



Annual Report

for the
Florida Department of Highway Safety
and Motor Vehicles

Office of Inspector General

Building Public Confidence through Integrity, Accountability, and Efficiency

Fiscal Year 2012 – 2013



Julie L. Jones
Executive Director

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September 23, 2013

Julie L. Jones, Executive Director
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2900 Apalachee Parkway
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Dear Director Jones:

In accordance with Section 20.055(7), Florida Statutes, I am pleased to present the Office of Inspector General's Annual Report for the 2012-13 Fiscal Year. While this report documents the activities that fall within the responsibility of this office, it also reflects the high professional standards of the members that comprise the Office of Inspector General team who were critical to our success and accomplishments this past year.

The Office of Inspector General is committed to providing leadership in the promotion of accountability and integrity. We will continue to work with Departmental management and staff to promote our mission of building public confidence through integrity, accountability and efficiency throughout the Department. Thank you for your continued support of our efforts.

Respectfully submitted,

A handwritten signature in blue ink that reads "Julie M. Leftheris".

Julie M. Leftheris
Inspector General

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Background

The role of the Office of Inspector General (OIG) is to provide a central point for coordination of, and responsibility for, activities that promote accountability, integrity and efficiency in the Department. Section 20.055, Florida Statutes (F.S.), defines the duties and responsibilities of agency inspectors general. It requires that each inspector general shall submit to the department head an annual report, not later than September 30th of each year, summarizing its activities during the preceding state fiscal year. This report includes, but is not limited to:

- A summary of each audit and investigation completed during the reporting period;
- A description of activities relating to the development, assessment, and validation of performance measures;
- A description of significant abuses and deficiencies relating to the administration of programs and operations of the agency disclosed by investigations, audits, reviews, or other activities during the reporting period;
- A description of recommendations for corrective action made by the OIG during the reporting period with respect to significant problems, abuses, or deficiencies identified; and
- The identification of each significant recommendation described in previous reports on which corrective action has not been completed.

This report is presented to the Executive Director in accordance with statutory requirements to describe how the OIG accomplishes its mission as defined by Florida Law.

