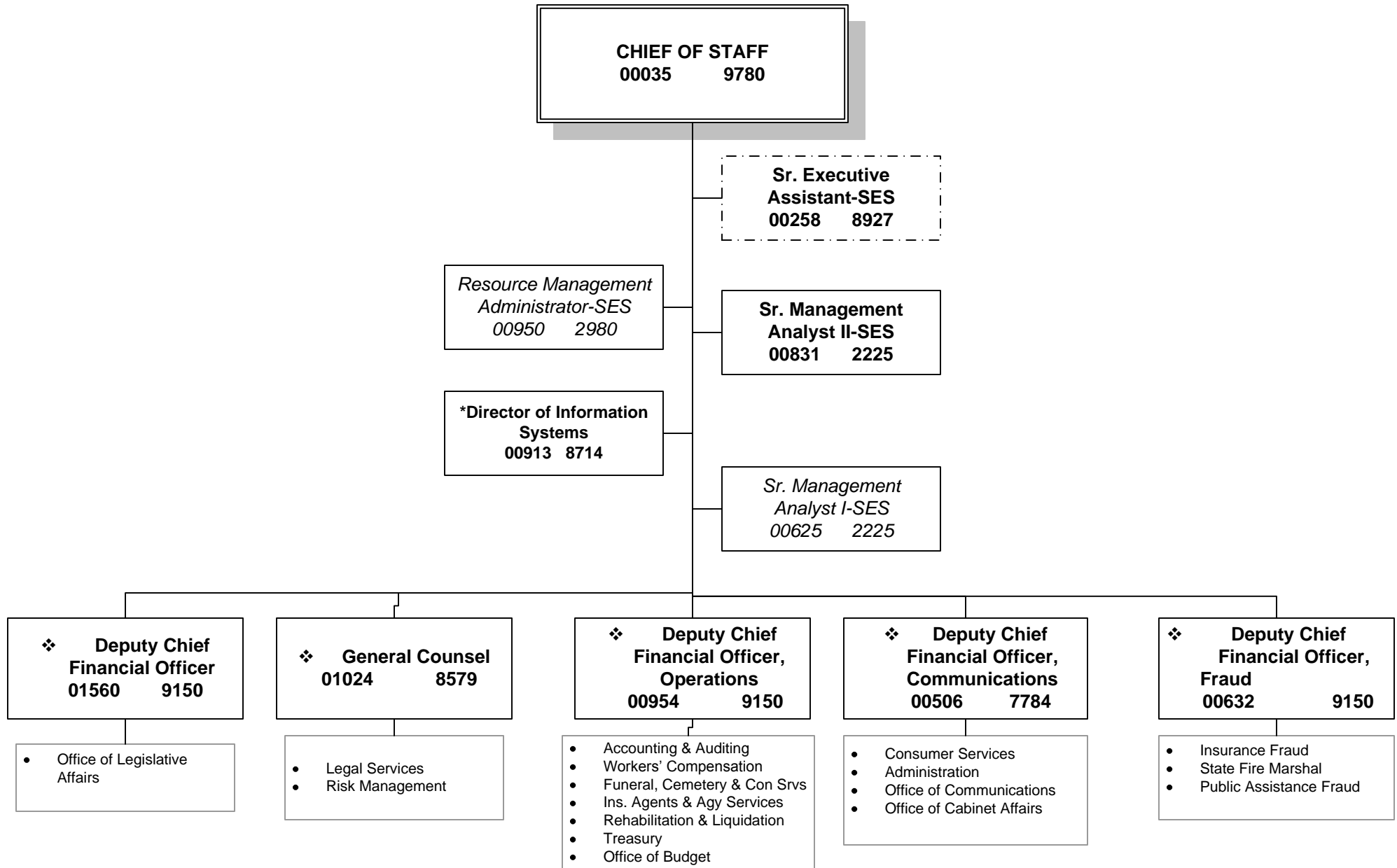


CFO Total FTE: 2
 Consumer Advocate FTE: 9
 Inspector General FTE: 16

❖ FTE not included in this Section
 Dotted Line = Administratively Assigned. FTE not counted.
 Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

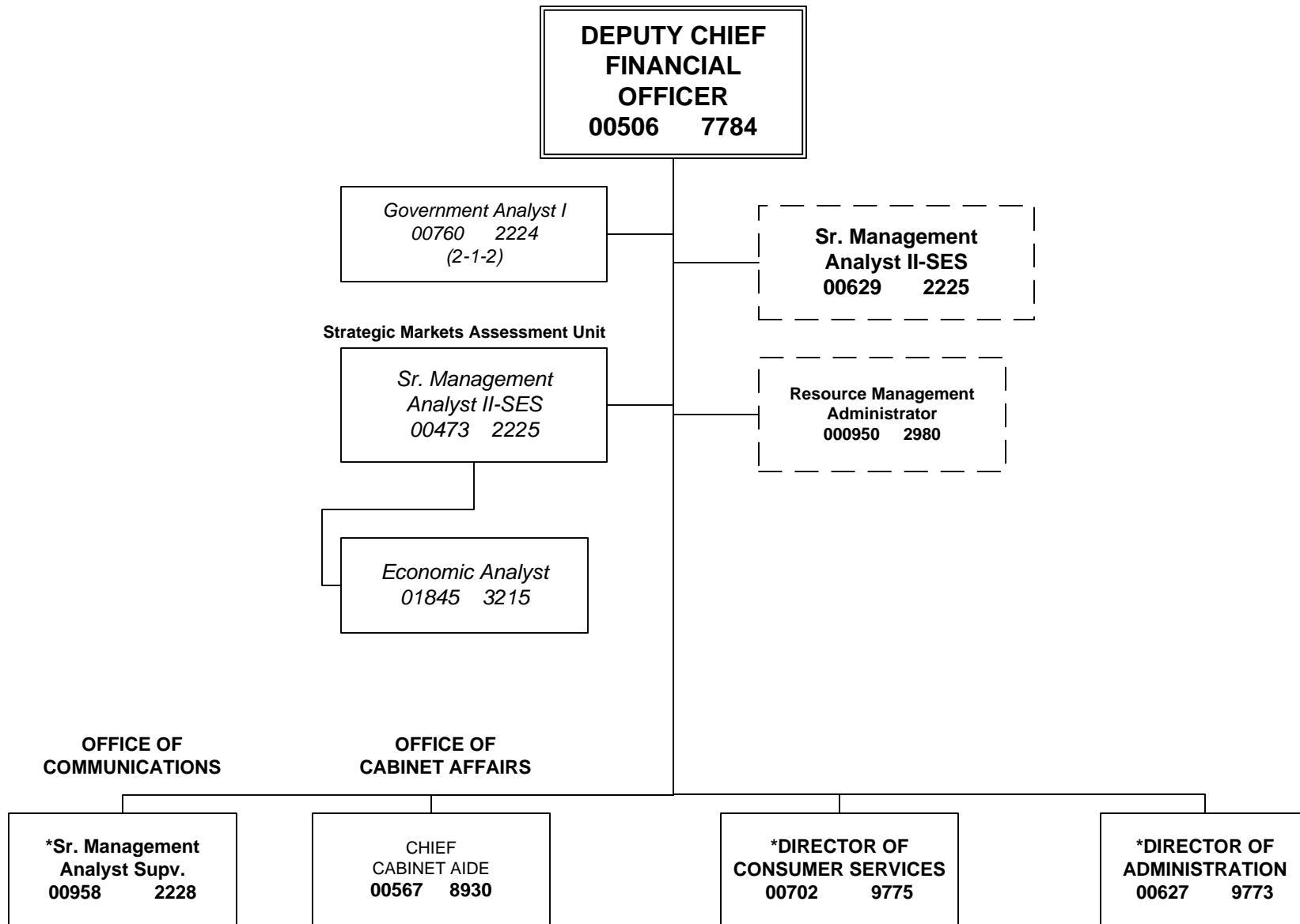
Eff 10//2011
 Rev 12-8-2011 1-0-0

**Department of Financial Services
Chief Financial Officer
Office of the Chief of Staff**

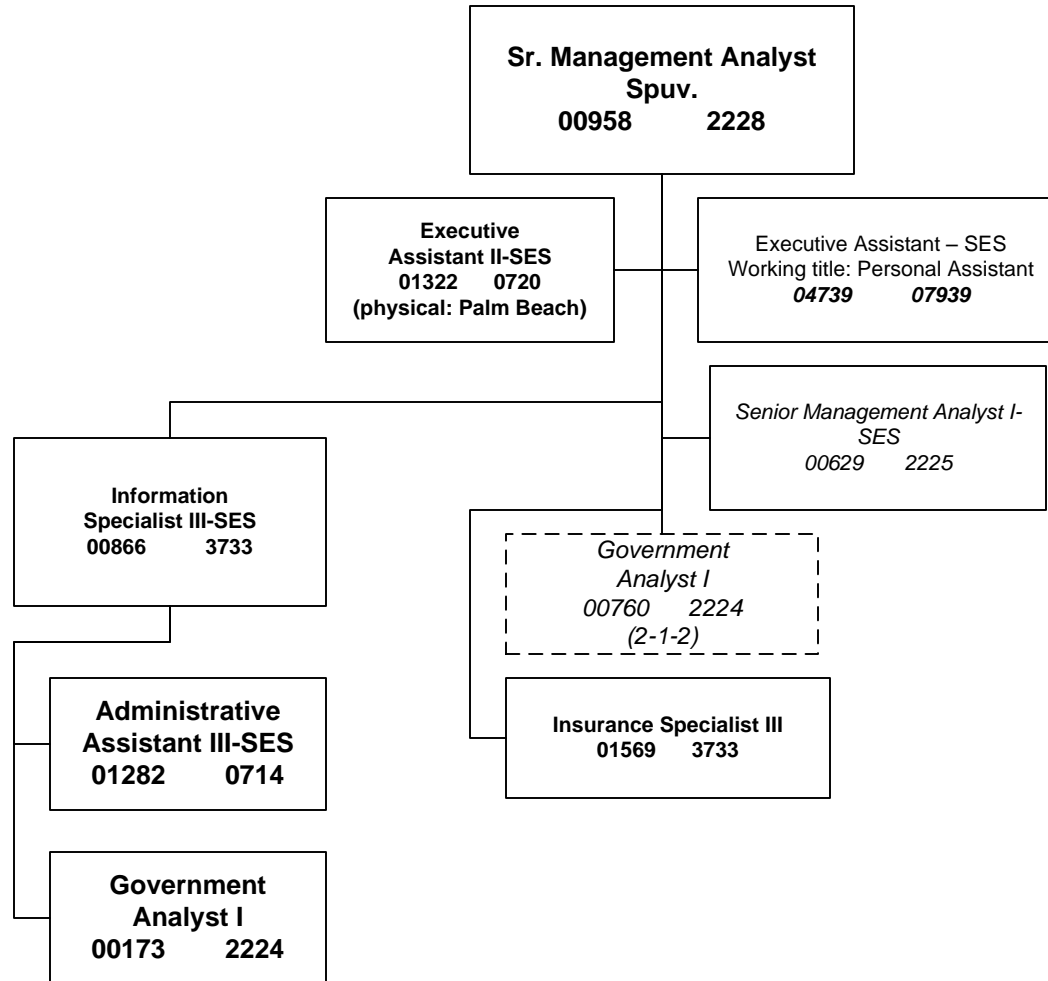


**Department of Financial Services
Chief Financial Officer
Office of the Chief of Staff
Office of Strategic Planning**

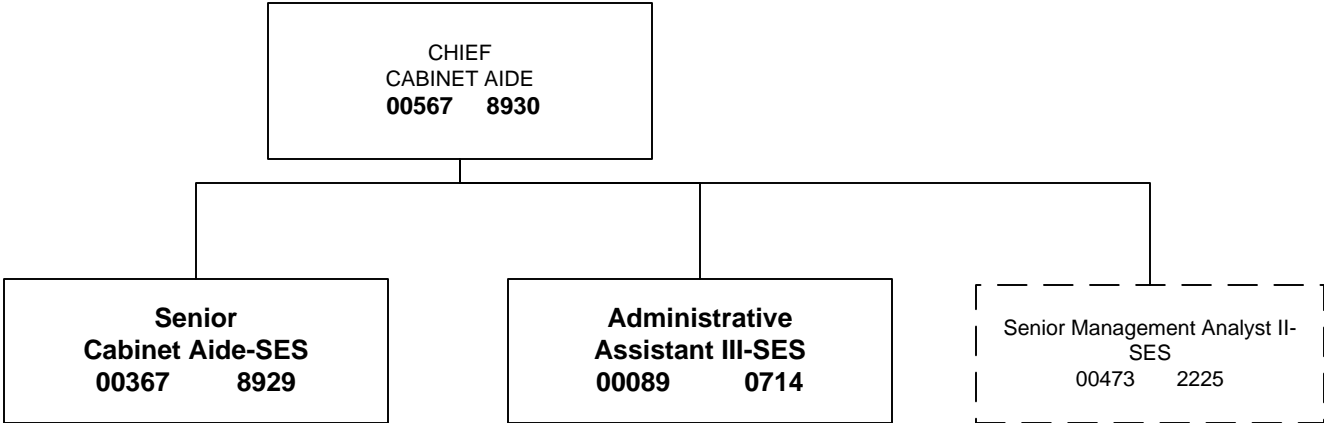
**Department of Financial Services
Office of the Chief of Staff
Office of the Deputy Chief Financial Officer**



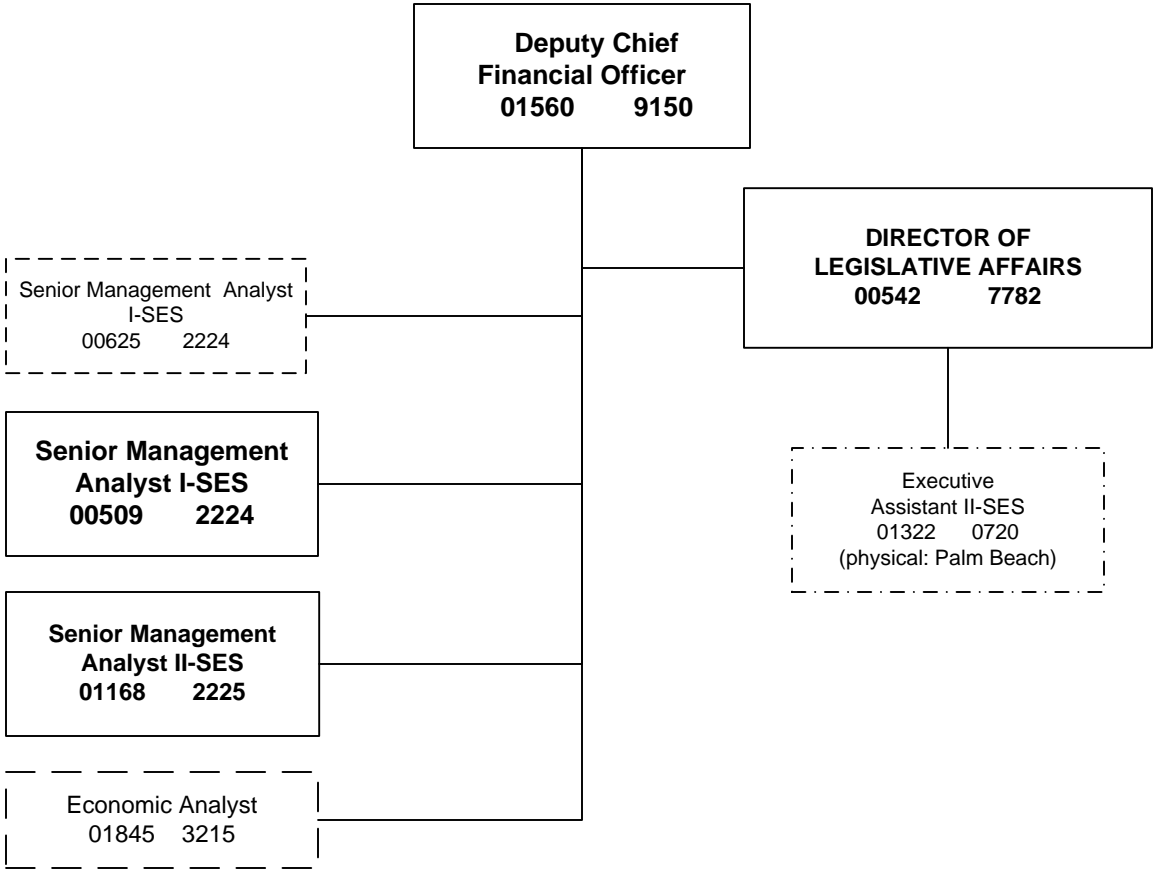
**Department of Financial Services
Office of the Chief of Staff
Office of the Deputy Chief of Staff
Office of Communications**



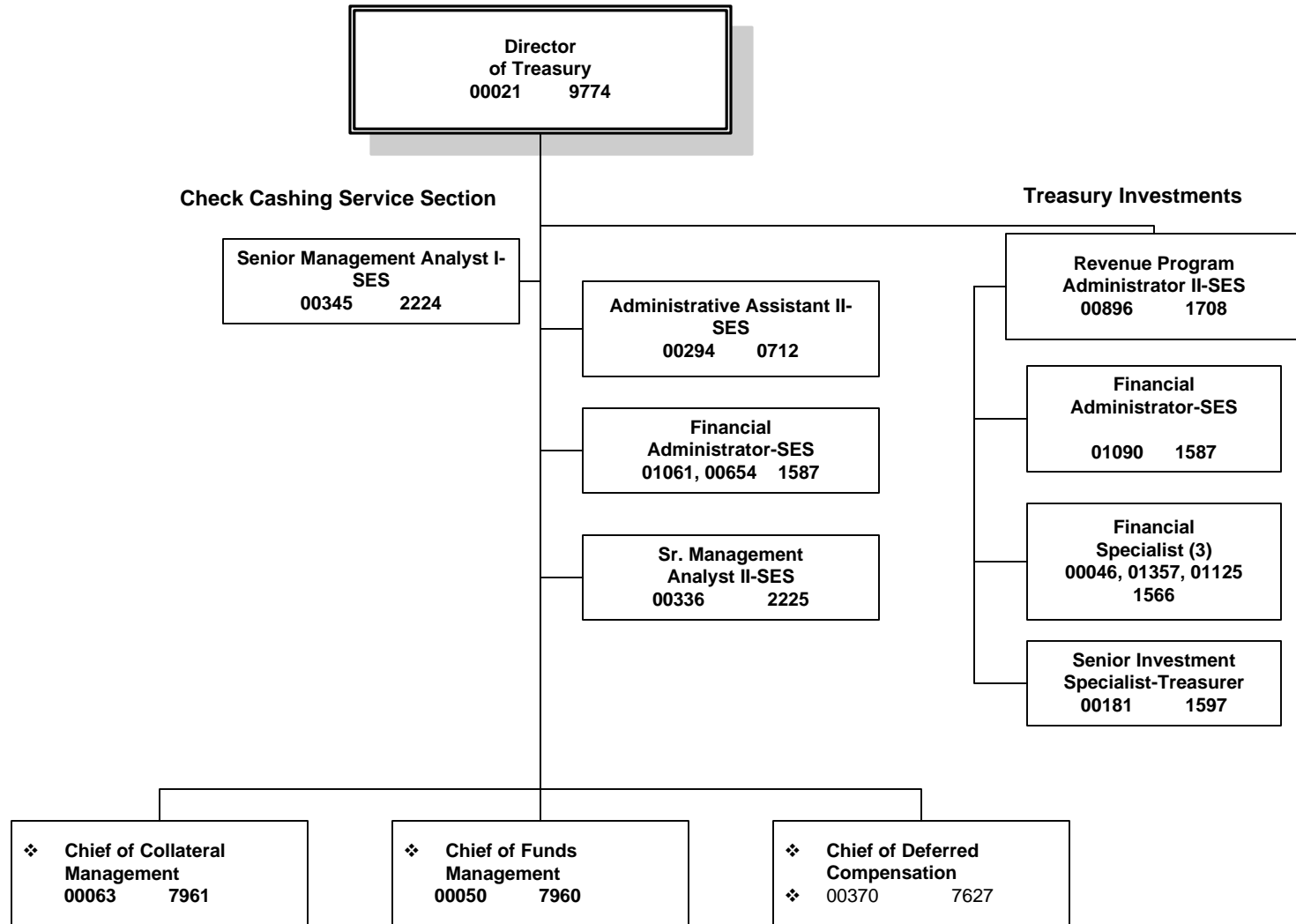
**Department of Financial Services
Office of the Chief of Staff
Office of the Deputy Chief of Staff
Office of Cabinet Affairs**



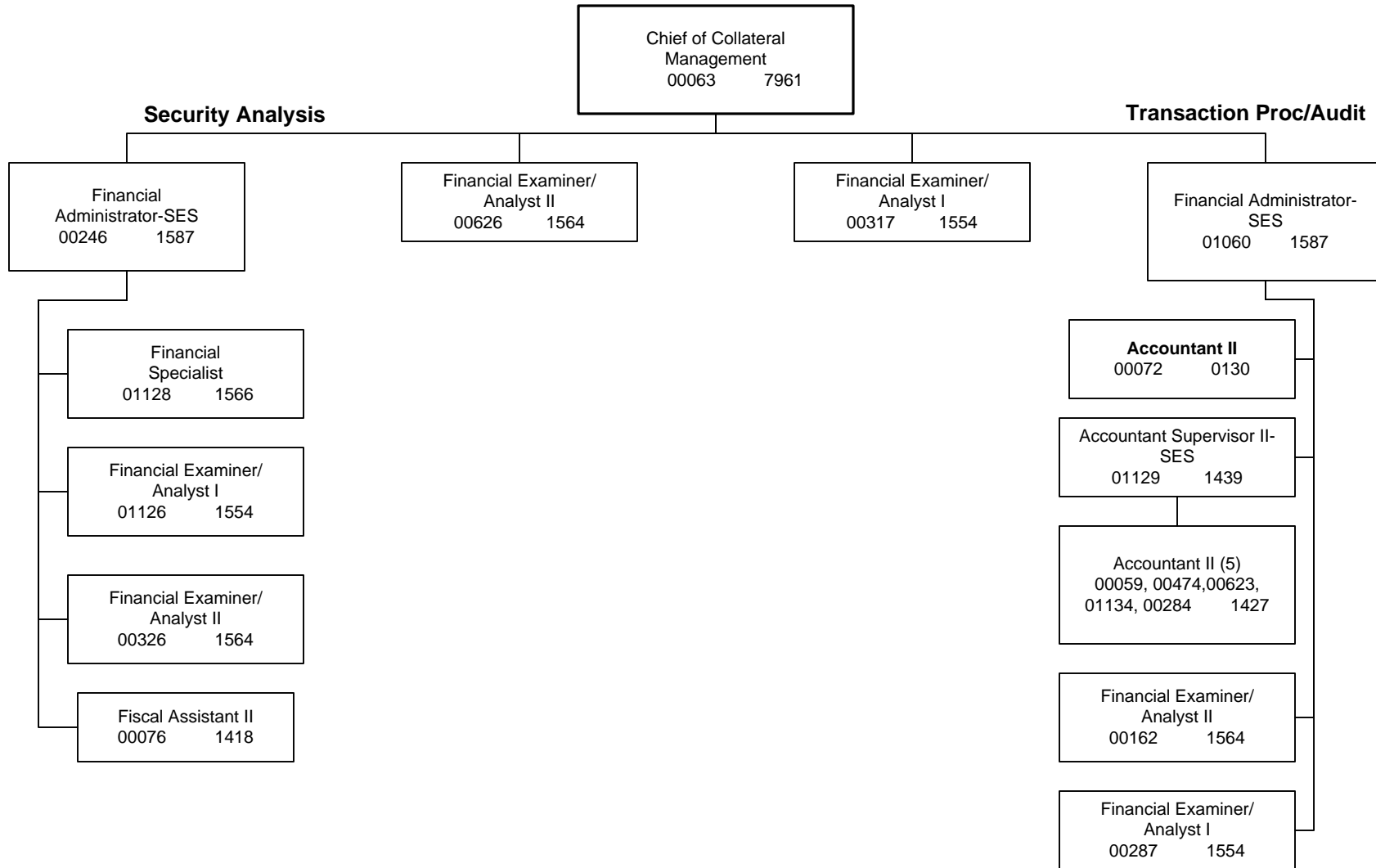
**Department of Financial Services
Office of the Chief of Staff
Office of the Deputy Chief of Staff
Office of Legislative Affairs**



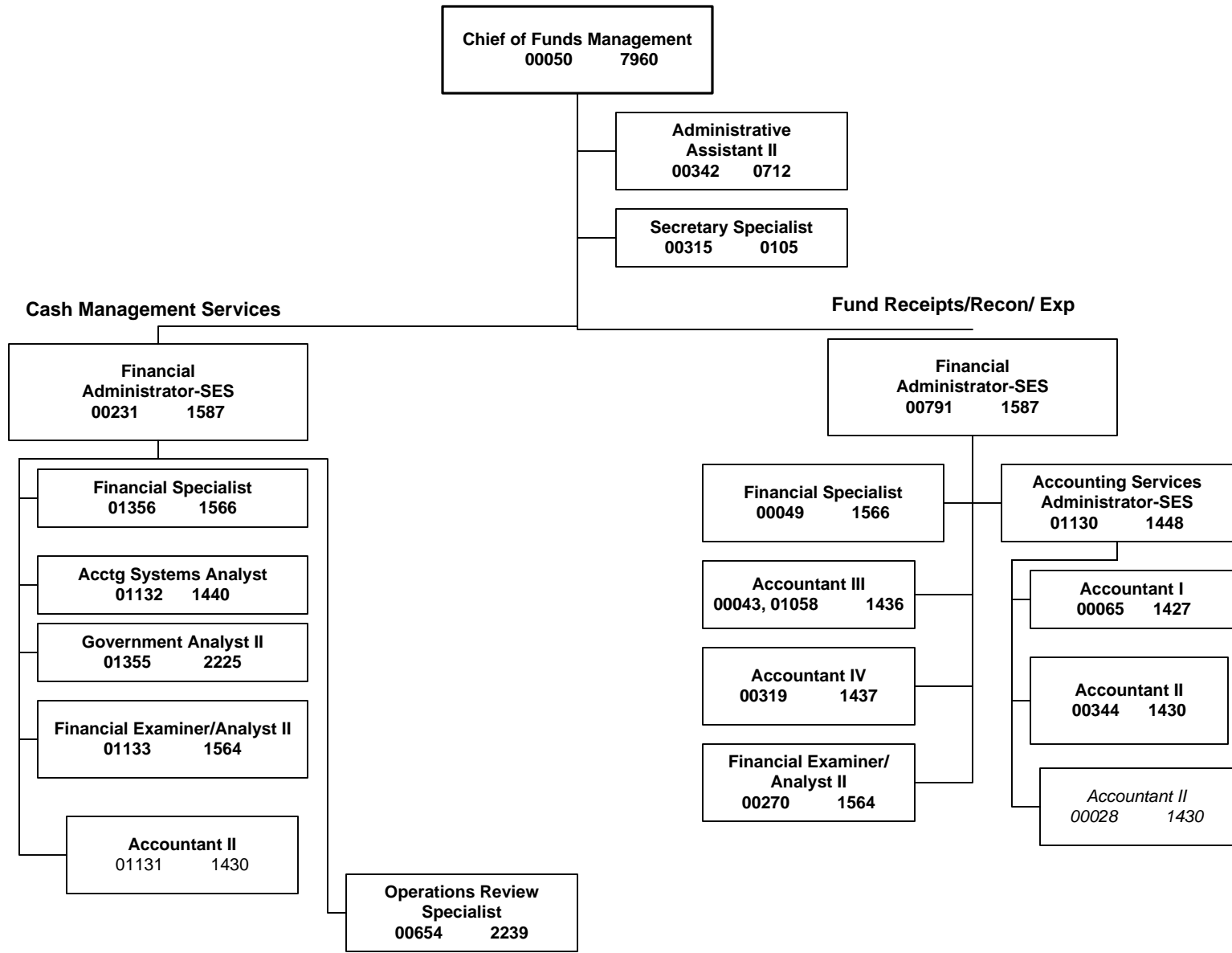
**Department of Financial Service
Office of the Deputy Chief Financial Officer
Division of Treasury
Office of the Director**



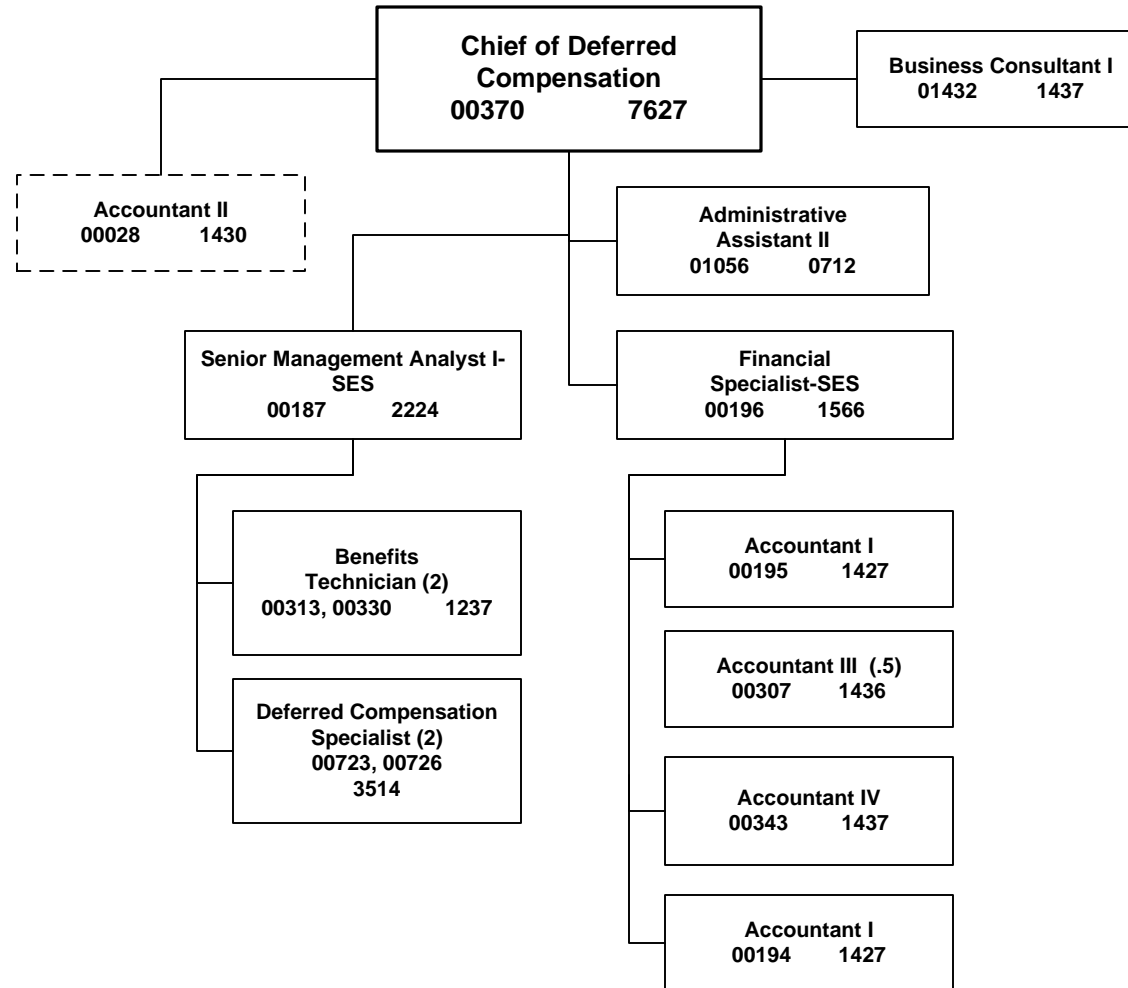
**Department of Financial Services
Office of the General Counsel
Division of Treasury
Bureau of Collateral Management**



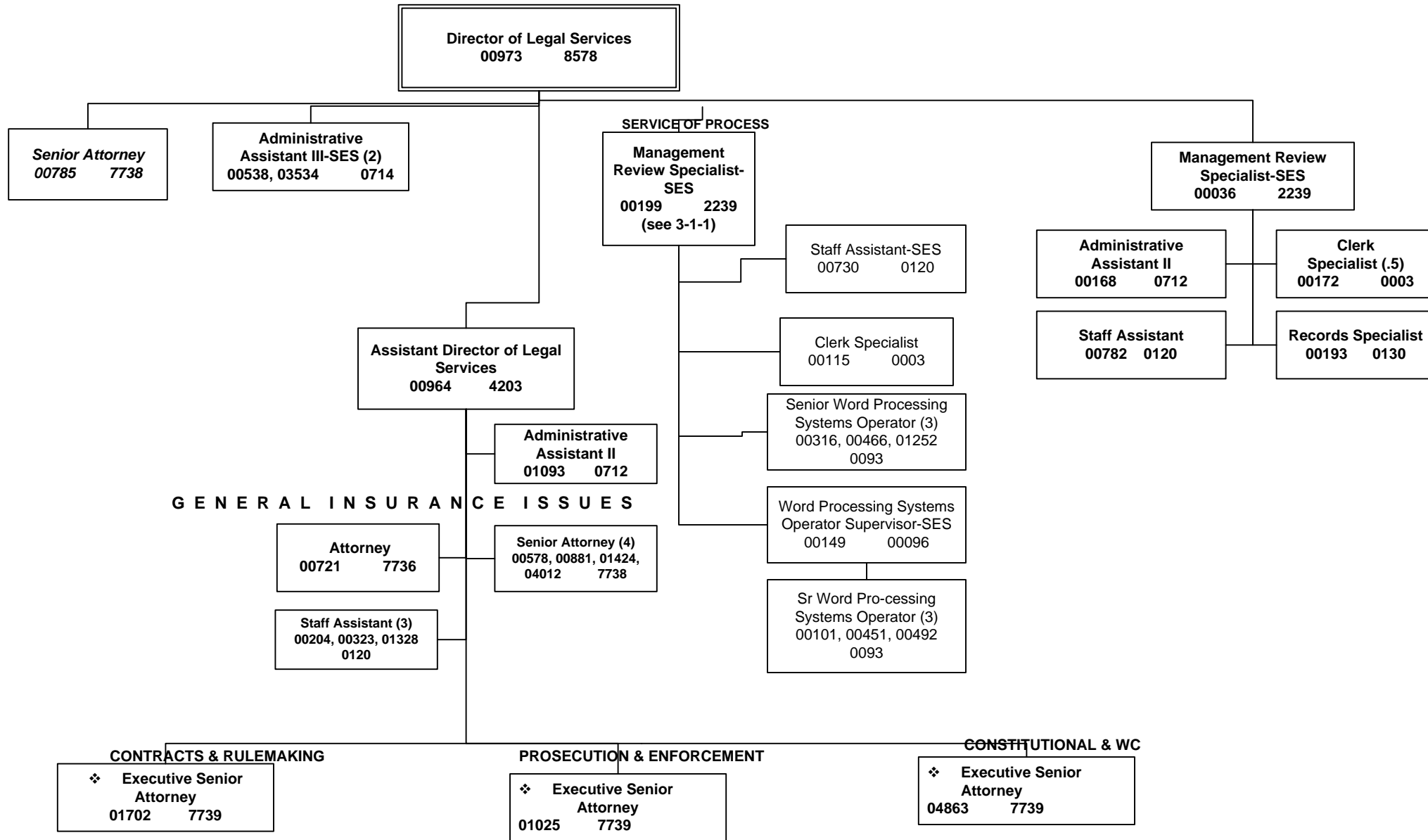
**Department of Financial Services
Office of the General Counsel
Division of Treasury
Bureau of Funds Management**



**Department of Financial Services
Division of Treasury
Bureau of Deferred Compensation**



**Department of Financial Services
Office of the General Counsel
Division of Legal Services
Office of the Director**

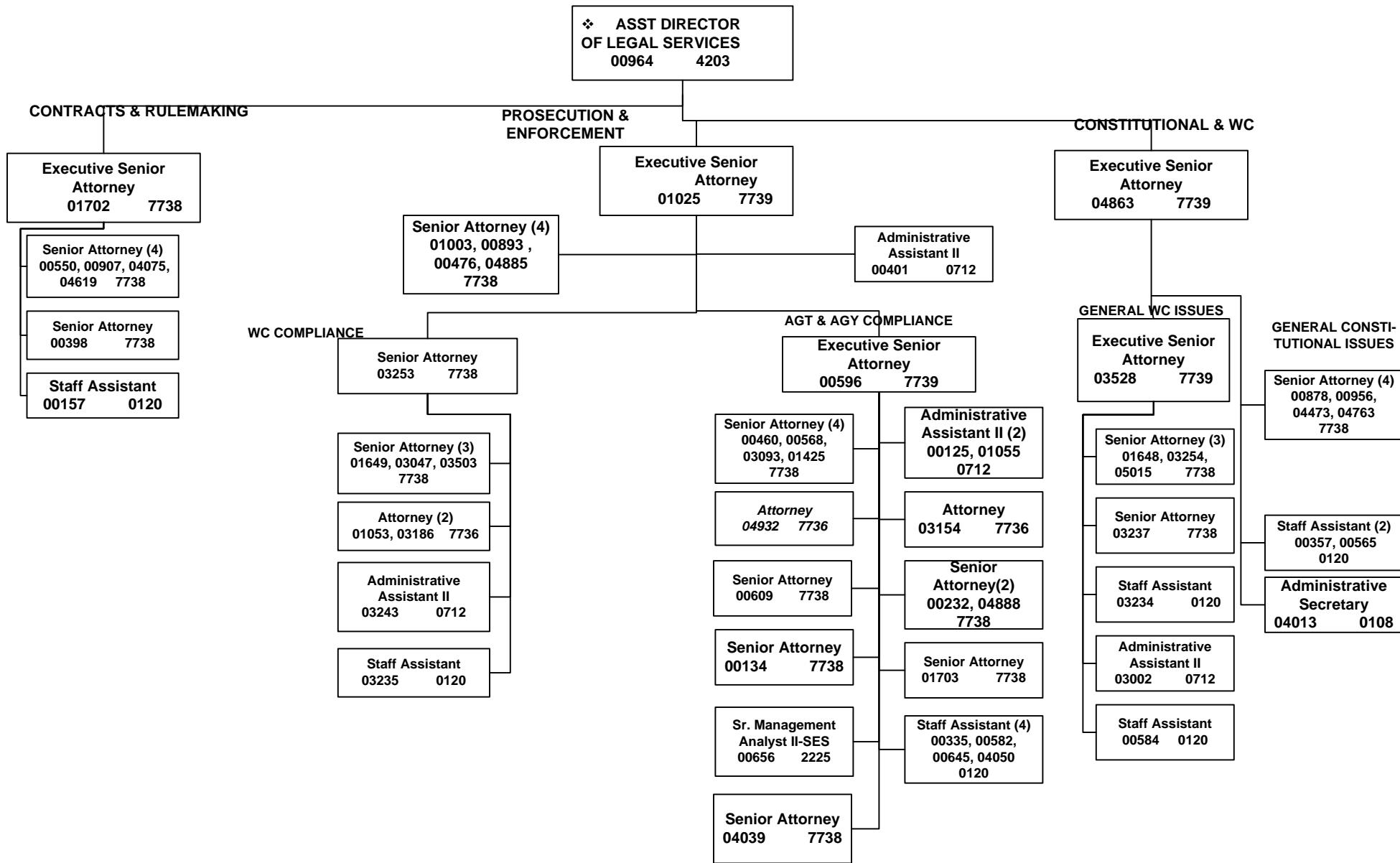


Director's Ofc FTE: 21.5
General Ins Issues FTE: 8
Division Total FTE: 84

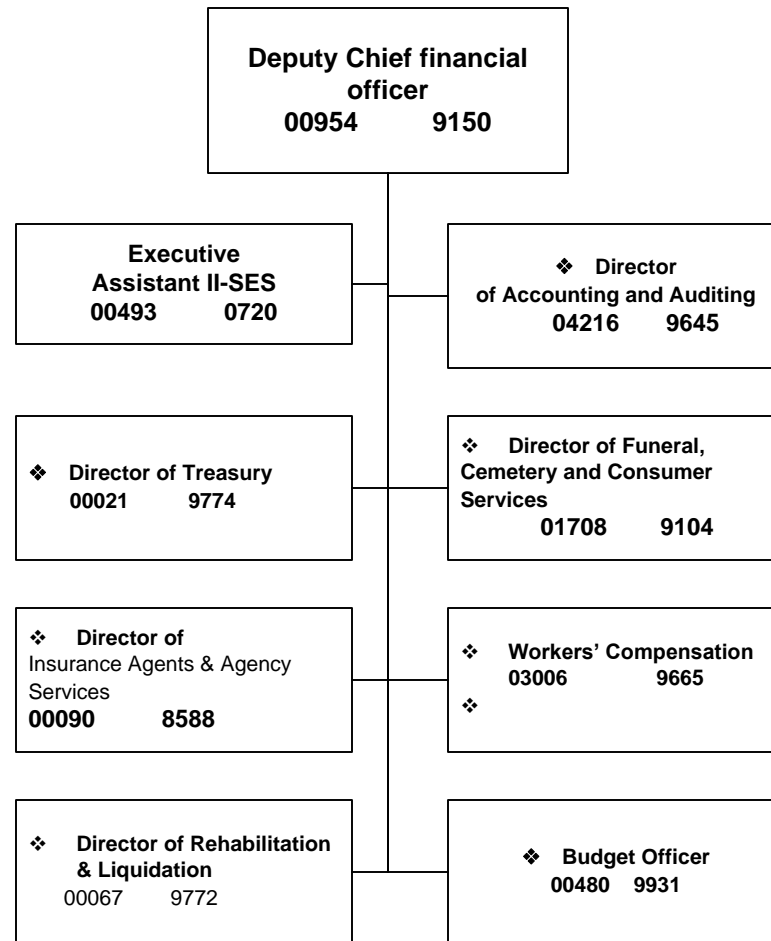
❖ FTE not Included in this Section
Dotted Line = Administratively Assigned. FTE not counted.
Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff 11-1-2011
Rev 11-18-2011

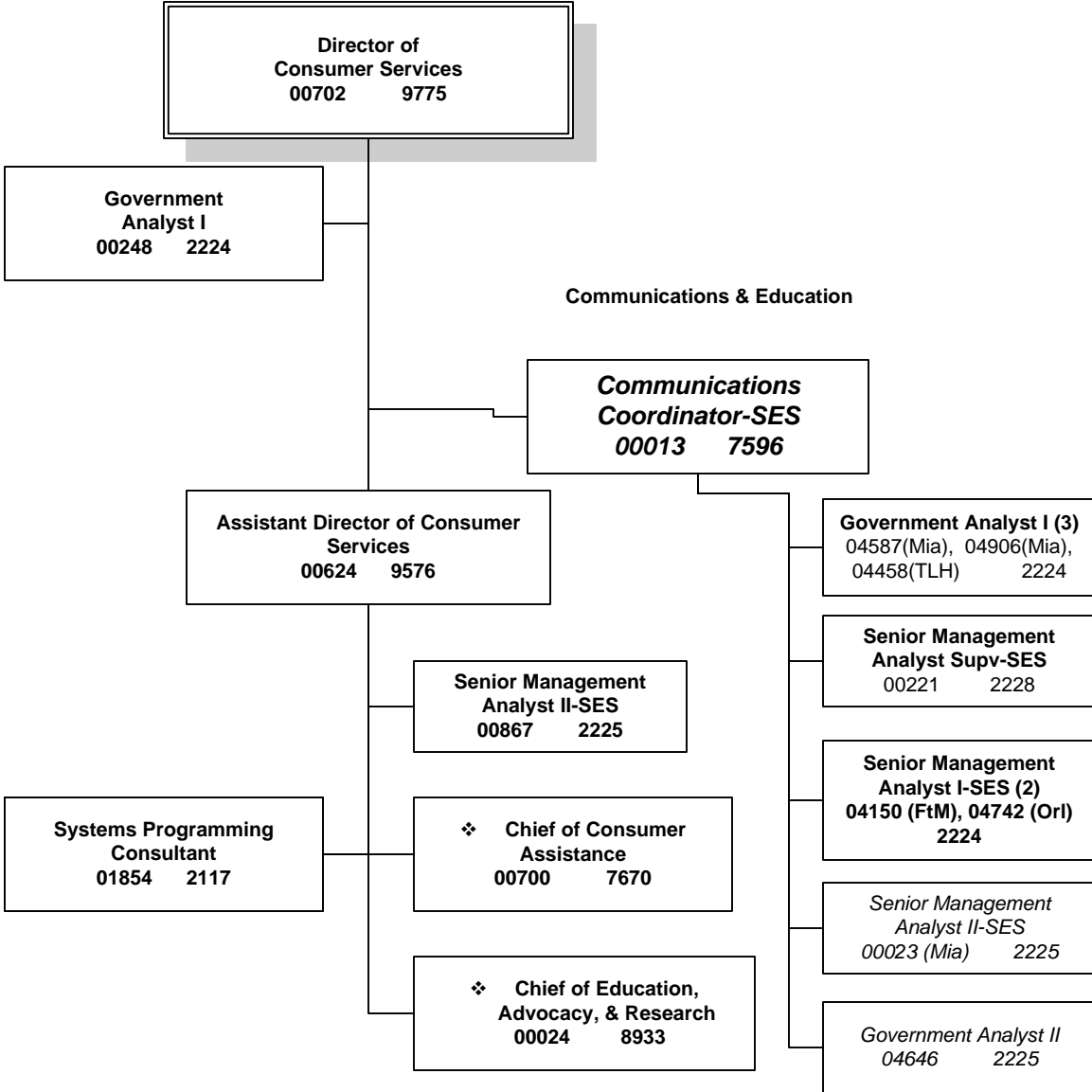
**Department of Financial Service
Office of the General Counsel
Division of Legal Services**



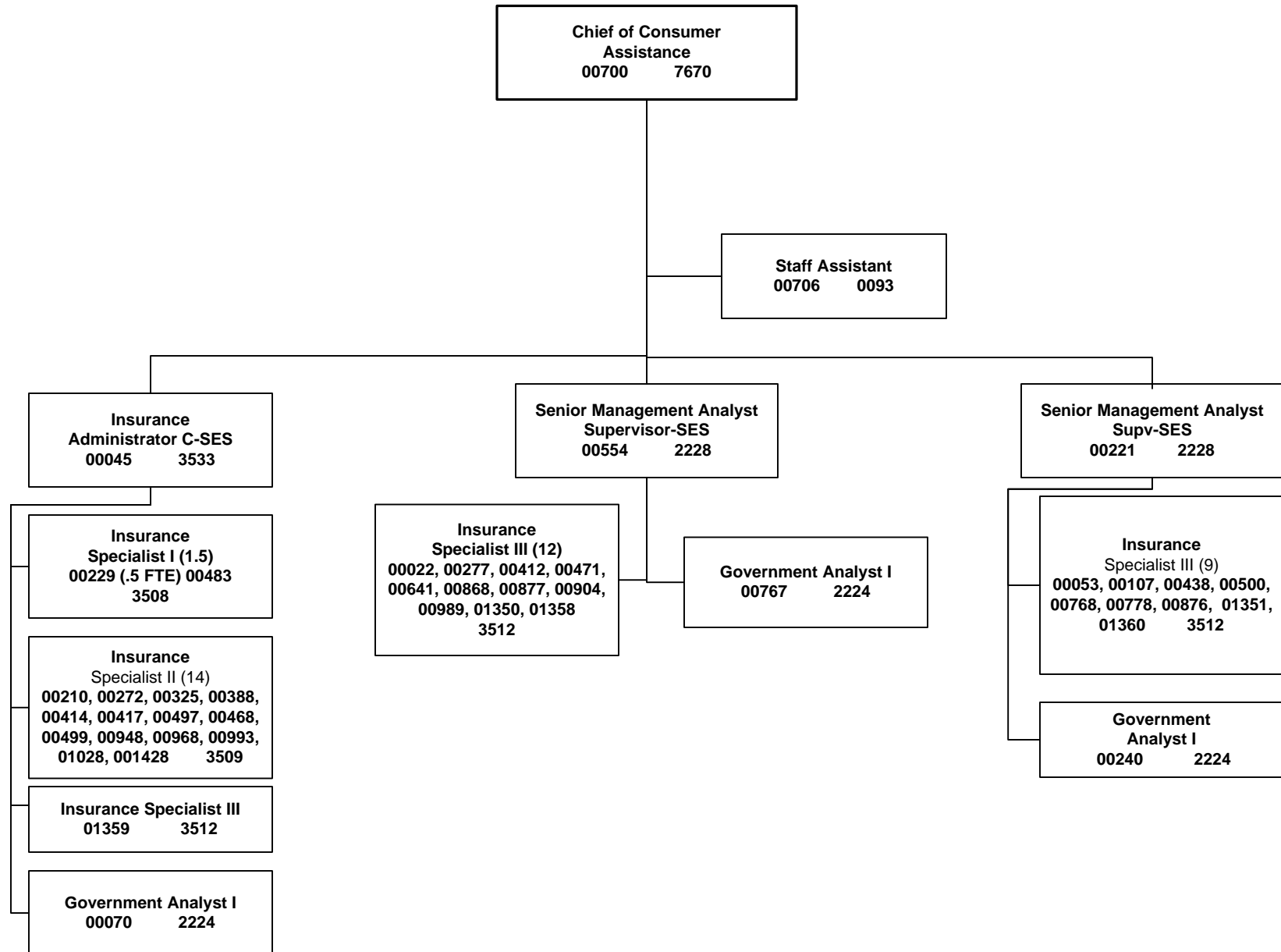
Department of Financial Services
Office of Deputy Chief Financial Officer



**Department of Financial Services
Office of Deputy Chief Financial Officer
Division of Consumer Services
Office of the Director**



**Department of Financial Services
Division of Consumer Services
Bureau of Consumer Assistance**



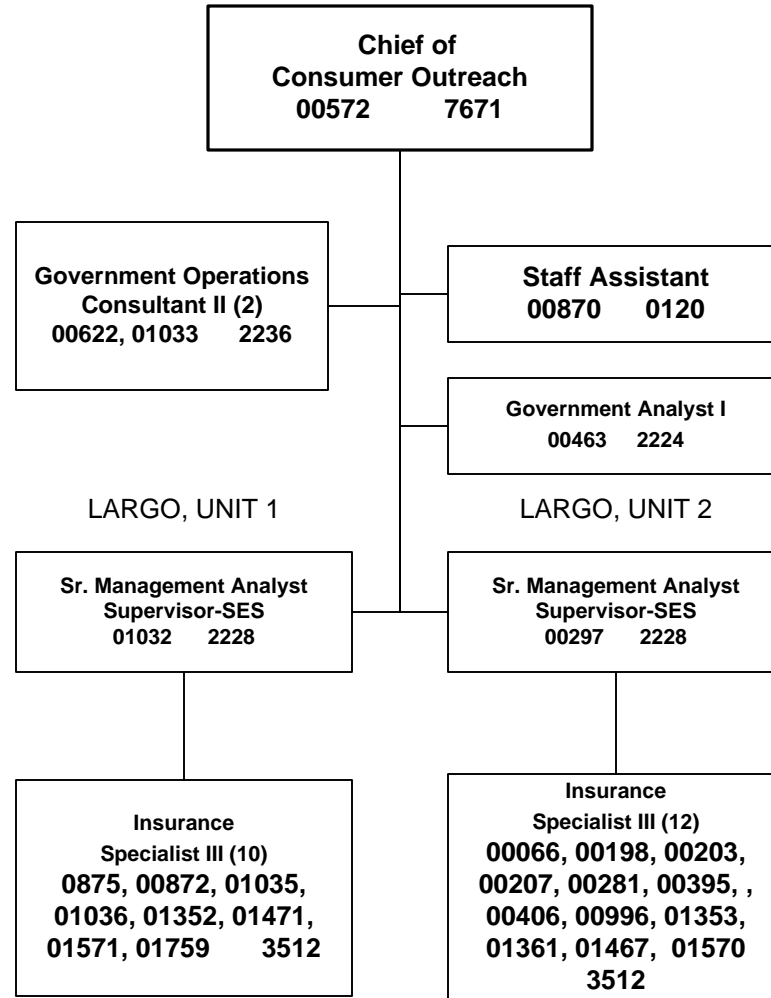
Bureau Total FTE: 37.5

❖ FTE not Included in this Section
Dotted Line = Administratively Assigned. FTE not counted.
Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff 07-01-12
Rev 05-14-12

4-1-2

**Department of Financial Services
Division of Consumer Services
Bureau of Consumer Outreach**



**Department of Financial Services
Division of Consumer Services
Bureau of Education, Advocacy, and Research**

Chief of Education, Advocacy
& Research
00024 8933

Government Analyst II
00862 2225

Staff Assistant
00068 0120

Records & Dispute Programs

Senior Management
Analyst I-SES
01272 2224

Correspondence & Get Lean

Senior Management Analyst
Supv-SES
00074 2228

Insurance
Specialist III (6)
00057, 00279, 00444, 00995,
01006, 01594 3512

Insurance
Specialist II (2)
00861, 01037 3509

Staff Assistant
00779 0093

Records
Technician
01595 0045

Administrative Assistant I
00177 0709

Operations
Analyst I (4)
00544, 00705, 00773, 00990
2209

Regulation & Quality Control

Senior Management
Analyst Supv -SES
001567 2228

Senior Management Analyst
II-SES (4)
00453, 00482, 01470, 04150
2225

Government
Analyst II (2)
00780, 01475 2225

Government Analyst I
04458 2224
00148 2224

Communications & Education

Communications
Coordinator-SES
00013 7596

Senior Management Analyst
II-SES
00064 2225

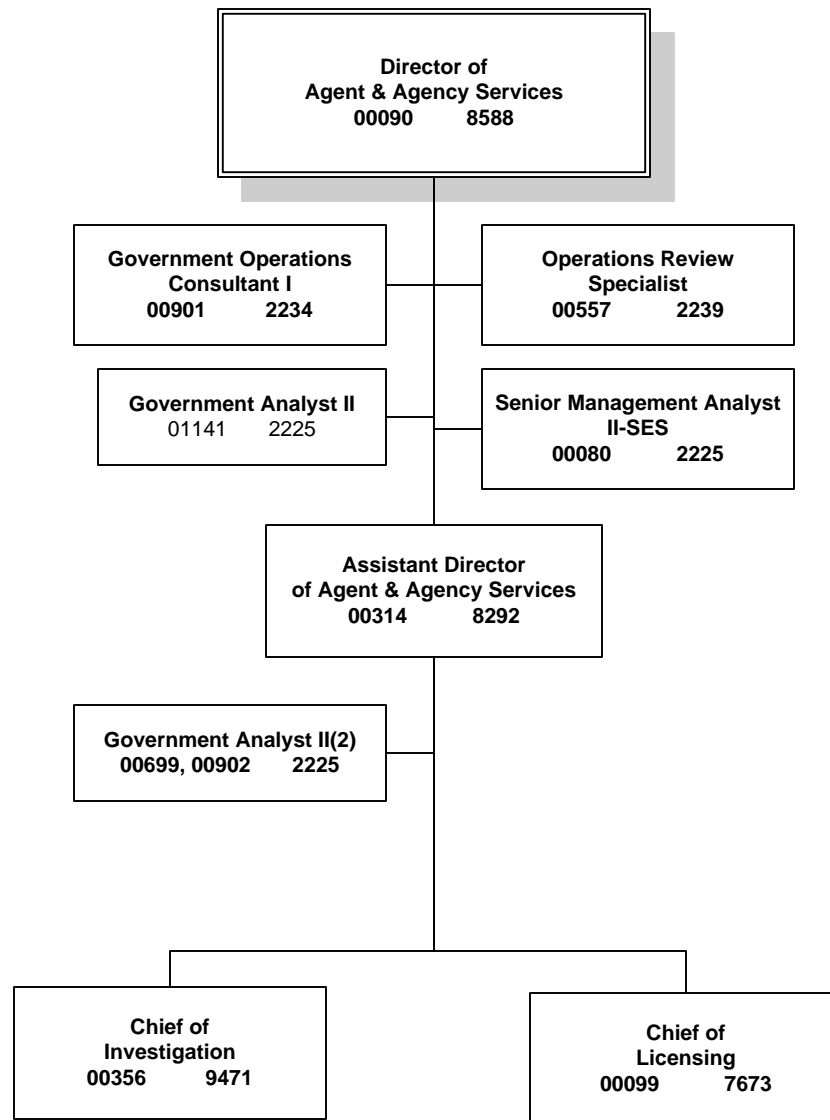
Government Operations
Consultant II (3)
00069, 00126, 00260 2236

Total FTE: 39

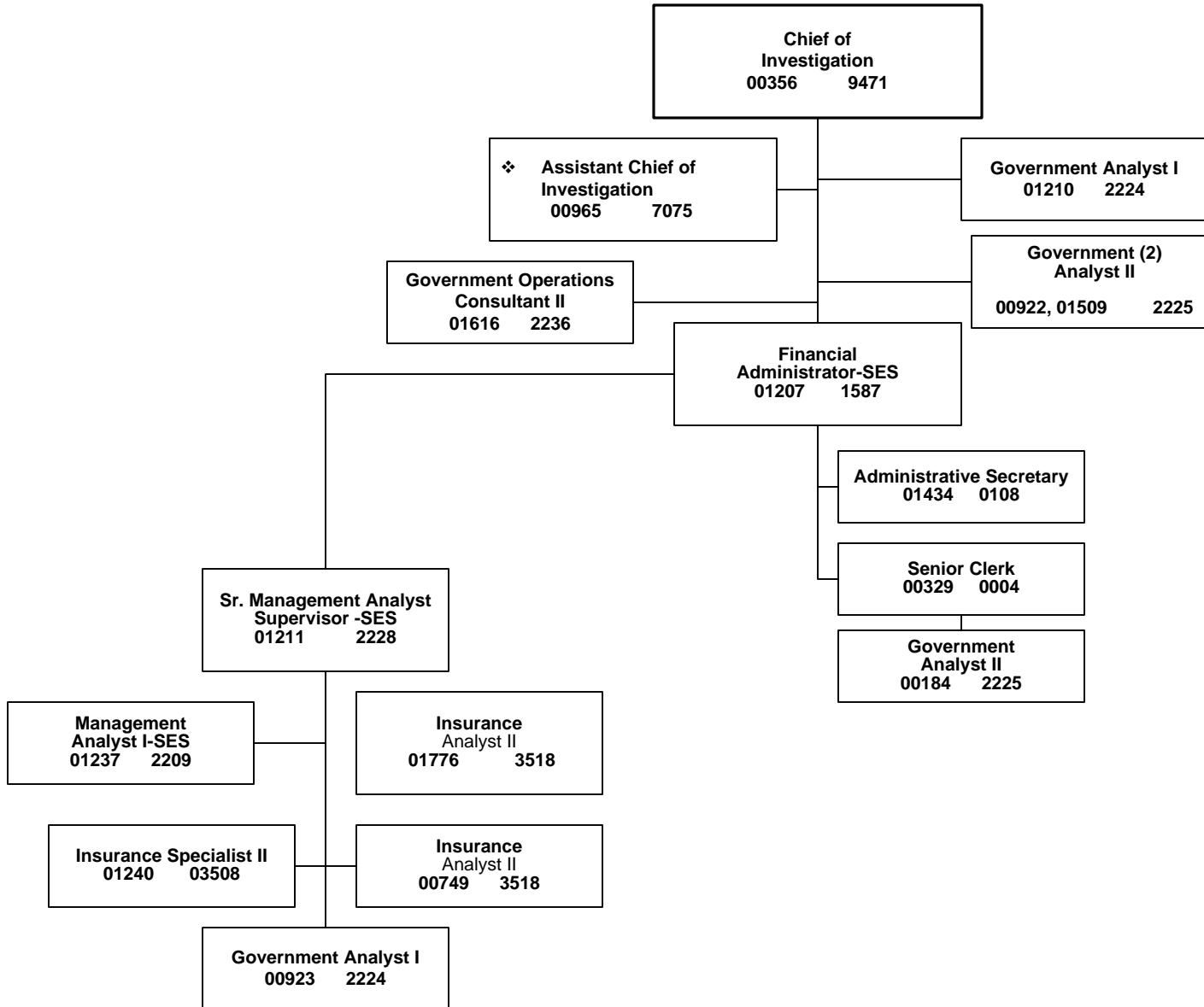
❖ FTE not Included in this Section
Dotted Line = Administratively Assigned. FTE not counted.
Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff 07-01-2012
Rev 07-01-2012

**Department of Financial Services
Division of Insurance Agents & Agency Services
Office of the Director**



**Department of Financial Services
Division of Insurance Agents & Agency Services
Bureau of Investigation
Office of the Chief**

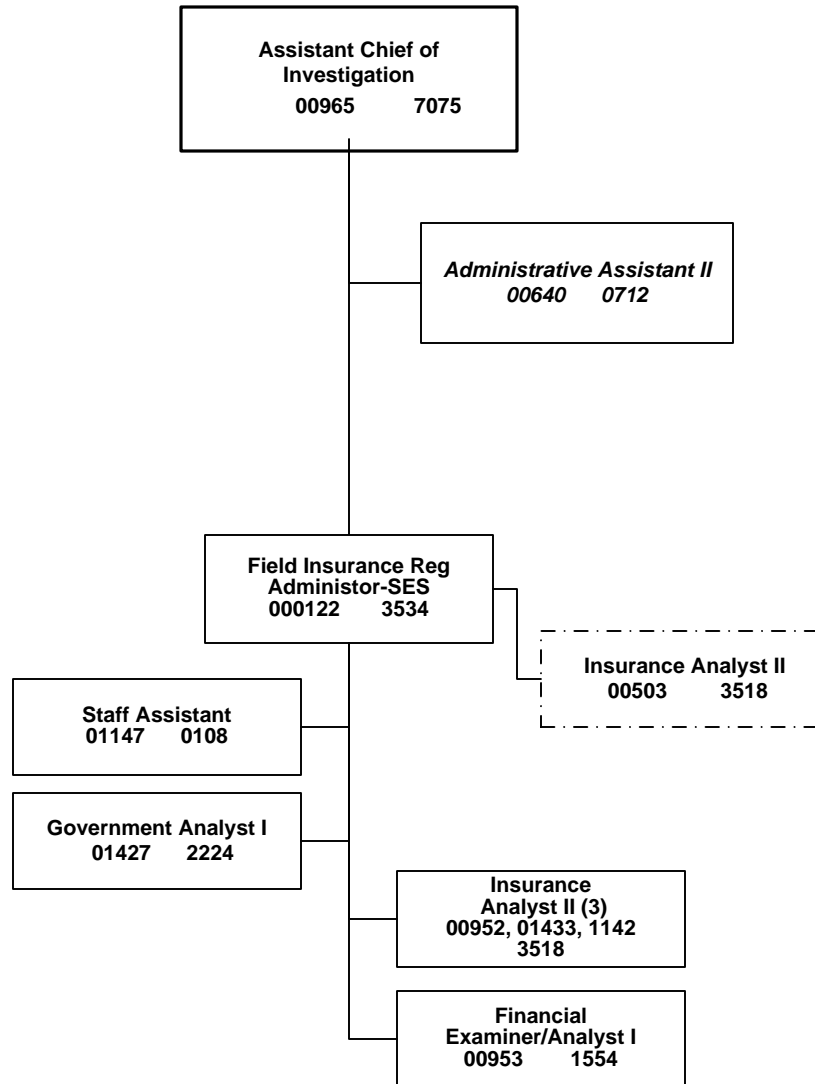


Bureau Total FTE = 77
Office of the Chief = 25

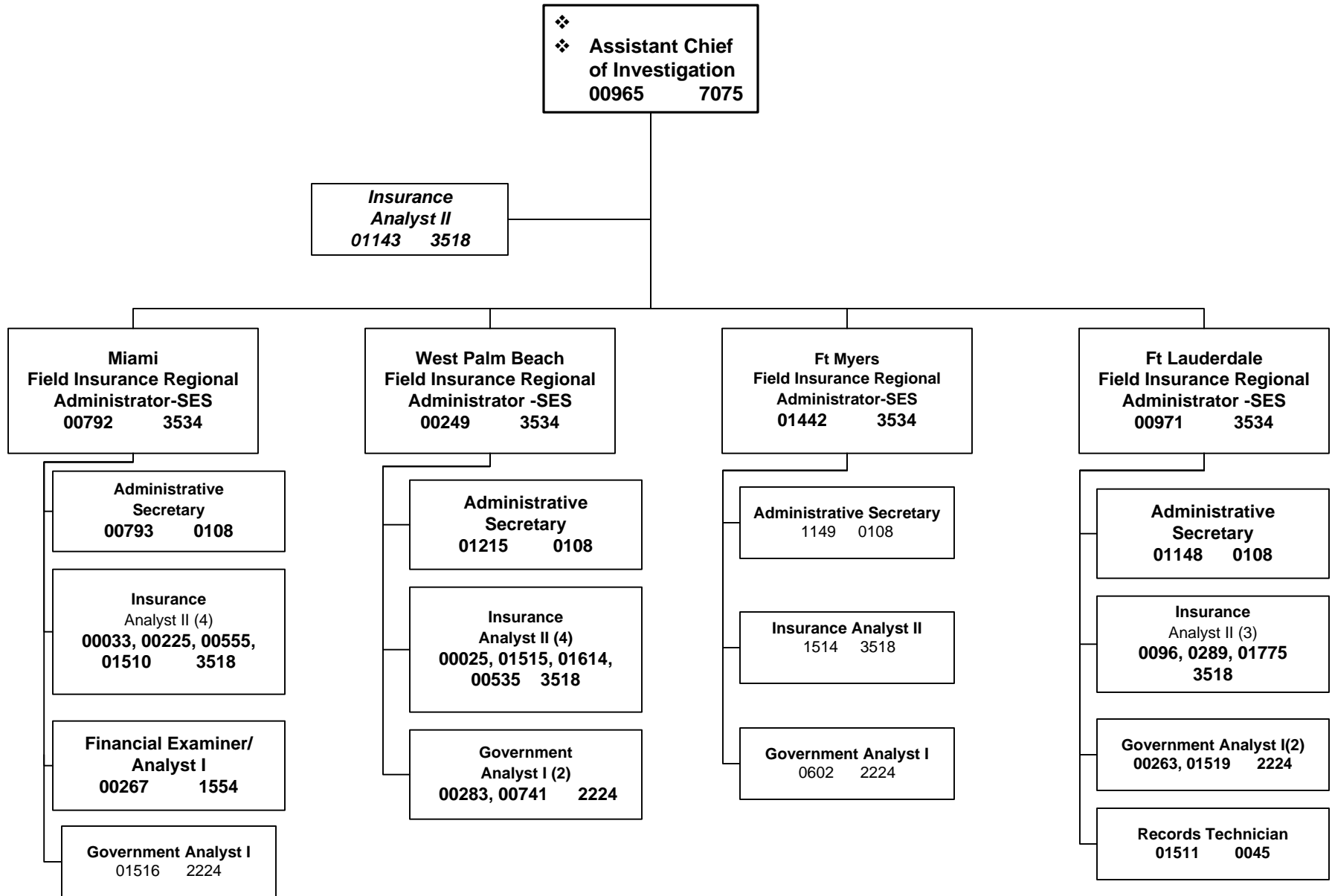
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Dotted Line = Administratively Assigned. FTE not counted.
Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff 04-25-12
Rev 04-24-12

**Department of Financial Services
 Division of Insurance Agents & Agency Services
 Bureau of Investigation
 Office of the Assistant Chief**



Department of Financial Services
 Division of Insurance Agents & Agency Services
 Bureau of Investigation
 South Region



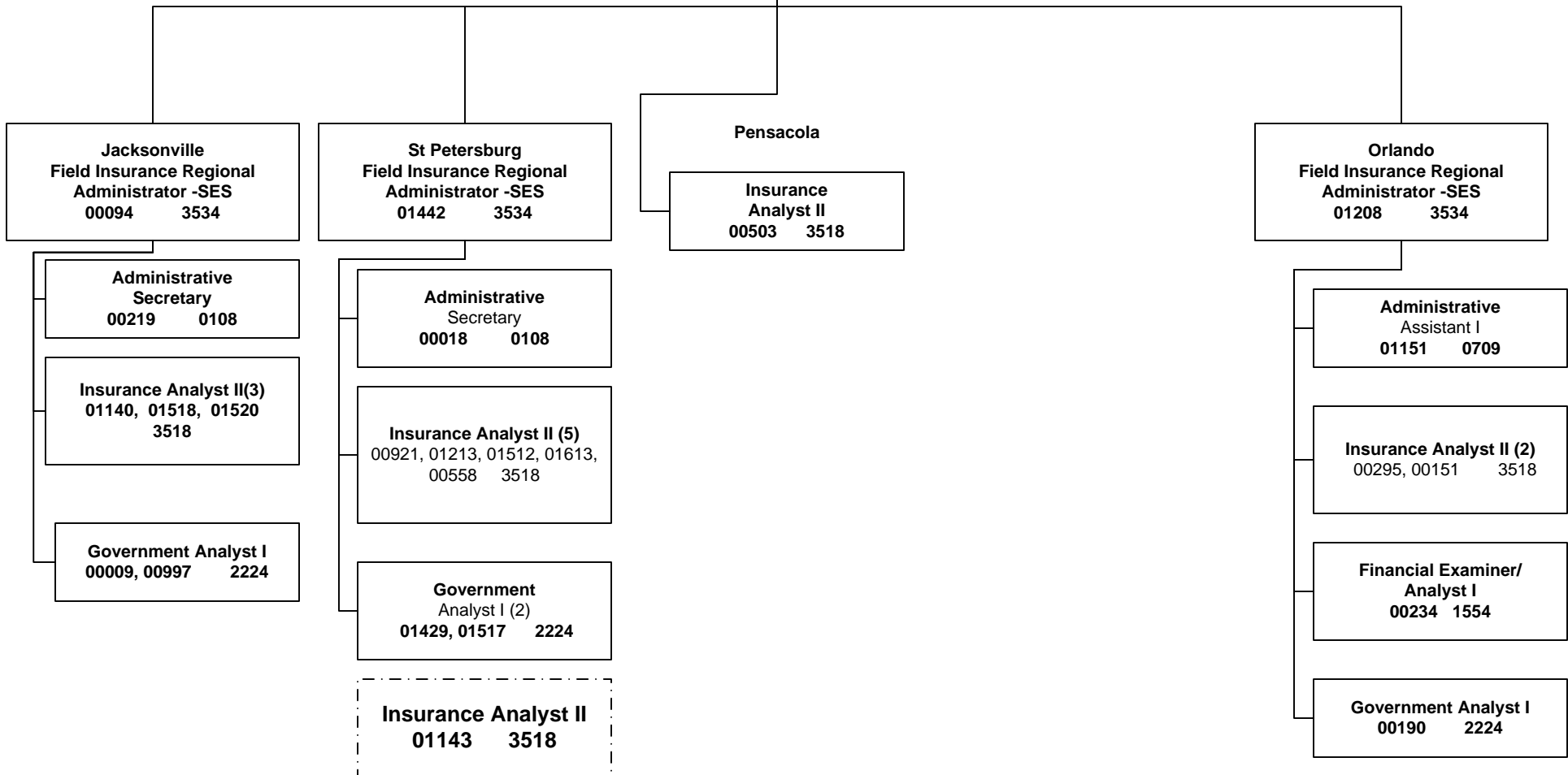
FTE 32

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 Italic = Organizationally Assigned. Reports elsewhere administratively.
 FTE counted.

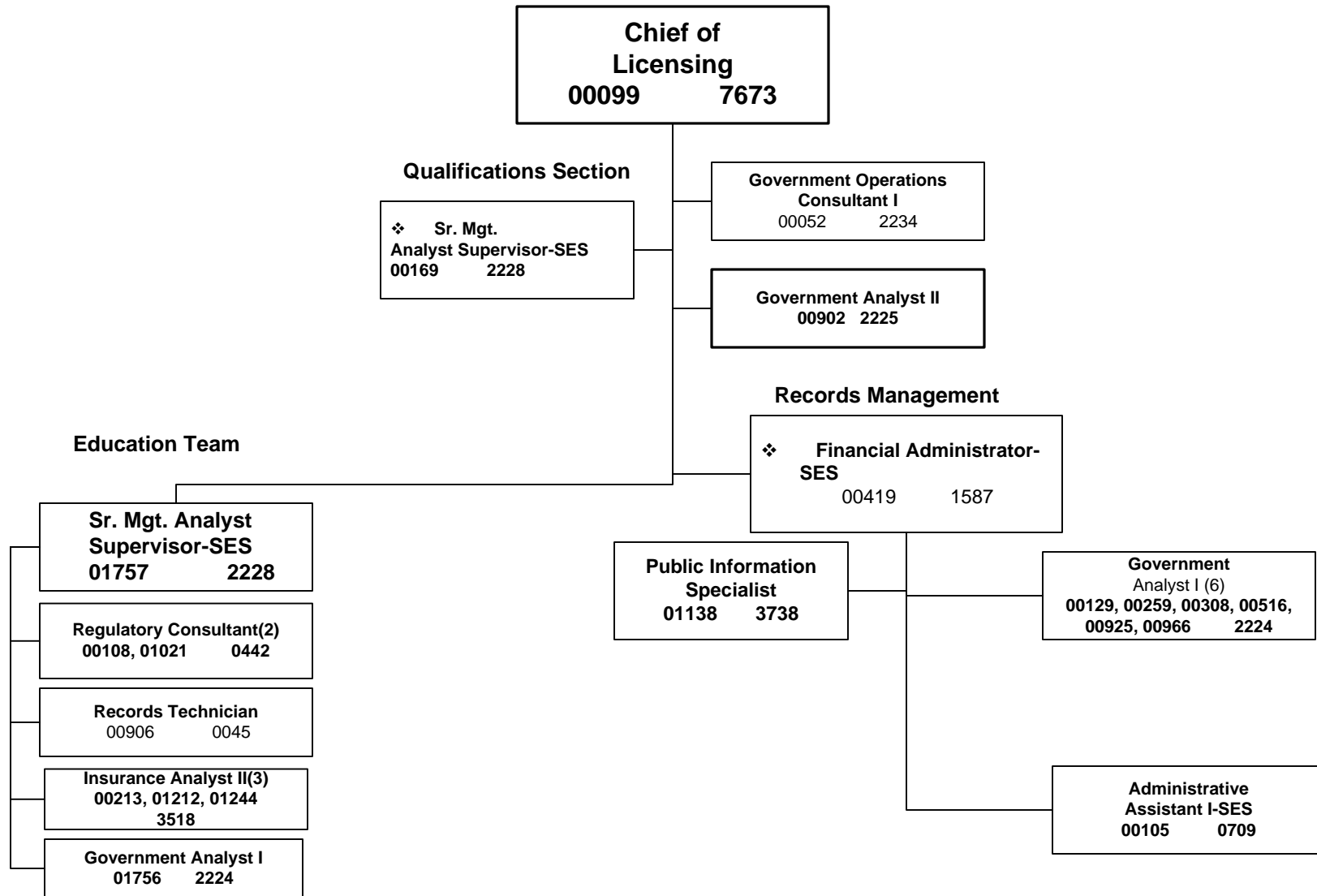
Eff 05-11-12
 Rev 05-11-12

**Department of Financial Services
 Division of Insurance Agents & Agency Services
 Bureau of Investigation
 North Region**

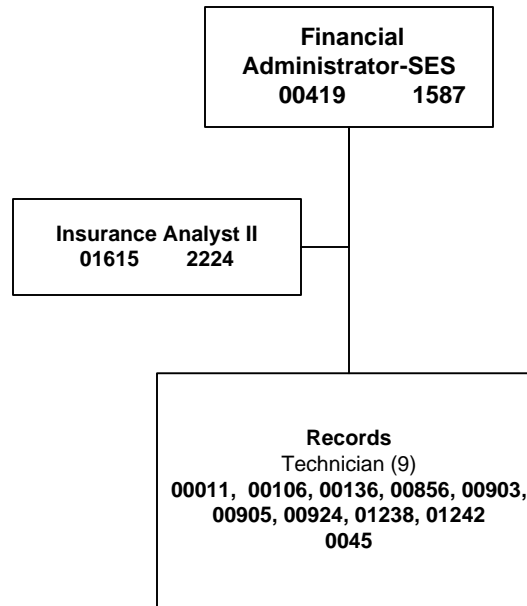
❖ Assistant Chief of Investigation
 00965 7075



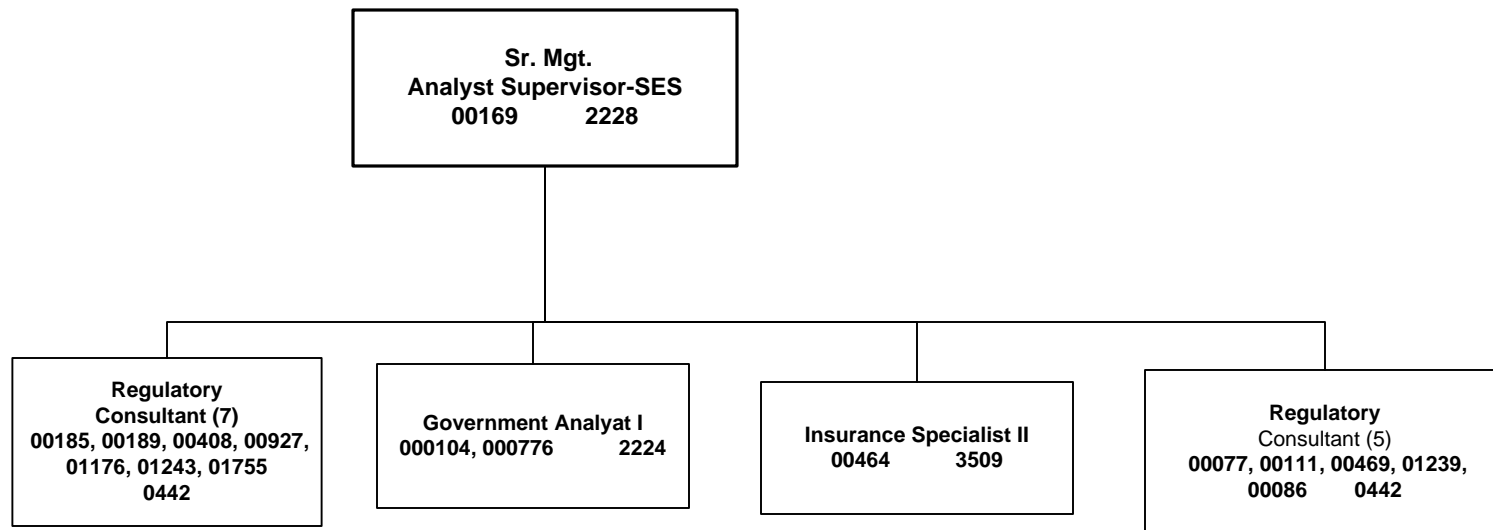
**Department of Financial Services
Division of Insurance Agents & Agency Services
Bureau of Licensing
Office of the Chief**



**Department of Financial Services
Division of Insurance Agents & Agency Services
Bureau of Licensing
Records Management**

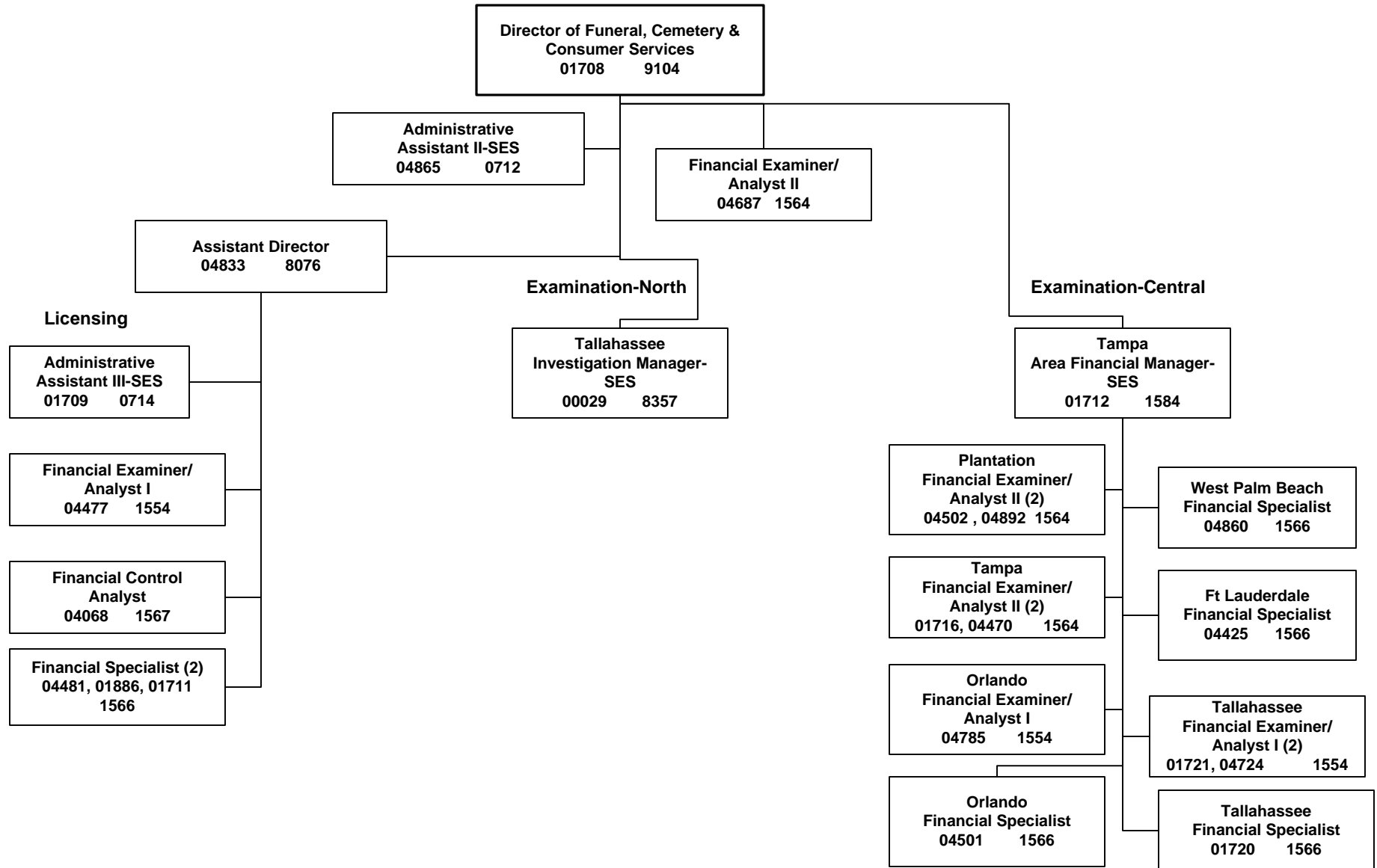


**Department of Financial Services
Division of Insurance Agents & Agency Services
Bureau of Licensing
Qualifications Section**

