



Quarterly Report on Agency Services to Floridians with Developmental Disabilities and Their Costs

Second Quarter Fiscal Year 2013-14
(October, November, December)

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Director

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Governor

Introduction

Each month, the **Agency for Persons with Disabilities (APD)** serves approximately 29,500 people across Florida through Medicaid waivers administered by the agency providing supports to help individuals with developmental disabilities to live, learn and work in their communities. These individuals have autism, intellectual disability, spina bifida, cerebral palsy, Down syndrome (as of July 1, 2011), Prader-Willi syndrome, or are children ages 3 to 5 who are at high risk of being diagnosed with a developmental disability.

To meet the needs of the diverse population it serves, APD offers a wide array of services for individuals who are living in their own homes, family homes, or in licensed group facilities. Some of the 27 services currently provided by the agency include life skills development (levels 1, 2 and 3), occupational therapy, behavior analysis, adaptive and medical equipment, and physical therapy.

From October through December 2013, an average of about 1,000 people on the Wait List for waiver services received General Revenue and Social Services Block Grant (SSBG) services through the agency, and more than 11,000 received some state services through the Medicaid State Plan if the individual was Medicaid eligible. For these individuals, the waiver services that are typically needed are respite, behavior services and some adaptive equipment. The remaining 10,100 people on the Wait List for waiver services did not receive any services through APD or the Medicaid State Plan.

Historical Overview

On October 15, 2008, the agency implemented a four tiered waiver system as mandated by the Florida Legislature. The four tier system consisted of four separate waivers, with 3 of the tiers maintaining a financial cap. The Developmental Disabilities Home and Community Based Services Tier Waiver system replaced the Developmental Disabilities Waiver and the Family and Supported Living Waiver. Most agency clients were not subject to reductions in service as a result of the tier waiver system, but for some it meant the state would not pay as much for services as in past years. The agency's goal was to ensure the health and safety of people served by APD while adjustments were made to control and reduce costs.

On May 1, 2011, the agency began implementation of the new Developmental Disabilities Home & Community Based Services Individual Budgeting Waiver known as iBudget Florida. The iBudget Florida waiver uses an individual budgeting approach and is intended to enhance the simplicity, sustainability, and equity of the system while also increasing individuals' opportunities for self-direction. As of July 1, 2013 APD transitioned waiver clients to the iBudget Florida waiver statewide, completing the phasing out of the Tier Waiver system.

Please share with us any comments or suggestions you have regarding this report. APD's Chief of Staff, Michael Ayers, may be reached at 850-414-8916.

Glossary of Terms Used in Report

APD - Agency for Persons with Disabilities

CDC+ Program - Consumer-Directed Care Plus Program

iBudget Waiver - Developmental Disabilities Individual Budgeting Home & Community Based Services Waiver

IFS - Individual and Family Supports

This report is prepared and distributed pursuant to section 393.0661(9), Florida Statutes.

“The Agency for Persons with Disabilities shall submit quarterly status reports to the Executive Office of the Governor, the chair of the Senate Ways and Means Committee or its successor, and the chair of the House Fiscal Council or its successor regarding the financial status of home and community-based services, including the number of enrolled individuals who are receiving services through one or more programs; the number of individuals who have requested services who are not enrolled but who are receiving services through one or more programs, with a description indicating the programs from which the individual is receiving services; the number of individuals who have refused an offer of services but who choose to remain on the list of individuals waiting for services; the number of individuals who have requested services but who are receiving no services; a frequency distribution indicating the length of time individuals have been waiting for services; and information concerning the actual and projected costs compared to the amount of the appropriation available to the program and any projected surpluses or deficits...”

1. Services Received by Waiver Enrollees

Tables 1a, 1b, 1c and 1d provide information on services received by persons enrolled in APD waivers.

Table 1a: Waiver Enrollment and Payments

Month	iBudget CDC+		iBudget		All Waivers	
	Enrolled Clients**	Total Waiver Payments	Enrolled Clients**	Total Waiver Payments	Enrolled Clients**	Total Waiver Payments *
Oct-13	1,887	\$4,708,624.49	27,543	\$68,803,423.26	29,430	\$73,512,047.75
Nov-13	1,951	\$4,677,370.84	27,534	\$64,177,477.88	29,485	\$68,854,848.72
Dec-13	1,940	\$4,616,012.99	27,712	\$60,084,763.76	29,652	\$64,700,776.75

* Since waiver payments are reported in this table by month of payment rather than by month of service, clients may show claims payments simultaneously under multiple waivers.