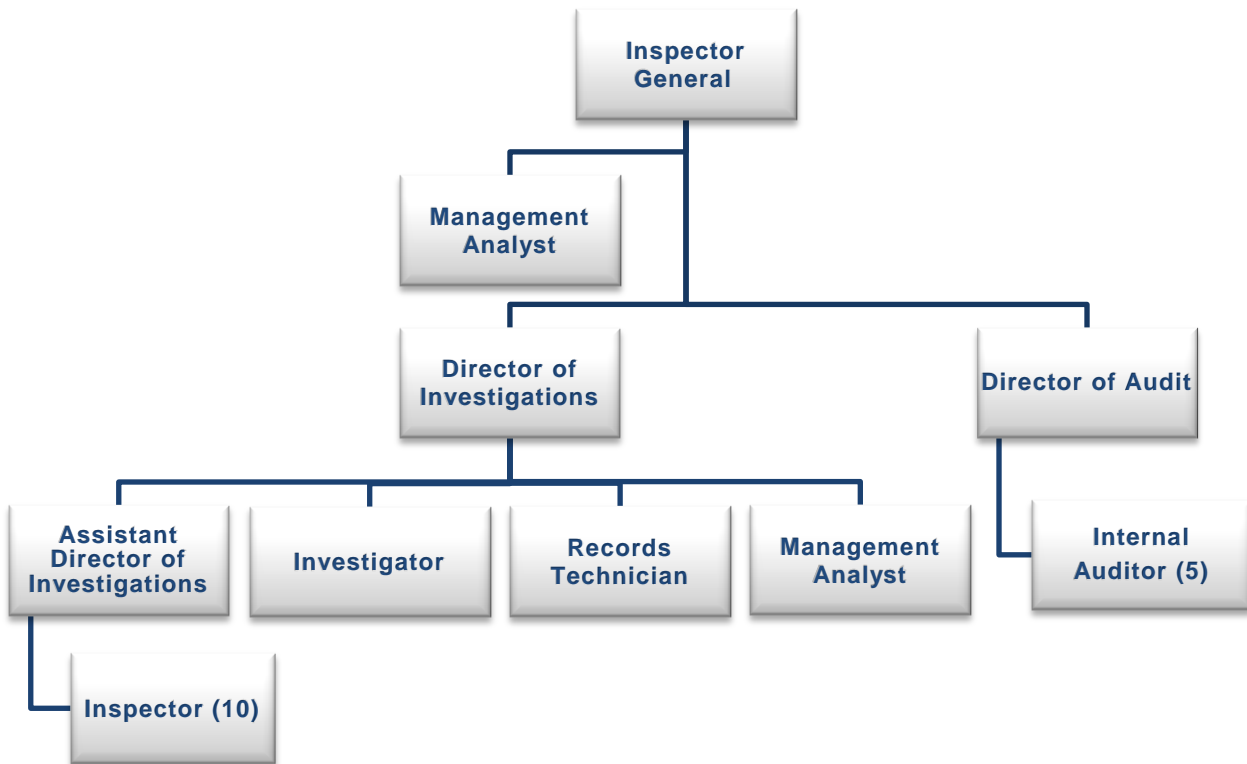
Inspector General Responsibilities

The specific duties and responsibilities of the Inspector General according to Section 20.055(2), F.S., include:

- Providing direction for, supervision and coordination of audits, investigations, and management reviews relating to the programs and operations of the state agency;
- Keeping the agency head informed concerning fraud, abuses, and deficiencies relating to programs and operations administered or financed by the state agency, recommending corrective action concerning fraud, abuses, and deficiencies and reporting on the progress made in implementing corrective action;
- Reviewing the actions taken by the state agency to improve program performance and meet program standards and making recommendations for improvement if necessary;
- Advising in the development of performance measures, standards, and procedures for the evaluation of state agency programs;
- Ensuring effective coordination and cooperation between the Auditor General, federal auditors, and other governmental bodies with a view toward avoiding duplication; and
- Maintaining an appropriate balance between audit, investigative, and other accountability activities.

Organization

The organizational structure for the OIG on June 30, 2013, was as follows:



Staff Qualifications

OIG staff have backgrounds and experience that cover a wide variety of disciplines that include accounting, auditing, law enforcement, program evaluation, and management. Staff members have professional certifications and participate in a number of professional organizations to maintain proficiency in the areas of their profession. Below is a summary of professional certifications maintained by OIG staff members:

Certifications:

- Certified Inspector General
- Certified Public Accountant
- Certified Internal Auditor
- Certified Inspector General Auditor
- Certified Inspector General Investigator
- Certified Law Enforcement Instructor
- Certified Law Enforcement Analyst

Accreditation

On October 31, 2007, the Governor's Chief Inspector General, along with the Florida Department of Law Enforcement (FDLE) and the Commission for Florida Law Enforcement Accreditation, Inc. (CFA) initiated an accreditation program for the Inspectors General Investigative function of state agencies. The standards were approved by the CFA in February 2009 and went into effect July 1, 2009.

Accreditation is a prestigious accomplishment that symbolizes professionalism, excellence, and competence. Accreditation programs establish minimum standards for all, with the goal of enhancing the quality of investigations. The accreditation process is also a way for organizations to evaluate and improve their overall performance. OIG accreditation assessments determine whether the investigations function has processes in place and whether the processes are being utilized throughout OIG operations.

The OIG's Investigative Unit became accredited in February 2011. On July 17, 2013, CFA assessors performed an on-site assessment of the OIG and their report will be presented for review by the Commission on September 25, 2013.

Staff Accomplishments

Obtaining and maintaining a talented, qualified workforce are important factors in ensuring the OIG is adding value to the Department. Our members recognize their duty and responsibility, and respect, support, and acknowledge one another. The following describe some of the accomplishments of OIG staff during the 2012-13 Fiscal Year.

OIG audit team member Doane Rohr was one of ten non-law enforcement members to receive the Department's Advisory Committee Excellence (ACE) Award for the 2012-13 Fiscal Year which recognizes civilian and law enforcement members who exhibit outstanding performance that reflects Department values. The ACE Award is funded by the Department's Advisory Committee and the Florida Highway Patrol Advisory Council. Members nominated must show sustained exceptional performance as well as demonstrate each of the Department's values.

In December 2012, Management Analyst Angel Dollard successfully completed and graduated from the Florida Law Enforcement Analyst Academy. While in the academy, students learn criminal and intelligence analysis skills used by law enforcement and other emergency responders to successfully support investigations. The academy is a six week program funded by the Department of Homeland Security.

Staff Training

Section 20.055, F.S., requires offices of inspector general to conduct audits and investigations in accordance with professional standards. Specifically, the statute requires that we comply with the General Principles and Standards for Offices of Inspector General as published and

revised by the Association of Inspectors General, and that audits are conducted in accordance with the International Standards for the Professional Practice of Internal Auditing as published by the Institute of Internal Auditors.

The Association of Inspectors General specifies that each staff member who performs investigations, audits, evaluations, or reviews should receive at least 40 hours of continuing professional education every two years that directly enhances the person's professional proficiency. For audit staff members, 80 hours of continuing education is required every two years.

