



FLORIDA COMMISSION ON OFFENDER REVIEW

MELINDA N. COONROD
Commissioner/Chair

RICHARD D. DAVISON
Commissioner/Vice-Chair

DAVID A. WYANT
Commissioner/Secretary

LEGISLATIVE BUDGET REQUEST

Florida Commission on Offender Review
Tallahassee, Florida 32399-2450

October 14, 2022

Chris Spencer, Policy Director
Office of Policy and Budget
Executive Office of the Governor
1702 Capitol
Tallahassee, Florida 32399-0001

Eric Pridgeon, Staff Director
House Appropriations Committee
221 Capitol
Tallahassee, Florida 32399-1300

John Shettle, Interim Staff Director
Senate Committee on Appropriations
201 Capitol
Tallahassee, Florida 32399-1300

Dear Directors:

Pursuant to Chapter 216, Florida Statutes, our Legislative Budget Request for the Florida Commission on Offender Review is submitted in the format prescribed in the budget instructions. The information provided electronically and contained herein is a true and accurate presentation of our proposed needs for the 2023-24 Fiscal Year. This submission has been approved by me, Melinda N. Coonrod, as Chairman of the Commission.

Should you have any questions regarding this document, please contact me at (850) 487-1980.

Sincerely,

Melinda N. Coonrod
Chairman

FLORIDA COMMISSION ON OFFENDER REVIEW
PAY ADDITIVES REQUEST
FOR FY 2023-2024

Section 110.2035(7)(b), Florida Statutes, requires each state agency to include in its annual legislative budget request a proposed written plan for implementing temporary special duties – general pay additives for the next fiscal year. The agency is not requesting any additional rate or appropriations for these additives.

In accordance with previous rule authority in 60L-32.0012, Florida Administrative Code, the Commission has used existing rate and salary appropriations to grant pay additives when warranted based on the duties and responsibilities of the position. The requested additives are justified for reasons such as additional assigned duties and responsibilities not customarily assigned to the position when another position becomes vacant.

Pay additives are a valuable management tool which allows agencies to recognize and compensate employees for identified duties without providing a permanent pay increase.

Temporary Special Duty

The agency requests approval to continue to grant a 10% pay additive to Commission Investigators who perform additional duties as an acting Regional Administrator.

1. Justification:

The Division of Operations has 52.5 Commission Investigator positions, 4 Commission Investigator Supervisor positions and 5 Regional Administrator positions located in the field. A Regional Administrator vacancy creates a region without supervision. A Commission Investigator or Commission Investigator Supervisor will be given additional duties of regional supervision until the position has been filled.

2. Length of time additive will be used:

The additive will not be used more than 90 days at any given occurrence.

3. Classes and number of positions affected:

Class Code	Class Title	# of FTE
8127	Commission Investigator	1

4. Area impacted:

This additive will impact employees statewide.

5. Historical data:

This pay additive was last used in October 2015.

6. Estimated cost of this additive:

Based on a base salary for a Commission Investigator, the calculation is as follows: \$37,002.96 x 10% = \$3,700.30 x 1 position = \$3,700.30 x .25 year (90 days) = \$925.06. The Commission is not requesting any additional rate or appropriations for this additive.

Florida Commission on Offender Review

Department Level Exhibits or Schedules

Schedule VII: Agency Litigation Inventory

For directions on completing this schedule, please see the "Legislative Budget Request (LBR) Instructions" located on the Florida Fiscal Portal.

Agency:	FLORIDA COMMISSION ON OFFENDER REVIEW		
Contact Person:	Rana Wallace	Phone Number:	850-488-4460
Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	Richard Gourley v. Melinda Coonrod, et al.		
Court with Jurisdiction:	Miami-Dade Circuit Court		
Case Number:	2020-CA-14518		
Summary of the Complaint:	Pro-se Plaintiff Richard Gourley is a state prison inmate who alleges the Commission used the wrong matrix guidelines to determine his presumptive parole release date.		
Amount of the Claim:	\$\$ 50,000,000.00 compensatory damages \$ 50,000,000.00 punitive damages <i>Note: It is unclear whether the requested relief is sought from each defendant individually or all defendants collectively.</i>		
Specific Statutes or Laws (including GAA) Challenged:	23-21.009, F.A.C. 23-21.010, F.A.C.		
Status of the Case:	Plaintiff voluntarily dismissed his prior petition against the Commissioners. Plaintiff, however, filed the same or similar claims in this case. Although the case was filed in 2020, as of September 21, 2022, Plaintiff has failed to serve any defendant.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input type="checkbox"/>	Agency Counsel	
	<input checked="" type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).	N/A		

Schedule VII: Agency Litigation Inventory

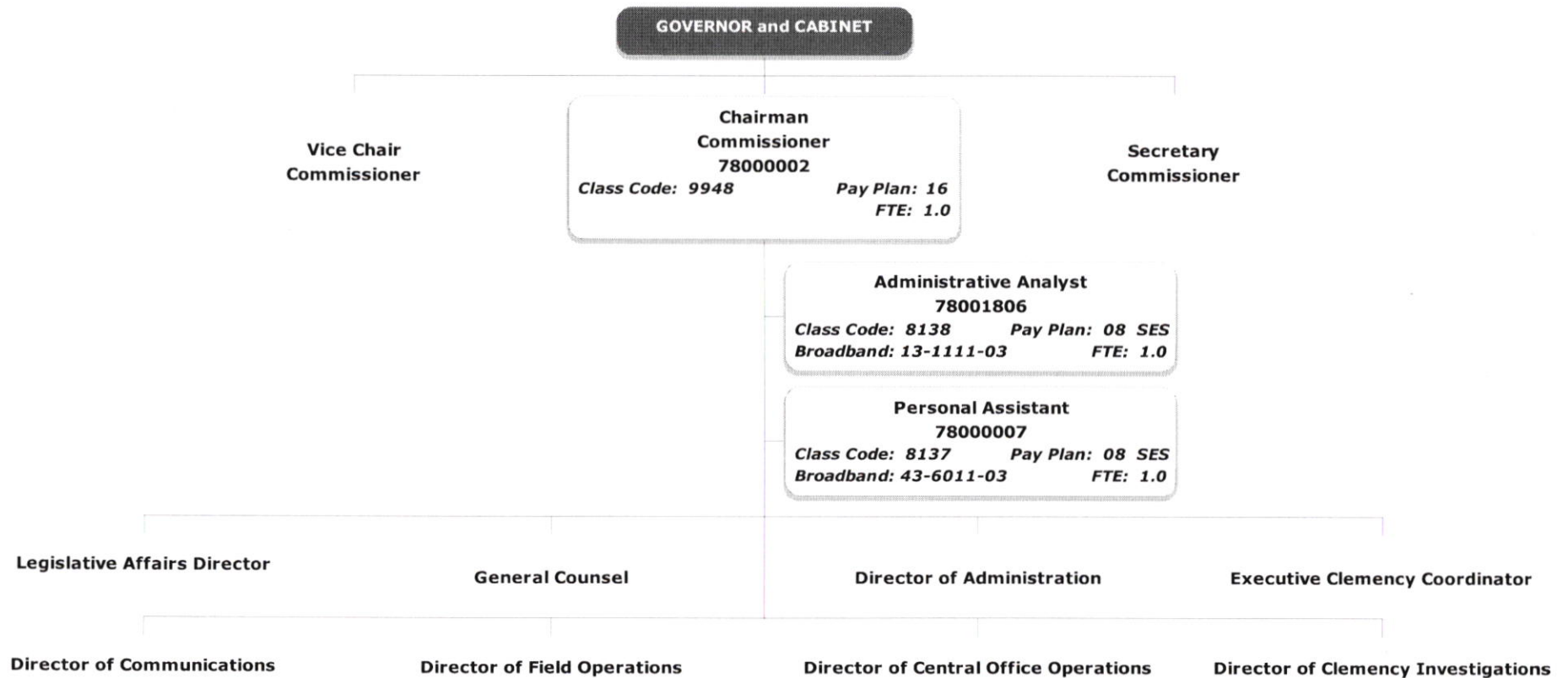
For directions on completing this schedule, please see the “Legislative Budget Request (LBR) Instructions” located on the Florida Fiscal Portal.

Agency:	FLORIDA COMMISSION ON OFFENDER REVIEW		
Contact Person:	Rana Wallace	Phone Number:	850-488-4460
Names of the Case: (If no case name, list the names of the plaintiff and defendant.)	Howard et al. v. Coonrod et al.		
Court with Jurisdiction:	United States District Court for the Middle District of Florida		
Case Number:	M.D. Fla. No. 6:21-cv-62		
Summary of the Complaint:	Plaintiffs, state sentenced prison inmates serving parole eligible life sentences or sentences of 470+ months for offenses committed as juveniles, challenge the constitutionality of Florida’s parole system and process, as applied to them and to others similarly situated.		
Amount of the Claim:	\$0		
Specific Statutes or Laws (including GAA) Challenged:	chapter 947, Fla. Stat. chapter 23-21, F.A.C.		
Status of the Case:	Motions for Summary Judgment have been filed but not yet ruled on.		
Who is representing (of record) the state in this lawsuit? Check all that apply.	<input type="checkbox"/>	Agency Counsel	
	<input checked="" type="checkbox"/>	Office of the Attorney General or Division of Risk Management	
	<input type="checkbox"/>	Outside Contract Counsel	
If the lawsuit is a class action (whether the class is certified or not), provide the name of the firm or firms representing the plaintiff(s).	Class is certified. Holland & Knight LLP, Miami, Florida Juvenile Law Center, Philadelphia, Pennsylvania		