**As of the first day of the month.

Source: Allocation, Budget, and Contracts (ABC) Database and Medicaid HP Data Warehouse as of February 1, 2014.

Table 1b summarizes types of services received by waiver enrollees. In addition to iBudget Florida waiver, individuals may receive services through the Consumer-Directed Care Plus (CDC+) Program, offered as an option under the Medicaid State Plan. The CDC+ Program offers comparable services to the waivers, but it allows greater flexibility and more choice in client selection of providers and services. Table 1b also includes two types of services funded by APD that are not part of Medicaid: Individual and Family Supports (IFS) and Room and Board. The former, paid from General Revenue and the Social Services Block Grant, comprises services to persons not eligible for waiver services, services to persons waiting for waiver enrollment, and non-waiver services to persons enrolled in a waiver. Room and Board, paid entirely from General Revenue, provides payment to residential providers for clients with identified support and income needs.

Table 1b: Types of Services Received by Waiver-Enrolled Clients

Service Month	Client Counts by Service Category for Billed Services				
	iBudget CDC+	iBudget	IFS	Room/Board	Client Total*
Oct-13	1,935	26,722	416	371	28,675
Nov-13	1,940	26,571	398	327	28,532
Dec-13	1,945	26,203	371	256	28,180

*Clients are counted only once regardless of the number of different services they received. Based on historical payment patterns, waiver and General Revenue services are undercounted due to the anticipated unsubmitted claims for the reported service months as of the database effective date.

Source: ABC Database and Medicaid HP Data Warehouse as of February 1, 2014.

1. Services Received by Waiver Enrollees (continued)

In addition to the services cited above, many waiver enrollees receive Medicaid State Plan services. Table 1c summarizes the number and percent of waiver enrollees who use these services.

Table 1c: Clients Using Medicaid State Plan Services
by Month of Service

Service Month	Total Waiver Enrollment	Medicaid State Plan	
		#	%
Oct-13	29,430	17,531	59.57%
Nov-13	29,485	16,220	55.01%
Dec-13	29,652	15,716	53.00%

Note: Enrolled as of the first day of the month in which the services were received.
Source: ABC Database and Medicaid HP Data Warehouse as of February 1, 2014.

Table 1d lists the number of clients using individual waiver services. Because clients typically use multiple services, the client total at the bottom of the table is an unduplicated count.

Table 1d: Clients Using Individual Waiver Services
by Month of Service

Service Description	iBudget		
	Oct-13	Nov-13	Dec-13
Adult Dental Services	500	410	380
Behavior Analysis - Level 1	1,230	1,112	619
Behavior Analysis - Level 2	693	613	469
Behavior Analysis - Level 3	2,099	1,973	1,348
Behavior Analysis Assessment	37	22	16
Behavior Assistant Services	433	408	312
CDC Monthly Allowance	1,935	1,940	1,945
Consumable Medical Supplies	5,269	5,047	4,610
Dietitian Services	62	53	54
Durable Medical Equipment	35	29	18
Environmental Accessibility Adaptations	3	3	1
Environmental Accessibility Adaptations -- Assessment	12	2	0
Incontinence Supplies; All Types	5,051	4,988	4,585
Life Skills Development - Level 1 (Community Inclusion)	2,908	2,815	2,538
Life Skills Development - Level 2 (Supported Empl - Group)	35	18	7
Life Skills Development - Level 2 (Supported Empl - Individual)	1,470	1,377	1,107
Life Skills Development - Level 3 (ADT) - Facility Based	10,493	10,064	7,364
Life Skills Development - Level 3 (ADT) - Off Site	73	72	38

1. Services Received by Waiver Enrollees (continued)

Table 1d: Clients Using Individual Waiver Services (continued)