To ensure staff members are prepared to meet OIG mission requirements and comply with requirements specified in Section 20.055, F.S., we utilize various training resources to fulfill the specific training needs of each member of the OIG.

Department Accountability Activities

Ethics Committee

The Ethics Committee provides assistance to the Executive Director and Department management team in support of the operation of the Department according to the highest ethical business standards, and in accordance with applicable laws and regulations. The Ethics Committee:

- Facilitates the development, implementation, and operation of an effective ethics program;
- Promotes an organizational culture that encourages law abiding and ethical conduct; and
- Considers and resolves any issues of interpretation regarding any aspect of the ethics program.

The Committee is co-chaired by the Department General Counsel, who serves as the Ethics Officer, as well as the Inspector General. During the 2012-13 Fiscal Year, the Committee issued Ethical Guidelines to Department members.

Computer Security Incident Response Team (CSIRT)

The CSIRT is a first-responder unit that performs vital functions in regards to mitigating and investigating an apparent information security incident to minimize damage to the Department's computer systems, networks, and data. The OIG is a core member of the CSIRT team for the Department. As part of this response team, the OIG's duties include the following:

- Convene, as required, upon notification of a reported computer security incident;
- Respond to activities that might interrupt the information technology services of the area for which the team is responsible during duty and non-duty hours;
- Classify Department security incidents;
- Maintain confidentiality of information related to computer security incidents; and
- Perform all investigation activities related to computer security incidents.

Internal Audit

The purpose of the Internal Audit Section is to help the Department accomplish its objectives by providing management with independent and objective reviews and consultation regarding risk management, control, and governance processes of financial, operational, information technology, and other relevant areas. Analyses, appraisals, and recommendations related to reviews of program areas and processes are furnished to management and other Department members to assist them in effectively managing their areas of responsibility.

Internal audit activities are performed in accordance with *International Standards for the Professional Practice of Internal Auditing* published by the Institute of Internal Auditors and *General Principles and Standards for Offices of Inspector General* published by the Association of Inspectors General.

The Internal Audit Section also provides independent advisory services to Department management for the administration of its programs, services, and contracting process. Additionally, other limited service engagements, such as special projects and investigative assistance, are performed and are typically more specific in scope than an audit or review.

Assurance Services

Assurance Services are performance audits, compliance audits, financial audits, and attestations. Assurance services contribute to government accountability for the use of public resources and the delivery of services. Assurance service engagements are used to evaluate performance and internal controls for:

- Economic and efficient use of resources;
- Reliability and integrity of information;
- Safeguarding of assets;
- Compliance with policies, procedures, laws, and regulations; and
- Accomplishment of established objectives and goals for operations or programs.

Advisory Services

Advisory Services are consultative and related client service activities, the nature and scope of which are agreed upon with the client, and that are intended to add value and improve the Department's operations. Examples include counsel, advice, facilitation, process design, and training.

Audit standards prohibit advisory services which constitute performing management functions, making management decisions, auditing our own work, or providing non-audit services in situations where the amounts or services involved would be significant/material to the subject matter of an audit.

Annual Risk Assessment and Work Plan Development

Section 20.055 F.S., requires the inspector general to conduct and analyze the results of a risk assessment to assist in the development of an annual Audit Work Plan.

A risk assessment is the identification and analysis of relevant risks to achieving an organization's objectives, for the purpose of determining how those risks should be managed. Risk assessment implies an initial determination of operating objectives, then a systematic identification of those things that could prevent each objective from being attained. In other words, it's an analysis of what could go wrong. A risk assessment also aids management in determining the appropriate balance between control and risks.

The Internal Audit Section conducts annual risk assessments to identify risk exposures and assists management in developing an understanding of risk to assist with their responsibility to manage them appropriately. The risk assessment activities aid in developing the OIG Annual Work Plan. The Work Plan for the 2013-14 and 2014-15 Fiscal Years was based on the results of the risk assessment, prior OIG audit and investigative findings, external audits, special assignments, and requests from management.

The Chief Inspector General's Office, along with the State of Florida Inspectors General, will develop a state government enterprise-wide risk assessment and audit plan. This risk assessment is compiled with input from Florida's state agencies and the plan will be used to guide an enterprise-wide focus on accountability related to activities that are common to state agencies. The OIG has set aside a portion of its audit hours for Enterprise Projects coordinated by the Chief Inspector General.

