

August 11, 2014

The Honorable Rick Scott Governor, State of Florida The Capitol 400 S. Monroe Street Tallahassee, FL 32399

Dear Governor Scott,

Pursuant to Florida Statutes, 20.058, the Florida Guardian ad Litem (GAL) Foundation is submitting the following:

GAL Foundation Report

GAL Foundation Code of Ethics

GAL Foundation IRS 990 Form

GAL Foundation Tax Exempt Certificate

If you have any questions, please do not hesitate to contact me.

Sincerely,

Jane Soltis

Chief Executive Officer

Florida Guardian ad Litem Foundation, Inc.



8/15/2015

Guardian ad Litem Annual Report Concerning Florida Guardian ad Litem Foundation, Inc. § 20.058

Florida Guardian ad Litem Foundation, Inc.

The Holland Building, 600 S. Calhoun. Suite 259 Tallahassee, FL 32301-2009 PO Box 10688 Tallahassee, FL 32302-2688

Telephone: 850-410-4642 Website: <u>www.flgal.org</u>

Florida Guardian ad Litem Foundation, Inc.

GUARDIAN AD LITEM ANNUAL REPORT CONCERNING FLORIDA GUARDIAN AD LITEM FOUNDATION, INC. § 20.058

THE FLORIDA GUARDIAN AD LITEM FOUNDATION WAS CREATED PURSUANT § 39.8298

Within the Justice Administrative Commission, the Statewide Guardian ad Litem Program (GAL Program), which has oversight responsibilities for and provides technical assistance to all guardian ad litem and attorney ad litem programs located within Florida's twenty judicial circuits, was authorized to create a Direct Service Organization pursuant to § 39.8298, Florida Statutes.

FLORIDA GUARDIAN AD LITEM FOUNDATION MISSION AND RESULTS

The Florida Guardian ad Litem Foundation's (Foundation) mission is to provide additional resources for the GAL Program, its volunteers, and its affiliated circuit non-profit organizations in order to promote Guardian ad Litem representation for abused, neglected and abandoned children in Florida's dependency system.

Results

Advocating and Creating Public Awareness of the Florida Guardian ad Litem Program

In an effort to support the GAL Program, it is essential that Floridians understand the importance of the GAL Program, as well as its reliance on volunteers to provide a voice for children in dependency court. The

Foundation has maintained a public presence by supporting and participating in the Statewide Children's Week and Guardian ad Litem Day held annually in Tallahassee. Children's Week brings together organizations concerned with children's issues throughout the state of Florida.

The Foundation also supported the placement of billboards throughout Florida promoting the GAL Program and its mission.

The Foundation makes it a priority to support the GAL Program's legislative agenda through attendance at relevant committee and legislative staff meetings. This year the Foundation supported the passage of the Keys to Independence Act. The Bill, signed into law by Governor



Scott, enables foster children who are at least 16 years of age to obtain automobile insurance and a driver's license through state support.

Promoting Statewide GAL Volunteer Recruitment and Retention

Volunteers are crucial to the GAL Program. GAL volunteers represent the best interests of a child involved in Florida's dependency system. With ever changing social workers, schools and even foster parents, often times a GAL volunteer is the one constant in a dependent child's life. The Foundation supports the GAL Program's goal of 100 percent representation of all of Florida's dependent children. The Foundation supports GAL volunteer recruitment and retention by:

- supporting the Statewide GAL Recognition and Awards Banquet at the Department of Children and Families Annual Summit;
- conducting a survey of all Circuit Directors and the Statewide Volunteer Advisory Committee on additional volunteer training topics and events to be held throughout the state;
- attending volunteer recognition events throughout Florida to support the non-profit organizations located in the circuits;
- providing feedback to the GAL Program on resources that support ongoing volunteer training, opportunities and tools; and
- supporting the placement of GAL Program billboards thorough the state.

Providing Financial Support to Meet the Needs of Abused and Neglected Children Who Are Represented by GAL Volunteer



Supported by Rotary Club of Dunedin



Supporting the needs of Florida's dependent children is a critical part of the Foundation's mission. Whether through grants, individual or corporate donations, or time, the Foundation has continually worked to provide support to children involved in the GAL Program. One way the Foundation has supported such financial backing is by providing training sessions on engaging philanthropy for circuit directors. The Foundation met with over 100 people at the Department of Children and Families Dependency Summit last year to discuss how to effectively research and approach philanthropic entities. The Foundation also trained the attendees on estate giving — an essential tool in fund raising.