Florida Commission on Offender Review

Office of Commissioner Chair

Org Code: 7801000000

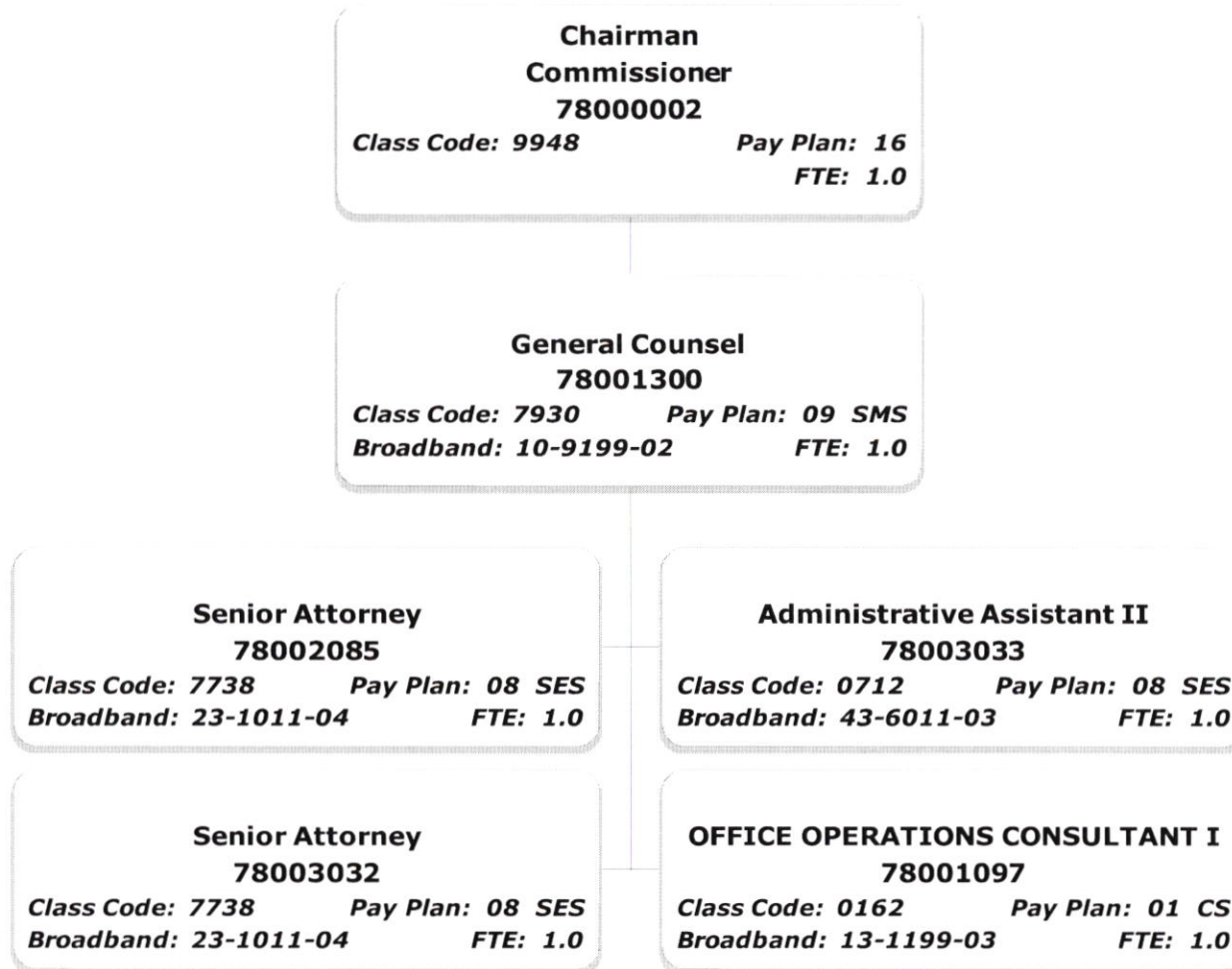


Effective: 07/01/2022

Florida Commission on Offender Review

Office of the General Counsel

Org Code: 78010100000

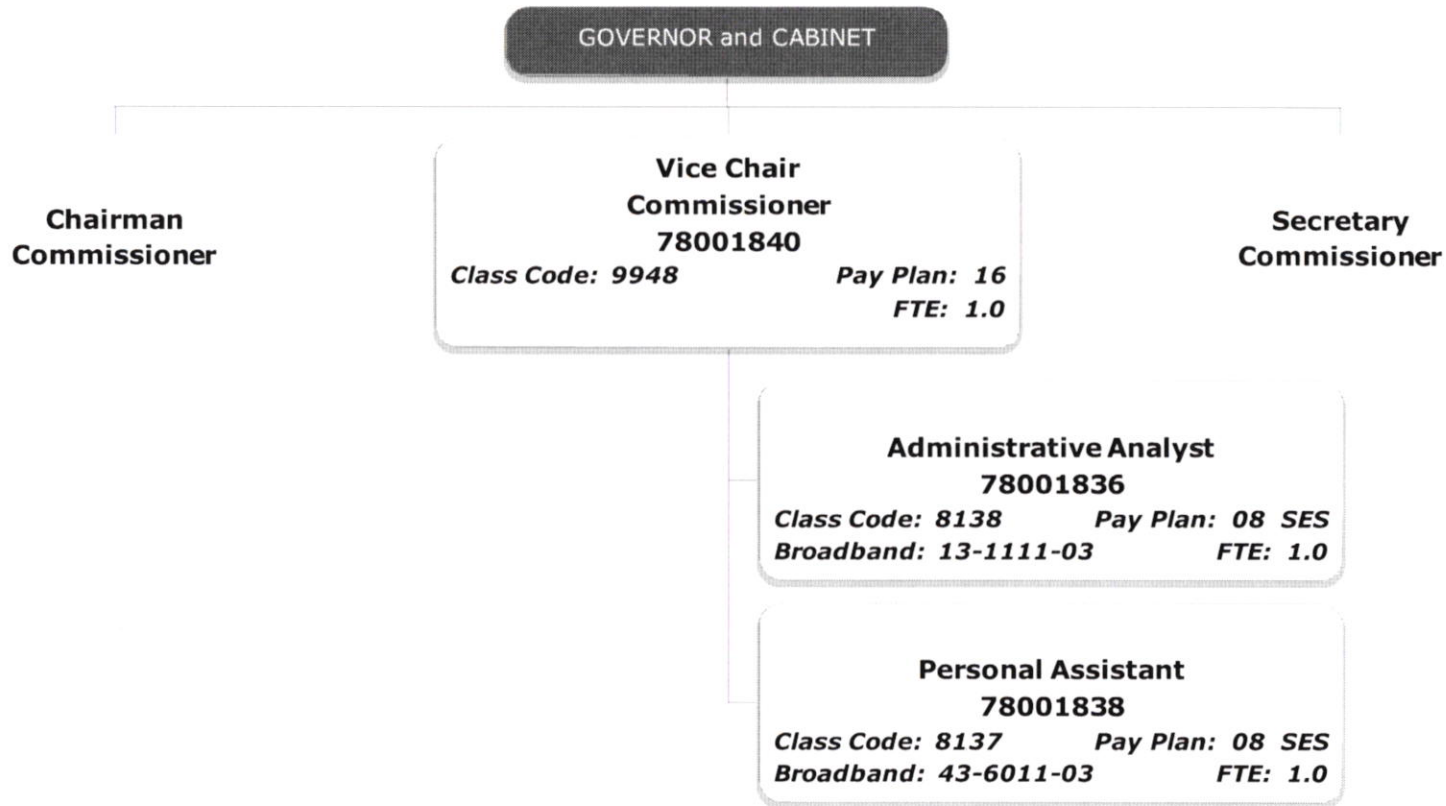


Effective: 7/01/2022

Florida Commission on Offender Review

Commissioner's Office

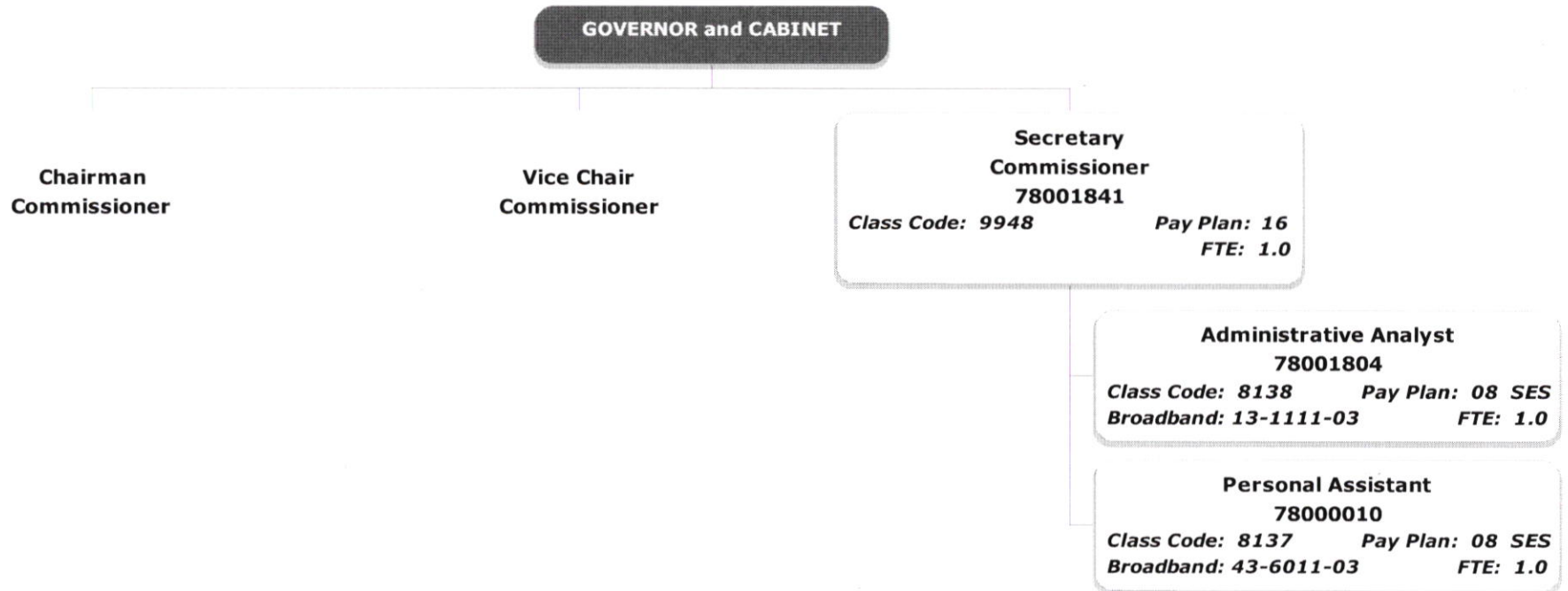
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Effective:7/01/2022