Service Description	iBudget		
	Oct-13	Nov-13	Dec-13
Occupational Therapy	399	382	322
Occupational Therapy - Assessment	0	1	0
Personal Emergency Response System - Installation	0	0	0
Personal Emergency Response System - Service	91	119	90
Personal Supports	9,591	9,369	8,852
Physical Therapy	855	842	733
Physical Therapy - Assessment	2	3	2
Private Duty Nursing	183	186	179
Residential Habilitation - Behavioral Focus (day)	22	30	7
Residential Habilitation - Intensive Behavior (day)	524	518	288
Residential Habilitation - Standard (day)	247	266	204
Residential Habilitation (month)	7,076	6,932	6,342
Residential or Skilled Nursing - LPN	122	119	84
Residential or Skilled Nursing - RN	57	57	25
Respiratory Therapy	37	31	30
Respiratory Therapy - Assessment	1	0	0
Respite (under 21 only)	1,489	1,464	1,416
Respite, Skilled	0	1	1
Special Medical Home Care	18	18	18
Specialized Mental Health Assessment	3	2	1
Specialized Mental Health Counseling	220	207	147
Speech Therapy	459	417	348
Speech Therapy - Assessment	3	3	1
Support Coordination	22,843	22,137	20,929
Support Coordination - CDC Consultant	1,365	1,314	1,170
Support Coordination (Enhanced)	6	8	8
Support Coordination (Limited)	2,988	2,883	2,683
Support Coordination (Limited) - CDC	407	376	335
Supported Living Coaching	3,526	3,311	2,860
Transportation - mile	110	107	29
Transportation - month	1,037	1,025	919
Transportation - trip	5,826	5,623	4,483
Unduplicated Client Count	28,657	28,511	28,148

Note: Based on historical payment patterns waiver services are incomplete due to anticipated unsubmitted claims.
Source: Medicaid HP Data Warehouse as of February 1, 2014.

2. Services Received by Persons on the Wait List

Table 2a lists non-Medicaid APD services received in October, November, and December 2013 by individuals who requested enrollment in a waiver but were not enrolled as of the first day of the respective months. Funding for these services came from General Revenue and the Social Services Block Grant. Individuals on the Wait List ages 21 or younger may also receive services from the Florida Department of Education and other state and local resources.

Table 2a: Client Counts of Non-Medicaid Services Provided
by APD to Clients Waiting for Waiver Services as
of October 1, November 1, and December 1, 2013

	Service Month		
	Oct-13	Nov-13	Dec-13
Total Wait List at Beginning of Month*	21,855	21,581	21,511
Paid Service			
ADULT DAY TRAINING	258	247	241
BEHAVIOR ANALYSIS	8	11	7
COMMUNITY BASED EMPLOYMENT	242	226	219
DENTAL SERVICES			3
ELIGIBILITY AND PLANNING	10	3	5
HOME ASSISTANCE	29	26	22
LONG-TERM RESIDENTIAL SVS	10	12	15
MEDICAL SERVICES		2	2
PERSONAL/FAMILY CARE SVS	17	11	14
OCCUPATIONAL THERAPY			
PHYSICAL THERAPY			
PSYCHOLOGICAL THERAPY	84	83	99
RECREATIONAL THERAPY			
RESIDENTIAL HABILITATION SVS	33	35	27
RESPIRE CARE	59	56	40
SPEECH THERAPY		1	
SUPPLIES/EQUIPMENT	23	18	27
SUPPORT COORDINATION	169	327	380
SUPPORTED LIVING	54	50	61
TRANSPORTATION	125	122	115
TRAVEL			
Unduplicated Client Total	914	1,038	1,048

Source: Wait List and ABC Databases as of February 1, 2014.

2. Services Received by Persons on the Wait List (continued)

Table 2b provides client counts of persons on the Wait List who received APD non-Medicaid services (see Table 2a) or Medicaid State Plan services. APD non-Medicaid services are provided with state General Revenue and grant dollars. Because some clients received both APD non-Medicaid and State Plan services, the client count in the third row is an unduplicated total rather than a sum of the two prior rows. The last two rows in the table provide information on Wait List clients who received neither non-Medicaid nor Medicaid State Plan services. Note that some Wait List clients are not currently eligible for Medicaid State Plan services.

Table 2b: Client Counts of Non-Medicaid and Medicaid State Plan Services Received by Clients Waiting for Services as of October 1, November 1, and December 1, 2013*

	Service Month		
	Oct-13	Nov-13	Dec-13
Total Wait List at Beginning of Month*	21,855	21,581	21,511
Client Count for APD Non-Medicaid Services	914	1,038	1,048
Client Count for Medicaid State Plan Medical, Facility, and Pharmacy Services***	11,175	10,924	10,787
All Wait List Clients Receiving Services**	11,748	11,541	11,408
Count of Wait List Clients Not Receiving Services	10,107	10,040	10,103
Percent of Wait List Not Receiving Services	46.2%	46.5%	47.0%

*Clients are counted only once regardless of the number of different services they received.