The Foundation also received and acknowledged donations from private individuals, corporations, foundations and bequests. Many of the donations received were through social media and networking within the community.

Finally, when a specific need was identified, the Foundation provided targeted support for unique and unfunded needs of children who are represented by GAL volunteers. The Foundation funded such things as medical needs and money for activities related to normalcy for children represented by GAL volunteers.

FLORIDA GUARDIAN AD LITEM FOUNDATION PLANS FOR FISCAL YEARS 2014-2017

The Foundation's plans for the next three fiscal years supports the mission of the Foundation as well as the GAL Program. The Foundation will continue to develop statewide partnerships with private and philanthropic supporters to enhance GAL training and improve advocacy across Florida. These partnerships within the community not only will lead to a better understanding of the GAL Program but also the needs of Florida's abused and neglected children.

The Foundation will also assist the GAL Program with branding and public awareness of the GAL mission and recruitment of volunteers. The GAL Program has almost 10,000 volunteers but still needs more to ensure no child goes to dependency court without a voice. The Foundation will continue to fund billboards and continue to network within the community to raise awareness of the need for more GAL volunteers and of the importance of the GAL Program's work.

The Foundation will strengthen the network of local Guardian ad Litem foundations across the state, in support of a unified mission. Whether through shared conference calls, the Foundation website, emails, or meetings the Foundation will communicate with local non-profits to share best practices concerning fundraising, training and volunteer retention.

The Foundation will continue to sponsor events that recognize the leadership and the success of GAL Program staff and volunteers. The Foundation will also support statewide GAL volunteer training events ensuring GAL volunteers have the latest and best information. The Foundation will serve as a voice to the volunteers of how and where to get the best information and required continuing education.

GAL PROGRAM'S RECOMMENDATIONS TO CONTINUE ASSOCIATION WITH THE FLORIDA GUARDIAN AD LITEM FOUNDATION

The Foundation continues to be an essential support to the GAL Program. The GAL Program recommends the continuation of the Foundation as its Direct Service Organization under § 39.8298, Florida Statutes. The GAL Program supports the Foundations plans for fiscal years 2014-2017 as follows:

- The Foundation will continue to develop statewide partnerships with private and philanthropic supporters to enhance GAL training and improve advocacy across Florida.
- The Foundation will assist the GAL Program with branding and public awareness of the GAL mission and recruitment of volunteers.
- The Foundation will strengthen the network of local Guardian ad Litem foundations across the state, in support of a unified mission.
- The Foundation will sponsor events that recognize the leadership and the success of GAL Program staff and volunteers.

ah they

Alan Abramowitz
Executive Director Florida Guardian ad Litem Program

Florida Statewide Guardian ad Litem Foundation Code of Ethics Adopted July 2014

I. INTRODUCTION (Purpose and Intent)

The Florida Statewide Guardian ad Litem Foundation (Foundation) is a Direct Support Organization authorized by §39.8298, Florida Statutes. The Foundation's mission is to provide additional resources for the Florida Guardian ad Litem Programs, its volunteers, and its affiliated circuit non-profit organizations in order to promote Guardian ad Litem representation for abused, neglected, and abandoned children in Florida's dependency system. Pursuant to §112.3251, the Foundation has adopted a code of ethics to guide its employees, board members and committee members in their conduct when acting on behalf of the Foundation.

II. STATEMENT OF POLICY

A. SOLICITATION OR ACCEPTANCE OF GIFTS

- Influence of Judgment. No employee/member of the Foundation may solicit or accept anything of value, including a gift, loan, reward, promise of future employment, favor, or service, based upon any understanding that the member's / employee's official actions or judgment would be influenced thereby.
- **Personal Benefit.** No employee/member of the Foundation may **solicit** any gift from a lobbyist or Foundation vendor, where such gift is for the personal benefit of the employee/member or any other person.

B. DISCLOSURE OR USE OF CERTAIN INFORMATION

No current or former employee/member of the Foundation may disclose or use non-public information obtained because of their Foundation employment or position as a member for the personal gain or benefit of themselves or any other person or business entity.