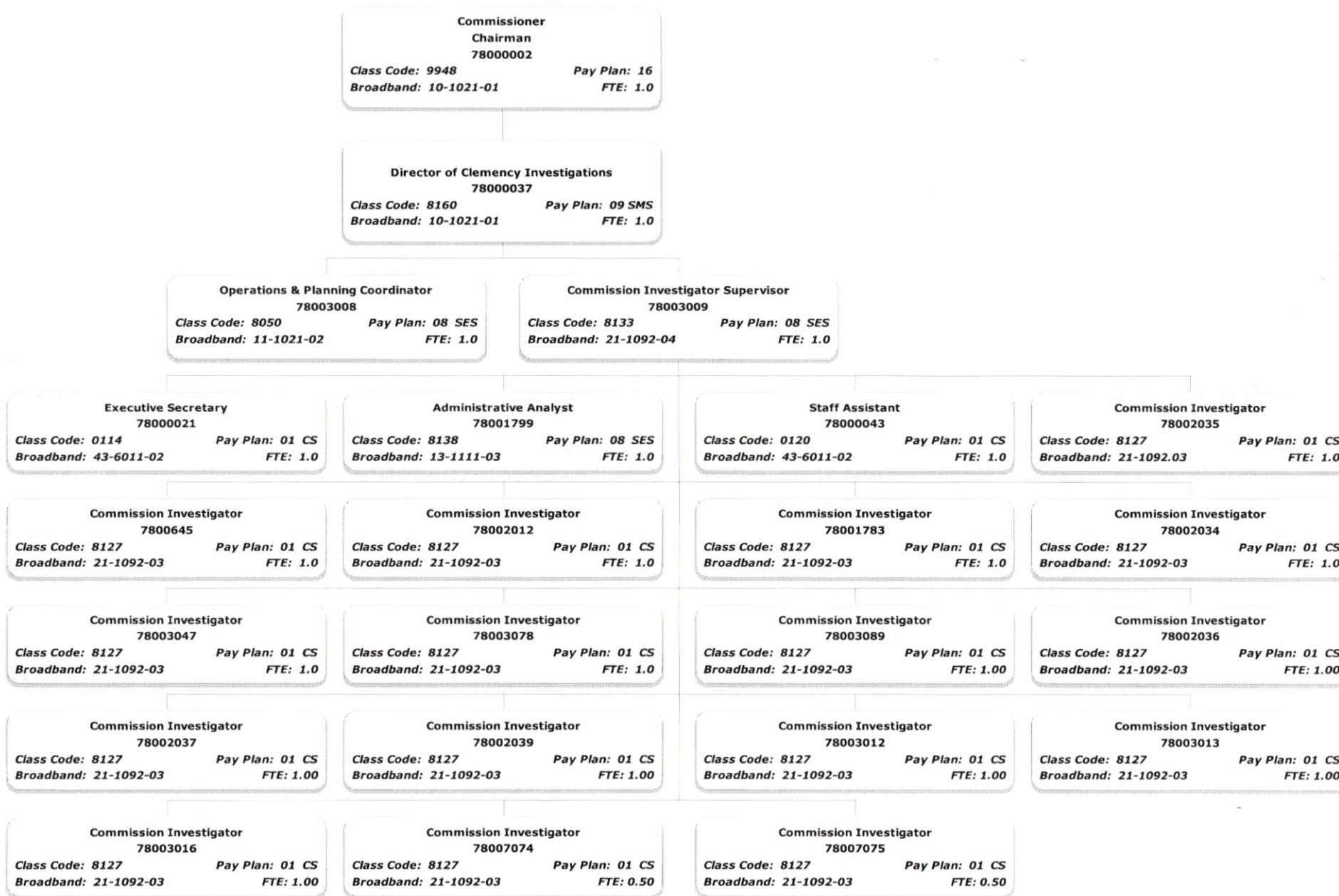
Florida Commission on Offender Review

Commissioner's Office
Org Code: 78020200000



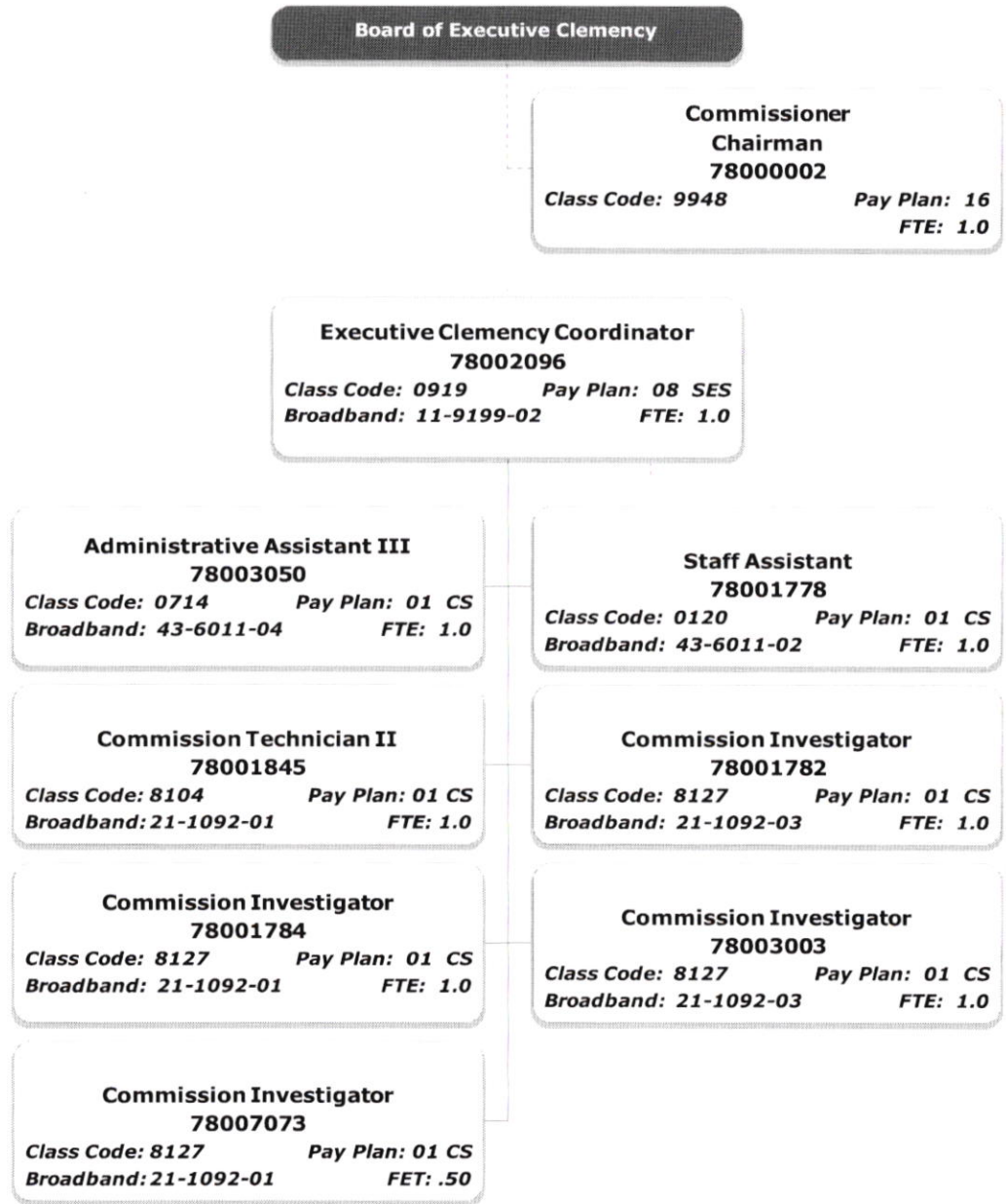
Florida Commission on Offender Review

Clemency Investigations
Org Code: 78030000000



Florida Commission on Offender Review

Executive Clemency
Org Code: 78030100000



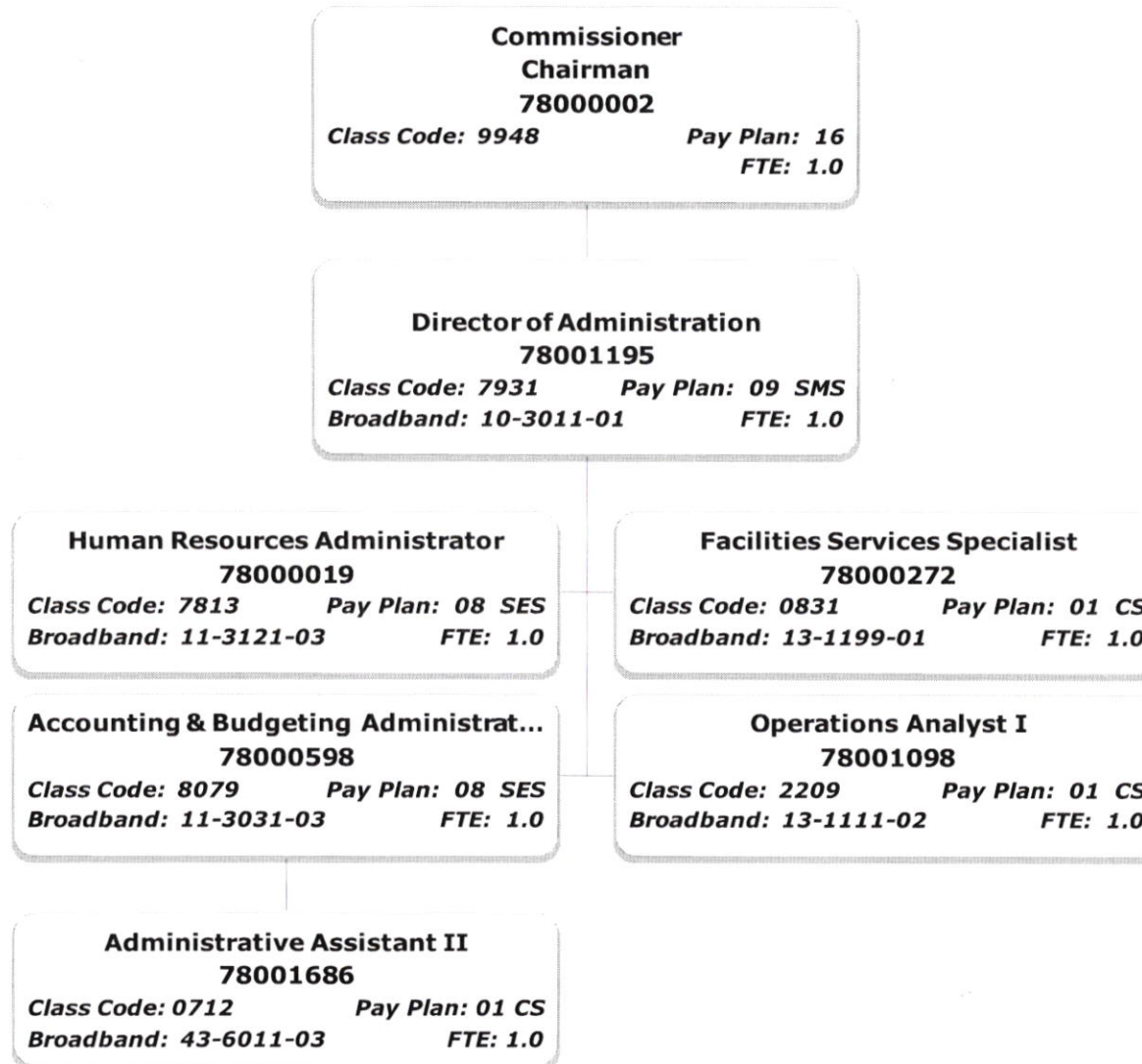
Florida Commission on Offender Review

Administration

Org Codes: 78040000000

78040100000 (Human Resources)

78040200000 (Accounting & Budgeting)



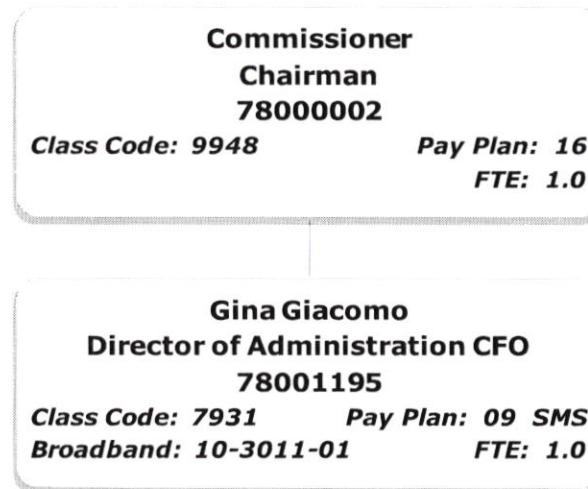
Florida Commission on Offender Review

Administration

Org Codes: 78040000000

78040100000 (Human Resources)

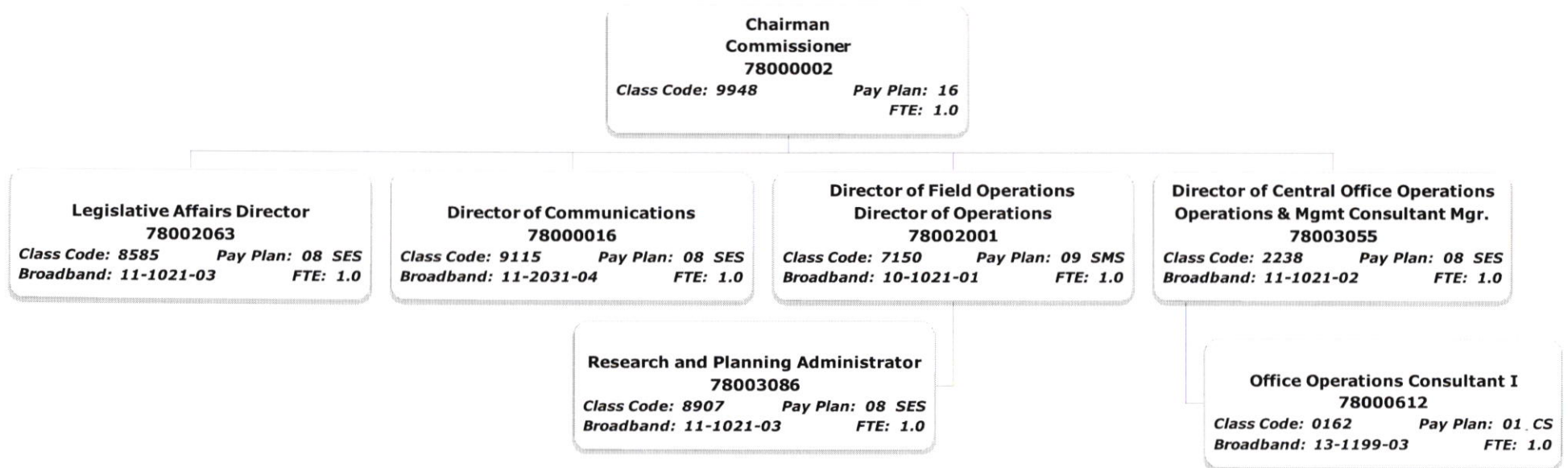
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Florida Commission on Offender Review