Performance Measure Assessment

Performance measure assessments are designed to assess the reliability and validity of information on performance measures and standards and recommend improvements, if necessary. Section 216.013, F.S., requires state agencies to develop long range program plans to achieve goals, provide the framework for developing budget requests, and identify and update program outcomes and standards to measure progress toward program objectives. Section 20.055(2), F.S., requires the OIG to perform a validity and reliability assessment of their agency performance measures and, if needed, make recommendations for improvements.

External Audit Coordination

The Internal Audit Section serves as a liaison to external agencies who audit the Department and monitors and tracks findings and recommendations that result from these external audits as well as monitors and tracks management efforts to correct audit findings. Specifically, during the 2012-13 Fiscal Year, the Department was subject to an operational audit by the Auditor General and review by the Social Security Administration.

Legislative Budget Request

The OIG prepares the Schedule IX of the Department's Legislative Budget Request. The Schedule IX, *Major Audit Findings and Recommendations*, is designed to inform decision makers on recent major findings and recommendations found in Auditor General and OIG audit reports. This schedule summarizes major findings and recommendations from audit reports issued during the current and previous fiscal years.

Compliance Reviews

Single Audit Reviews - The Department collects and distributes annual use fees for over 100 different specialty license plates to the related organizations. Section 320.08062, F.S., requires all organizations that receive annual use fee proceeds for specialty license plates to annually submit an attestation or audit report pursuant to Section 215.97, F.S., for the purpose of certifying that the proceeds were used in compliance with statutory requirements. Internal audit staff reviewed 39 single audit reports submitted by the recipient organizations.

DUI Programs - DUI Programs are required by Rule 15A-10.012, Florida Administrative Code (FAC), to submit a certified financial audit and an independent auditor's report on compliance with laws and regulations to the Department annually. Internal audit staff reviewed 16 DUI program audit reports.

Audit Projects

The following summaries describe the 14 audit engagements completed by the Internal Audit Section during the 2012-13 Fiscal Year.

Specialty License Plate Audit - Florida Hospice and Palliative Care Association Audit Report 201112-26 - September 10, 2012

The purpose of this audit was to examine the Florida Hospice and Palliative Care Association (FHPCA) financial data associated with specialty license plate fees for compliance with applicable laws, policies and regulations.

Based on examination of the financial records of the FHPCA, the amounts reported on the Hospice Specialty License Plate Revenue, Expenditure and Compliance Affidavit for the year ended December 31, 2010, reflected revenues and expenditures in compliance with the requirements of Sections 320.08056 and 320.08058, F.S.

Third-Party Administration of Driving Examinations Audit Report 201112-18 - October 30, 2012

The Department is authorized in Section 322.56, F.S. to use third-party administrators to conduct the written and driving skills portions of examinations for all classes and types of driver licenses. The Department uses third-party administrators for motorcycle endorsements,

commercial driver licensing (CDL), Class E licensing, and the Driver Education Licensing Assistance Program. The purpose of this audit was to examine the third-party administration of driving examinations. The following audit findings were identified:

- Two Florida Rider Training Program (FRTTP) regional coordinators monitor third-party administrators where they have conflicts of interest;
- The FRTTP policies and procedures do not adequately define requirements for management and oversight of the third-party administrators;
- The FRTTP and some third-party administrators are not maintaining accurate lists of RiderCoaches;
- The FRTTP and some third-party administrators did not have documentation of RiderCoach recertification or signed Codes of Conduct for RiderCoaches;
- Some third-party administrators were not maintaining driver license record checks for RiderCoaches;
- Some CDL section and third-party administrator files for testers did not include all required documentation;
- Some third-party administrators were using primary and alternate road test routes that differed from the road test routes on file with the CDL Section;
- Third-party administrator and third-party administrator tester certificates were not displayed by some third-party administrators; and
- Six Class E third-party administrator websites do not contain some of the specific statements required by the Third-Party Driver License Testing Service contract.

The audit included 13 recommendations to improve program operations including implementation of conflicts of interest policies, updated policies and procedures, improved program documentation, and program oversight. The Division of Motorist Services concurred with all of the audit recommendations.

Specialty License Plate Audit - The Able Trust Audit Report 201112-25 - October 30, 2012

The purpose of this audit was to examine the Florida Endowment Foundation for Vocational Rehabilitation (also known as The Able Trust) financial data associated with specialty license plate fees for compliance with applicable laws, policies and regulations.

Based on examination of the financial records of The Able Trust, the revenues and expenditures reported on the Motorcycle Specialty License Plate Revenue, Expenditure and Compliance Affidavit for the period ended June 30, 2011, were in compliance with the requirements of Sections 320.08056 and 320.08068, F.S.