**Based on historical payment patterns, Medicaid State Plan services are undercounted due to the anticipated unsubmitted claims for the reported service months as of the database effective date.

Source: Wait List and ABC Databases and Medicaid HP Data Warehouse as of February 1, 2014.

3. Waiver Enrollment in Fiscal Year 2013-14

Table 4 summarizes new waiver enrollment to date in FY 2013-14. Crisis cases are enrollees whose needs for services have been determined to require priority enrollment as defined in statute and rule. Foster kids are children on the Wait List for iBudget Waiver who have open cases in the Department of Children and Families' child welfare system. Pursuant to proviso language in the General Appropriations Act of 2006, these children have been given priority enrollment over other persons on the Wait List for waiver services, with the exception of crisis enrollments. Beginning in FY 2013-14, the agency received additional appropriation to begin offering enrollment to individuals from the Wait List as referenced in proviso language. Individuals who have enrolled with this additional funding are tracked separately from those that were already enrolled from previous years.

Table 4: New Waiver Enrollment

Month Enrolled	Crisis Enrolled	Waitlist Offered & Enrolled	Total
Oct-11	27		27
Nov-11	20		20
Dec-11	20		20
Jan-12	11		11
Feb-12	33		33
Mar-12	36		36
Apr-12	15		15
May-12	28		28
Jun-12	30		30
Jul-12	21		21
Aug-12	24		24
Sep-12	27		27
Oct-12	38		38
Nov-12	22		22
Dec-12	16		16
Jan-13	23		23
Feb-13	19		19
Mar-13	10		10
Apr-13	27		27
May-13	33		33
Jun-13	18		18
Jul-13	27	568	595
Aug-13	27	55	82
Sep-13	57	18	75
Oct-13	58	7	65
Nov-13	43	385	428
Dec-13	49	56	105
Total	759	1089	1848

Source: ABC Database as of February 1, 2014 and other ABC tracking systems.

4. Length of Wait for Waiver Services

Table 5 displays a frequency distribution of the length of time individuals have been waiting for waiver services. Agency policy allows people to remain on the Wait List if they currently do not need services or do not qualify for Medicaid at the time. These counts include those who may not have needed services at the time of waiver enrollment offers and those who have received other state assistance.

Table 5: Length of Wait for Any Waiver Services
as of February 1, 2014

Length of Wait	Date Placed on Wait List	Wait List Clients	
		#	%
1 Year or Less	February 1, 2013 or later	1,348	6.3%
1+ to 2 Years	February 1, 2012 - January 31, 2013	1,641	7.7%
2+ to 3 Years	February 1, 2011 - January 31, 2012	1,594	7.5%
3+ to 4 Years	February 1, 2010 - January 31, 2011	1,860	8.7%
4+ to 5 Years	February 1, 2009 - January 31, 2010	1,994	9.4%
5+ to 6 Years	February 1, 2008 - January 31, 2009	1,923	9.0%
6+ to 7 Years	February 1, 2007 - January 31, 2008	2,065	9.7%
7+ to 8 Years	February 1, 2006 - January 31, 2007	2,162	10.2%
8+ to 9 Years	February 1, 2005 - January 31, 2006	1,705	8.0%
9+ to 10 Years	February 1, 2004 - January 31, 2005	1,596	7.5%
More than 10 Years	On or before January 31, 2004	3,398	16.0%
Total Wait List*		21,286	100.0%

Source: Wait List Database as of February 1, 2014.

5. Projected Waiver Costs and Appropriations

Table 6 provides information concerning projected waiver costs compared to the available appropriations and any projected surpluses or deficits.

Table 6: Fiscal Year 2013-14 Waiver Budget Forecast

FY 2013-14 APD WAIVER PROJECTIONS	General Revenue	Trust Funds	Total
Blended rate adopted by the SSEC for FY 2013-14	0.4133	0.5867	
Appropriation	\$ 378,272,973	\$ 536,977,383	\$ 915,250,356
Corrected FMAP Adjustment			\$ -
New Appropriation	\$ 378,272,973	\$ 536,977,383	\$ 915,250,356
Less FY 2012-13 Projected Deficit	\$ -	\$ -	\$ -
Less FY 2013-14 Expenditures	\$ (368,226,547)	\$(522,715,981)	\$(890,942,528)
Total APD Waiver Balance FY 2013-14	\$10,046,426	\$14,261,402	\$24,307,828