Operations

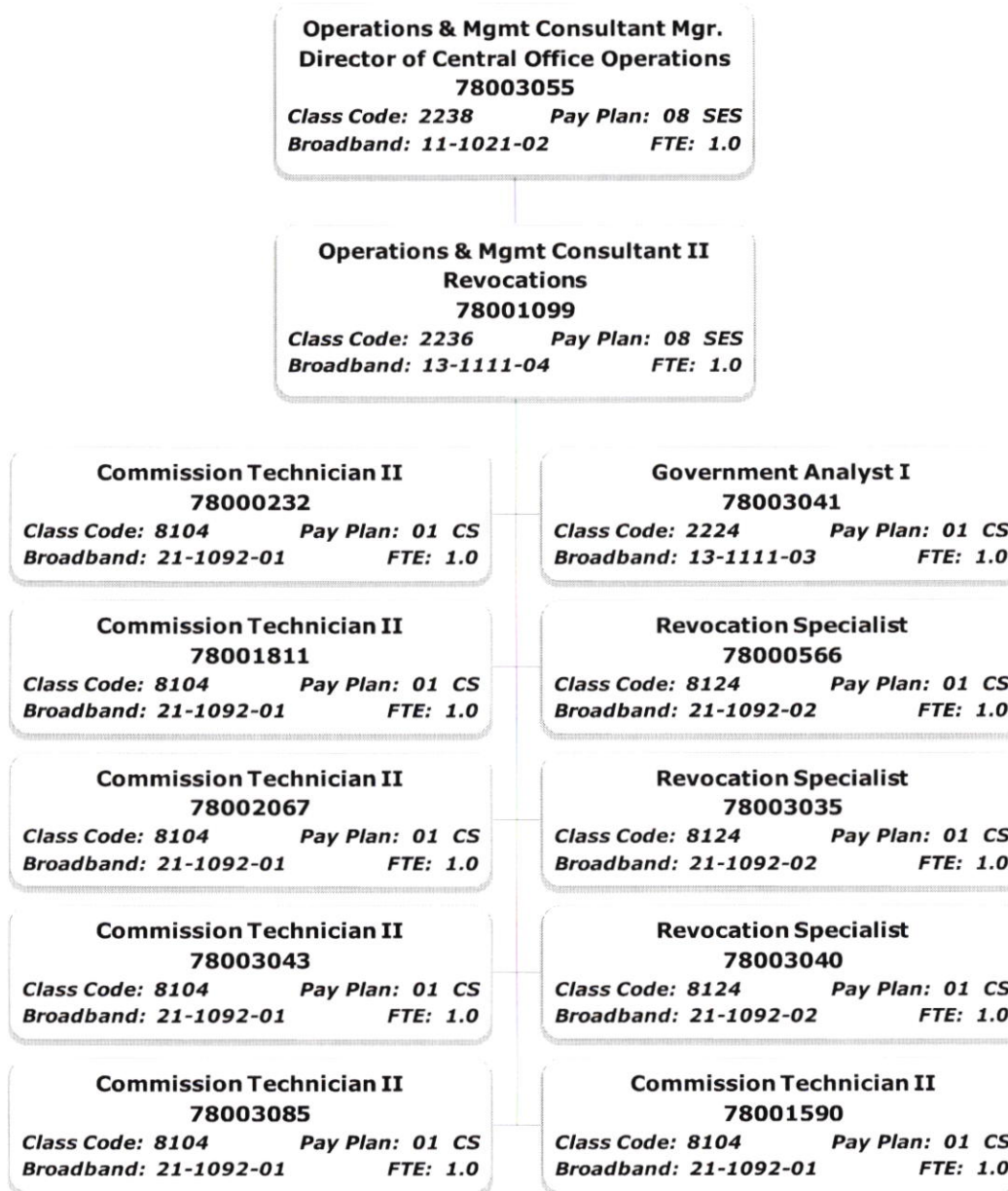
Org Code: 78060000000



Florida Commission on Offender Review

Revocations

Org Code: 78060100000



Florida Commission on Offender Review

Victim Services

Org Code: 78060201000

Operations & Mgmt Consultant Mgr.
Director of Central Office Operations
78003055
Class Code: 2238 Pay Plan: 08 SES
Broadband: 11-1021-02 FTE: 1.0

Operations & Mgmt Consultant II
Victims Services
78001512
Class Code: 2236 Pay Plan: 08 SES
Broadband: 13-1111-04 FTE: 1.0

Staff Assistant
78002094
Class Code: 0120 Pay Plan: 01 CS
Broadband: 43-6011-02 FTE: 1.0

Government Analyst I
78003038
Class Code: 2224 Pay Plan: 01 CS
Broadband: 13-1111-03 FTE: 1.0

Commission Investigator
78003011
Class Code: 8127 Pay Plan: 01 CS
Broadband: 21-1092-03 FTE: 1.0

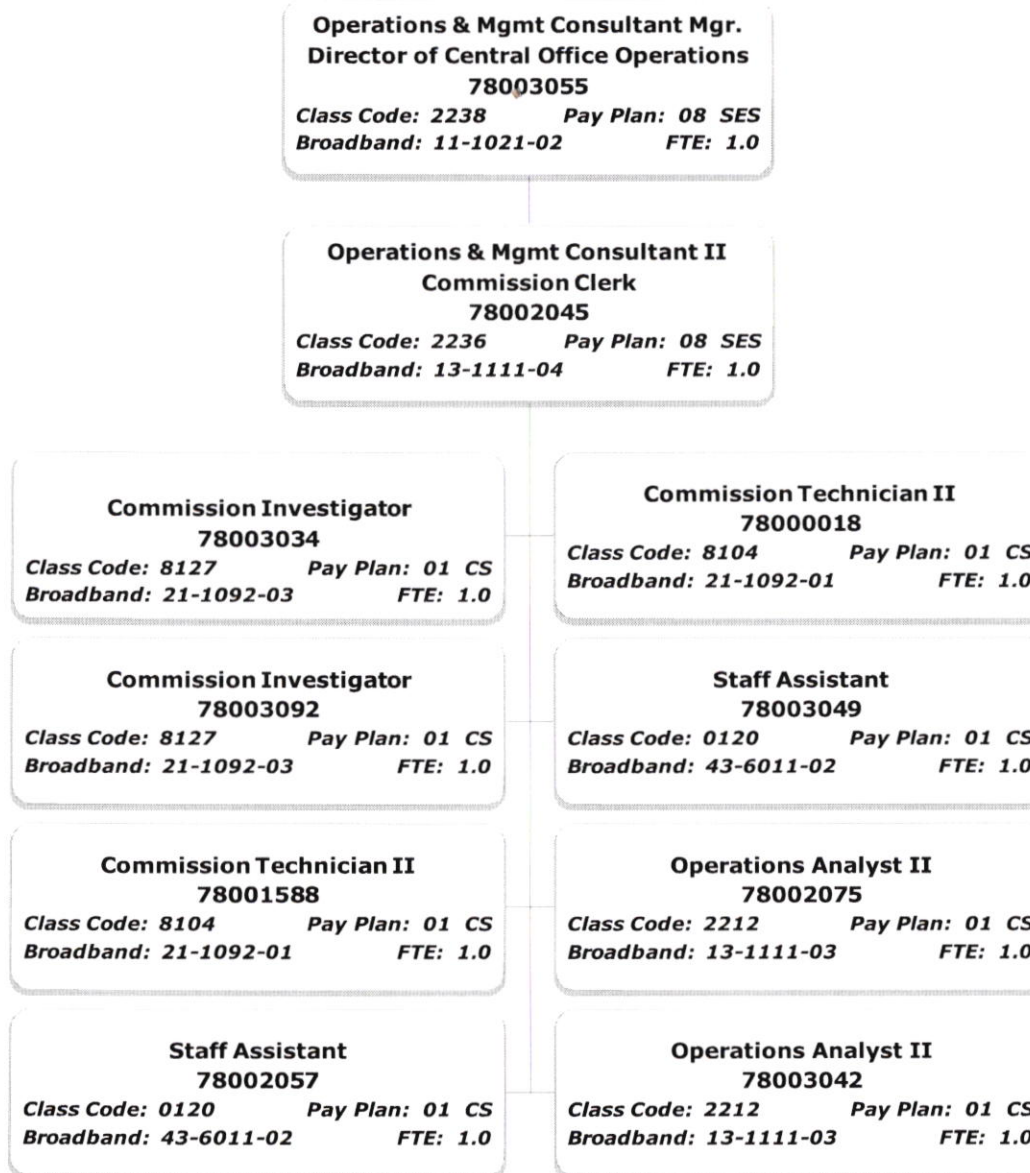
Commission Investigator
78003017
Class Code: 8127 Pay Plan: 01 CS
Broadband: 21-1092-03 FTE: 1.0

Florida Commission on Offender Review

Commission Clerk

Org Codes: 78060400000

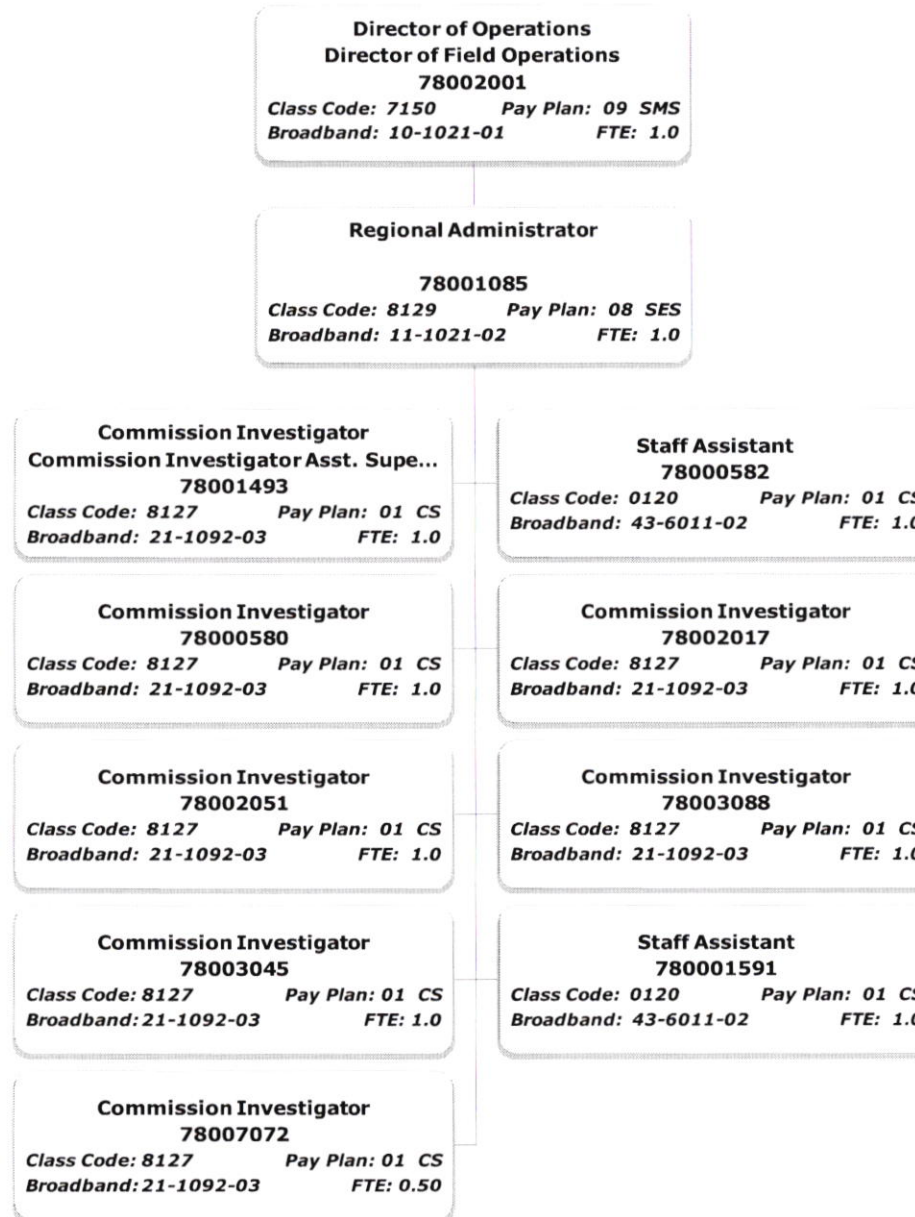
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Florida Commission on Offender Review