Commercial Vehicle Enforcement - Interstate New Entrant Grant Audit Report 201112-28 - October 30, 2012

The purpose of this audit was to review the management of the Commercial Vehicle Enforcement Interstate New Entrant Grant. The audit identified the following issues:

- Quarterly performance reports do not accurately report the number of safety audits completed for the quarter; and
- The grants database does not contain all documentation required by the Grant Management Procedure.

The audit recommended the timely and accurate submission of safety audit reports and the maintenance of supporting documentation in compliance with the Grant Management Procedure. The Office of Performance Management and the Florida Highway Patrol (FHP) concurred with the audit recommendations.

Commercial Vehicle Enforcement - Ticketing Aggressive Cars and Trucks Grants Audit Report 201213-08 - December 4, 2012

The purpose of this audit was to review the expenditures and management of the Commercial Vehicle Enforcement Ticketing Aggressive Cars and Trucks Grant. The audit identified the following issues:

- Quarterly performance progress reports did not accurately report the number of traffic citations issued during the enforcement campaigns; and
- The overtime hours reported on the financial reports and the requests for reimbursement were greater than the overtime hours reported on the quarterly performance progress reports.

The audit recommended the Office of Performance Management ensure all of the traffic citations issued during enforcement campaigns are accurately reported in performance progress reports and that reporting packages are reviewed to ensure the performance progress report is accurate and consistent with the financial report and the request for reimbursement. The Office of Performance Management and the FHP generally agreed with the findings and recommendations.

Specialty License Plate Audit - Florida State University Foundation Audit Report 201112-29 - April 2, 2013

The purpose of this audit was to examine the Florida State University (FSU) Foundation financial data associated with collegiate specialty license plate fees for compliance with applicable laws, policies and regulations.

Section 320.08058(3)(b), F.S., specifies that the Board of Governors of the State University System shall require each state university to submit a plan for approval of the expenditure of all funds so designated. Collegiate license plate annual use fees are to be used only for academic enhancement, including scholarships and private fundraising activities.

The audit determined the amounts reported in the FSU Foundation Collegiate License Plate Program Schedule of Revenues and Expenditures for the 2011-12 Fiscal Year, accurately reflect the revenues and expenditures in compliance with the requirements of Sections 320.08056 and 320.08058(3)(b), F.S.

There was one audit finding that the FSU Foundation had not submitted a revised plan for the expenditure of all specialty license plate funds to the Board of Governors of the State University System for approval.

The FSU Foundation concurred with the audit finding and recommendation to submit a revised expenditure plan for specialty license plate funds and stated that an expenditure plan would be presented to the Florida Board of Governors for approval at their next board meeting, scheduled for June 18-20, 2013.

Specialty License Plate Audit - Florida Association of Food Banks Audit Report 201213-02 - April 3, 2013

The purpose of this audit was to examine the Florida Association of Food Banks (FAFB) financial data associated with specialty license plate fees for compliance with applicable laws, policies and regulations.

Section 320.08058(49)(b), F.S., specifies the FAFB may use up to 25 percent of the annual use fees to market the association's concept and the license plate. The balance of the proceeds shall be used to fund programs to end hunger in Florida.

During the audit, it was determined the FAFB did not maintain detailed time sheets for employee salary expenses funded with specialty license plate fees as required by their policies and procedures. It was also determined FAFB used specialty license plate fees for unallowable administrative, education, and advocacy expenses.

The audit included three recommendations including maintenance of documentation to support that FAFB employee salary expenditures are directly related to programs funded with specialty license plate fees and that specialty license plate fees are used only for allowable expenses as authorized in Sections 320.08056, and 320.08058(49)(b), F.S., and FAFB Policies and Procedures. The FAFB concurred with the findings and recommendations and established timesheets for staff use, plans to reimburse the Imagine fund for the unallowable administrative expenses, and will cease funding advocacy and lobbying services with the Imagine specialty license plate funds.

Off-Duty Police Employment Audit Report 201213-07 - June 18, 2013

The purpose of this audit was to examine off-duty police employment (ODPE) within the FHP. FHP allows its sworn members to engage in ODPE that does not conflict with primary job assignments or obligations and does not discredit or embarrass the Department or diminish public confidence in law enforcement or in FHP's commitment to integrity.