C. DOING BUSINESS WITH ONE'S AGENCY

No employee/member of the Foundation who participates through decision, approval, disapproval, recommendation, preparation of any part of a purchase request, influences the content of any specification or procurement standard, renders advice, investigates, audits or acts in any other advisory capacity in the procurement of contractual services may become or be the employee of a person contracting with the Foundation.

D. UNAUTHORIZED COMPENSATION.

No Foundation employee/members or their spouse or minor child shall, at any time, accept any

Florida Statewide Guardian ad Litem Foundation Code of Ethics Adopted July 2014

compensation, payment, or thing of value when such employee/member knows, or, with the exercise of reasonable care, should know, that it was given to influence a vote or other action in which the employee/member was expected to participate in his or her official capacity.

No employee / member of the Foundation shall use or attempt to use his or her Foundation position or any property or resource within his or her trust, or perform his or her duties to secure a special privilege, benefit, or exemption for himself, herself, or others

E. ACKNOWLEDGEMENT AND DISCLOSURE

All Foundation employee/member are required to provide a signed Acknowledgement regarding provisions of the Foundation Code of Ethics.

F. RESTRICTIONS ON VOTING

No Foundation employee/member shall vote on any matter that the employee/member knows would inure to his or her special private gain or loss. Any employee/member who abstains from voting in an official capacity upon any measure that the employee/member knows would inure to his or her special private gain or loss, or who votes in an official capacity on a measure that he or she knows would inure to the special private gain or loss of any principal by whom the employee/member is retained or to the parent organization or subsidiary of a corporate principal by which the employee/member is retained other than an agency; or which the employee/member knows would inure to the special private gain or loss of his or her relative or business associate, shall make every reasonable effort to disclose the nature of his or her interest as a public record in a memorandum filed with the person responsible for recording the minutes of the meeting, who shall incorporate the memorandum in the minutes. If it is not possible for the employee/member to file a memorandum before the vote, the memorandum must be filed with the person responsible for recording the minutes of the meeting no later than 15 days after the vote.

¹ "Agency" means any state, regional, county, local, or municipal government entity of this state, whether executive, judicial, or legislative; any department, division, bureau, commission, authority, or political subdivision of this state therein; or any public school, community college, or state university.

Florida Statewide Guardian ad Litem Foundation Code of Ethics Adopted July 2014

ACUNOWI EDCEMENT OF DECEMT OF FOUNDATION CODE OF	ETHICS.
ACKNOWLEDGEMENT OF RECEIPT OF FOUNDATION CODE OF	ETHICS:
Employee/ Member of Foundation Signature	
Name (please print name)	
Date	

Caution: Forms printed from within Adobe Acrobat products may not meet IRS or state taxing agency specifications. When using Acrobat 5.x products, uncheck the "Shrink oversized pages to paper size" and uncheck the "Expand small pages to paper size" options, in the Adobe "Print" dialog. When using Acrobat 6.x and later products versions, select "None" in the "Page Scaling" selection box in the Adobe "Print" dialog.

CLIENT'S COPY

Form 990-N (e-Postcard) Summary (**THIS IS NOT A FILEABLE FORM - FOR REVIEW PURPOSES ONLY**)

Tax period beginning 07/01/2012 and ending 06/30/2013

Organization's legal name	Employer ID number	
FLORIDA GUARDIAN AD LITEM FOUNDATION INC	45-0501348	
Other names used by organization (DBA)		
Number and street (or P.O. box, if applicable) PO BOX 10688 Room/Suite	Telephone number 8504104642	
City or town, state or country and ZIP + 4 TALLAHASSEE, FL 32302		
Web address, if applicable		
Check if organization is not a 509(a)(3) supporting organization and its gross receipts meets limits for the tax year	X	
Information regarding principal officer:		
Name BERT EARP		
Street address 1713 MAHAN DRIVE		
City, state or country and ZIP + 4 TALLAHASSEE , FL 32308		