Region I

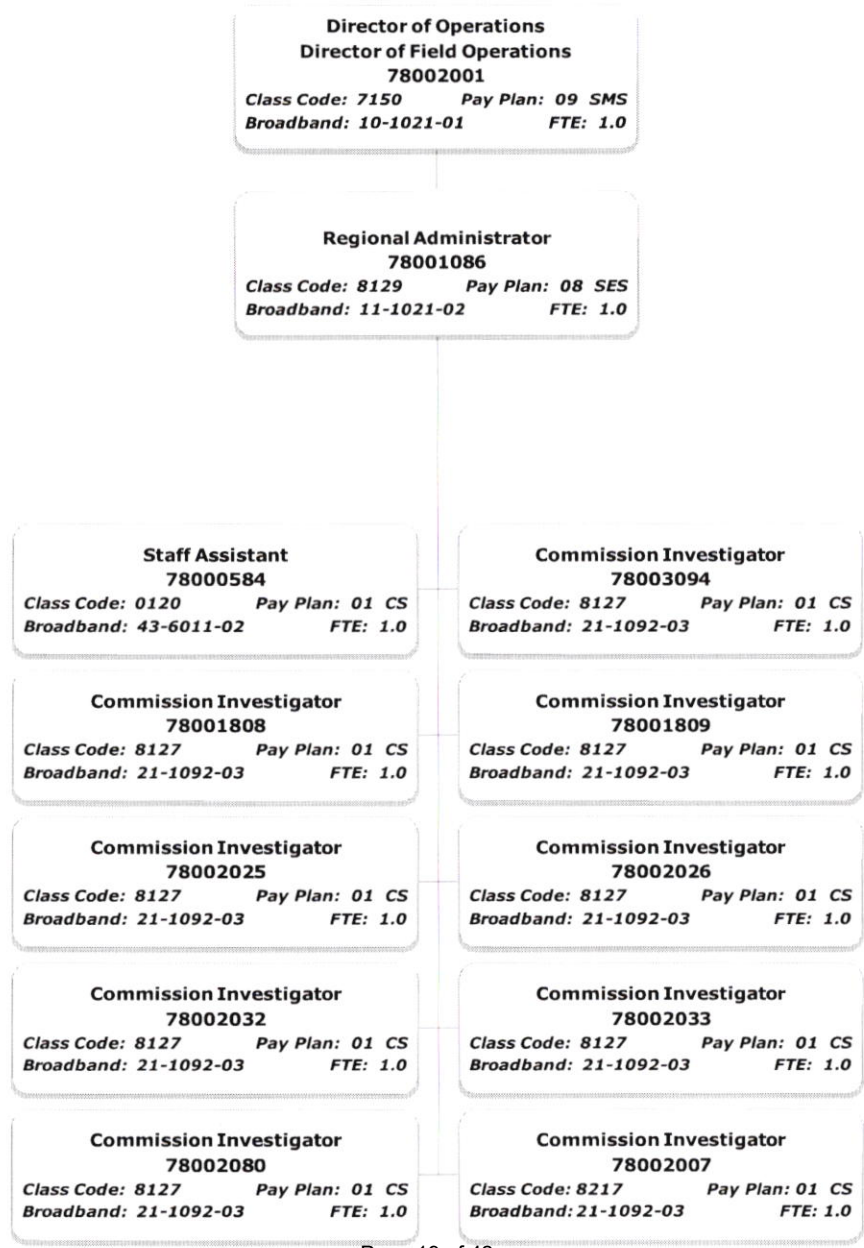
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78060501010



Florida Commission on Offender Review

Region II

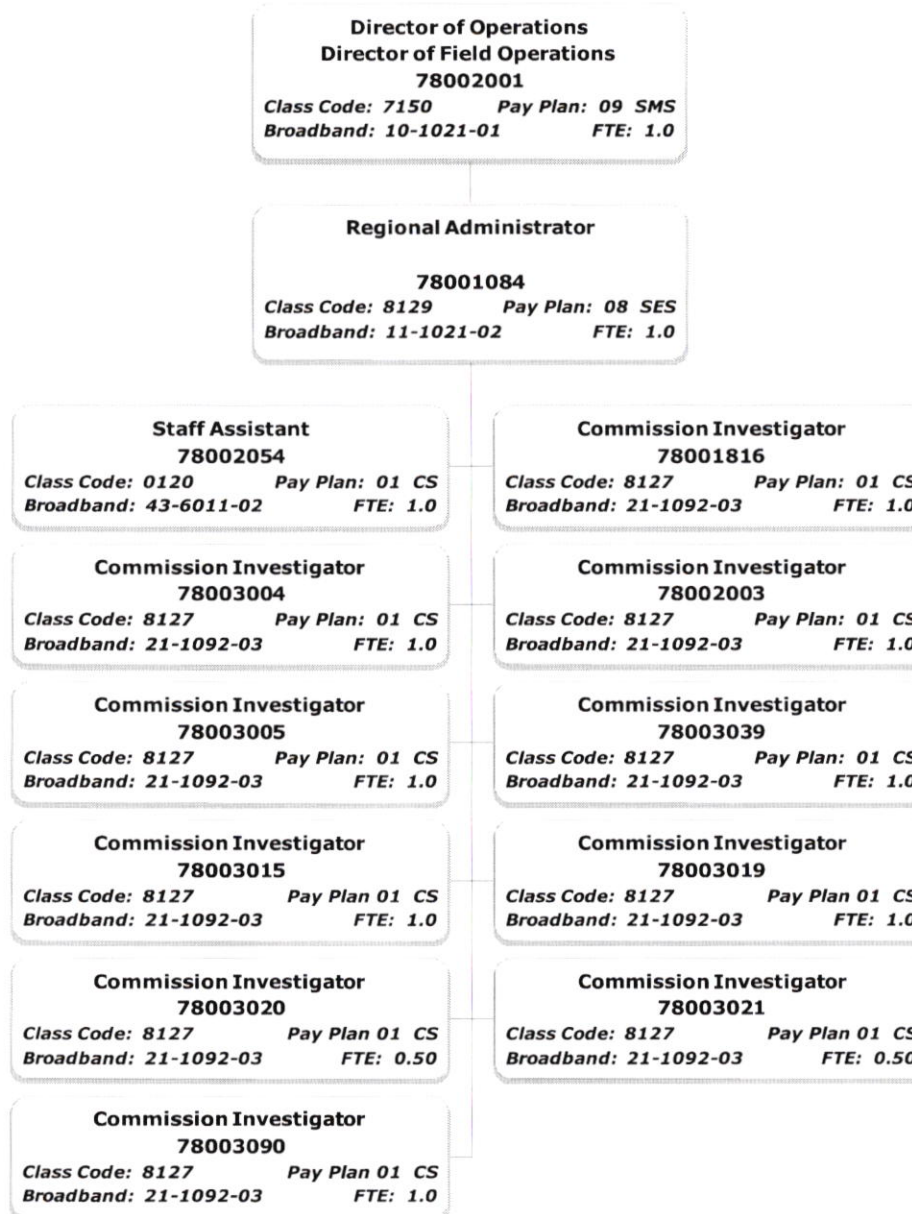
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Florida Commission on Offender Review

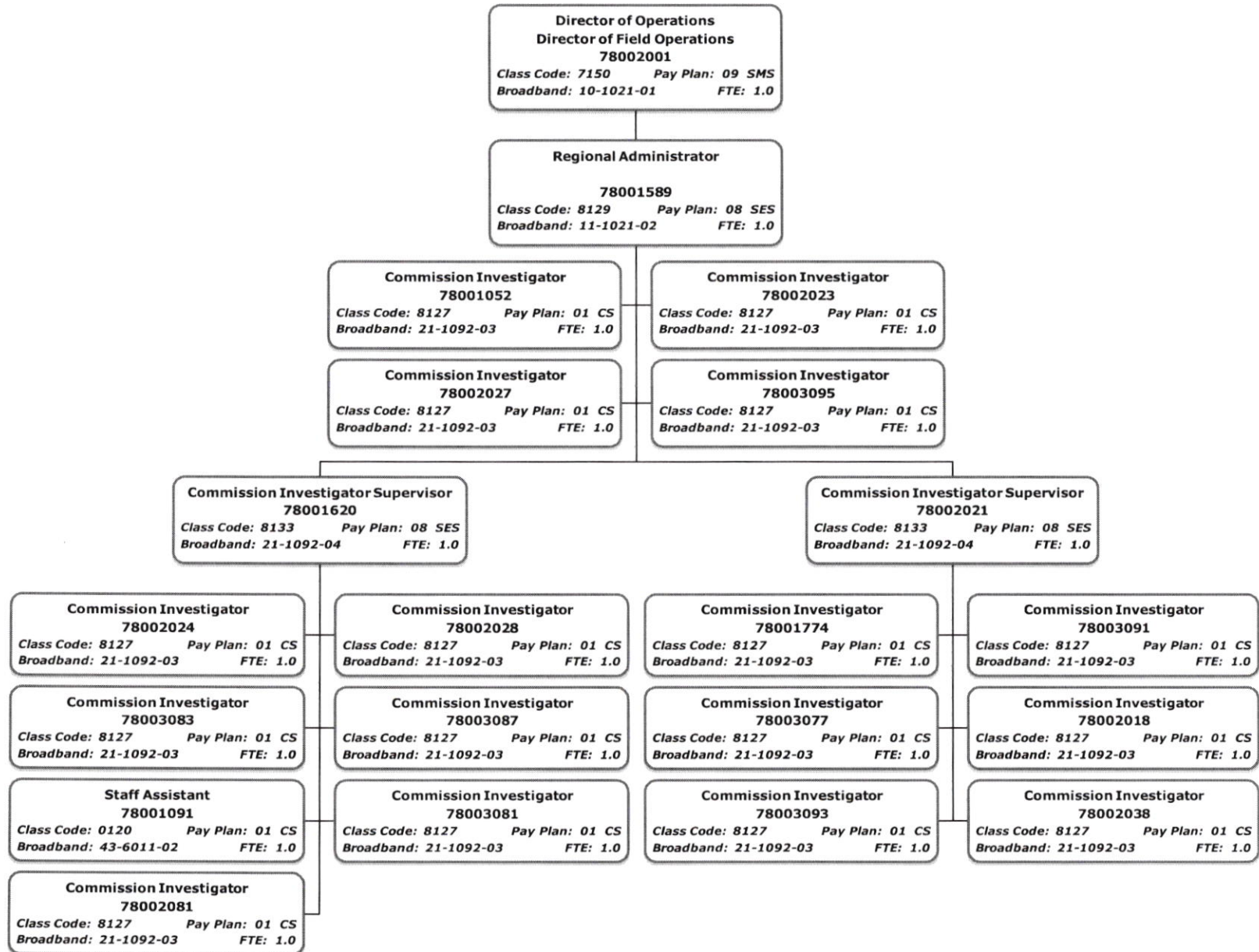
Region III

Org Codes: 78060503000
78060503010



Florida Commission on Offender Review

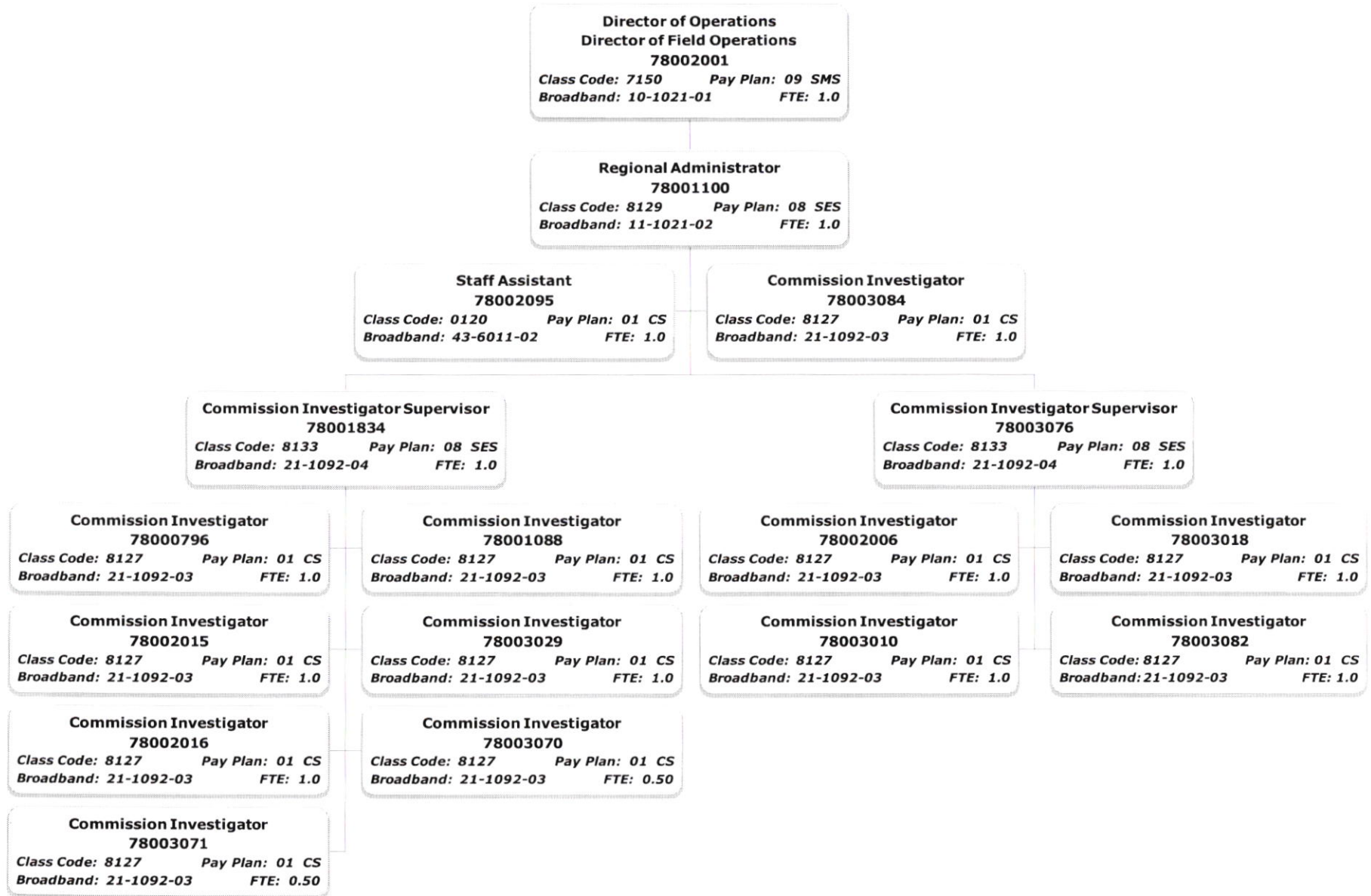
Region IV
 Org Codes: 78060504000
 78060504020
 78060504030



Florida Commission on Offender Review

Region V

Org Codes: 78060505000
 78060505010
 78060505020



Schedule XIV Variance from Long Range Financial Outlook

Agency: Florida Commission on Offender Review

Contact: Karen Carter

Article III, section 19(a)3 of the Florida Constitution, requires each agency Legislative Budget Request to be based upon and reflect the long range financial outlook adopted by the Joint Legislative Budget Commission or to explain any variance from the outlook.