The audit determined the FHP should evaluate its current ODPE policies and should also consider enhancements to current processes and procedures to improve internal controls and monitoring of ODPE services performed by participating members. The audit identified the following 9 audit findings:

- The current policies and procedures that govern ODPE within the FHP do not provide sufficient internal controls or mechanisms to effectively monitor member participation and ensure compliance with policies and procedures;
- The current ODPE system does not permit supervisors to easily review and identify policy violations by participating members. Violations are occurring that are going undetected by FHP supervisors;
- ODPE schedulers can potentially undermine the FHP;
- ODPE documentation is accepted and maintained in an incomplete and inaccurate manner and in violation of Department policy;
- ODPE rates are negotiated by schedulers without FHP oversight;
- The current cost reimbursement structure for ODPE is inadequate and requires that public monies be expended for costs associated with ODPE;
- The FHP does not have established records retention requirements for ODPE documentation;
- The ODPE SharePoint site does not provide current, accurate, or detailed information to assist FHP supervisors and members with ODPE oversight; and
- FHP members are working significant hours without mandated periods of rest.

The audit included 23 recommendations to enhance the accountability, internal controls, and oversight of the FHP off-duty police employment program. FHP management concurred with the audit findings and recommendations.

Commercial Vehicle Enforcement - Motor Carrier Safety Assistance Program Grant Audit Report 201213-11 - June 28, 2013

The purpose of this audit was to review the management of the Commercial Vehicle Enforcement Motor Carrier Safety Assistance (MCSAP) Grant. A detailed review of grant expenditures totaling approximately \$1.2 million was conducted for the period July 2011 through March 2012. Grant expenditures were in compliance with the grant agreement; however, the following audit findings were identified:

- Purchases made by Department members with MCSAP funding lacked required receipting, approval and supporting documentation, and were not submitted timely;
- Services were procured without a required Purchase Request, in violation of the Department's Purchasing Policy and Procedure; and
- Grant expenditure documentation was not maintained in compliance with the Federal Motor Carrier Safety Administration's Grants Management Manual and Department Policy.

The audit included 3 recommendations addressed to four functional areas within the Department. The audit recommended:

- FHP ensure personnel with procurement responsibilities comply with statutory and Department procurement requirements;
- The Bureaus of Accounting and Purchasing and Contracts ensure adequate procurement training, guidance, and oversight is provided to Department members who make procurements;

- Information Systems Administration personnel procure goods and services in compliance with the Department's Purchasing Policy and Procedures Manual; and
- The Grants Administration Office ensures supporting documentation for grant expenditures is maintained by the Department in compliance with requirements established by FMCSA.

Functional management generally agreed with the audit findings and recommendations.

Mobile Information Technology Security Audit Report 201213-03 - June 28, 2013

The purpose of this audit was to evaluate Department controls to determine if they sufficiently mitigate the risks associated with Department owned and managed mobile devices.

The audit revealed several areas where improvements are necessary to strengthen data security. Specifically, responsibility for the different elements of data security, with emphasis on mobile devices, is spread across numerous Department staff. This has resulted in inconsistent application of and compliance with Department policy and procedure. Weaknesses in the Department's access controls and documentation were also identified.

The audit included 5 recommendations to improve the security and monitoring of mobile devices within the Department. Information Services Administration management generally concurred with the audit findings and recommendations.

Quarterly Audits of FHP Information and Evidence Funds

The Office of Inspector General conducted four quarterly audits of the FHP Information and Evidence (I and E) Fund. The Florida Highway Patrol's Bureau of Criminal Investigations and Intelligence is responsible for the use and maintenance of an I and E Fund. This fund is available to investigators to purchase materials as evidence or make payments to confidential sources for information that would otherwise be unavailable.

The purpose of these audits was to evaluate the internal controls over the I and E Fund and compliance with Florida Statutes and Department policies and procedures. The scope of these audits included quarterly examinations of documentation supporting I and E Fund deposits and expenditures.

Based on these examinations, the Florida Highway Patrol's Bureau of Criminal Investigations and Intelligence maintained, in all material respects, effective internal controls for the I and E Fund and operated the I and E Fund in compliance with applicable laws and Department policies and procedures for the quarters ended June 2012, September 2012, December 2012, and March 2013.

Advisory Projects

The Internal Audit Section completed 12 advisory engagements during the 2012-13 Fiscal Year.

Follow-up Review of the Financial Responsibility Audit Advisory Memorandum 201112-27 - July 17, 2012

The objective of this review was to determine whether functional management has taken the agreed upon corrective actions for the findings from the Financial Responsibility Audit (201011-12) dated December 14, 2011.

The follow-up review determined management took adequate, effective, and timely actions in response to the recommendations for the uninsured motorist rate, verification due dates, and citations improperly cleared findings. Management has begun, but not completed, corrective actions on the remaining six recommendations. A second follow-up review will be conducted in 12 months, corresponding with the expected completion of the Financial Responsibility system redesign.