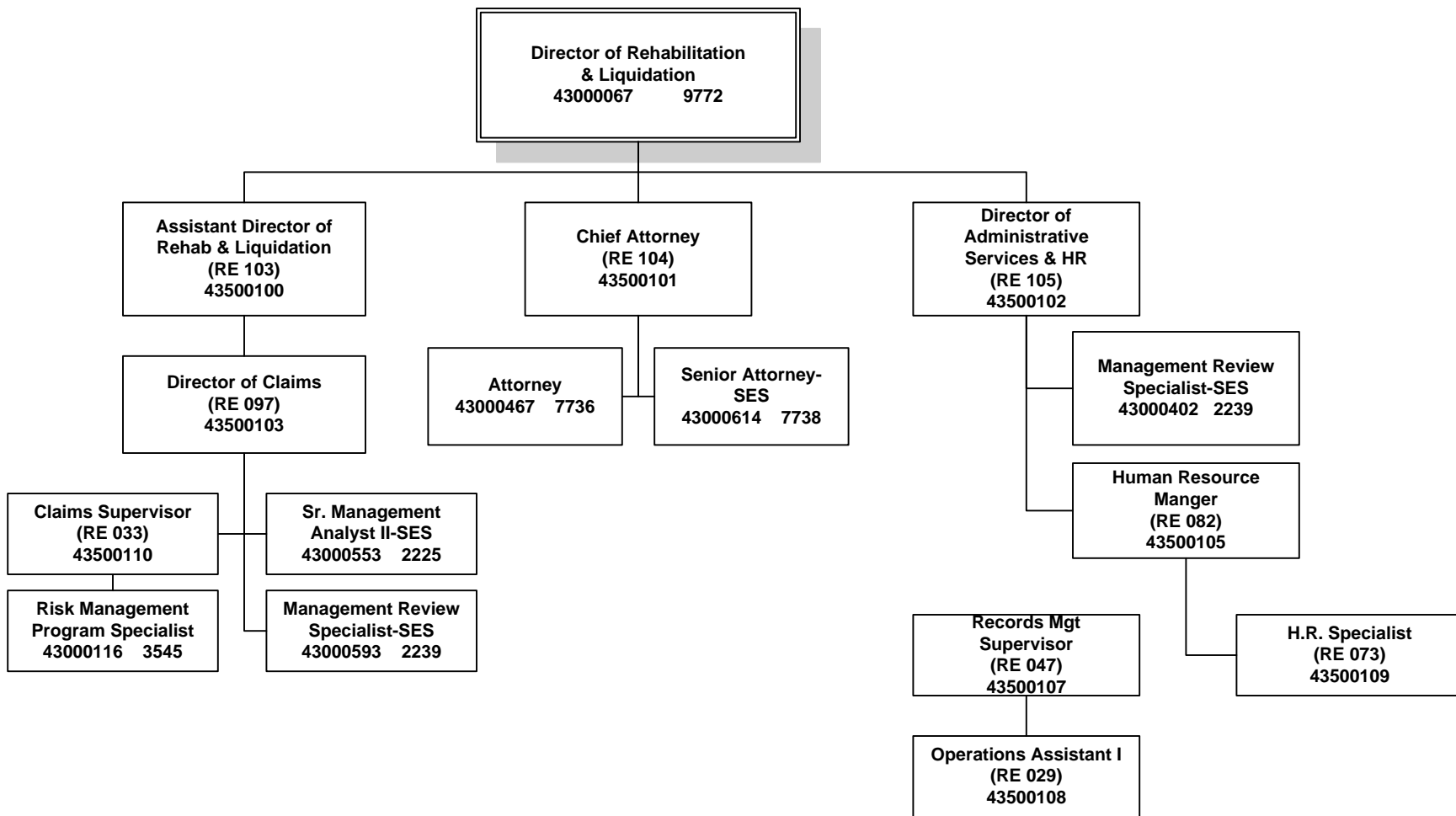


Department of Financial Services

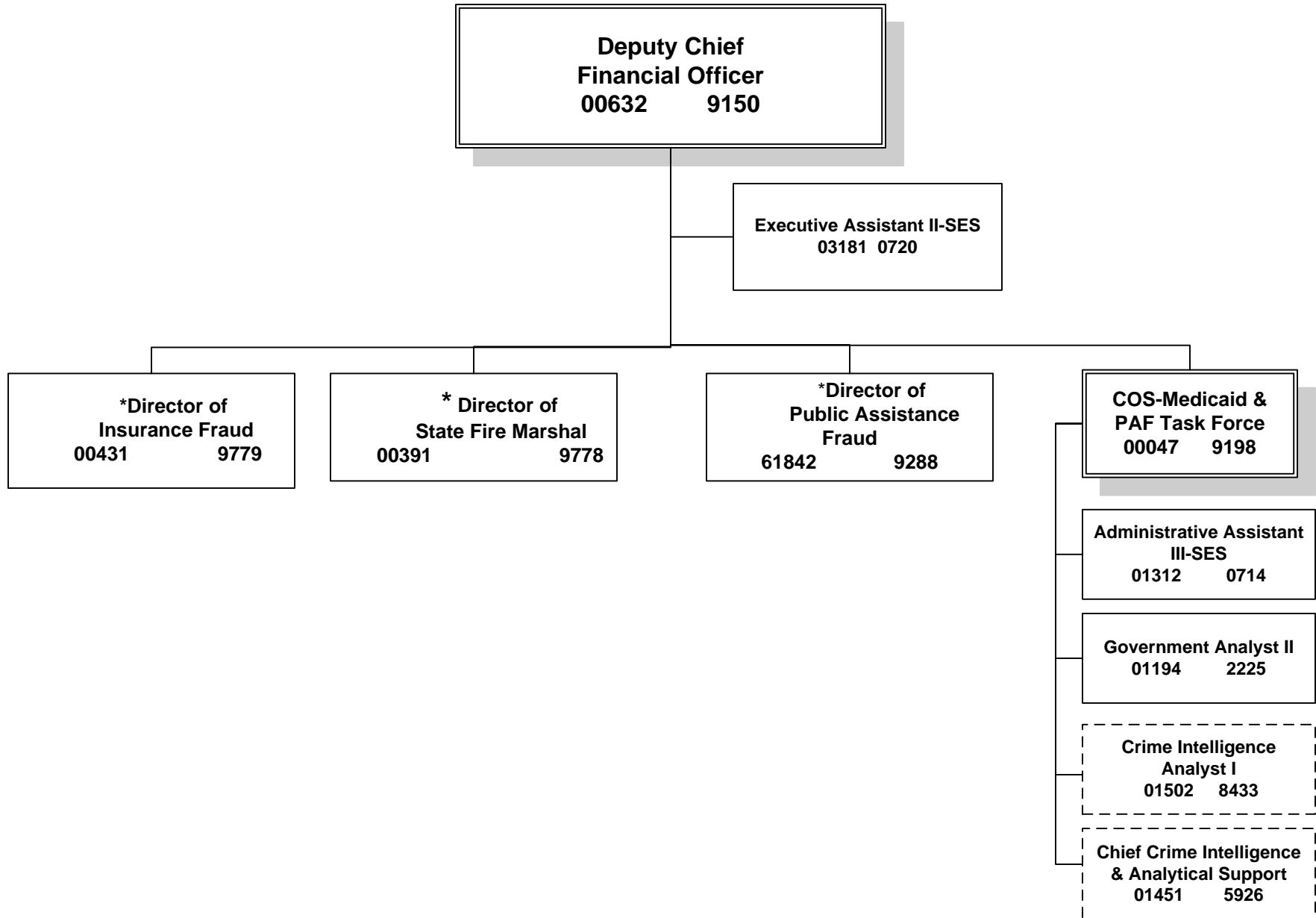
Division of Funeral, Cemetery and Consumer Services



**Department of Financial Services
Office of the General Counsel
Division of Rehabilitation & Liquidation
Office of the Director**



**Department of Financial Services
Office of the Deputy Chief Financial Officer**



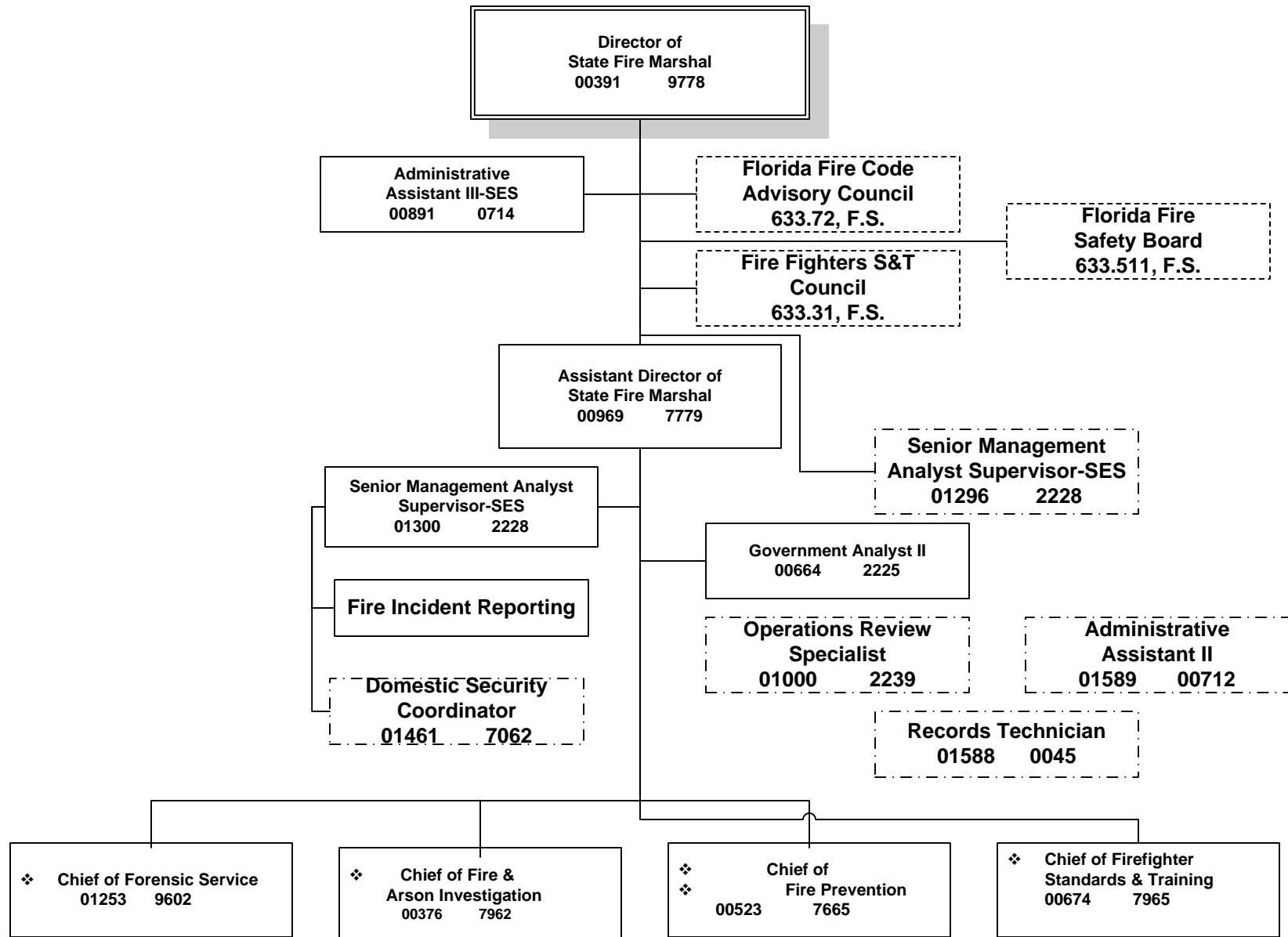
Total FTE: 5

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 Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

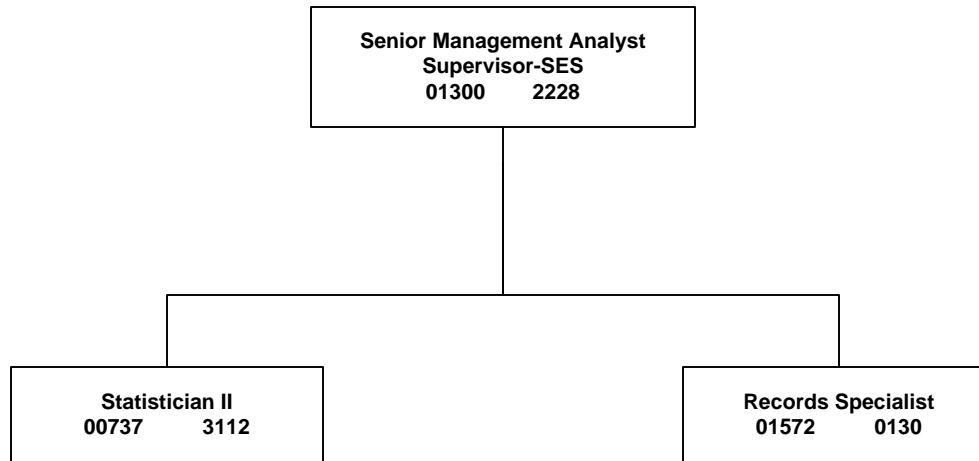
Eff 4-1-2011
 Rev 4-11-2011

5-0-0

**Department of Financial Services
Division of State Fire Marshal
Office of the Director**



Department of Financial Services
Division of State Fire Marshal
Office of the Director
Fire Incident Reporting Section



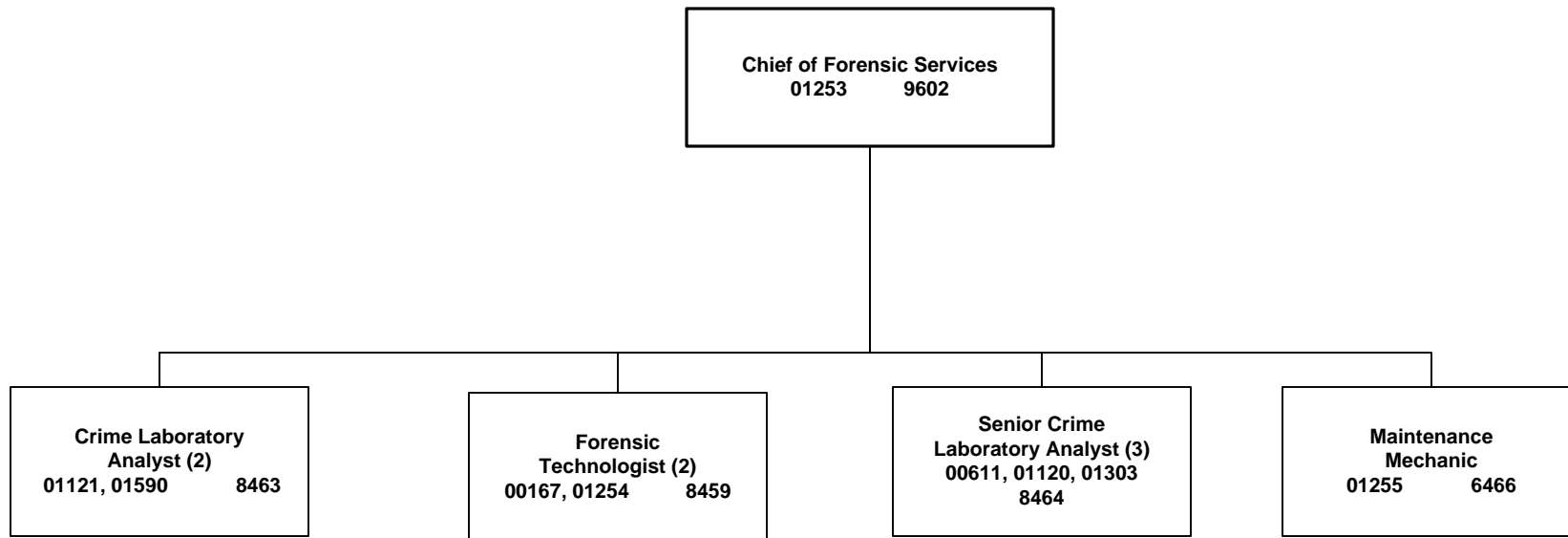
Total FTE: 2

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Dotted Line = Administratively Assigned. FTE not counted.
Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff 07-01-11
Rev 06-17-11

5-1-2

**Department of Financial Services
Division of State Fire Marshal
Bureau of Forensic Fire & Explosives Analysis**



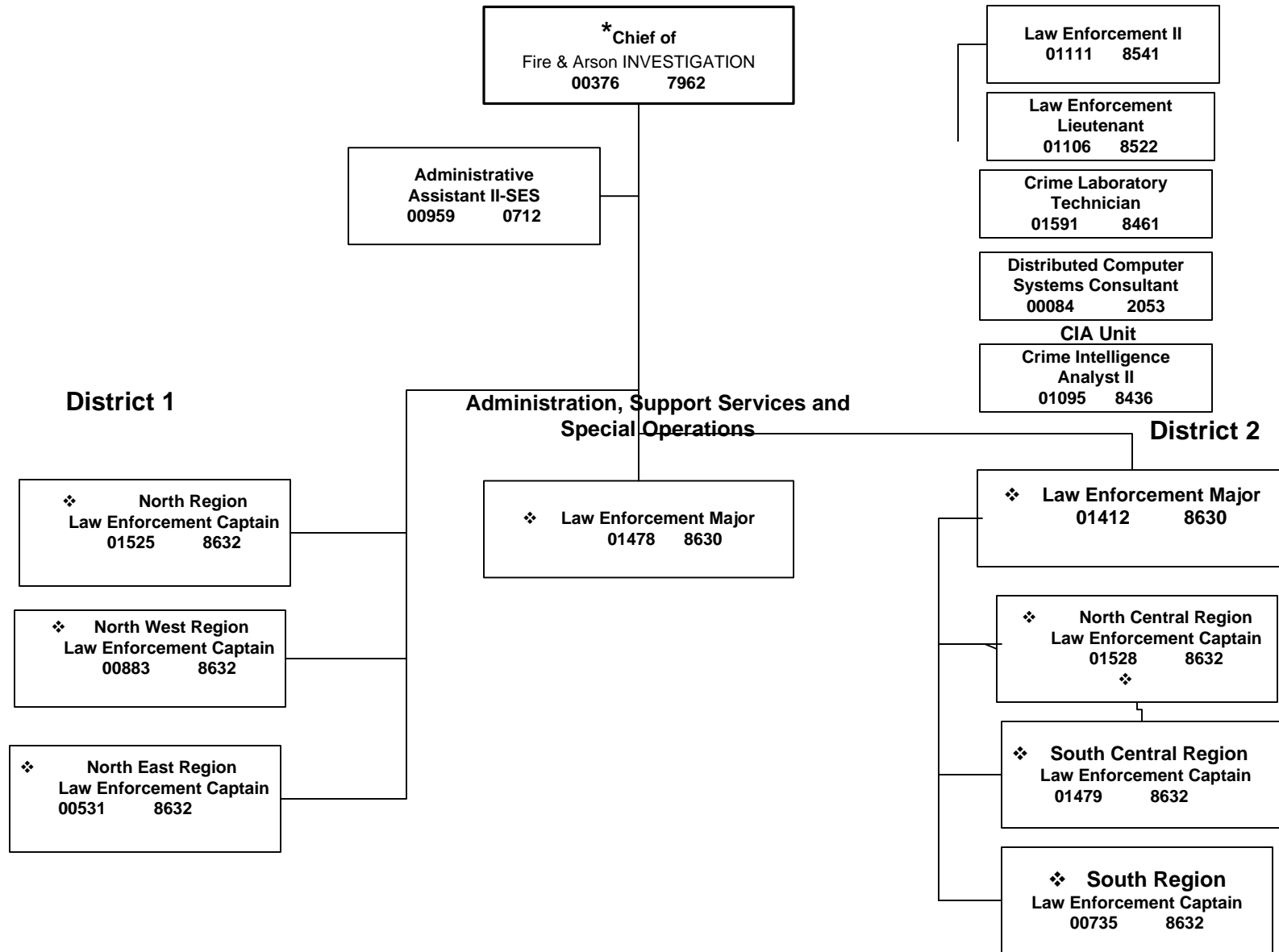
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Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

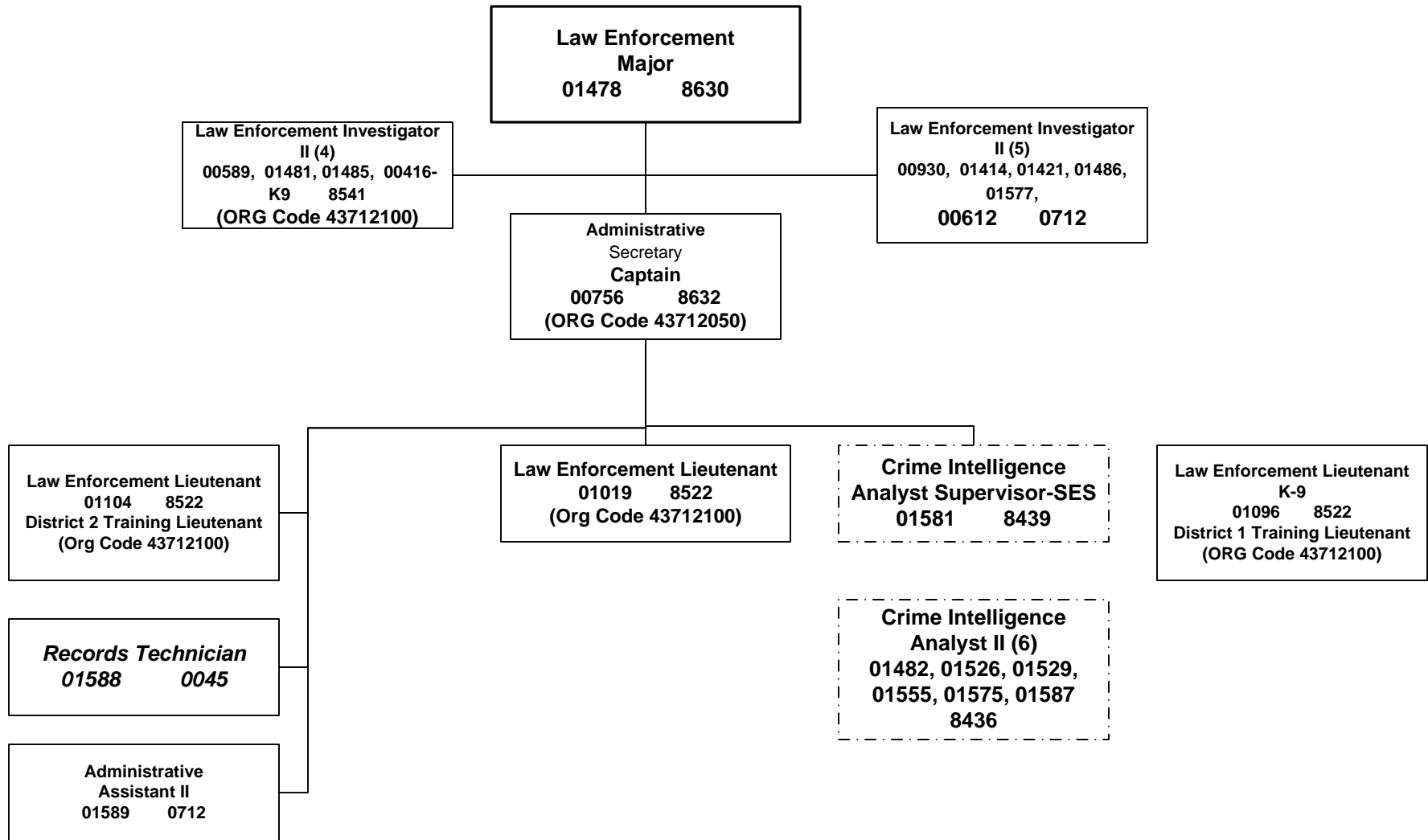
Eff 07-1-11
Rev 07-1-11

5-1-3

**Department of Financial Services
 Division of State Fire Marshal
 Bureau of Fire & Arson Investigations
 Office of the Chief**



**Department of Financial Services
 Division of State Fire Marshal
 Office of the Chief
 Administration, Support Services and Special Operations**



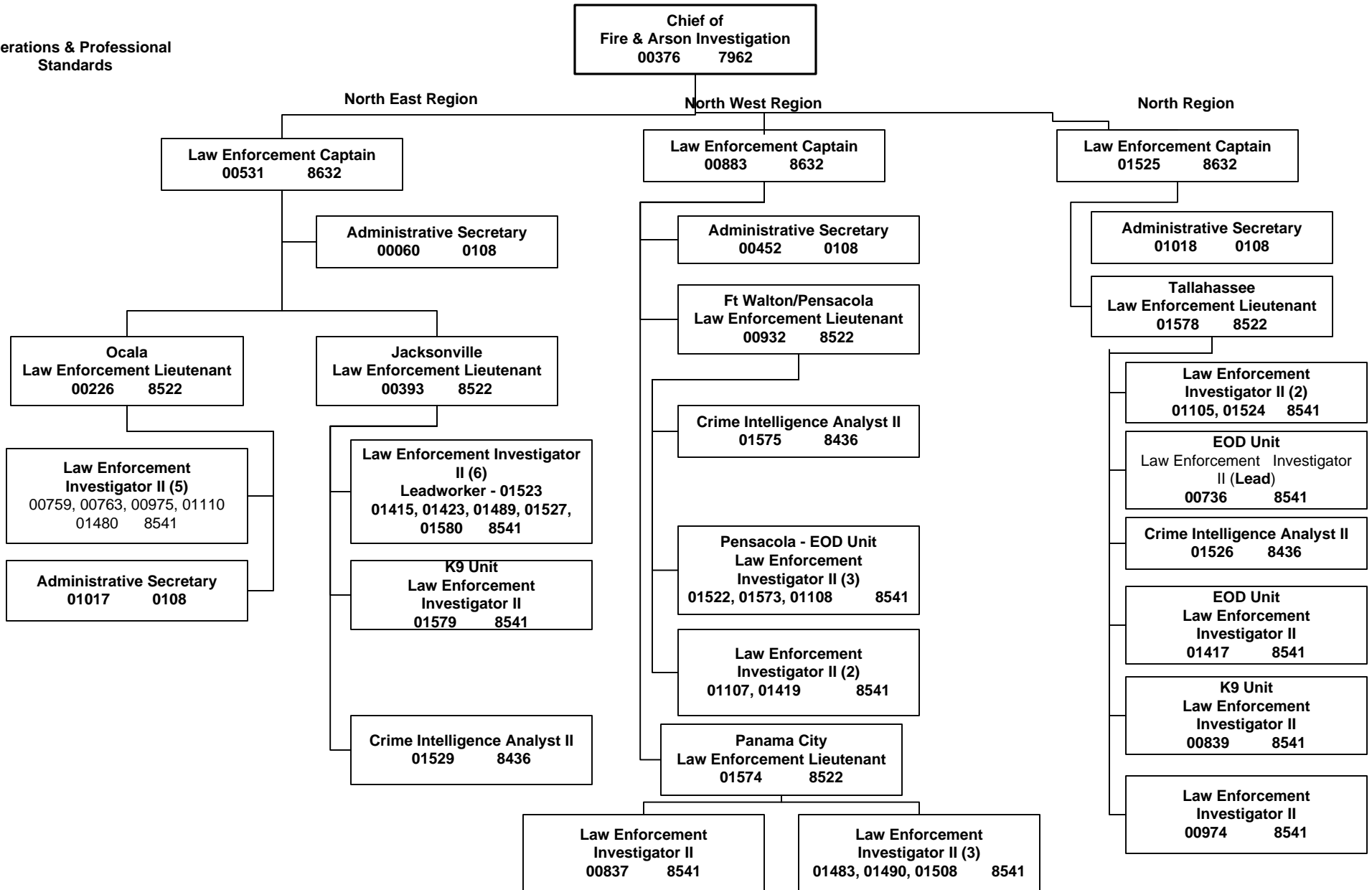
Total FTE: 9

❖ FTE not Included in this Section
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 Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff 05-01-11
 Rev 04-21-11

**Department of Financial Services
Division of State Fire Marshal
Bureau of Fire & Arson Investigation
District 1**

Operations & Professional Standards

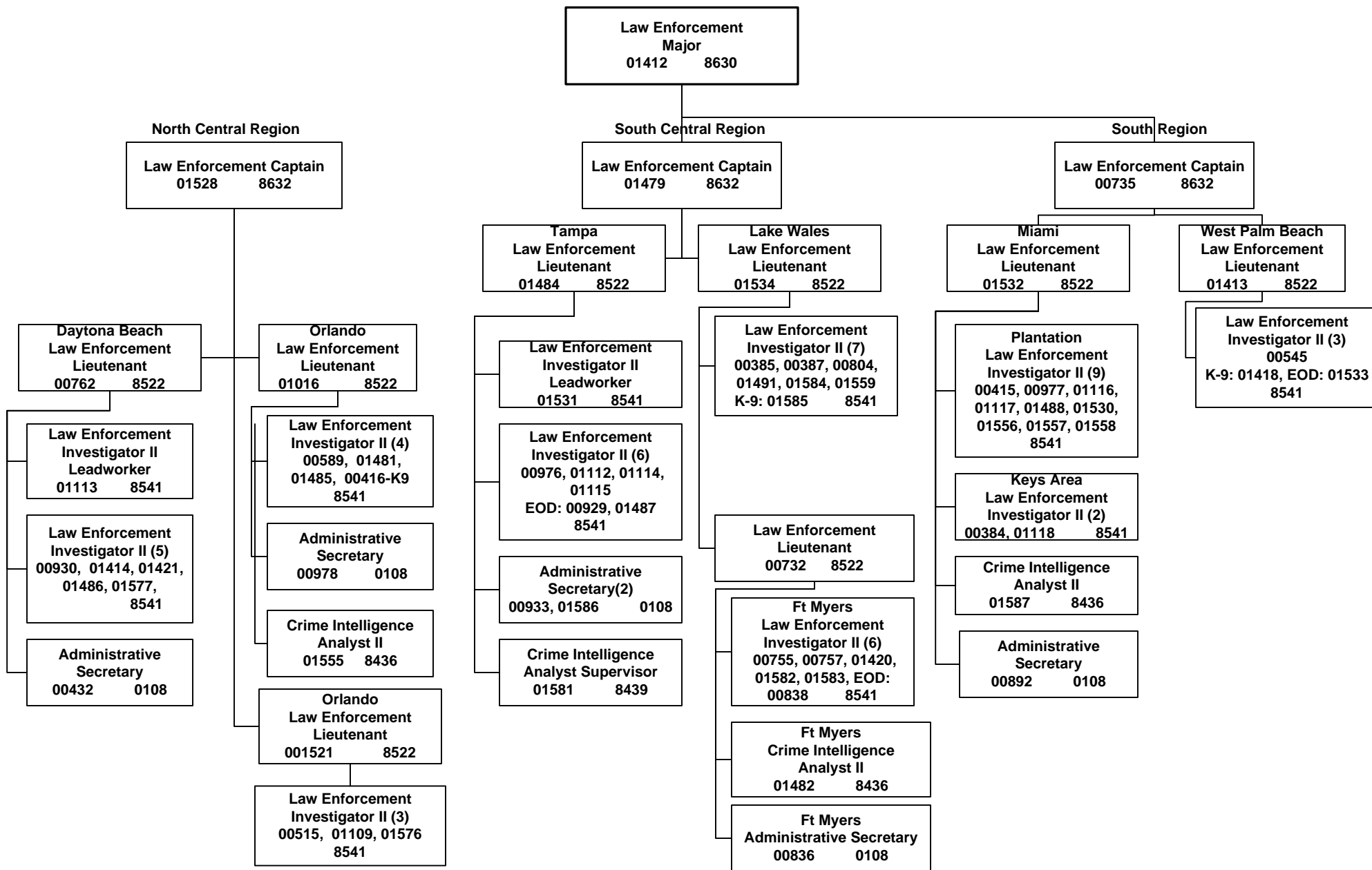


Total FTE: 45

❖ FTE not Included in this Section
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Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff 10/26/2011
Rev 10-26-11

**Department of Financial Services
Division of State Fire Marshal
Bureau of Fire & Arson Investigations
District 2**

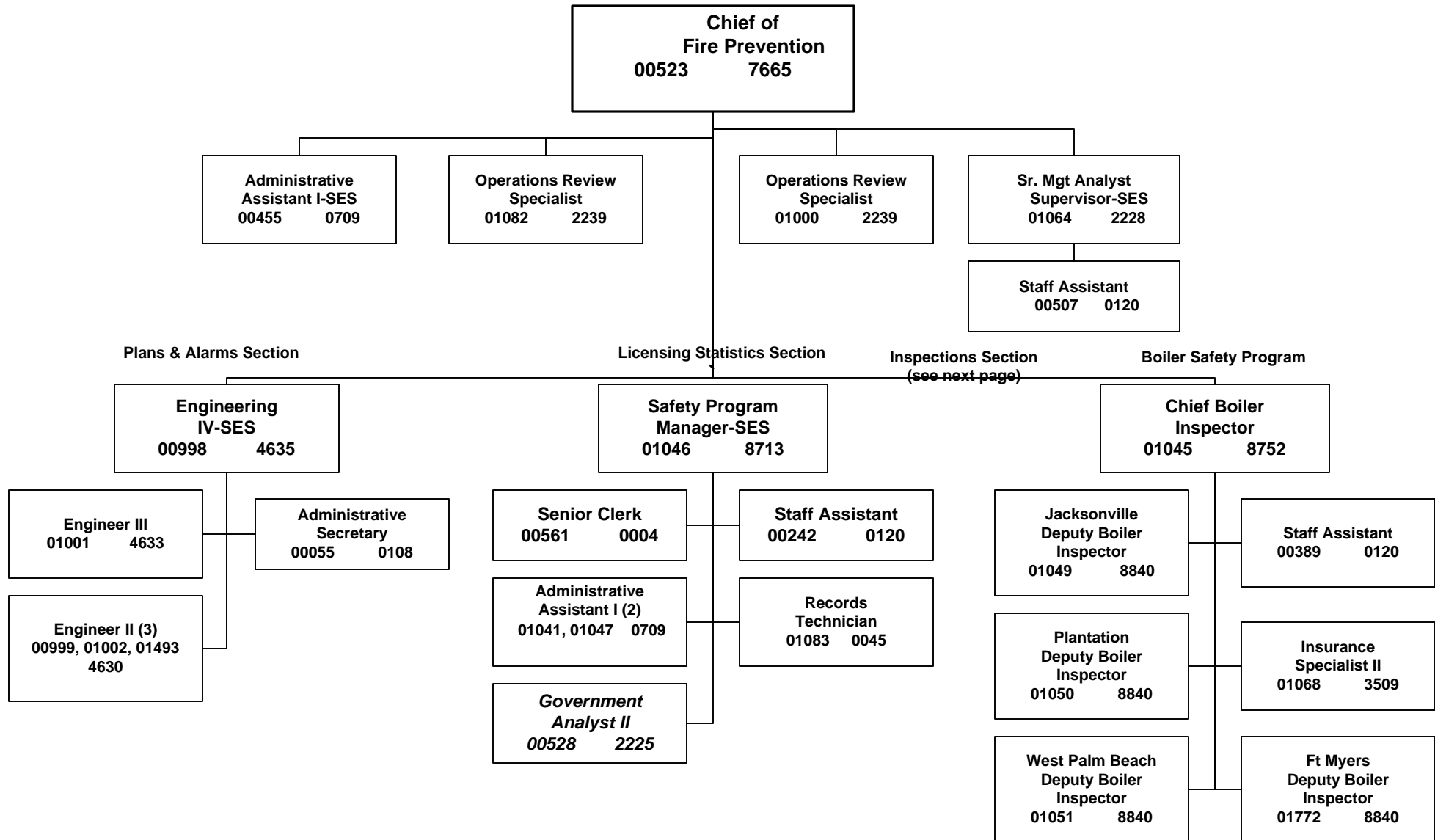


Total FTE: 68

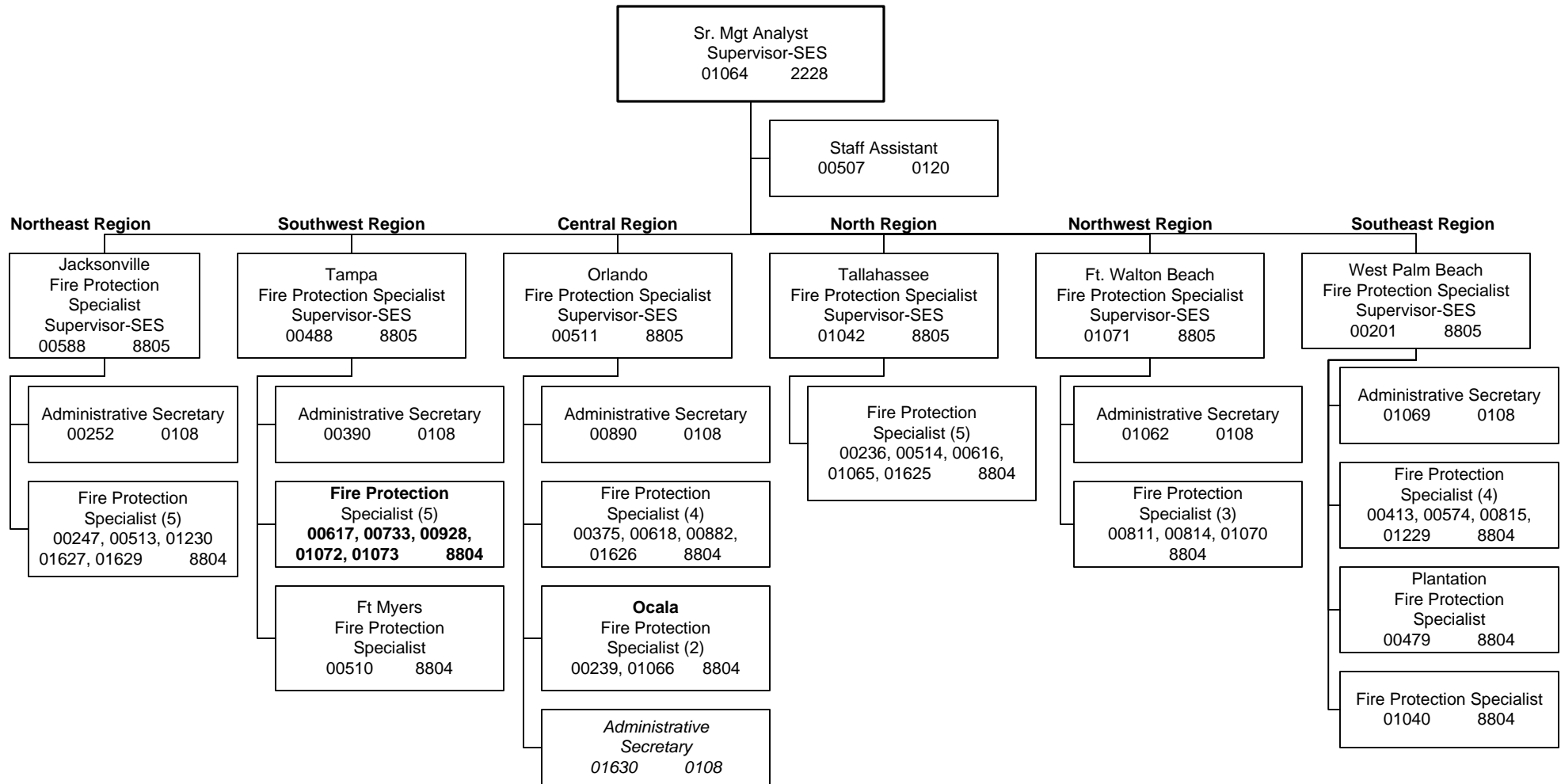
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Eff 07-23-12
 Rev 07-19-12

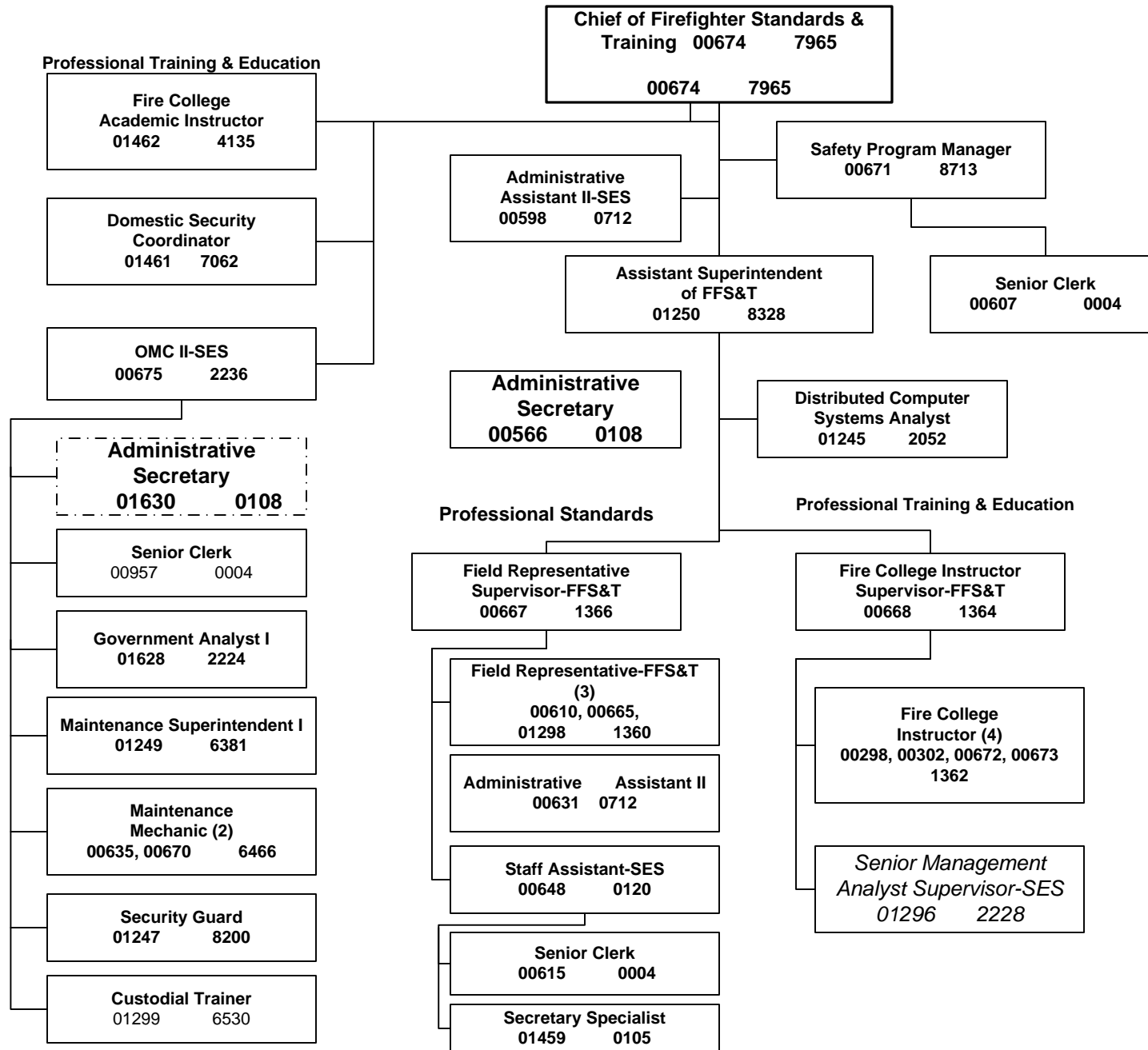
**Department of Financial Services
Division of State Fire Marshal
Bureau of Fire Prevention
Office of the Chief**



**Department of Financial Services
Division of State Fire Marshal
Bureau of Fire Prevention
Inspections Section**



**Department of Financial Services
Division of State Fire Marshal
Bureau of Fire Fighter Standards & Training**



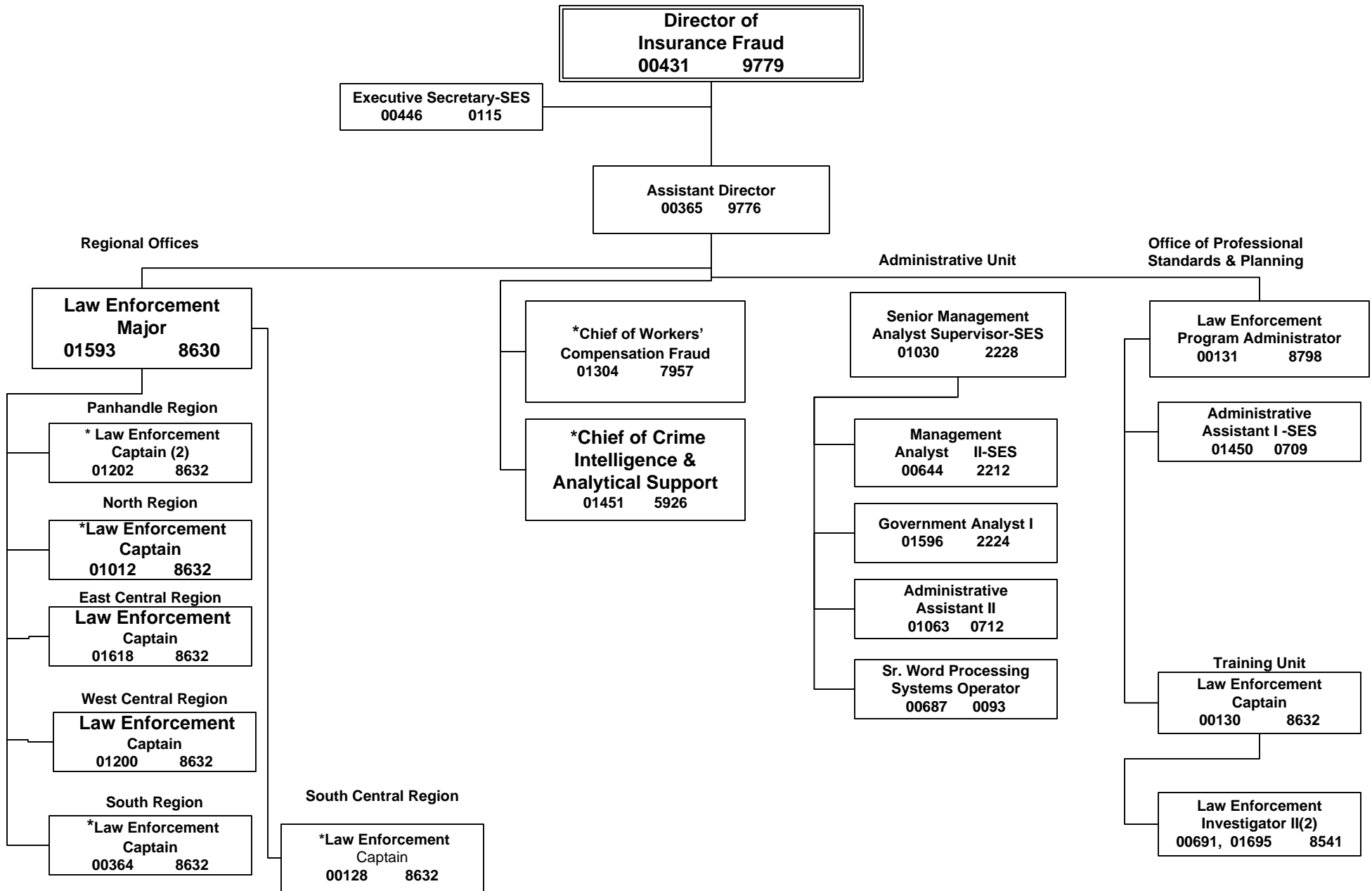
Total FTE: 31

❖ FTE not Included in this Section
Dotted Line = Administratively Assigned. FTE not counted.
Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff 06-21-12
Rev 06-21-12

5-1-10

**Department of Financial Services
Division of Insurance Fraud
Office of the Director**



Division Total FTE = 186
Director's Office = 19

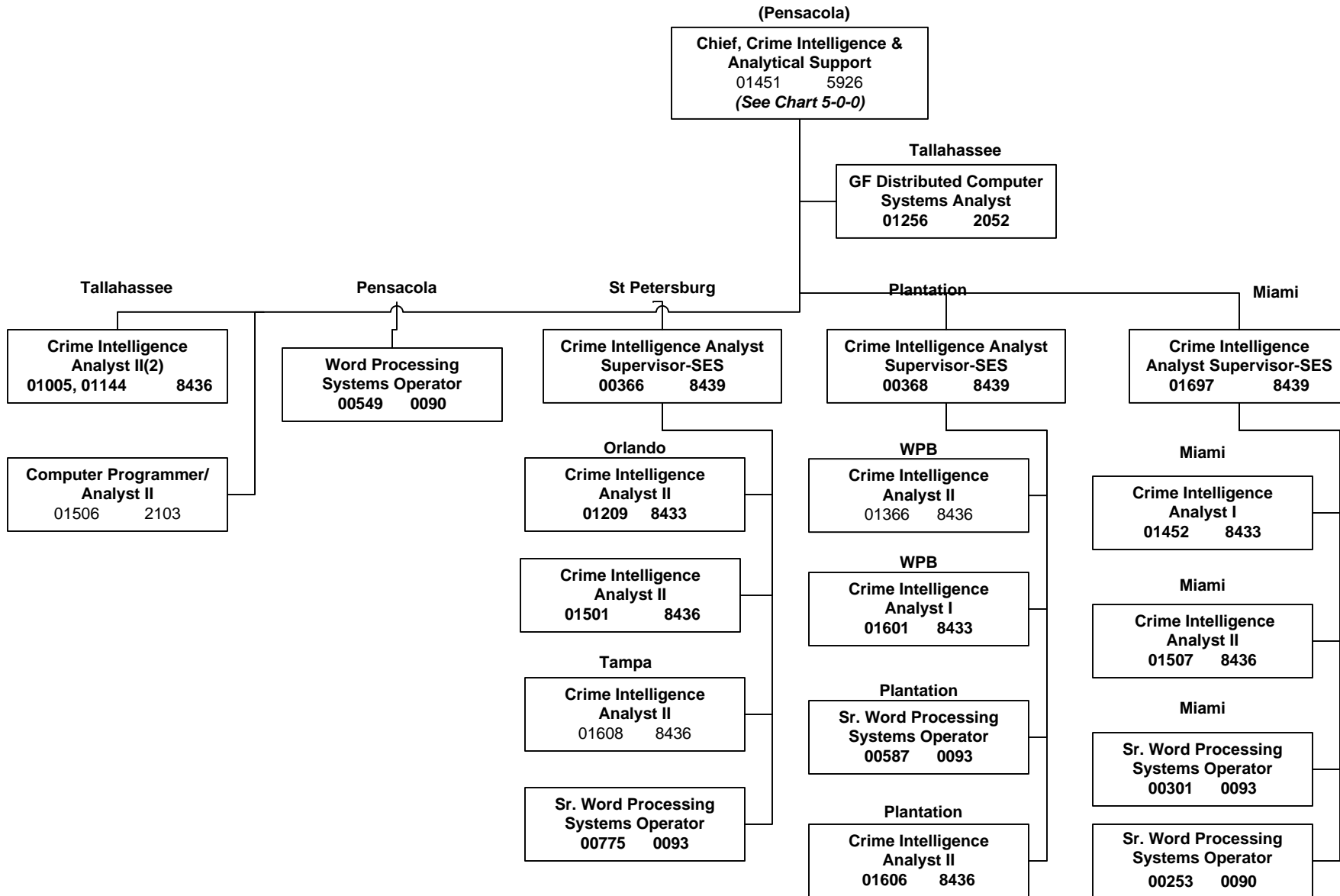
* FTE not Included in this Section

** Dotted Line/Red = Administratively Assigned. FTE not counted.

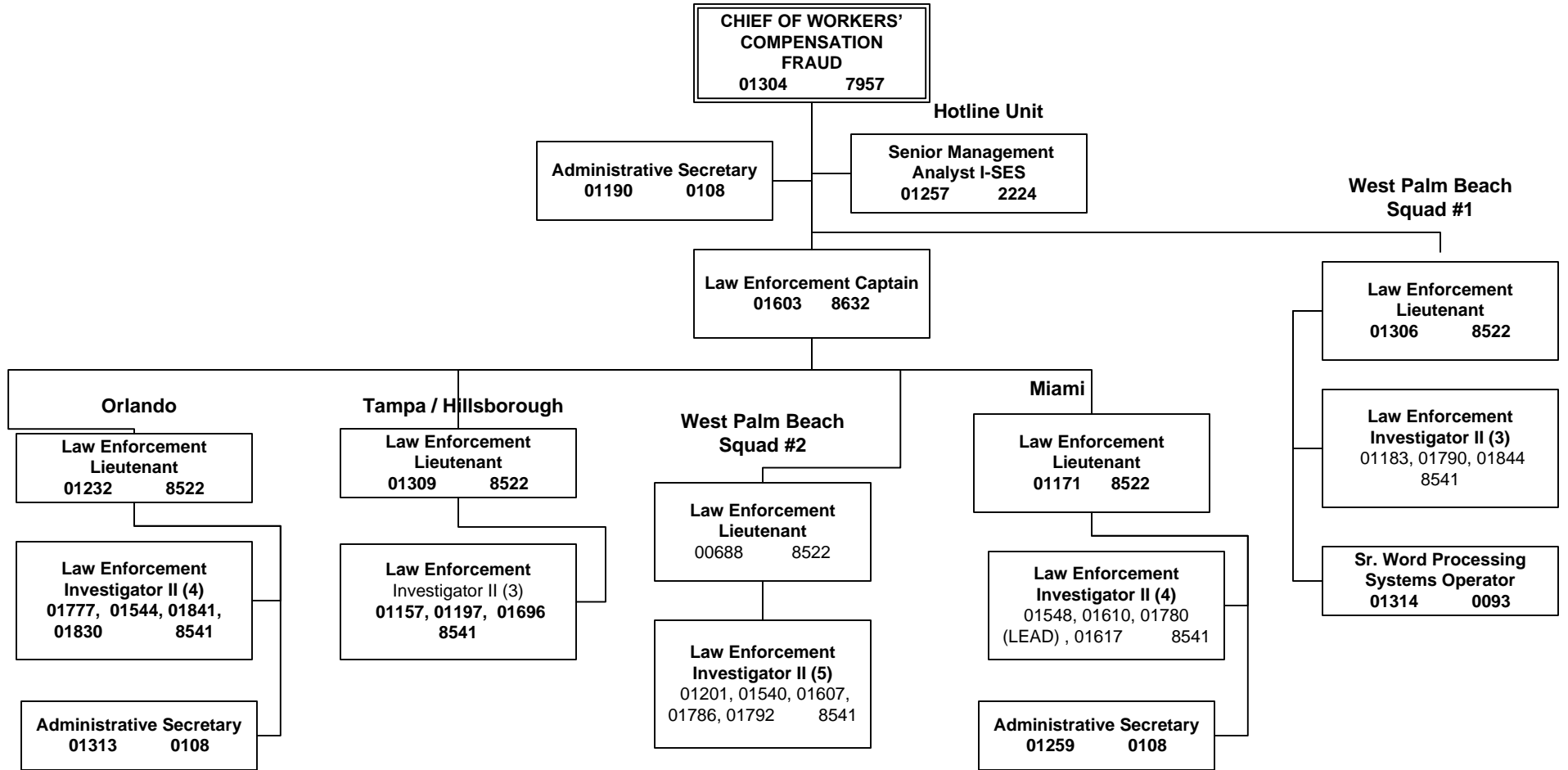
Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff 08-01-2012
Rev 07-01-2012

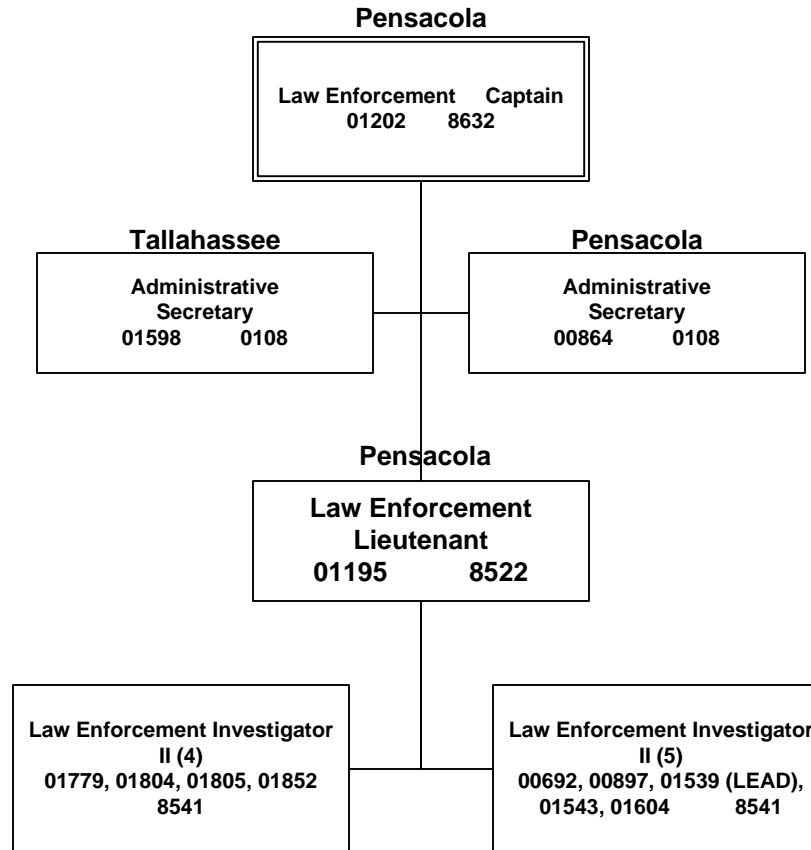
**Department of Financial Services
Division of Insurance Fraud
Bureau of Crime Intelligence & Analytical Support**



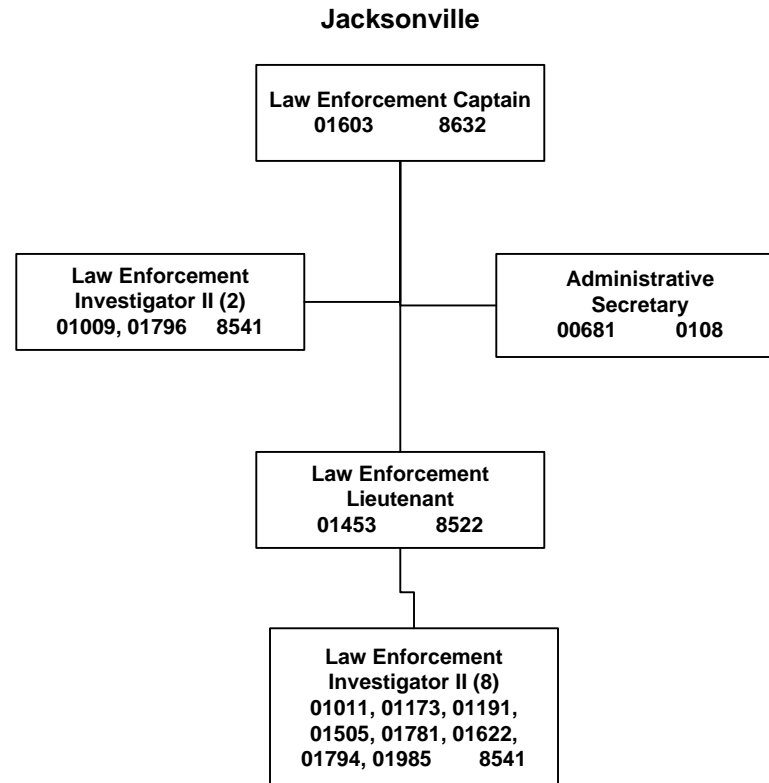
**Department of Financial Services
Division of Insurance Fraud
Bureau of Workers' Compensation Fraud**



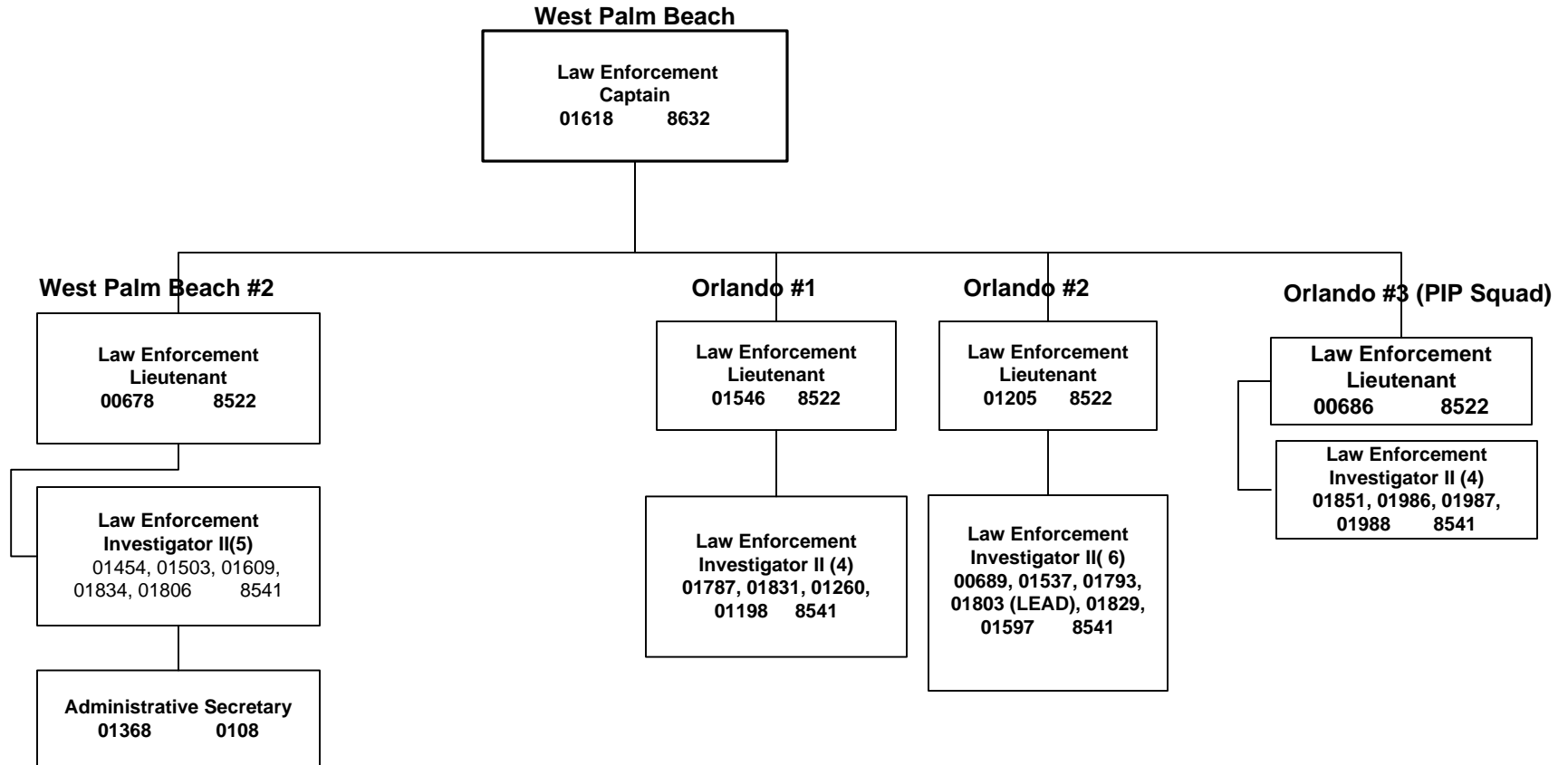
**Department of Financial Services
Division of Insurance Fraud
Panhandle Region**



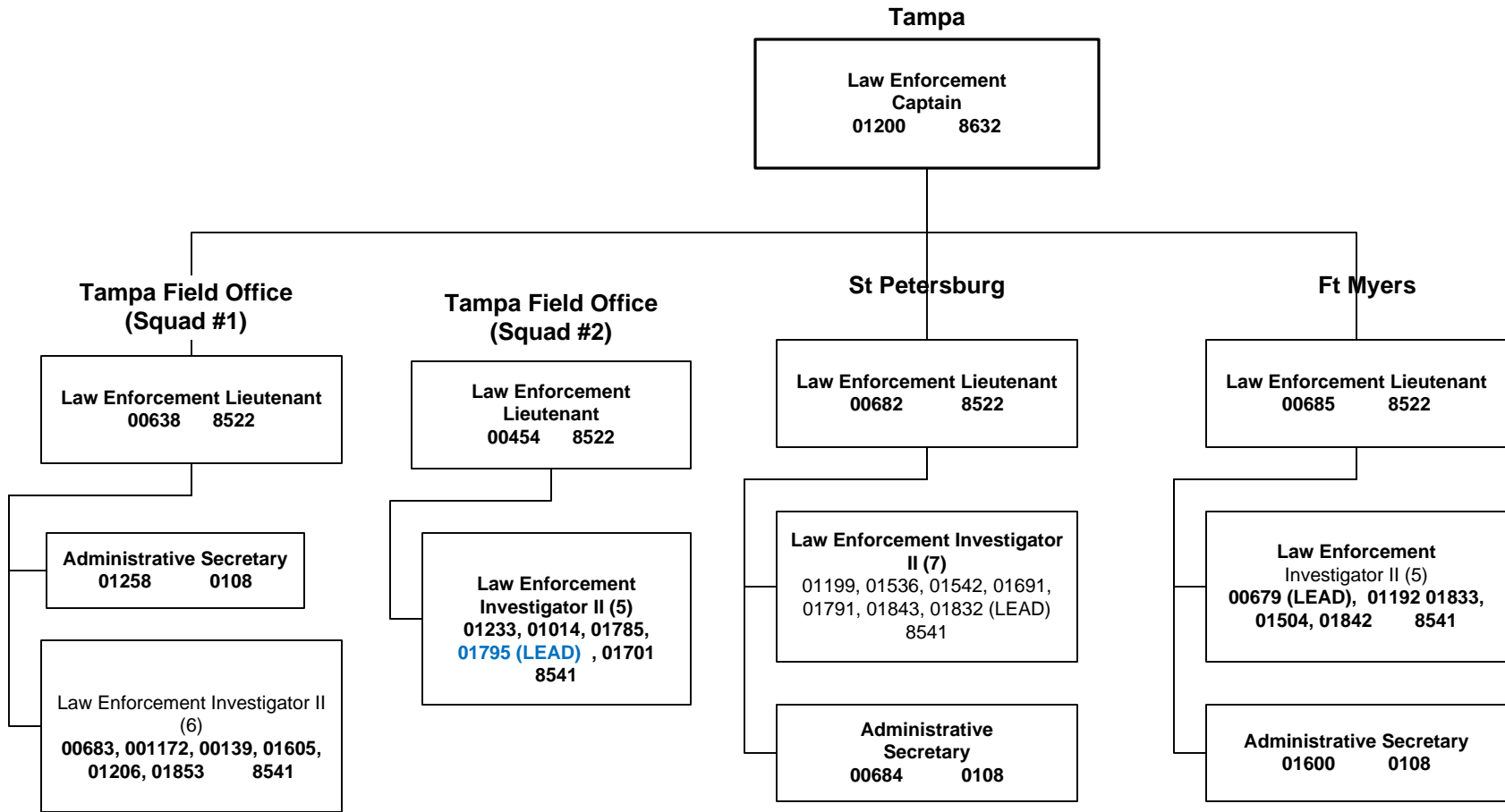
**Department of Financial Services
Division of Fraud
North Region**



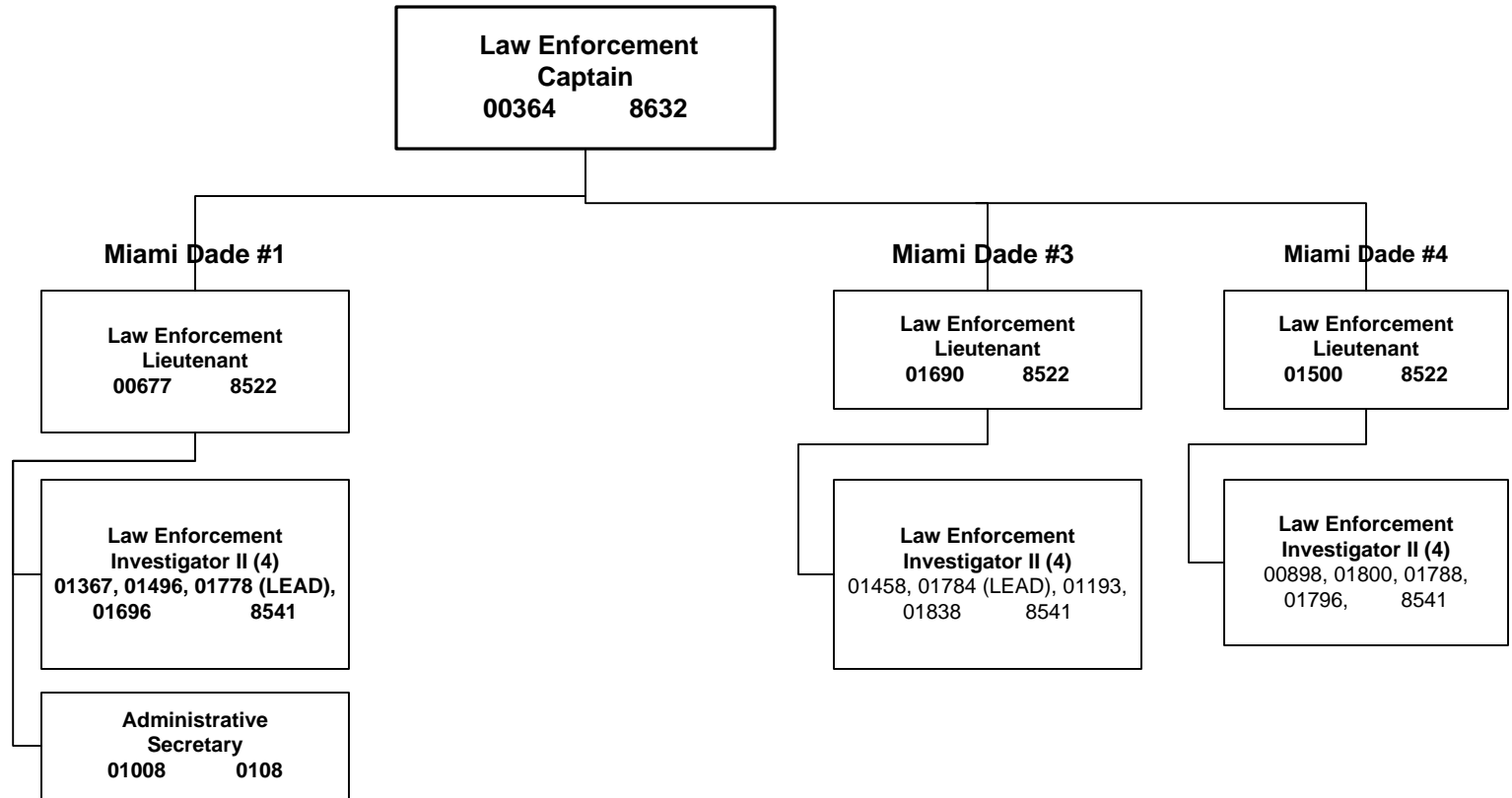
**Department of Financial Services
Division Insurance of Fraud
East Central Region**



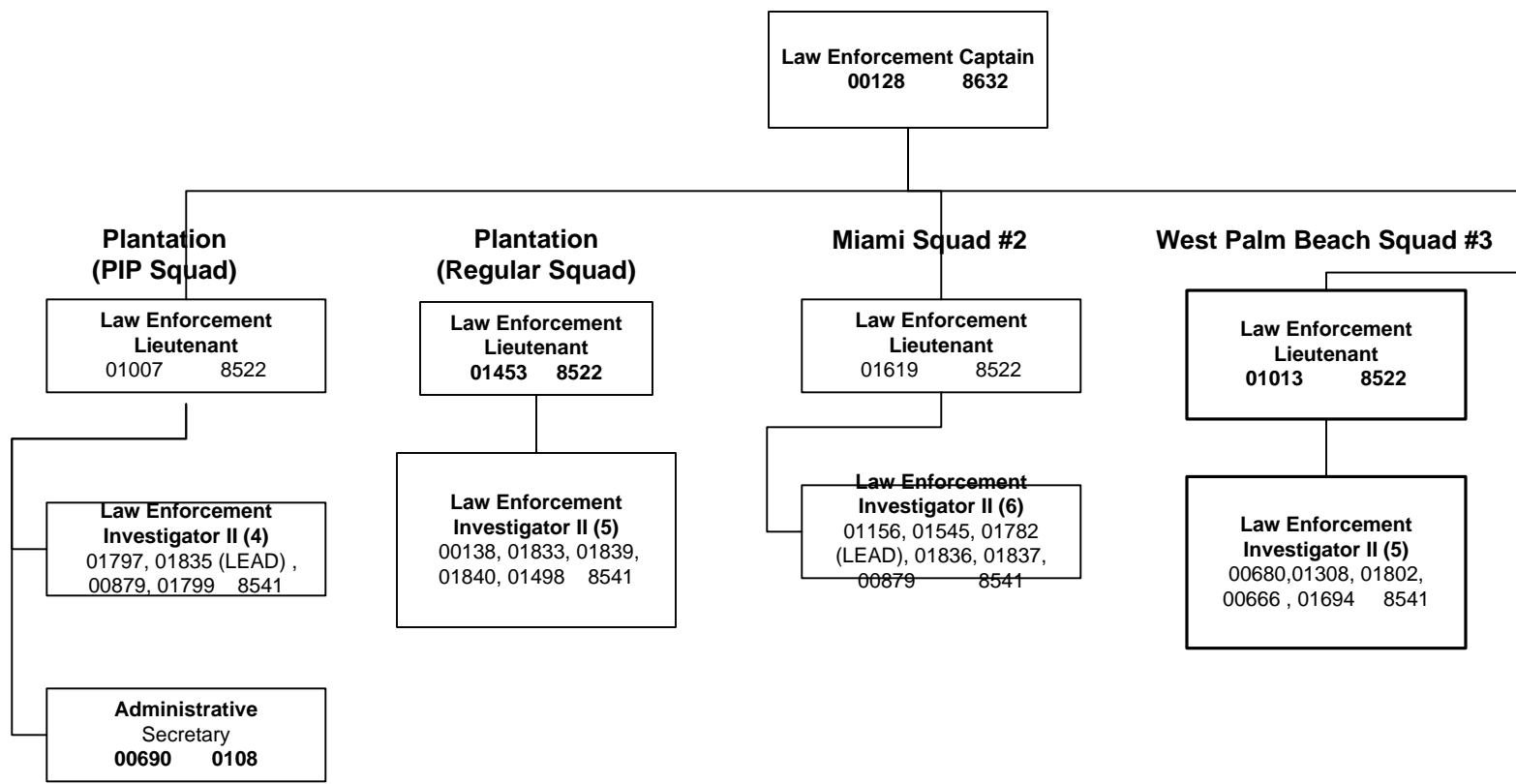
**Department of Financial Services
Division of Insurance Fraud
West Central Region**



**Department of Financial Service
Division of Insurance Fraud
South Region**

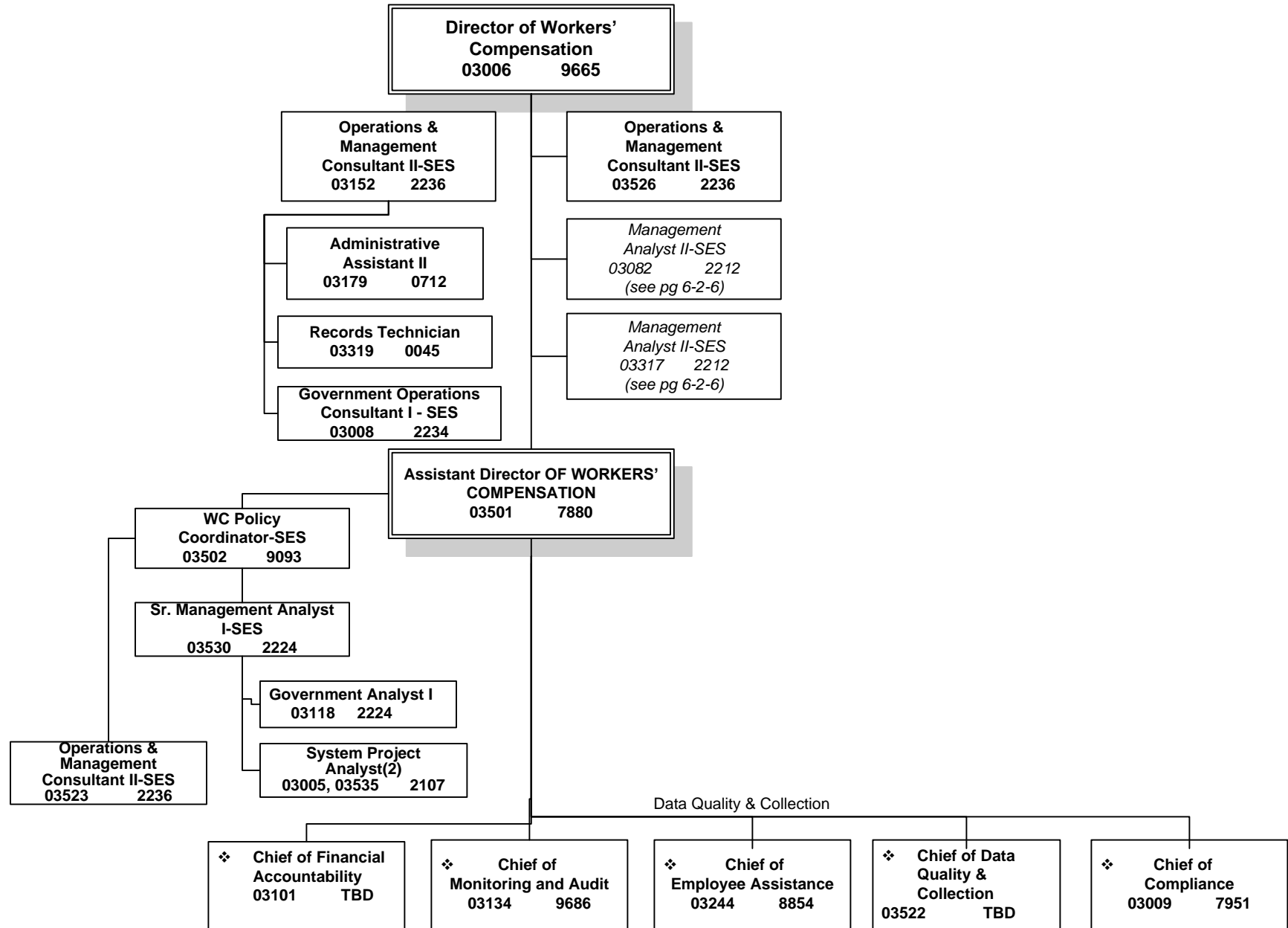


**Department of Financial Service
Division of Insurance Fraud
South Central Region**



Department of Financial Services

Division of Workers' Compensation

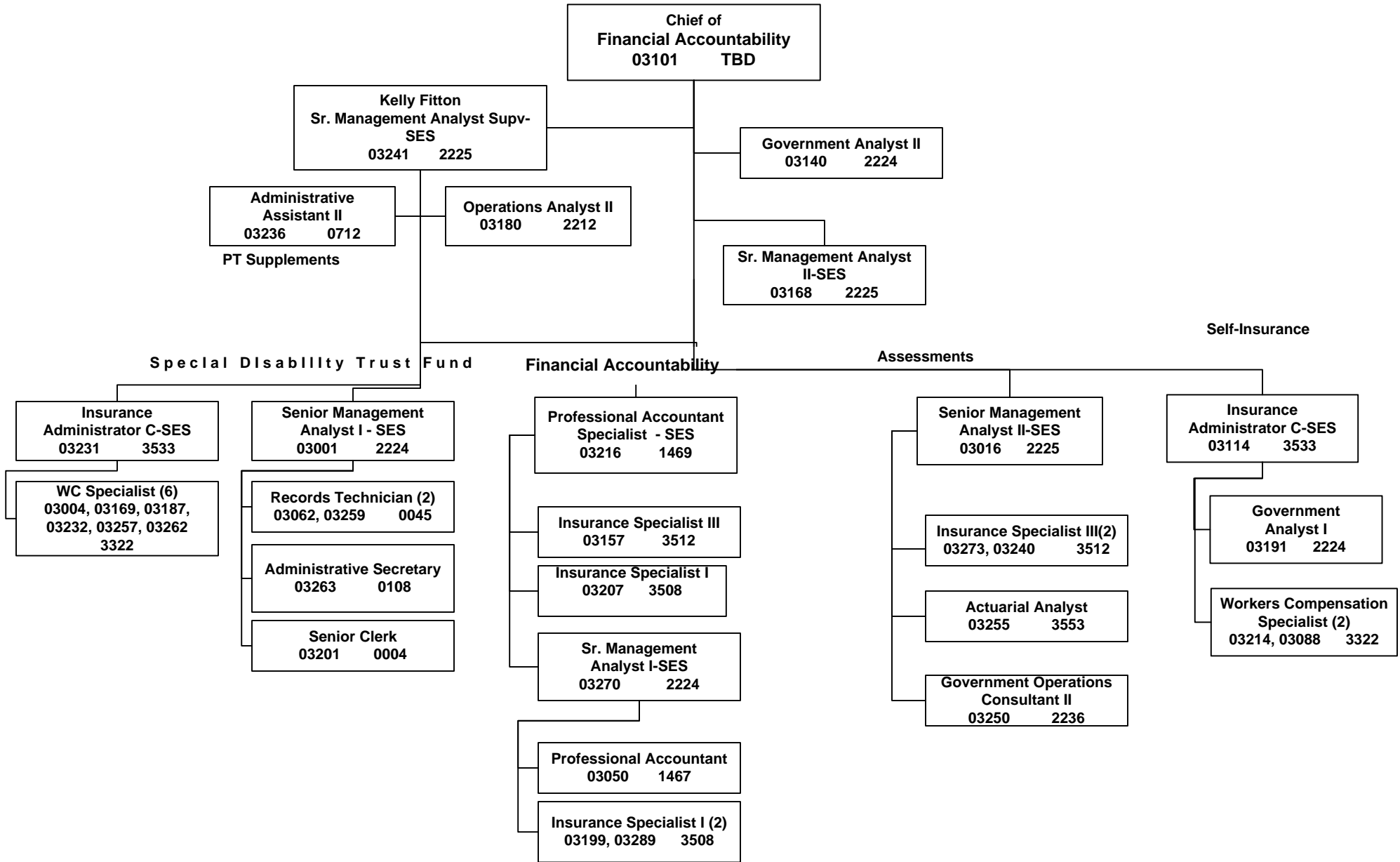


Division Total FTE: 354
 Director Office Total FTE: 13

❖ FTE not Included in this Section
 Dotted Line = Administratively Assigned. FTE not counted.
 Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff
 Rev 6/04/2012

**Department of Financial Services
Division of Workers' Compensation
Bureau of Financial Accountability**

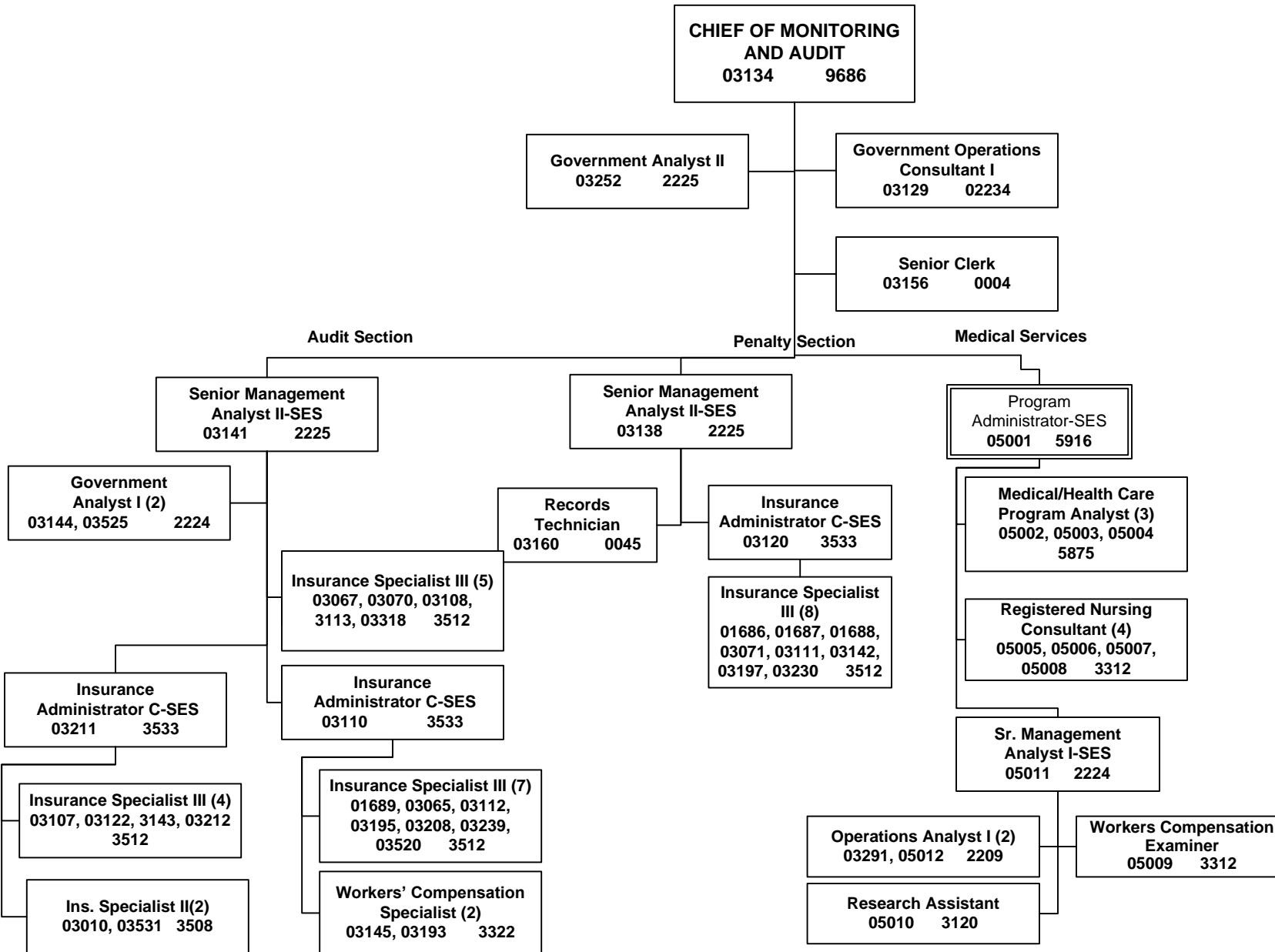


Total FTE: 26

❖ FTE not Included in this Section
Dotted Line = Administratively Assigned. FTE not counted.
Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff 08/01/2012
Rev 06/01/2012