Form 88	68 (Rev. 1-2013)					Page 2
The second second	are filing for an Additional (Not Automatic) 3-Month I	Extension,	complete only Part II and check thi	is box	2222	
	nly complete Part II if you have already been granted ar					
	are filing for an Automatic 3-Month Extension, comp	lete only Pa	art I (on page 1).			
Part I	Additional (Not Automatic) 3-Month	Extensio	n of Time. Only file the origin	nal (no c	opies nee	ded).
			Enter filer's	identify	ing number,	see instructions
Type or				Employer identification number (EIN) or		
print						
File by the	FLORIDA GUARDIAN AD LITEM FOUNDATION INC				45-0501348	
due date for filing your return. See	Number, street, and room or suite no. If a P.O. box, PO BOX 10688	om or suite no. If a P.O. box, see instructions.		Social s	Social security number (SSN)	
instructions	City, town or post office, state, and ZIP code. For a TALLAHASSEE, FL 32302	foreign add	dress, see instructions.			
		700				
Enter the	e Return code for the return that this application is for (1	file a separa	te application for each return)		••••••	
Applicat	Application Return Application		10	Return		
Is For		Code	Is For			Code
	O or Form 990-EZ	01			AL-1078 - 17	Alexander of the second
Form 99	D-BL	02	Form 1041-A	****		08
Form 47	20 (individual)	03	Form 4720			09
Form 990)-PF	04	Form 5227	ENG NO. ISLANDS		10
Form 990	0-T (sec. 401(a) or 408(a) trust)	05	Form 6069			11
Form 990	orm 990-T (trust other than above) 06 Form 8870			12		
If the If this boox 4 I re 5 For 6 If th	quest an additional 3-month extension of time until	t Group Exe	emption Number (GEN)	f this is fo	pers the whole goers the extends	roup, check this
nor	nis application is for Form 990-BL, 990-PF, 990-T, 4720, prefundable credits. See instructions.			8a	\$	0.
	payments made. Include any prior year overpayment a					
pre	eviously with Form 8868.			8b	\$	0.
c Bal	Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using					
EFT	PS (Electronic Federal Tax Payment System). See inst			8c	\$	0.
Inder pena	Signature and Verifica alties of perjury, I declare that I have examined this form, inclu- prrect, and complete, and that I am authorized to prepare this f	ding accomp	et be completed for Part II of anying schedules and statements, and to		f my knowledg	e and belief,
i is ii ue, ci Signature		ivilli.	я	Date	>	
					Form 9	869 (Dev. 1-2012)

223842 01-21-13



Consumer's Certificate of Exemption

DR-14 R. 04/11

Issued Pursuant to Chapter 212, Florida Statutes

85-8013122093C-2 07/31/2014 07/31/2019 501(C)(3) ORGANIZATION

Certificate Number Effective Date Exemption Category

This certifies that

FLORIDA GUARDIAN AD LITEM FOUNDATION INC 600 SOUTH CALHOUN ST TALLAHASSEE FL 32399-0001



is exempt from the payment of Florida sales and use tax on real property rented, transient rental property rented, tangible personal property purchased or rented, or services purchased.



Important Information for Exempt Organizations

DR-14 R. 04/11

- 1. You must provide all vendors and suppliers with an exemption certificate before making tax-exempt purchases. See Rule 12A-1.038, Florida Administrative Code (F.A.C.).
- 2. Your Consumer's Certificate of Exemption is to be used solely by your organization for your organization's customary nonprofit activities.
- Purchases made by an individual on behalf of the organization are taxable, even if the individual will be reimbursed by the organization.
- 4. This exemption applies only to purchases your organization makes. The sale or lease to others of tangible personal property, sleeping accommodations, or other real property is taxable. Your organization must register, and collect and remit sales and use tax on such taxable transactions. Note: Churches are exempt from this requirement except when they are the lessor of real property (Rule 12A-1.070, F.A.C.).
- 5. It is a criminal offense to fraudulently present this certificate to evade the payment of sales tax. Under no circumstances should this certificate be used for the personal benefit of any individual. Violators will be liable for payment of the sales tax plus a penalty of 200% of the tax, and may be subject to conviction of a third-degree felony. Any violation will require the revocation of this certificate.
- 6. If you have questions regarding your exemption certificate, please contact the Exemption Unit of Account Management at 800-352-3671. From the available options, select "Registration of Taxes," then "Registration Information," and finally "Exemption Certificates and Nonprofit Entities." The mailing address is PO Box 6480, Tallahassee, FL 32314-6480.