Follow-up Review of the Enterprise Contracting Monitoring Audit Advisory Memorandum 201213-05 - September 28, 2012

The objective of this review was to determine whether functional management has taken the agreed upon corrective actions for the findings from the Enterprise Contract Monitoring Audit (201112-12) dated January 30, 2012.

The follow-up review determined management took adequate, effective, and timely actions in response to all four of the audit recommendations.

The Purchasing Policy and Procedures Manual has been revised, contract procedures developed, and statutorily mandated training for Department contract managers has been conducted for applicable Department staff and is monitored to ensure future compliance.

Second Follow-up Review of the Revenue Distribution Audit Audit Report 201213-15 - December 7, 2012

The objective of this review was to determine whether functional management has taken the agreed upon corrective actions on the findings from the Revenue Distribution Audit (201011-04) dated September 13, 2011 and the Revenue Distribution Follow-up Review (201112-21) dated April 24, 2012.

The Revenue Distribution Audit reported five findings. The first follow-up review closed one finding. The second follow-up review determined management took adequate and timely actions in response to the four remaining recommendations.

The Bureau of Accounting took corrective action to reconcile the improperly distributed revenues collected from Florida Salutes Veterans License Plate sales. The Department made

the final reimbursement to the State Home for Veterans Trust Fund on August 22, 2012. The Bureau reported that distributions thereafter should be in accordance with Section 320.08058(4), F.S.

In November 2012, the Bureau of Accounting finalized the new procedure for the revenue distribution process (Accounting Procedure BA-7) which includes a reference to biennial fee statute changes and a documentation retention process for changes affecting revenue distribution. The revenue distribution desk procedure was updated in October 2012, and includes print screen details and step-by-step instructions for distributing revenue.

Third Follow-up Review of the Data Security Audit Advisory Memorandum 201213-19 - January 9, 2013

The objective of this review was to determine whether functional management has taken the agreed upon corrective actions for the findings from the Data Security Audit (201011-01) dated April 14, 2011.

The Data Security Audit reported 11 findings. The first follow-up review closed five of the findings. The second follow-up review closed four of the remaining findings. The third follow-up review determined the Department had not taken corrective action, and two findings continue to remain open (access rights review and wireless equipment documentation).

A fourth-follow up review will be conducted in six months to review corrective actions for the two remaining findings.

Follow-up Review of the Tax Collectors Audit Advisory Memorandum 201213-18 - January 9, 2013

The objective of this review was to determine whether functional management has taken the agreed upon corrective actions for the findings from the Tax Collectors Audit (201112-05) dated June 6, 2012.

The follow-up review determined management took adequate and effective action in response to all five recommendations. To address internal control weaknesses that may allow improper and no fee replacement transaction processing to go undetected, in August 2012, the Division of Motorist Services expanded quality assurance audits. These audits include samples of tax collector transactions where revenue or fees can be waived and the associated reason codes. Procedure RS-11, Collecting Back Tax, has been revised to include a one year retention period for completed notarized affidavits or perjury clause affidavits used to waive back taxes.

The Division of Motorist Services has included plans to expand document scanning capabilities in Florida Real-Time Vehicle Information System as part of the Motorist Modernization Project. This portion of the project is scheduled to begin July 2015. The Division will also consider system changes to strengthen controls in conjunction with the Florida Real-Time Vehicle Information System /Florida Driver License Information System consolidation, which is also part of the Motorist Modernization Project.

Follow-up Review of I-75 Incident Agency Response Advisory Memorandum 201213-09 - January 30, 2013

The objective of this review was to determine whether the FHP addressed the findings from the Interstate 75 Incident Agency Response to the Florida Department of Law Enforcement report findings dated June 26, 2012.

The follow-up review determined the FHP has enhanced its policies pertaining to the guidelines and operational procedures used for smoke and fog related events. In addition, every FHP Trooper received a Critical Incident Handling Guide. The guide book provides a “checklist” for the Troopers to follow in regards to various events they may encounter while on patrol, including smoke/fog related incidents. Additional on-line and in-class instructional training programs have been implemented to improve FHP members’ ability to handle these occurrences. Lastly, the FHP launched a three-phase “Beware of Smoke & Fog” public awareness campaign with the goal to increase awareness of what actions a driver should take when they encounter low visibility that results from smoke or fog while traveling Florida’s highways.

Contract Monitoring Advisory Memorandum 201213-23 - February 13, 2013

The purpose of this engagement was to report on the Department’s oversight and monitoring of Department contracts. Department contract administration is the responsibility of the Bureau of Purchasing and Contracts; however, the contract administration has been limited to the contract procurement process, coordination of mandatory training for Department contract managers, and serving in an advisory function to contract managers in the administration of their duties. Enhanced contract monitoring is fundamentally needed to ensure that Department members designated as contract managers are performing their duties.