**Department of Financial Services
Division of Workers' Compensation
Bureau of Monitoring and Audit**

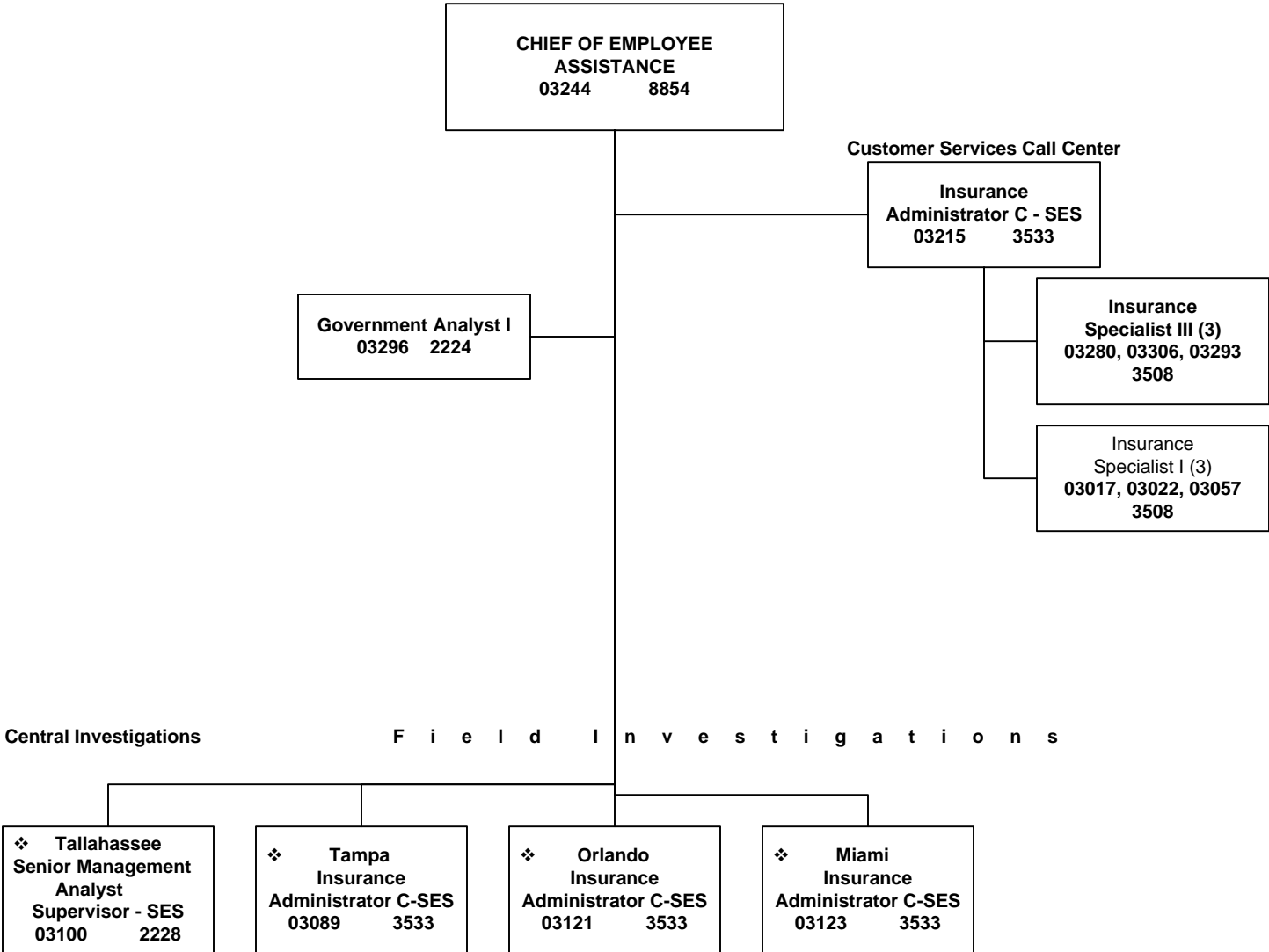


Bureau Total FTE: 49

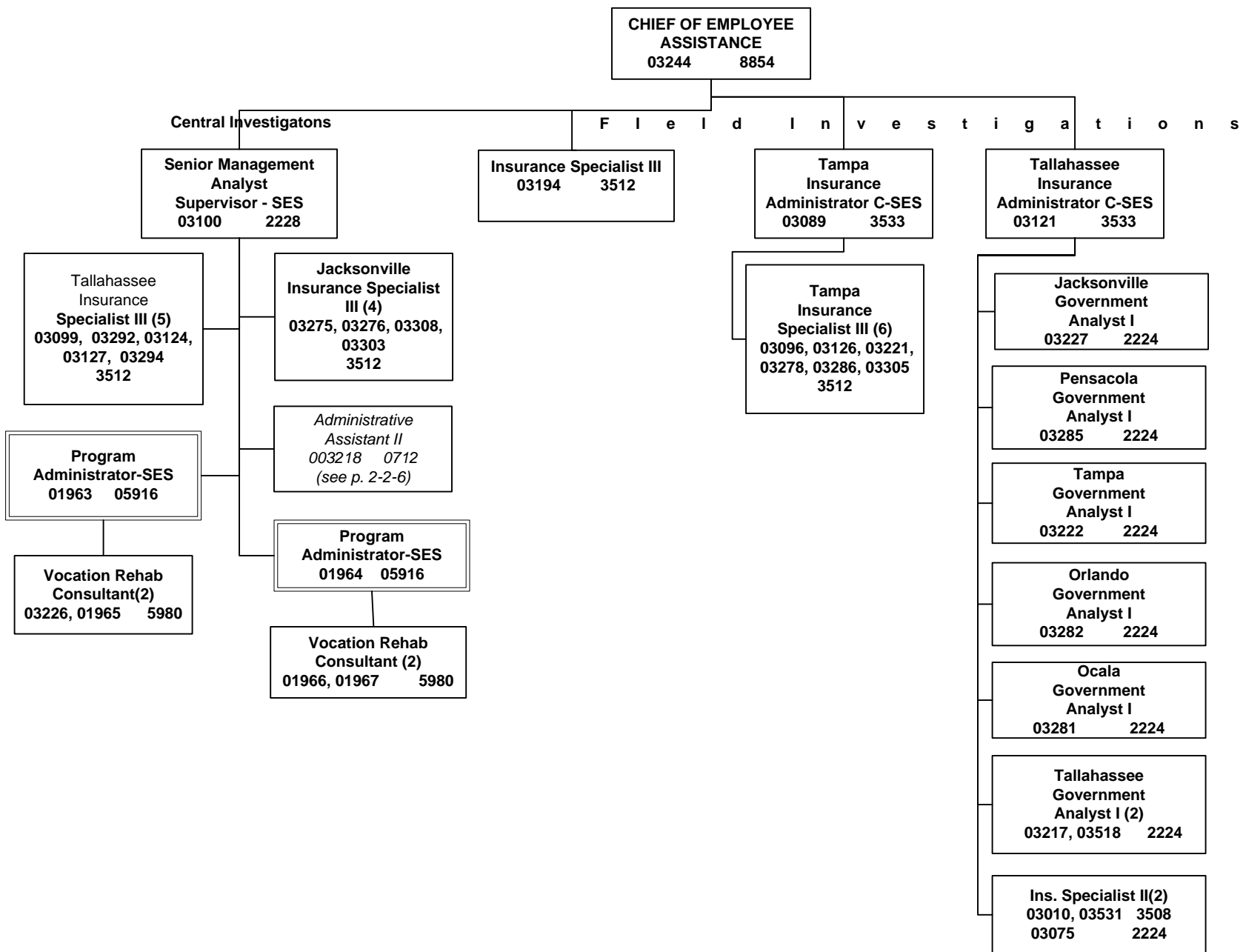
❖ FTE not Included in this Section
 Dotted Line = Administratively Assigned. FTE not counted.
 Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff 8/01/2012
 Rev 06/01/2012

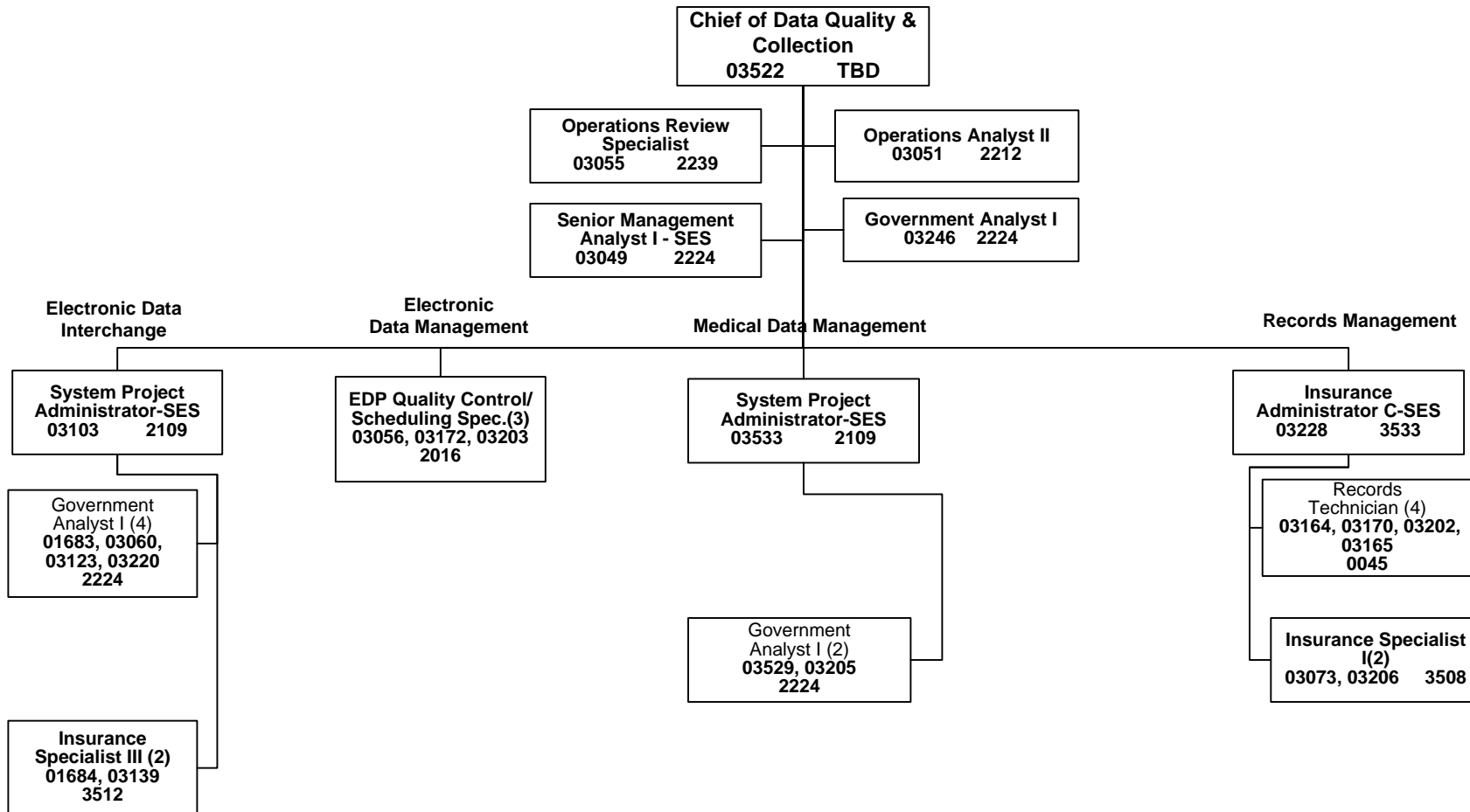
Department of Financial Services
 Division of Workers' Compensation
 Bureau of Employee Assistance



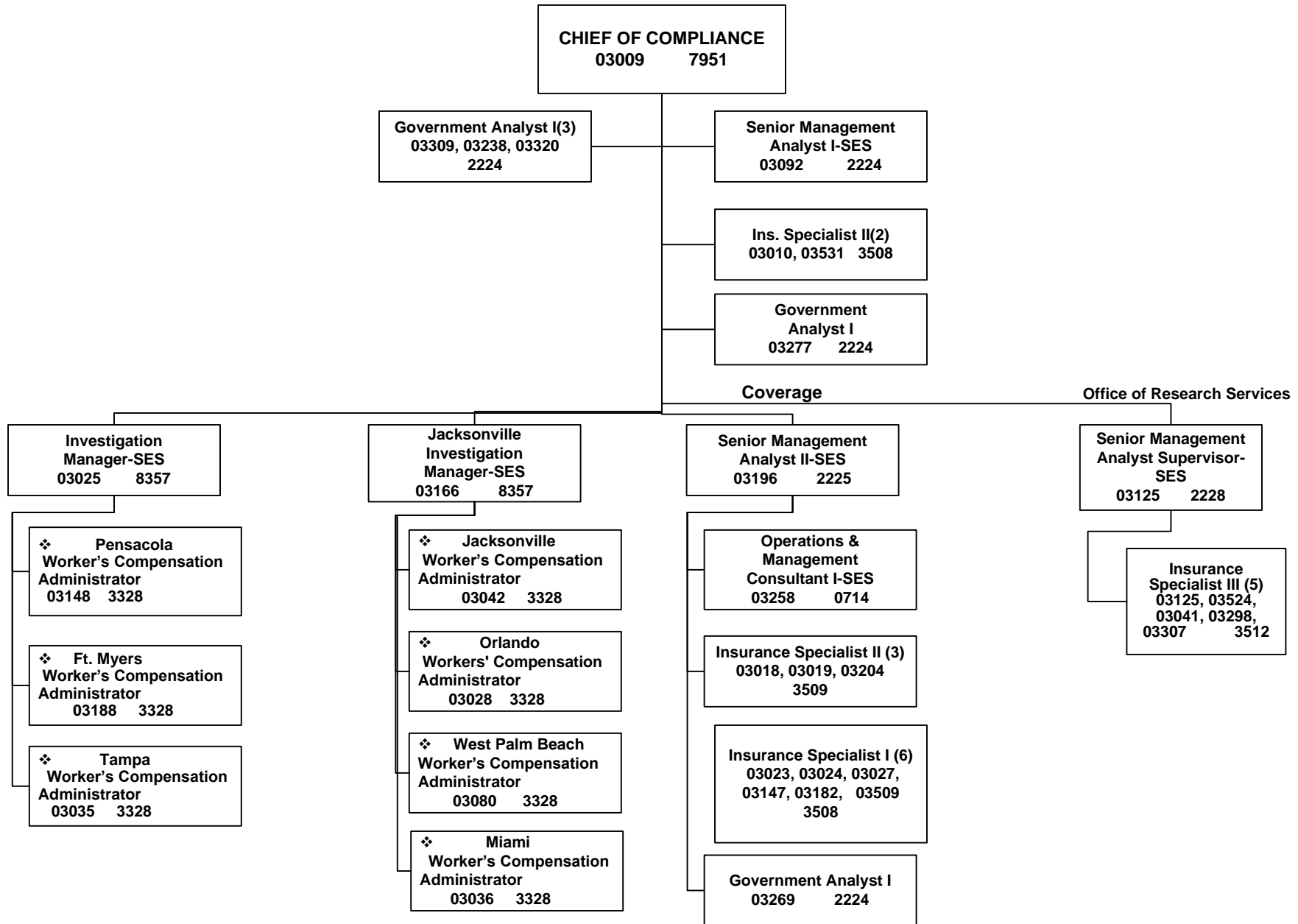
Department of Financial Services
 Division of Workers' Compensation
 Bureau of Employee Assistance



**Department of Financial Services
Division of Workers' Compensation
Bureau of Data Quality and Collection**



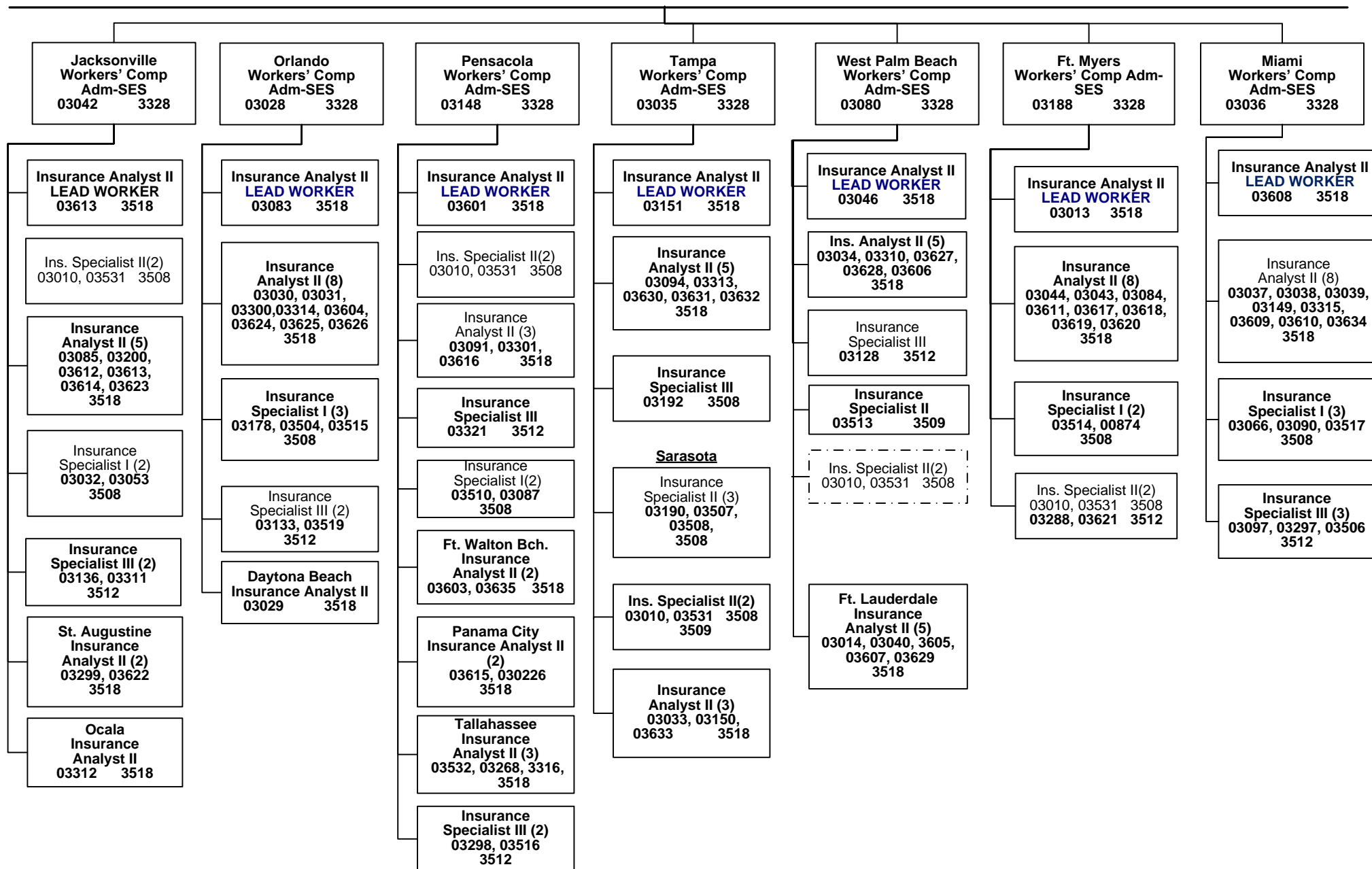
**Department of Financial Services
Division of Workers' Compensation
Bureau of Compliance**



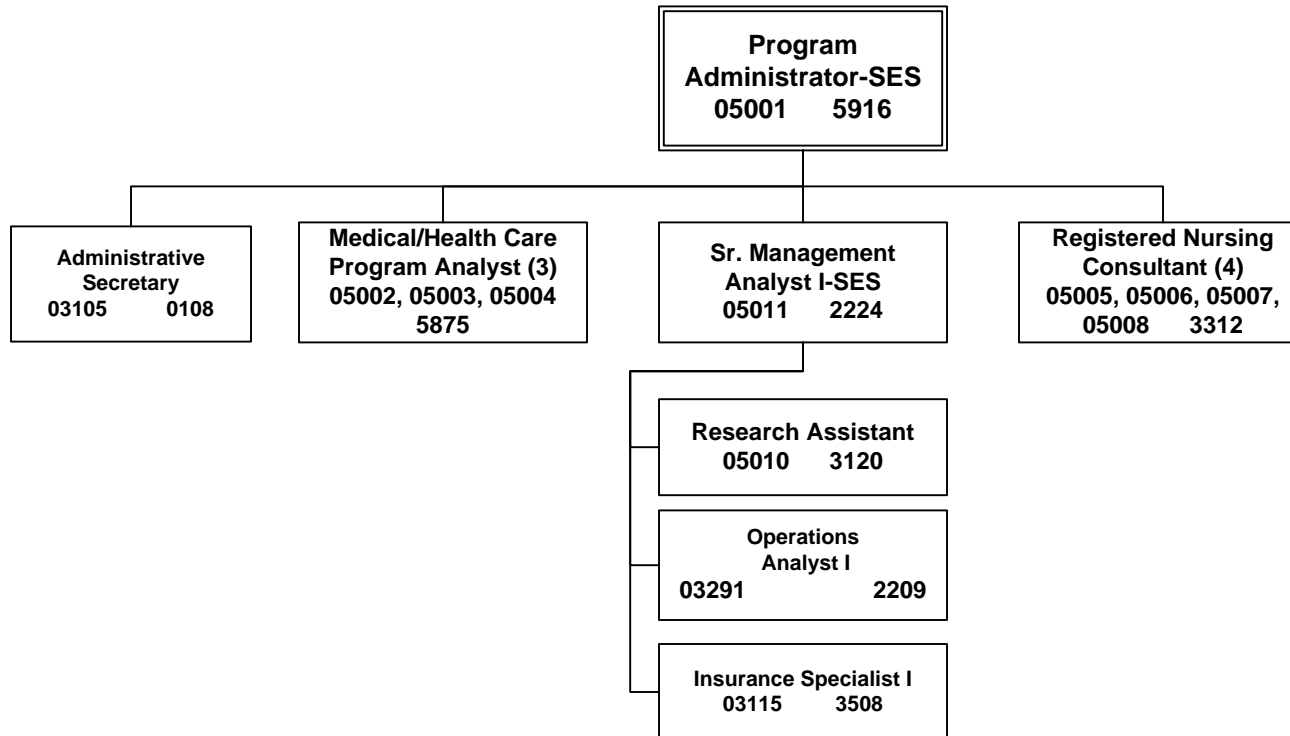
Department of Financial Services

Division of Workers' Compensation

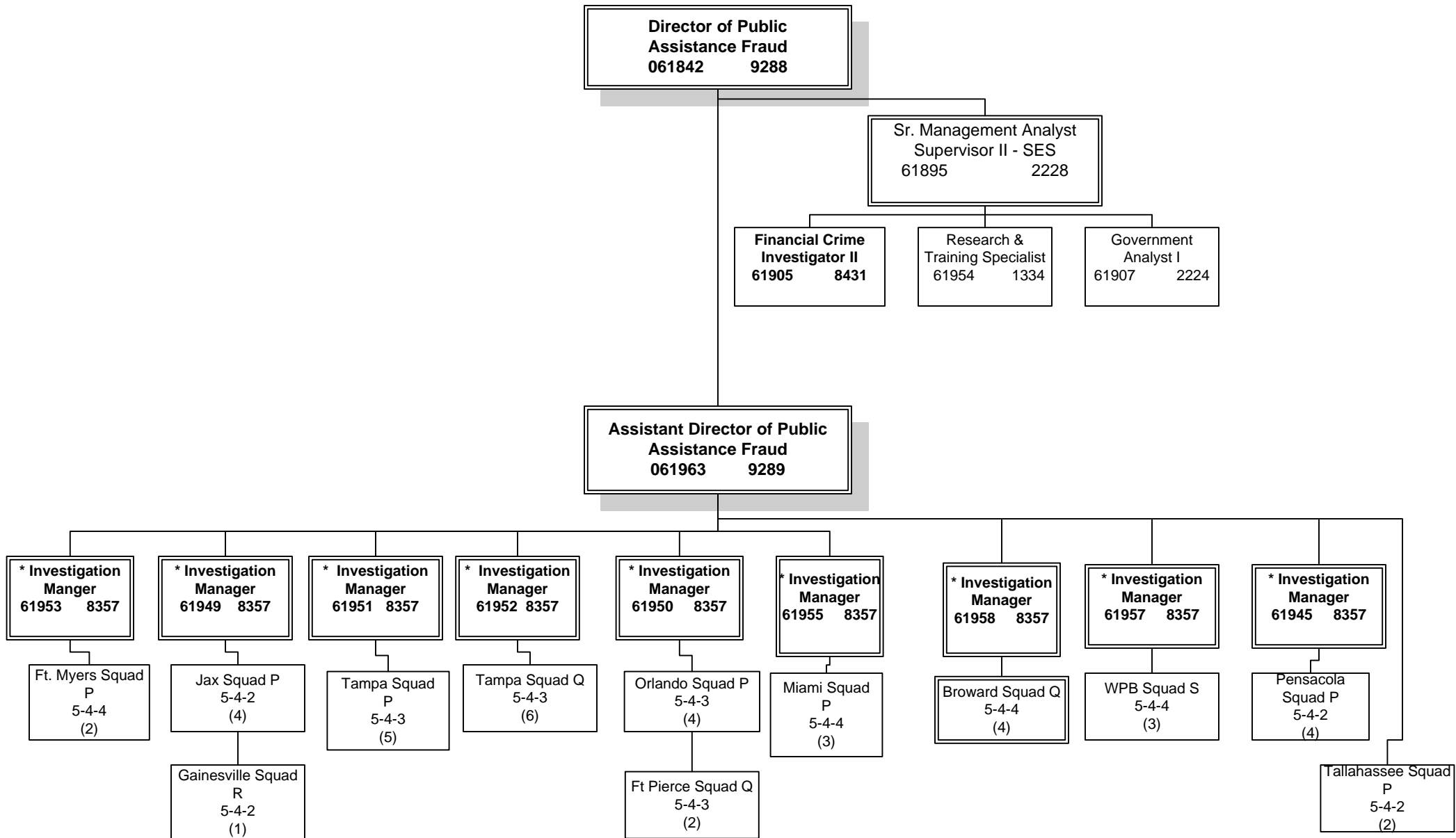
Bureau of Compliance



**Department of Financial Services
Division of Workers' Compensation
Office of Medical Services**



**Department of Financial Services
Division of Public Assistance Fraud
Office of the Director**

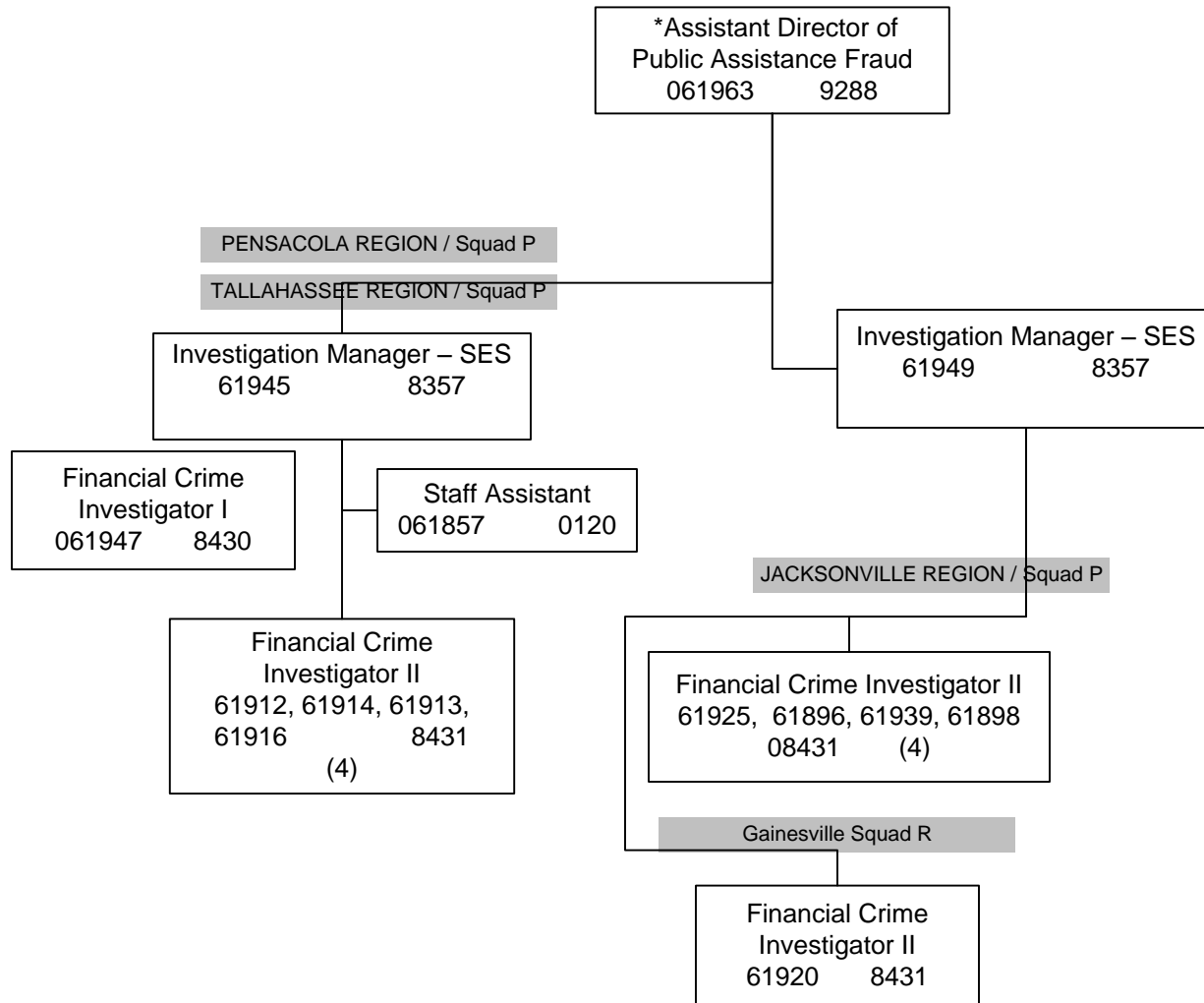


Division Total FTE: 62
Director Office Total FTE: 6

* FTE not Included in this Section
Dotted Line = Administratively Assigned. FTE not counted.
Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff 1-1-12, due to reorg 5-4-1
Rev 1-10-12

Department of Financial Services Division of Public Assistance Fraud North Region

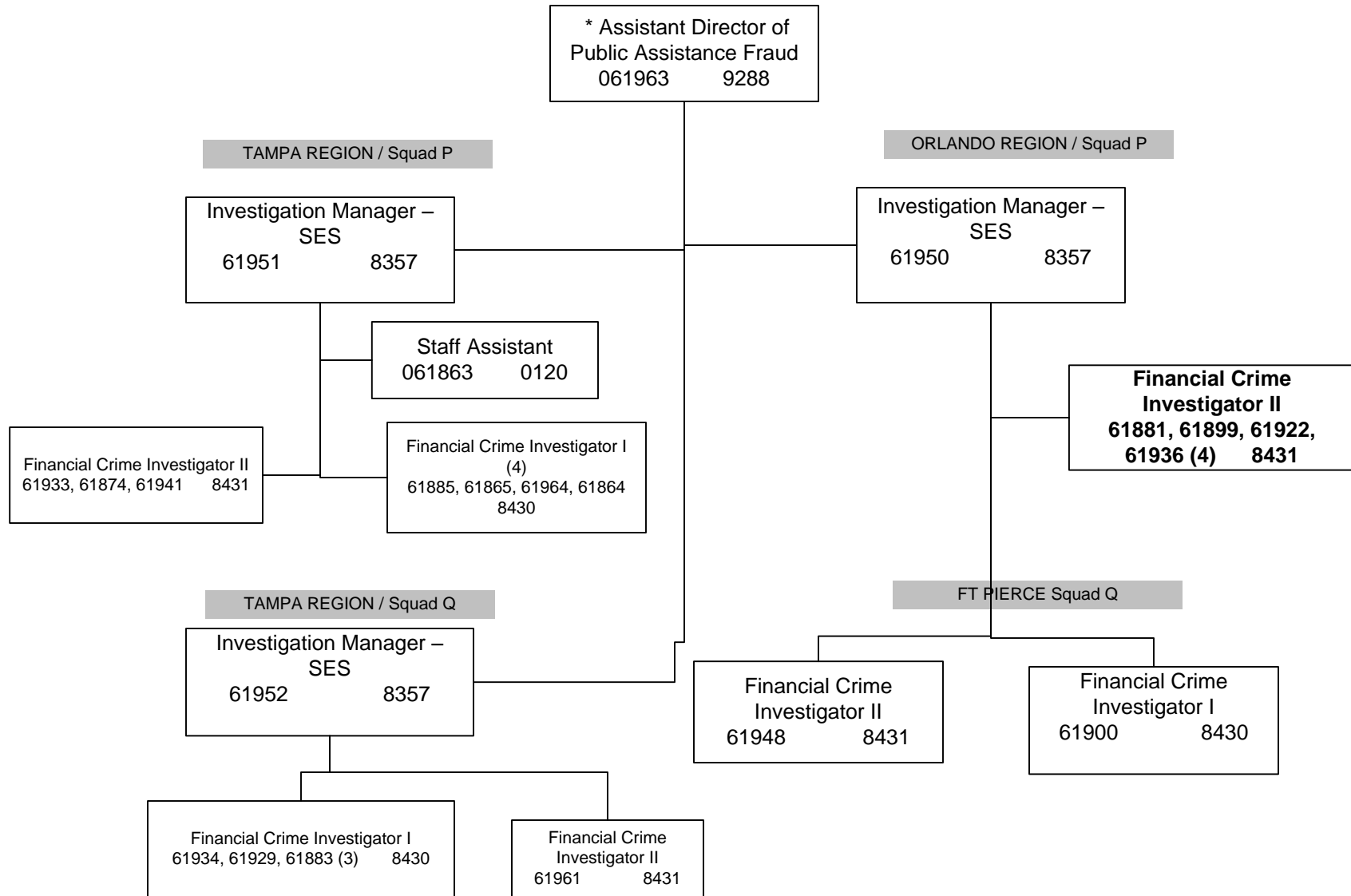


Total FTE: 13
 Pensacola: 5
 Tallahassee: 2
 Jacksonville: 5
 Gainesville: 1

❖ FTE not Included in this Section
 Dotted Line = Administratively Assigned. FTE not counted.
 Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff 3-15-11
 Rev 3-14-2011

**Department of Financial Services
Division of Public Assistance Fraud
Central Region**

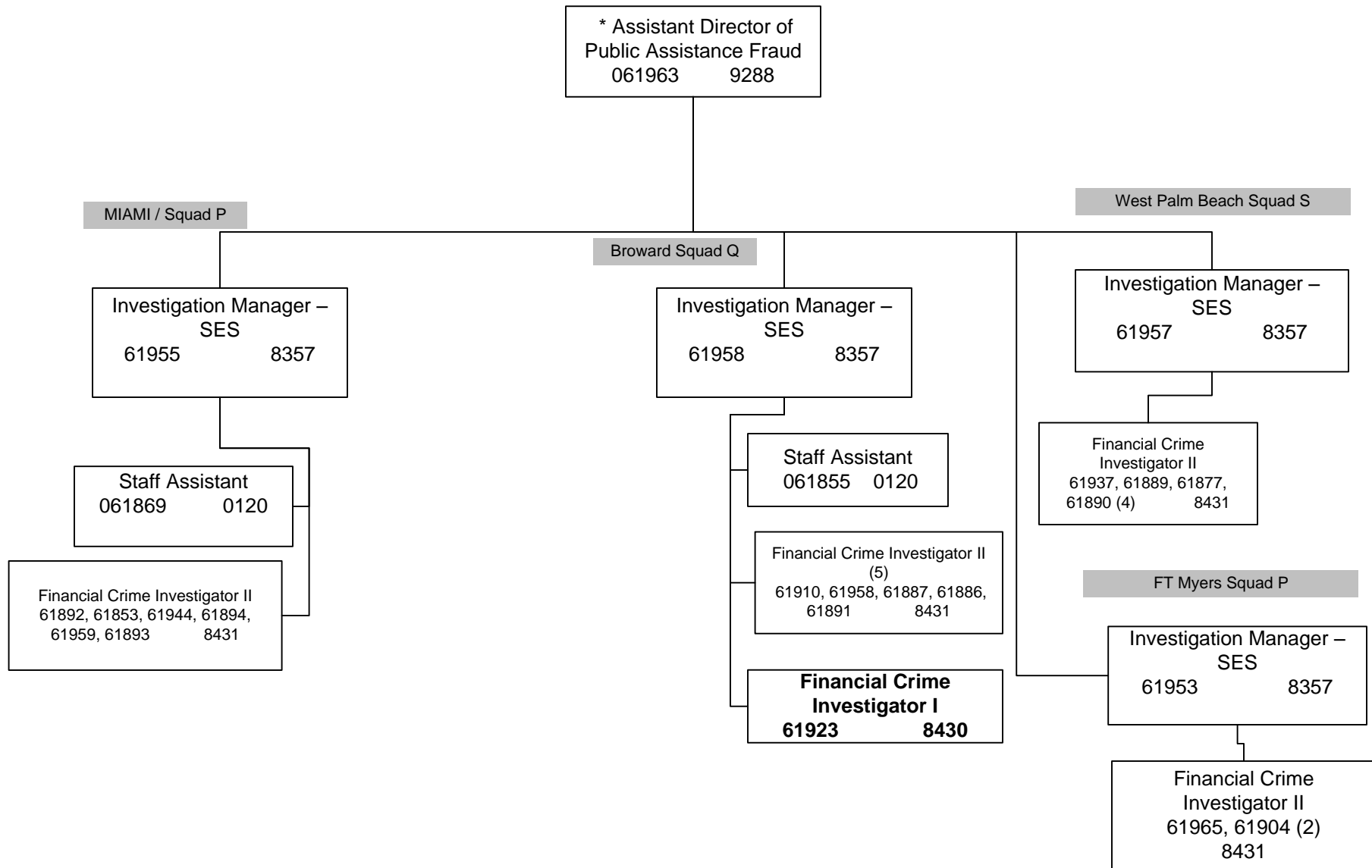


Total FTE: 21
 Tampa: 14
 Orlando: 5
 Ft. Pierce: 2

❖ FTE not Included in this Section
 Dotted Line = Administratively Assigned. FTE not counted.
 Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff 01-23-12
 Rev 01-20-12

Department of Financial Services Division of Public Assistance Fraud South Region

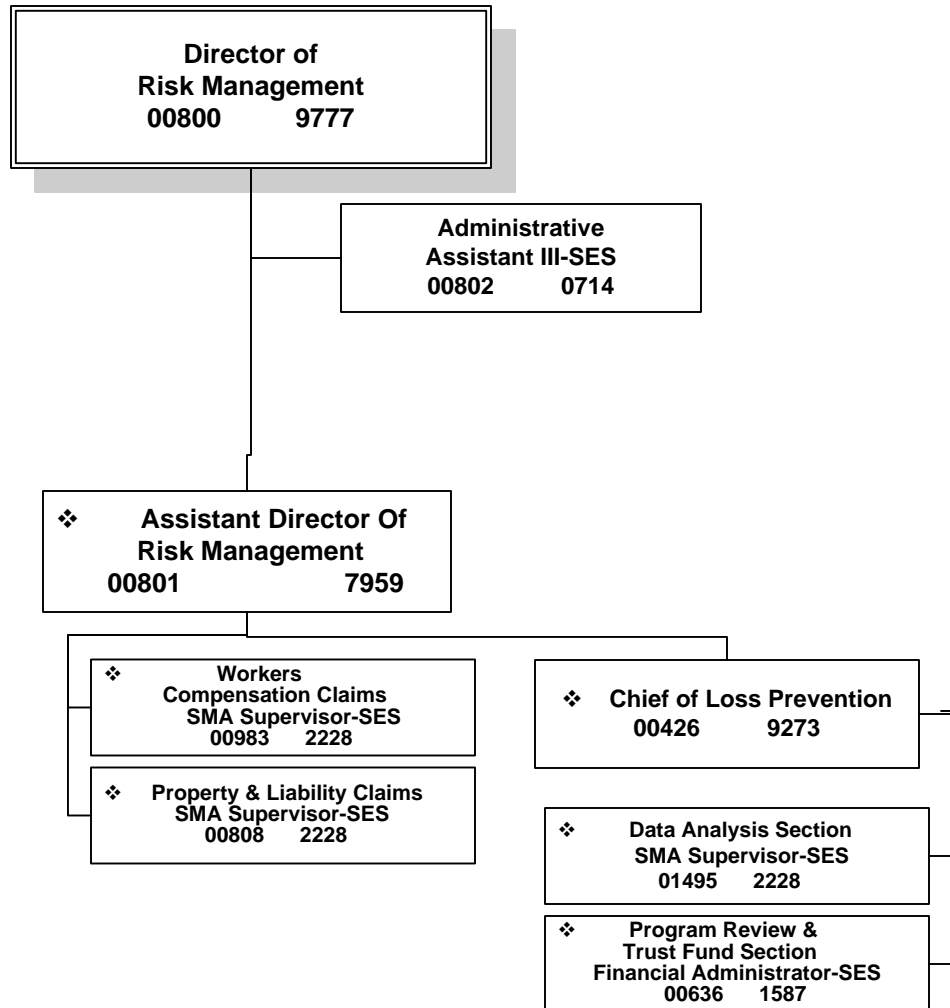


Total FTE: 23
 Miami: 8
 Broward: 8
 West Palm Beach: 4
 Ft Myers: 3

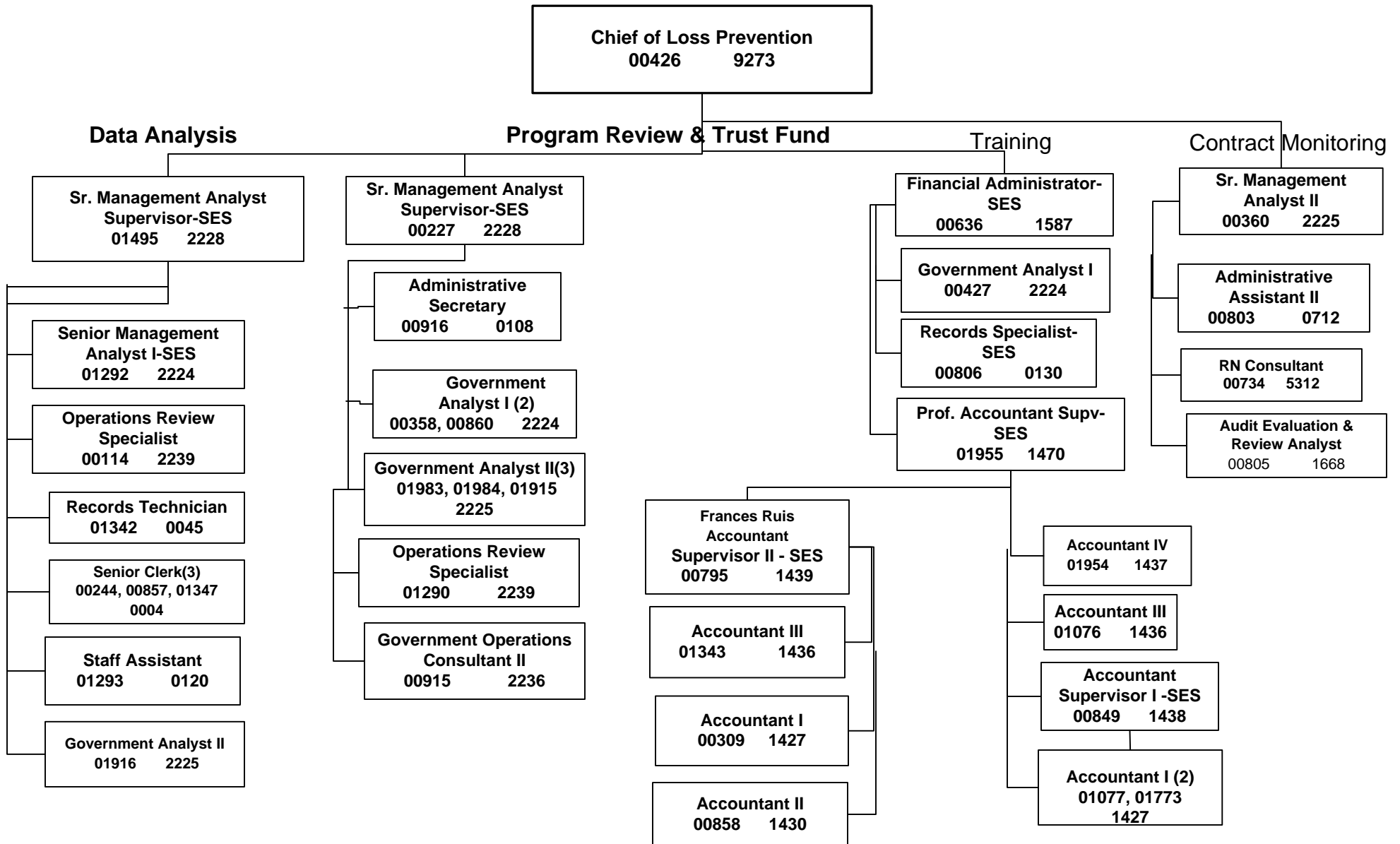
❖ FTE not included in this Section
 Dotted Line = Administratively Assigned. FTE not counted.
 Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff
 Rev 07-30-12

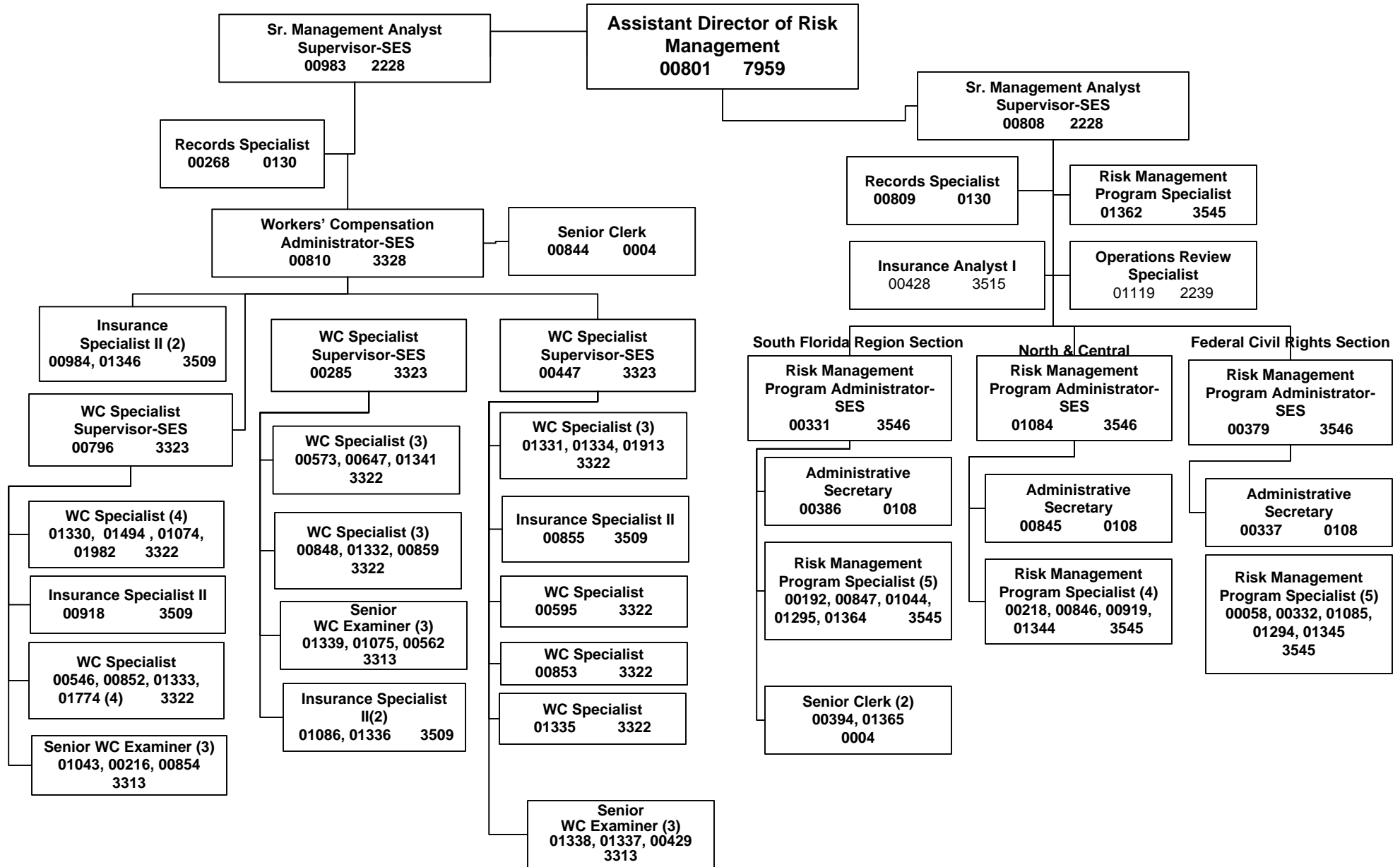
**Department of Financial Services
Office of the Chief of Staff
Division of Risk Management
Office of the Director**



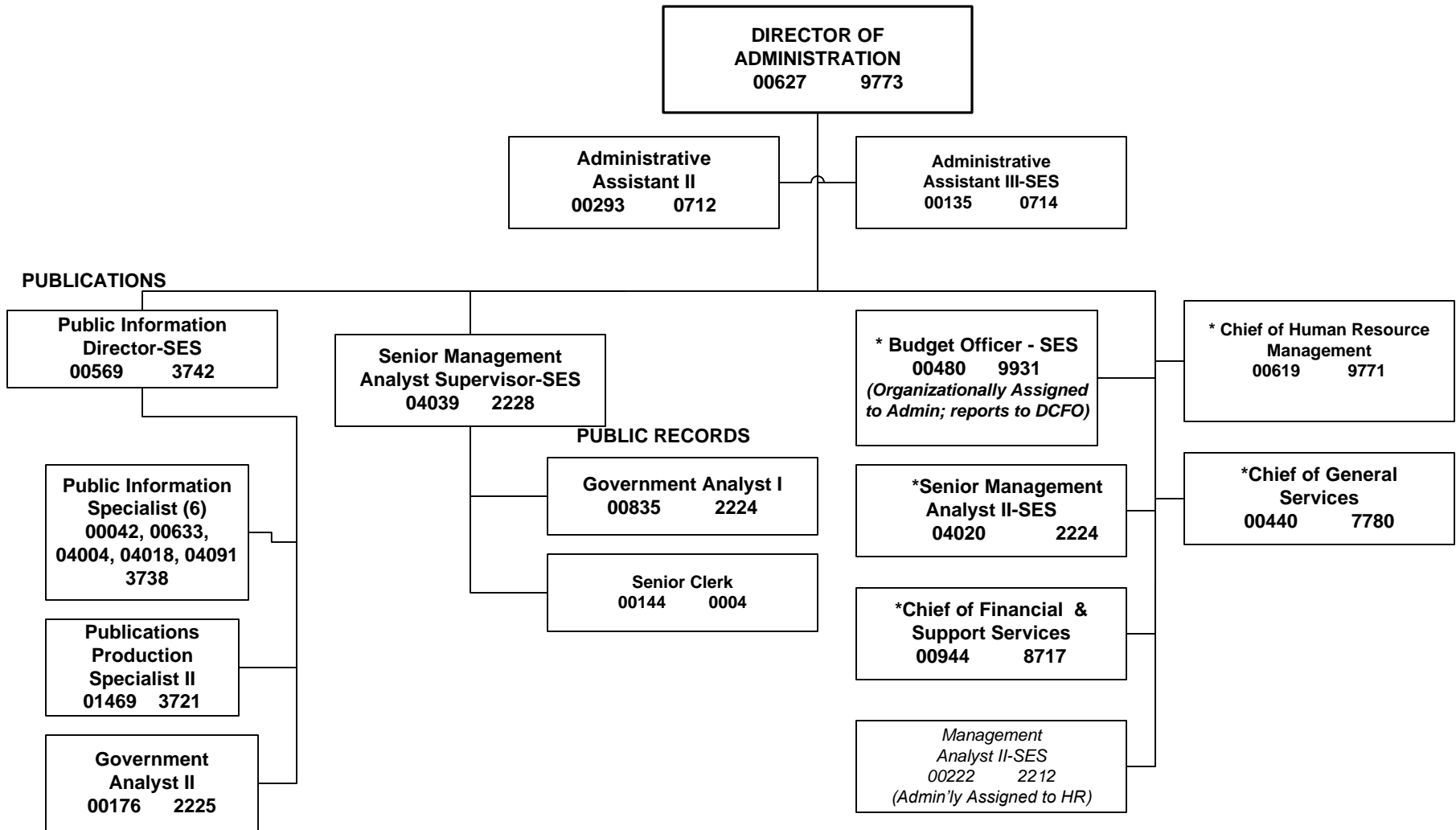
**Department of Financial Services
Division of Risk Management
Bureau of Loss Prevention**



**Department of Financial Services
Division of Risk Management
Bureau of Claims Administration**



**Department of Financial Services
Division of Administration
Office of the Director**

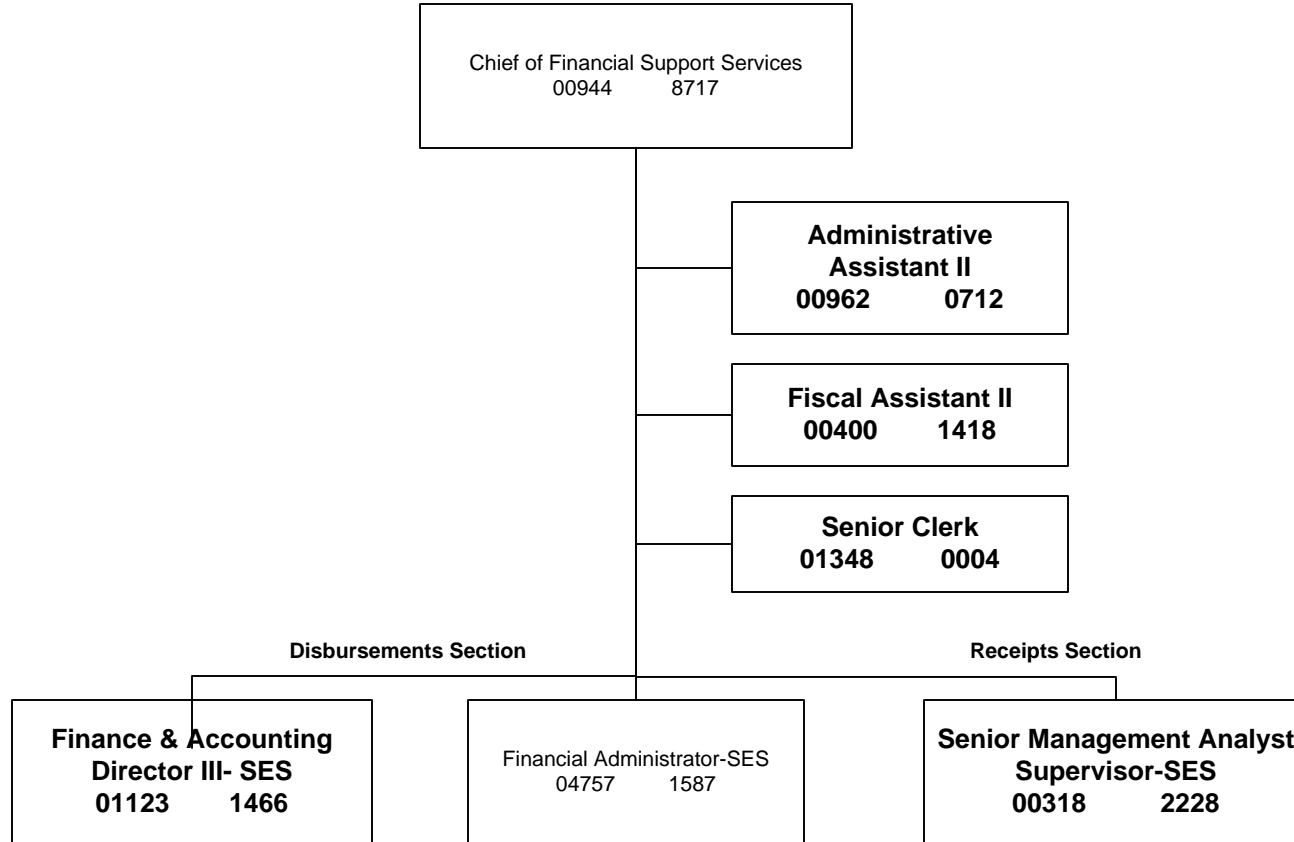


Div of Administration Total FTE: 111.5
Office of the Director FTE: 5
Publications: 8
Public Records: 2

❖ FTE not Included in this Section
Dotted Line = Administratively Assigned. FTE not counted.
Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff 09/30/2011
Rev 9-30-11

**Department of Financial Services
 Division of Administration
 Bureau of Financial & Support Services
 Office of the Chief**

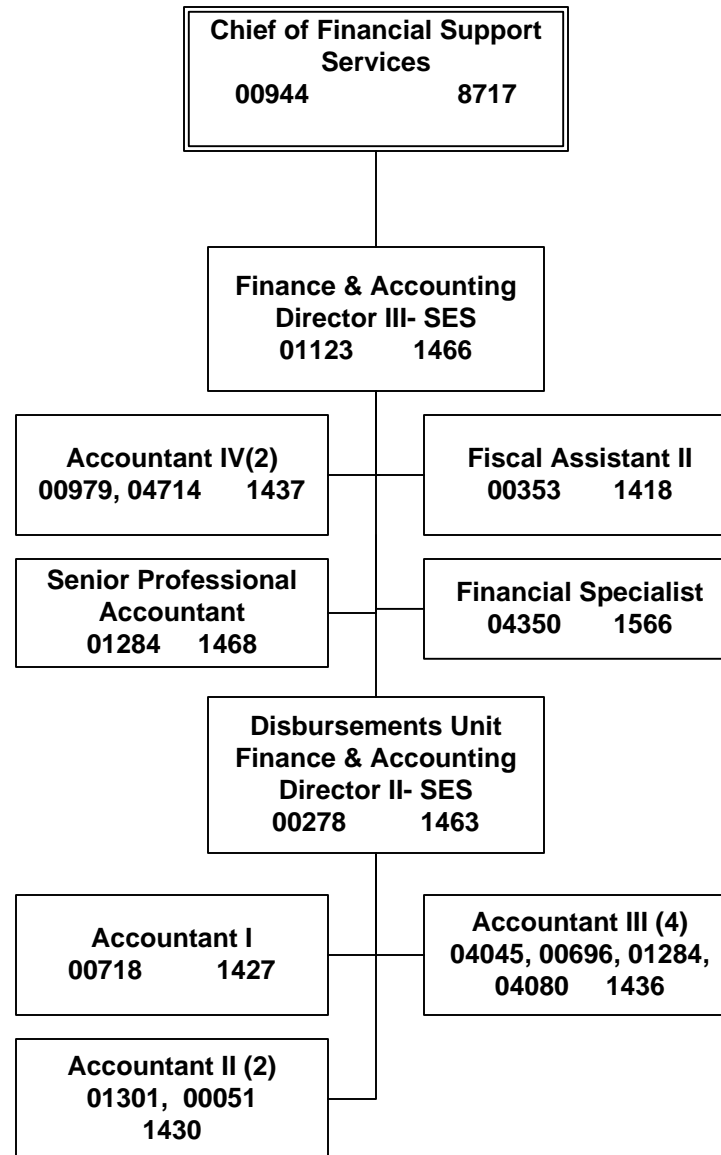


Bureau Total FTE: 43
 Chief FTE: 6

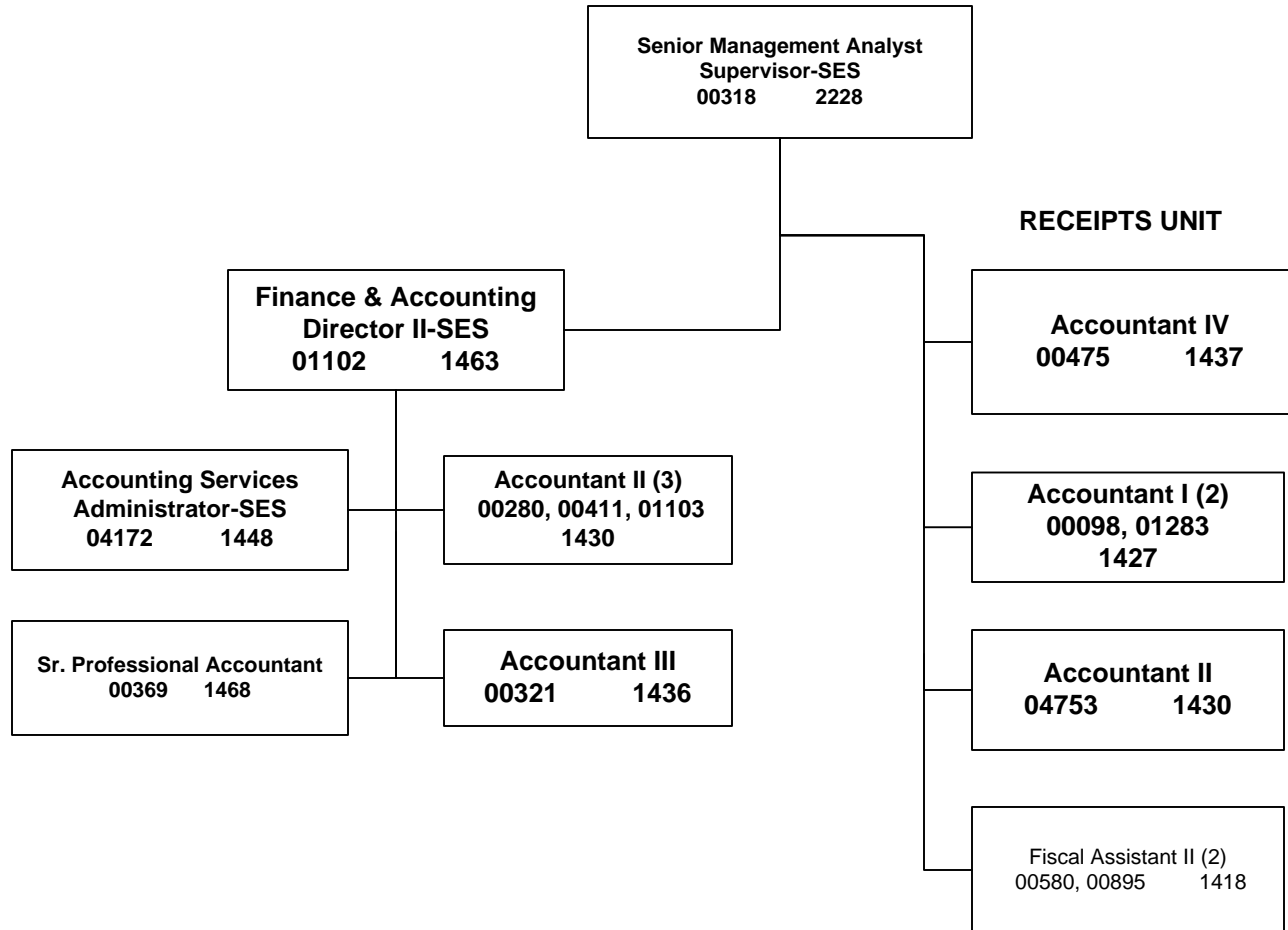
❖ FTE not Included in this Section
 Dotted Line = Administratively Assigned. FTE not counted.
 Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff
 Rev 03-07-2011 6-2-2

Department of Financial Services
Division of Administration
Bureau of Financial & Support Services
Disbursements Section

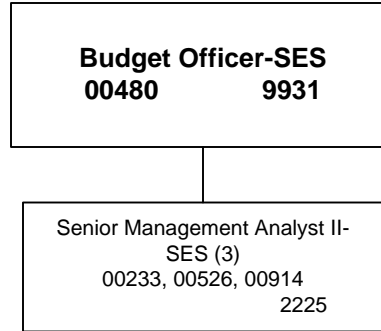


**Department of Financial Services
Division of Administration
Bureau of Financial & Support Services
Receipts Section**



**Department of Financial Services
Division of Administration
Office of Budgeting**

00480 was reclassified from Asst Dir to Budget Officer, eff 11/30/09. Further changes to Budget Office are pending.



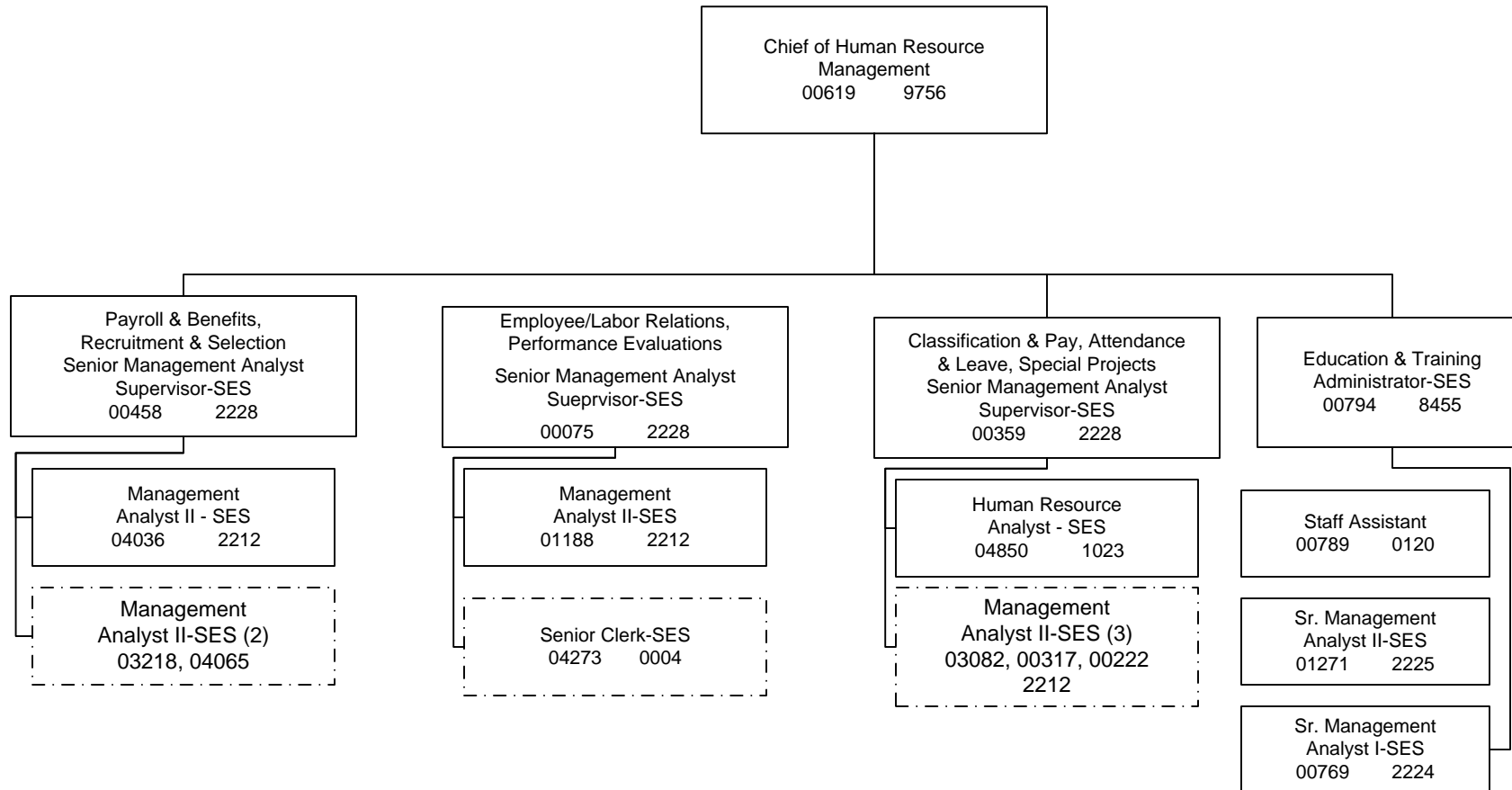
Total FTE: 4

❖ FTE not Included in this Section
Dotted Line = Administratively Assigned. FTE not counted.
Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff 04-14-08
Rev 04-15-08

6-2-5

**Department of Financial Services
Division of Administration
Bureau of Human Resource Management**

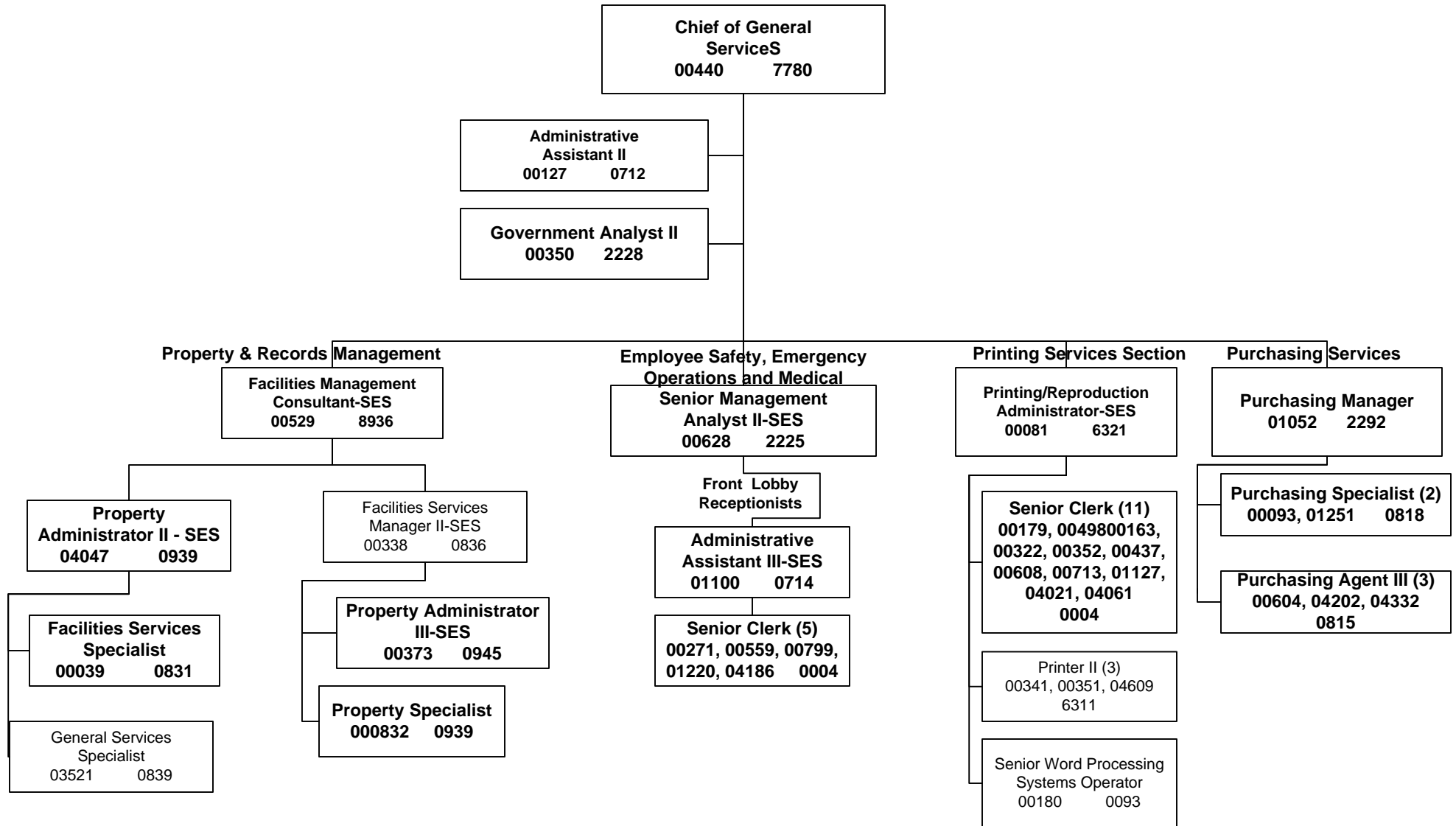


Bureau Total FTE: 11

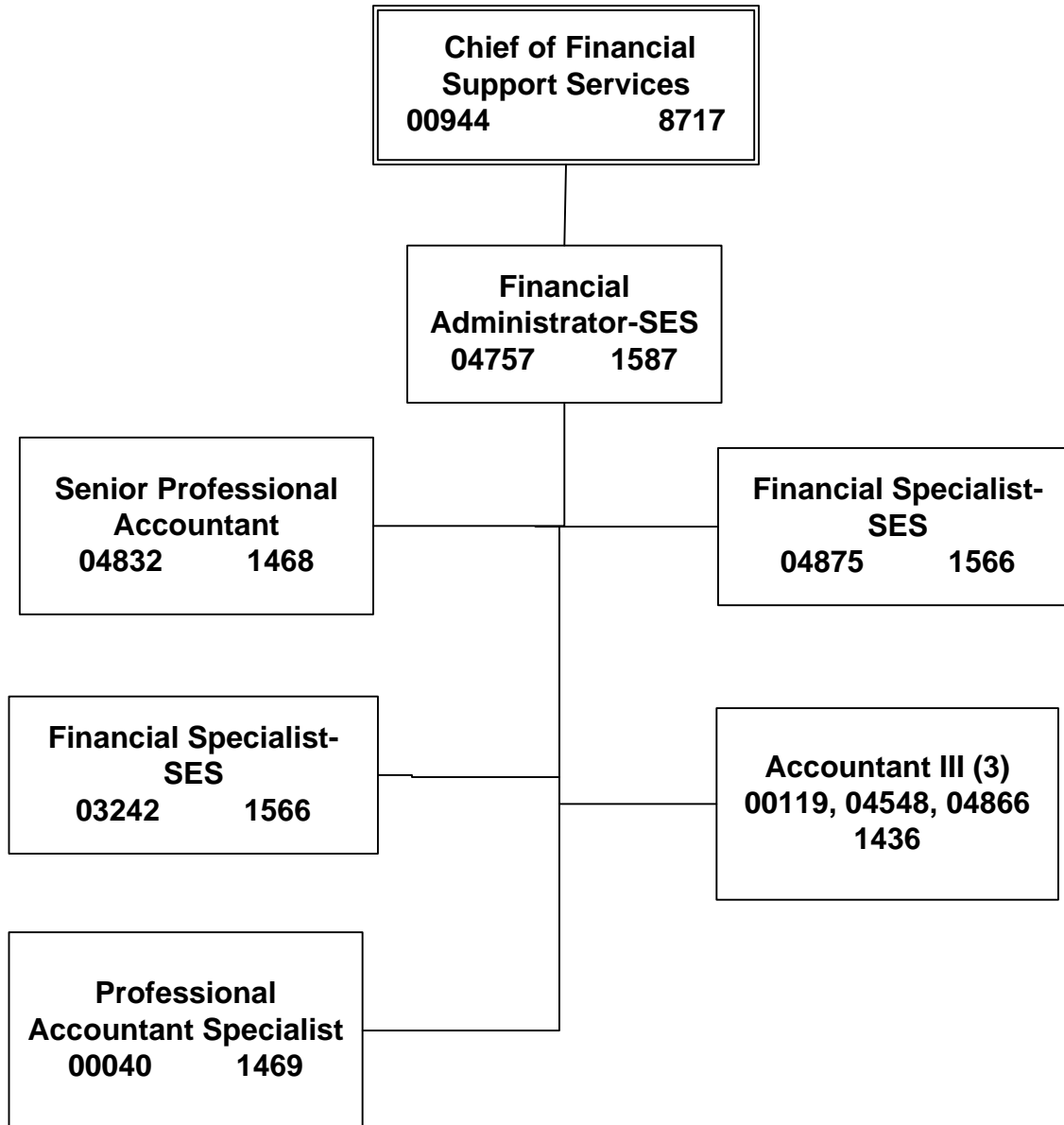
❖ FTE not Included in this Section
 Dotted Line = Administratively Assigned. FTE not counted.
 Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff 7-01-11
Rev 9-27-11

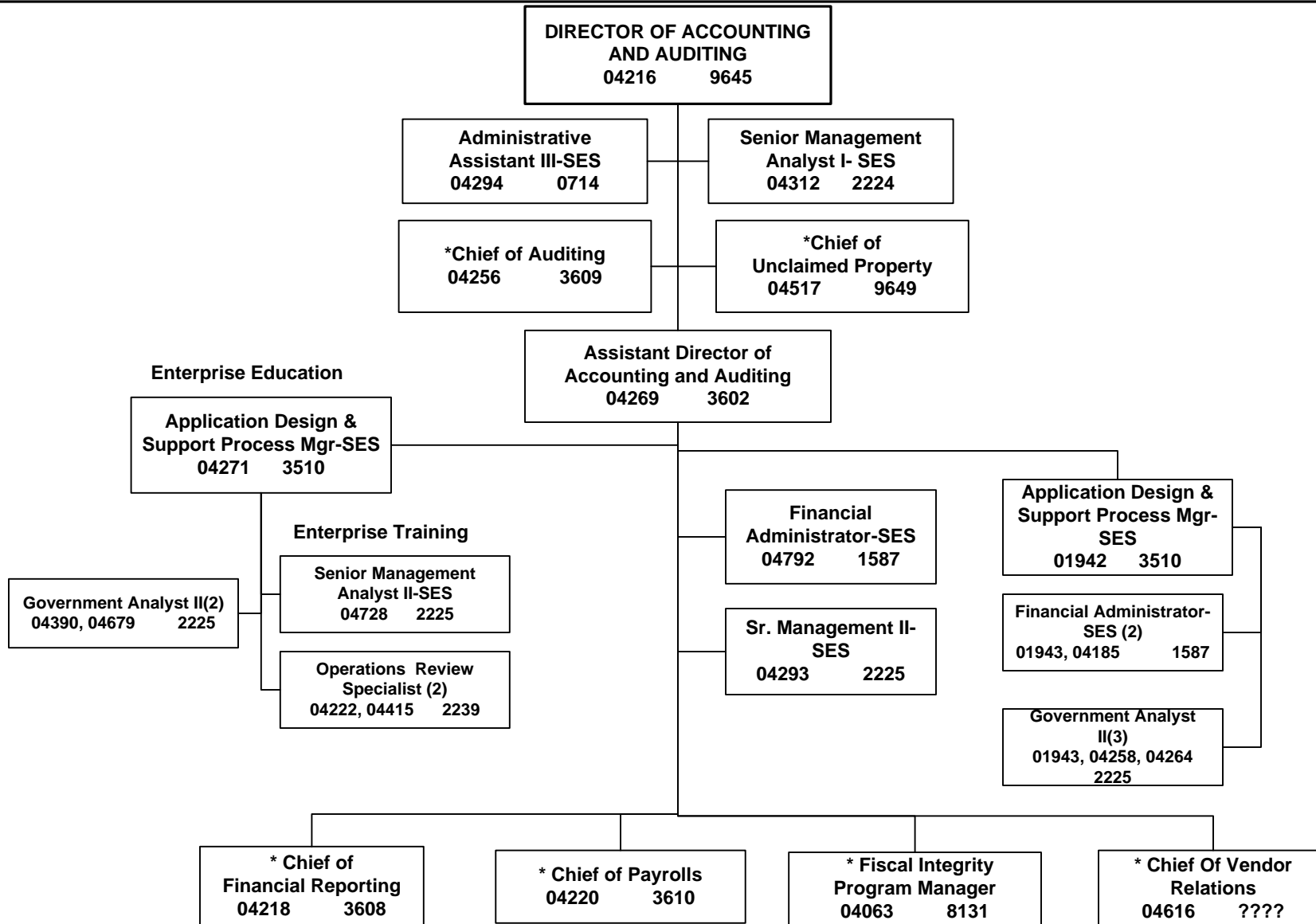
**Department of Financial Services
Division of Administration
Bureau of General Services**



**Department of Financial Services
 Division of Administration
 Bureau of Financial & Support Services
 Reconciliation and Reporting Section**



**Department of Financial Services
Office of the Deputy Chief Financial Officer
Division of Accounting and Auditing
Office of the Director**



Division Total FTE: 232
Total FTE: 19

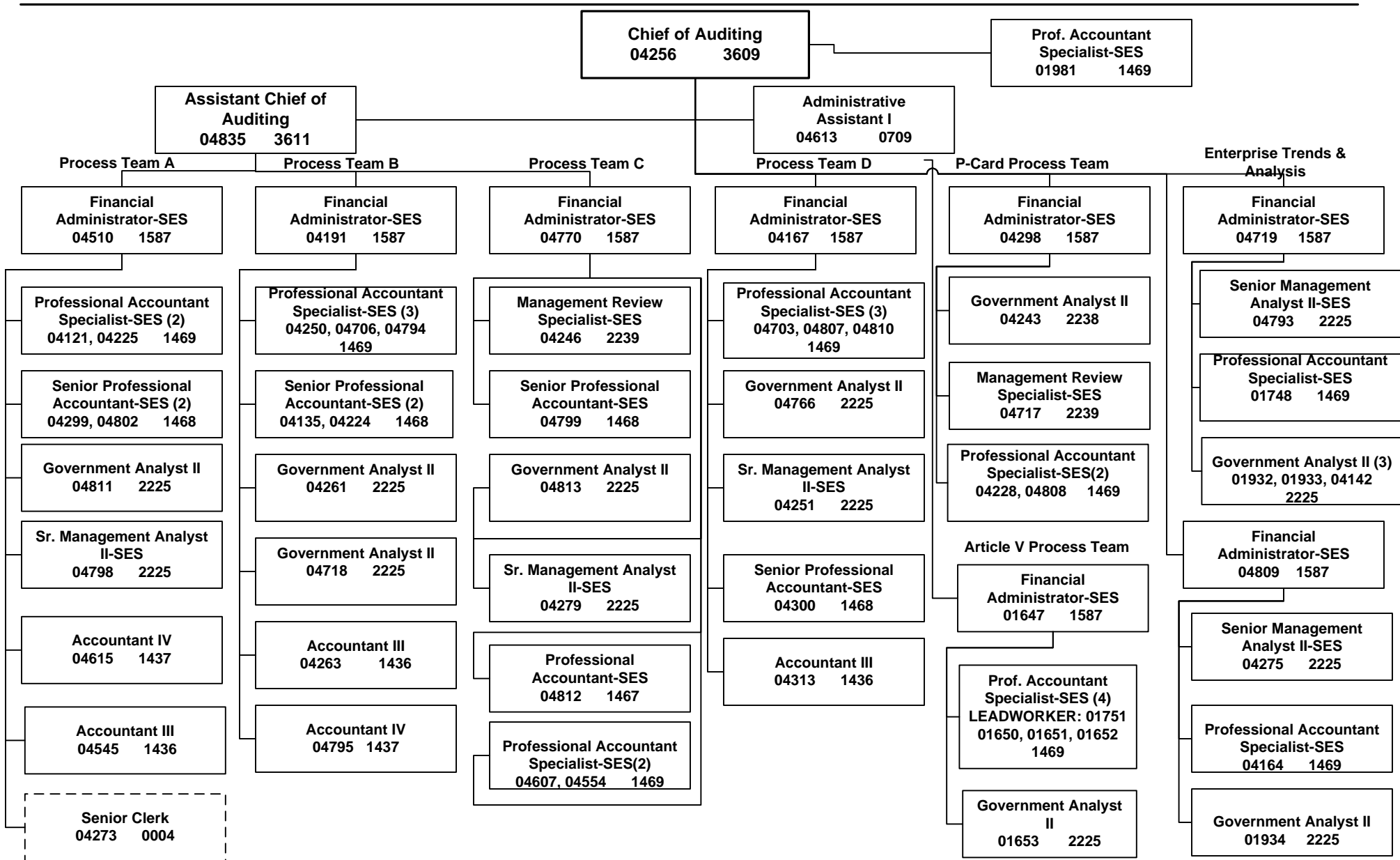
❖ FTE not Included in this Section
Dotted Line = Administratively Assigned. FTE not counted.
Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff: 8/6/2012
Rev: 06-21-12

Department of Financial Services

Division of Accounting and Auditing

Bureau of Auditing



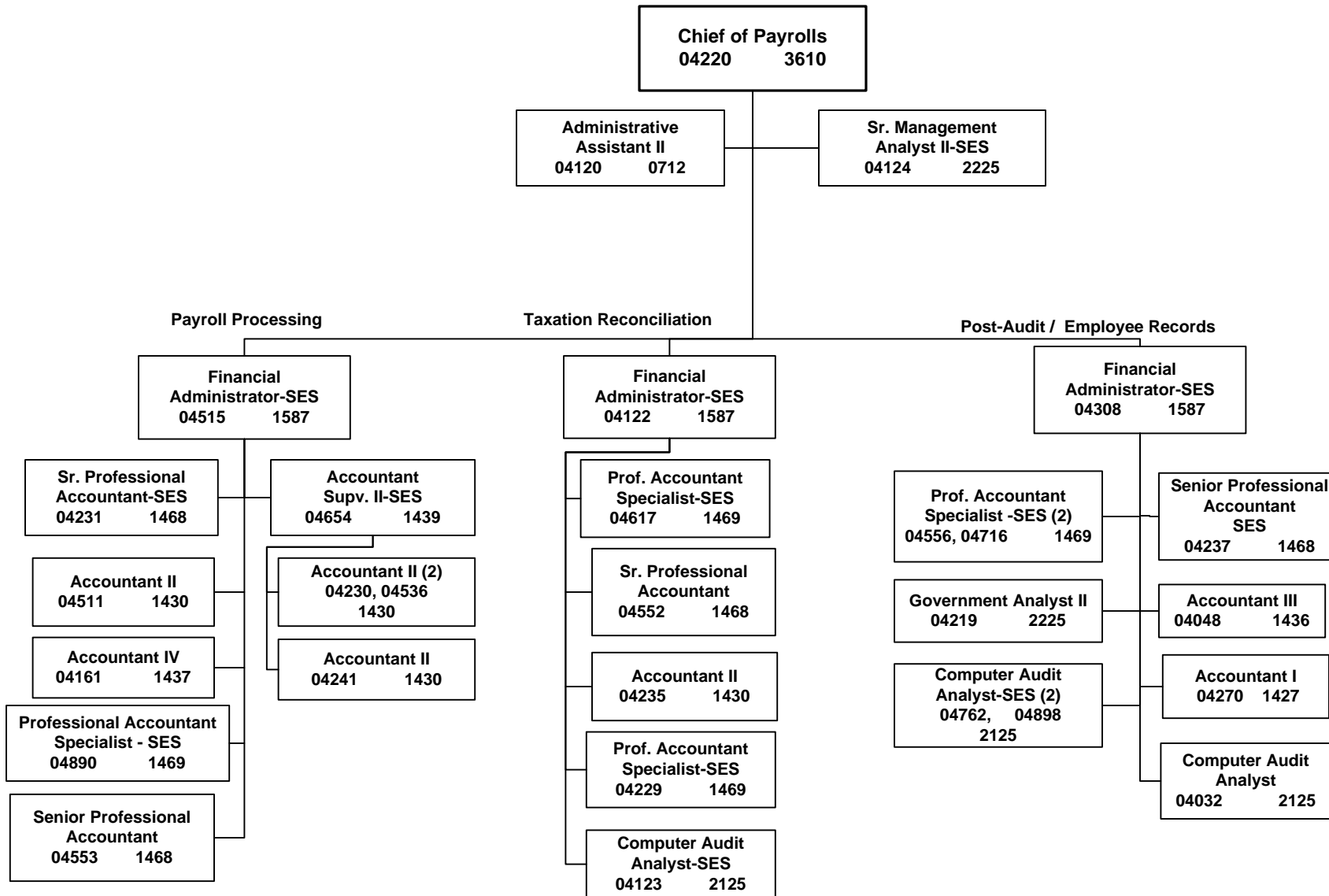
Total Bureau FTE: 55

❖ FTE not Included in this Section
 Dotted Line = Administratively Assigned. FTE not counted.
 Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff 08-06-12
 Rev 05-14-12

6-3-3

**Department of Financial Services
Division of Accounting and Auditing
Bureau of State Payrolls**



Total FTE: 29

❖ FTE not Included in this Section

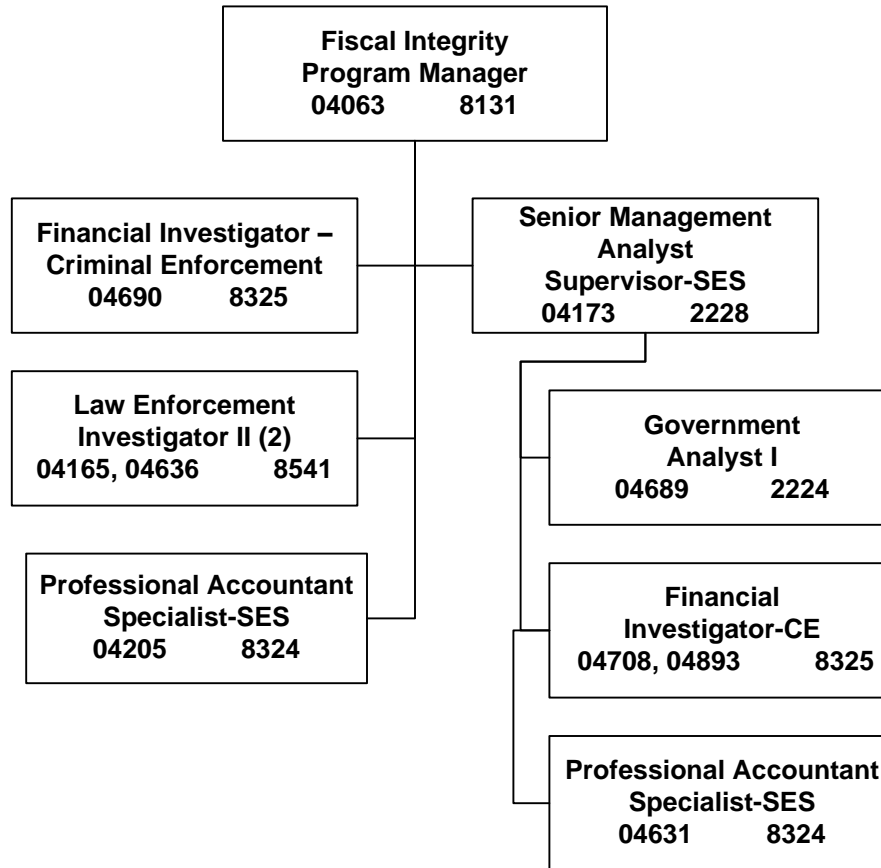
Dotted Line = Administratively Assigned. FTE not counted.

Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff 12-15-2011

Rev 12-15-2011

**Department of Financial Services
Division of Accounting & Auditing
Office of Fiscal Integrity**

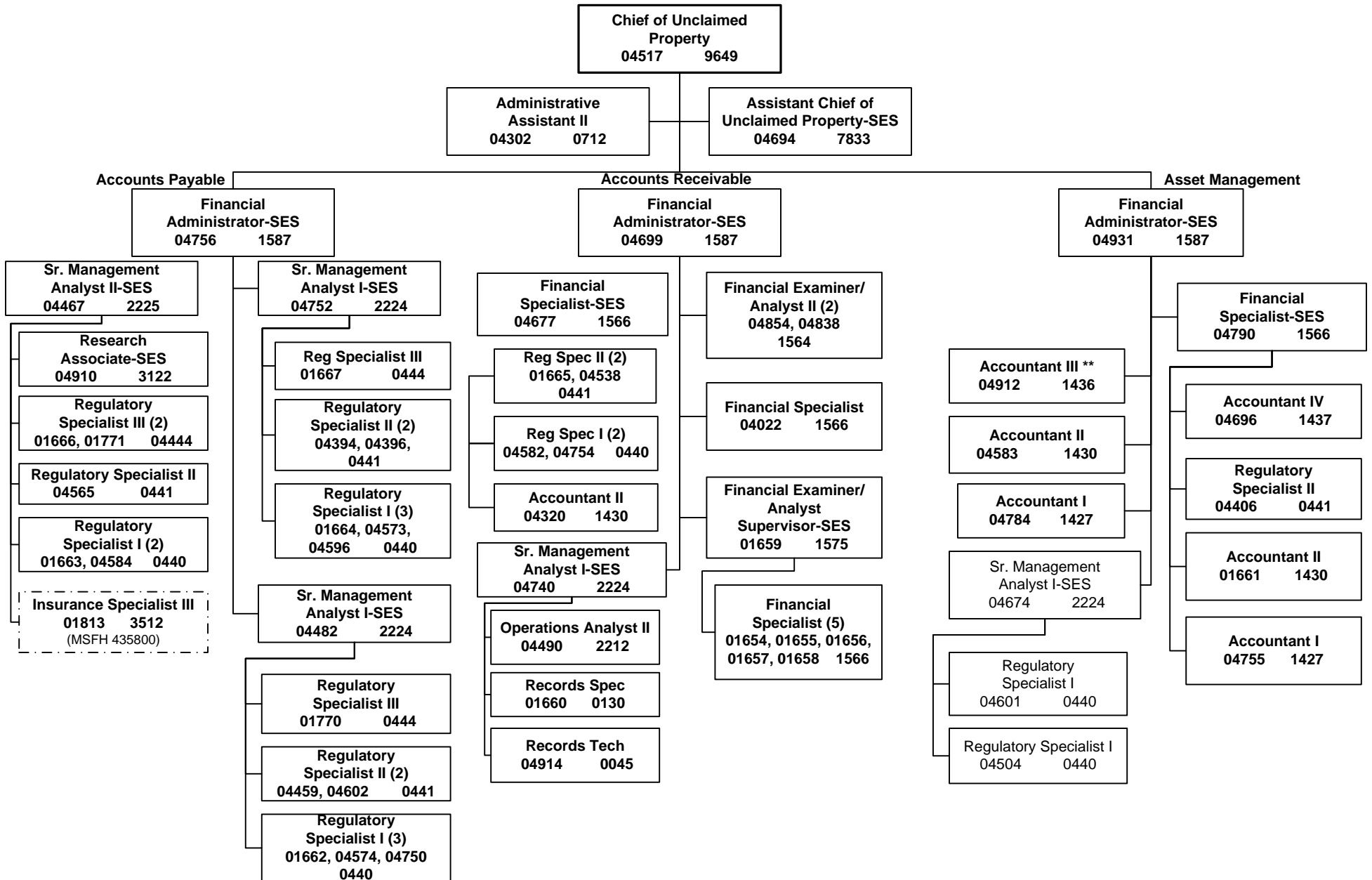


Total FTE: 10

❖ FTE not Included in this Section
 Dotted Line = Administratively Assigned. FTE not counted.
 Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff 08-06-12
 Rev 05-14-12

**Department of Financial Services
Division of Accounting and Auditing
Bureau of Unclaimed Property**

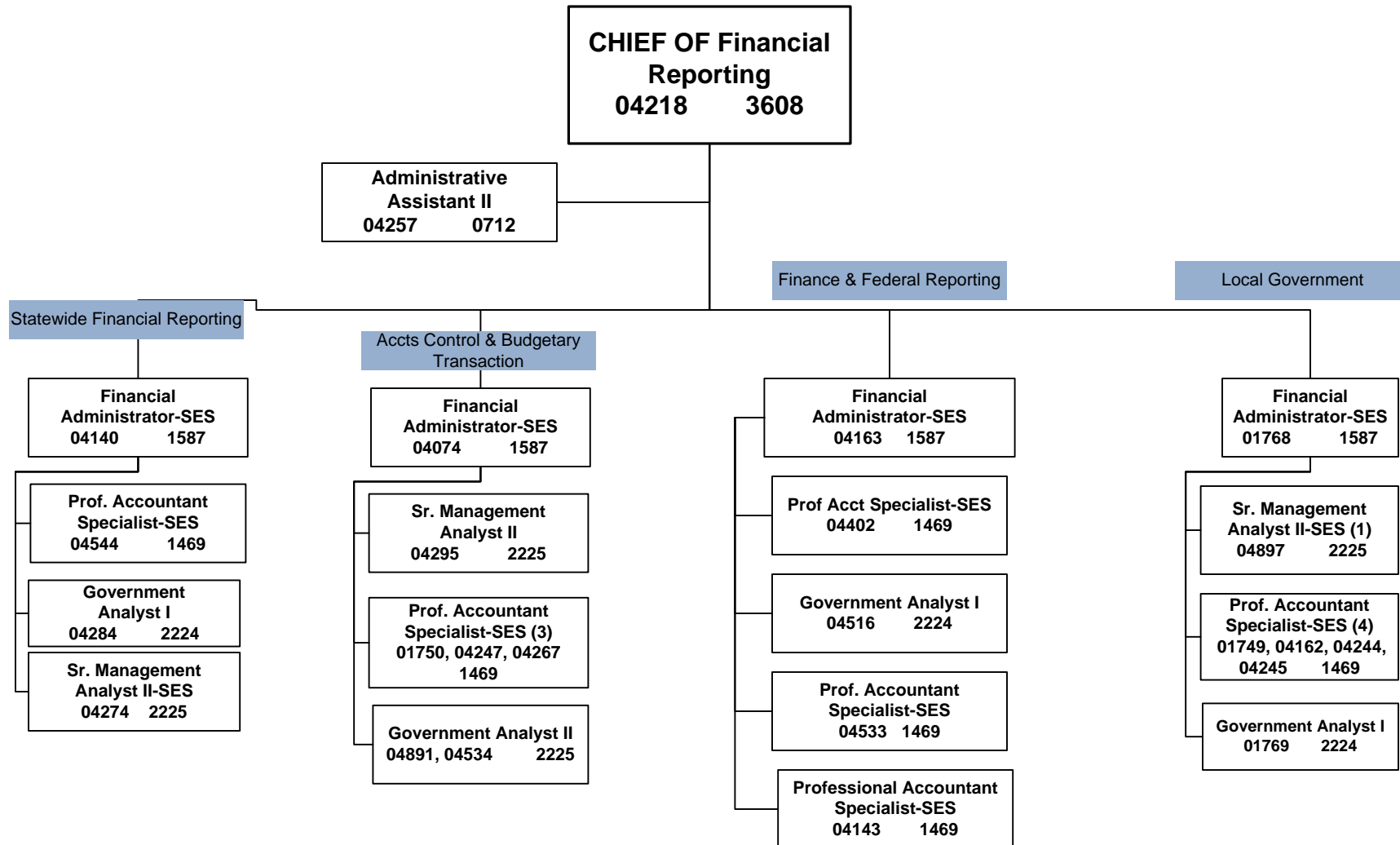


Total FTE: 57

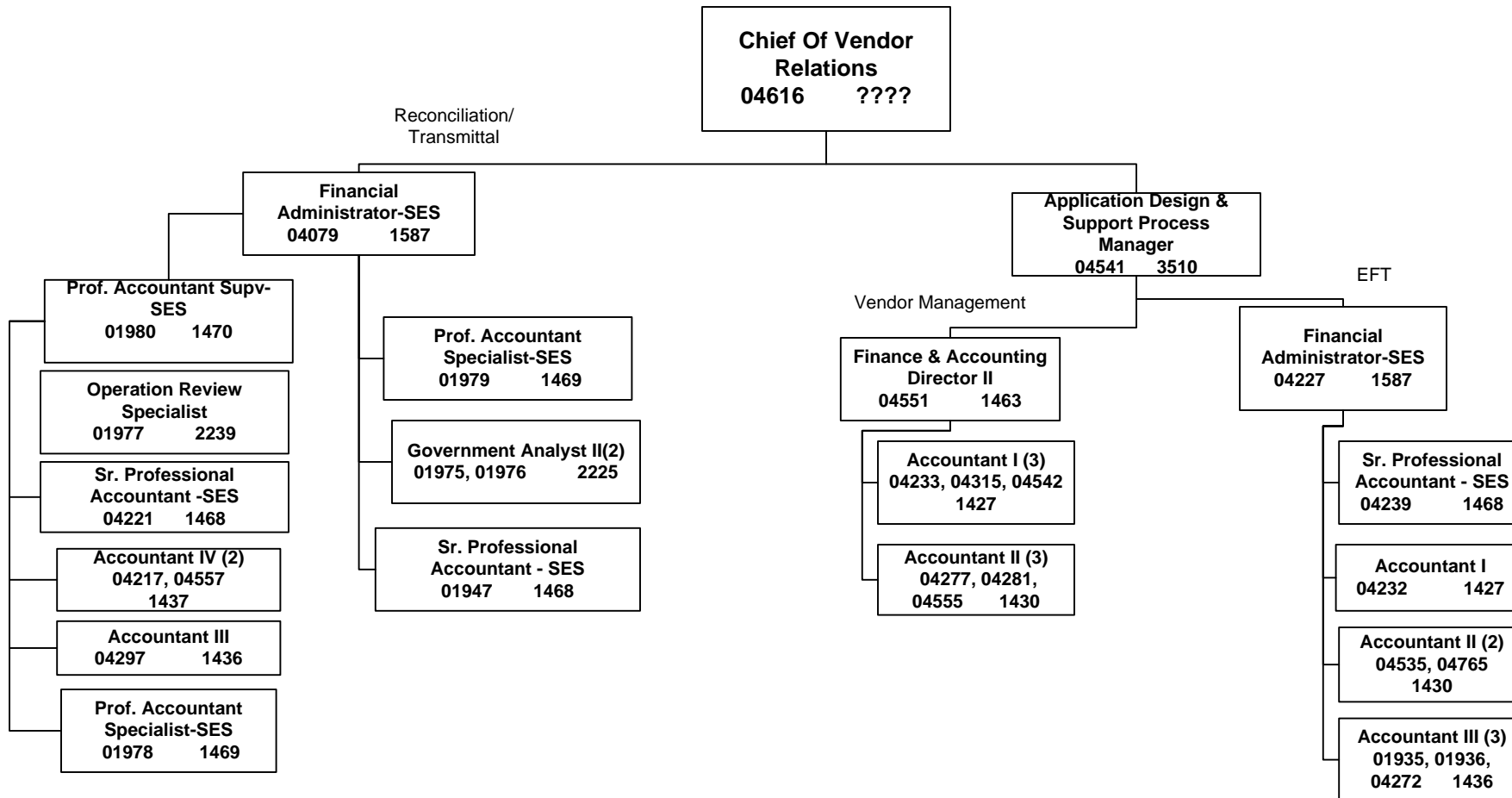
❖ FTE not Included in this Section
Dotted Line = Administratively Assigned. FTE not counted.
Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff 07/1/11
Rev 07-13-11

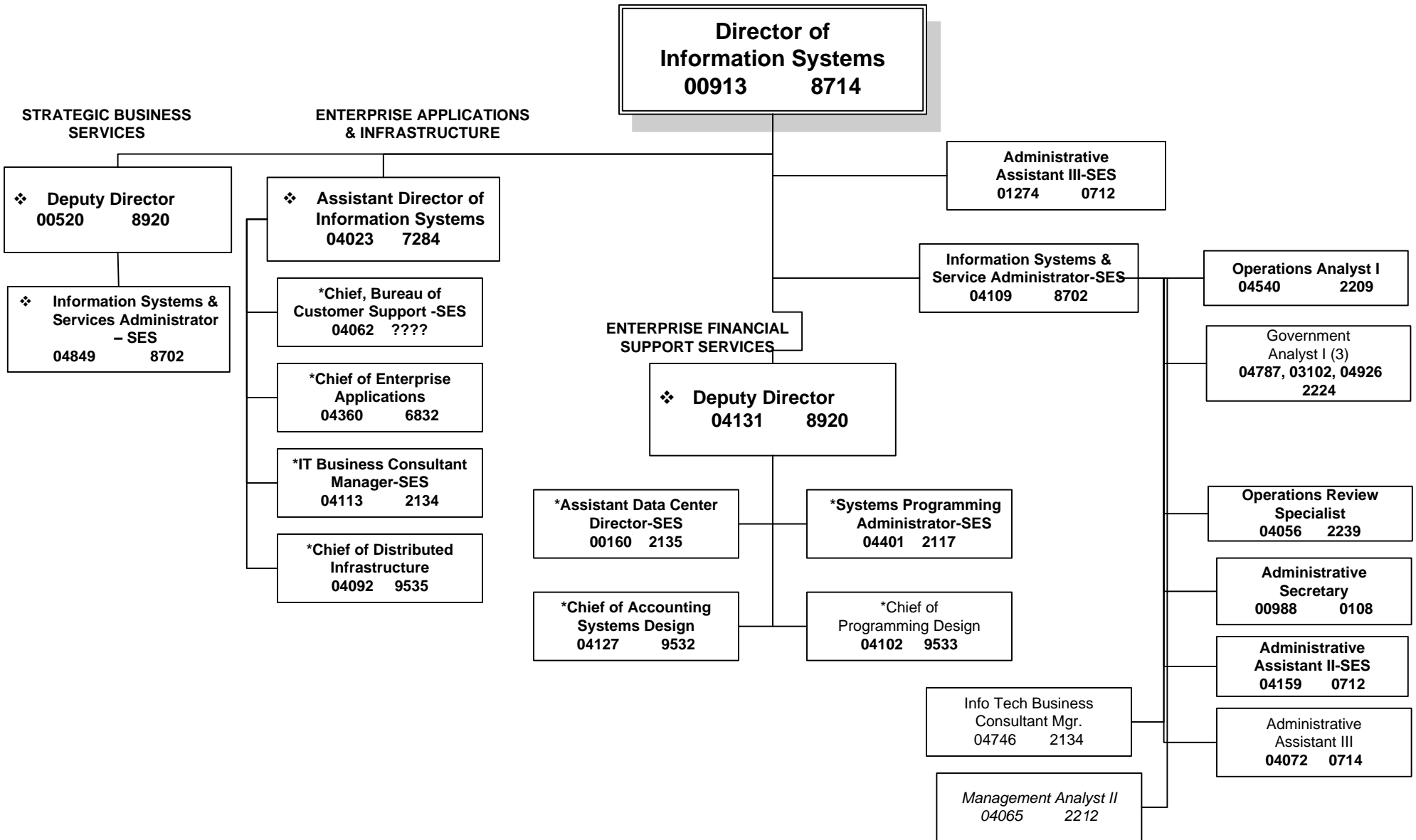
**Department of Financial Services
Division of Accounting and Auditing
Bureau of Financial Reporting**



**Department of Financial Services
Division of Accounting and Auditing
Bureau of Vendor Relations**



**Department of Financial Services
Office of the Deputy Chief Financial Officer
Division of Information Systems
Office of the Director**

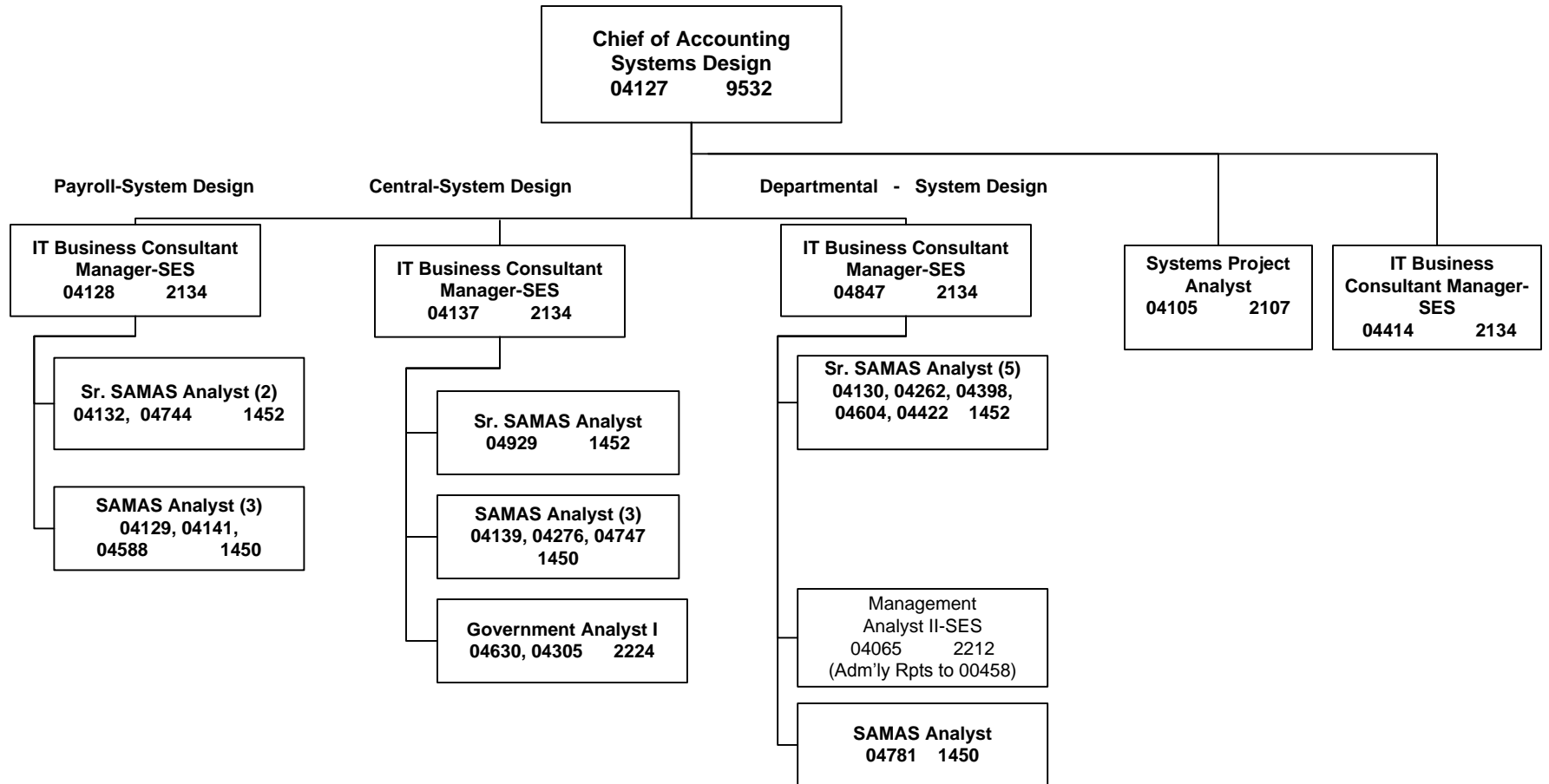


Division Total FTE: 237
Director Office Total FTE: 14

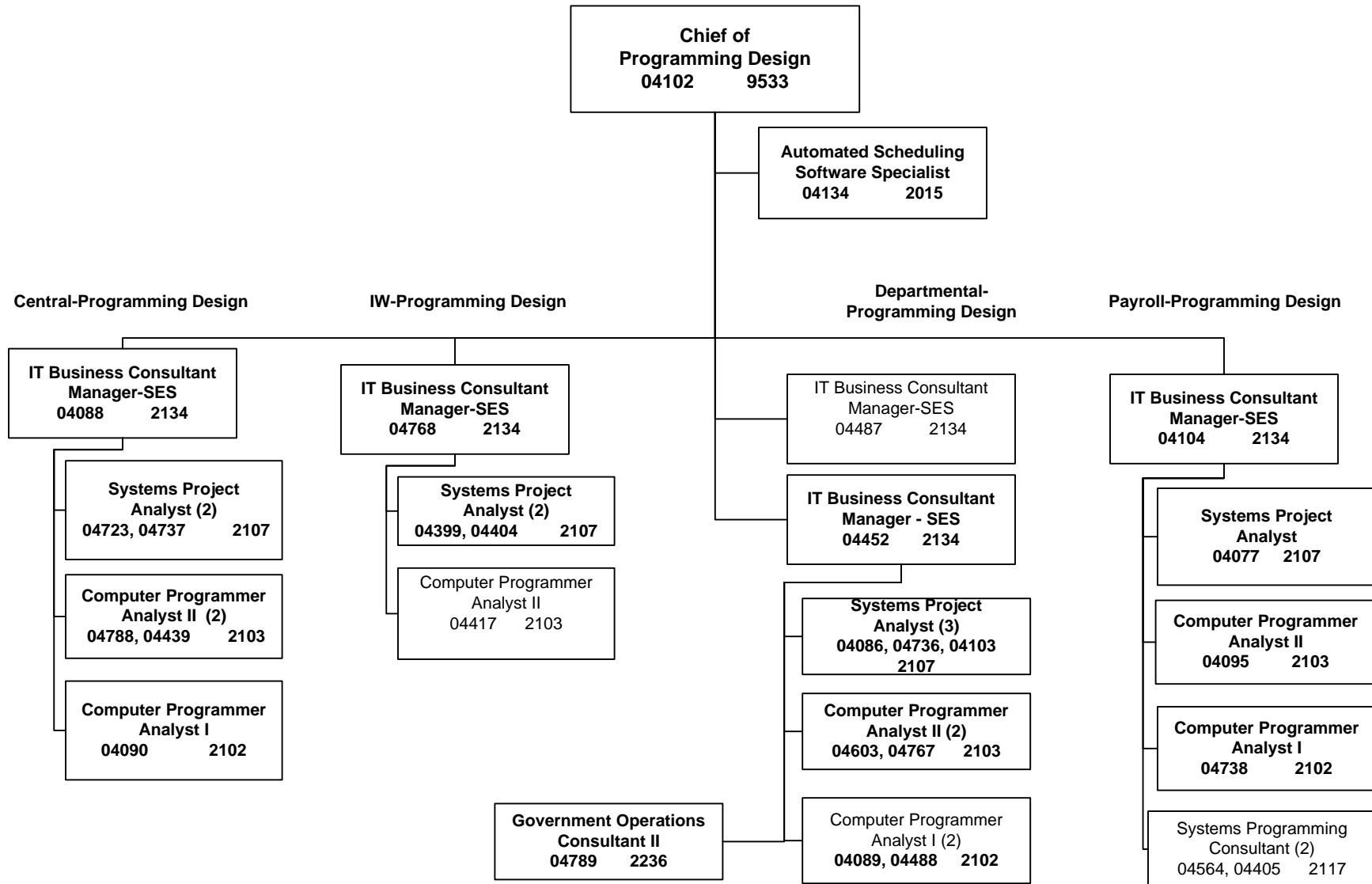
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Dotted Line = Administratively Assigned. FTE not counted.
Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff 7/1/2012
Rev 02-13-12

**Department of Financial Services
Division of Information Systems
Bureau of Accounting Systems Design**



**Department of Financial Services
Division of Information Systems
Bureau of Programming Design**



Bureau Total FTE = 32

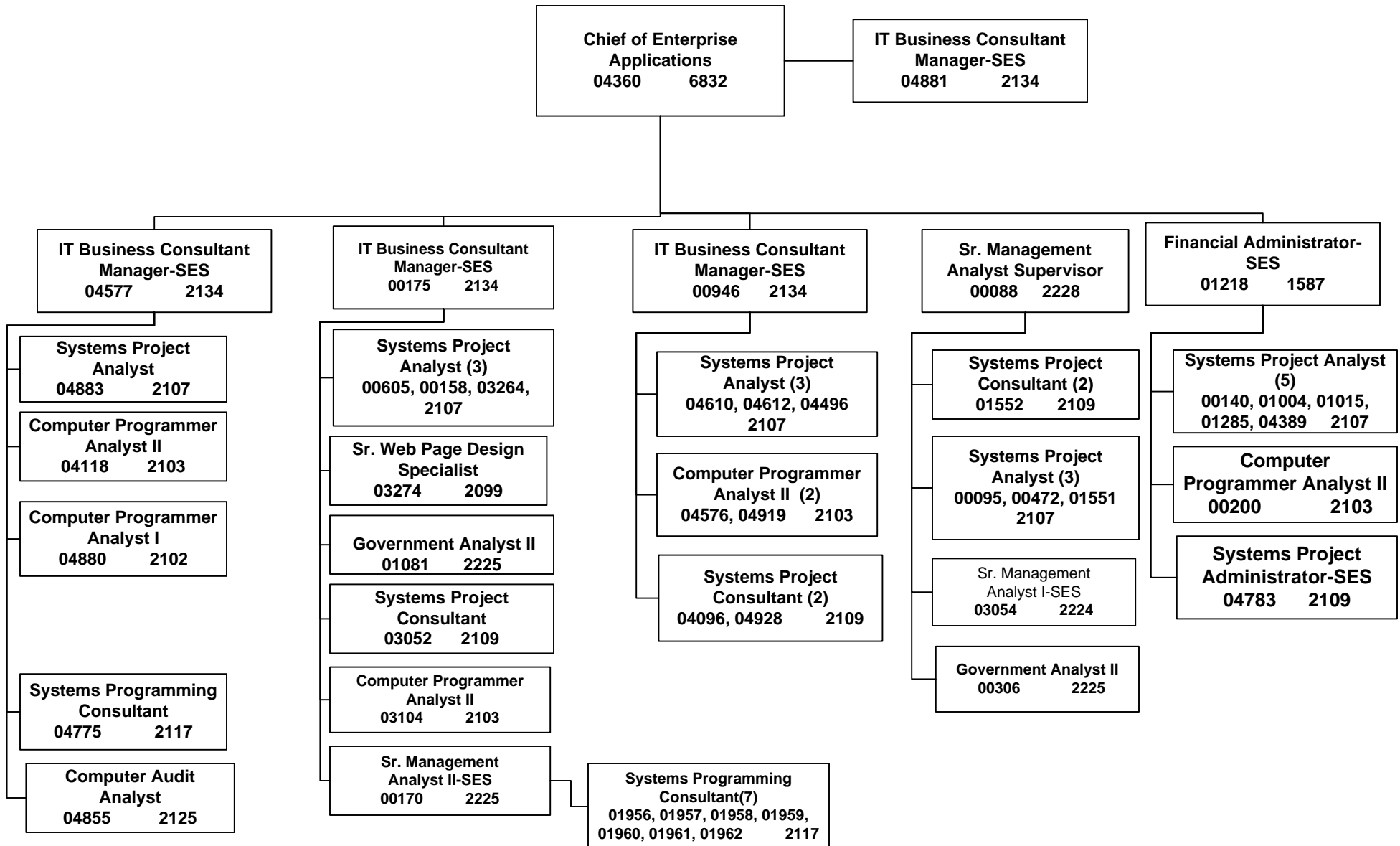
❖ FTE not Included in this Section

Dotted Line = Administratively Assigned. FTE not counted.

Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff 07-01-2012
Rev 07-05-2012

**Department of Financial Services
Division of Information Systems
Office of Enterprise Application & Infrastructure
Bureau of Enterprise Applications**

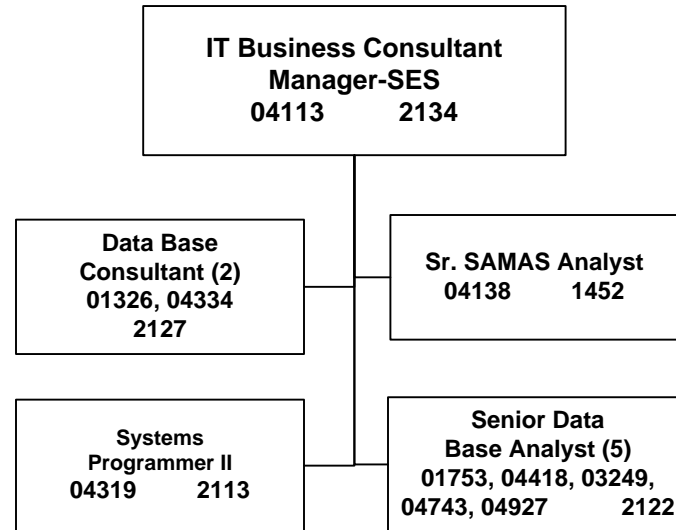


Bureau Total FTE: 40

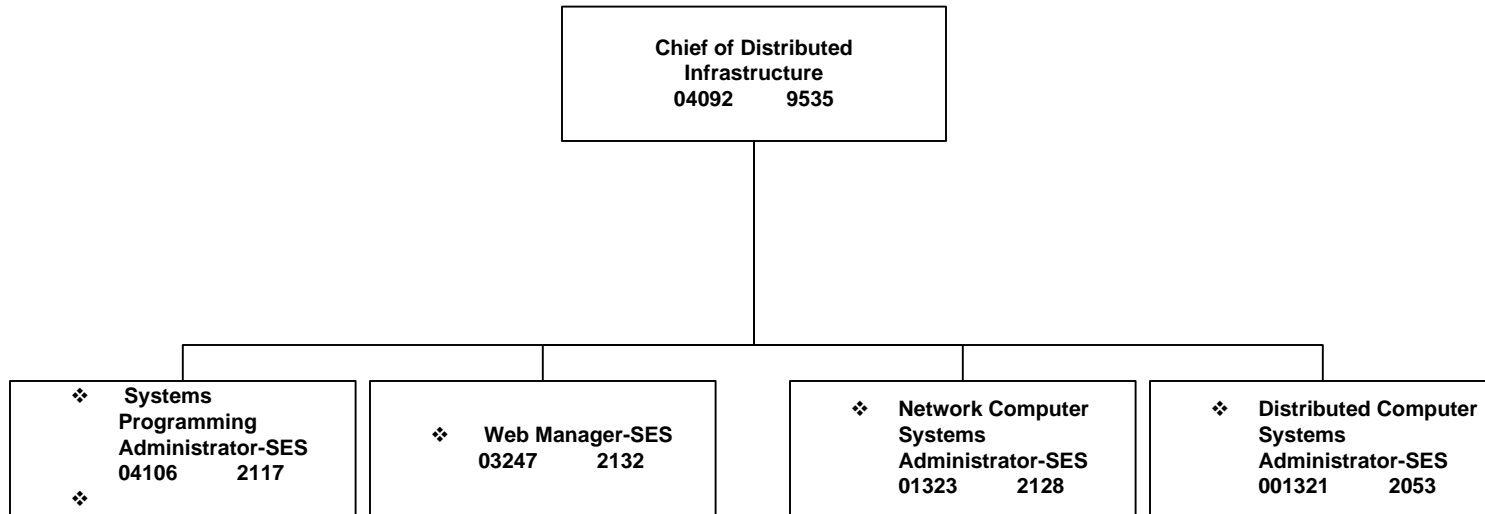
❖ FTE not Included in this Section
 Dotted Line = Administratively Assigned. FTE not counted.
 Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff 7/1/2012
 Rev 07/05/2012 6-4-5

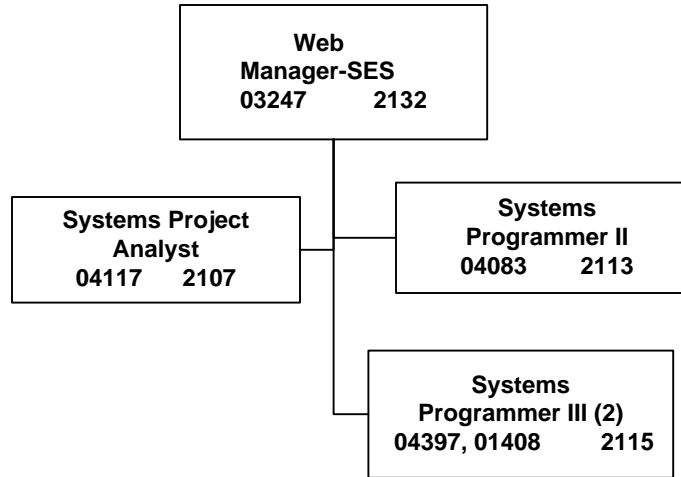
**Department of Financial Services
Division of Information Systems
Office of Enterprise Application & Infrastructure
Database Administration**



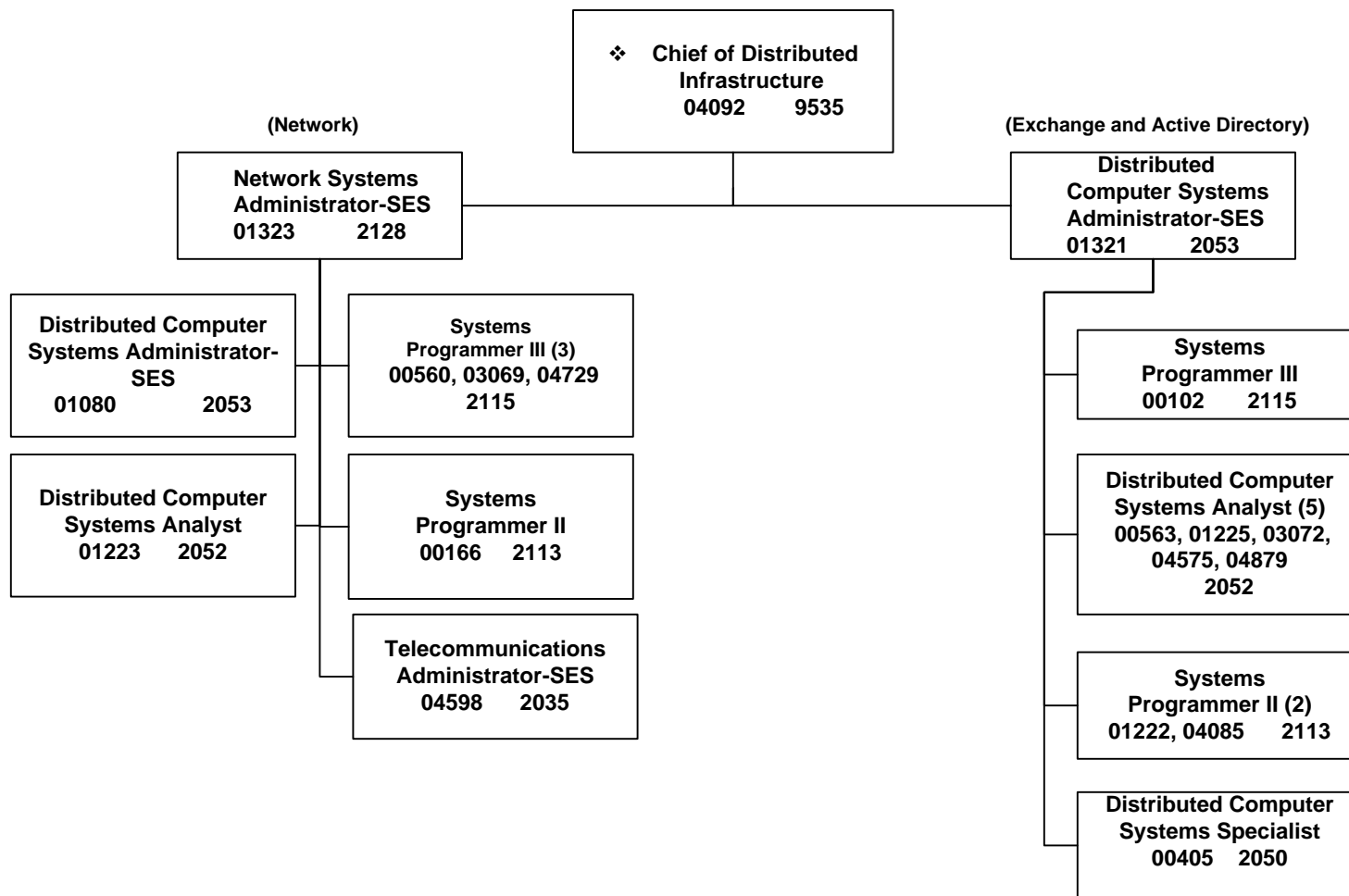
**Department of Financial Services
Division of Information Systems
Office of Enterprise Applications & Infrastructure, Bureau of Distributed Infrastructure**



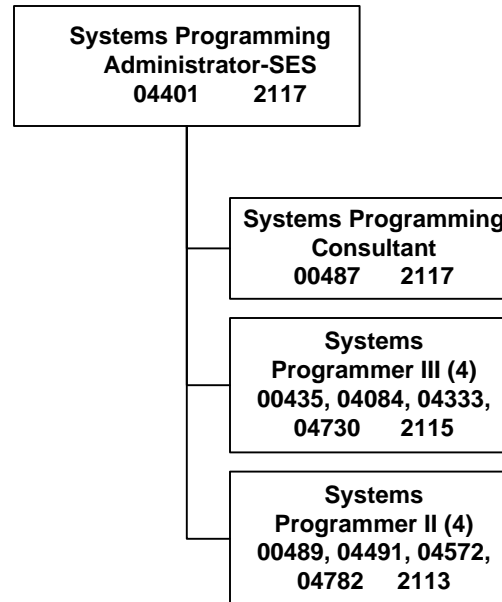
**Department of Financial Services
Division of Information Systems
Office of Enterprise Applications & Infrastructure
Bureau of Distributed Infrastructure – Web Development & Support Services**



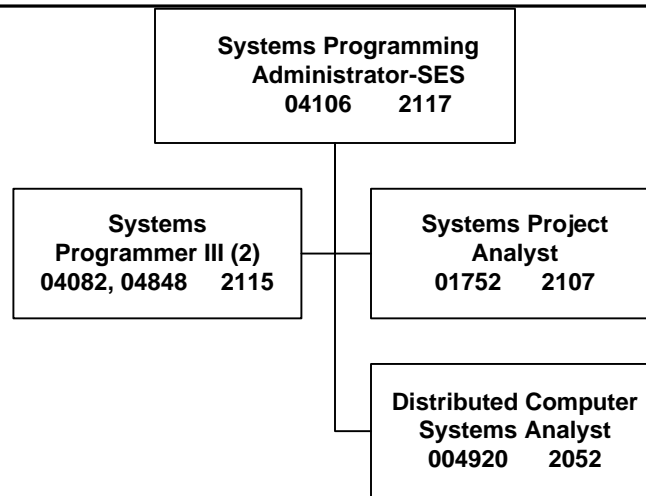
Department of Financial Services
Division of Information Systems
Bureau of Distributed Infrastructure - Network / Exchange and Active Directory



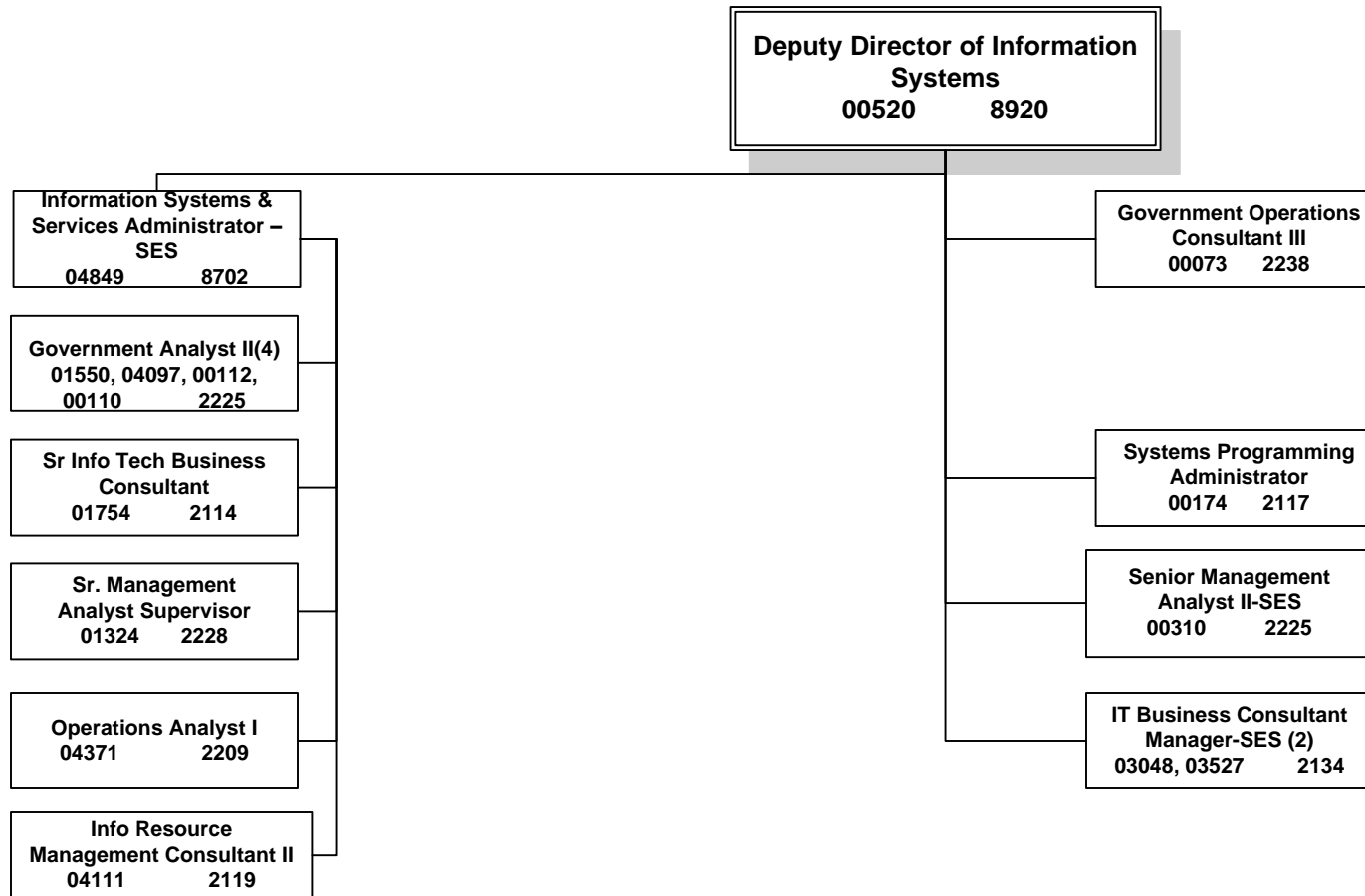
**Department of Financial Services
Division of Information Systems
Office of Enterprise Financial Support Services, Mainframe Systems**



**Department of Financial Services
Division of Information Systems
Office of Enterprise Applications & Infrastructure
Bureau of Distributed Infrastructure, Unix Systems**



**Department of Financial Services
Office of the Deputy Chief Financial Officer
Division of Information Systems
Office of Strategic Business Services**

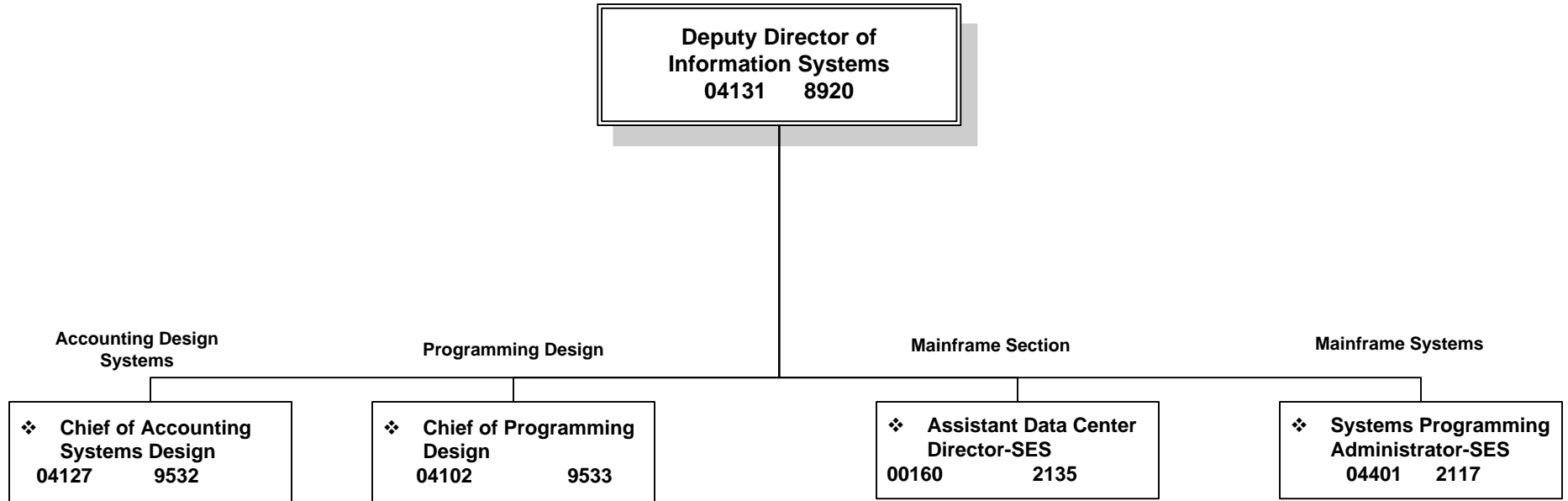


Division Total FTE: 237
Director Office Total FTE: ##

❖ FTE not Included in this Section
Dotted Line = Administratively Assigned. FTE not counted.
Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff: 7/1/2012
Created: 07-05-12 6-4-16

**Department of Financial Services
Office of the Deputy Chief Financial Officer
Division of Information Systems
Office of Enterprise Financial Support Services (FLAIR)**

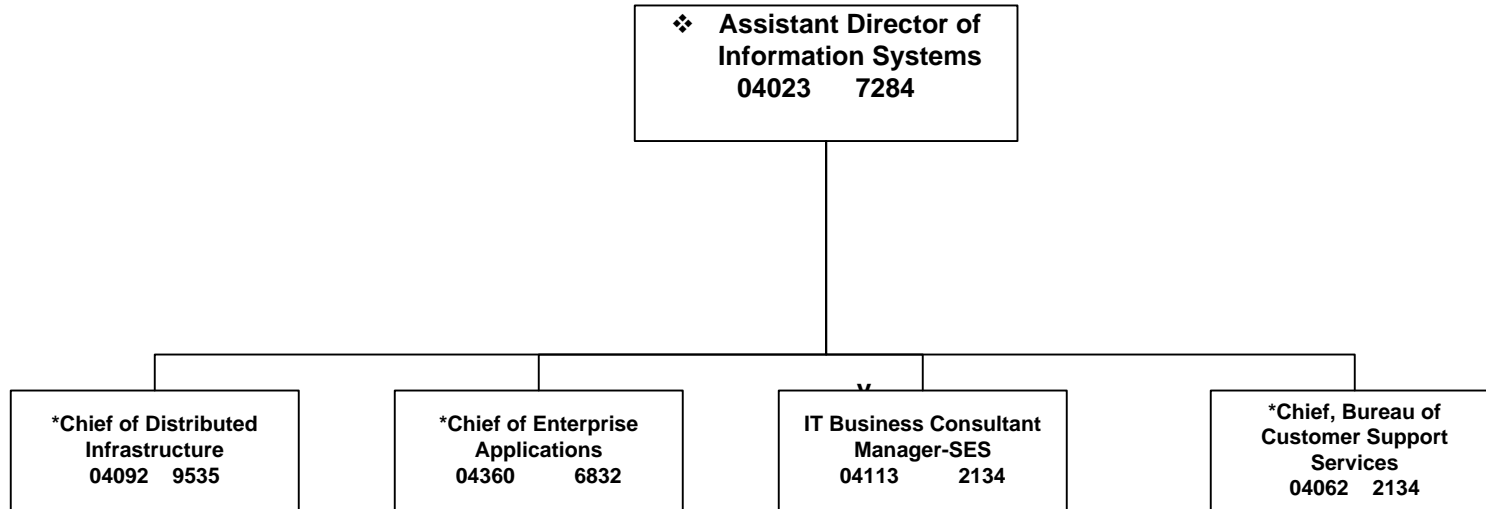


Division Total FTE:
Director Office Total FTE:

❖ FTE not Included in this Section
Dotted Line = Administratively Assigned. FTE not counted.
Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff: 7/1/2012
Created: 02-13-12 6-4-15

**Department of Financial Services
Division of Information Systems
Enterprise Application & Infrastructure**

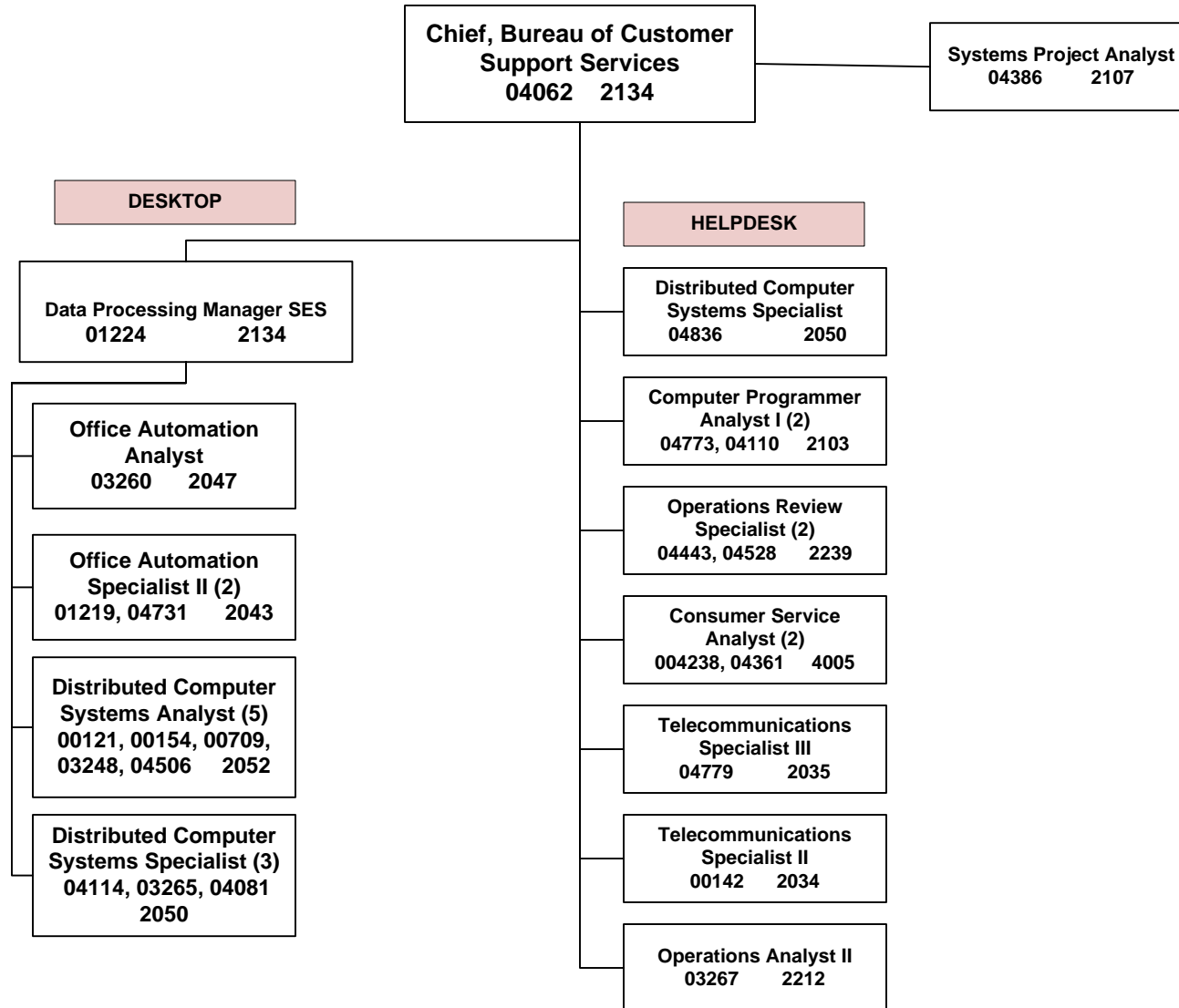


Total FTE: 10

❖ FTE not Included in this Section
 Dotted Line = Administratively Assigned. FTE not counted.
 Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff 7/1/2012
 Rev 02-21-2012

**Department of Financial Services
Division of Information Systems
Enterprise Application & Infrastructure
Bureau of Customer Support Services, Help Desk & Desktop Services**

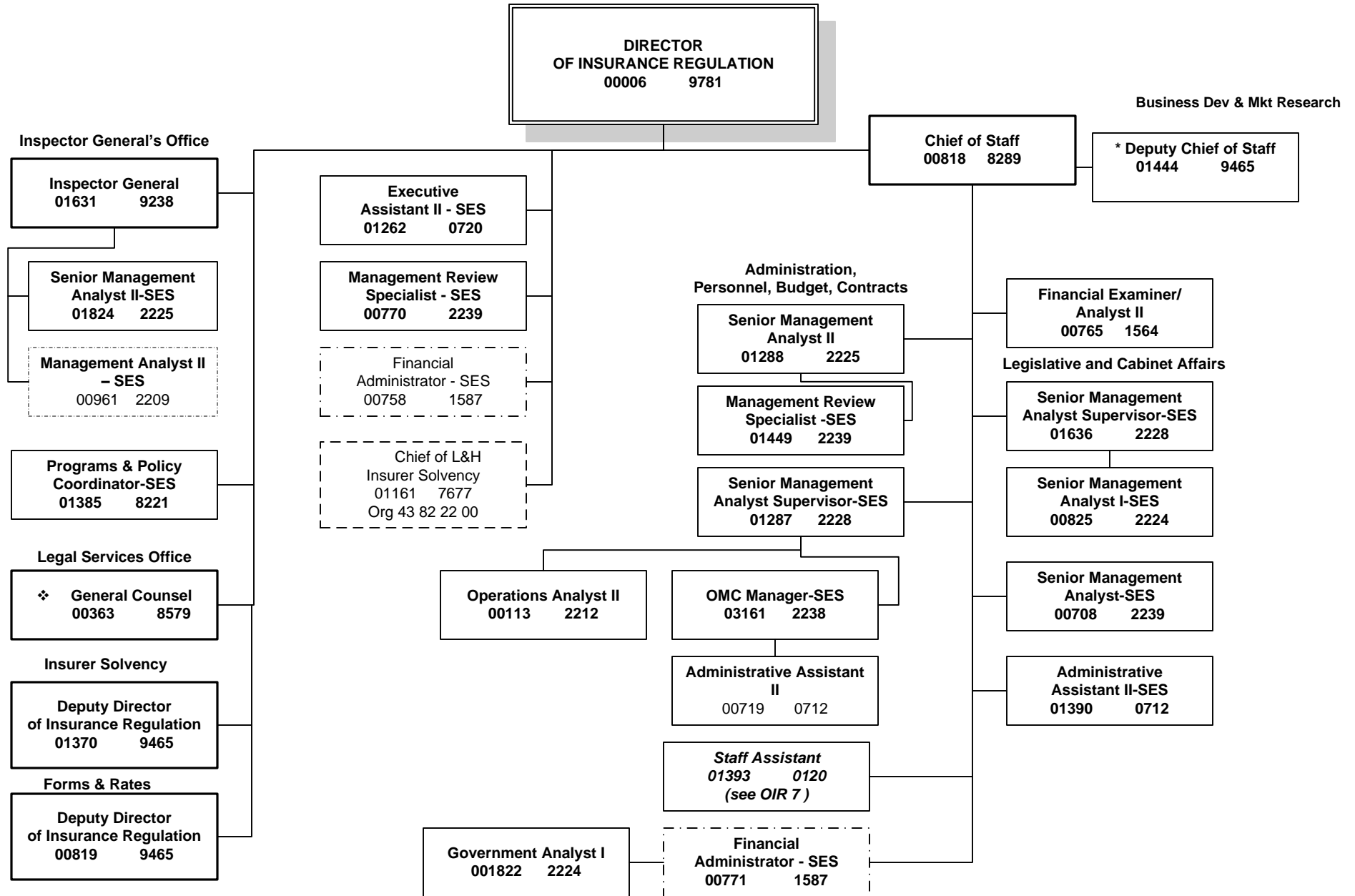


Total FTE:

❖ FTE not Included in this Section
 Dotted Line = Administratively Assigned. FTE not counted.
 Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff 7/1/2012
 Rev 07-05-2012

**Financial Services Commission
Office of Insurance Regulation
Director of Insurance Regulation**

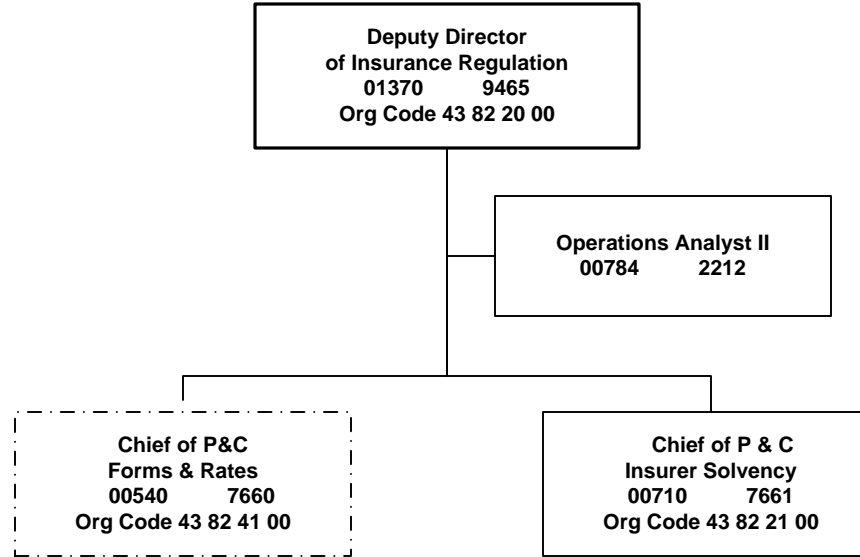


Division Total FTE: 294
Office of the Director FTE: 24

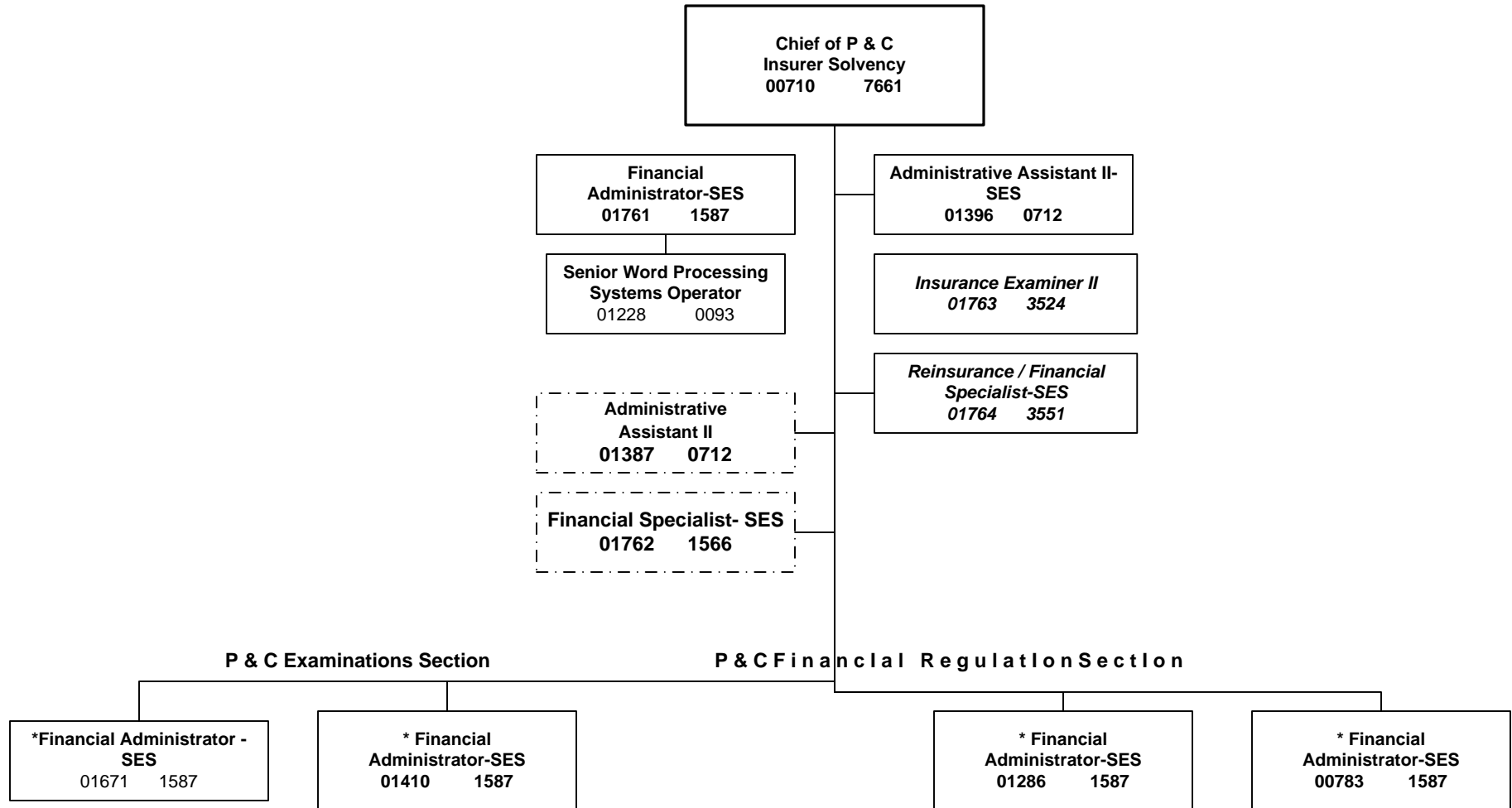
❖ FTE not Included in this Section
Dotted Line = Administratively Assigned. FTE not counted.
Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff: 07-12-12
Rev: 05-23-12

**Financial Services Commission
Office of Insurance Regulation
Deputy Director of Insurance Regulation (Insurer Solvency)**



**Financial Services Commission
Office of Insurance Regulation
Bureau of P & C Insurer Solvency
Office of the Chief**



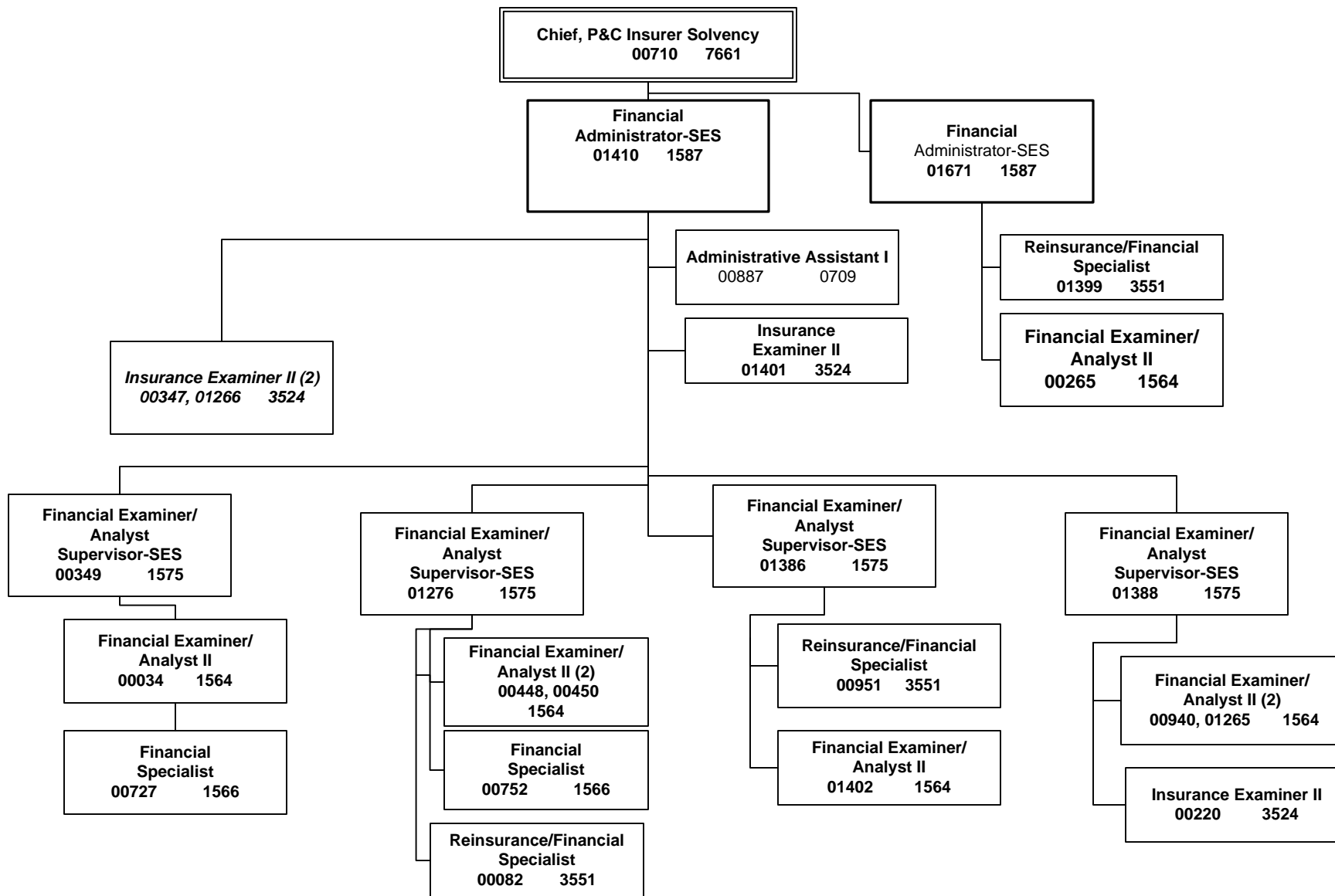
Bureau Total FTE:
Office of the Chief FTE: 4

❖ * FTE not Included in this Section
Dotted Line = Administratively Assigned. FTE not counted.
Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

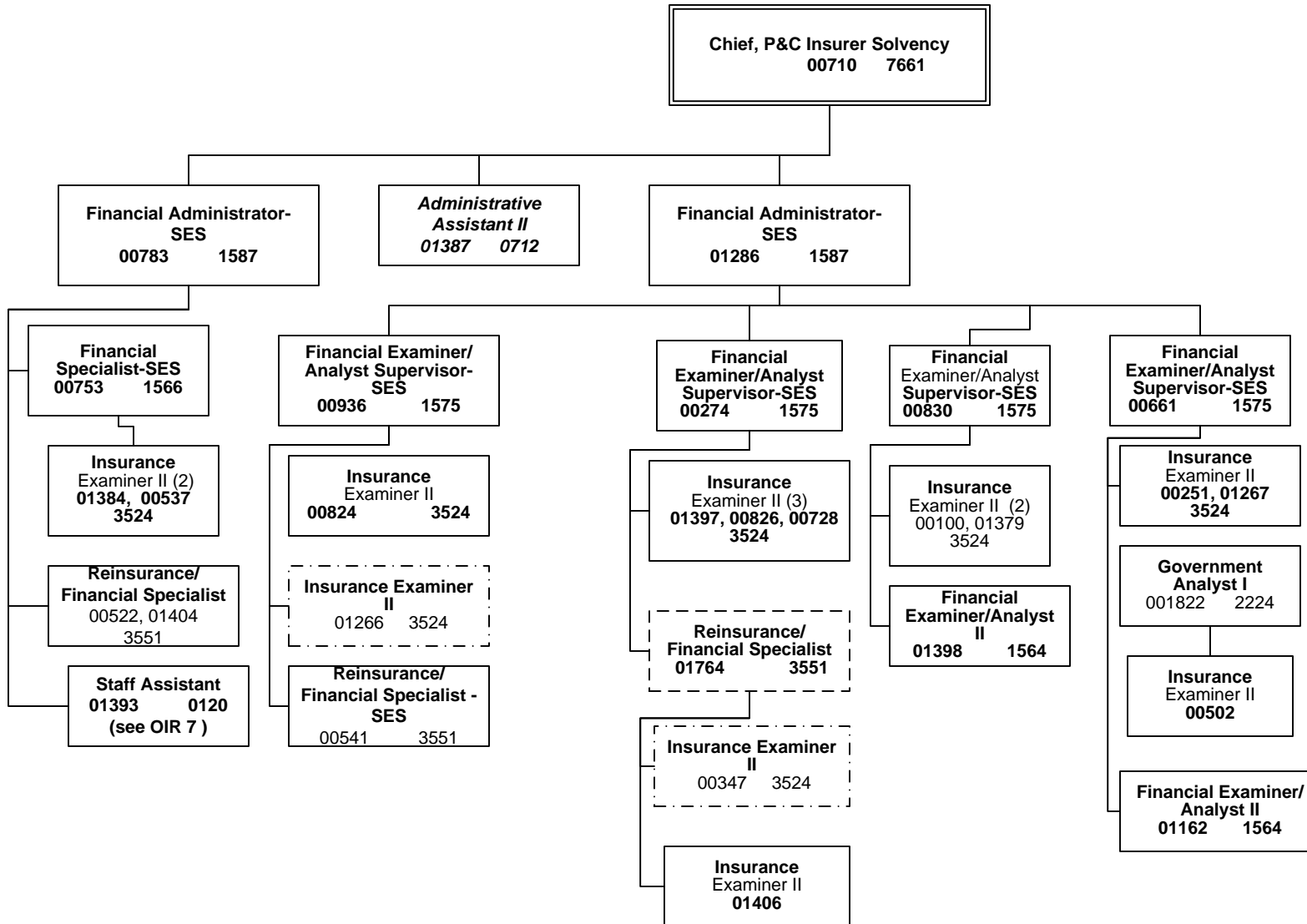
Eff: 05-18-12
Rev: 05-23-12

OIR 3

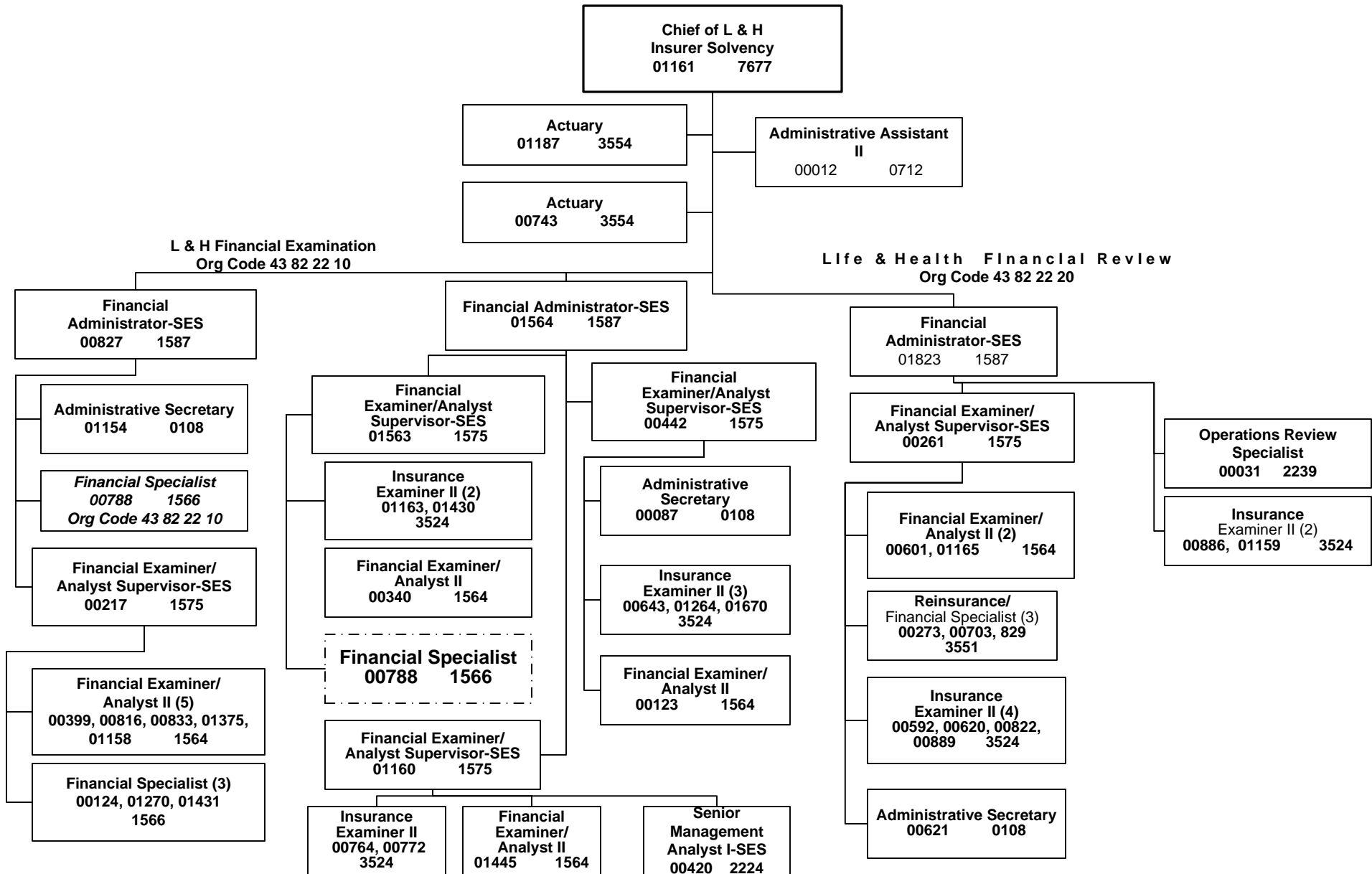
**Financial Services Commission
Office of Insurance Regulation
Bureau of P & C Insurer Solvency
P & C Examinations Section**



Financial Services Commission
Office of Insurance Regulation
Bureau of P & C Insurer Solvency
P & C Financial Regulation Section



**Financial Services Commission
Office of Insurance Regulation
Bureau of Life & Health Insurer Solvency**



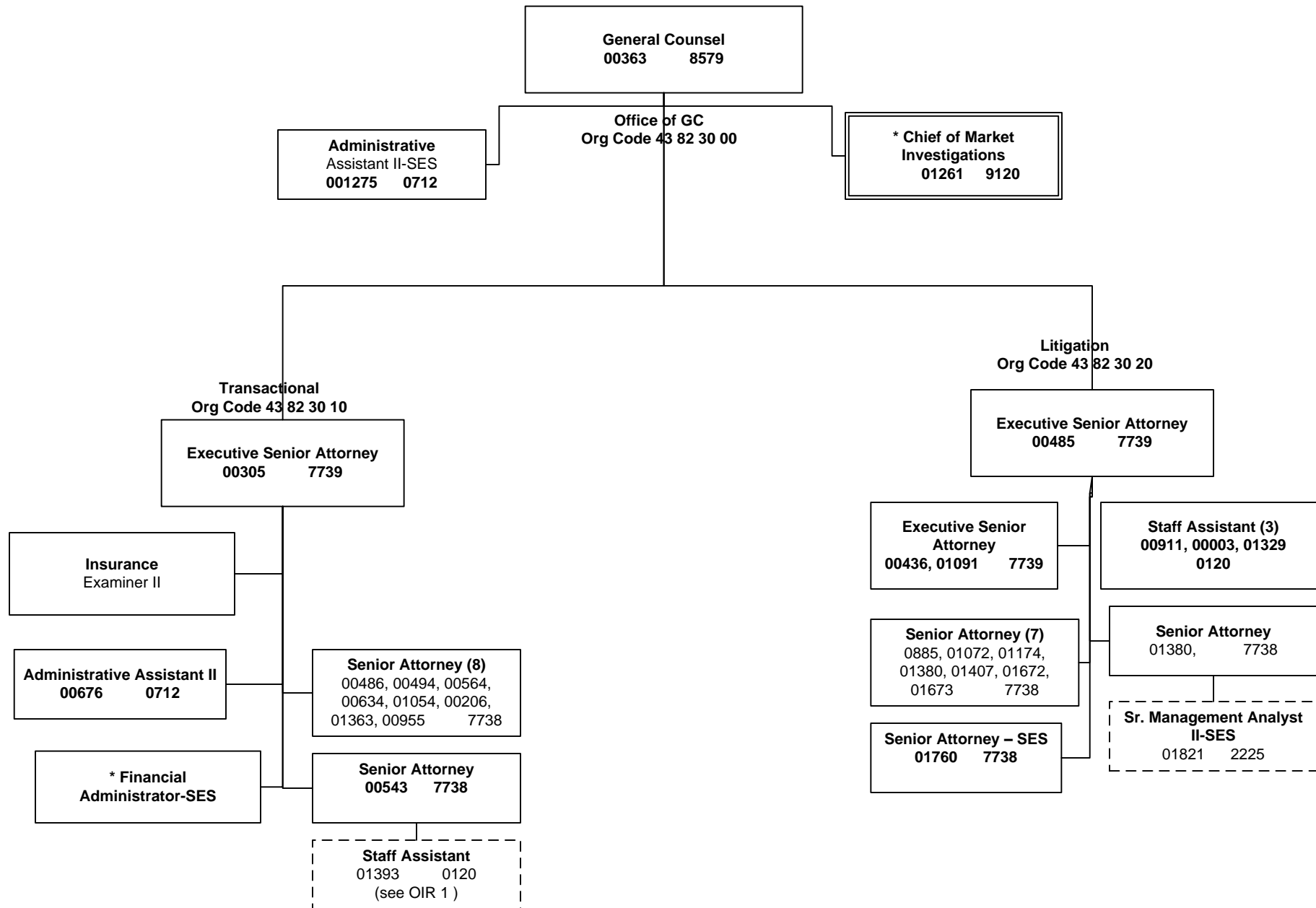
Bureau Total FTE: 49

❖ FTE not Included in this Section
Dotted Line = Administratively Assigned. FTE not counted.
Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff 01-01-12
Rev 03-14-12

OIR 6

**Financial Services Commission
Office of Insurance Regulation
Legal Services Office**



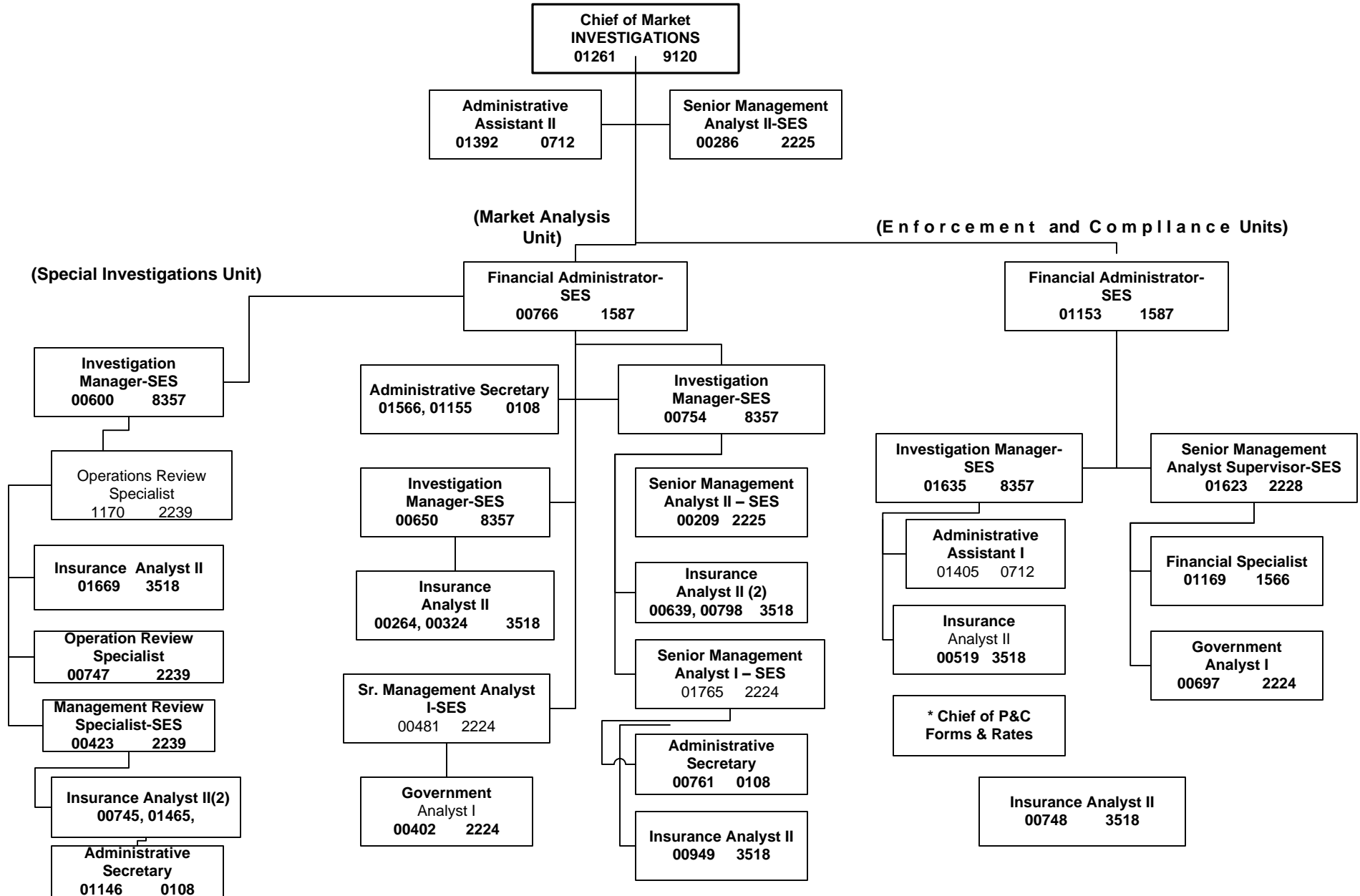
Legal Services Total FTE = 30

❖ FTE not Included in this Section
 Dotted Line = Administratively Assigned. FTE not counted.
 Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff 04-20-2012
 Rev 04-24-2012

OIR 7

Financial Services Commission Office of Insurance Regulation Bureau of Market Investigations



Bureau Total FTE: 35

✦ FTE not Included in this Section

Dotted Line = Administratively Assigned. FTE not counted.