- 1) Does the long range financial outlook adopted by the Joint Legislative Budget Commission in September 2022 contain revenue or expenditure estimates related to your agency?

Yes No

- 2) If yes, please list the estimates for revenues and budget drivers that reflect an estimate for your agency for Fiscal Year 2023-2024 and list the amount projected in the long range financial outlook and the amounts projected in your Schedule I or budget request.

	Issue (Revenue or Budget Driver)	R/B*	FY 2023-2024 Estimate/Request Amount	
			Long Range Financial Outlook	Legislative Budget Request
a				
b				
c				
d				
e				
f				

- 3) If your agency's Legislative Budget Request does not conform to the long range financial outlook with respect to the revenue estimates (from your Schedule I) or budget drivers, please explain the variance(s) below.

* R/B = Revenue or Budget Driver

Florida Commission on Offender Review

Schedule I Series

SCHEDULE IC: RECONCILIATION OF UNRESERVED FUND BALANCE

Department Title:	Budget Period: 2023 - 2024
Trust Fund Title:	Florida Commission on Offender Review
Budget Entity:	Federal Grants Trust Fund
LAS/PBS Fund Number:	78010000
	2261

	Balance as of 6/30/2022		SWFS* Adjustments		Adjusted Balance
Chief Financial Officer's (CFO) Cash Balance	0	(A)			0
ADD: Other Cash (See Instructions)		(B)			0
ADD: Investments		(C)			0
ADD: Outstanding Accounts Receivable		(D)			0
ADD: _____		(E)			0
Total Cash plus Accounts Receivable	0	(F)	0		0
LESS Allowances for Uncollectibles		(G)			0
LESS Approved "A" Certified Forwards		(H)			0
Approved "B" Certified Forwards		(H)			0
Approved "FCO" Certified Forwards		(H)			0
LESS: Other Accounts Payable (Nonoperating)		(I)			0
LESS: _____		(J)			0
Unreserved Fund Balance, 07/01/22	0	(K)	0		0 **

Notes:
 *SWFS = Statewide Financial Statement
 ** This amount should agree with Line I, Section IV of the Schedule I for the most recent completed fiscal year and Line A for the following year.

RECONCILIATION: BEGINNING TRIAL BALANCE TO SCHEDULE I and IC

Budget Period: 2023 - 2024

Department Title: Florida Commission on Offender Review
Trust Fund Title: Federal Grants Trust Fund
LAS/PBS Fund Number: 2261

BEGINNING TRIAL BALANCE:

Total Fund Balance Per FLAIR Trial Balance, 07/01/22
Total all GLC's 5XXXX for governmental funds; (A)
GLC 539XX for proprietary and fiduciary funds

Subtract Nonspendable Fund Balance (GLC 56XXX) (B)

Add/Subtract Statewide Financial Statement (SWFS) Adjustments :

SWFS Adjustment # and Description (C)

SWFS Adjustment # and Description (C)

Add/Subtract Other Adjustment(s):

Approved "B" Carry Forward (Encumbrances) per LAS/PBS (D)

Approved FCO Certified Forward per LAS/PBS (D)

A/P not C/F-Operating Categories (D)

(D)

(D)

(D)

ADJUSTED BEGINNING TRIAL BALANCE: (E)

UNRESERVED FUND BALANCE, SCHEDULE IC (Line K) (F)

DIFFERENCE: (G)*

***SHOULD EQUAL ZERO.**

SCHEDULE VI: DETAIL OF DEBT SERVICE

Department: Florida Commission on Offender Review **Budget Period 2023-24**
Budget Entity: _____

(1)	(2)	(3)	(4)
	ACTUAL	ESTIMATED	REQUEST
<u>SECTION I</u>	FY 2021-22	FY 2022-23	FY 2023-24
Interest on Debt (A)	N/A	N/A	N/A
Principal (B)	N/A	N/A	N/A
Repayment of Loans (C)	N/A	N/A	N/A
Fiscal Agent or Other Fees (D)	N/A	N/A	N/A
Other Debt Service (E)	N/A	N/A	N/A
Total Debt Service (F)	N/A	N/A	N/A

Explanation: _____

SECTION II

ISSUE: _____

(1)	(2)	(3)	(4)	(5)
INTEREST RATE	MATURITY DATE	ISSUE AMOUNT	JUNE 30, 20__	JUNE 30, 20__
(6)	(7)	(8)	(9)	(9)
	ACTUAL	ESTIMATED	REQUEST	
	FY 2021-22	FY 2022-23	FY 2023-24	
Interest on Debt (G)	N/A	N/A	N/A	N/A
Principal (H)	N/A	N/A	N/A	N/A
Fiscal Agent or Other Fees (I)	N/A	N/A	N/A	N/A
Other (J)	N/A	N/A	N/A	N/A
Total Debt Service (K)	N/A	N/A	N/A	N/A

ISSUE: _____

INTEREST RATE	MATURITY DATE	ISSUE AMOUNT	JUNE 30, 20__	JUNE 30, 20__
(6)	(7)	(8)	(9)	(9)
	ACTUAL	ESTIMATED	REQUEST	
	FY 2021-22	FY 2022-23	FY 2023-24	
Interest on Debt (G)	N/A	N/A	N/A	N/A
Principal (H)	N/A	N/A	N/A	N/A
Fiscal Agent or Other Fees (I)	N/A	N/A	N/A	N/A
Other (J)	N/A	N/A	N/A	N/A
Total Debt Service (K)	N/A	N/A	N/A	N/A

SCHEDULE IX: MAJOR AUDIT FINDINGS AND RECOMMENDATIONS

Budget Period: 2023-24

Department: Florida Commission on Offender Review

Chief Internal Auditor: Gina Giacomo

Budget Entity: 780100

Phone Number: (850) 488-3415

(1)	(2)	(3)	(4)	(5)	(6)
REPORT NUMBER	PERIOD ENDING	UNIT/AREA	SUMMARY OF FINDINGS AND RECOMMENDATIONS	SUMMARY OF CORRECTIVE ACTION TAKEN	ISSUE CODE
A22014	July 2022	Commission on Offender Review's Memorandum of Understanding with the Department of Highway Safety and Motor Vehicles for the use of the Driver and Vehicle Information Database.	<p>Finding: FCOR could not validate one employee's use of DAVID was in compliance with the terms of MOU. For one of the ten FCOR users selected for review by audit staff, FCOR could not locate records of 8 of 20 DAVID searches during the week of February 24, 2020 through February 28, 2020. The MOU states that all searches in the DAVID database be for the purposes of carrying out its statutorily mandatred duties and functions. FCOR's management stated the corrobortive files had been deleted. Absent corrobortive documentation, there is no assurance theses searches were for official FCOR business.</p> <p>Recomendation: We recommend FCOR maintain documentation to support all DAVID searches were to carry out statutorily mandated duties and functions.</p>	<p>FCOR has taken the following corrective measures:</p> <ol style="list-style-type: none"> 1. The Victim's Services staff maintain documentation to support all DAVID searches to carry out its statutorily mandated duties and functions. 2. The Victim's Services Desk Manual and the Victim's Procedure Directive 3.01.05 have been revised. 3. FCOR has sent electronic mail notifications to all FCOR current DAVID users as a reminder when using DAVID, it is essential to be able to access and locate searched information for audit or research purposes. All DAVID users must be able to confirm searches and the relation to FCOR business. 	

FL037055G	February 2022	Federal Criminal Justice System Full Access Audit	<p>Finding 1: Auditors stated that law enforcement must be able to confirm a warrant over the phone without accessing NCIC/FCIC.</p> <p>Recommendation: Auditors stated FCOR should train staff and update their procedure directive to reflect the requirement.</p>	<p>FCOR has taken the following corrective measures:</p> <ol style="list-style-type: none"> 1. A list of all FCOR warrants was sent on April 19, 2022 to FDLE to perform a mass update of all active warrants miscellaneous field entered by FCOR's ORI to include the new phrase (M-F 8AM-5PM EST CALL 850-488-0611; OFF HOURS, HOLIDAY/WEEKENDS CALL 850-922-6867). FDLE completed the mass load. 2. FCOR has sent electronic email to revocation staff requiring them to enter FDC's EAC phone number. <p>FCOR is in the process of updating their procedure directive.</p>
			<p>Finding 2: Auditors stated that FCOR should have a written agreement with the FDC, that states FDC has agreed to confirm warrant hits after hours, weekends, and holidays.</p> <p>Recommendation: Update the MOU with FDC to include that FDC has agreed to confirm warrant hits after hours, weekends, and holidays.</p>	<p>FCOR is in the process of updating the MOU with FDC.</p>
			<p>Finding 3: Auditors stated that all agencies should have a REASON code when viewing NCIC/FCIC information and the Reason code can be placed in the ATTENTION FIELD.</p> <p>Recommendation: Auditors stated FCOR should train staff update their procedure directive to reflect the requirement.</p>	<p>FCOR has sent electronic email to all FCOR staff who use NCIC/FCIC staff requiring them to use specific Reason Codes.</p> <p>FCOR is in the process of updating their procedure directive.</p>

		<p>4) Auditors found Scars, Mark, and Tattoos (SMT) missing from the warrant entries. FCOR should ensure Revocations staff are trained on how to enter SMT and also should direct them to always enter SMT with all warrant, if applicable.</p> <p>Recommendation: Auditors stated FCOR should train staff and update their procedure directive to reflect the requirement.</p>	<p>FCOR is in the process of updating their procedure directive.</p>	
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Fiscal Year 2023-24 LBR Technical Review Checklist

Department/Budget Entity (Service): Florida Commission on Offender Review

Agency Budget Officer/OPB Analyst Name: Karen Carter/Brandon McCaffery

A "Y" indicates "YES" and is acceptable, an "N/J" indicates "NO/Justification Provided" - these require further explanation/justification (additional sheets can be used as necessary), and "TIPS" are other areas to consider.

	Program or Service (Budget Entity Codes)				
Action	78	01	00	00	00

1. GENERAL

1.1 Are Columns A01, A04, A05, A91, A92, A93, A94, A95, A96, A36, A10, IA1, IA4, IA5, IA6, IP1, IV1, IV3 and NV1 set to TRANSFER CONTROL for DISPLAY status and MANAGEMENT CONTROL for UPDATE status for both the Budget and Trust Fund columns (no trust fund files for narrative columns)? Is Column A02 set to TRANSFER CONTROL for DISPLAY status and MANAGEMENT CONTROL for UPDATE status for the Trust Fund Files (the Budget Files should already be on TRANSFER CONTROL for DISPLAY and MANAGEMENT CONTROL for UPDATE)? Are Columns A06, A07, A08 and A09 for Fixed Capital Outlay (FCO) set to TRANSFER CONTROL for DISPLAY status only (UPDATE status remains on OWNER)? (CSDI or Web LBR Column Security)	Yes				
1.2 Is Column A03 set to TRANSFER CONTROL for DISPLAY and UPDATE status for both the Budget and Trust Fund columns? (CSDI)	Yes				

AUDITS:

1.3 Have Column A03 budget files been copied to Column A12? Run the Exhibit B Audit Comparison Report to verify. (EXBR, EXBA)	Yes				
1.4 Have Column A03 trust fund files been copied to Column A12? Run Schedule I (SC1R, SC1 or SC1R, SC1D adding column A12) to verify.	Yes				
1.5 Has Column A12 security been set correctly to ALL for DISPLAY status and MANAGEMENT CONTROL for UPDATE status for Budget and Trust Fund files? (CSDR, CSA)	Yes				

TIP The agency should prepare the budget request for submission in this order: 1) Copy Column A03 to Column A12, and 2) Lock columns as described above. A security control feature included in the LAS/PBS Web upload process requires columns to be in the proper status before uploading to the portal.