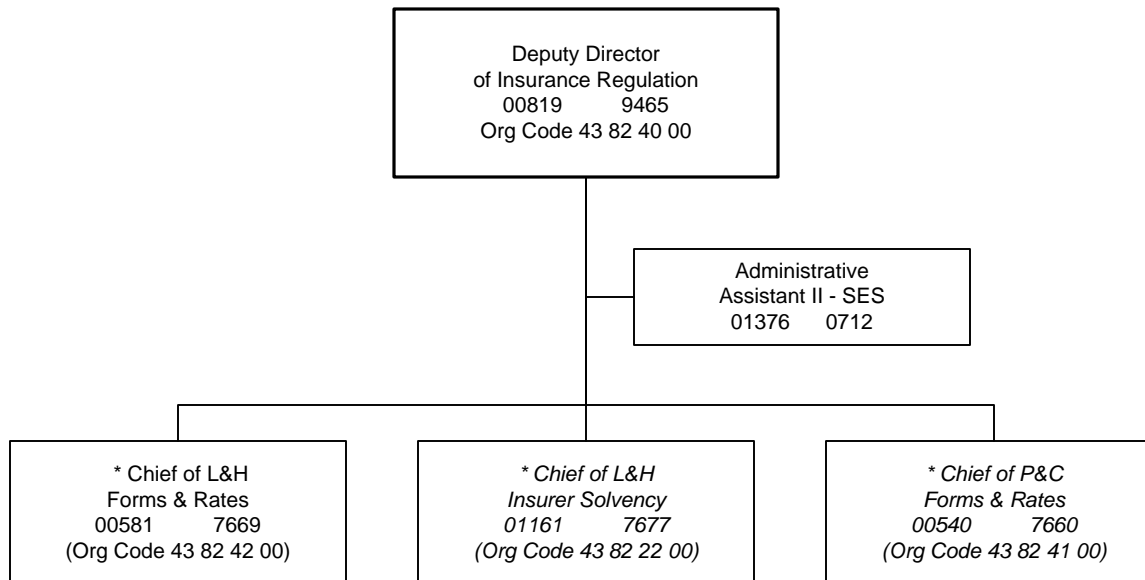
Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff 7/12/2012

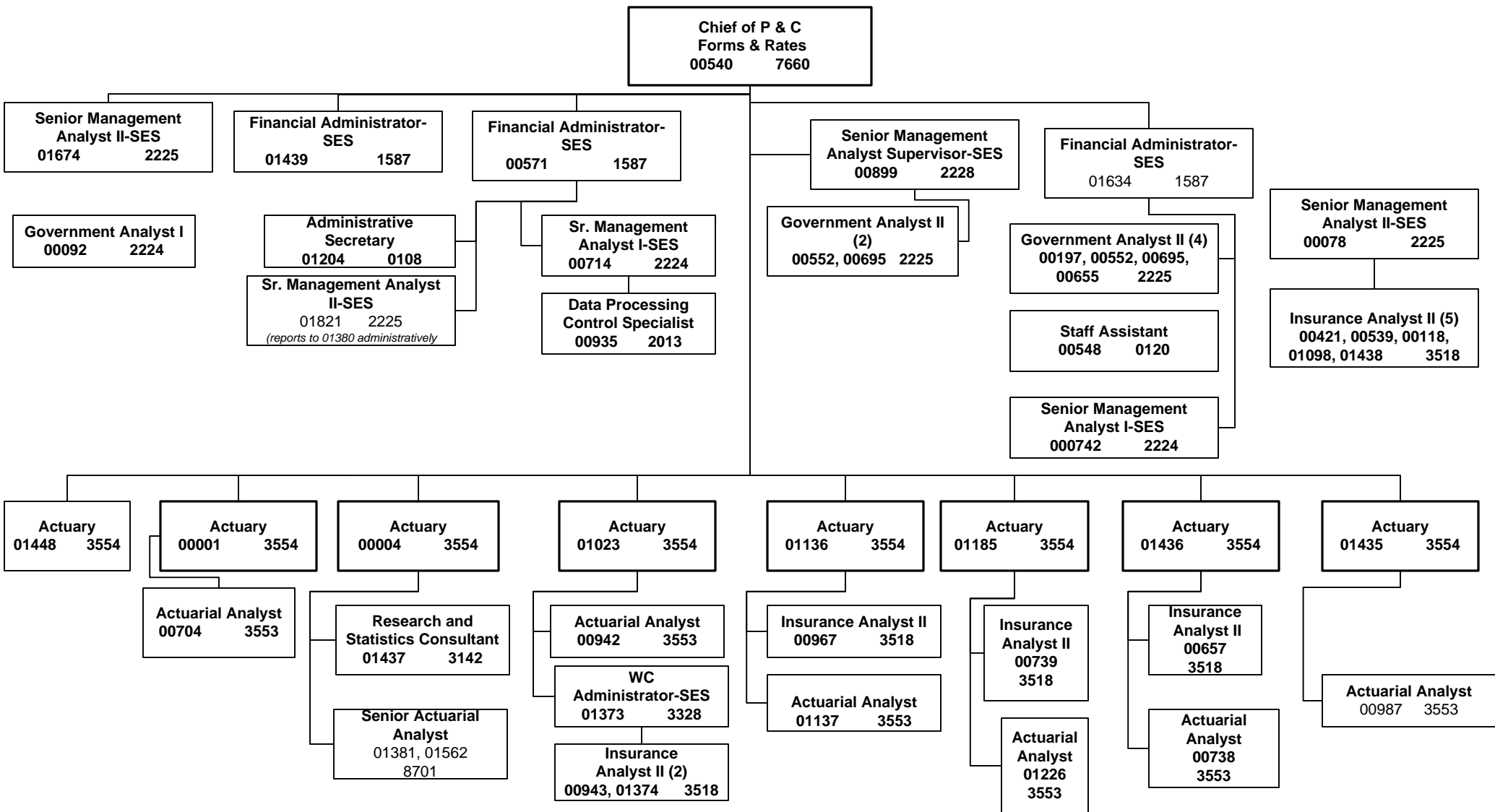
Rev 07-27-12

OIR 8

**Financial Services Commission
Office of Insurance Regulation
Deputy Director of Insurance Regulation (Forms & Rate)**



Financial Services Commission Office of Insurance Regulation Bureau of Property & Casualty Forms & Rates



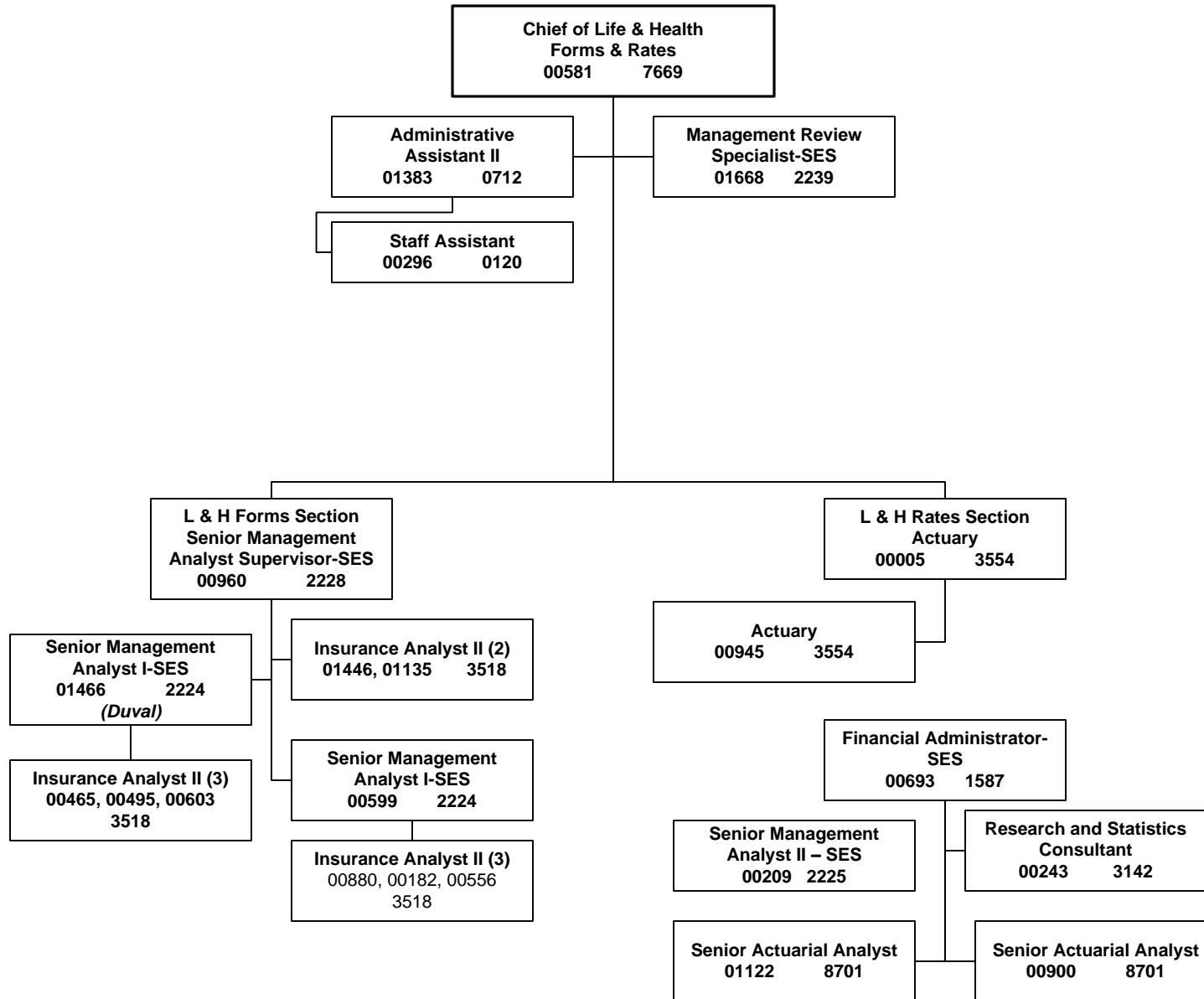
Bureau Total FTE: 44

❖ FTE not Included in this Section
 Dotted Line = Administratively Assigned. FTE not counted.
 Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff 08-01-2012
 Rev 07-31-2012

OIR 10

**Financial Services Commission
Office of Insurance Regulation
Bureau of Life & Health Forms & Rates**



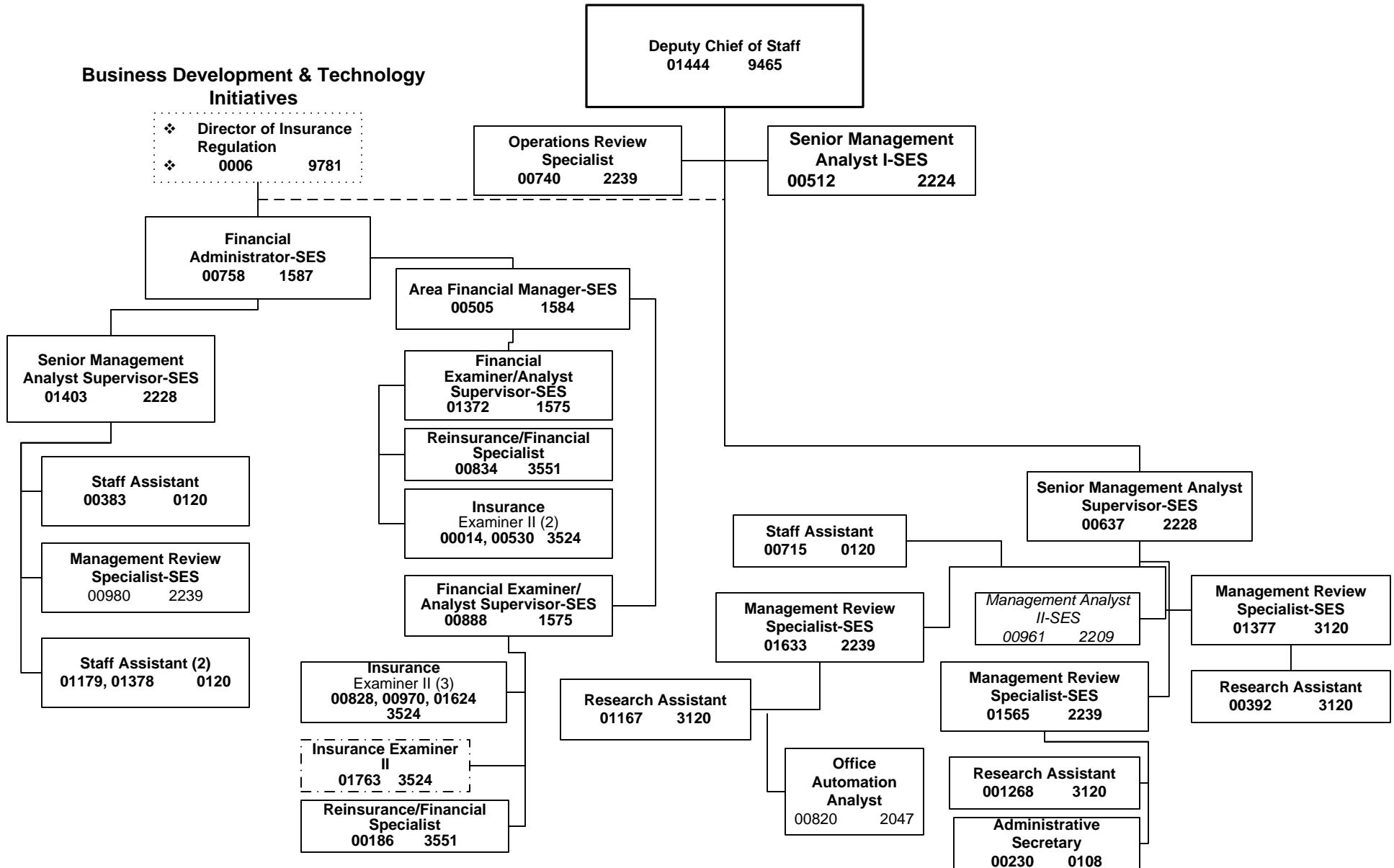
Bureau Total FTE: 23

❖ FTE not Included in this Section
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Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff 05-17-2012
Rev 05-23-2012

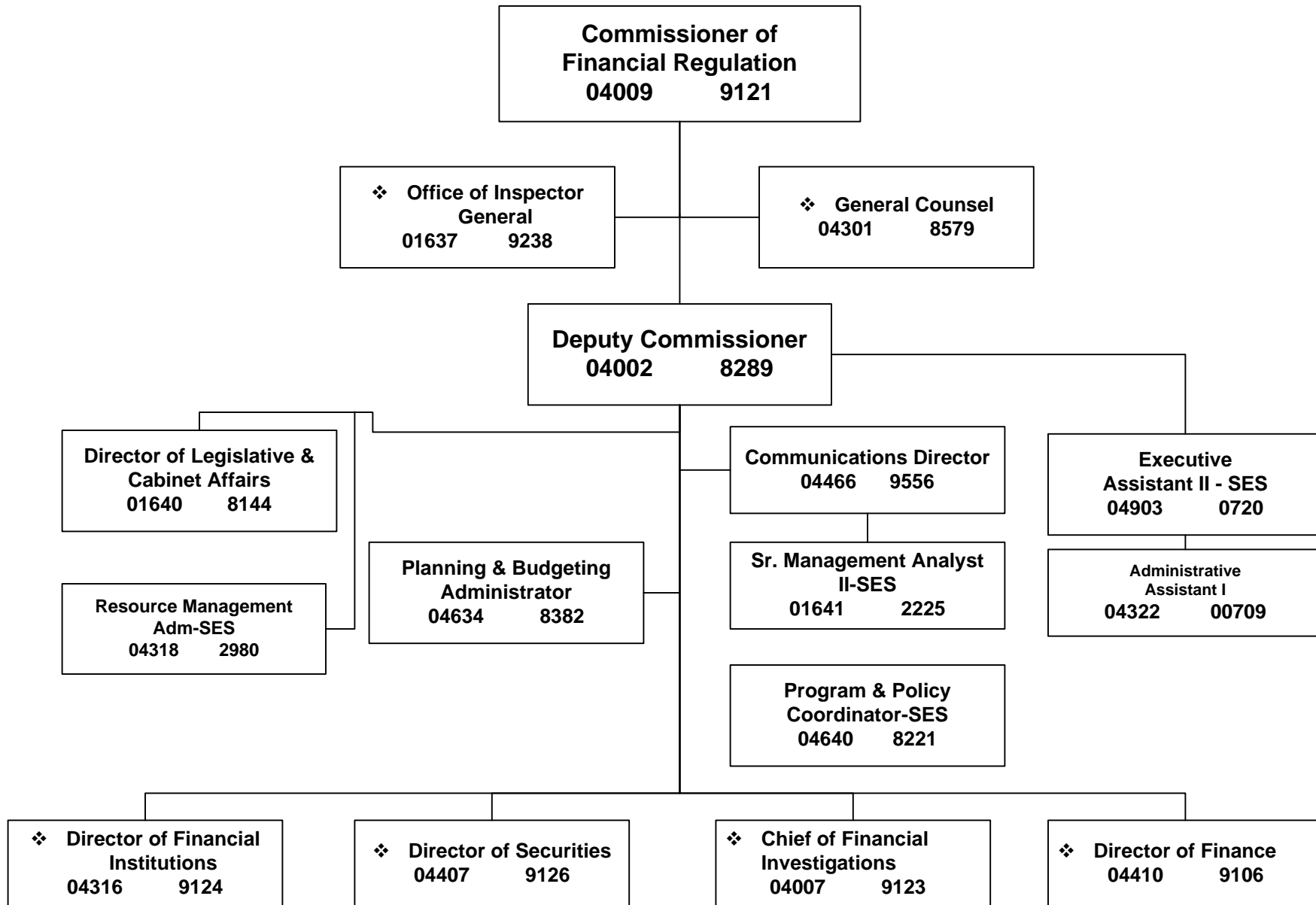
OIR 11

Financial Services Commission Office of Insurance Regulation Business Development & Market Research

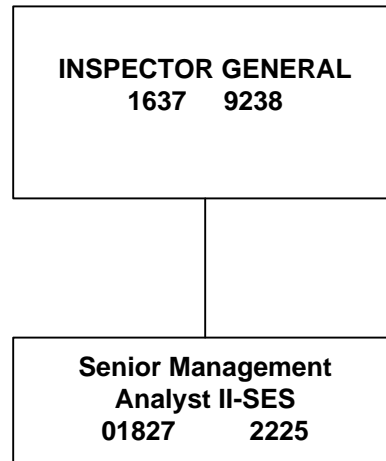


❖ FTE not Included in this Section
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 Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

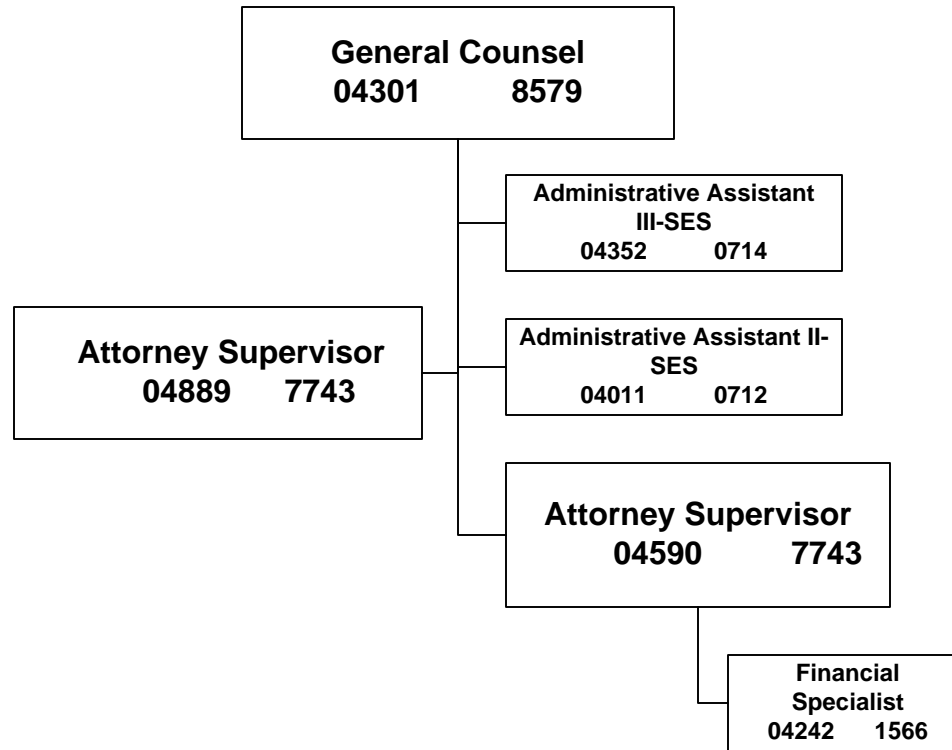
**Financial Services Commission
Office of Financial Regulation
Office of the Commissioner**



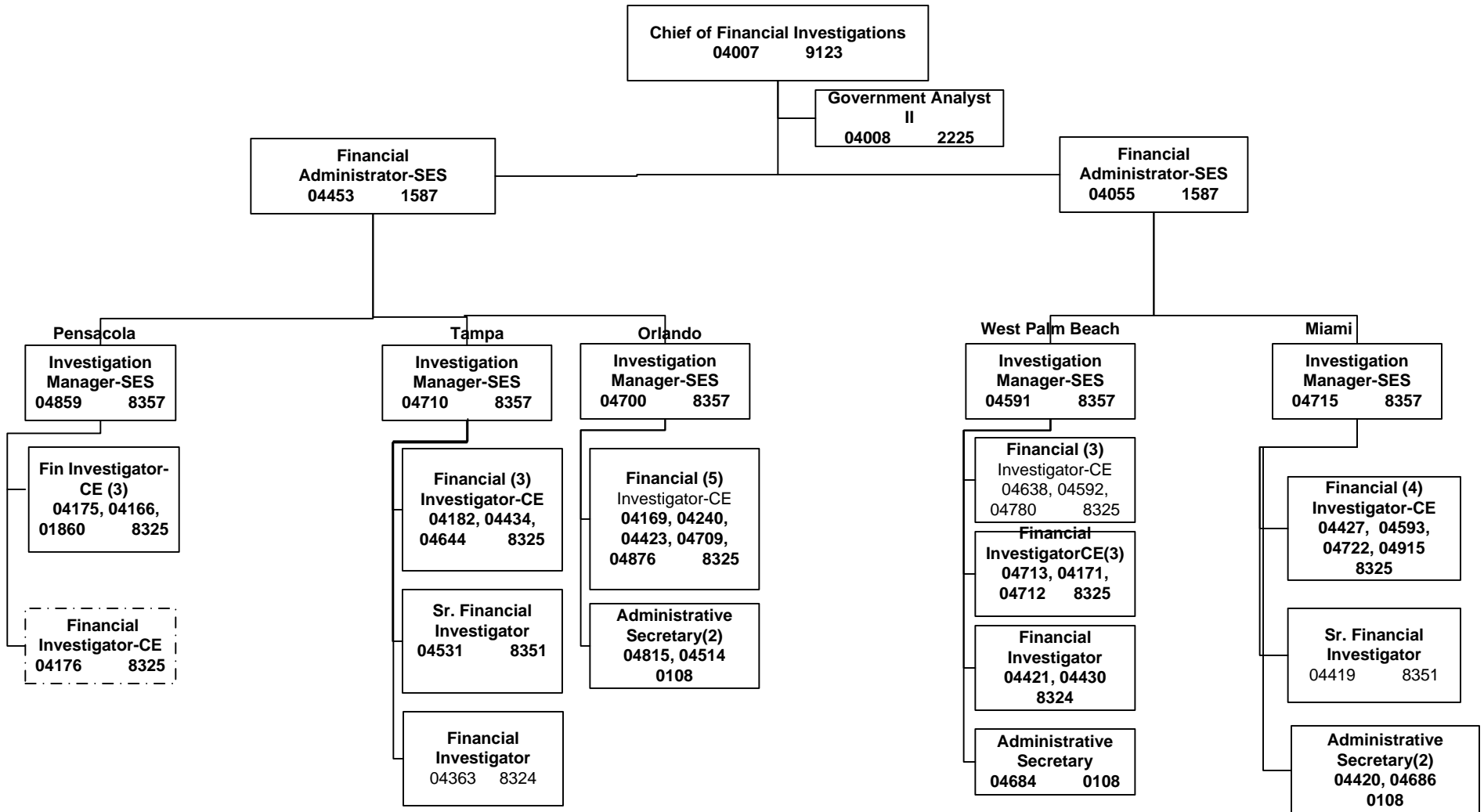
**Financial Services Commission
Office of Financial Regulation
Office of the Inspector General**



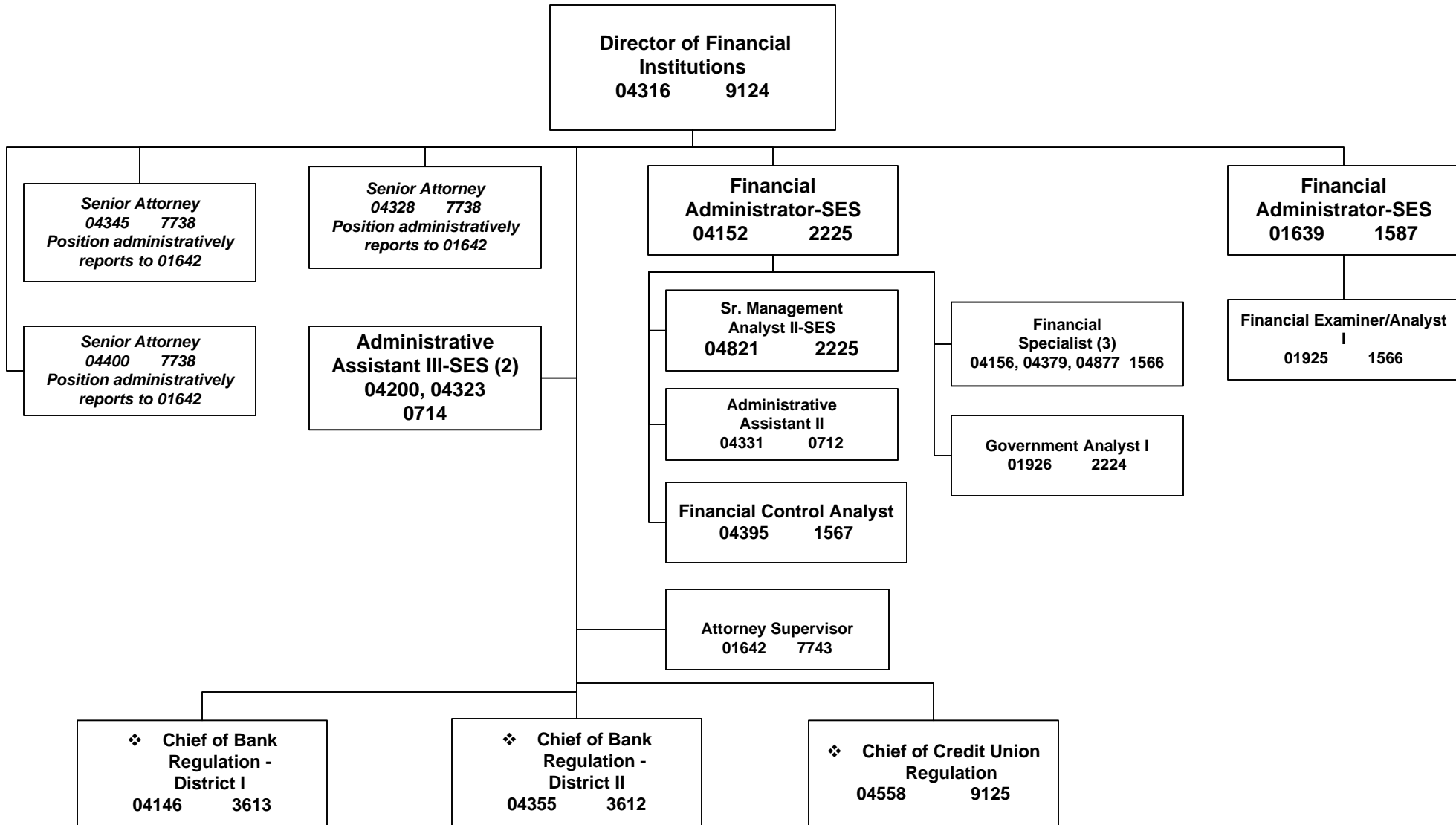
**Financial Services Commission
Office of Financial Regulation
Office of the General Counsel**



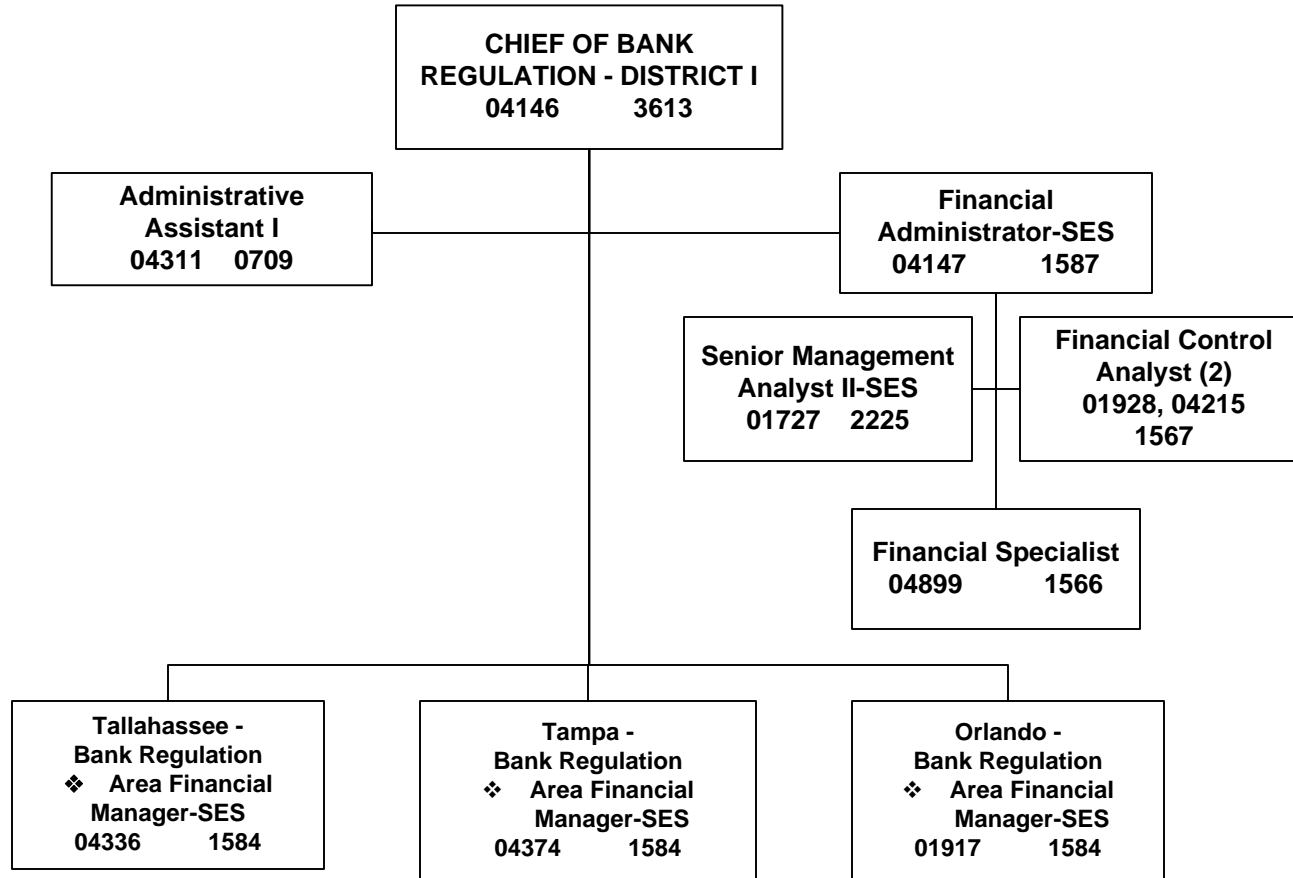
Financial Services Commission Office of Financial Regulation Bureau of Financial Investigations



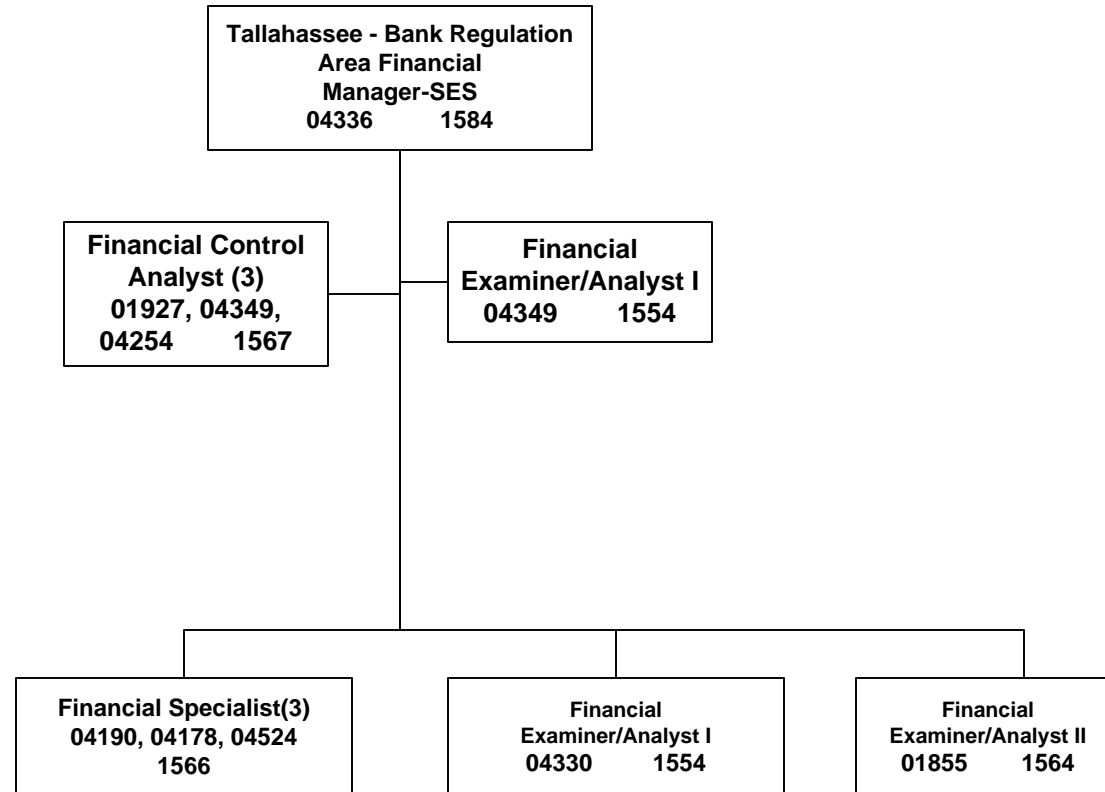
**Financial Services Commission
Office of Financial Regulation
Division of Financial Institutions
Office of the Director**



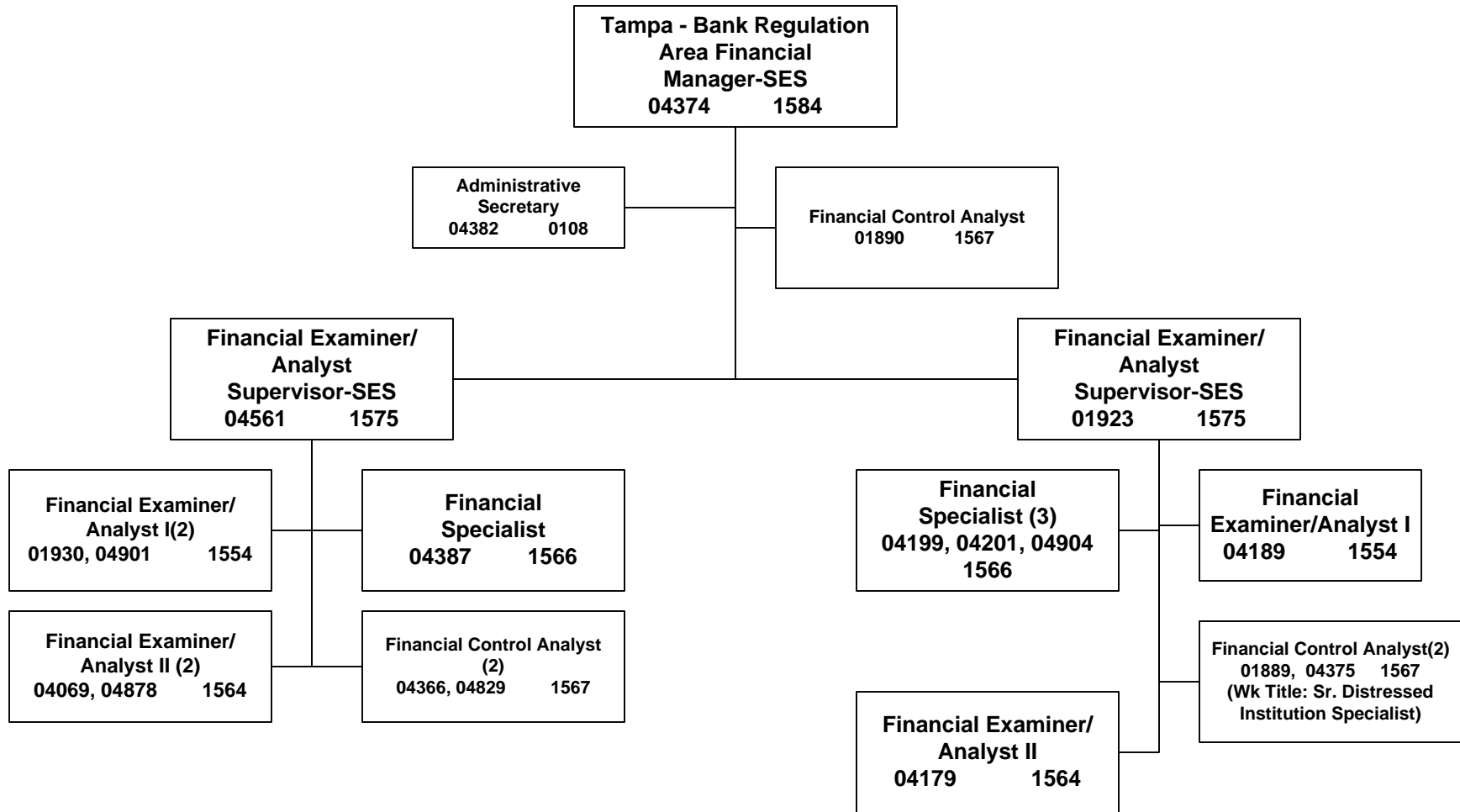
**Financial Services Commission
Office of Financial Regulation
Division of Financial Institutions
Bureau of Bank Regulation - District I**



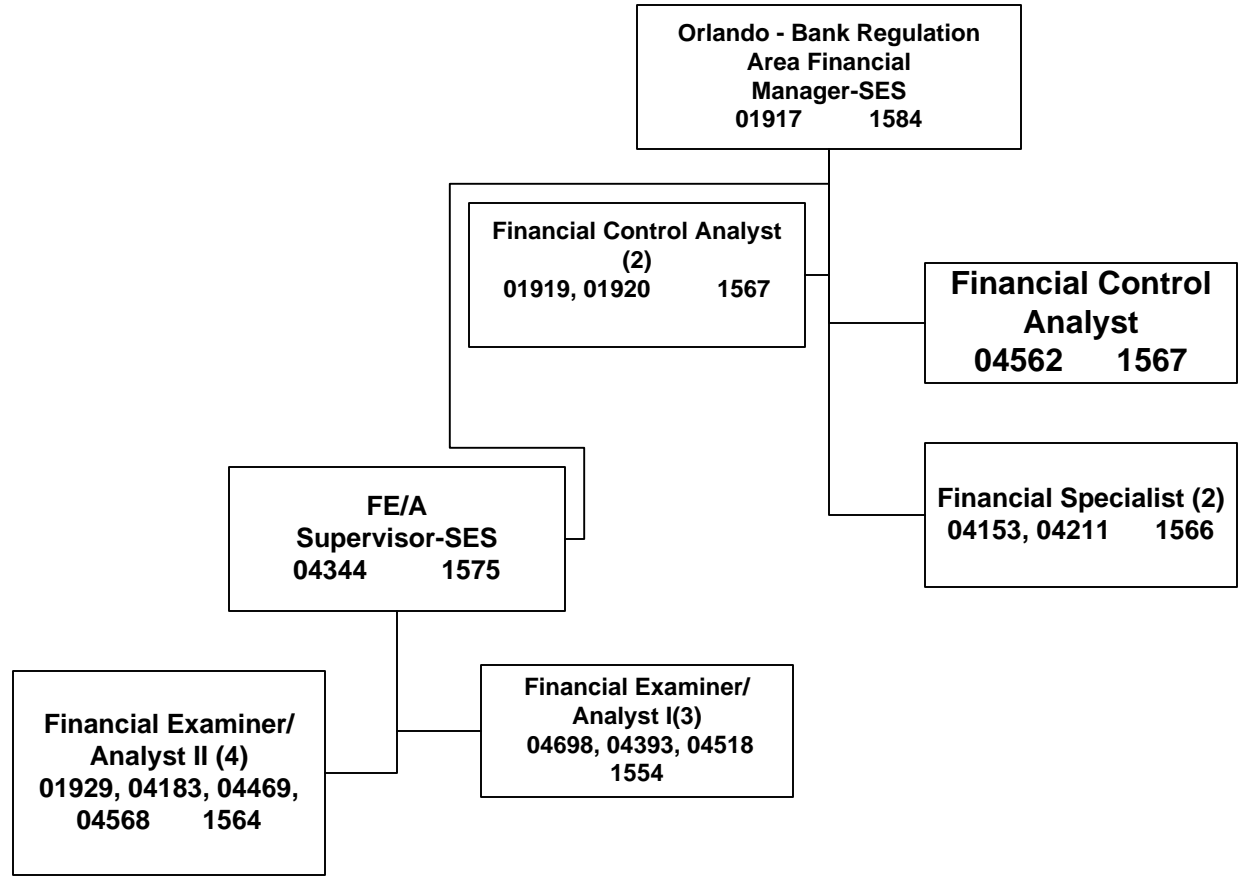
**Financial Services Commission
Office of Financial Regulation
Division of Financial Institutions
Bureau of Bank Regulation - District I**



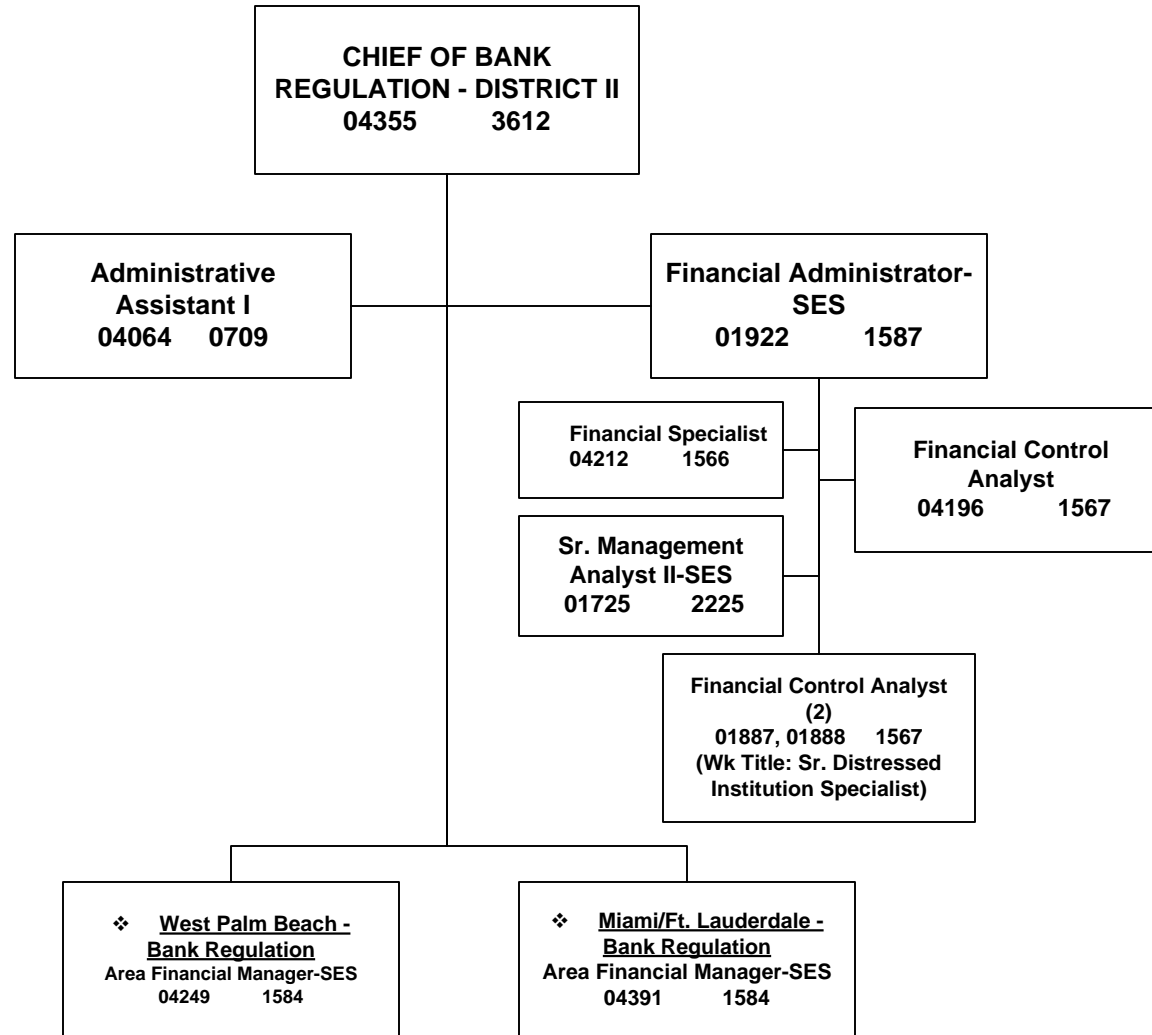
**Financial Services Commission
Office of Financial Regulation
Division of Financial Institutions
Bureau of Bank Regulation - District I**



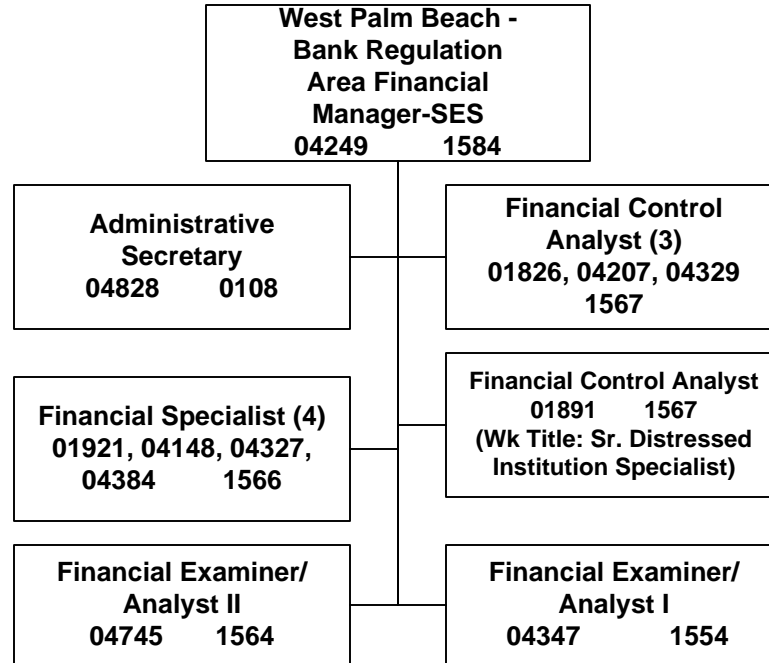
**Financial Services Commission
Office of Financial Regulation
Division of Financial Institutions
Bureau of Bank Regulation - District I**



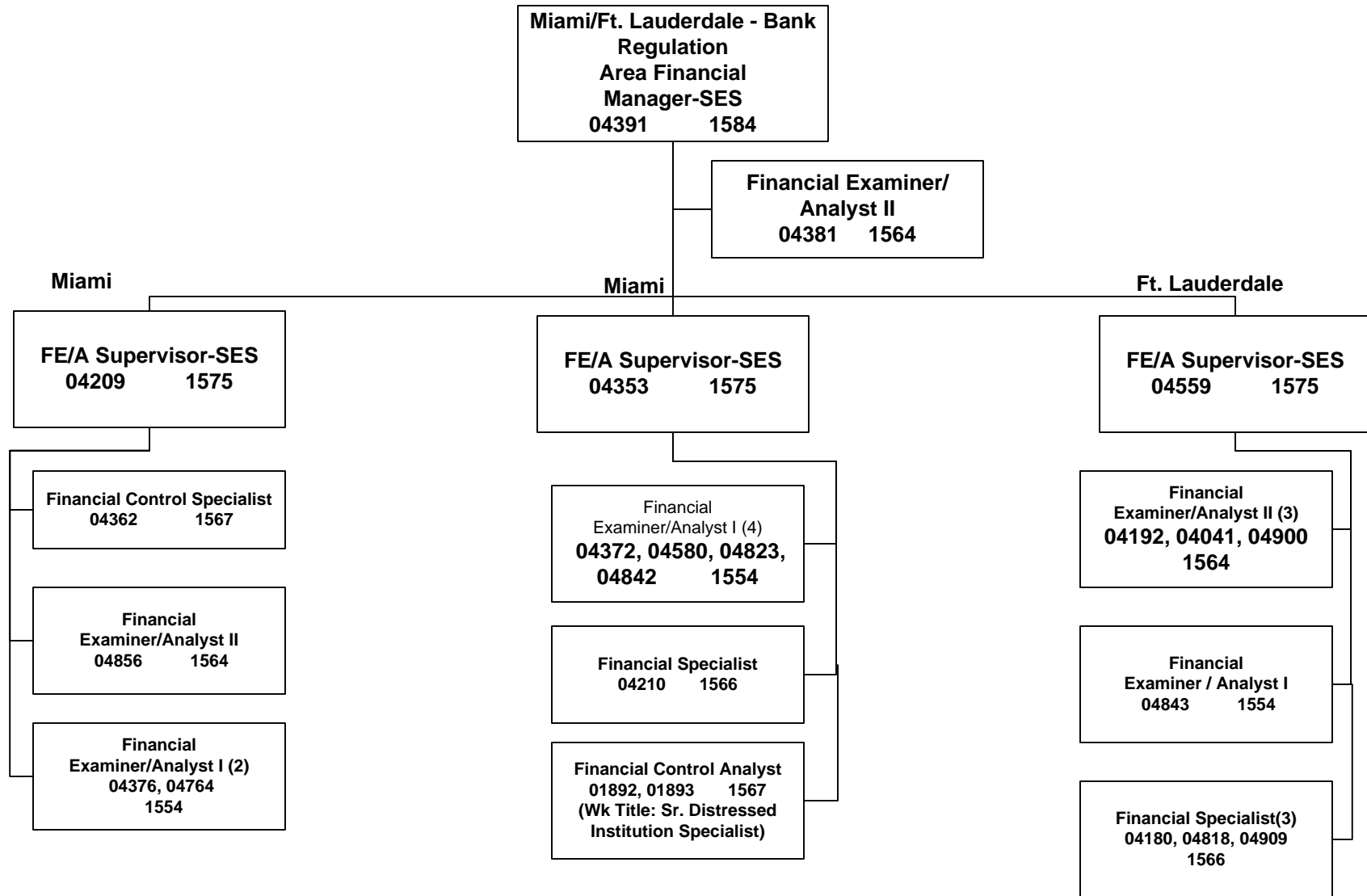
**Financial Services Commission
Office of Financial Regulation
Division of Financial Institutions
Bureau of Bank Regulation - District II**



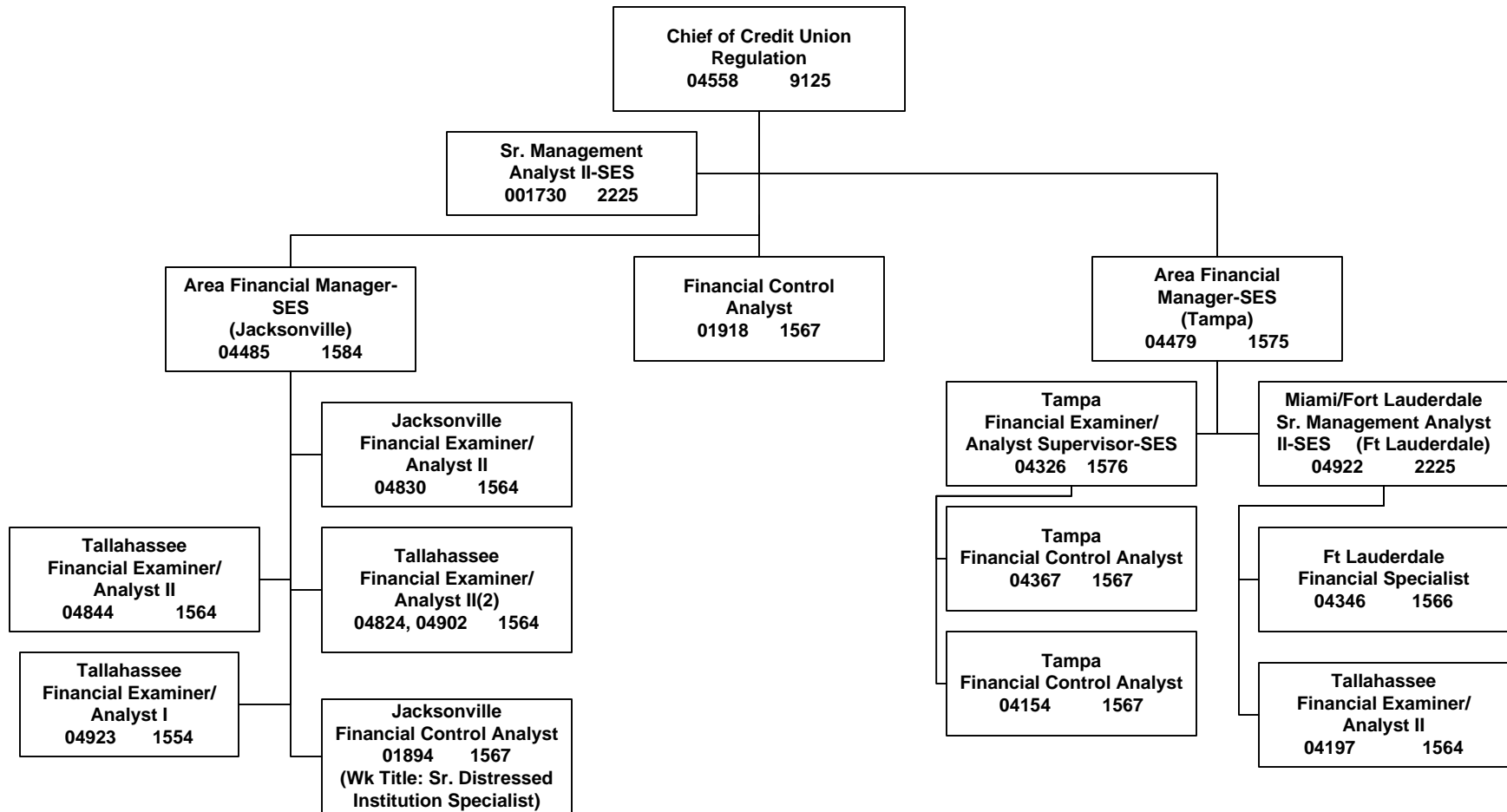
**Financial Services Commission
Office of Financial Regulation
Division of Financial Institutions
Bureau of Bank Regulation - District II**



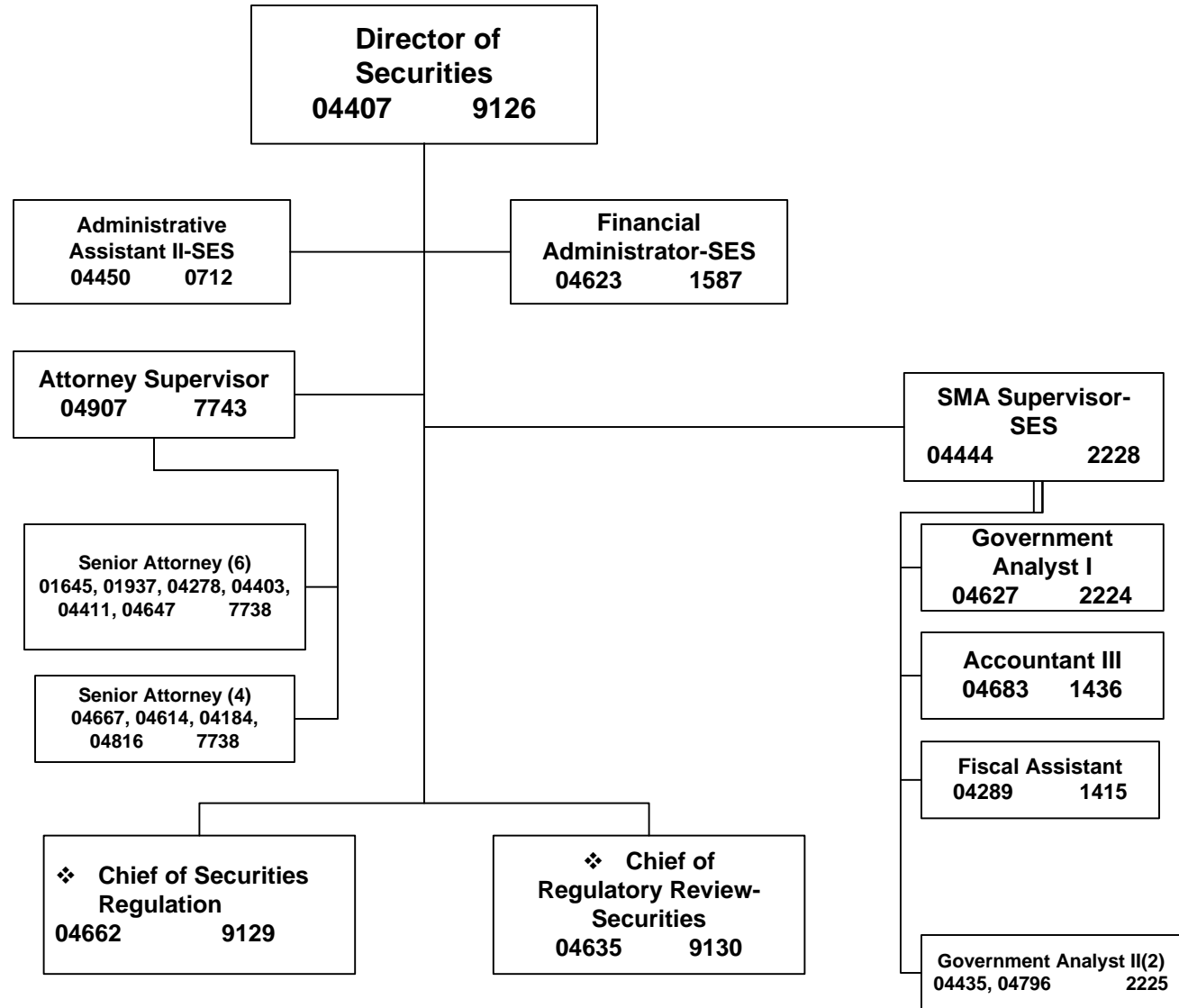
Financial Services Commission
Office of Financial Regulation
Division of Financial Institutions
Bureau of Bank Regulation - District II



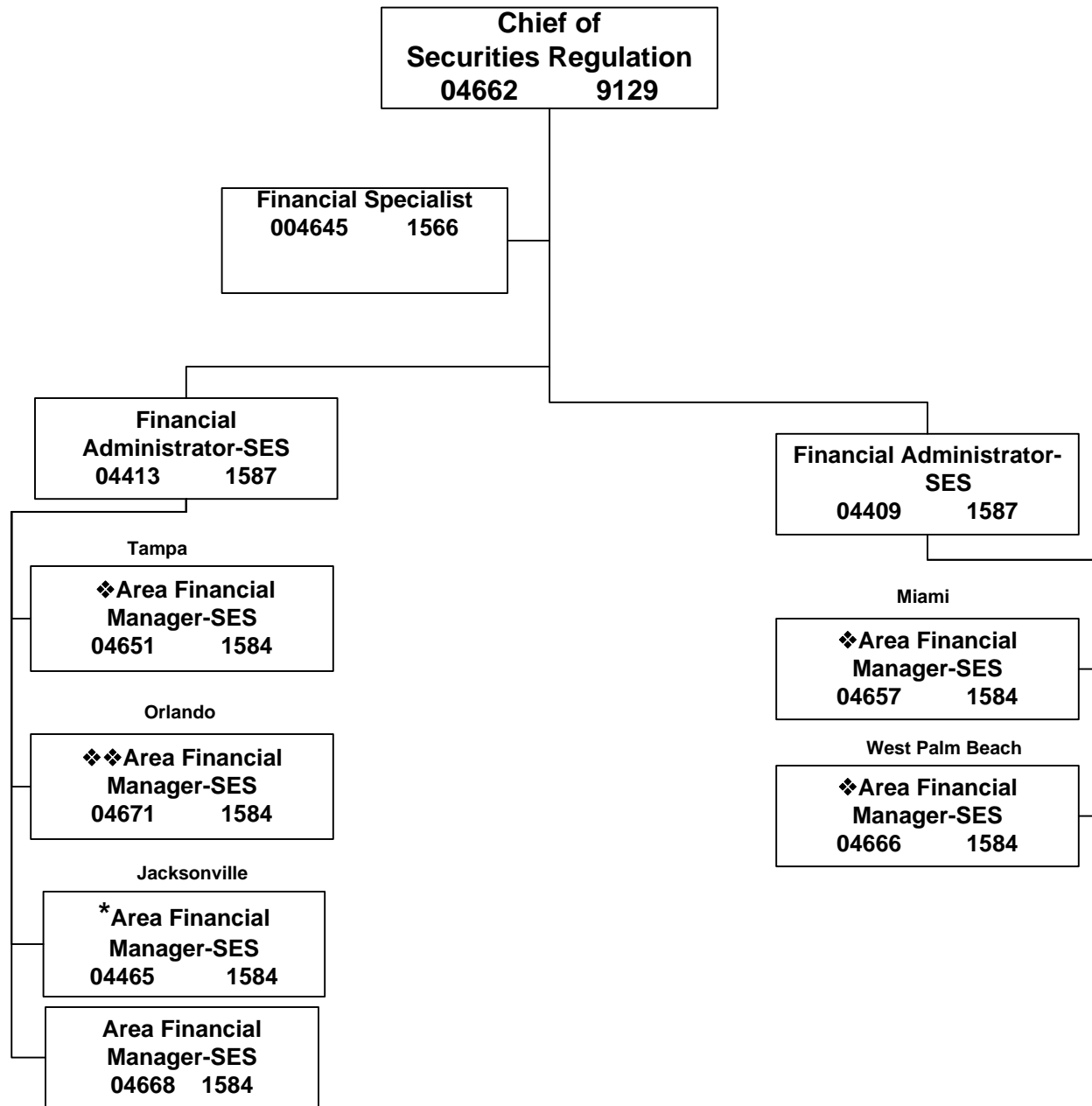
**Financial Services Commission
Office of Financial Regulation
Division of Financial Institutions
Bureau of Credit Union Regulation**



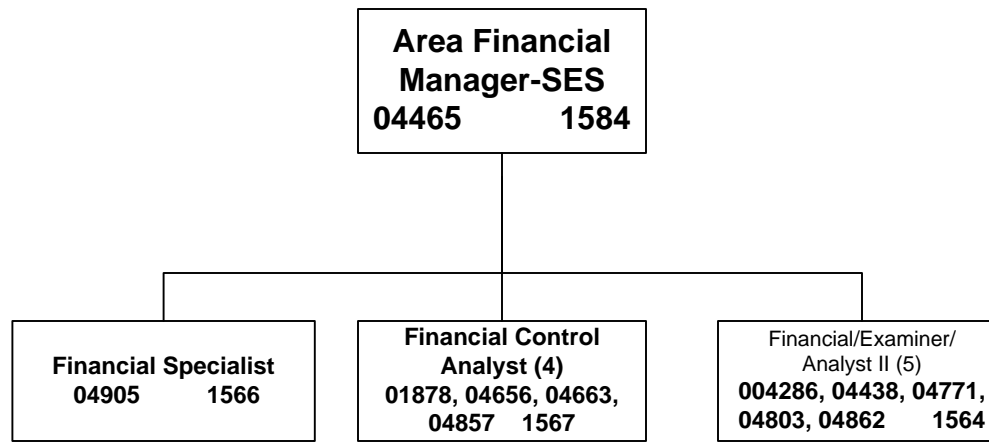
**Financial Services Commission
Office of Financial Regulation
Division of Securities
Office of the Director**



**Financial Services Commission
Office of Financial Regulation
Division of Securities
Bureau of Securities Regulation**



**Financial Services Commission
Office of Financial Regulation
Division of Securities
Bureau of Securities Regulation
Jacksonville - Securities Regulation**



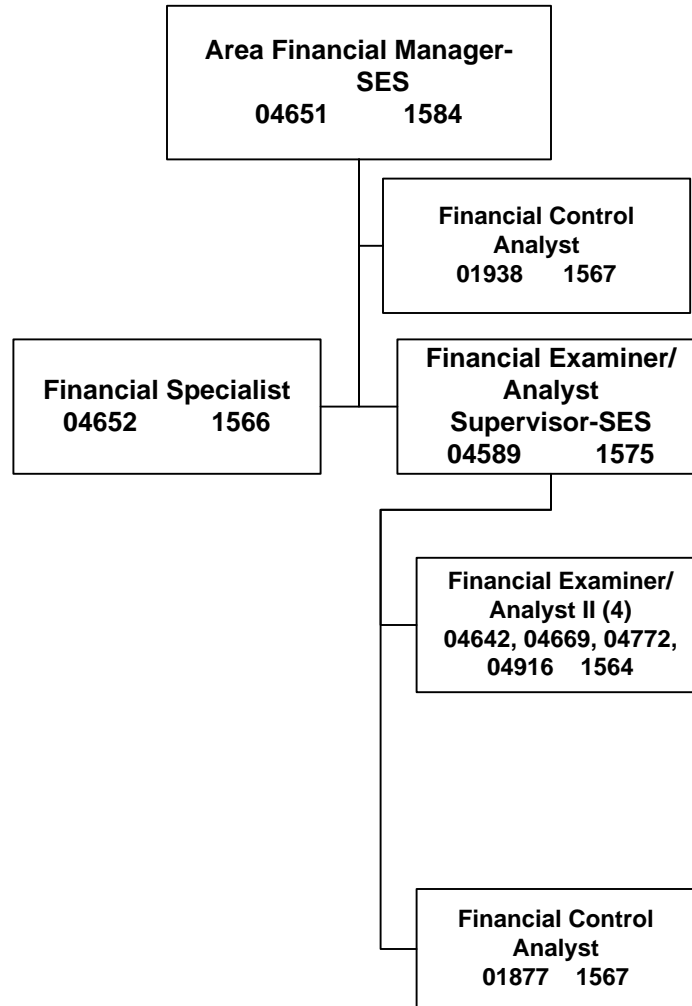
Total FTE: 8

❖ FTE not Included in this Section
Dotted Line = Administratively Assigned. FTE not counted.
Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

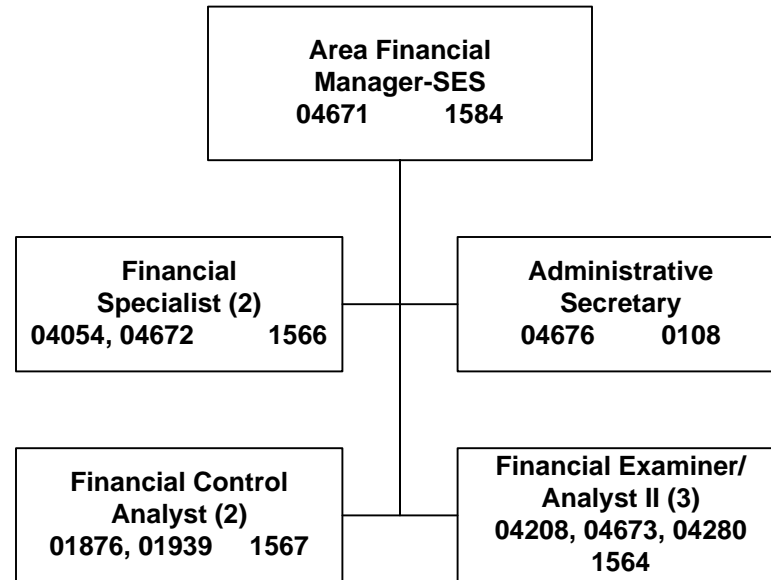
Eff 05/24/2012
Rev 06/1/2012

OFR-17

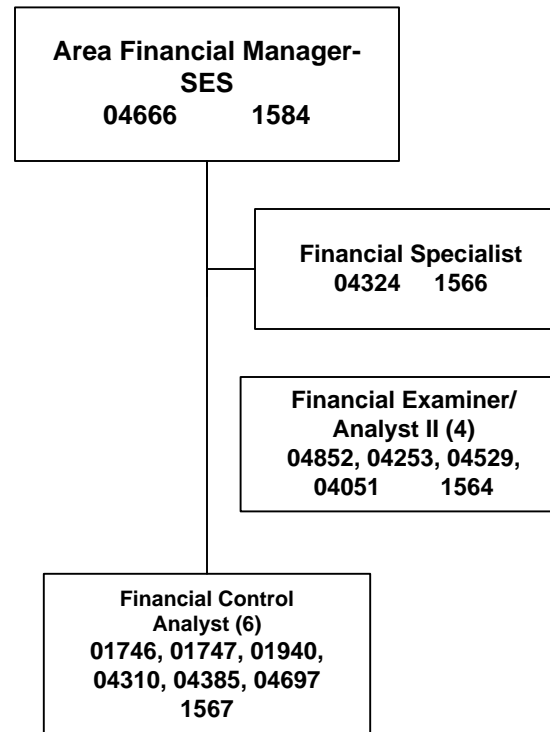
**Financial Services Commission
Office of Financial Regulation
Division of Securities
Bureau of Securities Regulation
Tampa - Securities Regulation**



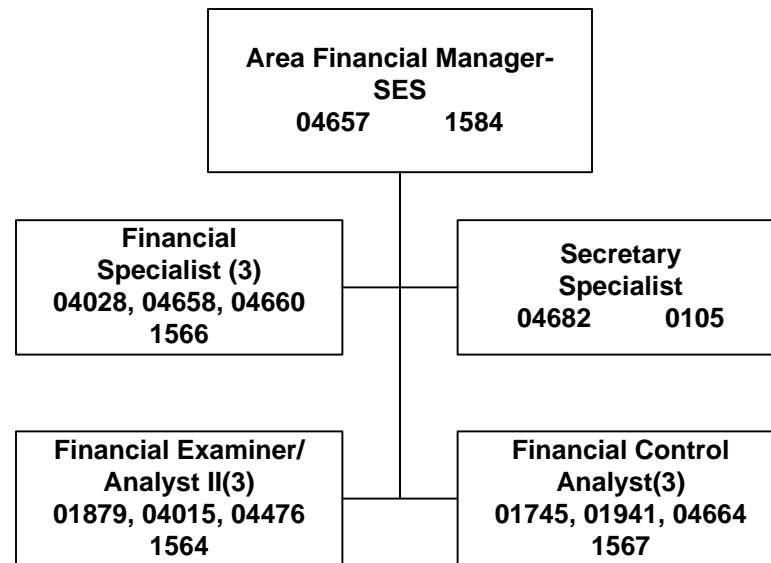
**Financial Services Commission
Office of Financial Regulation
Division of Securities
Bureau of Securities Regulation
Orlando - Securities Regulation**



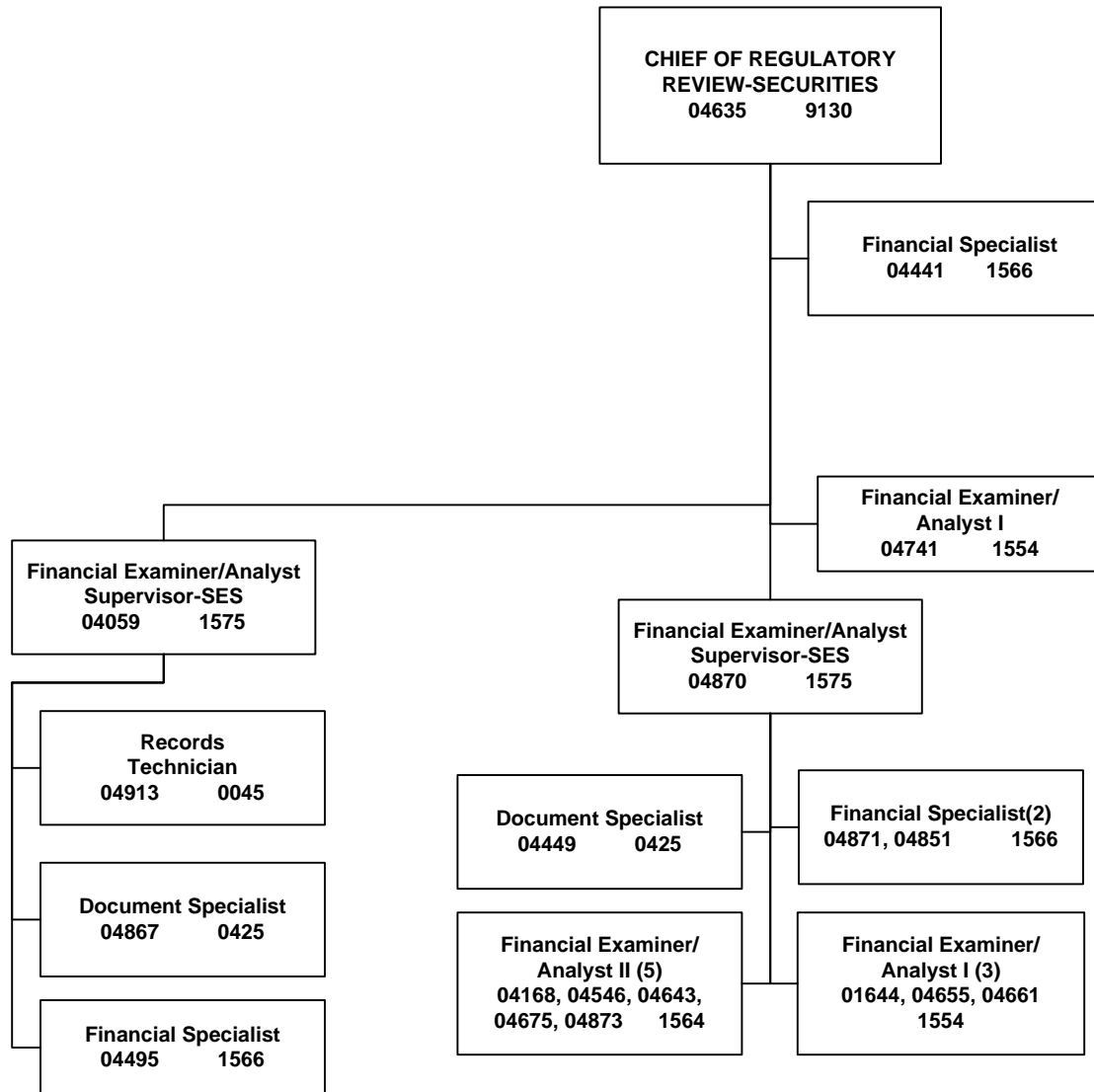
**Financial Services Commission
Office of Financial Regulation
Division of Securities
Bureau of Securities Regulation
West Palm Beach - Securities Regulation**



**Financial Services Commission
Office of Financial Regulation
Division of Securities
Bureau of Securities Regulation
Miami - Securities Regulation**



Financial Services Commission
Office of Financial Regulation
Division of Securities
Bureau of Regulatory Review - Securities



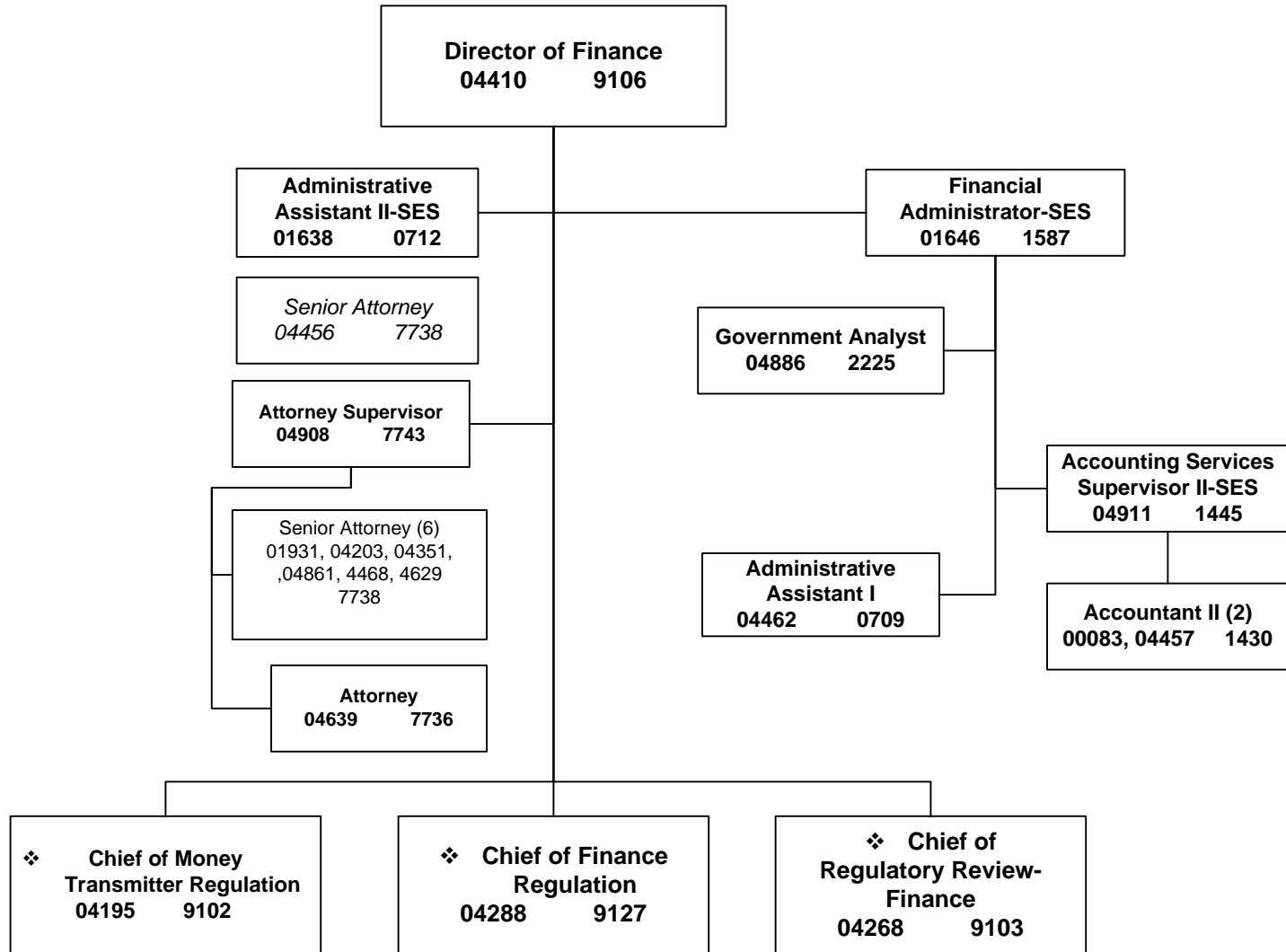
Bureau Total FTE: 22

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 Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

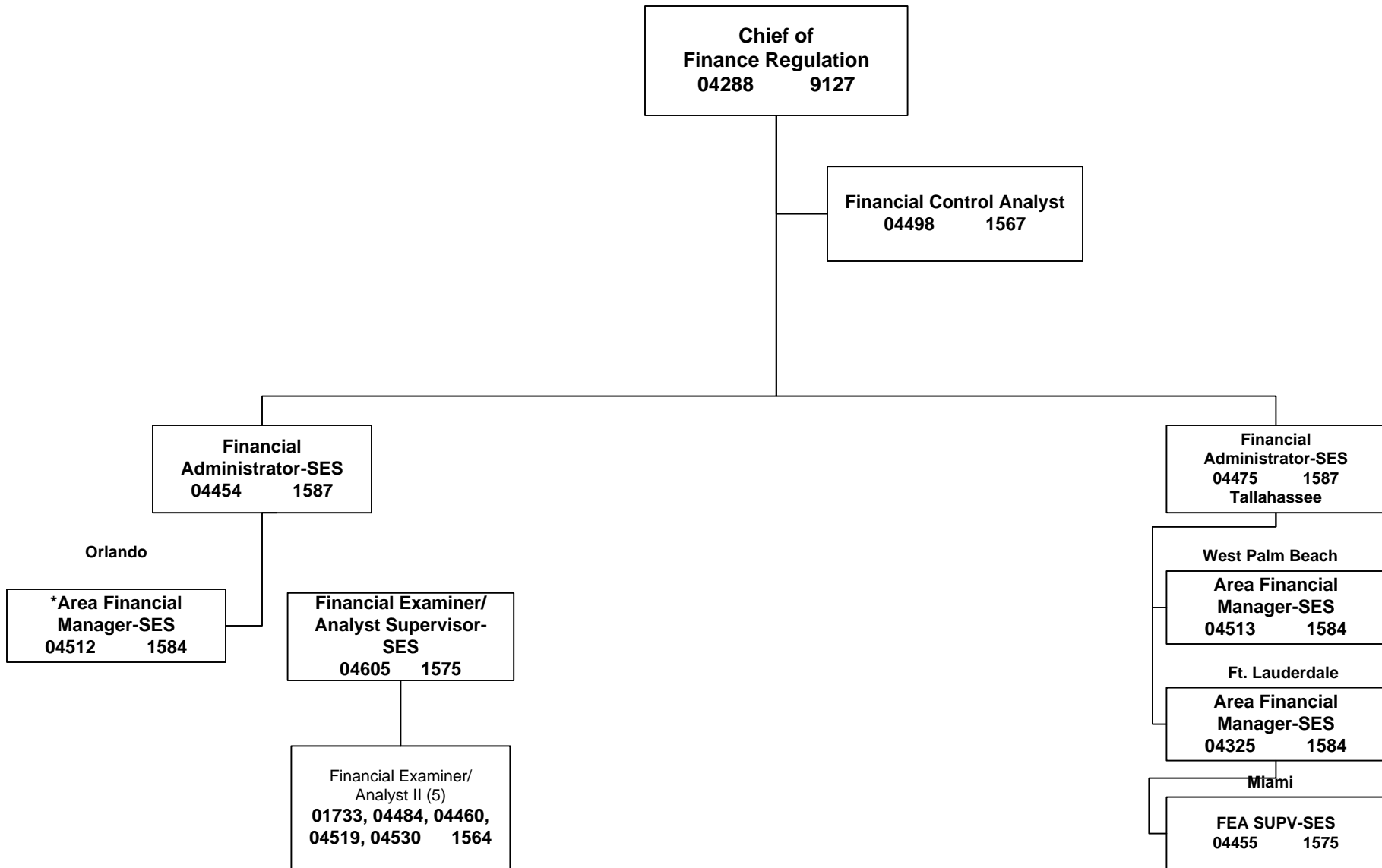
Eff 07-03-12
 Rev 07-26-12

OFR-24

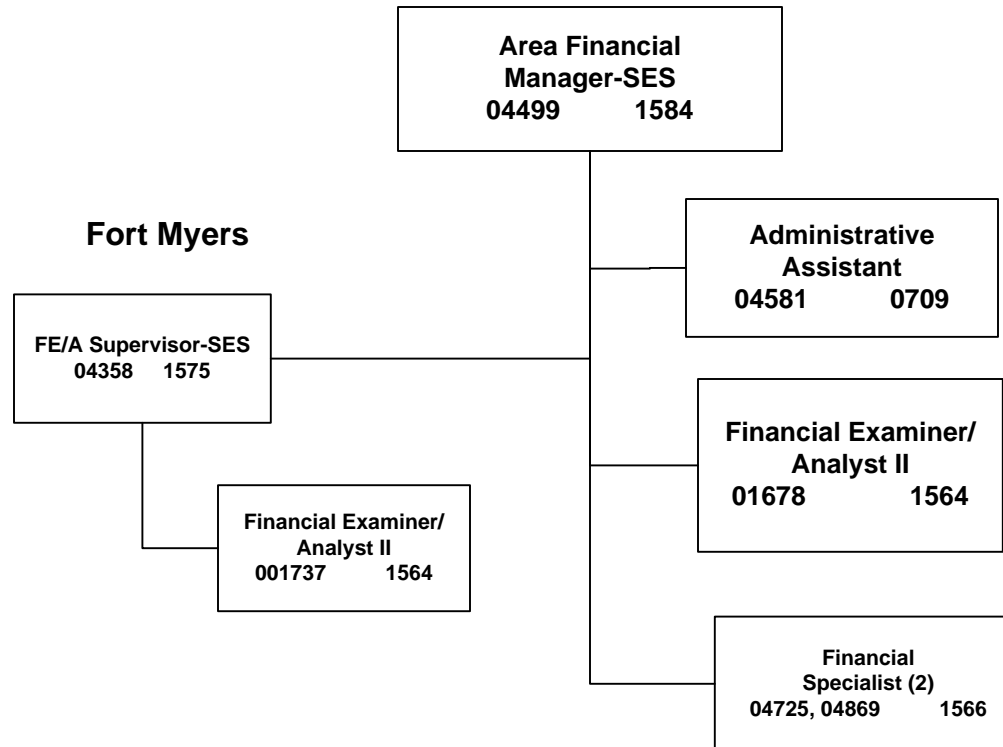
**Financial Services Commission
Office of Financial Regulation
Division of Finance
Office of the Director**



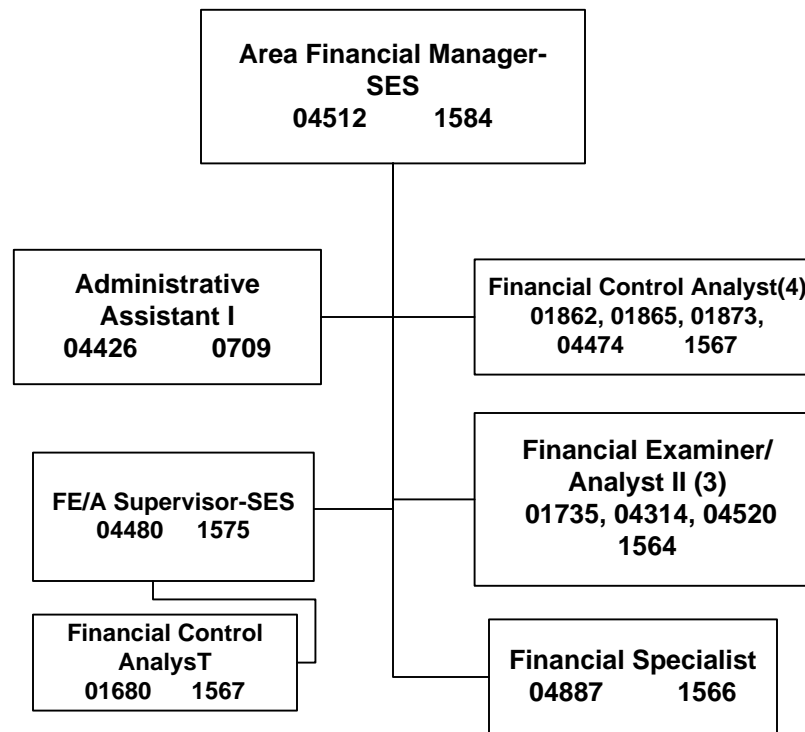
**Financial Services Commission
Office of Financial Regulation
Division of Finance
Bureau of Finance Regulation**



**Financial Services Commission
Office of Financial Regulation
Division of Finance
Bureau of Finance Regulation
Tampa - Finance Regulation**



**Financial Services Commission
Office of Financial Regulation
Division of Finance
Bureau of Finance Regulation
Orlando - Finance Regulation**



Total FTE: 6

❖ FTE not Included in this Section

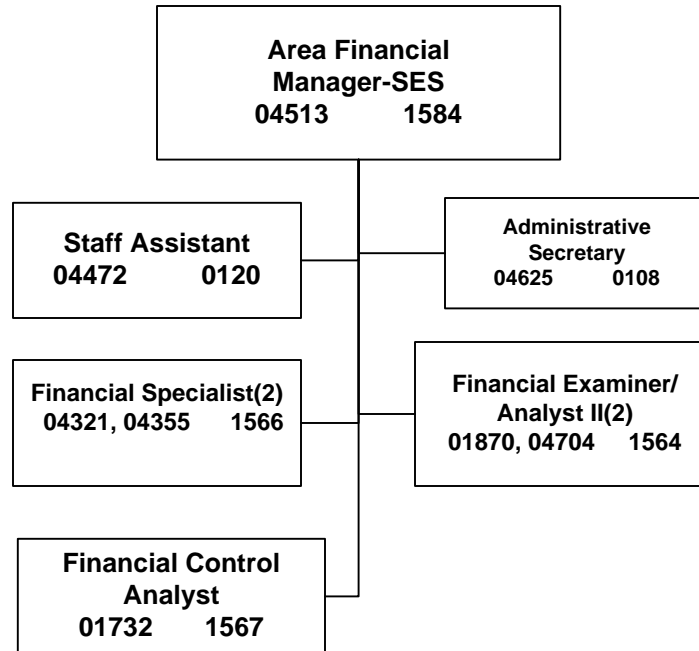
Dotted Line = Administratively Assigned. FTE not counted.

Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

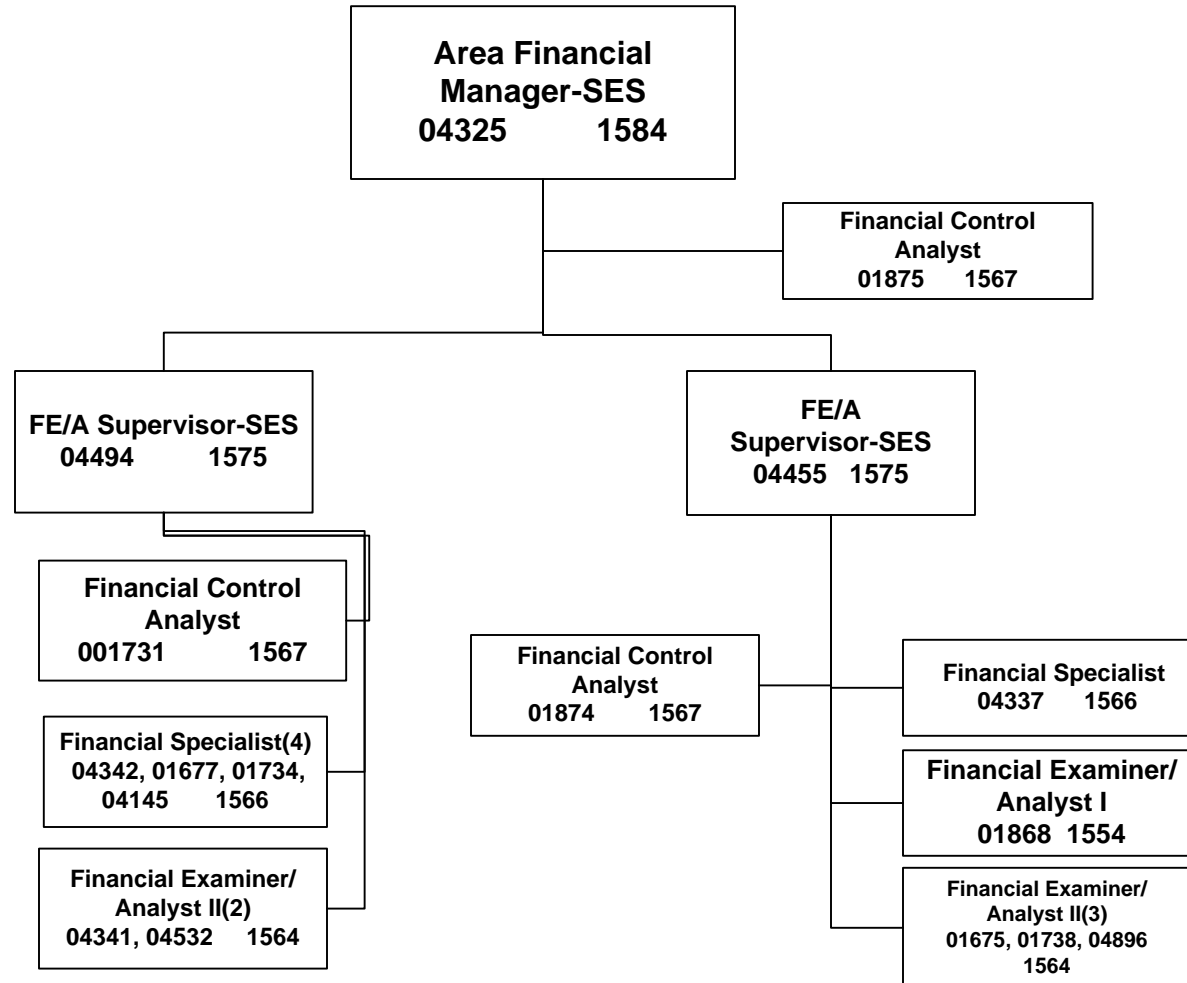
Eff 07-01-12
Rev 07-30-12

OFR-30

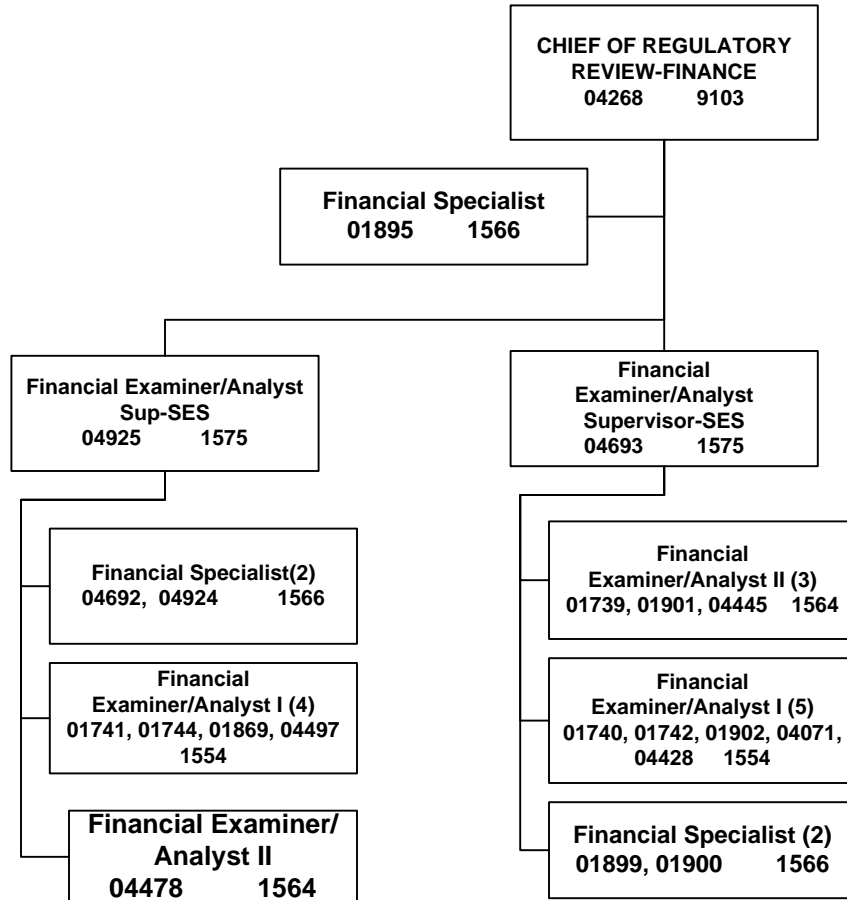
**Financial Services Commission
Office of Financial Regulation
Division of Finance
Bureau of Finance Regulation
West Palm Beach - Finance Regulation**



**Financial Services Commission
Office of Financial Regulation
Division of Finance
Bureau of Finance Regulation
Miami - Finance Regulation**



Financial Services Commission
Office of Financial Regulation
Division of Finance
Bureau of Regulatory Review - Finance



Bureau Total FTE: 22

❖ FTE not Included in this Section
Dotted Line = Administratively Assigned. FTE not counted.
Italic = Organizationally Assigned. Reports elsewhere administratively. FTE counted.

Eff 07-01-12
Rev 07-30-12

OFR-35

FINANCIAL SERVICES, DEPARTMENT OF		FISCAL YEAR 2011-12			
SECTION I: BUDGET		OPERATING		FIXED CAPITAL OUTLAY	
TOTAL ALL FUNDS GENERAL APPROPRIATIONS ACT				304,232,321	
ADJUSTMENTS TO GENERAL APPROPRIATIONS ACT (Supplementals, Vetoes, Budget Amendments, etc.)				9,269,474	
FINAL BUDGET FOR AGENCY				313,501,795	
SECTION II: ACTIVITIES * MEASURES		Number of Units	(1) Unit Cost	(2) Expenditures (Allocated)	(3) FCO
<i>Executive Direction, Administrative Support and Information Technology (2)</i>					0
Provide Analysis On Securities Held For Deposit And Qualified Public Depositories * Number of analyses performed on the financial condition of qualified public depositories and custodians, and securities held for regulatory collateral deposit.		7,471	50.02	373,735	
Process Transactions, Account Changes And Audit Functions * Number of account actions taken on regulatory collateral deposit accounts.		52,589	19.51	1,026,274	
Investment Of Public Funds * Dollar Volume Of Funds Invested		19,500,000,000	0.00	666,915	
Provide Cash Management Services * Number of cash management consultation services.		30	31,493.17	944,795	
Receive Funds, Process Payment Of Warrants And Provide Account And Reconciliation Services * Number of financial management/accounting transactions processed and reports produced.		4,818,116	0.32	1,556,132	
Administer The State Supplemental Deferred Compensation Plan * Number of participant account actions processed by the state deferred compensation office.		1,550,113	1.08	1,667,592	
Accounting And Reporting Of State Funds * State Accounts Managed In The Florida Accounting Information Resource System.		38,229	131.00	5,007,840	
Migrate Current Accounts Payable Procedures To Electronic Commerce * Payments issued electronically to settle claims against the state.		12,760,443	0.09	1,096,693	
Conduct Pre-audits Of Selected Accounts Payable * Agency payment requests are pre-audited and posted in a timely manner such that payments are issued in less than the 10 day statutory time limit.		621,078	5.58	3,467,505	
Conduct Post-audits Of Major State Programs * Number of contract/grant reviews and post-audits of contract/grant disbursements completed to determine compliance with statutory and contractual requirements.		9	187,600.67	1,688,406	
Process State Employees Payroll * Payroll payments issued.		3,146,952	0.78	2,462,452	
Conduct Post-audits Of Payroll * Post-audits completed of state agencies payroll payments to determine compliance with statutes and Federal rules and regulations.		2	91,196.00	182,392	
Conduct Fiscal Integrity Investigations * Fiscal integrity investigations completed to investigate allegations or suspicions of fraud, waste or abuse.		9	99,338.89	894,050	
Article V - Clerk Of The Courts * N/A		6	126,039.33	756,236	
Collect Unclaimed Property * Accounts reported by holders of unclaimed property.		1,428,100	1.74	2,488,473	
Process And Payment Of Unclaimed Property * Payments processed for claims of unclaimed property.		289,729	8.83	2,558,676	
License The Fire Protection Industry * Number of entity requests for licenses, permits and certificates processed within statutorily mandated time frames.		6,859	74.78	512,923	
Perform Fire Safety Inspections * Number of inspections of fire code compliance completed.		17,294	236.79	4,095,061	
Review Construction Plans For Fire Code Compliance * Number of construction plans reviewed.		518	1,053.54	545,735	
Perform Boiler Inspections * Number of boiler inspections completed by department inspectors.		1,532	370.74	567,967	
Investigate Fires Accidental, Arson And Other * Total number of closed fire investigations involving economic or physical loss.		3,811	3,515.65	13,398,153	
Provide State, Local And Business Professional Training And Education * Number of classroom contact hours provided by the Florida State Fire College.		199,845	25.84	5,164,704	
Provide State, Local And Business Professional Standards, Testing And Statutory Compliance * Number of examinations administered.		5,676	449.62	2,525,048	
Provide Forensic Laboratory Services * Number of evidence items and photographic images processed		15,729	64.40	1,012,971	
Fire Incident Reporting * Number of total incidents reported to the Florida Fire Incident Reporting System.		3,688,141	0.10	369,726	
Provide Adjusting Services On State Workers' Compensation Claims * Number of workers' compensation claims worked.		21,143	1,142.05	24,146,362	
Provide Adjusting Services On State Liability Claims * Number of liability claims worked.		4,888	2,232.85	10,914,177	
Process Property Claims On State Owned Buildings (Structure And Contents) * Number of state property loss/damage claims worked.		101	18,695.72	1,888,268	
Provide Risk Services Training And Consultation * Risk services training and consultation as measured by the number of training units (1 unit = 8 hours) provided and consultation contacts made.		260	6,970.23	1,812,261	
Rehabilitate And/Or Liquidate Financially Impaired Insurance Companies * Number of insurance companies in receivership during the year.		55	16,866.13	927,637	
Review Applications For License (qualifications) * Number of applications for licensure processed.		105,677	26.73	2,824,504	
Administer Examinations And Issue Licenses * Number of examinations administered and licenses authorized.		70,886	48.90	3,466,008	
Administer The Appointment Process From Employers And Insurers * Number of appointment actions processed.		1,579,891	0.49	772,182	
Administration Of Education Requirements (pre Licensing And Continuing Education) * Number of applicants and licensees required to comply with education requirements.		188,425	2.16	407,647	
Investigate Agents And Agencies * Number of agent and agency investigations completed.		2,535	2,359.96	5,982,509	
Investigate Insurance Fraud (general) * Number of insurance fraud investigations completed (not including workers' compensation).		2,444	6,324.98	15,458,245	
Investigate Workers' Compensation Insurance Fraud * Number of workers' compensation insurance fraud investigations completed (not including general fraud investigations).		623	6,933.18	4,319,371	
Respond To Consumer Request For Assistance * Number of consumer requests and informational inquiries handled.		54,370	79.00	4,295,235	
Provide Consumer Education Activities * Number of consumer educational materials created and distributed.		256,664	2.76	707,796	
Answer Consumer Telephone Calls * Number of telephone calls answered through the consumer helpline.		365,397	12.87	4,701,526	
Examine And Regulate Licensees In The Funeral & Cemetery Business (chapter 497) To Ensure Regulatory Compliance * Number of examinations and inspections completed FY 10-11: 1,590		1,629	1,282.65	2,089,429	
Monitor And Audit Workers' Compensation Insurers To Ensure Benefit Payments * Number of claims reviewed annually.		92,547	46.42	4,296,416	
Verify That Employers Comply With Workers' Compensation Laws * Number of employer investigations conducted.		34,780	383.71	13,345,337	
Facilitate The Informal Resolution Of Disputes With Injured Workers, Employers And Insurance Carriers * Number of injured workers that obtained one or more benefits due to intervention by the Employee Assistance Office.		1,468	3,098.45	4,548,524	
Provide Reimbursement For Workers' Compensation Claims Paid By Insurance Carriers On Employees Hired With Preexisting Conditions * Number of reimbursement requests (SDF-2) audited.		4,195	327.58	1,374,205	
Collection Of Assessments From Workers' Compensation Insurance Providers * Amount of assessment dollars collected.		83,768,400	0.01	692,875	
Data Collection, Dissemination, And Archival * Number of records successfully entered into the division's databases.		5,046,122	0.78	3,948,483	
Reimbursement Disputes * Number of petitions for reimbursement dispute resolution resolved annually		5,624	249.42	1,402,721	
Approve And License Entities To Conduct Insurance Business. * Number of applications processed.		137	6,415.81	878,966	
Conduct And Direct Market Conduct Examinations. * Number of examinations and investigations completed for licensed companies and unlicensed entities		1,090	2,771.32	3,020,736	
Conduct Financial Reviews And Examinations. * Number of financial reviews and examinations completed.		8,831	1,760.31	15,545,274	
Review And Approve Rate And Form Filings. * Number of rate and forms review completed.		14,686	521.67	7,661,229	
Examine And Regulate Financial Services Companies To Ensure Regulatory Compliance. * Examinations of non-depository financial service companies to determine compliance with regulations.		1,492	5,583.58	8,330,701	
Evaluate And Process Applications For Licensure As A Financial Services Entity. * Applications processed or evaluated for licensure or registration as a non-depository financial services entity.		20,917	129.99	2,718,985	
Examine And Enforce Laws Regarding Banks, Trusts, And Credit Unions To Ensure Safety And Soundness. * Number of domestic financial institutions examined to ensure safety and soundness.		235	50,330.39	11,827,642	
Examine And Enforce Laws Regarding International Financial Institutions To Ensure Safety And Soundness. * Number of international financial institutions examined to ensure safety and soundness.		23	32,001.74	736,040	
Conduct Financial Investigations Into Allegations Of Fraudulent Activity. * Number of financial investigations into allegations of fraudulent activity.		343	15,704.01	5,386,476	
Examine And Regulate Money Services Businesses To Ensure Regulatory Compliance * Examinations of money services businesses conducted to determine compliance with regulations.		347	6,694.28	2,322,916	
Examine And Regulate Securities Firms, Branches To Ensure Regulatory Compliance. * Conducted examinations and investigations, handle complaints related to securities firms, branch offices, and their employees.		235	25,029.36	5,881,900	
Evaluate And Process Applications For Registration As A Securities Firm, Branch, And/Or Individual. * Substantively review and act upon securities applications for registration of firms, branch offices associated person and securities offerings.		54,666	46.43	2,538,120	
TOTAL				236,201,157	
SECTION III: RECONCILIATION TO BUDGET					
PASS THROUGHS					
TRANSFER - STATE AGENCIES					
AID TO LOCAL GOVERNMENTS					
PAYMENT OF PENSIONS, BENEFITS AND CLAIMS					
OTHER				35,991,572	
REVERSIONS				41,105,512	
TOTAL BUDGET FOR AGENCY (Total Activities + Pass Throughs + Reversions) - Should equal Section I above. (4)				313,298,241	

SCHEDULE XI/EXHIBIT VI: AGENCY-LEVEL UNIT COST SUMMARY

- Some activity unit costs may be overstated due to the allocation of double budgeted items.
- Expenditures associated with Executive Direction, Administrative Support and Information Technology have been allocated based on FTE. Other allocation methodologies could result in significantly different unit costs per activity.
- Information for FCO depicts amounts for current year appropriations only. Additional information and systems are needed to develop meaningful FCO unit costs.
- Final Budget for Agency and Total Budget for Agency may not equal due to rounding.

ACTIVITY ISSUE CODES SELECTED:

TRANSFER-STATE AGENCIES ACTIVITY ISSUE CODES SELECTED:

1-8:

AID TO LOCAL GOVERNMENTS ACTIVITY ISSUE CODES SELECTED:

1-8:

THE FOLLOWING STATEWIDE ACTIVITIES (ACT0010 THROUGH ACT0490) HAVE AN OUTPUT STANDARD (RECORD TYPE 5)
AND SHOULD NOT:

*** NO ACTIVITIES FOUND ***

THE FCO ACTIVITY (ACT0210) CONTAINS EXPENDITURES IN AN OPERATING CATEGORY AND SHOULD NOT:
(NOTE: THIS ACTIVITY IS ROLLED INTO EXECUTIVE DIRECTION, ADMINISTRATIVE SUPPORT AND INFORMATION
TECHNOLOGY)

*** NO OPERATING CATEGORIES FOUND ***

THE FOLLOWING ACTIVITIES DO NOT HAVE AN OUTPUT STANDARD (RECORD TYPE 5) AND ARE REPORTED AS 'OTHER' IN
SECTION III: (NOTE: 'OTHER' ACTIVITIES ARE NOT 'TRANSFER-STATE AGENCY' ACTIVITIES OR 'AID TO LOCAL
GOVERNMENTS' ACTIVITIES. ALL ACTIVITIES WITH AN OUTPUT STANDARD (RECORD TYPE 5) SHOULD BE REPORTED
IN SECTION II.)

BE	PC	CODE	TITLE	EXPENDITURES	FCO
43010200	1602000000	ACT1020	HOLOCAUST VICTIMS ASSISTANCE	302,413	
43010400	1602000000	ACT1040	INSURANCE CONSUMER ADVOCATE	578,447	
43010500	1603000000	ACT1050	INFORMATION TECHNOLOGY - FLAIR	11,806,523	
43200100	1601000000	ACT2010	PASS THROUGH FROM PRISON INDUSTRY	747,139	
43200100	1601000000	ACT2020	CAPITAL COLLATERAL REGISTRY	1,514,847	
43400100	1601000000	ACT4150	PURCHASE OF EXCESS INSURANCE	13,520,875	
43600100	1102020000	ACT6010	TRANSFER TO 1ST DISTRICT COURT OF	1,761,055	
43500700	1202000000	ACT9060	AFDC/WAGES/EMPLOYEE FRAUD	771,065	
43500700	1202000000	ACT9070	PUBLIC ASSISTANCE FRAUD	1,088,689	
43500700	1202000000	ACT9080	MEDICAID FRAUD INVESTIGATIONS	783,752	
43900110	1204000000	ACT9150	HURRICANE RATE/RISK MODEL	588,409	
43200100	1601000000	ACT9920	RELIEF BILL	1,350,000	
43500400	1205000000	ACT9930	TRANSFER TO FLORIDA CATASTROPHIC	950,000	
43600100	1102020000	ACT9940	TRANSFER TO THE UNIVERSITY OF	228,358	

TOTALS FROM SECTION I AND SECTIONS II + III:

DEPARTMENT: 43	EXPENDITURES	FCO
FINAL BUDGET FOR AGENCY (SECTION I):	313,501,795	
TOTAL BUDGET FOR AGENCY (SECTION III):	313,298,241	
HB 119 PIP Study passed in 2012 Leg Session	200,000	
provided appropriation effective upon becoming law and is not included in th Section I total.		
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DIFFERENCE:	3,554	
(MAY NOT EQUAL DUE TO ROUNDING)	=====	=====

Schedule XII - Series Outsourcing or Privatization of State Service or Activity

(The Department of Financial Services has no submission for this
schedule for the Fiscal Year 2013-14 Legislative Budget Request)

Schedule XIII-Proposed Consolidated Financing of Deferred-Payment Commodity Contracts

(The Department of Financial Services has no submission for this schedule for the Fiscal Year 2013-14 Legislative Budget Request)

Schedule XV - Contract Reporting

(The Department of Financial Services has no submission for this schedule for the Fiscal Year 2013-14 Legislative Budget Request)

Schedule XIV – Variance from Long Range Financial Outlook

(The Department of Financial Services has no submission for this schedule for the Fiscal Year 2013-14 Legislative Budget Request)

Department of Financial Services Exhibits or Schedules

(See department-level exhibits or schedules)

Department of Financial Services
Schedule I Series

(See Department Schedule I)

Schedule IV-B-Information Technology Projects

(The Department of Financial Services will submit the required documentation for this schedule on, or before, 10/31/2012)

Schedule VI – Detail of Debt Service

(The Department of Financial Services has no submission for this schedule for the Fiscal Year 2013-14 Legislative Budget Request)

SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS

Budget Period: 2013- 2014

Department: Financial Services

Chief Internal Auditor: Sandra Lipner

Budget Entity: Office of Inspector General

Phone Number: (850) 413-4966

(1) REPORT NUMBER	(2) PERIOD ENDING	(3) UNIT/AREA	(4) SUMMARY OF FINDINGS AND RECOMMENDATIONS	(5) SUMMARY OF CORRECTIVE ACTION TAKEN	(6) ISSUE CODE
Auditor General Report No. 2012- 008	Feb. 2011	<i>DFS Treasury Investment Policies and Prior Audit Follow-up</i>	<p>Finding 1: The Treasury's investment policies, procedures and Web site disclosures could be improved by amending them to include certain provisions recommended by Government Finance Officer Association Guidance.</p> <p>Recommendation: that the Treasury amend its investment policies, procedures and Web site disclosures, as applicable, to incorporate coverage of share valuation, structured investments and derivatives, standards of care, safeguarding and custody of securities, internal controls, reporting, investment policy review and approval, Investment Committee members, and Investment Committee meeting minutes. We also recommend that the Treasury submit its policies, procedures, and Web site disclosures to its investment consultant for review.</p>	<p>Corrective Action at Six-month Follow-up Review: Treasury staff continue to review policies, procedures and web site disclosures and have made further updates to the Comprehensive Investment Policy which have been reviewed and approved by the Investment Committee. Treasury has also enhanced procedures and the web site to incorporate changes deemed appropriate.</p>	
			<p>Finding 2: The Department should continue its efforts, in consultation with the Legislature, to affect changes to current Florida law that would allow for a functional Qualified Public Depository Oversight Board.</p> <p>Recommendation: that the Department continue to pursue the establishment of an advisory committee.</p>	<p>Corrective Action at Six-month Follow-up Review: Treasury staff worked with the Florida Bankers Association (FBA) concerning revisions to Chapter 280, Florida Statutes. The proposed changes would have included the removal of the Bank Oversight Board and reestablishment of the Qualified Public Depository Advisory Board. The necessary changes were not adopted during the most recent Legislative session. Therefore, Treasury will continue to work with the Department's legislative staff and the FBA regarding the changes.</p>	
			<p>Finding 3: The Department had not adopted rules to enumerate the circumstances under which collateral pledging levels may differ from levels computed under existing law and rule.</p> <p>Recommendation: that the Department amend its rules as necessary to enumerate the circumstances under which analysts may change the collateral pledging levels from those computed by CAP.</p>	<p>Corrective Action at Six-month Follow-up Review: Chapter 280, Florida Statutes, was not updated in the most recent Legislative session. Therefore, Treasury will pursue updating the Rule as an individual issue.</p>	

REPORT NUMBER	PERIOD ENDING	UNIT/AREA	SUMMARY OF FINDINGS AND RECOMMENDATIONS	SUMMARY OF CORRECTIVE ACTION TAKEN	ISSUE CODE
Auditor General Report No. 2012-008 (...cont.)	Feb. 2011	<i>DFS Treasury Investment Policies and Prior Audit Follow-up</i>	<p>Finding 4: The Department had not adopted rules identifying the conditions under which a Qualified Public Depository with low financial condition rankings may submit a request to delay the provision of additional collateral, criteria to evaluate such a request, or the time frame within which a hardship case must ultimately meet a required collateral level.</p> <p>Recommendation: that the Department establish by rule the conditions under which a hardship request may be submitted by a QPD, the criteria to be used by the Department to evaluate hardship requests, and the maximum time frame within which a transition to a required collateral level must be completed.</p>	<p>Corrective Action at Six-month Follow-up Review: Chapter 280, Florida Statutes, was not updated in the most recent Legislative session. Therefore, Treasury will pursue updating the Rule as an individual issue.</p>	
			<p>Finding 5: Subsidiary worksheets used to track and value abandoned securities continued to contain inaccurate and incomplete information.</p> <p>Recommendation: that the Bureau of Unclaimed Property reconcile all investment worksheets to UPMIS. Additionally, the Bureau should continue its efforts to determine the feasibility of making changes to UPMIS to accommodate the tracking and valuation of investments held by outside holders. Additionally, the Bureau should ensure that investments are appropriately valued.</p>	<p>Corrective Action at Six-Month Follow-up Review: The Bureau has continued to work with its contracted securities custodian, eliminating the need for investment tracking spreadsheets to be created. The Bureau has further reduced the number of existing tracking spreadsheets from 11 to two (2). The Bureau anticipates that the securities associated with the remaining two spreadsheets will be reissued or liquidated in the next 60 days. The Bureau is continuing to seek enhancements to the Unclaimed Property Management Information System (UPMIS) to accommodate the tracking and valuation of investments held by outside holders, which remains one of many UPMIS-related priorities for the Bureau.</p>	

REPORT NUMBER	PERIOD ENDING	UNIT/AREA	SUMMARY OF FINDINGS AND RECOMMENDATIONS	SUMMARY OF CORRECTIVE ACTION TAKEN	ISSUE CODE
Auditor General Report No. 2012-016	July 2011	<i>DFS Florida Accounting Information Resource (FLAIR) Subsystem</i>	<p>Finding 1: The access privileges of some Department users were not appropriate for their job responsibilities.</p> <p>Recommendation: The Department should limit user access privileges to only what is necessary for user job responsibilities. Additionally, the Department should ensure that periodic reviews of DAC and HAC Statewide access privileges contain sufficient information to determine whether assigned access privileges remain appropriate and commensurate with job responsibilities. The Department should also expand its review of Payroll Component access privileges to include users within DIS.</p>	<p>Corrective Action at Six-month Follow-up Review: The Division of Accounting and Auditing is in the process of revising the access control procedure for users needing access to OLO 4390 in Departmental FLAIR and is in the process of developing a new access control procedure for users needing Statewide access to Departmental FLAIR's environments for the Departmental Accounting Component (DAC) and the DAC data base file for the Department of Children and Families (HAC). In conjunction with the procedure revisions, the Division is also requesting the development of new access control reports to support quarterly monitoring activities.</p>	
				<p>The Division of Accounting and Auditing has updated access control procedures for users needing access to the available budget override function in the Central FLAIR Central Accounting Component (CAC) and for all users of the Payroll Component. The Division has also created a new monitoring report that identifies the transactions and user ID that have been overridden in CAC. These changes will be incorporated into the third quarter access control review for Fiscal Year 2011-12.</p>	
				<p>Corrective Action, Continued: On July 15, 2011, the Division of Information Systems limited access privileges to the W-9 Web Site Production Program Code to only those positions necessary based on user job responsibilities. The Division of Information Systems also completed restriction of network folder access privileges to only those positions necessary based on user job responsibilities on June 3, 2011. Additionally, inherited access privileges were denied for the Bureau of State Payrolls folder on September 27, 2011. The Division of Information Systems also modified the access review reports on September 16, 2011, to include sufficient detail for determining the appropriateness of access privileges. Additionally, review of users with Payroll Component access now includes review of DIS staff.</p>	

REPORT NUMBER	PERIOD ENDING	UNIT/AREA	SUMMARY OF FINDINGS AND RECOMMENDATIONS	SUMMARY OF CORRECTIVE ACTION TAKEN	ISSUE CODE
Auditor General Report No. 2012-016 (...cont.)	July 2011	<i>DFS Florida Accounting Information Resource (FLAIR) Subsystem</i>	<p>Finding 2: The Department did not disable network access privileges of some former employees in a timely manner.</p> <p>Recommendation: The Department should enhance its practices to ensure that the network access privileges of all former employees are disabled in a timely manner.</p>	<p>Corrective Action at Six-month Follow-up: In June 2011, the Department procured a monitoring tool for \$34,194 to more accurately record the date privileges were disabled. On July 13, 2011 the Division of Information Systems implemented this tool and since that time has been capturing these records. The Department is in the process of enhancing its policies and procedures related to timely disablement of access privileges. Additionally, the DIS Compliance Office has implemented a process to perform periodic checks of employee separations to ensure network accounts are disabled.</p>	
			<p>Finding 3: Certain Department security controls needed improvement.</p> <p>Recommendation: The Department should improve security controls related to security event logging, logical access, and data transmission.</p>	<p>Corrective Action at Six-month Follow-up Review: The Department has made significant progress in enhancing the noted security controls to ensure the confidentiality, integrity, and availability of data and IT resources.</p>	
			<p>Finding 4: Contrary to the requirements of the State of Florida, <i>General Records Schedule</i> for the retention of access control records, the Department did not retain some network and Natural Security access control records.</p> <p>Recommendation: The Department should ensure that access control records are retained as required by the <i>General Records Schedule</i>.</p>	<p>Corrective Action at Six-month Follow-up Review: In June 2011, the Department procured a monitoring tool for \$34,194 to ensure that records were captured and retained in accordance with the <i>General Records Schedule</i>. On July 13, 2011, the Division of Information Systems implemented this tool and since that time has been capturing and retaining these records. Additionally, on August 7, 2011, the Division enhanced Natural Security logging to ensure proper retention of those records, as well.</p>	

REPORT NUMBER	PERIOD ENDING	UNIT/AREA	SUMMARY OF FINDINGS AND RECOMMENDATIONS	SUMMARY OF CORRECTIVE ACTION TAKEN	ISSUE CODE
Auditor General Report No. 2012-016 (...cont.)	July 2011	<i>DFS Florida Accounting Information Resource (FLAIR) Subsystem</i>	<p>Finding 5: The Department did not maintain a comprehensive configuration repository of its IT infrastructure and applications.</p> <p>Recommendation: The Department should implement a central comprehensive configuration repository to facilitate management and control of its IT infrastructure and applications.</p>	<p>Corrective Action at Six-month Follow-up Review: The Department is leveraging multiple repository solutions to expand management of its information technology infrastructure. Existing repositories have been updated, as needed. In 2010, the Department procured enhancements to existing configuration management tools for \$149,579 to facilitate management and control of its IT infrastructure and applications. The Division of Information Systems has completed one tool enhancement and has dedicated resources to work toward deployment of the second enhancement.</p>	
			<p>Finding 6: The Department did not provide initial security awareness training for some agency workers or periodic refresher training for all agency workers.</p> <p>Recommendation: The Department should provide initial and periodic refresher security awareness training for all Department workers, including salaried employees, contractors, volunteers, and OPS employees.</p>	<p>Corrective Action at Six-Month Follow-up Review: In April 2010, the Division of Information Systems procured a Security Awareness Training tool for \$10,720 (per annum) and began phased-in training of Department workers in November 2011. As of April 2, 2012, 1,979 workers had completed this training. Additionally, new Department workers are registered for training by DIS when a request is received to provide access to IT resources. The Division has also implemented a process to follow-up with workers to ensure that the training is completed within 30 days. Department workers are also required to complete refresher training on an annual basis.</p>	
			<p>Finding 7: The Department's firewall configuration management controls needed improvement.</p> <p>Recommendation: The Department should ensure that all changes to the firewall configuration are approved and tested and that affected users are notified of the changes as provided in the Firewall Configuration Procedure.</p>	<p>Corrective Action at Six-month Follow-up Review: The Division of Information Systems completed enhancement of its firewall configuration procedures in March 2012.</p>	
			<p>Finding 8: Some Department policies and procedures were outdated, inaccurate, lacking, or not effectively disseminated to staff. The Department also lacked written procedures for some Departmental Accounting Component (DAC) access control processes.</p> <p>Recommendation: The Department should update and correct inaccuracies in existing policies and procedures. Additionally, the Department should ensure that procedures are communicated and made available to all appropriate staff.</p>	<p>Corrective Action at Six-month Follow-up Review: The Division of Information Systems has established procedures for on-going, periodic review of all Division internal policies and procedures. Division internal policies and procedures are available to Division employees on the Division's Intranet site to ensure they remain accessible. The Division of Information Systems has also enhanced procedures to ensure that Division employees are informed of changes to internal operating policies and procedures.</p>	

REPORT NUMBER	PERIOD ENDING	UNIT/AREA	SUMMARY OF FINDINGS AND RECOMMENDATIONS	SUMMARY OF CORRECTIVE ACTION TAKEN	ISSUE CODE
Auditor General Report No. 2012-016 (...cont.)	July 2011	<i>DFS Florida Accounting Information Resource (FLAIR) Subsystem</i>	Finding 8 (Continued)	The Division of Accounting and Auditing revised the Payroll Preparation Manual and notified agencies of the revisions on January 5, 2012. The revisions reflect the current payroll practices regarding payroll certifications and general processing procedures associated with Biweekly, Monthly, Supplemental and On Demand payrolls. In addition, all applicable Bureau of State Payrolls desk procedures were updated between October and December 2011 to reflect current procedures for Miscellaneous Adjustments, payroll reports and various payroll processing activities.	
				Corrective Action Continued: The Division of Administration continues to provide direct, verbal notification to its employees of revisions to internal procedures. The procedures are available to Division employees on a shared drive. The Division continues to send email notifications when Departmental Administrative Policies & Procedures are updated.	

REPORT NUMBER	PERIOD ENDING	UNIT/AREA	SUMMARY OF FINDINGS AND RECOMMENDATIONS	SUMMARY OF CORRECTIVE ACTION TAKEN	ISSUE CODE
Auditor General Report No. 2012-026	Feb. 2011	<i>DFS Division of Agent and Agency Services</i>	<p>Finding 1: The Department had not prepared detailed analyses comparing particular categories of regulatory costs to the revenues designated to cover those costs.</p> <p>Recommendation: the Department conduct periodic comparisons of the costs of industry regulation and the associated designated fees and taxes. Any indicated need for changes in rates should be brought to the Legislature's attention.</p>	<p>Corrective Action at Six-month Follow-up Review: The Department has continued to provide the Legislature with quarterly analyses of Insurance Regulatory Trust Fund (IRTF) revenues and expenditures. The Department provided the Fiscal Year 2011-12 third quarter Trust Fund Analysis Report to the Legislature on May 4, 2012. The quarterly Trust Fund Analysis Reports provide the Legislature with the information on IRTF revenues and program expenditures necessary to make decisions regarding rate changes.</p>	
			<p>Finding 2: The Division had not provided for adequate oversight of the information technology controls relevant to the system used to support Division licensing functions.</p> <p>Recommendation: that the Division amend the information technology services contract and the Department make or obtain an independent periodic assessment of the contractor's relevant internal controls.</p>	<p>Corrective Action at Six-month Follow-up: On July 2, 2011, the Department entered into a new agreement with the contractor which included provisions requiring the contractor to comply with the Department's policies and procedures and standards for information technology functions within the Department. Through the new contract and the contractor's required use of the Department's Change Management Process and Information Systems Development Methodology, the Department has provided the appropriate internal control framework.</p>	
			<p>Finding 3: The Department had not properly assessed and collected certain required fees.</p> <p>Recommendation: that the Department continue its efforts to implement procedures to assess and collect all required fees.</p>	<p>Corrective Action at Six-month Follow-up Review: System changes were deployed January 18, 2012, to begin collecting the noted fees.</p>	
			<p>Finding 4: A significant number of investigations were not closed within Department established timeframes.</p> <p>Recommendation: The Bureau should take steps to improve the timeliness of investigations.</p>	<p>Corrective Action at Six-month Follow-up Review: The scheduled deployment in June 2012 of a new case tracking system will facilitate additional process improvements allowing for further reductions in the overall time to complete investigations. In addition, Division leaders continue to stress to staff the importance of timely completion of investigative cases, while maintaining the integrity and quality of the investigation. Efforts to date have resulted in improvements in the timeliness of investigations.</p>	

REPORT NUMBER	PERIOD ENDING	UNIT/AREA	SUMMARY OF FINDINGS AND RECOMMENDATIONS	SUMMARY OF CORRECTIVE ACTION TAKEN	ISSUE CODE
Auditor General Report No. 2012-067	June 2011	<i>DFS Division of Risk Management Monitoring of Third-Party Administrators</i>	<p>Finding 1: The Division did not adequately monitor third-party administrators engaged to provide workers' compensation case management administrative services and pharmacy benefits management.</p> <p>Recommendations: that the Division:</p> <ul style="list-style-type: none"> • Comply with its policies and procedures related to performing required payment audits, medical reimbursement audits, and audit tests of TPA reimbursements. • Develop specific policies and procedures to require periodic audits of pharmaceutical claims paid by the Division's pharmaceutical benefit service provider. • Perform on-site monitoring of all TPAs. • Amend TPA contracts to require service auditor reports. • Establish a database or obtain access to TPA data containing detailed information on claims paid. 	<p>Corrective Action at Six-month Follow-up Review: As part of the Division's long-term strategy to strengthen monitoring of third-party administrators (TPAs), the Division had undertaken certain initiatives and formulated longer-term plans, including the following:</p> <p>a) The Division has established a Contract Monitoring Unit; b) the Division has drafted revised internal policies and procedures to align and consolidate certain monitoring duties within the Contract Monitoring Unit to include quarterly workers' compensation payment audits and tests of TPA medical reimbursements; c) the Division contracted with a management consultant in FY 2011-12 to review operations of the current medical case management TPA and for a review of the operations of two TPAs contracted to manage legacy claims; d) contingent upon available funding the Division plans to contract for operational reviews of the remaining TPAs in FY 2012-13; e) the Division is in the process of executing an amendment to the contract with the pharmaceuticals TPA to define how repackaged drugs are priced and defines the source used for average wholesale price; f) to the extent possible given available funding the Division plans to contract for service audits of the Division's TPAs in FY 2012-13; g) the Division is in the process of procuring a new Risk Management Information System with the capability to capture claims data sufficient to enhance the Division's ability to monitor and evaluate the appropriateness of payments and provide additional support for the detection of potentially fraudulent activity.</p>	

REPORT NUMBER	PERIOD ENDING	UNIT/AREA	SUMMARY OF FINDINGS AND RECOMMENDATIONS	SUMMARY OF CORRECTIVE ACTION TAKEN	ISSUE CODE
Auditor General Report No. 2012-071	9/1/2011	DFS STARS (Information Technology Operational Audit)	<p>Finding 1: The access privileges of some employees, contractors, and external users were not necessary for the users' assigned job responsibilities and did not enforce an appropriate separation of duties. Additionally, contrary to Department Policy, the Division lacked written procedures for controlling access to the STARS application.</p> <p>Recommendations: The Department should limit access privileges to STARS resources to only what is needed to perform job responsibilities. The Department should also evaluate employee job responsibilities relating to STARS and make appropriate changes to enforce an appropriate separation of incompatible duties. Additionally, the Department should develop written procedures for controlling access to the STARS application.</p>	<p>Corrective Action at Six-month Follow-up Review: The Division of Risk Management reviewed and limited user access privileges to the STARS application to only those privileges necessary based on user job responsibility. Additionally, the Division of Risk Management has created access control procedures for controlling access to STARS. In conjunction with the new procedures, the Division of Risk Management has implemented quarterly access reviews to ensure privileges remain appropriate in accordance with Department Policy. In January 2012, the Division of Information Systems completed identification and restriction of access privileges to the STARS application servers to only those positions necessary based on user job responsibilities.</p>	
			<p>Finding 2: Authorization documentation for STARS access privileges for some users was missing or incomplete.</p> <p>Recommendation: The Department should maintain complete documentation of management authorization for user access to STARS that specifies the security profiles assigned to the users.</p>	<p>Corrective Action at Six-month Follow-up Review: The Division of Risk Management revised its access authorization practices to ensure that user access authorizations are appropriately documented and specify the access privileges being requested for the users. The Division also implemented a process for maintaining STARS access authorization documentation.</p>	
			<p>Finding 3: Department records of network access deactivation dates were manually prepared rather than system-generated, which may lessen management's assurance of the reliability and completeness of the records. In addition, contrary to Department <i>Policy</i>, the Department did not document the deactivation of access to the STARS application. We also noted that the Department did not timely deactivate the STARS server administrator access privileges of one former contractor.</p> <p>Recommendation: The Department should comply with AP&P 4-05 and also enhance its practices to ensure that the access privileges of all former employees and contractors are deactivated in a timely manner.</p>	<p>Corrective Action at Six-month Follow-up Review: The Department has enhanced procedures to ensure timely disablement of network access privileges for separating employees, and the complete documentation of disablement tasks. The Division of Risk Management has revised its access control practices to eliminate the reissuance and reactivation of STARS user IDs to ensure that access control records for separated employees are appropriately maintained in STARS. In June 2011, the Department procured a monitoring tool to more accurately record the date network privileges are disabled. On July 13, 2011 the Division of Information Systems implemented this tool and since that time has been capturing these records.</p>	

REPORT NUMBER	PERIOD ENDING	UNIT/AREA	SUMMARY OF FINDINGS AND RECOMMENDATIONS	SUMMARY OF CORRECTIVE ACTION TAKEN	ISSUE CODE
Auditor General Report No. 2012-071 (...cont.)	9/1/2011	DFS STARS (Information Technology Operational Audit)	<p>Finding 4: Contrary to the State of Florida, <i>General Records Schedule</i> requirements for the retention of access control records, the Department did not retain complete access control records.</p> <p>Recommendation: The Department should retain access control records as required by the <i>General Records Schedule</i>.</p>	<p>Corrective Action at Six-month Follow-up Review: The Division of Risk Management has revised its access control practices to eliminate the reissuance and reactivation of STARS user IDs to ensure that access control records for separated employees are appropriately maintained in STARS. Additionally, in accordance with the <i>General Records Schedule</i>, the Division implemented a process for preserving the access control records outside of the application for both separated employees and employees whose access has been modified. In June 2011, the Department procured a monitoring tool to more accurately record the date network privileges are disabled. On July 13, 2011, the Division of Information Systems implemented this tool and since that time has been capturing these records.</p>	
			<p>Finding 5: Contrary to Agency for Enterprise Information Technology (AEIT) Rules and Department <i>Policy</i>, some generic and shared user identification codes (IDs) existed with access privileges to STARS data and IT resources.</p> <p>Recommendation: the Department should assign unique user IDs to each individual who is authorized to access STARS data and IT resources.</p>	<p>Corrective Action at Six-month Follow-up Review: The Division of Risk Management has limited the use of generic user IDs within the STARS application by deactivating the three accounts that were no longer being utilized. Additionally, Division management has instructed staff on Department <i>Policy</i> prohibiting the sharing of network user ID's. The Division of Information Systems created individual STARS database administrative accounts for the Database Administrators.</p>	
			<p>Finding 6: The Department's review of the appropriateness of STARS user access privileges was not conducted on a sufficiently frequent basis. Additionally, documentation of access reviews conducted was not retained and results of the reviews were not reported, contrary to Department <i>Policy</i>.</p> <p>Recommendation: The Department should ensure that STARS access privileges are reviewed quarterly as required by AP&P 4-05. Additionally, the Department should retain documentation of access reviews and report the results to the Division of Information Systems Compliance Office.</p>	<p>Corrective Action at Six-month Follow-up Review: The Division of Risk Management has revised its practices to ensure that quarterly reviews of access privileges are conducted and that documentation of reviews is retained.</p>	
			<p>Finding 7: Certain Department security controls related to user authentication, session controls and logging needed improvement.</p> <p>Recommendation: The Department should implement appropriate security controls related to these areas.</p>	<p>Corrective Action at Six-month Follow-up Review: The Department is working to enhance security controls in the areas noted in the report.</p>	

REPORT NUMBER	PERIOD ENDING	UNIT/AREA	SUMMARY OF FINDINGS AND RECOMMENDATIONS	SUMMARY OF CORRECTIVE ACTION TAKEN	ISSUE CODE
Auditor General Report No. 2012-071 (...cont.)	9/1/2011	<i>DFS STARS (Information Technology Operational Audit)</i>	<p>Finding 8: STARS application program change controls needed improvement and the Department had not established written procedures for managing changes to the STARS application.</p> <p>Recommendation: The Department should establish and follow written procedures for managing changes to the STARS application. The Department should also implement a process for monitoring the movement of program changes into production.</p>	<p>Corrective Action at Six-month Follow-up Review: The Division of Risk Management has enhanced its change management process and is drafting written procedures for managing changes to the application.</p>	
			<p>Finding 9: STARS lacked a data edit to disallow the payment of medical benefits incurred after the date of denial for controverted claims. Also, no reporting was in place to allow claims supervisors to monitor the payment of benefits on controverted claims.</p> <p>Recommendation: The Department should establish the appropriate data edit in STARS. Until the edit is established, the Department should implement exception reporting and monitoring to detect and follow-up on such payments, should they occur.</p>	<p>Corrective Action at Six-month Follow-up Review: The Division of Risk Management determined that system limitations prevent the implementation of this type of data edit and also prevent production of an exception report. Due to these limitations, the Division has implemented a process to provide a monthly Controverted Claims with Payments report to claims staff.</p>	
			<p>Finding 10: Confidential and exempt workers' compensation claims information was not encrypted in some transmissions.</p> <p>Recommendation: The Department should implement appropriate controls to ensure that the transmission and receipt of confidential and exempt information is secured.</p>	<p>Corrective Action at Six-month Follow-up Review: The Division of Information Systems has enhanced the Department's IT infrastructure to provide multiple technologies to facilitate the secure transmission of confidential and exempt information. The Division of Risk Management continues to work with the Third Party Administrators to ensure that information exchanged with the Department is transmitted in a secure manner.</p>	
			<p>Finding 11: The Department did not monitor payments for medical services to providers from the Genex billing process to ensure claims were paid within 45 days of receipt.</p> <p>Recommendation: The Department should monitor billing claims for medical services to ensure claims are paid within 45 days of receipt.</p>	<p>Corrective Action at Six-month Follow-up Review: The Division of Risk Management has implemented a new process with Genex to identify and correct payment delay issues.</p>	

REPORT NUMBER	PERIOD ENDING	UNIT/AREA	SUMMARY OF FINDINGS AND RECOMMENDATIONS	SUMMARY OF CORRECTIVE ACTION TAKEN	ISSUE CODE
Auditor General Report No. 2012-071 (...cont.)	9/1/2011	<i>DFS STARS (Information Technology Operational Audit)</i>	<p>Finding 12: Sub-annual filings on open claims to the Division of Workers' Compensation were not always timely. Also, no reporting mechanism existed in STARS to allow Division staff to proactively ensure that filings were completed in a timely manner and appropriately filed.</p> <p>Recommendation: The Department should ensure that the sub-annual claim cost reports are filed with the Division of Workers' Compensation as required within the time frame specified. Also, the Department should review the <i>Missing SA Report</i> to ensure that past due reports are filed.</p>	<p>Corrective Action at Six-month Follow-up Review: The Division of Risk Management is working to create an interim report to pull information from STARS data tables to ensure that reports are appropriately filed.</p>	
			<p>Finding 13: Data reconciliation procedures were lacking between STARS and the temporary total disability (TTD) database.</p> <p>Recommendation: The Department should implement the necessary controls to ensure that data transfers between STARS and the TTD database are complete and accurate. Additionally, the Department should implement procedures for reconciling the TTD benefit payment data transferred from STARS to the TTD database, including records written to the append file for manual review.</p>	<p>Corrective Action at Six-month Follow-up Review: The Division of Risk Management has implemented a pay code to identify TTD payments which will be pulled into a report for the purpose of data exchange reconciliation. The Division of Risk Management is working with the Division of Information Systems to develop the TTD report.</p>	

REPORT NUMBER	PERIOD ENDING	UNIT/AREA	SUMMARY OF FINDINGS AND RECOMMENDATIONS	SUMMARY OF CORRECTIVE ACTION TAKEN	ISSUE CODE
Auditor General Report No. 2012-142	6/30/2011	<i>State of Florida Compliance and Internal Controls over Financial Reporting and Federal Awards</i>	<p>Finding FS 11-005: The FDFS Bureau of Accounting (Bureau) did not, in all instances, identify and correctly record financial accounts and balances related to the Rehabilitation and Liquidation Trust Fund activities for the fiscal year.</p> <p>Recommendation: that the Bureau enhance its fiscal year-end reporting procedures.</p>	<p>Corrective Action: The Bureau has enhanced procedures for review of year-end financial statement data to ensure all financial transactions related to Rehabilitation and Liquidation Trust Fund activities are accurately and completely identified and reported in the State's financial statements.</p>	
			<p>Finding FA 11-034: FDFS procedures established to ensure the accuracy and completeness of the SWCAP could be improved. Also, the 2012 SWCAP Section II documentation did not include financial information pertaining to the Northwest Regional Data Center.</p> <p>Recommendation: that the FDFS implement procedures to ensure all central service activities are included in the SWCAP and ensure that the data center is added to Section II of the SWCAP.</p>	<p>Corrective Action: FDFS will implement procedures to ensure all central service activities are included in the SWCAP. Additionally, FDFS added NWRDC to Section II of the 2013 SWCAP (based on Fiscal Year 2010-11 information) that was submitted to USDHHS on December 23, 2011.</p>	
			<p>Finding FA 11-037: FDFS did not adequately review the data presented on the Schedule of Expenditures of Federal Awards (SEFA) and the notes to the SEFA.</p> <p>Recommendation: that the FDFS establish review procedures to ensure amounts reported on the SEFA and the notes to the SEFA are accurate, complete, and in accordance with OMB Circular A-133 and the Compliance Supplement.</p>	<p>Corrective Action: FDFS will follow its established review procedures.</p> <p><i>NOTE: The OIG is scheduled to review the status of corrective action taken in response to AG Audit Report No. 2012-142 in FY 2012-13.</i></p>	
Auditor General Report No. 2012-179	January 2012	<i>DFS Special Disability Trust Fund Claims Manager 2004 System</i>	<p>Finding 1: The access privileges of some Department users were not necessary for their job responsibilities and did not enforce an appropriate separation of incompatible job duties.</p> <p>Recommendation: The Department should limit access privileges to SDTF System resources to only those necessary to perform assigned job duties and also evaluate employee job responsibilities to make applicable changes to enforce an appropriate separation of incompatible duties.</p>	<p>Corrective Action: Some of the excessive privileges resulted, in part, from the system's limited functionality in the assignment of inquiry-only privileges. Resolution of this issue would require a system program modification. The Division of Workers' Compensation will work to identify potential system modifications to resolve current system limitations. In the interim, the Division will implement increased supervision and monitoring of users. The Division's Office of the Special Disability Trust Fund will also review the job duties and associated access privileges for each staff member and make the changes necessary to minimize incompatible privileges.</p>	
			<p>Finding 2: The Department's review of SDTF System IT resource access privileges needed improvement.</p> <p>Recommendation: The Department should comply with the provisions of AP&P 4-05 regarding periodic reviews of access privileges for all SDTF system-related IT resources.</p>	<p>Corrective Action: In September 2011, the Division of Workers' Compensation began performing quarterly business unit level reviews of Special Disability Trust Fund system access privileges in accordance with AP&P 4-05.</p>	

REPORT NUMBER	PERIOD ENDING	UNIT/AREA	SUMMARY OF FINDINGS AND RECOMMENDATIONS	SUMMARY OF CORRECTIVE ACTION TAKEN	ISSUE CODE
Auditor General Report No. 2012-179 (...cont.)	January 2012	<i>DFS Special Disability Trust Fund Claims Manager 2004 System</i>	<p>Finding 3: Some confidential and exempt SDTF information was accessible by individuals who did not have a valid business purpose to access the information.</p> <p>Recommendation: The Department should improve controls protecting the confidentiality of SDTF confidential and exempt information.</p>	<p>Corrective Action: In November 2011, the Division of Information Systems restricted access permissions to the SDTF System Shared Folders to limit access to only those individuals with a valid business purpose.</p>	
			<p>Finding 4: Certain Department security controls needed improvement.</p> <p>Recommendation: The Department should improve its security controls related to access privileges, default local administrator accounts and local server security event logging.</p>	<p>Corrective Action: The Department has implemented improvements in some areas and is working to enhance security controls in other areas noted in the report.</p>	
			<p>Finding 5: SDTF System database backups were not regularly being stored at an off-site location.</p> <p>Recommendation: The Department should enhance procedures to ensure that a current copy of the SDTF System database is stored in a secure, off-site location.</p>	<p>Corrective Action: On February 20, 2012, the Division of Information Systems revised backup procedures to ensure the SDTF system database is backed up on a regular basis and that the back-up copies are stored at a secure off-site location.</p>	
			<p>Finding 6: Discrepancies in SDTF System data were noted. Also, system input, processing, and related user controls were deficient.</p> <p>Recommendation: The Department should implement appropriate input, processing, and user controls.</p>	<p>Corrective Action: The Department will identify and implement additional input, processing, and user controls to enhance the integrity of system data.</p>	
			<p>Finding 7: The Department did not reconcile claim payment data in the SDTF system to the FLAIR Subsystem.</p> <p>Recommendation: The Department should implement the necessary reconciliation controls to ensure that that claim payment data exchanged between the SDTF System and FLAIR is complete, valid, and accurate.</p>	<p>Corrective Action: The Division of Workers' Compensation will work with the Division of Information Systems to identify and implement controls to ensure accurate reconciliation of the data exchanged between the SDTF System and FLAIR.</p>	
			<p>Finding 8: Department monitoring of SDTF System logs and reports needed improvement.</p> <p>Recommendation: The Department should ensure that SDTF System logs and reports are routinely monitored.</p>	<p>Corrective Action: The SDTF System actively monitors and logs key changes to the database. It is the Division of Workers' Compensation's policy to periodically review the log to identify erroneous or unauthorized system activity. The Division will establish a review schedule to further ensure routine monitoring.</p>	
				<p><i>NOTE: The OIG is scheduled to review the status of corrective action taken in response to AG Audit Report No. 2012-179 in FY 2012-13.</i></p>	

SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS

Budget Period: 2013-2014

Department: Office of Insurance Regulation

Chief Internal Auditor: Bonnie Deering

Budget Entity: 43900120 Executive Direction

Phone Number: 850-413-4975

(1) REPORT NUMBER	(2) PERIOD ENDING	(3) UNIT/AREA	(4) SUMMARY OF FINDINGS AND RECOMMENDATIONS	(5) SUMMARY OF CORRECTIVE ACTION TAKEN	(6) ISSUE CODE
N/A	N/A	N/A	N/A	N/A	N/A

SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS

Budget Period: 2011 -2012

Department: Office of Financial Regulation

Chief Internal Auditor: Karen Fisher, Inspector General

Budget Entity: 43900540, 43900550, 43900570

Phone Number: (850)410-9712

(1) REPORT NUMBER	(2) PERIOD ENDING	(3) UNIT/AREA	(4) SUMMARY OF FINDINGS AND RECOMMENDATIONS	(5) SUMMARY OF CORRECTIVE ACTION TAKEN	(6) ISSUE CODE
State-chartered financial institutions.					
Auditor General Report No. 2011- 083		Division of Securities	Finding No. 3: OFR did not always timely submit to the Central Registration Depository (CRD) regulatory filings disclosing disciplinary actions taken (U6 forms), thereby limiting the information available to others as they pursue their duties relative to the regulation of the securities industry.	Six-Month Response: The Office has entered all Forms U-6 into the CRD System in a timely and consistent manner since January 1, 2011. The Office, as stated in the original response, does not agree with the finding concerning the Form U-4 amendments. It is the legal duty of the registrant to file the Form U-4 amendments, not the regulator.	
			Recommendation: We recommend that OFR file U6 forms with FINRA to ensure that disciplinary actions against individuals are available to other regulators and, as applicable, to the public through BrokerCheck. Additionally, we recommend that OFR perform follow-up procedures to determine whether individuals have filed U4 form amendments with FINRA for disciplinary actions taken by OFR.	Follow-up review was performed by Office of Auditor General. Report has not yet been released.	
Auditor General Report No. 2011- 083	30-Jun-11	REAL System, Executive Direction	Finding No. 8: OFR and the Department of Financial Services (DFS) did not have a signed service level agreement for the REAL System services provided by DFS.	Six-Month Response: The Office has not entered into an agreement with Department of Financial Services, Division of Information Systems (DFS-DIS). Senate Bill 2098 requires the Department of Financial Services data centers to begin preliminary planning, during 2013-2014 fiscal year, for consolidation into a primary data center. The Office has begun the process of negotiating to move the REAL System to the Southwood Shared Resource Center prior to the 2013-2014 fiscal year.	

Auditor General Report No. 2011-083 (cont...)	30-Jun-11	REAL System, Executive Direction	Recommendation: OFR should pursue the finalization of an agreement that includes appropriate and specific provisions defining each party's roles and responsibilities with respect to the REAL System.	Follow-up review was performed by Office of Auditor General. Report has not yet been released.	
OFR OIG Management Review Report No. M1112OFR-012		Bureau of Financial Investigations	Finding No. 1- Six of the ten FCIC/NCIC users surveyed were not aware of the Bureau of Financial Investigations' National Crime Information Center/Florida Crime Information Center (NCIC/FCIC) Criminal History Data and Computer Use Procedures.	Management is in the process of taking corrective action.	
			Based on the sample items tested there was one search that was a misuse of the FCIC/NCIC system, which was reported to FDLE, CJIS Compliance Unit. Recommendation: We recommend that management ensure that all users of the FCIC/NCIC system are familiar with the Bureau of Financial Investigations' National Crime information Center/Florida Crime Information Center (NCIC/FCIC) Criminal History Data and Computer Use Procedures and that the use of the FCIC/NCIC is for criminal justice purposes only. Management should consider requiring each investigator to sign for the receipt and acknowledgement of the Bureau of Financial Investigations' NCIC/FCIC Criminal History Data and Computer Use Procedures. The acknowledgement should also include a statement that the FCIC/NCIC system be used in support of criminal justice purposes only and should provide consequences for misuse or unauthorized use of the system/		
OFR OIG Management Review Report No. M1112OFR-012		Bureau of Financial Investigations	Finding No. 2- Eleven of the 26 sample items reviewed in the FCIC/NCIC system could not initially be associated with an investigation. The Office of the Inspector General provided the list of eleven names to Bureau personnel to research. Subsequently the names were associated with an investigation.	Management is in the process of taking corrective action.	

<p>OFR OIG Management Review Report No. M1112OFR-012 (cont...)</p>		<p>Bureau of Financial Investigations</p>	<p>Thirteen of the 39 sample items reviewed in D.A.V.I.D. could not be associated by the Bureau to an investigative case. Recommendation: We recommend that management amend current policy and procedures to include a written process by which each investigator that performs a search identifies the name of the requesting investigator and the related case number for both the FCIC/NCIC and D.A.V.I.D. systems.</p>		
<p>OFR OIG Management Review Report No. M1112OFR-012</p>		<p>Bureau of Financial Investigations</p>	<p>Finding No. 3- During the review of D.A.V.I.D. usage reports it was noted that four Bureau investigator names had been searched. The investigator names were not the names of the investigator performing the search. Upon inquiry of the investigators performing the search the reason for three of the searches was for "training" purposes and the fourth was unknown. These searches appear to be questionable usages of the D.A.V.I.D. system.</p>	<p>Management is in the process of taking corrective action.</p>	
			<p>Recommendation: Management has realized the need to develop procedures that address the appropriate use of the D.A.V.I.D. system. Management should consider including in the procedures the detailed description of what type of searches qualifies as "carrying out its functions." After the D.A.V.I.D. policies and procedures are implemented, management should consider requiring each investigator to sign for the receipt and acknowledgement of the procedures. The acknowledgement should also include a statement that the investigator understands what the D.A.V.D. system is to be used for and understands the consequences for misuse or unauthorized use of the system. In addition, to enhance the internal control system surrounding the use of the CJIS, we recommend that the Bureau perform periodic reviews of the use of CJIS.</p>		

Technical Checklist

LBR Review

Fiscal Year 2013-14 LBR Technical Review Checklist

Department/Budget Entity (Service): Department of Financial Services
Agency Budget Officer/OPB Analyst Name: Teri Madsen

A "Y" indicates "YES" and is acceptable, an "N/J" indicates "NO/Justification Provided" - these require further explanation/justification (additional sheets can be used as necessary), and "TIPS" are other areas to consider.

	Program or Service (Budget Entity Code)				
Action	4301	4310	4320	4330	4340

1. GENERAL

1.1 Are Columns A01, A02, A04, A05, A36, A93, IA1, IA5, IP1, IV1, IV3 and NV1 set to TRANSFER CONTROL for DISPLAY status and MANAGEMENT CONTROL for UPDATE status for both the Budget and Trust Fund columns? Are Columns A06, A07, A08 and A09 for Fixed Capital Outlay (FCO) set to TRANSFER CONTROL for DISPLAY status only? (CSDI)	Y	Y	Y	Y	Y
1.2 Is Column A03 set to TRANSFER CONTROL for DISPLAY and UPDATE status for both the Budget and Trust Fund columns? (CSDI)	Y	Y	Y	Y	Y

AUDITS:

1.3 Has Column A03 been copied to Column A12? Run the Exhibit B Audit Comparison Report to verify. (EXBR, EXBA)	Y	Y	Y	Y	Y
1.4 Has security been set correctly? (CSDR, CSA)	Y	Y	Y	Y	Y

TIP The agency should prepare the budget request for submission in this order: 1) Lock columns as described above; 2) copy Column A03 to Column A12; and 3) set Column A12 column security to ALL for DISPLAY status and MANAGEMENT CONTROL for UPDATE status.