2. EXHIBIT A (EADR, EXA)

2.1 Is the budget entity authority and description consistent with the agency's LRPP and does it conform to the directives provided on page 56 of the LBR Instructions?	Yes				
2.2 Are the statewide issues generated systematically (estimated expenditures, nonrecurring expenditures, etc.) included?	Yes				
2.3 Are the issue codes and titles consistent with <i>Section 3</i> of the LBR Instructions (pages 14 through 27)? Do they clearly describe the issue?	Yes				

Fiscal Year 2023-24 LBR Technical Review Checklist

Department/Budget Entity (Service): Florida Commission on Offender Review

Agency Budget Officer/OPB Analyst Name: Karen Carter/Brandon McCaffery

A "Y" indicates "YES" and is acceptable, an "N/J" indicates "NO/Justification Provided" - these require further explanation/justification (additional sheets can be used as necessary), and "TIPS" are other areas to consider.

	Program or Service (Budget Entity Codes)				
Action	78	01	00	00	00

3. EXHIBIT B (EXBR, EXB)

3.1 Is it apparent that there is a fund shift where an appropriation category's funding source is different between A02 and A03? Were the issues entered into LAS/PBS correctly? Check D-3A funding shift issue 340XXX0 - a unique deduct and unique add back issue should be used to ensure fund shifts display correctly on the LBR exhibits.	Yes				
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AUDITS:

3.2 Negative Appropriation Category Audit for Agency Request (Columns A03 and A04): Are all appropriation categories positive by budget entity and program component at the FSI level? Are all nonrecurring amounts less than requested amounts? (NACR, NAC - Report should print "No Negative Appropriation Categories Found")	Yes				
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3.3 Current Year Estimated Verification Comparison Report: Is Column A02 equal to Column B07? (EXBR, EXBC - Report should print "Records Selected Net To Zero")	Yes				
--	-----	--	--	--	--

TIP Generally look for and be able to fully explain significant differences between A02 and A03.

TIP Exhibit B - A02 equal to B07: Compares Current Year Estimated column to a backup of A02. This audit is necessary to ensure that the historical detail records have not been adjusted. Records selected should net to zero.

TIP Requests for appropriations which require advance payment authority must use the sub-title "Grants and Aids". For advance payment authority to local units of government, the Aid to Local Government appropriation category (05XXXX) should be used. For advance payment authority to non-profit organizations or other units of state government, a Special Categories appropriation category (10XXXX) should be used.

4. EXHIBIT D (EADR, EXD)

4.1 Is the program component objective statement consistent with the agency LRPP, and does it conform to the directives provided on page 59 of the LBR Instructions?	Yes				
--	-----	--	--	--	--

4.2 Is the program component code and title used correct?	Yes				
---	-----	--	--	--	--

TIP Fund shifts or transfers of services or activities between program components will be displayed on an Exhibit D whereas it may not be visible on an Exhibit A.

5. EXHIBIT D-1 (ED1R, EXD1)

5.1 Are all object of expenditures positive amounts? (This is a manual check.)	Yes				
--	-----	--	--	--	--

AUDITS:

5.2 Do the fund totals agree with the object category totals within each appropriation category? (ED1R, XD1A - Report should print "No Differences Found For This Report")	Yes				
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Fiscal Year 2023-24 LBR Technical Review Checklist

Department/Budget Entity (Service): Florida Commission on Offender Review

Agency Budget Officer/OPB Analyst Name: Karen Carter/Brandon McCaffery

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	Program or Service (Budget Entity Codes)				
Action	78	01	00	00	00

5.3 FLAIR Expenditure/Appropriation Ledger Comparison Report: Is Column A01 less than Column B04? (EXBR, EXBB - Negative differences [with a \$5,000 allowance] need to be corrected in Column A01.)	Yes				
5.4 A01/State Accounts Disbursements and Carry Forward Comparison Report: Does Column A01 equal Column B08? (EXBR, EXBD - Differences [with a \$5,000 allowance at the department level] need to be corrected in Column A01.)	Yes				
TIP If objects are negative amounts, the agency must make adjustments to Column A01 to correct the object amounts. In addition, the fund totals must be adjusted to reflect the adjustment made to the object data.					
TIP If fund totals and object totals do not agree or negative object amounts exist, the agency must adjust Column A01.					
TIP Exhibit B - A01 less than B04: This audit is to ensure that the disbursements and carry/certifications forward in A01 are less than FY 2021-22 approved budget. Amounts should be positive. The \$5,000 allowance is necessary for rounding.					
TIP If B08 is not equal to A01, check the following: 1) the initial FLAIR disbursements or carry forward data load was corrected appropriately in A01; 2) the disbursement data from departmental FLAIR was reconciled to State Accounts; and 3) the FLAIR disbursements did not change after Column B08 was created. Note that there is a \$5,000 allowance at the department level.					

6. EXHIBIT D-3 (ED3R, ED3) (Not required in the LBR - for analytical purposes only.)

6.1 Are issues appropriately aligned with appropriation categories?	Yes				
TIP Exhibit D-3 is not required in the budget submission but may be needed for this particular appropriation category/issue sort. Exhibit D-3 is also a useful report when identifying negative appropriation category problems.					

7. EXHIBIT D-3A (EADR, ED3A) (Required to be posted to the Florida Fiscal Portal)

7.1 Are the issue titles correct and do they clearly identify the issue? (See pages 15 through 27 of the LBR Instructions.)	Yes				
7.2 Does the issue narrative adequately explain the agency's request and is the explanation consistent with the LRPP? (See pages 64 through 69 of the LBR Instructions.)	Yes				
7.3 Does the narrative for Information Technology (IT) issue follow the additional narrative requirements described on pages 66 through 69 of the LBR Instructions?	Yes				
7.4 Are all issues with an IT component identified with a "Y" in the "IT COMPONENT?" field? If the issue contains an IT component, has that component been identified and documented?	Yes				

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		78	01	00	00	00
	Action					
7.5	Does the issue narrative explain any variances from the Standard Expense and Human Resource Services Assessments package? Is the nonrecurring portion in the nonrecurring column? (See pages E.4 through E.5 of the LBR Instructions.)	N/A				
7.6	Does the salary rate request amount accurately reflect any new requests and are the amounts proportionate to the Salaries and Benefits request? Note: Salary rate should always be annualized.	Yes				
7.7	Does the issue narrative thoroughly explain/justify all Salaries and Benefits amounts entered into the Other Salary Amounts transactions (OADA/C)? Amounts entered into OAD are reflected in the Position Detail of Salaries and Benefits section of the Exhibit D-3A. (See pages 93 through 92 of the LBR Instructions.)	Yes				
7.8	Does the issue narrative include the Consensus Estimating Conference forecast, where appropriate?	N/A				
7.9	Does the issue narrative reference the specific county(ies) where applicable?	N/A				
7.10	Do the 160XXX0 issues reflect budget amendments that have been approved (or in the process of being approved) and that have a recurring impact (including Lump Sums)? Have the approved budget amendments been entered in Column A18 as instructed in Memo #23-003?	N/A				
7.11	When appropriate are there any 160XXX0 issues included to delete positions placed in reserve in the LAS/PBS Position and Rate Ledger (e.g. unfunded grants)? Note: Lump sum appropriations not yet allocated should <u>not</u> be deleted. (PLRR, PLMO)	N/A				
7.12	Does the issue narrative include plans to satisfy additional space requirements when requesting additional positions?	No				
7.13	Has the agency included a 160XXX0 issue and 210XXXX and 260XXX0 issues as required for lump sum distributions?	N/A				
7.14	Do the amounts reflect appropriate FSI assignments?	Yes				
7.15	Are the 33XXXX0 issues negative amounts only and do not restore nonrecurring cuts from a prior year or fund any issues that net to a positive or zero amount? Check D-3A issues 33XXXX0 - a unique issue should be used for issues that net to zero or a positive amount.	Yes				
7.16	Do the issue codes relating to special <i>salary and benefits</i> issues (e.g., position reclassification, pay grade adjustment, overtime/on-call pay, etc.) have an "A" in the fifth position of the issue code (XXXXAXX) and are they self-contained (not combined with other issues)? (See pages 26 and 88 of the LBR Instructions.)	Yes				

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Action	78	01	00	00	00

7.17 Do the issues relating to <i>Information Technology (IT)</i> have a "C" in the sixth position of the issue code (36XXXXCX) and are the correct issue codes used (361XXC0, 362XXC0, 363XXC0, 24010C0, 30010C0, 33011C0, 160E470, or 160E480)?	Yes				
7.18 Are the issues relating to <i>major audit findings and recommendations</i> properly coded (4A0XXX0, 4B0XXX0)?	N/A				
7.19 Does the issue narrative identify the strategy or strategies in the Five Year Statewide Strategic Plan for Economic Development?	Yes				
AUDIT:					
7.20 Does the General Revenue for 160XXXX (Adjustments to Current Year Expenditures) issues net to zero? (GENR, LBR1)	N/A				
7.21 Does the General Revenue for 180XXXX (Intra-Agency Reorganizations) issues net to zero? (GENR, LBR2)	N/A				
7.22 Does the General Revenue for 200XXXX (Estimated Expenditures Realignment) issues net to zero? (GENR, LBR3)	N/A				
7.23 Have FCO appropriations been entered into the nonrecurring column (A04)? (GENR, LBR4 - Report should print "No Records Selected For Reporting" or a listing of D-3A issue(s) assigned to Debt Service (IOE N) or in some cases State Capital Outlay - Public Education Capital Outlay (IOE L))	N/A				
7.24 Has narrative been entered for all issues requested by the agency? Agencies do not need to include narrative for startup issues (1001000, 2103XXX, etc.) that were not input by the agency. (NAAR, BSNR)	Yes				
7.25 Has the agency entered annualization issues (260XXX0) for any issue that was partially funded in Fiscal Year 2022-23? Review Column G66 to determine whether any incremental amounts are needed to fully fund an issue that was initially appropriated in Fiscal Year 2022-23. Do not add annualization issues for pay and benefit distribution issues, as those annualization issues (26AXXXX) have already been added to A03.	N/A				
TIP Salaries and Benefits amounts entered using the OADA/C transactions must be thoroughly justified in the D-3A issue narrative. Agencies can run OADA/OADR from STAM to identify the amounts entered into OAD and ensure these entries have been thoroughly explained in the D-3A issue narrative.					
TIP The issue narrative must completely and thoroughly explain and justify each D-3A issue. Agencies must ensure it provides the information necessary for the OPB and legislative analysts to have a complete understanding of the issue submitted. Thoroughly review pages 64 through 69 of the LBR Instructions.					

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Action	78	01	00	00	00

TIP	Check BAPS to verify status of budget amendments. Check for reapprovals not picked up in the General Appropriations Act. Verify that Lump Sum appropriations in Column A02 do not appear in Column A03. Review budget amendments to verify that 160XXX0 issue amounts correspond accurately and net to zero for General Revenue funds.	
TIP	If an agency is receiving federal funds from another agency the FSI should = 9 (Transfer - Recipient of Federal Funds). The agency that originally receives the funds directly from the federal agency should use FSI = 3 (Federal Funds).	
TIP	If an appropriation made in the FY 2022-23 General Appropriations Act duplicates an appropriation made in substantive legislation, the agency must create a unique deduct nonrecurring issue to eliminate the duplicated appropriation. Normally this is taken care of through line item veto.	