2. EXHIBIT A (EADR, EXA)

2.1 Is the budget entity authority and description consistent with the agency's LRPP and does it conform to the directives provided on page 58 of the LBR Instructions?	Y	Y	Y	Y	Y
2.2 Are the statewide issues generated systematically (estimated expenditures, nonrecurring expenditures, etc.) included?	Y	Y	Y	Y	Y
2.3 Are the issue codes and titles consistent with <i>Section 3</i> of the LBR Instructions (pages 15 through 30)? Do they clearly describe the issue?	Y	Y	Y	Y	Y
2.4 Have the coding guidelines in <i>Section 3</i> of the LBR Instructions (pages 15 through 30) been followed?	Y	Y	Y	Y	Y

3. EXHIBIT B (EXBR, EXB)

3.1 Is it apparent that there is a fund shift and were the issues entered into LAS/PBS correctly? Check D-3A funding shift issue 340XXX0 - a unique deduct and unique add back issue should be used to ensure fund shifts display correctly on the LBR exhibits.	Y	Y	Y	Y	Y
3.2 Are the 33XXX0 issues negative amounts only and do not restore nonrecurring cuts from a prior year or fund any issues that net to a positive or zero amount? Check D-3A issues 33XXX0 - a unique issue should be used for issues that net to zero or a positive amount.	Y	Y	Y	Y	Y

AUDITS:

		Program or Service (Budget Entity Code)				
Action		4301	4310	4320	4330	4340
3.3	Negative Appropriation Category Audit for Agency Request (Columns A03 and A04): Are all appropriation categories positive by budget entity at the FSI level? Are all nonrecurring amounts less than requested amounts? (NACR, NAC - Report should print "No Negative Appropriation Categories Found")	Y	Y	Y	Y	Y
3.4	Current Year Estimated Verification Comparison Report: Is Column A02 equal to Column B07? (EXBR, EXBC - Report should print "Records Selected Net To Zero")	Y	Y	Y	Y	Y
TIP	Generally look for and be able to fully explain significant differences between A02 and A03.					
TIP	Exhibit B - A02 equal to B07: Compares Current Year Estimated column to a backup of A02. This audit is necessary to ensure that the historical detail records have not been adjusted. Records selected should net to zero.					
TIP	Requests for appropriations which require advance payment authority must use the sub-title "Grants and Aids". For advance payment authority to local units of government, the Aid to Local Government appropriation category (05XXXX) should be used. For advance payment authority to non-profit organizations or other units of state government, the Special Categories appropriation category (10XXXX) should be used.					
4. EXHIBIT D (EADR, EXD)						
4.1	Is the program component objective statement consistent with the agency LRPP, and does it conform to the directives provided on page 61 of the LBR Instructions?	Y	Y	Y	Y	Y
4.2	Is the program component code and title used correct?	Y	Y	Y	Y	Y
TIP	Fund shifts or transfers of services or activities between program components will be displayed on an Exhibit D whereas it may not be visible on an Exhibit A.					
5. EXHIBIT D-1 (ED1R, EXD1)						
5.1	Are all object of expenditures positive amounts? (This is a manual check.)	N	Y	Y	N	Y
AUDITS						
5.2	Do the fund totals agree with the object category totals within each appropriation category? (ED1R, XD1A - Report should print "No Differences Found For This Report")	Y	Y	Y	Y	Y
5.3	FLAIR Expenditure/Appropriation Ledger Comparison Report: Is Column A01 less than Column B04? (EXBR, EXBB - Negative differences need to be corrected in Column A01.)	Y	Y	Y	Y	Y
5.4	A01/State Accounts Disbursements and Carry Forward Comparison Report: Does Column A01 equal Column B08? (EXBR, EXBD - Differences need to be corrected in Column A01.)	Y	Y	Y	Y	Y

Action		Program or Service (Budget Entity Code)				
		4301	4310	4320	4330	4340
TIP	If objects are negative amounts, the agency must make adjustments to Column A01 to correct the object amounts. In addition, the fund totals must be adjusted to reflect the adjustment made to the object data.					
TIP	If fund totals and object totals do not agree or negative object amounts exist, the agency must adjust Column A01.					
TIP	Exhibit B - A01 less than B04: This audit is to ensure that the disbursements and carry/certifications forward in A01 are less than FY 2011-12 approved budget. Amounts should be positive.					
TIP	If B08 is not equal to A01, check the following: 1) the initial FLAIR disbursements or carry forward data load was corrected appropriately in A01; 2) the disbursement data from departmental FLAIR was reconciled to State Accounts; and 3) the FLAIR disbursements did not change after Column B08 was created.					
6. EXHIBIT D-3 (ED3R, ED3) (Not required in the LBR - for analytical purposes only.)						
6.1	Are issues appropriately aligned with appropriation categories?	Y	Y	Y	Y	Y
TIP	Exhibit D-3 is no longer required in the budget submission but may be needed for this particular appropriation category/issue sort. Exhibit D-3 is also a useful report when identifying negative appropriation category problems.					
7. EXHIBIT D-3A (EADR, ED3A)						
7.1	Are the issue titles correct and do they clearly identify the issue? (See pages 15 through 30 of the LBR Instructions.)	Y	Y	Y	Y	Y
7.2	Does the issue narrative adequately explain the agency's request and is the explanation consistent with the LRPP? (See page 66-67 of the LBR Instructions.)	Y	Y	Y	Y	Y
7.3	Does the narrative for Information Technology (IT) issue follow the additional narrative requirements described on pages 68 through 70 of the LBR Instructions?	Y	Y	Y	Y	Y
7.4	Are all issues with an IT component identified with a "Y" in the "IT COMPONENT?" field? If the issue contains an IT component, has that component been identified and documented?	Y	Y	Y	Y	Y
7.5	Does the issue narrative explain any variances from the Standard Expense and Human Resource Services Assessments package? Is the nonrecurring portion in the nonrecurring column? (See pages E-4 and E-5 of the LBR Instructions.)	Y	Y	Y	Y	Y
7.6	Does the salary rate request amount accurately reflect any new requests and are the amounts proportionate to the Salaries and Benefits request? Note: Salary rate should always be annualized.	Y	Y	Y	Y	Y
7.7	Does the issue narrative thoroughly explain/justify all Salaries and Benefits amounts entered into the Other Salary Amounts transactions (OADA/C)? Amounts entered into OAD are reflected in the Position Detail of Salaries and Benefits section of the Exhibit D-3A.	Y	Y	Y	Y	Y

Action		Program or Service (Budget Entity Code)				
		4301	4310	4320	4330	4340
7.8	Does the issue narrative include the Consensus Estimating Conference forecast, where appropriate?	Y	Y	Y	Y	Y
7.9	Does the issue narrative reference the specific county(ies) where applicable?	Y	Y	Y	Y	Y
7.10	Do the 160XXX0 issues reflect budget amendments that have been approved (or in the process of being approved) and that have a recurring impact (including Lump Sums)? Have the approved budget amendments been entered in Column A18 as instructed in Memo #13-003?	Y	Y	Y	Y	Y
7.11	When appropriate are there any 160XXX0 issues included to delete positions placed in reserve in the OPB Position and Rate Ledger (e.g. unfunded grants)? Note: Lump sum appropriations not yet allocated should <u>not</u> be deleted. (PLRR, PLMO)	Y	Y	Y	Y	Y
7.12	Does the issue narrative include plans to satisfy additional space requirements when requesting additional positions?	Y	Y	Y	Y	Y
7.13	Has the agency included a 160XXX0 issue and 210XXXX and 260XXX0 issues as required for lump sum distributions?	Y	Y	Y	Y	Y
7.14	Do the amounts reflect appropriate FSI assignments?	Y	Y	Y	Y	Y
7.15	Do the issues relating to <i>salary and benefits</i> have an "A" in the fifth position of the issue code (XXXXAXX) and are they self-contained (not combined with other issues)? (See page 29 and 88 of the LBR Instructions.)	Y	Y	Y	Y	Y
7.16	Do the issues relating to <i>Information Technology (IT)</i> have a "C" in the sixth position of the issue code (36XXXXCX) and are the correct issue codes used (361XXC0, 362XXC0, 363XXC0, 17C01C0, 17C02C0, 17C03C0, 24010C0, 33001C0 or 55C01C0)?	Y	Y	Y	Y	Y
7.17	Are the issues relating to <i>major audit findings and recommendations</i> properly coded (4A0XXX0, 4B0XXX0)?	Y	Y	Y	Y	Y
7.18	Does the issue narrative identify the strategy or strategies in the Five Year Statewide Strategic Plan for Economic Development as requested in Memo# 13-010?	Y	Y	Y	Y	Y
AUDIT:						
7.19	Are all FSI's equal to '1', '2', '3', or '9'? There should be no FSI's equal to '0'. (EADR, FSIA - Report should print "No Records Selected For Reporting")	Y	Y	Y	Y	Y
7.20	Does the General Revenue for 160XXXX (Adjustments to Current Year Expenditures) issues net to zero? (GENR, LBR1)	Y	Y	Y	Y	Y
7.21	Does the General Revenue for 180XXXX (Intra-Agency Reorganizations) issues net to zero? (GENR, LBR2)	Y	Y	Y	Y	Y
7.22	Does the General Revenue for 200XXXX (Estimated Expenditures Realignment) issues net to zero? (GENR, LBR3)	Y	Y	Y	Y	Y

Action		Program or Service (Budget Entity Code)				
		4301	4310	4320	4330	4340
7.23	Have FCO appropriations been entered into the nonrecurring column A04? (GENR, LBR4 - Report should print "No Records Selected For Reporting" or a listing of D-3A issue(s) assigned to Debt Service (IOE N) or in some cases State Capital Outlay - Public Education Capital Outlay (IOE L))	Y	Y	Y	N	Y
TIP	Salaries and Benefits amounts entered using the OADA/C transactions must be thoroughly justified in the D-3A issue narrative. Agencies can run OADA/OADR from STAM to identify the amounts entered into OAD and ensure these entries have been thoroughly explained in the D-3A issue narrative.					
TIP	The issue narrative must completely and thoroughly explain and justify each D-3A issue. Agencies must ensure it provides the information necessary for the OPB and legislative analysts to have a complete understanding of the issue submitted. Thoroughly review pages 66 through 70 of the LBR Instructions.					
TIP	Check BAPS to verify status of budget amendments. Check for reapprovals not picked up in the General Appropriations Act. Verify that Lump Sum appropriations in Column A02 do not appear in Column A03. Review budget amendments to verify that 160XXX0 issue amounts correspond accurately and net to zero for General Revenue funds.					
TIP	If an agency is receiving federal funds from another agency the FSI should = 9 (Transfer - Recipient of Federal Funds). The agency that originally receives the funds directly from the federal agency should use FSI = 3 (Federal Funds).					
TIP	If an appropriation made in the FY 2012-13 General Appropriations Act duplicates an appropriation made in substantive legislation, the agency must create a unique deduct nonrecurring issue to eliminate the duplicated appropriation. Normally this is taken care of through line item veto.					
8. SCHEDULE I & RELATED DOCUMENTS (SC1R, SC1 - Budget Entity Level or SC1R, SC1D - Department Level)						
8.1	Has a separate department level Schedule I and supporting documents package been submitted by the agency?	Y	Y	Y	Y	Y
8.2	Has a Schedule I and Schedule IB been completed in LAS/PBS for each operating trust fund?	Y	Y	Y	Y	Y
8.3	Have the appropriate Schedule I supporting documents been included for the trust funds (Schedule IA, Schedule IC, and Reconciliation to Trial	Y	Y	Y	Y	Y
8.4	Have the Examination of Regulatory Fees Part I and Part II forms been included for the applicable regulatory programs?	Y	Y	Y	Y	Y
8.5	Have the required detailed narratives been provided (5% trust fund reserve narrative; method for computing the distribution of cost for general management and administrative services narrative; adjustments narrative; revenue estimating methodology narrative)?	Y	Y	Y	Y	Y

Action	Program or Service (Budget Entity Code)				
	4301	4310	4320	4330	4340
8.6 Has the Inter-Agency Transfers Reported on Schedule I form been included as applicable for transfers totaling \$100,000 or more for the fiscal year?	Y	Y	Y	Y	Y
8.7 If the agency is scheduled for the annual trust fund review this year, have the Schedule ID and applicable draft legislation been included for recreation, modification or termination of existing trust funds?	Y	Y	Y	Y	Y
8.8 If the agency is scheduled for the annual trust fund review this year, have the necessary trust funds been requested for creation pursuant to <i>section 215.32(2)(b), Florida Statutes</i> - including the Schedule ID and applicable legislation?	Y	Y	Y	Y	Y
8.9 Are the revenue codes correct? In the case of federal revenues, has the agency appropriately identified direct versus indirect receipts (object codes 000700, 000750, 000799, 001510 and 001599)? For non-grant federal revenues, is the correct revenue code identified (codes 000504, 000119, 001270, 001870, 001970)?	Y	Y	Y	Y	Y
8.10 Are the statutory authority references correct?	Y	Y	Y	Y	Y
8.11 Are the General Revenue Service Charge percentage rates used for each revenue source correct? (Refer to Chapter 2009-78, Laws of Florida, for appropriate general revenue service charge percentage rates.)	Y	Y	Y	Y	Y
8.12 Is this an accurate representation of revenues based on the most recent Consensus Estimating Conference forecasts?	Y	Y	Y	Y	Y
8.13 If there is no Consensus Estimating Conference forecast available, do the revenue estimates appear to be reasonable?	Y	Y	Y	Y	Y
8.14 Are the federal funds revenues reported in Section I broken out by individual grant? Are the correct CFDA codes used?	Y	Y	Y	Y	Y
8.15 Are anticipated grants included and based on the state fiscal year (rather than federal fiscal year)?	Y	Y	Y	Y	Y
8.16 Are the Schedule I revenues consistent with the FSI's reported in the Exhibit D-3A?	Y	Y	Y	Y	Y
8.17 If applicable, are nonrecurring revenues entered into Column A04?	Y	Y	Y	Y	Y
8.18 Has the agency certified the revenue estimates in columns A02 and A03 to be the latest and most accurate available? Does the certification include a statement that the agency will notify OPB of any significant changes in revenue estimates that occur prior to the Governor's Budget Recommendations being issued?	Y	Y	Y	Y	Y
8.19 Is a 5% trust fund reserve reflected in Section II? If not, is sufficient justification provided for exemption? Are the additional narrative requirements provided?	Y	Y	Y	Y	Y
8.20 Are appropriate service charge nonoperating amounts included in Section II?	Y	Y	Y	Y	Y
8.21 Are nonoperating expenditures to other budget entities/departments cross-referenced accurately?	Y	Y	Y	Y	Y

Action		Program or Service (Budget Entity Code)				
		4301	4310	4320	4330	4340
8.22	Do transfers balance between funds (within the agency as well as between agencies)? (See also 8.6 for required transfer confirmation of amounts totaling \$100,000 or more.)	Y	Y	Y	Y	Y
8.23	Are nonoperating expenditures recorded in Section II and adjustments recorded in Section III?	Y	Y	Y	Y	Y
8.24	Are prior year September operating reversions appropriately shown in column A01?	Y	Y	Y	Y	Y
8.25	Are current year September operating reversions appropriately shown in column A02?	Y	Y	Y	Y	Y
8.26	Does the Schedule IC properly reflect the unreserved fund balance for each trust fund as defined by the LBR Instructions, and is it reconciled to the agency accounting records?	Y	Y	Y	Y	Y
8.27	Does Column A01 of the Schedule I accurately represent the actual prior year accounting data as reflected in the agency accounting records, and is it provided in sufficient detail for analysis?	Y	Y	Y	Y	Y
8.28	Does Line I of Column A01 (Schedule I) equal Line K of the Schedule IC?	Y	Y	Y	Y	Y
AUDITS:						
8.29	Is Line I a positive number? (If not, the agency must adjust the budget request to eliminate the deficit).	Y	Y	Y	Y	Y
8.30	Is the June 30 Adjusted Unreserved Fund Balance (Line I) equal to the July 1 Unreserved Fund Balance (Line A) of the following year? If a Schedule IB was prepared, do the totals agree with the Schedule I, Line I? (SC1R, SC1A - Report should print "No Discrepancies Exist For This	Y	Y	Y	Y	Y
8.31	Has a Department Level Reconciliation been provided for each trust fund and does Line A of the Schedule I equal the CFO amount? If not, the agency must correct Line A. (SC1R, DEPT)	Y	Y	Y	Y	Y
TIP	The Schedule I is the most reliable source of data concerning the trust funds. It is very important that this schedule is as accurate as possible!					
TIP	Determine if the agency is scheduled for trust fund review. (See page 125 of the LBR Instructions.) Transaction DFTR in LAS/PBS is also available and provides an LBR review date for each trust fund.					
TIP	Review the unreserved fund balances and compare revenue totals to expenditure totals to determine and understand the trust fund status.					
TIP	Typically nonoperating expenditures and revenues should not be a negative number. Any negative numbers must be fully justified.					
9. SCHEDULE II (PSCR, SC2)						
AUDIT:						

Action	Program or Service (Budget Entity Code)				
	4301	4310	4320	4330	4340
9.1 Is the pay grade minimum for salary rate utilized for positions in segments 2 and 3? (BRAR, BRAA - Report should print "No Records Selected For This Request") Note: Amounts other than the pay grade minimum should be fully justified in the D-3A issue narrative. (See <i>Base Rate Audit</i> on page 157 of the LBR Instructions.)	Y	Y	Y	Y	Y
10. SCHEDULE III (PSCR, SC3)					
10.1 Is the appropriate lapse amount applied in Segment 3? (See page 90 of the LBR Instructions.)	Y	Y	Y	Y	Y
10.2 Are amounts in <i>Other Salary Amount</i> appropriate and fully justified? (See page 97 of the LBR Instructions for appropriate use of the OAD transaction.) Use OADI or OADR to identify agency other salary amounts requested.	Y	Y	Y	Y	Y
11. SCHEDULE IV (EADR, SC4)					
11.1 Are the correct Information Technology (IT) issue codes used?	Y	Y	Y	Y	Y
TIP If IT issues are not coded correctly (with "C" in 6th position), they will not appear in the Schedule IV.					
12. SCHEDULE VIIIA (EADR, SC8A)					
12.1 Is there only one #1 priority, one #2 priority, one #3 priority, etc. reported on the Schedule VIII-A? Are the priority narrative explanations adequate?	Y	Y	Y	Y	Y
13. SCHEDULE VIIIB-1 (EADR, S8B1)					
13.1 NOT REQUIRED FOR THIS YEAR	-	-	-	-	-
14. SCHEDULE VIIIB-2 (EADR, S8B2)					
14.1 Do the reductions comply with the instructions provided on pages 102 through 104 of the LBR Instructions regarding a 5% reduction in recurring General Revenue and Trust Funds, including the verification that the 33BXXX0 issue has NOT been used?	Y	Y	Y	Y	Y
15. SCHEDULE XI (USCR,SCXI) (LAS/PBS Web - see page 105-109 of the LBR Instructions for detailed ins					
15.1 Agencies are required to generate this spreadsheet via the LAS/PBS Web. The Final Excel version no longer has to be submitted to OPB for inclusion on the Governor's Florida Performs Website. (Note: Pursuant to <i>section 216.023(4) (b), Florida Statutes</i> , the Legislature can reduce the funding level for any agency that does not provide this information.)	Y	Y	Y	Y	Y
15.2 Do the PDF files uploaded to the Florida Fiscal Portal for the LRPP and LBR match?	Y	Y	Y	Y	Y
AUDITS INCLUDED IN THE SCHEDULE XI REPORT:					
15.3 Does the FY 2011-12 Actual (prior year) Expenditures in Column A36 reconcile to Column A01? (GENR, ACT1)	Y	Y	Y	Y	Y
15.4 None of the executive direction, administrative support and information technology statewide activities (ACT0010 thru ACT0490) have output standards (Record Type 5)? (Audit #1 should print "No Activities Found")	Y	Y	Y	Y	Y

Action		Program or Service (Budget Entity Code)				
		4301	4310	4320	4330	4340
15.5	Does the Fixed Capital Outlay (FCO) statewide activity (ACT0210) only contain 08XXXX or 14XXXX appropriation categories? (Audit #2 should print "No Operating Categories Found")	Y	Y	Y	Y	Y
15.6	Has the agency provided the necessary standard (Record Type 5) for all activities which <u>should</u> appear in Section II? (Note: Audit #3 will identify those activities that do NOT have a Record Type '5' and have not been identified as a 'Pass Through' activity. These activities will be displayed in Section III with the 'Payment of Pensions, Benefits and Claims' activity and 'Other' activities. Verify if these activities should be displayed in Section III. If not, an output standard would need to be added for that activity and the Schedule XI submitted again.)	Y	Y	Y	Y	Y
15.7	Does Section I (Final Budget for Agency) and Section III (Total Budget for Agency) equal? (Audit #4 should print "No Discrepancies Found")	Y	Y	Y	Y	Y
TIP	If Section I and Section III have a small difference, it may be due to rounding and therefore will be acceptable.					
16. MANUALLY PREPARED EXHIBITS & SCHEDULES						
16.1	Do exhibits and schedules comply with LBR Instructions (pages 110 through 154 of the LBR Instructions), and are they accurate and complete?	Y	Y	Y	Y	Y
16.2	Are appropriation category totals comparable to Exhibit B, where applicable?	Y	Y	Y	Y	Y
16.3	Are agency organization charts (Schedule X) provided and at the appropriate level of detail?	Y	Y	Y	Y	Y
AUDITS - GENERAL INFORMATION						
TIP	Review <i>Section 6: Audits</i> of the LBR Instructions (pages 156-158) for a list of audits and their descriptions.					
TIP	Reorganizations may cause audit errors. Agencies must indicate that these errors are due to an agency reorganization to justify the audit error.					
17. CAPITAL IMPROVEMENTS PROGRAM (CIP)						
17.1	Are the CIP-2, CIP-3, CIP-A and CIP-B forms included?	Y	Y	Y	Y	Y
17.2	Are the CIP-4 and CIP-5 forms submitted when applicable (see CIP Instructions)?	Y	Y	Y	Y	Y
17.3	Do all CIP forms comply with CIP Instructions where applicable (see CIP Instructions)?	Y	Y	Y	Y	Y
17.4	Does the agency request include 5 year projections (Columns A03, A06, A07, A08 and A09)?	Y	Y	Y	Y	Y
17.5	Are the appropriate counties identified in the narrative?	Y	Y	Y	Y	Y
17.6	Has the CIP-2 form (Exhibit B) been modified to include the agency priority for each project and the modified form saved as a PDF document?	Y	Y	Y	Y	Y

		Program or Service (Budget Entity Code)				
Action		4301	4310	4320	4330	4340
<p>TIP Requests for Fixed Capital Outlay appropriations which are Grants and Aids to Local Governments and Non-Profit Organizations must use the Grants and Aids to Local Governments and Non-Profit Organizations - Fixed Capital Outlay major appropriation category (140XXX) and include the sub-title "Grants and Aids". These appropriations utilize a CIP-B form as justification.</p>						
18. FLORIDA FISCAL PORTAL						
18.1	Have all files been assembled correctly and posted to the Florida Fiscal Portal as outlined in the Florida Fiscal Portal Submittal Process?	Y	Y	Y	Y	Y

Fiscal Year 2013-14 LBR Technical Review Checklist

Department/Budget Entity (Service): Department of Financial Services
Agency Budget Officer/OPB Analyst Name: Teri Madsen

A "Y" indicates "YES" and is acceptable, an "N/J" indicates "NO/Justification Provided" - these require further explanation/justification (additional sheets can be used as necessary), and "TIPS" are other areas to consider.

	Program or Service (Budget Entity Code)			
Action	4350	4360	439001	439005

1. GENERAL

1.1 Are Columns A01, A02, A04, A05, A36, A93, IA1, IA5, IP1, IV1, IV3 and NV1 set to TRANSFER CONTROL for DISPLAY status and MANAGEMENT CONTROL for UPDATE status for both the Budget and Trust Fund columns? Are Columns A06, A07, A08 and A09 for Fixed Capital Outlay (FCO) set to TRANSFER CONTROL for DISPLAY status only? (CSDI)	Y	Y	Y	Y	
1.2 Is Column A03 set to TRANSFER CONTROL for DISPLAY and UPDATE status for both the Budget and Trust Fund columns? (CSDI)	Y	Y	Y	Y	

AUDITS:

1.3 Has Column A03 been copied to Column A12? Run the Exhibit B Audit Comparison Report to verify. (EXBR, EXBA)	Y	Y	Y	Y	
1.4 Has security been set correctly? (CSDR, CSA)	Y	Y	Y	Y	
TIP The agency should prepare the budget request for submission in this order: 1) Lock columns as described above; 2) copy Column A03 to Column A12; and 3) set Column A12 column security to ALL for DISPLAY status and MANAGEMENT CONTROL for UPDATE status.					

2. EXHIBIT A (EADR, EXA)

2.1 Is the budget entity authority and description consistent with the agency's LRPP and does it conform to the directives provided on page 58 of the LBR Instructions?	Y	Y	Y	Y	
2.2 Are the statewide issues generated systematically (estimated expenditures, nonrecurring expenditures, etc.) included?	Y	Y	Y	Y	
2.3 Are the issue codes and titles consistent with <i>Section 3</i> of the LBR Instructions (pages 15 through 30)? Do they clearly describe the issue?	Y	Y	Y	Y	
2.4 Have the coding guidelines in <i>Section 3</i> of the LBR Instructions (pages 15 through 30) been followed?	Y	Y	Y	Y	

3. EXHIBIT B (EXBR, EXB)

3.1 Is it apparent that there is a fund shift and were the issues entered into LAS/PBS correctly? Check D-3A funding shift issue 340XXX0 - a unique deduct and unique add back issue should be used to ensure fund shifts display correctly on the LBR exhibits.	Y	Y	Y	Y	
3.2 Are the 33XXXX0 issues negative amounts only and do not restore nonrecurring cuts from a prior year or fund any issues that net to a positive or zero amount? Check D-3A issues 33XXXX0 - a unique issue should be used for issues that net to zero or a positive amount.	Y	Y	Y	Y	

AUDITS:

		Program or Service (Budget Entity Code)				
Action		4350	4360	439001	439005	
3.3	Negative Appropriation Category Audit for Agency Request (Columns A03 and A04): Are all appropriation categories positive by budget entity at the FSI level? Are all nonrecurring amounts less than requested amounts? (NACR, NAC - Report should print "No Negative Appropriation Categories Found")	Y	Y	Y	Y	
3.4	Current Year Estimated Verification Comparison Report: Is Column A02 equal to Column B07? (EXBR, EXBC - Report should print "Records Selected Net To Zero")	Y	Y	Y	Y	
TIP	Generally look for and be able to fully explain significant differences between A02 and A03.					
TIP	Exhibit B - A02 equal to B07: Compares Current Year Estimated column to a backup of A02. This audit is necessary to ensure that the historical detail records have not been adjusted. Records selected should net to zero.					
TIP	Requests for appropriations which require advance payment authority must use the sub-title "Grants and Aids". For advance payment authority to local units of government, the Aid to Local Government appropriation category (05XXXX) should be used. For advance payment authority to non-profit organizations or other units of state government, the Special Categories appropriation category (10XXXX) should be used.					
4. EXHIBIT D (EADR, EXD)						
4.1	Is the program component objective statement consistent with the agency LRPP, and does it conform to the directives provided on page 61 of the LBR Instructions?	Y	Y	Y	Y	
4.2	Is the program component code and title used correct?	Y	Y	Y	Y	
TIP	Fund shifts or transfers of services or activities between program components will be displayed on an Exhibit D whereas it may not be visible on an Exhibit A.					
5. EXHIBIT D-1 (ED1R, EXD1)						
5.1	Are all object of expenditures positive amounts? (This is a manual check.)	N	N	N	N	
AUDITS						
5.2	Do the fund totals agree with the object category totals within each appropriation category? (ED1R, XD1A - Report should print "No Differences Found For This Report")	Y	Y	Y	Y	
5.3	FLAIR Expenditure/Appropriation Ledger Comparison Report: Is Column A01 less than Column B04? (EXBR, EXBB - Negative differences need to be corrected in Column A01.)	Y	Y	Y	Y	
5.4	A01/State Accounts Disbursements and Carry Forward Comparison Report: Does Column A01 equal Column B08? (EXBR, EXBD - Differences need to be corrected in Column A01.)	Y	Y	Y	Y	

		Program or Service (Budget Entity Code)				
Action		4350	4360	439001	439005	
TIP	If objects are negative amounts, the agency must make adjustments to Column A01 to correct the object amounts. In addition, the fund totals must be adjusted to reflect the adjustment made to the object data.					
TIP	If fund totals and object totals do not agree or negative object amounts exist, the agency must adjust Column A01.					
TIP	Exhibit B - A01 less than B04: This audit is to ensure that the disbursements and carry/certifications forward in A01 are less than FY 2011-12 approved budget. Amounts should be positive.					
TIP	If B08 is not equal to A01, check the following: 1) the initial FLAIR disbursements or carry forward data load was corrected appropriately in A01; 2) the disbursement data from departmental FLAIR was reconciled to State Accounts; and 3) the FLAIR disbursements did not change after Column B08 was created.					
6. EXHIBIT D-3 (ED3R, ED3) (Not required in the LBR - for analytical purposes only.)						
6.1	Are issues appropriately aligned with appropriation categories?	Y	Y	Y	Y	
TIP	Exhibit D-3 is no longer required in the budget submission but may be needed for this particular appropriation category/issue sort. Exhibit D-3 is also a useful report when identifying negative appropriation category problems.					
7. EXHIBIT D-3A (EADR, ED3A)						
7.1	Are the issue titles correct and do they clearly identify the issue? (See pages 15 through 30 of the LBR Instructions.)	Y	Y	Y	Y	
7.2	Does the issue narrative adequately explain the agency's request and is the explanation consistent with the LRPP? (See page 66-67 of the LBR Instructions.)	Y	Y	Y	Y	
7.3	Does the narrative for Information Technology (IT) issue follow the additional narrative requirements described on pages 68 through 70 of the LBR Instructions?	Y	Y	Y	Y	
7.4	Are all issues with an IT component identified with a "Y" in the "IT COMPONENT?" field? If the issue contains an IT component, has that component been identified and documented?	Y	Y	Y	Y	
7.5	Does the issue narrative explain any variances from the Standard Expense and Human Resource Services Assessments package? Is the nonrecurring portion in the nonrecurring column? (See pages E-4 and E-5 of the LBR Instructions.)	Y	Y	Y	Y	
7.6	Does the salary rate request amount accurately reflect any new requests and are the amounts proportionate to the Salaries and Benefits request? Note: Salary rate should always be annualized.	Y	Y	Y	Y	
7.7	Does the issue narrative thoroughly explain/justify all Salaries and Benefits amounts entered into the Other Salary Amounts transactions (OADA/C)? Amounts entered into OAD are reflected in the Position Detail of Salaries and Benefits section of the Exhibit D-3A.	Y	Y	Y	Y	

Action		Program or Service (Budget Entity Code)			
		4350	4360	439001	439005
7.8	Does the issue narrative include the Consensus Estimating Conference forecast, where appropriate?	Y	Y	Y	Y
7.9	Does the issue narrative reference the specific county(ies) where applicable?	Y	Y	Y	Y
7.10	Do the 160XXX0 issues reflect budget amendments that have been approved (or in the process of being approved) and that have a recurring impact (including Lump Sums)? Have the approved budget amendments been entered in Column A18 as instructed in Memo #13-003?	Y	Y	Y	Y
7.11	When appropriate are there any 160XXX0 issues included to delete positions placed in reserve in the OPB Position and Rate Ledger (e.g. unfunded grants)? Note: Lump sum appropriations not yet allocated should <u>not</u> be deleted. (PLRR, PLMO)	Y	Y	Y	Y
7.12	Does the issue narrative include plans to satisfy additional space requirements when requesting additional positions?	Y	Y	Y	Y
7.13	Has the agency included a 160XXX0 issue and 210XXXX and 260XXX0 issues as required for lump sum distributions?	Y	Y	Y	Y
7.14	Do the amounts reflect appropriate FSI assignments?	Y	Y	Y	Y
7.15	Do the issues relating to <i>salary and benefits</i> have an "A" in the fifth position of the issue code (XXXXAXX) and are they self-contained (not combined with other issues)? (See page 29 and 88 of the LBR Instructions.)	Y	Y	Y	Y
7.16	Do the issues relating to <i>Information Technology (IT)</i> have a "C" in the sixth position of the issue code (36XXXXCX) and are the correct issue codes used (361XXC0, 362XXC0, 363XXC0, 17C01C0, 17C02C0, 17C03C0, 24010C0, 33001C0 or 55C01C0)?	Y	Y	Y	Y
7.17	Are the issues relating to <i>major audit findings and recommendations</i> properly coded (4A0XXX0, 4B0XXX0)?	Y	Y	Y	Y
7.18	Does the issue narrative identify the strategy or strategies in the Five Year Statewide Strategic Plan for Economic Development as requested in Memo# 13-010?	Y	Y	Y	Y
AUDIT:					
7.19	Are all FSI's equal to '1', '2', '3', or '9'? There should be no FSI's equal to '0'. (EADR, FSIA - Report should print "No Records Selected For Reporting")	Y	Y	Y	Y
7.20	Does the General Revenue for 160XXXX (Adjustments to Current Year Expenditures) issues net to zero? (GENR, LBR1)	Y	Y	Y	Y
7.21	Does the General Revenue for 180XXXX (Intra-Agency Reorganizations) issues net to zero? (GENR, LBR2)	Y	Y	Y	Y
7.22	Does the General Revenue for 200XXXX (Estimated Expenditures Realignment) issues net to zero? (GENR, LBR3)	Y	Y	Y	Y

Action		Program or Service (Budget Entity Code)				
		4350	4360	439001	439005	
7.23	Have FCO appropriations been entered into the nonrecurring column A04? (GENR, LBR4 - Report should print "No Records Selected For Reporting" or a listing of D-3A issue(s) assigned to Debt Service (IOE N) or in some cases State Capital Outlay - Public Education Capital Outlay (IOE L))	Y	Y	Y	N	
TIP	Salaries and Benefits amounts entered using the OADA/C transactions must be thoroughly justified in the D-3A issue narrative. Agencies can run OADA/OADR from STAM to identify the amounts entered into OAD and ensure these entries have been thoroughly explained in the D-3A issue narrative.					
TIP	The issue narrative must completely and thoroughly explain and justify each D-3A issue. Agencies must ensure it provides the information necessary for the OPB and legislative analysts to have a complete understanding of the issue submitted. Thoroughly review pages 66 through 70 of the LBR Instructions.					
TIP	Check BAPS to verify status of budget amendments. Check for reapprovals not picked up in the General Appropriations Act. Verify that Lump Sum appropriations in Column A02 do not appear in Column A03. Review budget amendments to verify that 160XXX0 issue amounts correspond accurately and net to zero for General Revenue funds.					
TIP	If an agency is receiving federal funds from another agency the FSI should = 9 (Transfer - Recipient of Federal Funds). The agency that originally receives the funds directly from the federal agency should use FSI = 3 (Federal Funds).					
TIP	If an appropriation made in the FY 2012-13 General Appropriations Act duplicates an appropriation made in substantive legislation, the agency must create a unique deduct nonrecurring issue to eliminate the duplicated appropriation. Normally this is taken care of through line item veto.					
8. SCHEDULE I & RELATED DOCUMENTS (SC1R, SC1 - Budget Entity Level or SC1R, SC1D - Department Level)						
8.1	Has a separate department level Schedule I and supporting documents package been submitted by the agency?	Y	Y	Y	Y	
8.2	Has a Schedule I and Schedule IB been completed in LAS/PBS for each operating trust fund?	Y	Y	Y	Y	
8.3	Have the appropriate Schedule I supporting documents been included for the trust funds (Schedule IA, Schedule IC, and Reconciliation to Trial	Y	Y	Y	Y	
8.4	Have the Examination of Regulatory Fees Part I and Part II forms been included for the applicable regulatory programs?	Y	Y	Y	Y	
8.5	Have the required detailed narratives been provided (5% trust fund reserve narrative; method for computing the distribution of cost for general management and administrative services narrative; adjustments narrative; revenue estimating methodology narrative)?	Y	Y	Y	Y	

Action		Program or Service (Budget Entity Code)				
		4350	4360	439001	439005	
8.6	Has the Inter-Agency Transfers Reported on Schedule I form been included as applicable for transfers totaling \$100,000 or more for the fiscal year?	Y	Y	Y	Y	
8.7	If the agency is scheduled for the annual trust fund review this year, have the Schedule ID and applicable draft legislation been included for recreation, modification or termination of existing trust funds?	Y	Y	Y	Y	
8.8	If the agency is scheduled for the annual trust fund review this year, have the necessary trust funds been requested for creation pursuant to <i>section 215.32(2)(b), Florida Statutes</i> - including the Schedule ID and applicable legislation?	Y	Y	Y	Y	
8.9	Are the revenue codes correct? In the case of federal revenues, has the agency appropriately identified direct versus indirect receipts (object codes 000700, 000750, 000799, 001510 and 001599)? For non-grant federal revenues, is the correct revenue code identified (codes 000504, 000119, 001270, 001870, 001970)?	Y	Y	Y	Y	
8.10	Are the statutory authority references correct?	Y	Y	Y	Y	
8.11	Are the General Revenue Service Charge percentage rates used for each revenue source correct? (Refer to Chapter 2009-78, Laws of Florida, for appropriate general revenue service charge percentage rates.)	Y	Y	Y	Y	
8.12	Is this an accurate representation of revenues based on the most recent Consensus Estimating Conference forecasts?	Y	Y	Y	Y	
8.13	If there is no Consensus Estimating Conference forecast available, do the revenue estimates appear to be reasonable?	Y	Y	Y	Y	
8.14	Are the federal funds revenues reported in Section I broken out by individual grant? Are the correct CFDA codes used?	Y	Y	Y	Y	
8.15	Are anticipated grants included and based on the state fiscal year (rather than federal fiscal year)?	Y	Y	Y	Y	
8.16	Are the Schedule I revenues consistent with the FSI's reported in the Exhibit D-3A?	Y	Y	Y	Y	
8.17	If applicable, are nonrecurring revenues entered into Column A04?	Y	Y	Y	Y	
8.18	Has the agency certified the revenue estimates in columns A02 and A03 to be the latest and most accurate available? Does the certification include a statement that the agency will notify OPB of any significant changes in revenue estimates that occur prior to the Governor's Budget Recommendations being issued?	Y	Y	Y	Y	
8.19	Is a 5% trust fund reserve reflected in Section II? If not, is sufficient justification provided for exemption? Are the additional narrative requirements provided?	Y	Y	Y	Y	
8.20	Are appropriate service charge nonoperating amounts included in Section II?	Y	Y	Y	Y	
8.21	Are nonoperating expenditures to other budget entities/departments cross-referenced accurately?	Y	Y	Y	Y	

Action		Program or Service (Budget Entity Code)				
		4350	4360	439001	439005	
8.22	Do transfers balance between funds (within the agency as well as between agencies)? (See also 8.6 for required transfer confirmation of amounts totaling \$100,000 or more.)	Y	Y	Y	Y	
8.23	Are nonoperating expenditures recorded in Section II and adjustments recorded in Section III?	Y	Y	Y	Y	
8.24	Are prior year September operating reversions appropriately shown in column A01?	Y	Y	Y	Y	
8.25	Are current year September operating reversions appropriately shown in column A02?	Y	Y	Y	Y	
8.26	Does the Schedule IC properly reflect the unreserved fund balance for each trust fund as defined by the LBR Instructions, and is it reconciled to the agency accounting records?	Y	Y	Y	Y	
8.27	Does Column A01 of the Schedule I accurately represent the actual prior year accounting data as reflected in the agency accounting records, and is it provided in sufficient detail for analysis?	Y	Y	Y	Y	
8.28	Does Line I of Column A01 (Schedule I) equal Line K of the Schedule IC?	Y	Y	Y	Y	
AUDITS:						
8.29	Is Line I a positive number? (If not, the agency must adjust the budget request to eliminate the deficit).	Y	Y	Y	Y	
8.30	Is the June 30 Adjusted Unreserved Fund Balance (Line I) equal to the July 1 Unreserved Fund Balance (Line A) of the following year? If a Schedule IB was prepared, do the totals agree with the Schedule I, Line I? (SC1R, SC1A - Report should print "No Discrepancies Exist For This	Y	Y	Y	Y	
8.31	Has a Department Level Reconciliation been provided for each trust fund and does Line A of the Schedule I equal the CFO amount? If not, the agency must correct Line A. (SC1R, DEPT)	Y	Y	Y	Y	
TIP	The Schedule I is the most reliable source of data concerning the trust funds. It is very important that this schedule is as accurate as possible!					
TIP	Determine if the agency is scheduled for trust fund review. (See page 125 of the LBR Instructions.) Transaction DFTR in LAS/PBS is also available and provides an LBR review date for each trust fund.					
TIP	Review the unreserved fund balances and compare revenue totals to expenditure totals to determine and understand the trust fund status.					
TIP	Typically nonoperating expenditures and revenues should not be a negative number. Any negative numbers must be fully justified.					
9. SCHEDULE II (PSCR, SC2)						
AUDIT:						

		Program or Service (Budget Entity Code)				
Action		4350	4360	439001	439005	
9.1	Is the pay grade minimum for salary rate utilized for positions in segments 2 and 3? (BRAR, BRAA - Report should print "No Records Selected For This Request") Note: Amounts other than the pay grade minimum should be fully justified in the D-3A issue narrative. (See <i>Base Rate Audit</i> on page 157 of the LBR Instructions.)	Y	Y	Y	Y	
10. SCHEDULE III (PSCR, SC3)						
10.1	Is the appropriate lapse amount applied in Segment 3? (See page 90 of the LBR Instructions.)	Y	Y	Y	Y	
10.2	Are amounts in <i>Other Salary Amount</i> appropriate and fully justified? (See page 97 of the LBR Instructions for appropriate use of the OAD transaction.) Use OADI or OADR to identify agency other salary amounts requested.	Y	Y	Y	Y	
11. SCHEDULE IV (EADR, SC4)						
11.1	Are the correct Information Technology (IT) issue codes used?	Y	Y	Y	Y	
TIP	If IT issues are not coded correctly (with "C" in 6th position), they will not appear in the Schedule IV.					
12. SCHEDULE VIIIA (EADR, SC8A)						
12.1	Is there only one #1 priority, one #2 priority, one #3 priority, etc. reported on the Schedule VIII-A? Are the priority narrative explanations adequate?	Y	Y	Y	Y	
13. SCHEDULE VIIIB-1 (EADR, S8B1)						
13.1	NOT REQUIRED FOR THIS YEAR	-	-	-	-	
14. SCHEDULE VIIIB-2 (EADR, S8B2)						
14.1	Do the reductions comply with the instructions provided on pages 102 through 104 of the LBR Instructions regarding a 5% reduction in recurring General Revenue and Trust Funds, including the verification that the 33BXXX0 issue has NOT been used?	Y	Y	Y	Y	
15. SCHEDULE XI (USCR,SCXI) (LAS/PBS Web - see page 105-109 of the LBR Instructions for detailed ins						
15.1	Agencies are required to generate this spreadsheet via the LAS/PBS Web. The Final Excel version no longer has to be submitted to OPB for inclusion on the Governor's Florida Performs Website. (Note: Pursuant to <i>section 216.023(4) (b), Florida Statutes</i> , the Legislature can reduce the funding level for any agency that does not provide this information.)	Y	Y	Y	Y	
15.2	Do the PDF files uploaded to the Florida Fiscal Portal for the LRPP and LBR match?	Y	Y	Y	Y	
AUDITS INCLUDED IN THE SCHEDULE XI REPORT:						
15.3	Does the FY 2011-12 Actual (prior year) Expenditures in Column A36 reconcile to Column A01? (GENR, ACT1)	Y	Y	Y	Y	
15.4	None of the executive direction, administrative support and information technology statewide activities (ACT0010 thru ACT0490) have output standards (Record Type 5)? (Audit #1 should print "No Activities Found")	Y	Y	Y	Y	

		Program or Service (Budget Entity Code)			
Action		4350	4360	439001	439005
15.5	Does the Fixed Capital Outlay (FCO) statewide activity (ACT0210) only contain 08XXXX or 14XXXX appropriation categories? (Audit #2 should print "No Operating Categories Found")	Y	Y	Y	Y
15.6	Has the agency provided the necessary standard (Record Type 5) for all activities which <u>should</u> appear in Section II? (Note: Audit #3 will identify those activities that do NOT have a Record Type '5' and have not been identified as a 'Pass Through' activity. These activities will be displayed in Section III with the 'Payment of Pensions, Benefits and Claims' activity and 'Other' activities. Verify if these activities should be displayed in Section III. If not, an output standard would need to be added for that activity and the Schedule XI submitted again.)	Y	Y	Y	Y
15.7	Does Section I (Final Budget for Agency) and Section III (Total Budget for Agency) equal? (Audit #4 should print "No Discrepancies Found")	Y	Y	Y	Y
TIP	If Section I and Section III have a small difference, it may be due to rounding and therefore will be acceptable.				
16. MANUALLY PREPARED EXHIBITS & SCHEDULES					
16.1	Do exhibits and schedules comply with LBR Instructions (pages 110 through 154 of the LBR Instructions), and are they accurate and complete?	Y	Y	Y	Y
16.2	Are appropriation category totals comparable to Exhibit B, where applicable?	Y	Y	Y	Y
16.3	Are agency organization charts (Schedule X) provided and at the appropriate level of detail?	Y	Y	Y	Y
AUDITS - GENERAL INFORMATION					
TIP	Review <i>Section 6: Audits</i> of the LBR Instructions (pages 156-158) for a list of audits and their descriptions.				
TIP	Reorganizations may cause audit errors. Agencies must indicate that these errors are due to an agency reorganization to justify the audit error.				
17. CAPITAL IMPROVEMENTS PROGRAM (CIP)					
17.1	Are the CIP-2, CIP-3, CIP-A and CIP-B forms included?	Y	Y	Y	Y
17.2	Are the CIP-4 and CIP-5 forms submitted when applicable (see CIP Instructions)?	Y	Y	Y	Y
17.3	Do all CIP forms comply with CIP Instructions where applicable (see CIP Instructions)?	Y	Y	Y	Y
17.4	Does the agency request include 5 year projections (Columns A03, A06, A07, A08 and A09)?	Y	Y	Y	Y
17.5	Are the appropriate counties identified in the narrative?	Y	Y	Y	Y
17.6	Has the CIP-2 form (Exhibit B) been modified to include the agency priority for each project and the modified form saved as a PDF document?	Y	Y	Y	Y

		Program or Service (Budget Entity Code)			
Action		4350	4360	439001	439005
<p>TIP Requests for Fixed Capital Outlay appropriations which are Grants and Aids to Local Governments and Non-Profit Organizations must use the Grants and Aids to Local Governments and Non-Profit Organizations - Fixed Capital Outlay major appropriation category (140XXX) and include the sub-title "Grants and Aids". These appropriations utilize a CIP-B form as justification.</p>					
18. FLORIDA FISCAL PORTAL					
18.1	Have all files been assembled correctly and posted to the Florida Fiscal Portal as outlined in the Florida Fiscal Portal Submittal Process?	Y	Y	Y	Y

*** NO DIFFERENCES FOUND FOR THIS REPORT ***

CODE

ERROR MESSAGE

PAGE

THERE WERE 0 ERRORS DETECTED


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*****
* BPED1L01                               STATISTICAL INFORMATION                10/15/2012 14:10 *
* BUDGET PERIOD: 2003-2014                EXHIBIT D-1 LIST REQUEST                    TJM 43      SP   *
* COMPILE DATE: 06/03/2011                COMPILE TIME: 12:12:06                      PAGE:      2   *
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* TOTAL RECORDS READ FROM SORT:           2,140                                     *
* TOTAL RECORDS READ FROM CARD:           24                                       *
* TOTAL BGF RECORDS READ:                 0                                           *
* TOTAL BEF RECORDS READ:                 62                                       *
* TOTAL PCF RECORDS READ:                 14                                       *
* TOTAL OCF RECORDS READ:                 0                                           *
* TOTAL ACF RECORDS READ:                 42                                       *
* TOTAL FCF RECORDS READ:                 0                                           *
* TOTAL RECORDS IN ERROR:                 0                                           *
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BPSC1L01 LAS/PBS SYSTEM
BUDGET PERIOD: 2003-2014
STATE OF FLORIDA

SCHEDULE I AUDIT

SP 10/15/2012 14:10 PAGE: 1
SCHEDULE I
TRUST FUNDS AVAILABLE - AUDIT

*** NO DISCREPANCIES EXIST FOR THIS REPORT ***

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* COMPILE DATE: 08/02/2011                COMPILE TIME: 15:32:35                                PAGE: 1 *
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*
*          SAVE INITIALS:          SAVE DEPARTMENT: 07          SAVE ID: DEPT
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*   1-7: 43          DEP
*   8-14:
*   15-21:
*   22-27:
* EXCLUDE:
*
* FUND:
*
* COLUMN SELECTION: A01          A02          A03
*
* REPORT OPTION: 2          IF AUDIT REQUESTED: COMPARE JUNE 30 BALANCES TO THE JULY 1 BEGINNING BALANCES (Y/N): Y
*   1=SCHEDULE I          RUN THE DEPARTMENT LEVEL RECONCILIATION UNRESERVED FUND BALANCE (Y/N): Y
*   2=AUDIT REPORT          RUN THE SUMMARY OF NEGATIVE TRUST FUNDS AUDIT (Y/N): N
*   3=BOTH SCHEDULE I AND AUDIT REPORT          COMPARE ADJUSTED UNRESERVED FUND BALANCE TO SCHEDULE IB TOTAL (Y/N): Y
*   4=SCHEDULE I DOWNLOAD (PRO FORMA)
*   5=SCHEDULE I DOWNLOAD (EXCEL FORMAT)          IF OPTION 5 REQUESTED: INCLUDE ISSUES (Y/N): Y          REPORT ISSUES BY FSI (Y/N): N
*
* -----
*
* REPORT SEQUENCE: BUDGET ENTITY: N          SECTION I SORT OPTION: 1
*   A=ALPHABETICAL          1=LINE NUMBER
*   N=NUMERICAL          2=REVENUE CODE
*
* PRINT COLUMN CODES (Y/N): N
*
* PRINT BE/FUND CODES (Y/N): Y
*
* PAGE BREAK ON FUND (Y/N): Y
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*          REPORT HEADING:          SCHEDULE I AUDIT
* -----
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* TOTAL RECORDS READ FROM SORT:          2,604
* TOTAL RECORDS READ FROM CARD:          19
* TOTAL BEF RECORDS READ:          1
* TOTAL FCF RECORDS READ:          13
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		ACT PR YR	EXP 2011-12	CURR YR EST	EXP 2012-13	AGY REQUEST	FY 2013-14	DATE	TIME	BY
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BUDGET ENTITY	COLUMN A01		COLUMN A02		COLUMN A03		LAST UPDATE		
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*** END OF REPORT ***

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                OVER(UNDER)           AGY REQ N/R           AGY REQ N/R  
                AGY REQUEST          AGY REQ N/R           AGY REQ N/R  
                FY 2013-14            FY 2013-14            FY 2013-14  
                POS      AMOUNT        POS      AMOUNT        POS      AMOUNT  
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NO NEGATIVE APPROPRIATION CATEGORIES FOUND

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* COMPILE DATE: 05/13/2009                COMPILE TIME: 13:10:31                            PAGE:    1 *
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*                               SAVE INITIALS:          SAVE DEPARTMENT: 07      SAVE ID: NAC
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* BUDGET ENTITY OR GROUP:
*   1-9: 43
*   10-18:
*   19-27:
* EXCLUDE:
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* MERGE FSI: N
* COLUMN: A03      A04      A03-A04
* FCO (Y/N): Y      FTE (Y/N): Y      RATE (Y/N): N      REPORT HEADING: NEGATIVE APPROPRIATION CATEGORY LISTING
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* TOTAL NUMBER RECORDS READ FROM SORT:          729
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* TOTAL BE/PC/AC/FSI PROCESSED:                276
* TOTAL NEGATIVE BE/PC/AC/FSI:                  0
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 SEGMENT 2

43 FINANCIAL SERVICES
 01 PRG: CHIEF FIN OFFICER/ADM
 01 EXECUTIVE DIR/SUPPORT SVCS

16.02.00.00.00 EXEC LEADERSHIP/SUPPRT SVC

01 CAREER SERVICE

POS. NO.	SEQ. NO.	CLASS CODE	CLASS TITLE	FTE	COL A03 AGY REQUEST FY 2013-14 REQUESTED RATE	DEFAULT MINIMUM RATE	PROPOSED MINIMUM UPDATE	OVER/UNDER CALCULATED MINIMUM	CONTRACT MONTHS
C0144	001	0004	SENIOR CLERK	1.00-	24,942-	21,534	21,534-	3,408-	
C0835	001	2224	GOVERNMENT ANALYST I	1.00-	42,684-	36,469	36,469-	6,215-	
PAY PLAN TOTALS									
TOTAL SELECTED VACANT POSITIONS				2.00-	67,626-	58,003	58,003-	9,623-	
TOTAL POSITIONS				2.00-					
PERCENT OF SELECTED VACANT POSITIONS				100.00					
PROGRAM COMPONENT TOTALS									
TOTAL SELECTED VACANT POSITIONS				2.00-	67,626-	58,003	58,003-	9,623-	
TOTAL POSITIONS				4.00-					
PERCENT OF SELECTED VACANT POSITIONS				50.00					
BUDGET ENTITY TOTALS									
TOTAL SELECTED VACANT POSITIONS				2.00-	67,626-	58,003	58,003-	9,623-	
TOTAL POSITIONS				4.00-					
PERCENT OF SELECTED VACANT POSITIONS				50.00					

 SEGMENT 2

43 FINANCIAL SERVICES
 01 PRG: CHIEF FIN OFFICER/ADM
 02 LEGAL SERVICES

16.02.00.00.00 EXEC LEADERSHIP/SUPPRT SVC

01 CAREER SERVICE

POS. NO.	SEQ. NO.	CLASS CODE	CLASS TITLE	FTE	COL A03	DEFAULT MINIMUM RATE	PROPOSED MINIMUM UPDATE	OVER/UNDER CALCULATED MINIMUM	CONTRACT MONTHS
					AGY REQUEST FY 2013-14 REQUESTED RATE				
C0144	001	0004	SENIOR CLERK	1.00	24,942	21,534	21,534	3,408	
C0835	001	2224	GOVERNMENT ANALYST I	1.00	42,684	36,469	36,469	6,215	
PAY PLAN TOTALS									
TOTAL SELECTED VACANT POSITIONS				2.00	67,626	58,003	58,003	9,623	
TOTAL POSITIONS				2.00					
PERCENT OF SELECTED VACANT POSITIONS				100.00					
PROGRAM COMPONENT TOTALS									
TOTAL SELECTED VACANT POSITIONS				2.00	67,626	58,003	58,003	9,623	
TOTAL POSITIONS				6.00					
PERCENT OF SELECTED VACANT POSITIONS				33.33					
BUDGET ENTITY TOTALS									
TOTAL SELECTED VACANT POSITIONS				2.00	67,626	58,003	58,003	9,623	
TOTAL POSITIONS				6.00					
PERCENT OF SELECTED VACANT POSITIONS				33.33					

SEGMENT 2

43 FINANCIAL SERVICES
30 PROGRAM: FIRE MARSHAL
03 FIRE & ARSON INVESTIGATION

12.02.00.00.00 LAW ENFORCEMENT

01 CAREER SERVICE

			COL A03					
POS.	SEQ.	CLASS		AGY REQUEST	DEFAULT	PROPOSED	OVER/UNDER	CONTRACT
NO.	NO.	CODE	CLASS TITLE	FTE	FY 2013-14	MINIMUM	MINIMUM	CALCULATED
					REQUESTED RATE	RATE	UPDATE	MINIMUM
								MONTHS
00664	001	2225	GOVERNMENT ANALYST II	1.00-	42,616-	46,382	46,382-	3,766
00664	002	2225	GOVERNMENT ANALYST II	1.00	42,616	46,382	46,382	3,766-
01590	001	8463	CRIME LABORATORY ANALYST	1.00	46,524	40,949	40,949	5,575
01590	001	8463	CRIME LABORATORY ANALYST	1.00-	46,524-	40,949	40,949-	5,575-

 SEGMENT 2

43 FINANCIAL SERVICES
 90 PGM: FINANCIAL SVCS COMM
 05 OFFICE OF FINANCIAL REG
 30 SFTY & SOUND ST BKG SYST

12.04.00.00.00 REGULATION AND LICENSING

01 CAREER SERVICE

POS. NO.	SEQ. NO.	CLASS CODE	CLASS TITLE	FTE	COL A03 AGY REQUEST FY 2013-14 REQUESTED RATE	DEFAULT MINIMUM RATE	PROPOSED MINIMUM UPDATE	OVER/UNDER CALCULATED MINIMUM	CONTRACT MONTHS
C1874	001	1567	FINANCIAL CONTROL ANALYST	1.00-	52,270-	46,382	46,382-	5,888-	
PAY PLAN TOTALS									
TOTAL SELECTED VACANT POSITIONS				1.00-	52,270-	46,382	46,382-	5,888-	
TOTAL POSITIONS				2.00-					
PERCENT OF SELECTED VACANT POSITIONS				50.00					
PROGRAM COMPONENT TOTALS									
TOTAL SELECTED VACANT POSITIONS				1.00-	52,270-	46,382	46,382-	5,888-	
TOTAL POSITIONS				2.00-					
PERCENT OF SELECTED VACANT POSITIONS				50.00					
BUDGET ENTITY TOTALS									
TOTAL SELECTED VACANT POSITIONS				1.00-	52,270-	46,382	46,382-	5,888-	
TOTAL POSITIONS				2.00-					
PERCENT OF SELECTED VACANT POSITIONS				50.00					

 SEGMENT 2

43 FINANCIAL SERVICES
 90 PGM: FINANCIAL SVCS COMM
 05 OFFICE OF FINANCIAL REG
 60 FINANCE REGULATION

12.04.00.00.00 REGULATION AND LICENSING

01 CAREER SERVICE

POS. NO.	SEQ. NO.	CLASS CODE	CLASS TITLE	FTE	COL A03 AGY REQUEST FY 2013-14 REQUESTED RATE	DEFAULT MINIMUM RATE	PROPOSED MINIMUM UPDATE	OVER/UNDER CALCULATED MINIMUM	CONTRACT MONTHS
C1874	001	1567	FINANCIAL CONTROL ANALYST	1.00	52,270	46,382	46,382	5,888	
PAY PLAN TOTALS									
TOTAL SELECTED VACANT POSITIONS				1.00	52,270	46,382	46,382	5,888	
TOTAL POSITIONS				2.00					
PERCENT OF SELECTED VACANT POSITIONS				50.00					
PROGRAM COMPONENT TOTALS									
TOTAL SELECTED VACANT POSITIONS				1.00	52,270	46,382	46,382	5,888	
TOTAL POSITIONS				2.00					
PERCENT OF SELECTED VACANT POSITIONS				50.00					
BUDGET ENTITY TOTALS									
TOTAL SELECTED VACANT POSITIONS				1.00	52,270	46,382	46,382	5,888	
TOTAL POSITIONS				2.00					
PERCENT OF SELECTED VACANT POSITIONS				50.00					

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*****
* PBRALP01                               STATISTICAL INFORMATION                10/15/2012 14:10 *
* BUDGET PERIOD: 2003-2014                BASE RATE AUDIT REQUEST                TJM 43   SP 19 *
*                                          PAGE: 1 *
*****
*
*                               SAVE INITIALS:      SAVE DEPARTMENT: 07   SAVE ID: BRAA
* -----
*
* SELECT CODES.  WHEN NO CODE IS SELECTED, ALL CODES WILL BE REPORTED.
*
* MERGE GROUPS (Y/N): Y
* BUDGET ENTITY OR GROUP/ACCUMULATION LEVEL (DEP, DIV, BUR, SUB, LBE, MRG):
* 1-7: 43   LBE
* 8-14:
* 15-21:
* 22-27:
*
* PROGRAM COMPONENT/ACCUMULATION LEVEL (1, 2, 3, 4 OR 5 FOR 2, 4, 6, 8 OR 10 DIGITS, 0=MERGED):
* 5
*
* PAY PLAN:
*
* SEGMENT: 2 3
*
* COLUMN SELECTION: PERSONNEL: (SEGMENT 1)
* BUDGET DETAIL: A03 (SEGMENT 2 OR 3)
* -----
*
* REPORT OPTION: 1                PAY GRADE MINIMUM OPTION: 2                BASE RATE OPTION: 3
* 1=POSITION REPORT ONLY                1=CURRENT ANNUAL MINIMUM                1=BASE RATE LESS THAN PAY GRADE MINIMUM OPTION
* 2=POSITION UPDATE AND REPORT                2=BUDGET DEFAULT MINIMUM                2=BASE RATE GREATER THAN PAY GRADE MINIMUM OPTION
*                                          3=BASE RATE NOT EQUAL TO PAY GRADE MINIMUM OPTION
*
* POSITION TYPE: 2
* 1=ALL VACANT POSITIONS                ADJUST FOR ROUNDING (Y/N): N
* 2=VACANT POSITIONS SELECTED
* BY BASE RATE OPTION ONLY                BUDGET ENTITY PAGE BREAKS (Y/N): Y
* 3=FILLED POSITIONS SELECTED
* BY BASE RATE OPTION ONLY                REPORT HEADINGS: BASE RATE AUDIT REPORT
* 4=VACANT AND FILLED POSITIONS
* SELECTED BY BASE RATE OPTION ONLY
* -----
*
* TOTAL CPF RECORDS READ: 0
* TOTAL PAF RECORDS READ: 18
* TOTAL BEF RECORDS READ: 20
* TOTAL BGF RECORDS READ: 0
* TOTAL PCF RECORDS READ: 4
* TOTAL PPF RECORDS READ: 7
* TOTAL DPC RECORDS READ: 10
* TOTAL VACANT POSITIONS SELECTED: 10
* TOTAL FILLED POSITIONS SELECTED: 0
*
*****

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BPEXBL01 LAS/PBS SYSTEM
BUDGET PERIOD: 2003-2014
STATE OF FLORIDA

EXHIBIT B COMPARISON REPORT
ACTUAL PR YR EXPENDITURES 2010-11
COMPARED TO 2010-11 APPROVED BUDGET

SP 10/15/2012 14:10 PAGE: 1
ERROR REPORT

BUDGET ENTITY	CODE	ERROR MESSAGE	PAGE
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THERE WERE 0 ERRORS DETECTED

	COL A01	COL B04	COL B04-A01	
			APPROV BUD	
			11-12 HDF	
			OVER(UNDER)	
	ACT PR YR	APPROV BUD	ACT PR YR	
	EXP 2011-12	11-12 HDF	EXP 2011-12	
	POS	AMOUNT	POS	AMOUNT
			POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
EXECUTIVE DIR/SUPPORT SVCS				43010100
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND.....	8,582,303	9,155,613	573,310	2021
	=====	=====	=====	
OTHER PERSONAL SERVICES				030000
ADMINISTRATIVE TRUST FUND.....	99,288	121,801	22,513	2021
	=====	=====	=====	
EXPENSES				040000
ADMINISTRATIVE TRUST FUND.....	1,612,511	1,696,099	83,588	2021
	=====	=====	=====	
OPERATING CAPITAL OUTLAY				060000
ADMINISTRATIVE TRUST FUND.....	250,000	260,000	10,000	2021
	=====	=====	=====	
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND.....	285,686	427,325	141,639	2021
	=====	=====	=====	
TENANT BROKER COMMISSIONS				105084
ADMINISTRATIVE TRUST FUND.....	39,565	60,000	20,435	2021
	=====	=====	=====	
TOTAL: EXECUTIVE DIR/SUPPORT SVCS				43010100
BY FUND				
ADMINISTRATIVE TRUST FUND.....	10,869,353	11,720,838	851,485	2021
	=====	=====	=====	

	COL A01	COL B04	COL B04-A01	
			APPROV BUD	
			11-12 HDF	
			OVER(UNDER)	
	ACT PR YR	APPROV BUD	ACT PR YR	
	EXP 2011-12	11-12 HDF	EXP 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
LEGAL SERVICES				43010200
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND.....	5,607,910	5,840,929	233,019	2021
EXPENSES				040000
ADMINISTRATIVE TRUST FUND.....	657,219	807,432	150,213	2021
OPERATING CAPITAL OUTLAY				060000
ADMINISTRATIVE TRUST FUND.....	17,636	27,639	10,003	2021
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND.....	208,500	231,181	22,681	2021
HOLOCAUST VICTIMS ASST ADM				101085
INSURANCE REG TF.....	302,413	308,007	5,594	2393
TOTAL: LEGAL SERVICES				43010200
BY FUND				
ADMINISTRATIVE TRUST FUND	6,491,265	6,907,181	415,916	2021
INSURANCE REG TF	302,413	308,007	5,594	2393
TOTAL BUREAU.....	6,793,678	7,215,188	421,510	

	COL A01	COL B04	COL B04-A01	CODES
	ACT PR YR	APPROV BUD	APPROV BUD	
	EXP 2011-12	11-12 HDF	11-12 HDF	
	POS	AMOUNT	OVER(UNDER)	
			ACT PR YR	
			EXP 2011-12	
			POS	AMOUNT
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND.....	7,728,786	7,811,580	82,794	2021
OTHER PERSONAL SERVICES				030000
ADMINISTRATIVE TRUST FUND.....	68,833	88,834	20,001	2021
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND.....	4,467,057	4,474,986	7,929	2021
DATA PROCESSING SERVICES				210000
SOUTHWOOD SRC				210021
ADMINISTRATIVE TRUST FUND.....		90,313	90,313	2021
TOTAL: INFORMATION TECHNOLOGY				43010300
BY FUND				
ADMINISTRATIVE TRUST FUND.....	12,264,676	12,465,713	201,037	2021

COL A01		COL B04		COL B04-A01		CODES
				APPROV BUD		
				11-12 HDF		
				OVER(UNDER)		
ACT PR YR	APPROV BUD	ACT PR YR	APPROV BUD	ACT PR YR	APPROV BUD	
EXP 2011-12	11-12 HDF	EXP 2011-12	11-12 HDF	EXP 2011-12	11-12 HDF	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	
FINANCIAL SERVICES						
PRG: CHIEF FIN OFFICER/ADM						43000000
CONSUMER ADVOCATE						43010000
SALARIES AND BENEFITS						43010400
INSURANCE REG TF.....						010000
	448,186		494,631		46,445	2393
=====						

	COL A01	COL B04	COL B04-A01	
			APPROV BUD	
			11-12 HDF	
			OVER(UNDER)	
	ACT PR YR	APPROV BUD	ACT PR YR	
	EXP 2011-12	11-12 HDF	EXP 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFO TECHNOLOGY - FLAIR				43010500
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND	6,179,216	6,313,649	134,433	1000
TOTAL APPRO.....	6,230,552	6,365,046	134,494	
OTHER PERSONAL SERVICES				030000
GENERAL REVENUE FUND.....	8,436	32,400	23,964	1000
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
GENERAL REVENUE FUND	3,027,525	3,048,816	21,291	1000
TOTAL APPRO.....	3,455,802	3,480,316	24,514	
TOTAL: INFO TECHNOLOGY - FLAIR				43010500
BY FUND				
GENERAL REVENUE FUND	9,215,177	9,394,865	179,688	1000
TOTAL BUREAU.....	9,694,790	9,877,762	182,972	

	COL A01	COL B04	COL B04-A01	
			APPROV BUD	
			11-12 HDF	
			OVER(UNDER)	
	ACT PR YR	APPROV BUD	ACT PR YR	
	EXP 2011-12	11-12 HDF	EXP 2011-12	
	POS	AMOUNT	POS	AMOUNT
			POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
DEPOSIT SECURITY				43100200
EXPENSES				040000
TREASURY ADM/INVEST TF.....	190,005	249,729	59,724	2725
	=====	=====	=====	
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
TREASURY ADM/INVEST TF.....	63,791	80,205	16,414	2725
	=====	=====	=====	
TOTAL: DEPOSIT SECURITY				43100200
BY FUND				
TREASURY ADM/INVEST TF.....	253,796	329,934	76,138	2725
	=====	=====	=====	

	COL A01	COL B04	COL B04-A01	
			APPROV BUD	
			11-12 HDF	
			OVER(UNDER)	
	ACT PR YR	APPROV BUD	ACT PR YR	
	EXP 2011-12	11-12 HDF	EXP 2011-12	
	POS	AMOUNT	POS	AMOUNT
			POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
ST FUNDS MGT & INVESTMENT				43100300
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF.....	1,614,318	1,628,533	14,215	2725
OTHER PERSONAL SERVICES				030000
TREASURY ADM/INVEST TF.....		17,500	17,500	2725
EXPENSES				040000
TREASURY ADM/INVEST TF.....	189,712	249,846	60,134	2725
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
TREASURY ADM/INVEST TF.....	720,876	948,785	227,909	2725
TOTAL: ST FUNDS MGT & INVESTMENT				43100300
BY FUND				
TREASURY ADM/INVEST TF.....	2,524,906	2,844,664	319,758	2725

	COL A01	COL B04	COL B04-A01	
			APPROV BUD	
			11-12 HDF	
			OVER(UNDER)	
	ACT PR YR	APPROV BUD	ACT PR YR	
	EXP 2011-12	11-12 HDF	EXP 2011-12	
	POS	AMOUNT	POS	AMOUNT
			POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
SUP RETIREMENT PLAN				43100400
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF.....	622,012	633,121	11,109	2725
EXPENSES				040000
TREASURY ADM/INVEST TF.....	89,292	110,733	21,441	2725
SPECIAL CATEGORIES				100000
DEFERRED COMP ADM SVCS				100868
TREASURY ADM/INVEST TF.....	661,085	1,045,000	383,915	2725
TOTAL: SUP RETIREMENT PLAN				43100400
BY FUND				
TREASURY ADM/INVEST TF.....	1,372,389	1,788,854	416,465	2725

	COL A01	COL B04	COL B04-A01	
			APPROV BUD	
			11-12 HDF	
			OVER(UNDER)	
	ACT PR YR	APPROV BUD	ACT PR YR	
	EXP 2011-12	11-12 HDF	EXP 2011-12	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND	9,092,745	9,320,718	227,973	1000
ADMINISTRATIVE TRUST FUND	643,167	1,038,717	395,550	2021
TOTAL APPRO.....	9,735,912	10,359,435	623,523	
OTHER PERSONAL SERVICES				030000
GENERAL REVENUE FUND	23,702	86,763	63,061	1000
ADMINISTRATIVE TRUST FUND	5,291	35,198	29,907	2021
TOTAL APPRO.....	28,993	121,961	92,968	
EXPENSES				040000
GENERAL REVENUE FUND	1,032,054	1,364,342	332,288	1000
ADMINISTRATIVE TRUST FUND	11,466	376,022	364,556	2021
TOTAL APPRO.....	1,043,520	1,740,364	696,844	
OPERATING CAPITAL OUTLAY				060000
GENERAL REVENUE FUND.....	257,680	277,000	19,320	1000
SPECIAL CATEGORIES				100000
REGISTRY ATTORNEYS				100650
ADMINISTRATIVE TRUST FUND.....	1,514,847	1,716,384	201,537	2021
CONTRACTED SERVICES				100777
GENERAL REVENUE FUND.....	437,538	555,949	118,411	1000

	COL A01	COL B04	COL B04-A01	
			APPROV BUD	
			11-12 HDF	
			OVER(UNDER)	
	ACT PR YR	APPROV BUD	ACT PR YR	
	EXP 2011-12	11-12 HDF	EXP 2011-12	
	POS	AMOUNT	POS	AMOUNT
			POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
TOTAL: ST FINAN INFO/ST AGY ACCTG				43200100
BY FUND				
GENERAL REVENUE FUND	10,843,719	11,604,772	761,053	1000
ADMINISTRATIVE TRUST FUND	2,174,771	3,166,321	991,550	2021
TOTAL BUREAU.....	13,018,490	14,771,093	1,752,603	

	COL A01	COL B04	COL B04-A01	
			APPROV BUD	
			11-12 HDF	
			OVER(UNDER)	
	ACT PR YR	APPROV BUD	ACT PR YR	
	EXP 2011-12	11-12 HDF	EXP 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
RECOVERY & RETURN OF UP				43200200
SALARIES AND BENEFITS				010000
UNCLAIMED PROPERTY TF.....	2,749,635	2,786,339	36,704	2007
OTHER PERSONAL SERVICES				030000
UNCLAIMED PROPERTY TF.....	137,323	180,000	42,677	2007
EXPENSES				040000
UNCLAIMED PROPERTY TF.....	657,206	756,467	99,261	2007
OPERATING CAPITAL OUTLAY				060000
UNCLAIMED PROPERTY TF.....		7,500	7,500	2007
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
UNCLAIMED PROPERTY TF.....	208,282	226,794	18,512	2007
TOTAL: RECOVERY & RETURN OF UP				43200200
BY FUND				
UNCLAIMED PROPERTY TF.....	3,752,446	3,957,100	204,654	2007

	COL A01	COL B04	COL B04-A01	
			APPROV BUD	
			11-12 HDF	
			OVER(UNDER)	
	ACT PR YR	APPROV BUD	ACT PR YR	
	EXP 2011-12	11-12 HDF	EXP 2011-12	
	POS	AMOUNT	POS	AMOUNT
			POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>COMPLIANCE & ENFORCEMENT</u>				43300200
SALARIES AND BENEFITS				010000
INSURANCE REG TF.....	3,310,411	3,320,648	10,237	2393
	=====	=====	=====	
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF.....	119,518	144,805	25,287	2393
	=====	=====	=====	
OPERATION/MOTOR VEHICLES				102289
INSURANCE REG TF.....	27,237	33,700	6,463	2393
	=====	=====	=====	
TOTAL: COMPLIANCE & ENFORCEMENT				43300200
BY FUND				
INSURANCE REG TF.....	3,457,166	3,499,153	41,987	2393
	=====	=====	=====	

	COL A01	COL B04	COL B04-A01	
			APPROV BUD	
			11-12 HDF	
			OVER(UNDER)	
	ACT PR YR	APPROV BUD	ACT PR YR	
	EXP 2011-12	11-12 HDF	EXP 2011-12	
	POS	AMOUNT	POS	AMOUNT
			POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE & ARSON INVESTIGATION</u>				43300300
SALARIES AND BENEFITS				010000
INSURANCE REG TF.....	7,612,284	7,674,344	62,060	2393
	=====	=====	=====	
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF.....	13,296	19,028	5,732	2393
	=====	=====	=====	
EXPENSES				040000
INSURANCE REG TF.....	1,638,181	1,644,521	6,340	2393
	=====	=====	=====	
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF.....	172,271	185,374	13,103	2393
	=====	=====	=====	
OPERATION/MOTOR VEHICLES				102289
INSURANCE REG TF.....	175,504	183,900	8,396	2393
	=====	=====	=====	
TOTAL: FIRE & ARSON INVESTIGATION				43300300
BY FUND				
INSURANCE REG TF.....	9,611,536	9,707,167	95,631	2393
	=====	=====	=====	

	COL A01	COL B04	COL B04-A01	
			APPROV BUD	
			11-12 HDF	
			OVER(UNDER)	
	ACT PR YR	APPROV BUD	ACT PR YR	
	EXP 2011-12	11-12 HDF	EXP 2011-12	
	POS	AMOUNT	POS	AMOUNT
			POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
PROF TRAINING & STANDARDS				43300400
SALARIES AND BENEFITS				010000
INSURANCE REG TF.....	1,644,508	1,679,790	35,282	2393
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF.....	214,334	220,000	5,666	2393
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF.....	243,195	315,357	72,162	2393
DOMESTIC SECURITY				100851
INSURANCE REG TF.....	4,309,954	16,362,675	12,052,721	2393
SUPP FIREFIGHTERS COMP				103725
INSURANCE REG TF.....	11,234	17,500	6,266	2393
TOTAL: PROF TRAINING & STANDARDS				43300400
BY FUND				
INSURANCE REG TF.....	6,423,225	18,595,322	12,172,097	2393

	COL A01	COL B04	COL B04-A01	
			APPROV BUD	
			11-12 HDF	
			OVER(UNDER)	
	ACT PR YR	APPROV BUD	ACT PR YR	
	EXP 2011-12	11-12 HDF	EXP 2011-12	
	POS	AMOUNT	POS	AMOUNT
			POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
FIRE MRSHL ADMN & SUP SRVS				43300500
SALARIES AND BENEFITS				010000
INSURANCE REG TF.....	1,154,023	1,199,354	45,331	2393
EXPENSES				040000
INSURANCE REG TF.....	253,442	260,439	6,997	2393
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF.....	160,711	170,189	9,478	2393
SUPP FIREFIGHTERS COMP				103725
INSURANCE REG TF.....	1,607	7,500	5,893	2393
TOTAL: FIRE MRSHL ADMN & SUP SRVS				43300500
BY FUND				
INSURANCE REG TF.....	1,569,783	1,637,482	67,699	2393

	COL A01	COL B04	COL B04-A01	
			APPROV BUD	
			11-12 HDF	
			OVER(UNDER)	
	ACT PR YR	APPROV BUD	ACT PR YR	
	EXP 2011-12	11-12 HDF	EXP 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
SALARIES AND BENEFITS				010000
STATE RISK MGMT TF.....	4,985,316	5,456,627	471,311	2078
	=====	=====	=====	
OTHER PERSONAL SERVICES				030000
STATE RISK MGMT TF.....	27,097	35,000	7,903	2078
	=====	=====	=====	
EXPENSES				040000
STATE RISK MGMT TF.....	688,972	813,221	124,249	2078
	=====	=====	=====	
OPERATING CAPITAL OUTLAY				060000
STATE RISK MGMT TF.....	69,881	75,609	5,728	2078
	=====	=====	=====	
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
STATE RISK MGMT TF.....	10,908,988	14,210,951	3,301,963	2078
	=====	=====	=====	
CONTRACT LEGAL - ATTY GEN				100904
STATE RISK MGMT TF.....	4,931,729	6,302,284	1,370,555	2078
	=====	=====	=====	
CONTRACTED LEGAL SERVICES				100905
STATE RISK MGMT TF.....	14,935,104	18,001,020	3,065,916	2078
	=====	=====	=====	
EXCESS INSUR. & CLAIM SER				101221
STATE RISK MGMT TF.....	13,263,846	13,700,000	436,154	2078
	=====	=====	=====	

COL A01		COL B04		COL B04-A01		CODES
ACT PR YR		APPROV BUD		APPROV BUD		
EXP 2011-12		11-12 HDF		11-12 HDF		
POS		POS		OVER(UNDER)		
AMOUNT		AMOUNT		ACT PR YR		
				EXP 2011-12		
				POS		
				AMOUNT		
FINANCIAL SERVICES						
						43000000
PGM: ST PROP/CASUALTY CLMS						43400000
ST SELF-INSURED CLAIMS ADJ						43400100
TOTAL: ST SELF-INSURED CLAIMS ADJ						43400100
BY FUND						
STATE RISK MGMT TF.....						2078
49,810,933		58,594,712		8,783,779		
=====		=====		=====		

	COL A01	COL B04	COL B04-A01	
			APPROV BUD	
			11-12 HDF	
			OVER(UNDER)	
	ACT PR YR	APPROV BUD	ACT PR YR	
	EXP 2011-12	11-12 HDF	EXP 2011-12	
	POS	AMOUNT	POS	AMOUNT
			POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>INSURANCE CO REHAB/LIQDATN</u>				43500100
SALARIES AND BENEFITS				010000
INSURANCE REG TF.....	554,877	565,721	10,844	2393
	=====	=====	=====	
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF.....		34,771	34,771	2393
	=====	=====	=====	
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF.....	108,733	232,517	123,784	2393
	=====	=====	=====	
TOTAL: INSURANCE CO REHAB/LIQDATN				43500100
BY FUND				
INSURANCE REG TF.....	663,610	833,009	169,399	2393
	=====	=====	=====	

	COL A01	COL B04	COL B04-A01	
			APPROV BUD	
			11-12 HDF	
			OVER(UNDER)	
	ACT PR YR	APPROV BUD	ACT PR YR	
	EXP 2011-12	11-12 HDF	EXP 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>LICENSURE, SALES/APPT/OVST</u>				43500200
SALARIES AND BENEFITS				010000
INSURANCE REG TF.....	6,216,348	6,574,838	358,490	2393
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF.....	16,950	27,500	10,550	2393
SPECIAL CATEGORIES				100000
ACQUISITION/MOTOR VEHICLES				100021
INSURANCE REG TF.....	17,750	46,750	29,000	2393
ELECTRONIC COMMERCE FEES				100064
INSURANCE REG TF.....	943,640	2,100,000	1,156,360	2393
CONTRACTED SERVICES				100777
INSURANCE REG TF.....	2,054,175	2,814,892	760,717	2393
TOTAL: LICENSURE, SALES/APPT/OVST				43500200
BY FUND				
INSURANCE REG TF.....	9,248,863	11,563,980	2,315,117	2393

	COL A01	COL B04	COL B04-A01	
			APPROV BUD	
			11-12 HDF	
			OVER(UNDER)	
	ACT PR YR	APPROV BUD	ACT PR YR	
	EXP 2011-12	11-12 HDF	EXP 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>INSURANCE FRAUD</u>				43500300
SALARIES AND BENEFITS				010000
INSURANCE REG TF.....	11,253,471	11,602,162	348,691	2393
	=====	=====	=====	
SPECIAL CATEGORIES				100000
TRANS TO JAC FOR PIP FRAUD				100522
INSURANCE REG TF.....	1,176,631	1,196,826	20,195	2393
	=====	=====	=====	
OPERATION/MOTOR VEHICLES				102289
INSURANCE REG TF.....	155,543	166,600	11,057	2393
	=====	=====	=====	
TOTAL: INSURANCE FRAUD				43500300
BY FUND				
INSURANCE REG TF.....	12,585,645	12,965,588	379,943	2393
	=====	=====	=====	

	COL A01	COL B04	COL B04-A01	
			APPROV BUD	
			11-12 HDF	
			OVER(UNDER)	
	ACT PR YR	APPROV BUD	ACT PR YR	
	EXP 2011-12	11-12 HDF	EXP 2011-12	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
CONSUMER ASSISTANCE				43500400
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND		30,714	30,714	2021
FINANCIAL INST REG TF		33,024	33,024	2275
INSURANCE REG TF	5,728,600	6,147,503	418,903	2393
REGULATORY TRUST FUND		296,893	296,893	2573
TOTAL APPRO.....	5,728,600	6,508,134	779,534	
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF.....	34,282	102,731	68,449	2393
EXPENSES				040000
ADMINISTRATIVE TRUST FUND	565	16,463	15,898	2021
INSURANCE REG TF	679,705	1,005,591	325,886	2393
REGULATORY TRUST FUND	357	23,655	23,298	2573
TOTAL APPRO.....	680,627	1,045,709	365,082	
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	313,425	562,439	249,014	2393
TOTAL APPRO.....	313,431	565,680	252,249	
OPERATION/MOTOR VEHICLES				102289
INSURANCE REG TF.....	1,337	16,500	15,163	2393

	COL A01	COL B04	COL B04-A01	
			APPROV BUD	
			11-12 HDF	
			OVER(UNDER)	
	ACT PR YR	APPROV BUD	ACT PR YR	
	EXP 2011-12	11-12 HDF	EXP 2011-12	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
CONSUMER ASSISTANCE				43500400
TOTAL: CONSUMER ASSISTANCE				43500400
BY FUND				
ADMINISTRATIVE TRUST FUND	565	47,297	46,732	2021
FINANCIAL INST REG TF		33,379	33,379	2275
INSURANCE REG TF	6,757,349	7,834,764	1,077,415	2393
REGULATORY TRUST FUND	363	323,314	322,951	2573
TOTAL BUREAU.....	6,758,277	8,238,754	1,480,477	
	=====	=====	=====	

	COL A01	COL B04	COL B04-A01	
			APPROV BUD	
			11-12 HDF	
			OVER(UNDER)	
	ACT PR YR	APPROV BUD	ACT PR YR	
	EXP 2011-12	11-12 HDF	EXP 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>FUNERAL/CEMETERY SERVICES</u>				43500500
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND.....	1,173,800	1,337,190	163,390	2573
	=====	=====	=====	
OTHER PERSONAL SERVICES				030000
REGULATORY TRUST FUND.....	44,144	55,000	10,856	2573
	=====	=====	=====	
EXPENSES				040000
REGULATORY TRUST FUND.....	199,692	396,172	196,480	2573
	=====	=====	=====	
OPERATING CAPITAL OUTLAY				060000
REGULATORY TRUST FUND.....		9,500	9,500	2573
	=====	=====	=====	
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
REGULATORY TRUST FUND.....	116,103	135,325	19,222	2573
	=====	=====	=====	
TOTAL: FUNERAL/CEMETERY SERVICES				43500500
BY FUND				
REGULATORY TRUST FUND.....	1,533,739	1,933,187	399,448	2573
	=====	=====	=====	

	COL A01	COL B04	COL B04-A01	
			APPROV BUD	
			11-12 HDF	
			OVER(UNDER)	
	ACT PR YR	APPROV BUD	ACT PR YR	
	EXP 2011-12	11-12 HDF	EXP 2011-12	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
PUBLIC ASSISTANCE FRAUD				43500700
SALARIES AND BENEFITS				010000
FEDERAL GRANTS TRUST FUND	1,481,679	3,055,298	1,573,619	2261
TOTAL APPRO.....	3,604,603	5,178,419	1,573,816	
EXPENSES				040000
FEDERAL GRANTS TRUST FUND	38,189	400,869	362,680	2261
INSURANCE REG TF	160,186	197,662	37,476	2393
TOTAL APPRO.....	369,070	769,261	400,191	
OPERATING CAPITAL OUTLAY				060000
GENERAL REVENUE FUND.....	1,800	18,000	16,200	1000
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF	20,616	27,529	6,913	2393
TOTAL APPRO.....	21,138	28,056	6,918	
DATA PROCESSING SERVICES				210000
OTHER DATA PROCESSING SVCS				210014
FEDERAL GRANTS TRUST FUND	281	109,722	109,441	2261
INSURANCE REG TF	474	10,204	9,730	2393
TOTAL APPRO.....	755	119,926	119,171	

	COL A01	COL B04	COL B04-A01	
			APPROV BUD	
			11-12 HDF	
			OVER(UNDER)	
	ACT PR YR	APPROV BUD	ACT PR YR	
	EXP 2011-12	11-12 HDF	EXP 2011-12	
	POS	AMOUNT	POS	AMOUNT
			POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
PUBLIC ASSISTANCE FRAUD				43500700
TOTAL: PUBLIC ASSISTANCE FRAUD				43500700
BY FUND				
GENERAL REVENUE FUND	464,445	480,679	16,234	1000
FEDERAL GRANTS TRUST FUND	1,520,671	3,566,416	2,045,745	2261
INSURANCE REG TF	1,744,287	1,798,407	54,120	2393
TOTAL BUREAU.....	3,997,366	6,113,662	2,116,296	

	COL A01	COL B04	COL B04-A01	
			APPROV BUD	
			11-12 HDF	
			OVER(UNDER)	
	ACT PR YR	APPROV BUD	ACT PR YR	
	EXP 2011-12	11-12 HDF	EXP 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
WORKERS' COMPENSATION				43600100
SALARIES AND BENEFITS				010000
WORKERS' COMP ADMIN TF	14,777,785	15,549,805	772,020	2795
WORKERS'COMP SPEC DISAB TF	808,495	922,616	114,121	2798
TOTAL APPRO.....	15,586,280	16,472,421	886,141	
OTHER PERSONAL SERVICES				030000
WORKERS' COMP ADMIN TF	53,381	237,570	184,189	2795
WORKERS'COMP SPEC DISAB TF	16,767	32,550	15,783	2798
TOTAL APPRO.....	70,148	270,120	199,972	
EXPENSES				040000
WORKERS' COMP ADMIN TF	3,413,092	3,449,939	36,847	2795
WORKERS'COMP SPEC DISAB TF	111,470	129,150	17,680	2798
TOTAL APPRO.....	3,524,562	3,579,089	54,527	
OPERATING CAPITAL OUTLAY				060000
WORKERS' COMP ADMIN TF	140,670	200,021	59,351	2795
WORKERS'COMP SPEC DISAB TF		16,851	16,851	2798
TOTAL APPRO.....	140,670	216,872	76,202	
SPECIAL CATEGORIES				100000
ELECTRONIC COMMERCE FEES				100064
WORKERS' COMP ADMIN TF.....	48,271	288,000	239,729	2795

	COL A01	COL B04	COL B04-A01	
			APPROV BUD	
			11-12 HDF	
			OVER(UNDER)	
	ACT PR YR	APPROV BUD	ACT PR YR	
	EXP 2011-12	11-12 HDF	EXP 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
<u>WORKERS' COMPENSATION</u>				43600100
SPECIAL CATEGORIES				100000
TR/USF-OSHA MATCH				100521
WORKERS' COMP ADMIN TF.....	228,358	250,000	21,642	2795
	=====	=====	=====	
CONTRACTED SERVICES				100777
WORKERS' COMP ADMIN TF	2,420,988	3,267,499	846,511	2795
WORKERS' COMP SPEC DISAB TF	52,759	86,360	33,601	2798
	-----	-----	-----	
TOTAL APPRO.....	2,473,747	3,353,859	880,112	
	=====	=====	=====	
OPERATION/MOTOR VEHICLES				102289
WORKERS' COMP ADMIN TF.....	54,911	69,800	14,889	2795
	=====	=====	=====	
TOTAL: WORKERS' COMPENSATION				43600100
BY FUND				
WORKERS' COMP ADMIN TF	21,137,456	23,312,634	2,175,178	2795
WORKERS' COMP SPEC DISAB TF	989,491	1,187,527	198,036	2798
	-----	-----	-----	
TOTAL BUREAU.....	22,126,947	24,500,161	2,373,214	
	=====	=====	=====	

	COL A01	COL B04	COL B04-A01	
			APPROV BUD	
			11-12 HDF	
			OVER(UNDER)	
	ACT PR YR	APPROV BUD	ACT PR YR	
	EXP 2011-12	11-12 HDF	EXP 2011-12	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>COMP & ENFORCE- INSURANCE</u>				43900110
<u>SALARIES AND BENEFITS</u>				010000
INSURANCE REG TF.....	14,704,305	15,121,535	417,230	2393
	=====	=====	=====	
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF.....	82,058	94,000	11,942	2393
	=====	=====	=====	
EXPENSES				040000
INSURANCE REG TF.....	2,067,349	2,522,363	455,014	2393
	=====	=====	=====	
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF.....	35,044	57,000	21,956	2393
	=====	=====	=====	
SPECIAL CATEGORIES				100000
PROPERTY/CASUALTY EXAMS				100523
INSURANCE REG TF.....	3,487,241	4,651,763	1,164,522	2393
	=====	=====	=====	
LIFE AND HEALTH EXAMS				100524
INSURANCE REG TF.....	93,369	275,000	181,631	2393
	=====	=====	=====	
CONTRACTED SERVICES				100777
INSURANCE REG TF.....	771,094	1,113,016	341,922	2393
	=====	=====	=====	

COL A01		COL B04		COL B04-A01		CODES
				APPROV BUD		
				11-12 HDF		
				OVER(UNDER)		
ACT PR YR	APPROV BUD	ACT PR YR	APPROV BUD	ACT PR YR	APPROV BUD	
EXP 2011-12	11-12 HDF	EXP 2011-12	11-12 HDF	EXP 2011-12	11-12 HDF	
POS	AMOUNT	POS	AMOUNT	POS	AMOUNT	

FINANCIAL SERVICES						43000000
PGM: FINANCIAL SVCS COMM						43900000
OFFICE OF INSURANCE REG						43900100
<u>COMP & ENFORCE- INSURANCE</u>						43900110
TOTAL: COMP & ENFORCE- INSURANCE						43900110
BY FUND						
INSURANCE REG TF.....	21,240,460		23,834,677		2,594,217	2393
=====						

	COL A01	COL B04	COL B04-A01	
			APPROV BUD	
			11-12 HDF	
			OVER(UNDER)	
	ACT PR YR	APPROV BUD	ACT PR YR	
	EXP 2011-12	11-12 HDF	EXP 2011-12	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>EXEC DIR & SUPORT SERVICES</u>				43900120
<u>SALARIES AND BENEFITS</u>				010000
INSURANCE REG TF.....	2,427,641	2,463,627	35,986	2393
	=====	=====	=====	
EXPENSES				040000
INSURANCE REG TF.....	129,606	144,457	14,851	2393
	=====	=====	=====	
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF.....	13,749	117,710	103,961	2393
	=====	=====	=====	
TOTAL: EXEC DIR & SUPORT SERVICES				43900120
BY FUND				
INSURANCE REG TF.....	2,570,996	2,725,794	154,798	2393
	=====	=====	=====	