**8. SCHEDULE I & RELATED DOCUMENTS (SC1R, SC1 - Budget Entity Level or SC1R, SC1D - Department Level)
(Required to be posted to the Florida Fiscal Portal)**

8.1	Has a separate department level Schedule I and supporting documents package been submitted by the agency?	Yes			
8.2	Has a Schedule I and Schedule IB been completed in LAS/PBS for each operating trust fund?	Yes			
8.3	Have the appropriate Schedule I supporting documents been included for the trust funds (Schedule IA, Schedule IC, and Reconciliation to Trial Balance)?	Yes			
8.4	Have the Examination of Regulatory Fees Part I and Part II forms been included for the applicable regulatory programs?	N/A			
8.5	Have the required detailed narratives been provided (5% trust fund reserve narrative; method for computing the distribution of cost for general management and administrative services narrative; adjustments narrative; revenue estimating methodology narrative; fixed capital outlay adjustment narrative)?	Yes			
8.6	Has the Inter-Agency Transfers Reported on Schedule I form been included as applicable for transfers totaling \$100,000 or more for the fiscal year?	N/A			
8.7	If the agency is scheduled for the annual trust fund review this year, have the Schedule ID and applicable draft legislation been included for recreation, modification or termination of existing trust funds?	N/A			
8.8	If the agency is scheduled for the annual trust fund review this year, have the necessary trust funds been requested for creation pursuant to section 215.32(2)(b), Florida Statutes - including the Schedule ID and applicable legislation?	N/A			

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Action	78	01	00	00	00

8.9 Are the revenue codes correct? In the case of federal revenues, has the agency appropriately identified direct versus indirect receipts (object codes 000700, 000750, 000799, 001510 and 001599)? For non-grant federal revenues, is the correct revenue code identified (codes 000504, 000119, 001270, 001870, 001970)?	Yes				
8.10 Are the statutory authority references correct?	Yes				
8.11 Are the General Revenue Service Charge percentage rates used for each revenue source correct? (Refer to section 215.20, Florida Statutes, for appropriate General Revenue Service Charge percentage rates.)	Yes				
8.12 Is this an accurate representation of revenues based on the most recent Consensus Estimating Conference forecasts?	N/A				
8.13 If there is no Consensus Estimating Conference forecast available, do the revenue estimates appear to be reasonable?	Yes				
8.14 Are the federal funds revenues reported in Section I broken out by individual grant? Are the correct CFDA codes used?	Yes				
8.15 Are anticipated grants included and based on the state fiscal year (rather than federal fiscal year)?	N/A				
8.16 Are the Schedule I revenues consistent with the FSI's reported in the Exhibit D-3A?	N/A				
8.17 If applicable, are nonrecurring revenues entered into Column A04?	N/A				
8.18 Has the agency certified the revenue estimates in columns A02 and A03 to be the latest and most accurate available? Does the certification include a statement that the agency will notify OPB of any significant changes in revenue estimates that occur prior to the Governor's Budget Recommendations being issued?	Yes				
8.19 Is a 5% trust fund reserve reflected in Section II? If not, is sufficient justification provided for exemption? Are the additional narrative requirements provided?	N/A				
8.20 Are appropriate General Revenue Service Charge nonoperating amounts included in Section II?	N/A				
8.21 Are nonoperating expenditures to other budget entities/departments cross-referenced accurately?	Yes				
8.22 Do transfers balance between funds (within the agency as well as between agencies)? (See also 8.6 for required transfer confirmation of amounts totaling \$100,000 or more.)	N/A				
8.23 Are nonoperating expenditures recorded in Section II and adjustments recorded in Section III?	Yes				
8.24 Are prior year September operating reversions appropriately shown in column A01, Section III?	N/A				

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Action	78	01	00	00	00

8.25 Are current year September operating reversions (if available) appropriately shown in column A02, Section III?	N/A				
8.26 Does the Schedule IC properly reflect the unreserved fund balance for each trust fund as defined by the LBR Instructions, and is it reconciled to the agency accounting records?	Yes				
8.27 Has the agency analyzed for continuing appropriations (category 13XXXX) and properly accounted for in the appropriate column(s) in Section III?	N/A				
8.28 Does Column A01 of the Schedule I accurately represent the actual prior year accounting data as reflected in the agency accounting records, and is it provided in sufficient detail for analysis?	Yes				
8.29 Does Line I of Column A01 (Schedule I) equal Line K of the Schedule IC?	Yes				

AUDITS:

8.30 Is Line I a positive number? (If not, the agency must adjust the budget request to eliminate the deficit).	Yes				
8.31 Is the June 30 Adjusted Unreserved Fund Balance (Line I) equal to the July 1 Unreserved Fund Balance (Line A) of the following year? If a Schedule IB was prepared, do the totals agree with the Schedule I, Line I? (SC1R, SC1A - Report should print "No Discrepancies Exist For This Report")	Yes				
8.32 Has a Department Level Reconciliation been provided for each trust fund and does Line A of the Schedule I equal the CFO amount? If not, the agency must correct Line A. (SC1R, DEPT)	Yes				
8.33 Has a Schedule IB been provided for ALL trust funds having an unreserved fund balance in columns A01, A02 and/or A03, and if so, does each column's total agree with line I of the Schedule I?	Yes				
8.34 Have A/R been properly analyzed and any allowances for doubtful accounts been properly recorded on the Schedule IC?	Yes				

TIP The Schedule I is the most reliable source of data concerning the trust funds. It is very important that this schedule is as accurate as possible!					
TIP Determine if the agency is scheduled for trust fund review. (See pages 124 through 126 of the LBR Instructions.) Transaction DFTR in LAS/PBS is also available and provides an LBR review date for each trust fund.					
TIP Review the unreserved fund balances and compare revenue totals to expenditure totals to determine and understand the trust fund status.					
TIP Typically nonoperating expenditures and revenues should not be a negative number. Any negative numbers must be fully justified.					

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Action	78	01	00	00	00

9. SCHEDULE II (PSCR, SC2)

AUDIT:					
9.1 Is the pay grade minimum for salary rate utilized for positions in segments 2 and 3? (BRAR, BRAA - Report should print "No Records Selected For This Request") Note: Amounts other than the pay grade minimum should be fully justified in the D-3A issue narrative. (See <i>Base Rate Audit</i> on page 155 of the LBR Instructions.)					
	Yes				

10. SCHEDULE III (PSCR, SC3)

10.1 Is the appropriate lapse amount applied? (See page 90 of the LBR Instructions.)					
	Yes				
10.2 Are amounts in <i>Other Salary Amount</i> appropriate and fully justified? (See pages 93 through 94 of the LBR Instructions for appropriate use of the OAD transaction.) Use OADI or OADR to identify agency other salary amounts requested.					
	N/A				

11. SCHEDULE IV (EADR, SC4)

11.1 Are the correct Information Technology (IT) issue codes used?					
	Yes				
TIP If IT issues are not coded (with "C" in 6th position or within a program component of 1603000000), they will not appear in the Schedule IV.					

12. SCHEDULE VIIIA (EADR, SC8A)

12.1 Is there only one #1 priority, one #2 priority, one #3 priority, etc. reported on the Schedule VIII-A? Are the priority narrative explanations adequate? Note: FCO issues can be included in the priority listing.					
	Yes				

13. SCHEDULE VIIIB-1 (EADR, S8B1)

13.1 NOT REQUIRED FOR THIS YEAR					
TIP If all or a portion of an issue is intended to be reduced on a nonrecurring basis, include the total reduction amount in Column A91 and the nonrecurring portion in Column A92.					

14. SCHEDULE VIIIB-2 (EADR, S8B2) (Required to be posted to the Florida Fiscal Portal)

14.1 Do the reductions comply with the instructions provided on pages 99 through 102 of the LBR Instructions regarding a 10% reduction in General Revenue and Trust Funds, including the verification that the 33BXXX0 issue has NOT been used? Verify that excluded appropriation categories and funds were not used (e.g. funds with FSI 3 and 9, etc.)					
	Yes				
TIP Compare the debt service amount requested (IOE N or other IOE used for debt service) with the debt service need included in the Schedule VI: Detail of Debt Service, to determine whether any debt has been retired and may be reduced.					
TIP If all or a portion of an issue is intended to be reduced on a nonrecurring basis, in the absence of a nonrecurring column, include that intent in narrative.					

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15. SCHEDULE VIII C (EADR, S8C) (NO LONGER REQUIRED)

16. SCHEDULE XI (UCSR, SCXI) (LAS/PBS Web - see pages 104-108 of the LBR Instructions for detailed instructions) (Required to be posted to the Florida Fiscal Portal in Manual Documents)

16.1 Agencies are required to generate this spreadsheet via the LAS/PBS Web. The Final Excel version no longer has to be submitted to OPB for inclusion on the Governor's Florida Performs Website. (Note: Pursuant to section 216.023(4) (b), Florida Statutes, the Legislature can reduce the funding level for any agency that does not provide this information.)					
	Yes				
16.2 Do the PDF files uploaded to the Florida Fiscal Portal for the LRPP and LBR match?					
	Yes				

AUDITS INCLUDED IN THE SCHEDULE XI REPORT:

16.3 Does the FY 2021-22 Actual (prior year) Expenditures in Column A36 reconcile to Column A01? (GENR, ACT1)					
	Yes				
16.4 None of the executive direction, administrative support and information technology statewide activities (ACT0010 thru ACT0490) have output standards (Record Type 5)? (Audit #1 should print "No Activities Found")					
	Yes				
16.5 Does the Fixed Capital Outlay (FCO) statewide activity (ACT0210) only contain 08XXXX or 14XXXX appropriation categories? (Audit #2 should print "No Operating Categories Found")					
	N/A				
16.6 Has the agency provided the necessary standard (Record Type 5) for all activities which <u>should</u> appear in Section II? (Note: The activities listed in Audit #3 do not have an associated output standard. In addition, the activities were not identified as a Transfer to a State Agency, as Aid to Local Government, or a Payment of Pensions, Benefits and Claims. Activities listed here should represent transfers/pass-throughs that are not represented by those above or administrative costs that are unique to the agency and are not appropriate to be allocated to all other activities.)					
	Yes				
16.7 Does Section I (Final Budget for Agency) and Section III (Total Budget for Agency) equal? (Audit #4 should print "No Discrepancies Found")					
	No				
TIP If Section I and Section III have a small difference, it may be due to rounding and therefore will be acceptable.					

17. MANUALLY PREPARED EXHIBITS & SCHEDULES (Required to be posted to the Florida Fiscal Portal)

17.1 Do exhibits and schedules comply with LBR Instructions (pages 52 through 109 of the LBR Instructions), and are they accurate and complete?					
	Yes				
17.2 Does manual exhibits tie to LAS/PBS where applicable?					
	Yes				

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17.3 Are agency organization charts (Schedule X) provided and at the appropriate level of detail?	Yes				
17.4 Does the LBR include a separate Schedule IV-B for each IT project over \$1 million (see page 128 of the LBR instructions for exceptions to this rule)? Have all IV-Bs been emailed to: IT@LASPBS.STATE.FL.US ?	N/A				
17.5 Are all forms relating to Fixed Capital Outlay (FCO) funding requests submitted in the proper form, including a Truth in Bonding statement (if applicable) ?	Yes				

AUDITS - GENERAL INFORMATION

TIP Review <i>Section 6: Audits</i> of the LBR Instructions (pages 154 through 156) for a list of audits and their descriptions.					
TIP Reorganizations may cause audit errors. Agencies must indicate that these errors are due to an agency reorganization to justify the audit error.					

18. CAPITAL IMPROVEMENTS PROGRAM (CIP) (Required to be posted to the Florida Fiscal Portal)

18.1 Are the CIP-2, CIP-3, CIP-A and CIP-B forms included?	Yes				
18.2 Are the CIP-4 and CIP-5 forms submitted when applicable (see CIP Instructions)?	Yes				
18.3 Do all CIP forms comply with CIP Instructions where applicable (see CIP Instructions)?	Yes				
18.4 Does the agency request include 5 year projections (Columns A03, A06, A07, A08 and A09)?	N/A				
18.5 Are the appropriate counties identified in the narrative?	N/A				
18.6 Has the CIP-2 form (Exhibit B) been modified to include the agency priority for each project and the modified form saved as a PDF document?	N/A				
TIP Requests for Fixed Capital Outlay appropriations which are Grants and Aids to Local Governments and Non-Profit Organizations must use the Grants and Aids to Local Governments and Non-Profit Organizations - Fixed Capital Outlay major appropriation category (140XXX) and include the sub-title "Grants and Aids". These appropriations utilize a CIP-B form as justification.					

19. FLORIDA FISCAL PORTAL

19.1 Have all files been assembled correctly and posted to the Florida Fiscal Portal as outlined in the Florida Fiscal Portal Submittal Process?	Yes				
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