	COL A01	COL B04	COL B04-A01	
			APPROV BUD	
			11-12 HDF	
			OVER(UNDER)	
	ACT PR YR	APPROV BUD	ACT PR YR	
	EXP 2011-12	11-12 HDF	EXP 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SFTY & SOUND ST BKG SYST</u>				43900530
SALARIES AND BENEFITS				010000
FINANCIAL INST REG TF.....	7,498,686	8,617,133	1,118,447	2275
	=====	=====	=====	
OTHER PERSONAL SERVICES				030000
FINANCIAL INST REG TF.....	727,438	872,000	144,562	2275
	=====	=====	=====	
EXPENSES				040000
FINANCIAL INST REG TF.....	1,520,603	1,802,578	281,975	2275
	=====	=====	=====	
OPERATING CAPITAL OUTLAY				060000
FINANCIAL INST REG TF.....		7,130	7,130	2275
	=====	=====	=====	
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
FINANCIAL INST REG TF.....	92,924	367,012	274,088	2275
	=====	=====	=====	
TOTAL: SFTY & SOUND ST BKG SYST				43900530
BY FUND				
FINANCIAL INST REG TF.....	9,839,651	11,665,853	1,826,202	2275
	=====	=====	=====	

	COL A01	COL B04	COL B04-A01	
			APPROV BUD	
			11-12 HDF	
			OVER(UNDER)	
	ACT PR YR	APPROV BUD	ACT PR YR	
	EXP 2011-12	11-12 HDF	EXP 2011-12	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCIAL INVESTIGATIONS</u>				43900540
<u>SALARIES AND BENEFITS</u>				010000
ADMINISTRATIVE TRUST FUND.....	3,520,435	3,689,178	168,743	2021
	=====	=====	=====	
OTHER PERSONAL SERVICES				030000
ADMINISTRATIVE TRUST FUND.....		5,321	5,321	2021
	=====	=====	=====	
EXPENSES				040000
ADMINISTRATIVE TRUST FUND	398,853	509,366	110,513	2021
FED LAW ENFORCEMENT TF	2,332	51,758	49,426	2719
	-----	-----	-----	
TOTAL APPRO.....	401,185	561,124	159,939	
	=====	=====	=====	
OPERATING CAPITAL OUTLAY				060000
ADMINISTRATIVE TRUST FUND.....	1,390	10,600	9,210	2021
	=====	=====	=====	
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND.....	22,855	36,354	13,499	2021
	=====	=====	=====	
TOTAL: FINANCIAL INVESTIGATIONS				43900540
BY FUND				
ADMINISTRATIVE TRUST FUND	3,943,533	4,250,819	307,286	2021
FED LAW ENFORCEMENT TF	2,332	51,758	49,426	2719
	-----	-----	-----	
TOTAL SUB-BUREAU.....	3,945,865	4,302,577	356,712	
	=====	=====	=====	

	COL A01	COL B04	COL B04-A01	
			APPROV BUD	
			11-12 HDF	
			OVER(UNDER)	
	ACT PR YR	APPROV BUD	ACT PR YR	
	EXP 2011-12	11-12 HDF	EXP 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR & SUPPORT SERVICE</u>				43900550
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND.....	2,645,400	2,658,374	12,974	2021
	=====	=====	=====	
EXPENSES				040000
ADMINISTRATIVE TRUST FUND.....	294,004	427,552	133,548	2021
	=====	=====	=====	
DATA PROCESSING SERVICES				210000
REAL SYSTEM - OFR				210016
ADMINISTRATIVE TRUST FUND.....	2,175,674	2,229,014	53,340	2021
	=====	=====	=====	
TOTAL: EXEC DIR & SUPPORT SERVICE				43900550
BY FUND				
ADMINISTRATIVE TRUST FUND.....	5,115,078	5,314,940	199,862	2021
	=====	=====	=====	

	COL A01	COL B04	COL B04-A01	
			APPROV BUD	
			11-12 HDF	
			OVER(UNDER)	
	ACT PR YR	APPROV BUD	ACT PR YR	
	EXP 2011-12	11-12 HDF	EXP 2011-12	
	POS	AMOUNT	POS	AMOUNT
				AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND.....	6,816,495	6,940,304	123,809	2573
	=====	=====	=====	
EXPENSES				040000
REGULATORY TRUST FUND.....	797,458	851,989	54,531	2573
	=====	=====	=====	
OPERATING CAPITAL OUTLAY				060000
REGULATORY TRUST FUND.....		5,631	5,631	2573
	=====	=====	=====	
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
REGULATORY TRUST FUND.....	2,973,619	2,981,565	7,946	2573
	=====	=====	=====	
TOTAL: FINANCE REGULATION				43900560
BY FUND				
REGULATORY TRUST FUND.....	10,587,572	10,779,489	191,917	2573
	=====	=====	=====	

	COL A01	COL B04	COL B04-A01	
			APPROV BUD	
			11-12 HDF	
			OVER(UNDER)	
	ACT PR YR	APPROV BUD	ACT PR YR	
	EXP 2011-12	11-12 HDF	EXP 2011-12	
	POS	AMOUNT	POS	AMOUNT
				CODES
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND.....	5,290,327	6,118,008	827,681	2573
	=====	=====	=====	
OTHER PERSONAL SERVICES				030000
ANTI-FRAUD TRUST FUND	19,979	32,538	12,559	2038
TOTAL APPRO.....	22,563	37,004	14,441	
	=====	=====	=====	
EXPENSES				040000
REGULATORY TRUST FUND	610,142	753,671	143,529	2573
TOTAL APPRO.....	671,299	816,556	145,257	
	=====	=====	=====	
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ANTI-FRAUD TRUST FUND	52,719	80,049	27,330	2038
REGULATORY TRUST FUND	20,389	49,500	29,111	2573
TOTAL APPRO.....	73,108	129,549	56,441	
	=====	=====	=====	
TOTAL: SECURITIES REGULATION				43900570
BY FUND				
ANTI-FRAUD TRUST FUND	133,855	175,472	41,617	2038
REGULATORY TRUST FUND	5,923,442	6,925,645	1,002,203	2573
TOTAL SUB-BUREAU.....	6,057,297	7,101,117	1,043,820	
	=====	=====	=====	

	COL A01		COL B04		COL B04-A01 APPROV BUD 11-12 HDF OVER(UNDER)		
	ACT PR YR EXP 2011-12	POS	AMOUNT	APPROV BUD 11-12 HDF	POS	AMOUNT	CODES
FINANCIAL SERVICES							43000000
TOTAL: FINANCIAL SERVICES							43000000
BY FUND TYPE							
GENERAL REVENUE FUND	20,523,341			21,480,316		956,975	1000
TRUST FUNDS	227,613,378			267,892,085		40,278,707	2000
TOTAL DEPARTMENT.....	248,136,719			289,372,401		41,235,682	
	=====			=====		=====	

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* BPEXBL01                               STATISTICAL INFORMATION                10/15/2012 14:10:39:*
* BUDGET PERIOD: 2003-2014                EXHIBIT B REPORT REQUEST                TJM 43      SP      *
* COMPILE DATE: 11/23/2011                COMPILE TIME: 13:50:12                  PAGE:      1      *
*****
*
*          SAVE INITIALS:          SAVE DEPARTMENT: 07      SAVE ID: EXBB
* -----
* ITEMIZATION OF EXPENDITURE:          IOE ACCUMULATION LEVEL: 0 (1=OPER/FCO, 2=IOE, 0=MERGED)
* SECTION:          MERGE SECTIONS (Y/N): Y
* MERGE GROUPS (Y/N): Y
* BUDGET ENTITY OR GROUP/ACCUMULATION LEVEL (DEP, DIV, BUR, SUB, LBE, MRG):
*   1-7: 43          LBE
*   8-14:
*   15-21:
*   22-27:
* EXCLUDE:
*
* PROGRAM COMPONENT/ACCUMULATION LEVEL (1, 2, 3, 4 OR 5 FOR 2, 4, 6, 8 OR 10 DIGITS, 0=MERGED):
*   0          0          0          0          0          0
*
* APPROPRIATION CATEGORY OR GROUP/ACCUMULATION LEVEL (1=MAJOR, 2=MINOR, 0=MERGED):
*   2
* FUND GROUPS SET:          OR FUND:          FUNDING SOURCE IDENTIFIER:          MERGE FSI (Y/N): Y
* FCO (Y/N): N          FTE (Y/N): N          SALARY RATE (Y/N): N
* -----
* COLUMN SELECTION/P=PERCENTAGE OF TOTAL COLUMN:
*   A01          B04          B04-A01          CODES
*
* REPORT COLUMNS WITH CALCULATION DIFFERENCE ONLY (Y/N): Y THAT EXCEED:          5,000
*
* LEVELS OF TOTALS: (N=NO TOTAL, L=LINE TOTAL, T=BY FUND TYPE, D=BY DETAIL FUND, B=BY DETAIL FUND AND FUND TYPE,
* G=FUND GROUP LINE TOTALS, E=BY DETAIL FUND AND FUND GROUP)
* RUN: N          ITEM OF EXP: N          GROUP/SECTION: N          DEPARTMENT: T          DIVISION: N          BUREAU: N          SUB-BUREAU: N
* LBE: D          PROG COMP: N          MAJOR APP CAT: N          MINOR APP CAT: D
*
*
* APPROPRIATION CATEGORY TITLES: S          SORT OPTION: A          REPORT SEQUENCE:
* (S=SHORT, L=LONG)          A=ITEM OF EXP/BUDGET ENTITY          DEPT/BUDGET ENTITY: N          A=ALPHABETICAL
*          B=BUDGET ENTITY/ITEM OF EXP          PROGRAM COMPONENT: N          N=NUMERICAL
* -----
* DEPARTMENT NARRATIVE SET:
* BUDGET ENTITY NARRATIVE SET:
*
* PRINT COLUMN CODES (Y/N): Y
*
*
*          PAGE BREAKS: LBE
*          (IOE, GRP, DEP, DIV, BUR,          REPORT HEADING:          EXHIBIT B COMPARISON REPORT
*          SUB, LBE, PRC, MAC, NAC)          ACTUAL PR YR EXPENDITURES 2010-11
*          COMPARED TO 2010-11 APPROVED BUDGET
* -----

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* BPEXBL01                               STATISTICAL INFORMATION                10/15/2012 14:10:39:*
* BUDGET PERIOD: 2003-2014                EXHIBIT B REPORT REQUEST                TJM 43      SP      *
* COMPILE DATE: 11/23/2011                COMPILE TIME: 13:50:12                PAGE:      2      *
*****
*
* TOTAL NUMBER RECORDS READ FROM SORT:      554
* TOTAL NUMBER RECORDS READ FROM CARD:      34
* TOTAL NUMBER RECORDS READ FROM ACF:       38
* TOTAL NUMBER RECORDS READ FROM BEF:      120
* TOTAL NUMBER RECORDS READ FROM BEN:       0
* TOTAL NUMBER RECORDS READ FROM BGF:       0
* TOTAL NUMBER RECORDS READ FROM FCF:       14
* TOTAL NUMBER RECORDS READ FROM FSF:       0
* TOTAL NUMBER RECORDS READ FROM IEF:       0
* TOTAL NUMBER RECORDS READ FROM PCF:       0
* TOTAL NUMBER RECORDS READ FROM SNF:       0
*
*****

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COL B07-A02
BACK UP OF
A02
OVER(UNDER)
CURR YR EST
EXP 2012-13
POS AMOUNT

CODES

RECORDS SELECTED NET TO ZERO


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* BPEXBL01                               STATISTICAL INFORMATION                10/15/2012 14:10:46:*
* BUDGET PERIOD: 2003-2014                EXHIBIT B REPORT REQUEST                TJM 43      SP      *
* COMPILE DATE: 11/23/2011                COMPILE TIME: 13:50:12                PAGE:      2      *
*****
*
* TOTAL NUMBER RECORDS READ FROM SORT:    331
* TOTAL NUMBER RECORDS READ FROM CARD:    34
* TOTAL NUMBER RECORDS READ FROM ACF:     40
* TOTAL NUMBER RECORDS READ FROM BEF:     62
* TOTAL NUMBER RECORDS READ FROM BEN:     0
* TOTAL NUMBER RECORDS READ FROM BGF:     0
* TOTAL NUMBER RECORDS READ FROM FCF:     0
* TOTAL NUMBER RECORDS READ FROM FSF:     0
* TOTAL NUMBER RECORDS READ FROM IEF:     0
* TOTAL NUMBER RECORDS READ FROM PCF:     13
* TOTAL NUMBER RECORDS READ FROM SNF:     0
*
*****

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BPEXBL01 LAS/PBS SYSTEM
BUDGET PERIOD: 2003-2014
STATE OF FLORIDA

COMPARISON REPORT
COLUMNS A03, A12

SP 10/15/2012 14:10 PAGE: 1
ERROR REPORT

BUDGET ENTITY	CODE	ERROR MESSAGE	PAGE
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THERE WERE 0 ERRORS DETECTED

	COL A03		COL A12		
	AGY REQUEST	AGY FIN REQ	AGY REQUEST	AGY FIN REQ	
	FY 2013-14	FY 2013-14	FY 2013-14	FY 2013-14	
	POS	AMOUNT	POS	AMOUNT	CODES
<hr/>					
FINANCIAL SERVICES					43000000
PRG: CHIEF FIN OFFICER/ADM					43010000
EXECUTIVE DIR/SUPPORT SVCS					43010100
	146.00		146.00		
TRUST FUNDS.....	12,301,411		12,301,411		2000
	=====		=====		
<u>LEGAL SERVICES</u>					43010200
	93.00		93.00		
TRUST FUNDS.....	8,303,112		8,303,112		2000
	=====		=====		
<u>INFORMATION TECHNOLOGY</u>					43010300
	140.00		140.00		
TRUST FUNDS.....	22,562,252		22,562,252		2000
	=====		=====		
<u>CONSUMER ADVOCATE</u>					43010400
	5.00		5.00		
TRUST FUNDS.....	745,042		745,042		2000
	=====		=====		
<u>INFO TECHNOLOGY - FLAIR</u>					43010500
GENERAL REVENUE FUND	10,616,985		10,616,985		1000
TRUST FUNDS	1,487,159		1,487,159		2000
	-----		-----		
TOTAL POSITIONS.....	107.00		107.00		
TOTAL BUREAU.....	12,104,144		12,104,144		
	=====		=====		
TOTAL: PRG: CHIEF FIN OFFICER/ADM					43010000
BY FUND TYPE					
GENERAL REVENUE FUND	10,616,985		10,616,985		1000
TRUST FUNDS	45,398,976		45,398,976		2000
	-----		-----		
TOTAL POSITIONS.....	491.00		491.00		
TOTAL DIVISION.....	56,015,961		56,015,961		
	=====		=====		
<u>PROGRAM: TREASURY</u>					43100000
<u>DEPOSIT SECURITY</u>					43100200
	23.00		23.00		
TRUST FUNDS.....	1,766,611		1,766,611		2000
	=====		=====		

	COL A03	COL A12	
	AGY REQUEST	AGY FIN REQ	
	FY 2013-14	FY 2013-14	
	POS	POS	CODES
	AMOUNT	AMOUNT	

FINANCIAL SERVICES			43000000
PROGRAM: TREASURY			43100000
ST FUNDS MGT & INVESTMENT			43100300
	28.50	28.50	
TRUST FUNDS.....	2,954,969	2,954,969	2000
	=====	=====	
SUP RETIREMENT PLAN			43100400
	12.00	12.00	
TRUST FUNDS.....	1,717,825	1,717,825	2000
	=====	=====	
TOTAL: PROGRAM: TREASURY			43100000
BY FUND TYPE			
	63.50	63.50	
TRUST FUNDS.....	6,439,405	6,439,405	2000
	=====	=====	
PGM: FIN ACCT/PUBLIC FUNDS			43200000
ST FINAN INFO/ST AGY ACCTG			43200100
GENERAL REVENUE FUND	13,411,889	13,411,889	1000
TRUST FUNDS	4,203,852	4,203,852	2000
	-----	-----	
TOTAL POSITIONS.....	197.00	197.00	
TOTAL BUREAU.....	17,615,741	17,615,741	
	=====	=====	
RECOVERY & RETURN OF UP			43200200
	78.00	78.00	
TRUST FUNDS.....	5,614,124	5,614,124	2000
	=====	=====	
TOTAL: PGM: FIN ACCT/PUBLIC FUNDS			43200000
BY FUND TYPE			
GENERAL REVENUE FUND	13,411,889	13,411,889	1000
TRUST FUNDS	9,817,976	9,817,976	2000
	-----	-----	
TOTAL POSITIONS.....	275.00	275.00	
TOTAL DIVISION.....	23,229,865	23,229,865	
	=====	=====	
PROGRAM: FIRE MARSHAL			43300000
COMPLIANCE & ENFORCEMENT			43300200
	69.00	69.00	
TRUST FUNDS.....	4,394,148	4,394,148	2000
	=====	=====	

	COL A03	COL A12	
	AGY REQUEST	AGY FIN REQ	
	FY 2013-14	FY 2013-14	
	POS	POS	CODES
	AMOUNT	AMOUNT	
FINANCIAL SERVICES			43000000
PROGRAM: FIRE MARSHAL			43300000
<u>FIRE & ARSON INVESTIGATION</u>			43300300
TRUST FUNDS.....	128.00	128.00	
	10,724,972	10,724,972	2000
	=====	=====	
<u>PROF TRAINING & STANDARDS</u>			43300400
TRUST FUNDS.....	31.00	31.00	
	2,972,483	2,972,483	2000
	=====	=====	
<u>FIRE MRSHL ADMN & SUP SRVS</u>			43300500
TRUST FUNDS.....	14.00	14.00	
	2,167,939	2,167,939	2000
	=====	=====	
TOTAL: PROGRAM: FIRE MARSHAL			43300000
BY FUND TYPE			
TRUST FUNDS.....	242.00	242.00	
	20,259,542	20,259,542	2000
	=====	=====	
PGM: ST PROP/CASUALTY CLMS			43400000
<u>ST SELF-INSURED CLAIMS ADJ</u>			43400100
TRUST FUNDS.....	126.00	126.00	
	64,609,549	64,609,549	2000
	=====	=====	
PGM: LICNSNG/CNSMER PROTEC			43500000
<u>INSURANCE CO REHAB/LIQDATN</u>			43500100
TRUST FUNDS.....	7.00	7.00	
	964,309	964,309	2000
	=====	=====	
<u>LICENSURE, SALES/APPT/OVST</u>			43500200
TRUST FUNDS.....	122.00	122.00	
	9,576,747	9,576,747	2000
	=====	=====	
<u>INSURANCE FRAUD</u>			43500300
TRUST FUNDS.....	191.00	191.00	
	16,509,591	16,509,591	2000
	=====	=====	

	COL A03		COL A12		
	AGY REQUEST		AGY FIN REQ		
	FY 2013-14		FY 2013-14		
	POS	AMOUNT	POS	AMOUNT	CODES
<u>FINANCIAL SERVICES</u>					43000000
PGM: LICNSNG/CNSMER PROTEC					43500000
<u>CONSUMER ASSISTANCE</u>					43500400
	119.00		119.00		
TRUST FUNDS.....	7,935,141		7,935,141		2000
	=====		=====		
<u>FUNERAL/CEMETERY SERVICES</u>					43500500
	23.00		23.00		
TRUST FUNDS.....	1,963,488		1,963,488		2000
	=====		=====		
<u>PUBLIC ASSISTANCE FRAUD</u>					43500700
	63.00		63.00		
TRUST FUNDS.....	5,962,563		5,962,563		2000
	=====		=====		
TOTAL: PGM: LICNSNG/CNSMER PROTEC					43500000
BY FUND TYPE					
	525.00		525.00		
TRUST FUNDS.....	42,911,839		42,911,839		2000
	=====		=====		
<u>PGM: WORKERS' COMPENSATION</u>					43600000
<u>WORKERS' COMPENSATION</u>					43600100
	302.00		302.00		
TRUST FUNDS.....	25,230,085		25,230,085		2000
	=====		=====		
<u>PGM: FINANCIAL SVCS COMM</u>					43900000
<u>OFFICE OF INSURANCE REG</u>					43900100
<u>COMP & ENFORCE- INSURANCE</u>					43900110
	252.00		252.00		
TRUST FUNDS.....	25,049,258		25,049,258		2000
	=====		=====		
<u>EXEC DIR & SUPORT SERVICES</u>					43900120
	34.00		34.00		
TRUST FUNDS.....	2,720,763		2,720,763		2000
	=====		=====		
TOTAL: OFFICE OF INSURANCE REG					43900100
BY FUND TYPE					
	286.00		286.00		
TRUST FUNDS.....	27,770,021		27,770,021		2000
	=====		=====		

	COL A03	COL A12	
	AGY REQUEST	AGY FIN REQ	
	FY 2013-14	FY 2013-14	
	POS	POS	CODES
	AMOUNT	AMOUNT	
FINANCIAL SERVICES			43000000
PGM: FINANCIAL SVCS COMM			43900000
OFFICE OF FINANCIAL REG			43900500
SFTY & SOUND ST BKG SYST			43900530
	118.00	118.00	
TRUST FUNDS.....	11,775,374	11,775,374	2000
	=====	=====	
FINANCIAL INVESTIGATIONS			43900540
	36.00	36.00	
TRUST FUNDS.....	3,045,700	3,045,700	2000
	=====	=====	
EXEC DIR & SUPPORT SERVICE			43900550
	18.00	18.00	
TRUST FUNDS.....	3,844,212	3,844,212	2000
	=====	=====	
FINANCE REGULATION			43900560
	87.00	87.00	
TRUST FUNDS.....	10,214,021	10,214,021	2000
	=====	=====	
SECURITIES REGULATION			43900570
	98.00	98.00	
TRUST FUNDS.....	7,701,001	7,701,001	2000
	=====	=====	
TOTAL: OFFICE OF FINANCIAL REG BY FUND TYPE			43900500
	357.00	357.00	
TRUST FUNDS.....	36,580,308	36,580,308	2000
	=====	=====	
TOTAL: PGM: FINANCIAL SVCS COMM BY FUND TYPE			43900000
	643.00	643.00	
TRUST FUNDS.....	64,350,329	64,350,329	2000
	=====	=====	
TOTAL: FINANCIAL SERVICES BY FUND TYPE			43000000
GENERAL REVENUE FUND	24,028,874	24,028,874	1000
TRUST FUNDS	279,017,701	279,017,701	2000
	-----	-----	
TOTAL POSITIONS.....	2,667.50	2,667.50	
TOTAL DEPARTMENT.....	303,046,575	303,046,575	
	=====	=====	

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* BPEXBL01                STATISTICAL INFORMATION                10/15/2012 14:10:52:*
* BUDGET PERIOD: 2003-2014 EXHIBIT B REPORT REQUEST            TJM 43      SP      *
* COMPILE DATE: 11/23/2011 COMPILE TIME: 13:50:12             PAGE:      1      *
*****
*
*          SAVE INITIALS:          SAVE DEPARTMENT: 07      SAVE ID: EXBA
* -----
* ITEMIZATION OF EXPENDITURE:          IOE ACCUMULATION LEVEL: 0 (1=OPER/FCO, 2=IOE, 0=MERGED)
* SECTION:          MERGE SECTIONS (Y/N): Y
* MERGE GROUPS (Y/N): Y
* BUDGET ENTITY OR GROUP/ACCUMULATION LEVEL (DEP, DIV, BUR, SUB, LBE, MRG):
*   1-7: 43          LBE
*   8-14:
*   15-21:
*   22-27:
* EXCLUDE:
*
* PROGRAM COMPONENT/ACCUMULATION LEVEL (1, 2, 3, 4 OR 5 FOR 2, 4, 6, 8 OR 10 DIGITS, 0=MERGED):
*   0          0          0          0          0          0
*
* APPROPRIATION CATEGORY OR GROUP/ACCUMULATION LEVEL (1=MAJOR, 2=MINOR, 0=MERGED):
*   0          0          0          0          0          0
* FUND GROUPS SET:          OR FUND:          FUNDING SOURCE IDENTIFIER:          MERGE FSI (Y/N): Y
* FCO (Y/N): Y          FTE (Y/N): Y          SALARY RATE (Y/N): N
* -----
* COLUMN SELECTION/P=PERCENTAGE OF TOTAL COLUMN:
*   A03          A12          CODES
*
* REPORT COLUMNS WITH CALCULATION DIFFERENCE ONLY (Y/N): N THAT EXCEED:
*
* LEVELS OF TOTALS: (N=NO TOTAL, L=LINE TOTAL, T=BY FUND TYPE, D=BY DETAIL FUND, B=BY DETAIL FUND AND FUND TYPE,
*   G=FUND GROUP LINE TOTALS, E=BY DETAIL FUND AND FUND GROUP)
*   RUN: T          ITEM OF EXP: N          GROUP/SECTION: N          DEPARTMENT: T          DIVISION: T          BUREAU: T          SUB-BUREAU: T
*   LBE: T          PROG COMP: N          MAJOR APP CAT: N          MINOR APP CAT: N
*
*
* APPROPRIATION CATEGORY TITLES: S          SORT OPTION: A          REPORT SEQUENCE:
*   (S=SHORT, L=LONG)          A=ITEM OF EXP/BUDGET ENTITY          DEPT/BUDGET ENTITY: N          A=ALPHABETICAL
*   B=BUDGET ENTITY/ITEM OF EXP          PROGRAM COMPONENT: N          N=NUMERICAL
* -----
* DEPARTMENT NARRATIVE SET:
* BUDGET ENTITY NARRATIVE SET:
*
* PRINT COLUMN CODES (Y/N): Y
*
*
* PAGE BREAKS:
*   (IOE, GRP, DEP, DIV, BUR,          REPORT HEADING:          COMPARISON REPORT
*   SUB, LBE, PRC, MAC, NAC)          COLUMNS A03, A12
* -----

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* BPEXBL01                               STATISTICAL INFORMATION                10/15/2012 14:10:52:*
* BUDGET PERIOD: 2003-2014                EXHIBIT B REPORT REQUEST                TJM 43      SP      *
* COMPILE DATE: 11/23/2011                COMPILE TIME: 13:50:12                  PAGE:      2      *
*****
*
* TOTAL NUMBER RECORDS READ FROM SORT:      729                                     *
* TOTAL NUMBER RECORDS READ FROM CARD:      34                                       *
* TOTAL NUMBER RECORDS READ FROM ACF:        0                                       *
* TOTAL NUMBER RECORDS READ FROM BEF:        62                                       *
* TOTAL NUMBER RECORDS READ FROM BEN:        0                                       *
* TOTAL NUMBER RECORDS READ FROM BGF:        0                                       *
* TOTAL NUMBER RECORDS READ FROM FCF:        2                                       *
* TOTAL NUMBER RECORDS READ FROM FSF:        0                                       *
* TOTAL NUMBER RECORDS READ FROM IEF:        0                                       *
* TOTAL NUMBER RECORDS READ FROM PCF:        0                                       *
* TOTAL NUMBER RECORDS READ FROM SNF:        0                                       *
*
*****

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BPEXBL01 LAS/PBS SYSTEM
BUDGET PERIOD: 2003-2014
STATE OF FLORIDA

ACTUAL PR YR EXPENDITURES 2010-2011
COMPARED TO 2010-2011 STATE ACCOUNTS
DISBURSEMENTS PLUS APPROV CARRY FORWARD

SP 10/15/2012 14:10 PAGE: 1
ERROR REPORT

BUDGET ENTITY	CODE	ERROR MESSAGE	PAGE
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THERE WERE 0 ERRORS DETECTED

	COL A01	COL B08	COL B08-A01	CODES
	ACT PR YR EXP 2011-12 POS AMOUNT	11-12 DISB + APRVD CF POS AMOUNT	11-12 DISB + APRVD CF OVER (UNDER) ACT PR YR EXP 2011-12 POS AMOUNT	
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
EXECUTIVE DIR/SUPPORT SVCS				43010100
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND.....	8,582,303	8,582,303		2021
OTHER PERSONAL SERVICES				030000
ADMINISTRATIVE TRUST FUND.....	99,288	99,288		2021
EXPENSES				040000
ADMINISTRATIVE TRUST FUND.....	1,612,511	1,614,410	1,899	2021
OPERATING CAPITAL OUTLAY				060000
ADMINISTRATIVE TRUST FUND.....	250,000	250,000		2021
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND.....	285,686	285,688	2	2021
OPERATION/MOTOR VEHICLES				102289
ADMINISTRATIVE TRUST FUND.....	2,467	2,467		2021
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND.....	109,150	109,151	1	2021
TENANT BROKER COMMISSIONS				105084
ADMINISTRATIVE TRUST FUND.....	39,565	39,565		2021

	COL A01	COL B08	COL B08-A01 11-12 DISB + APRVD CF OVER (UNDER)	CODES
	ACT PR YR EXP 2011-12	11-12 DISB + APRVD CF	ACT PR YR EXP 2011-12	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
EXECUTIVE DIR/SUPPORT SVCS				43010100
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND.....	53,568	53,568		2021
=====	=====	=====		
LEGAL SERVICES				43010200
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND.....	5,607,910	5,607,910		2021
=====	=====	=====		
OTHER PERSONAL SERVICES				030000
ADMINISTRATIVE TRUST FUND.....	234,144	234,144		2021
=====	=====	=====		
EXPENSES				040000
ADMINISTRATIVE TRUST FUND.....	657,219	657,219		2021
=====	=====	=====		
OPERATING CAPITAL OUTLAY				060000
ADMINISTRATIVE TRUST FUND.....	17,636	17,636		2021
=====	=====	=====		
SPECIAL CATEGORIES				100000
TRANS TO DIV ADM HEARINGS				100565
ADMINISTRATIVE TRUST FUND.....	437,807	437,807		2021
=====	=====	=====		
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND.....	208,500	208,500		2021
=====	=====	=====		

	COL A01	COL B08	COL B08-A01	CODES
	ACT PR YR EXP 2011-12 POS AMOUNT	11-12 DISB + APRVD CF POS AMOUNT	11-12 DISB + APRVD CF OVER (UNDER) ACT PR YR EXP 2011-12 POS AMOUNT	
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
LEGAL SERVICES				43010200
SPECIAL CATEGORIES				100000
HOLOCAUST VICTIMS ASST ADM				101085
INSURANCE REG TF.....	302,413	302,414	1	2393
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND.....	19,823	19,824	1	2021
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND.....	29,077	29,077		2021
INFORMATION TECHNOLOGY				43010300
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND.....	7,728,786	7,728,786		2021
OTHER PERSONAL SERVICES				030000
ADMINISTRATIVE TRUST FUND.....	68,833	68,833		2021
EXPENSES				040000
ADMINISTRATIVE TRUST FUND.....	3,203,294	3,203,294		2021
OPERATING CAPITAL OUTLAY				060000
ADMINISTRATIVE TRUST FUND.....	888,027	888,027		2021

	COL A01	COL B08	COL B08-A01	CODES
	ACT PR YR EXP 2011-12 POS AMOUNT	11-12 DISB + APRVD CF POS AMOUNT	11-12 DISB + APRVD CF OVER (UNDER) ACT PR YR EXP 2011-12 POS AMOUNT	
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>INFORMATION TECHNOLOGY</u>				43010300
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND.....	4,467,057	4,467,059	2	2021
OPERATION/MOTOR VEHICLES				102289
ADMINISTRATIVE TRUST FUND.....	964	964		2021
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND.....	29,877	29,877		2021
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND.....	42,470	42,470		2021
<u>CONSUMER ADVOCATE</u>				43010400
SALARIES AND BENEFITS				010000
INSURANCE REG TF.....	448,186	448,186		2393
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF.....	64,010	64,010		2393
EXPENSES				040000
INSURANCE REG TF.....	49,586	49,587	1	2393

	COL A01	COL B08	COL B08-A01	CODES
	ACT PR YR EXP 2011-12	11-12 DISB + APRVD CF	11-12 DISB + APRVD CF OVER (UNDER) ACT PR YR EXP 2011-12	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
<u>CONSUMER ADVOCATE</u>				43010400
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF.....	13,434	13,434		2393
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF.....	1,319	1,319		2393
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF.....	1,912	1,912		2393
<u>INFO TECHNOLOGY - FLAIR</u>				43010500
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND	6,179,216	6,179,217	1	1000
ADMINISTRATIVE TRUST FUND	51,336	51,337	1	2021
TOTAL APPRO.....	6,230,552	6,230,554	2	
OTHER PERSONAL SERVICES				030000
GENERAL REVENUE FUND.....	8,436	8,436		1000
EXPENSES				040000
GENERAL REVENUE FUND	1,434,622	1,434,622	1000	
ADMINISTRATIVE TRUST FUND	112,000	112,000	2021	
TOTAL APPRO.....	1,546,622	1,546,622		

	COL A01	COL B08	COL B08-A01 11-12 DISB + APRVD CF OVER (UNDER)	CODES
	ACT PR YR EXP 2011-12	11-12 DISB + APRVD CF	ACT PR YR EXP 2011-12	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES				43000000
PRG: CHIEF FIN OFFICER/ADM				43010000
INFO TECHNOLOGY - FLAIR				43010500
OPERATING CAPITAL OUTLAY				060000
GENERAL REVENUE FUND	490,656	490,656		1000
ADMINISTRATIVE TRUST FUND	25,000	25,000		2021
TOTAL APPRO.....	515,656	515,656		
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
GENERAL REVENUE FUND	3,027,525	3,027,525		1000
ADMINISTRATIVE TRUST FUND	428,277	428,277		2021
TOTAL APPRO.....	3,455,802	3,455,802		
RISK MANAGEMENT INSURANCE				103241
GENERAL REVENUE FUND.....	13,468	13,468		1000
TR/DMS/HR SVCS/STW CONTRCT				107040
GENERAL REVENUE FUND.....	35,987	35,987		1000
PROGRAM: TREASURY				43100000
DEPOSIT SECURITY				43100200
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF.....	1,402,708	1,402,708		2725
EXPENSES				040000
TREASURY ADM/INVEST TF.....	190,005	190,005		2725

	COL A01	COL B08	COL B08-A01	CODES
	ACT PR YR EXP 2011-12 POS AMOUNT	11-12 DISB + APRVD CF POS AMOUNT	11-12 DISB + APRVD CF ACT PR YR EXP 2011-12 POS AMOUNT	
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
<u>DEPOSIT SECURITY</u>				43100200
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
TREASURY ADM/INVEST TF.....	63,791	63,792	1	2725
RISK MANAGEMENT INSURANCE				103241
TREASURY ADM/INVEST TF.....	13,034	13,035	1	2725
TR/DMS/HR SVCS/STW CONTRCT				107040
TREASURY ADM/INVEST TF.....	7,670	7,670		2725
<u>ST FUNDS MGT & INVESTMENT</u>				43100300
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF.....	1,614,318	1,614,318		2725
EXPENSES				040000
TREASURY ADM/INVEST TF.....	189,712	189,712		2725
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
TREASURY ADM/INVEST TF.....	720,876	720,876		2725
TR/DMS/HR SVCS/STW CONTRCT				107040
TREASURY ADM/INVEST TF.....	9,324	9,324		2725

	COL A01	COL B08	COL B08-A01	CODES
	ACT PR YR EXP 2011-12	11-12 DISB + APRVD CF	11-12 DISB + APRVD CF OVER (UNDER) ACT PR YR EXP 2011-12	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES				43000000
PROGRAM: TREASURY				43100000
SUP RETIREMENT PLAN				43100400
SALARIES AND BENEFITS				010000
TREASURY ADM/INVEST TF.....	622,012	622,012		2725
OTHER PERSONAL SERVICES				030000
TREASURY ADM/INVEST TF.....	13,358	13,358		2725
EXPENSES				040000
TREASURY ADM/INVEST TF.....	89,292	89,292		2725
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
TREASURY ADM/INVEST TF.....	147	148	1	2725
DEFERRED COMP ADM SVCS				100868
TREASURY ADM/INVEST TF.....	661,085	661,085		2725
TR/DMS/HR SVCS/STW CONTRCT				107040
TREASURY ADM/INVEST TF.....	3,798	3,798		2725
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
SALARIES AND BENEFITS				010000
GENERAL REVENUE FUND	9,092,745	9,092,746	1	1000
ADMINISTRATIVE TRUST FUND	643,167	643,167		2021
TOTAL APPRO.....	9,735,912	9,735,913	1	

	COL A01	COL B08	COL B08-A01	CODES
	ACT PR YR EXP 2011-12	11-12 DISB + APRVD CF	11-12 DISB + APRVD CF OVER (UNDER) ACT PR YR EXP 2011-12	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
OTHER PERSONAL SERVICES				030000
GENERAL REVENUE FUND	23,702	23,702		1000
ADMINISTRATIVE TRUST FUND	5,291	5,291		2021
TOTAL APPRO.....	28,993	28,993		
EXPENSES				040000
GENERAL REVENUE FUND	1,032,054	1,032,054		1000
ADMINISTRATIVE TRUST FUND	11,466	11,466		2021
TOTAL APPRO.....	1,043,520	1,043,520		
OPERATING CAPITAL OUTLAY				060000
GENERAL REVENUE FUND.....	257,680	257,680		1000
SPECIAL CATEGORIES				100000
REGISTRY ATTORNEYS				100650
ADMINISTRATIVE TRUST FUND.....	1,514,847	1,514,848	1	2021
CONTRACTED SERVICES				100777
GENERAL REVENUE FUND.....	437,538	437,538		1000
OPERATION/MOTOR VEHICLES				102289
GENERAL REVENUE FUND.....	3,854	3,854		1000
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND.....	51,017	51,017		2021

	COL A01	COL B08	COL B08-A01 11-12 DISB + APRVD CF OVER (UNDER)	CODES
	ACT PR YR EXP 2011-12	11-12 DISB + APRVD CF	ACT PR YR EXP 2011-12	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
ST FINAN INFO/ST AGY ACCTG				43200100
SPECIAL CATEGORIES				100000
SALARY INCENTIVE PAYMENTS				103290
GENERAL REVENUE FUND.....	398	398		1000
TR/DMS/HR SVCS/STW CONTRCT				107040
GENERAL REVENUE FUND	55,307	55,307		1000
ADMINISTRATIVE TRUST FUND	6,286	6,286		2021
TOTAL APPRO.....	61,593	61,593		
TR/PRISON INDUSTRY ENH PRG				108005
PRISON INDUSTRIES TF.....	747,139	747,139		2385
CLAIMS BILLS & RELIEF ACTS				490000
RELIEF - WILLIAM DILLON				490016
GENERAL REVENUE FUND.....	1,350,000	1,350,000		1000
RECOVERY & RETURN OF UP				43200200
SALARIES AND BENEFITS				010000
UNCLAIMED PROPERTY TF.....	2,749,635	2,749,635		2007
OTHER PERSONAL SERVICES				030000
UNCLAIMED PROPERTY TF.....	137,323	137,323		2007

	COL A01	COL B08	COL B08-A01	CODES
	ACT PR YR EXP 2011-12	11-12 DISB + APRVD CF	11-12 DISB + APRVD CF OVER (UNDER) ACT PR YR EXP 2011-12	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES				43000000
PGM: FIN ACCT/PUBLIC FUNDS				43200000
<u>RECOVERY & RETURN OF UP</u>				43200200
EXPENSES				040000
UNCLAIMED PROPERTY TF.....	657,206	657,206		2007
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
UNCLAIMED PROPERTY TF.....	208,282	208,283	1	2007
RISK MANAGEMENT INSURANCE				103241
UNCLAIMED PROPERTY TF.....	8,361	8,361		2007
TR/DMS/HR SVCS/STW CONTRCT				107040
UNCLAIMED PROPERTY TF.....	19,116	19,116		2007
PROGRAM: FIRE MARSHAL				43300000
<u>COMPLIANCE & ENFORCEMENT</u>				43300200
SALARIES AND BENEFITS				010000
INSURANCE REG TF.....	3,310,411	3,310,412	1	2393
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF.....	15,339	15,339		2393
EXPENSES				040000
INSURANCE REG TF.....	608,770	608,772	2	2393

	COL A01	COL B08	COL B08-A01	CODES
	ACT PR YR EXP 2011-12	11-12 DISB + APRVD CF	11-12 DISB + APRVD CF OVER (UNDER) ACT PR YR EXP 2011-12	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>COMPLIANCE & ENFORCEMENT</u>				43300200
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF.....	8,774	8,774		2393
SPECIAL CATEGORIES				100000
ACQUISITION/MOTOR VEHICLES				100021
INSURANCE REG TF.....	68,000	68,000		2393
CONTRACTED SERVICES				100777
INSURANCE REG TF.....	119,518	119,519	1	2393
OPERATION/MOTOR VEHICLES				102289
INSURANCE REG TF.....	27,237	27,237		2393
SUPP FIREFIGHTERS COMP				103725
INSURANCE REG TF.....	7,633	7,633		2393
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF.....	21,994	21,994		2393
<u>FIRE & ARSON INVESTIGATION</u>				43300300
SALARIES AND BENEFITS				010000
INSURANCE REG TF.....	7,612,284	7,612,284		2393

	COL A01	COL B08	COL B08-A01	CODES
	ACT PR YR EXP 2011-12	11-12 DISB + APRVD CF	11-12 DISB + APRVD CF OVER (UNDER) ACT PR YR EXP 2011-12	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE & ARSON INVESTIGATION</u>				43300300
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF.....	13,296	13,296		2393
EXPENSES				040000
INSURANCE REG TF.....	1,638,181	1,638,181		2393
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF.....	82,274	82,274		2393
SPECIAL CATEGORIES				100000
ACQUISITION/MOTOR VEHICLES				100021
INSURANCE REG TF.....	377,872	377,872		2393
CONTRACTED SERVICES				100777
INSURANCE REG TF.....	172,271	172,272	1	2393
ON-CALL FEES				102261
INSURANCE REG TF.....	349,999	349,999		2393
OPERATION/MOTOR VEHICLES				102289
INSURANCE REG TF.....	175,504	175,504		2393
SALARY INCENTIVE PAYMENTS				103290
INSURANCE REG TF.....	104,173	104,173		2393

	COL A01	COL B08	COL B08-A01	CODES
	ACT PR YR EXP 2011-12	11-12 DISB + APRVD CF	11-12 DISB + APRVD CF OVER (UNDER) ACT PR YR EXP 2011-12	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE & ARSON INVESTIGATION</u>				43300300
SPECIAL CATEGORIES				100000
SUPP FIREFIGHTERS COMP				103725
INSURANCE REG TF.....	7,280	7,280		2393
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF.....	41,551	41,551		2393
<u>PROF TRAINING & STANDARDS</u>				43300400
<u>SALARIES AND BENEFITS</u>				010000
INSURANCE REG TF.....	1,644,508	1,644,508		2393
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF.....	214,334	214,334		2393
EXPENSES				040000
INSURANCE REG TF.....	529,102	529,103	1	2393
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF.....	20,203	20,203		2393
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF.....	243,195	243,196	1	2393

	COL A01	COL B08	COL B08-A01	CODES
	ACT PR YR EXP 2011-12	11-12 DISB + APRVD CF	11-12 DISB + APRVD CF OVER (UNDER) ACT PR YR EXP 2011-12	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>PROF TRAINING & STANDARDS</u>				43300400
SPECIAL CATEGORIES				100000
DOMESTIC SECURITY				100851
INSURANCE REG TF.....	4,309,954	4,309,954		2393
OPERATION/MOTOR VEHICLES				102289
INSURANCE REG TF.....	15,283	15,284	1	2393
SUPP FIREFIGHTERS COMP				103725
INSURANCE REG TF.....	11,234	11,235	1	2393
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF.....	12,746	12,746		2393
<u>FIRE MRSHL ADMN & SUP SRVS</u>				43300500
SALARIES AND BENEFITS				010000
INSURANCE REG TF.....	1,154,023	1,154,023		2393
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF.....	24,892	24,892		2393
EXPENSES				040000
INSURANCE REG TF.....	253,442	253,445	3	2393

	COL A01	COL B08	COL B08-A01	CODES
	ACT PR YR	11-12 DISB	11-12 DISB	
	EXP 2011-12	+ APRVD CF	+ APRVD CF	
	POS	AMOUNT	POS	AMOUNT
	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES				43000000
PROGRAM: FIRE MARSHAL				43300000
<u>FIRE MRSHL ADMN & SUP SRVS</u>				43300500
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF.....	5,792	5,792		2393
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF.....	160,711	160,711		2393
OPERATION/MOTOR VEHICLES				102289
INSURANCE REG TF.....	889	889		2393
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF.....	343,693	343,693		2393
SUPP FIREFIGHTERS COMP				103725
INSURANCE REG TF.....	1,607	1,607		2393
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF.....	6,282	6,282		2393
PGM: ST PROP/CASUALTY CLMS				43400000
<u>ST SELF-INSURED CLAIMS ADJ</u>				43400100
SALARIES AND BENEFITS				010000
STATE RISK MGMT TF.....	4,985,316	4,985,316		2078

	COL A01	COL B08	COL B08-A01	CODES
	ACT PR YR	11-12 DISB	11-12 DISB	
	EXP 2011-12	+ APRVD CF	+ APRVD CF	
	POS	AMOUNT	POS	AMOUNT
	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
OTHER PERSONAL SERVICES				030000
STATE RISK MGMT TF.....	27,097	27,097		2078
EXPENSES				040000
STATE RISK MGMT TF.....	688,972	688,972		2078
OPERATING CAPITAL OUTLAY				060000
STATE RISK MGMT TF.....	69,881	69,881		2078
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
STATE RISK MGMT TF.....	10,908,988	10,908,988		2078
CONTRACT LEGAL - ATTY GEN				100904
STATE RISK MGMT TF.....	4,931,729	4,931,729		2078
CONTRACTED LEGAL SERVICES				100905
STATE RISK MGMT TF.....	14,935,104	14,935,104		2078
EXCESS INSUR. & CLAIM SER				101221
STATE RISK MGMT TF.....	13,263,846	13,263,846		2078
RISK MANAGEMENT INSURANCE				103241
STATE RISK MGMT TF.....	102,380	102,380		2078

	COL A01	COL B08	COL B08-A01	CODES
	ACT PR YR EXP 2011-12 POS AMOUNT	11-12 DISB + APRVD CF POS AMOUNT	11-12 DISB + APRVD CF OVER (UNDER) ACT PR YR EXP 2011-12 POS AMOUNT	
FINANCIAL SERVICES				43000000
PGM: ST PROP/CASUALTY CLMS				43400000
ST SELF-INSURED CLAIMS ADJ				43400100
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
STATE RISK MGMT TF.....	34,267	34,267		2078
PGM: LICNSNG/CNSMER PROTEC				43500000
INSURANCE CO REHAB/LIQDATN				43500100
SALARIES AND BENEFITS				010000
INSURANCE REG TF.....	554,877	554,877		2393
EXPENSES				040000
INSURANCE REG TF.....	101,701	101,702	1	2393
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF.....	2,291	2,291		2393
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF.....	108,733	108,733		2393
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF.....	1,846	1,847	1	2393
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF.....	2,565	2,565		2393

	COL A01	COL B08	COL B08-A01 11-12 DISB + APRVD CF OVER (UNDER)	CODES
	ACT PR YR EXP 2011-12 POS AMOUNT	11-12 DISB + APRVD CF POS AMOUNT	ACT PR YR EXP 2011-12 POS AMOUNT	
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
LICENSURE, SALES/APPT/OVST				43500200
SALARIES AND BENEFITS				010000
INSURANCE REG TF.....	6,216,348	6,216,348		2393
EXPENSES				040000
INSURANCE REG TF.....	1,014,861	1,014,861		2393
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF.....	16,950	16,950		2393
SPECIAL CATEGORIES				100000
ACQUISITION/MOTOR VEHICLES				100021
INSURANCE REG TF.....	17,750	17,750		2393
ELECTRONIC COMMERCE FEES				100064
INSURANCE REG TF.....	943,640	943,640		2393
CONTRACTED SERVICES				100777
INSURANCE REG TF.....	2,054,175	2,054,175		2393
OPERATION/MOTOR VEHICLES				102289
INSURANCE REG TF.....	7,936	7,936		2393
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF.....	108,444	108,444		2393

	COL A01	COL B08	COL B08-A01	CODES
	ACT PR YR EXP 2011-12	11-12 DISB + APRVD CF	11-12 DISB + APRVD CF OVER (UNDER) ACT PR YR EXP 2011-12	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
LICENSURE, SALES/APPT/OVST				43500200
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF.....	49,191	49,191		2393
=====	=====	=====	=====	
INSURANCE FRAUD				43500300
SALARIES AND BENEFITS				010000
INSURANCE REG TF.....	11,253,471	11,253,472	1	2393
=====	=====	=====	=====	
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF.....	40,366	40,366		2393
=====	=====	=====	=====	
EXPENSES				040000
INSURANCE REG TF.....	1,925,570	1,925,570		2393
=====	=====	=====	=====	
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF.....	162,079	162,079		2393
=====	=====	=====	=====	
SPECIAL CATEGORIES				100000
ACQUISITION/MOTOR VEHICLES				100021
INSURANCE REG TF.....	293,274	293,274		2393
=====	=====	=====	=====	
TRANS TO JAC FOR PIP FRAUD				100522
INSURANCE REG TF.....	1,176,631	1,176,631		2393
=====	=====	=====	=====	

	COL A01	COL B08	COL B08-A01	CODES
	ACT PR YR EXP 2011-12	11-12 DISB + APRVD CF	11-12 DISB + APRVD CF OVER (UNDER) ACT PR YR EXP 2011-12	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>INSURANCE FRAUD</u>				43500300
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF.....	217,085	217,085		2393
OPERATION/MOTOR VEHICLES				102289
INSURANCE REG TF.....	155,543	155,543		2393
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF.....	155,002	155,002		2393
SALARY INCENTIVE PAYMENTS				103290
INSURANCE REG TF.....	176,255	176,256	1	2393
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF.....	64,950	64,950		2393
<u>CONSUMER ASSISTANCE</u>				43500400
SALARIES AND BENEFITS				010000
INSURANCE REG TF.....	5,728,600	5,728,600		2393
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF.....	34,282	34,282		2393

	COL A01	COL B08	COL B08-A01	CODES
	ACT PR YR EXP 2011-12	11-12 DISB + APRVD CF	11-12 DISB + APRVD CF OVER (UNDER) ACT PR YR EXP 2011-12	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
CONSUMER ASSISTANCE				43500400
EXPENSES				040000
ADMINISTRATIVE TRUST FUND	565	566	1	2021
INSURANCE REG TF	679,705	679,705		2393
REGULATORY TRUST FUND	357	363	6	2573
TOTAL APPRO.....	680,627	680,634	7	
SPECIAL CATEGORIES				100000
TR TO FL CAT CENT AT FSU				100500
INSURANCE REG TF.....	950,000	950,000		2393
CONTRACTED SERVICES				100777
INSURANCE REG TF	313,425	313,425		2393
REGULATORY TRUST FUND	6	6		2573
TOTAL APPRO.....	313,431	313,431		
OPERATION/MOTOR VEHICLES				102289
INSURANCE REG TF.....	1,337	1,337		2393
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF.....	93,199	93,199		2393
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND	425	425		2021
FINANCIAL INST REG TF	284	284		2275
INSURANCE REG TF	37,818	37,818		2393
REGULATORY TRUST FUND	2,202	2,202		2573

	COL A01	COL B08	COL B08-A01 11-12 DISB + APRVD CF OVER (UNDER)	CODES
	ACT PR YR EXP 2011-12	11-12 DISB + APRVD CF	ACT PR YR EXP 2011-12	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>CONSUMER ASSISTANCE</u>				43500400
SPECIAL CATEGORIES				100000
TR/DMS/HR SVCS/STW CONTRCT				107040
TOTAL APPRO.....	40,729	40,729		
=====				
<u>FUNERAL/CEMETERY SERVICES</u>				43500500
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND.....	1,173,800	1,173,800		2573
=====				
OTHER PERSONAL SERVICES				030000
REGULATORY TRUST FUND.....	44,144	44,144		2573
=====				
EXPENSES				040000
REGULATORY TRUST FUND.....	199,692	199,692		2573
=====				
SPECIAL CATEGORIES				100000
ELECTRONIC COMMERCE FEES				100064
REGULATORY TRUST FUND.....	12,444	12,444		2573
=====				
CONTRACTED SERVICES				100777
REGULATORY TRUST FUND.....	116,103	116,103		2573
=====				
OPERATION/MOTOR VEHICLES				102289
REGULATORY TRUST FUND.....	10,700	10,700		2573
=====				

	COL A01	COL B08	COL B08-A01	CODES
	ACT PR YR EXP 2011-12 POS AMOUNT	11-12 DISB + APRVD CF POS AMOUNT	11-12 DISB + APRVD CF OVER (UNDER) ACT PR YR EXP 2011-12 POS AMOUNT	
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>FUNERAL/CEMETERY SERVICES</u>				43500500
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
REGULATORY TRUST FUND.....	8,751	8,751		2573
TR/DMS/HR SVCS/STW CONTRCT				107040
REGULATORY TRUST FUND.....	12,458	12,458		2573
<u>PUBLIC ASSISTANCE FRAUD</u>				43500700
<u>SALARIES AND BENEFITS</u>				010000
GENERAL REVENUE FUND	294,713	294,713		1000
ADMINISTRATIVE TRUST FUND	265,200	265,200		2021
FEDERAL GRANTS TRUST FUND	1,481,679	1,481,679		2261
INSURANCE REG TF	1,563,011	1,563,012	1	2393
TOTAL APPRO.....	3,604,603	3,604,604	1	
EXPENSES				040000
GENERAL REVENUE FUND	167,932	167,933	1	1000
ADMINISTRATIVE TRUST FUND	2,763	2,764	1	2021
FEDERAL GRANTS TRUST FUND	38,189	38,190	1	2261
INSURANCE REG TF	160,186	160,186		2393
TOTAL APPRO.....	369,070	369,073	3	
OPERATING CAPITAL OUTLAY				060000
GENERAL REVENUE FUND.....	1,800	1,800		1000

	COL A01	COL B08	COL B08-A01	CODES
	ACT PR YR EXP 2011-12 POS AMOUNT	11-12 DISB + APRVD CF POS AMOUNT	11-12 DISB + APRVD CF OVER (UNDER) ACT PR YR EXP 2011-12 POS AMOUNT	
FINANCIAL SERVICES				43000000
PGM: LICNSNG/CNSMER PROTEC				43500000
<u>PUBLIC ASSISTANCE FRAUD</u>				43500700
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
FEDERAL GRANTS TRUST FUND	522	522		2261
INSURANCE REG TF	20,616	20,617	1	2393
TOTAL APPRO.....	21,138	21,139	1	
RISK MANAGEMENT INSURANCE				103241
GENERAL REVENUE FUND	2,300	2,300		1000
INSURANCE REG TF	9,736	9,736		2393
TOTAL APPRO.....	12,036	12,036		
TR/DMS/HR SVCS/STW CONTRCT				107040
GENERAL REVENUE FUND	4,293	4,293		1000
FEDERAL GRANTS TRUST FUND	22,913	22,913		2261
INSURANCE REG TF	12,557	12,557		2393
TOTAL APPRO.....	39,763	39,763		
DATA PROCESSING SERVICES				210000
OTHER DATA PROCESSING SVCS				210014
FEDERAL GRANTS TRUST FUND	281	281		2261
INSURANCE REG TF	474	474		2393
TOTAL APPRO.....	755	755		

	COL A01	COL B08	COL B08-A01 11-12 DISB + APRVD CF OVER (UNDER)	CODES
	ACT PR YR EXP 2011-12	11-12 DISB + APRVD CF	ACT PR YR EXP 2011-12	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
WORKERS' COMPENSATION				43600100
SALARIES AND BENEFITS				010000
WORKERS' COMP ADMIN TF	14,777,785	14,777,785		2795
WORKERS' COMP SPEC DISAB TF	808,495	808,495		2798
TOTAL APPRO.....	15,586,280	15,586,280		
OTHER PERSONAL SERVICES				030000
WORKERS' COMP ADMIN TF	53,381	53,381		2795
WORKERS' COMP SPEC DISAB TF	16,767	16,767		2798
TOTAL APPRO.....	70,148	70,148		
EXPENSES				040000
WORKERS' COMP ADMIN TF	3,413,092	3,413,092		2795
WORKERS' COMP SPEC DISAB TF	111,470	111,470		2798
TOTAL APPRO.....	3,524,562	3,524,562		
OPERATING CAPITAL OUTLAY				060000
WORKERS' COMP ADMIN TF.....	140,670	140,670		2795
SPECIAL CATEGORIES				100000
ELECTRONIC COMMERCE FEES				100064
WORKERS' COMP ADMIN TF.....	48,271	48,271		2795
TR DIST CT OF AP-WORK COMP				100507
WORKERS' COMP ADMIN TF.....	1,761,055	1,761,055		2795

	COL A01	COL B08	COL B08-A01	CODES
	ACT PR YR	11-12 DISB	11-12 DISB	
	EXP 2011-12	+ APRVD CF	+ APRVD CF	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	
	-----	-----	-----	
FINANCIAL SERVICES				43000000
PGM: WORKERS' COMPENSATION				43600000
<u>WORKERS' COMPENSATION</u>				43600100
SPECIAL CATEGORIES				100000
TR/USF-OSHA MATCH				100521
WORKERS' COMP ADMIN TF.....	228,358	228,358		2795
	=====	=====	=====	
TR JAC - PROS WRKS COMP FR				100526
WORKERS' COMP ADMIN TF.....	257,705	257,705		2795
	=====	=====	=====	
CONTRACTED SERVICES				100777
WORKERS' COMP ADMIN TF	2,420,988	2,420,988		2795
WORKERS' COMP SPEC DISAB TF	52,759	52,759		2798
	-----	-----	-----	
TOTAL APPRO.....	2,473,747	2,473,747		
	=====	=====	=====	
OPERATION/MOTOR VEHICLES				102289
WORKERS' COMP ADMIN TF.....	54,911	54,911		2795
	=====	=====	=====	
RISK MANAGEMENT INSURANCE				103241
WORKERS' COMP ADMIN TF.....	315,519	315,520	1	2795
	=====	=====	=====	
TR/DMS/HR SVCS/STW CONTRCT				107040
WORKERS' COMP ADMIN TF	104,658	104,658		2795
WORKERS' COMP SPEC DISAB TF	6,769	6,769		2798
	-----	-----	-----	
TOTAL APPRO.....	111,427	111,427		
	=====	=====	=====	

	COL A01	COL B08	COL B08-A01	CODES
	ACT PR YR EXP 2011-12	11-12 DISB + APRVD CF	11-12 DISB + APRVD CF OVER (UNDER) ACT PR YR EXP 2011-12	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>COMP & ENFORCE- INSURANCE</u>				43900110
<u>SALARIES AND BENEFITS</u>				010000
INSURANCE REG TF.....	14,704,305	14,704,305		2393
OTHER PERSONAL SERVICES				030000
INSURANCE REG TF.....	82,058	82,059	1	2393
EXPENSES				040000
INSURANCE REG TF.....	2,067,349	2,067,350	1	2393
OPERATING CAPITAL OUTLAY				060000
INSURANCE REG TF.....	35,044	35,044		2393
SPECIAL CATEGORIES				100000
FL PUBLIC HURR LOSS MODEL				100515
INSURANCE REG TF.....	588,409	588,409		2393
PROPERTY/CASUALTY EXAMS				100523
INSURANCE REG TF.....	3,487,241	3,487,241		2393
LIFE AND HEALTH EXAMS				100524
INSURANCE REG TF.....	93,369	93,369		2393
CONTRACTED SERVICES				100777
INSURANCE REG TF.....	771,094	771,094		2393

	COL A01	COL B08	COL B08-A01 11-12 DISB + APRVD CF OVER (UNDER)	CODES
	ACT PR YR EXP 2011-12	11-12 DISB + APRVD CF	ACT PR YR EXP 2011-12	
	POS AMOUNT	POS AMOUNT	POS AMOUNT	
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF INSURANCE REG				43900100
<u>COMP & ENFORCE- INSURANCE</u>				43900110
SPECIAL CATEGORIES				100000
RISK MANAGEMENT INSURANCE				103241
INSURANCE REG TF.....	240,456	240,456		2393
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF.....	89,514	89,514		2393
<u>EXEC DIR & SUPORT SERVICES</u>				43900120
SALARIES AND BENEFITS				010000
INSURANCE REG TF.....	2,427,641	2,427,641		2393
EXPENSES				040000
INSURANCE REG TF.....	129,606	129,606		2393
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
INSURANCE REG TF.....	13,749	13,749		2393
CONTRACTED LEGAL SERVICES				100905
INSURANCE REG TF.....	1,027,759	1,027,759		2393
TR/DMS/HR SVCS/STW CONTRCT				107040
INSURANCE REG TF.....	12,509	12,509		2393

	COL A01	COL B08	COL B08-A01	CODES
	ACT PR YR	11-12 DISB	11-12 DISB	
	EXP 2011-12	+ APRVD CF	+ APRVD CF	
	POS	AMOUNT	POS	AMOUNT
	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SFTY & SOUND ST BKG SYST</u>				43900530
SALARIES AND BENEFITS				010000
FINANCIAL INST REG TF.....	7,498,686	7,498,686		2275
OTHER PERSONAL SERVICES				030000
FINANCIAL INST REG TF.....	727,438	727,439	1	2275
EXPENSES				040000
FINANCIAL INST REG TF.....	1,520,603	1,520,603		2275
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
FINANCIAL INST REG TF.....	92,924	92,925	1	2275
RISK MANAGEMENT INSURANCE				103241
FINANCIAL INST REG TF.....	35,870	35,870		2275
TR/DMS/HR SVCS/STW CONTRCT				107040
FINANCIAL INST REG TF.....	42,550	42,550		2275
<u>FINANCIAL INVESTIGATIONS</u>				43900540
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND.....	3,520,435	3,520,435		2021

	COL A01	COL B08	COL B08-A01	CODES
	ACT PR YR EXP 2011-12 POS AMOUNT	11-12 DISB + APRVD CF POS AMOUNT	11-12 DISB + APRVD CF OVER (UNDER) ACT PR YR EXP 2011-12 POS AMOUNT	
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCIAL INVESTIGATIONS</u>				43900540
EXPENSES				040000
ADMINISTRATIVE TRUST FUND	398,853	398,853		2021
FED LAW ENFORCEMENT TF	2,332	2,335		3 2719
TOTAL APPRO.....	401,185	401,188		3
OPERATING CAPITAL OUTLAY				060000
ADMINISTRATIVE TRUST FUND.....	1,390	1,390		2021
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND.....	22,855	22,855		2021
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND.....	19,454	19,454		2021
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND.....	20,539	20,539		2021
<u>EXEC DIR & SUPPORT SERVICE</u>				43900550
SALARIES AND BENEFITS				010000
ADMINISTRATIVE TRUST FUND.....	2,645,400	2,645,402		2 2021

	COL A01	COL B08	COL B08-A01	CODES
	ACT PR YR	11-12 DISB	11-12 DISB	
	EXP 2011-12	+ APRVD CF	+ APRVD CF	
	POS	AMOUNT	POS	AMOUNT
	POS	AMOUNT	POS	AMOUNT
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>EXEC DIR & SUPPORT SERVICE</u>				43900550
EXPENSES				040000
ADMINISTRATIVE TRUST FUND.....	294,004	294,006	2	2021
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ADMINISTRATIVE TRUST FUND.....	13,526	13,526		2021
RISK MANAGEMENT INSURANCE				103241
ADMINISTRATIVE TRUST FUND.....	14,895	14,895		2021
TR/DMS/HR SVCS/STW CONTRCT				107040
ADMINISTRATIVE TRUST FUND.....	15,722	15,722		2021
DATA PROCESSING SERVICES				210000
REAL SYSTEM - OFR				210016
ADMINISTRATIVE TRUST FUND.....	2,175,674	2,175,674		2021
<u>FINANCE REGULATION</u>				43900560
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND.....	6,816,495	6,816,496	1	2573
OTHER PERSONAL SERVICES				030000
REGULATORY TRUST FUND.....	46,500	46,500		2573

	COL A01	COL B08	COL B08-A01	CODES
	ACT PR YR EXP 2011-12 POS AMOUNT	11-12 DISB + APRVD CF POS AMOUNT	11-12 DISB + APRVD CF OVER (UNDER) ACT PR YR EXP 2011-12 POS AMOUNT	
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>FINANCE REGULATION</u>				43900560
EXPENSES				040000
REGULATORY TRUST FUND.....	797,458	797,464	6	2573
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
REGULATORY TRUST FUND.....	2,973,619	2,973,619		2573
RISK MANAGEMENT INSURANCE				103241
REGULATORY TRUST FUND.....	34,045	34,046	1	2573
TR/DMS/HR SVCS/STW CONTRCT				107040
REGULATORY TRUST FUND.....	36,641	36,641		2573
<u>SECURITIES REGULATION</u>				43900570
SALARIES AND BENEFITS				010000
REGULATORY TRUST FUND.....	5,290,327	5,290,327		2573
OTHER PERSONAL SERVICES				030000
ANTI-FRAUD TRUST FUND	19,979	19,979		2038
REGULATORY TRUST FUND	2,584	2,584		2573
TOTAL APPRO.....	22,563	22,563		

	COL A01	COL B08	COL B08-A01 11-12 DISB + APRVD CF OVER (UNDER)	CODES
	ACT PR YR EXP 2011-12 POS AMOUNT	11-12 DISB + APRVD CF POS AMOUNT	ACT PR YR EXP 2011-12 POS AMOUNT	
FINANCIAL SERVICES				43000000
PGM: FINANCIAL SVCS COMM				43900000
OFFICE OF FINANCIAL REG				43900500
<u>SECURITIES REGULATION</u>				43900570
EXPENSES				040000
ANTI-FRAUD TRUST FUND	61,157	62,866	1,709	2038
REGULATORY TRUST FUND	610,142	610,142		2573
TOTAL APPRO.....	671,299	673,008	1,709	
OPERATING CAPITAL OUTLAY				060000
ANTI-FRAUD TRUST FUND	23,625	23,625		2038
REGULATORY TRUST FUND	10,900	10,900		2573
TOTAL APPRO.....	34,525	34,525		
SPECIAL CATEGORIES				100000
CONTRACTED SERVICES				100777
ANTI-FRAUD TRUST FUND	52,719	52,719		2038
REGULATORY TRUST FUND	20,389	20,389		2573
TOTAL APPRO.....	73,108	73,108		
RISK MANAGEMENT INSURANCE				103241
REGULATORY TRUST FUND.....	27,054	27,054		2573
TR/DMS/HR SVCS/STW CONTRCT				107040
REGULATORY TRUST FUND.....	33,473	33,473		2573
TOTAL: REPORT				
TOTAL REPORT.....	272,192,729	272,196,401	3,672	

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* BPEXBL01                               STATISTICAL INFORMATION                10/15/2012 14:10:57:*
* BUDGET PERIOD: 2003-2014                EXHIBIT B REPORT REQUEST                TJM 43      SP      *
* COMPILE DATE: 11/23/2011                COMPILE TIME: 13:50:12                PAGE:      1      *
*****
*
*          SAVE INITIALS:          SAVE DEPARTMENT: 07      SAVE ID: EXBD
* -----
* ITEMIZATION OF EXPENDITURE:          IOE ACCUMULATION LEVEL: 0 (1=OPER/FCO, 2=IOE, 0=MERGED)
* SECTION:          MERGE SECTIONS (Y/N): Y
* MERGE GROUPS (Y/N): Y
* BUDGET ENTITY OR GROUP/ACCUMULATION LEVEL (DEP, DIV, BUR, SUB, LBE, MRG):
*   1-7: 43          LBE
*   8-14:
*   15-21:
*   22-27:
* EXCLUDE:
*
* PROGRAM COMPONENT/ACCUMULATION LEVEL (1, 2, 3, 4 OR 5 FOR 2, 4, 6, 8 OR 10 DIGITS, 0=MERGED):
*   0          0          0          0          0          0
*
* APPROPRIATION CATEGORY OR GROUP/ACCUMULATION LEVEL (1=MAJOR, 2=MINOR, 0=MERGED):
*   2
* FUND GROUPS SET:          OR FUND:          FUNDING SOURCE IDENTIFIER:          MERGE FSI (Y/N): Y
* FCO (Y/N): N          FTE (Y/N): N          SALARY RATE (Y/N): N
* -----
* COLUMN SELECTION/P=PERCENTAGE OF TOTAL COLUMN:
*          A01          B08          B08-A01          CODES
*
* REPORT COLUMNS WITH CALCULATION DIFFERENCE ONLY (Y/N): N THAT EXCEED:
*
* LEVELS OF TOTALS: (N=NO TOTAL, L=LINE TOTAL, T=BY FUND TYPE, D=BY DETAIL FUND, B=BY DETAIL FUND AND FUND TYPE,
* G=FUND GROUP LINE TOTALS, E=BY DETAIL FUND AND FUND GROUP)
* RUN: L          ITEM OF EXP: N          GROUP/SECTION: N          DEPARTMENT: N          DIVISION: N          BUREAU: N          SUB-BUREAU: N
* LBE: N          PROG COMP: N          MAJOR APP CAT: N          MINOR APP CAT: D
*
*
* APPROPRIATION CATEGORY TITLES: S          SORT OPTION: A          REPORT SEQUENCE:
* (S=SHORT, L=LONG)          A=ITEM OF EXP/BUDGET ENTITY          DEPT/BUDGET ENTITY: N          A=ALPHABETICAL
*          B=BUDGET ENTITY/ITEM OF EXP          PROGRAM COMPONENT: N          N=NUMERICAL
* -----
* DEPARTMENT NARRATIVE SET:
* BUDGET ENTITY NARRATIVE SET:
*
* PRINT COLUMN CODES (Y/N): Y
*
*
*          PAGE BREAKS: DEP
*          (IOE, GRP, DEP, DIV, BUR,          REPORT HEADING:          ACTUAL PR YR EXPENDITURES 2010-2011
*          SUB, LBE, PRC, MAC, NAC)          COMPARED TO 2010-2011 STATE ACCOUNTS
*          DISBURSEMENTS PLUS APPROV CARRY FORWARD
* -----

```



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*****
* BPEXBL01                               STATISTICAL INFORMATION                10/15/2012 14:10:57:*
* BUDGET PERIOD: 2003-2014                EXHIBIT B REPORT REQUEST                TJM 43      SP      *
* COMPILE DATE: 11/23/2011                COMPILE TIME: 13:50:12                  PAGE:      2      *
*****
*
* TOTAL NUMBER RECORDS READ FROM SORT:    534                                     *
* TOTAL NUMBER RECORDS READ FROM CARD:    34                                     *
* TOTAL NUMBER RECORDS READ FROM ACF:     37                                     *
* TOTAL NUMBER RECORDS READ FROM BEF:    120                                    *
* TOTAL NUMBER RECORDS READ FROM BEN:     0                                      *
* TOTAL NUMBER RECORDS READ FROM BGF:     0                                      *
* TOTAL NUMBER RECORDS READ FROM FCF:    14                                     *
* TOTAL NUMBER RECORDS READ FROM FSF:     0                                      *
* TOTAL NUMBER RECORDS READ FROM IEF:     0                                      *
* TOTAL NUMBER RECORDS READ FROM PCF:     0                                      *
* TOTAL NUMBER RECORDS READ FROM SNF:     0                                      *
*
*****

```

COL A03 COL A04 COL A05
AGY REQUEST AGY REQ N/R AG REQ ANZ
FY 2013-14 FY 2013-14 FY 2013-14
POS AMOUNT POS AMOUNT POS AMOUNT
----- CODES

NO RECORDS SELECTED FOR REPORTING

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*****
* BPEADL01                               STATISTICAL INFORMATION                10/15/2012 14:11:02 *
* BUDGET PERIOD: 2003-2014                EXHIBIT A, D AND D-3A LIST REQUEST          TJM 43      SP   *
* COMPILE DATE: 05/04/2012                COMPILE TIME: 12:44:00                    PAGE:      1   *
*****
*                                     SAVE INITIALS:          SAVE DEPARTMENT: 07      SAVE ID: FSIA   *
* -----
* SELECT CODES AND ACCUMULATION LEVELS WHERE ALLOWED.  WHEN NO CODE IS SELECTED, ALL CODES WILL BE REPORTED. *
* ITEMIZATION OF EXPENDITURE:              IOE ACCUMULATION LEVEL: 0 (1=OPER/FCO, 2=IOE, 0=MERGED) *
* MERGE GROUPS (Y/N): Y                                                            *
* BUDGET ENTITY OR GROUP/ACCUMULATION LEVEL (DEP, DIV, BUR, SUB, LBE, MRG): *
*   1-7: 43          LBE                                                            *
*   8-14:                                                    *
*   15-21:                                                   *
*   22-27:                                                   *
* EXCLUDE:                                                    *
* PROGRAM COMPONENT/ACCUMULATION LEVEL (1, 2, 3, 4 OR 5 FOR 2, 4, 6, 8 OR 10 DIGITS, 6=MERGE POLICY, 0=MERGED): *
*   5                                                                 *
* APPROPRIATION CATEGORY OR GROUP/ACCUMULATION LEVEL (1=MAJOR, 2=MINOR, 0=MERGED): *
*   2                                                                 *
* FUND GROUPS SET:          OR FUND:          FUNDING SOURCE IDENTIFIER: 0          MERGE FSI (Y/N): N *
* FCO (Y/N): N          FTE (Y/N): N          SALARY RATE (Y/N): N *
* -----
* ISSUE CODE OR GROUP/ACCUMULATION LEVEL (1, 2 OR 3 FOR 1, 3 OR 7 CHARACTERS, 0=MERGED): *
*   3                                                                 *
* REPORT OPTION: 1 *
* 1=EAD REPORT *
* 2=SCHEDULE IV/IT ISSUES          COLUMN SELECTION: A03          A04          A05          CODES *
* 3=STATEWIDE ISSUES *
* 4=SCHEDULE VIIIA ISSUES          REPORT COLUMNS WITH CALCULATION DIFFERENCE ONLY (Y/N): N THAT EXCEED: *
* *
* LEVELS OF TOTALS: (N=NO TOTAL, L=LINE TOTAL, T=BY FUND TYPE, D=BY DETAIL FUND, B=BY DETAIL FUND AND FUND TYPE, *
* G=FUND GROUP LINE TOTALS, E=BY DETAIL FUND AND FUND GROUP) *
* RUN: N          ITEM OF EXP: N          GROUP: N          DEPARTMENT: N          DIVISION: N          BUREAU: N *
* SUB-BUREAU: N          LBE: T          POLICY AREA: N          PROG COMP: T          D3A SUM ISSUE: N          D3A DETAIL ISSUE: L *
* MAJOR APP CAT: N          MINOR APP CAT: D *
* APPROPRIATION CATEGORY TITLES: S (S=SHORT, L=LONG)          REPORT SEQUENCE: DEPT/BUDGET ENTITY: N A=ALPHABETICAL *
*                                     PROGRAM COMPONENT: N N=NUMERICAL *
* -----
* DEPARTMENT NARRATIVE SET: *
* BUDGET ENTITY NARRATIVE SET:          PROGRAM COMPONENT NARRATIVE (Y/N): N *
* *
* ISSUE/ACTIVITY NARRATIVE SET:          PRIORITY ISSUE NARRATIVE SET (1-9): *
* *
* INCLUDE POSITION DATA (Y/N): N *
* *
* PRINT COLUMN CODES (Y/N): Y *
* *
* PAGE BREAKS: LBE *
* (IOE, GRP, DEP, DIV,          REPORT HEADING: FUNDING SOURCE IDENTIFER AUDIT REPORT *
* BUR, SUB, LBE, PRC, *
* SIS, ISC) *
* -----

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*****
* BPEADL01                               STATISTICAL INFORMATION                10/15/2012 14:11:02 *
* BUDGET PERIOD: 2003-2014                EXHIBIT A, D AND D-3A LIST REQUEST          TJM 43      SP   *
* COMPILE DATE: 05/04/2012                COMPILE TIME: 12:44:00                      PAGE:      2   *
*****
*
* TOTAL RECORDS READ FROM SORT:           0                                           *
* TOTAL RECORDS READ FROM CARD:          43                                           *
* TOTAL PAF RECORDS READ:                 0                                           *
* TOTAL OAF RECORDS READ:                 0                                           *
* TOTAL IEF RECORDS READ:                 0                                           *
* TOTAL BGF RECORDS READ:                 0                                           *
* TOTAL BEF RECORDS READ:                 0                                           *
* TOTAL PCF RECORDS READ:                 0                                           *
* TOTAL ICF RECORDS READ:                 0                                           *
* TOTAL INF RECORDS READ:                 0                                           *
* TOTAL ACF RECORDS READ:                 0                                           *
* TOTAL FCF RECORDS READ:                 0                                           *
* TOTAL FSF RECORDS READ:                 10                                          *
* TOTAL PCN RECORDS READ:                 0                                           *
* TOTAL BEN RECORDS READ:                 0                                           *
* TOTAL DPC RECORDS READ:                 0                                           *
* TOTAL RECORDS IN ERROR:                 0                                           *
*
*****

```

BUDGET	APPROP		A01-A36	A01-A36
ENTITY	CAT	FUND	ALL FUNDS	FTE

*** NO RECORDS SELECTED FOR REPORTING ***

*** END OF REPORT ***

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*****
* PGENLP02                                STATISTICAL INFORMATION                10/15/2012 14:11 *
* BUDGET PERIOD: 2003-2014                GENERIC REPORT REQUEST                TJM 43   SP 35 *
*                                          PAGE: 1 *
*****
*
*          SAVE INITIALS:          SAVE DEPARTMENT: 07   SAVE ID: ACT1
* -----
*
* SELECT CODES AND ACCUMULATION LEVEL WHERE ALLOWED.  WHEN NO CODE IS SELECTED, ALL CODES FOR THE DATA SELECTION FIELDS SELECTED
* ON PAGE 3 WILL BE REPORTED.  SELECTION WILL BE MERGED IF THE DATA SELECTION FIELDS ON PAGE 3 ARE NOT SELECTED.
*
* ITEMIZATION OF EXPENDITURE:  _ _ _ _ _ IOE ACCUMULATION LEVEL: 2 (1=OPER/FCO, 2=IOE)   FCO (Y/N): Y
*
* SECTION:  _ _ _ _ _
* BUDGET ENTITY OR GROUP/ACCUMULATION LEVEL (DEP, DIV, BUR, LBE):
*   1-7:  43   LBE
*   8-14:
*   15-21:
*   22-27:
* EXCLUDE:
*
* PROGRAM COMPONENT/ACCUMULATION LEVEL (1, 2, 3, 4 OR 5 FOR 2, 4, 6, 8 OR 10 DIGITS):
*   _ 5 _ _ _ _ _
*
* APPROPRIATION CATEGORY OR GROUP/ACCUMULATION LEVEL (1=MAJOR, 2=MINOR):          APPROPRIATION CATEGORY TITLES: S
*   _ 2 _ _ _ _ _          (S=SHORT,L=LONG)
* -----
*
* FUND GROUPS SET:  _ _ _ _ _ OR FUND/ACCUMULATION LEVEL (1=FUND TYPE, 2=DETAIL FUND):  _ 2 _ _ _ _ _
*
* FUNDING SOURCE IDENTIFIER:  _ _ _ _ _
*
* ISSUE CODE OR GROUP/ACCUMULATION LEVEL (1, 2 OR 3 FOR 1, 3 OR 7 CHARACTERS):
*   _ 3 _ _ _ _ _
*
* OBJECTS ARE REPORTED ONLY WHEN SELECTED:
*
* OBJECT CODE/ACCUMULATION LEVEL (1 OR 2 FOR 2 OR 6 DIGITS):
*   _ 2 _ _ _ _ _
* -----

```

* DATA FORMAT: (ENTER NUMBERS SEQUENTIALLY BEGINNING WITH '1'. PRESS PF1 TO DISPLAY TOTAL POSITIONS USED ON REPORT.) *

SELECT	(OPTIONAL)	SELECT	(OPTIONAL)	SELECT	(OPTIONAL)
CODE	TITLE (WIDTH)	CODE	TITLE (WIDTH)	CODE	TITLE (WIDTH)
ITEM OF EXP: 01 (5) (28)	(28)	SECTION: 01 (6) (37)	(37)		
BUDGET ENTITY: 01 (10) (28/17)	(28)	PROG COMPONENT: 01 (12) (28)	(28)	APPROP CATEGORY: 05 (8) (28)	(28)
FUND/FUND GROUP: 06 (6) (28)	(28)	FUND SRCE IDENT: 01 (5) (28)	(28)	OBJECT: 01 (8) (28)	(28)
D-3A SUM ISSUE: 01 (9) (37)	(37)	DETAIL ISSUE: 01 (9) (37)	(37)		

* REPORT DOLLARS IN MILLIONS (13 EACH)(Y/N): N

SELECT: COLUMN	FUND*	HEADING LINES (OPTIONAL)	SELECT: COLUMN	FUND*	HEADING LINES (OPTIONAL)
08 (19) A01-A36	B	A01-A36	09 (19) A01-A36	A	A01-A36
01 (19)	B		01 (19)	B	
01 (19)	B		01 (19)	B	

* SORT SEQUENCE: (ENTER '1' THRU '3' IN THE SEQUENCE YOU WISH FIELDS SORTED.) *

	SORT	TOTALS	PAGE		SORT	TOTALS	PAGE		SORT	TOTALS	PAGE	
	CODE	TITLE	(Y/N)	(Y/N)	CODE	TITLE	(Y/N)	(Y/N)	CODE	TITLE	(Y/N)	(Y/N)
ITEM OF EXP:			N	N	SECTION:						N	N
BUDGET ENTITY:			N	N	PROG COMPONENT:						N	N
FUND:			N	N	FUND SRCE IDENT:						N	N
D-3A SUM ISSUE:			N	N	D-3A DETAIL ISSUE:						N	N

SORT: COLUMN	FUND	HEADING LINES (OPTIONAL)	SORT: COLUMN	FUND	HEADING LINES (OPTIONAL)
01 A01-A36	B	A01-A36	01 A01-A36	A	A01-A36
01	B		01	B	
01	B		01	B	

REPORT HEADING: VERIFY ACTUAL PRIOR YEAR AUDIT
(A36 = A01)

* BDD RECORDS SELECTED: 0

INCLUDE FUNDS/FSIS:	EXCLUDE FUNDS:	FUND HEADING (17):	FUND HEADING (13):
A = FTE		_____ FTE _____	_____ FTE _____
B = ALL FUNDS		_____ ALL FUNDS _____	_____ ALL FUNDS _____
C = 1000		_____ GENERAL REVENUE _____	_____ GEN REV _____
D = 3000		_____ WORKING CAPITAL _____	_____ WORKING CAP _____
E = 1000 3000		_____ GEN REV+WRK CAP _____	_____ GR + WC _____
F = 2_____		_____ ALL TRUST FUNDS _____	_____ ALL TF _____
G = 2_____	2178 2555 2543	_____ OTH TRUST FUNDS _____	_____ OTHER TF _____
H = 2178		_____ LOTTERY _____	_____ LOTTERY _____
I = 2555		_____ PECO _____	_____ PECO _____
J = 2543		_____ STATE SCHOOL TF _____	_____ ST SCH TF _____
K = 2_____	2178	_____ ALL TF-LOTTERY _____	_____ ALL TF-LOTT _____
L = _____		_____	_____
M = _____		_____	_____
N = _____		_____	_____
O = _____		_____	_____
P = _____		_____	_____
Q = _____		_____	_____
R = SALARY RATE		_____ SALARY RATE _____	_____ SALARY RATE _____
S = 2_____	1 2	_____ ALL TF-STATE _____	_____ ALL TF-ST _____
T = 2_____	3 9	_____ ALL TF-FEDERAL _____	_____ ALL TF-FED _____

 *
 * *** END OF REPORT *** *