Follow-up Review of the Forfeiture Process Audit Advisory Memorandum 201213-16 - March 29, 2013

The objective of this review was to determine whether functional management has taken the agreed upon corrective action on the five findings from the Forfeiture Process Audit (201112-03) dated May 8, 2012.

The follow-up review determined management took adequate and timely actions in response to two findings; including determining 72 hours was a reasonable time period to report seizure activities, and recording seized currency as unearned revenue until rights to the currency have been perfected in accordance with the Florida Contraband Forfeiture Act.

Management has begun, but not completed, corrective actions for three findings; including streamlining the process for submitting forfeiture information, maintaining an accurate inventory of seized currency and property, and establishing basic and continuing education training on the forfeiture process. A second follow-up review will be conducted in six months.

Follow-up Review of the Wrecker Rotation Process Audit Advisory Memorandum 201213-20 - March 29, 2013

The objective of this review was to determine whether functional management has taken the agreed upon corrective action on the seven findings from the Wrecker Rotation Process Audit (201112-15) dated June 27, 2012.

The follow-up review determined management took adequate and timely actions in response to two recommendations by implementing policy changes that include regularly scheduled inspections of wrecker operators and proper rotation procedures for out-of-zone wrecker operators.

Management has begun, but not completed, corrective actions on the remaining five recommendations; including ensuring wrecker operator files are maintained in compliance with Department policies and procedures, using CVE Troopers to conduct wrecker operator inspections, reviewing wrecker operators' advertisements and signage, communicating the proper rotation process to employees, and documenting the reasons for rotating wrecker operators in the computer aided dispatch (CAD) system. A second follow-up review will be conducted in six months.

Follow-up Review of the Third-Party Administration of Driving Examinations Audit Advisory Memorandum 201213-31 - June 18, 2013

The objective of this review was to determine whether functional management has taken the agreed upon corrective action on the nine findings from the Third-Party Administration of Driving Examination Audit (201112-18) dated October 30, 2012.

The follow-up review determined management took adequate and effective action in response to six of the findings; including creating a detailed Operations and Procedures Manual, developing a process to ensure lists of RiderCoaches are accurately maintained, maintaining RiderCoach recertification documentation and signed Codes of Conduct, maintaining current documentation of each RiderCoach's driver license, and requiring all members of Florida Rider Training Program to sign an attestation regarding Management Policies 3.08, Ethics and Personal Responsibility, and 5.03, Dual Employment and Compensation.

Management has begun, but not completed, corrective actions on the remaining three findings; including updating third-party administrator tester files, updating the CDL section file of third-party administrator primary and alternate road test routes, and removing the requirement to display paper credentials from CDL Third-Party Administrator and Third-Party Tester contracts. Due to the timeframe needed to implement corrective actions for the remaining three findings, a second follow-up review will be conducted in eighteen months.

Follow-up Review of the CVE Interstate New Entrant Grant Advisory Memorandum 201213-33 - June 18, 2013

The objective of this review was to determine whether functional management has taken the agreed upon corrective actions for the findings from the CVE Interstate New Entrant Grant Audit (201112-28) dated October 30, 2012.

The follow-up review determined that management took adequate and timely actions in response to both recommendations; including implementing a new process to accurately and consistently report the number of safety audits completed each quarter, and revising the Grant's Administration Policy 11.06, to clarify which documents should be recorded in the grants database.

FHP Intelligence Procedures Consulting Engagement 201213-34 - June 28, 2013

The purpose of this engagement was to assess and report on the FHP Bureau of Criminal Investigations and Intelligence's internal audit of Intelligence Procedures. The assessment focused on information collection, storage, purging, and the utilization of intelligence personnel and techniques.

The FHP Intelligence Procedures Manual, Law Enforcement Intelligence Unit Guidelines, FHP Policies 22.03 and 17.16, Field Intelligence Reports, Chapter 119, Florida Statutes, and Code of Federal Regulations, Title 28: Judicial Administration Part 23 - Criminal Intelligence Systems Operating Policies were referenced in the Intelligence Procedure Audit and were used as the basis for this review.

The assessment determined the FHP Intelligence Procedures are compliant with each of the requirements in FHP Policy 22 .03.07(d).

Development, Assessment, and Validation of Performance Measures

Section 20.055(2)(b), Florida Statutes, requires the OIG to assess the validity and reliability of the information reported by the Department and make recommendations for improvement, if necessary, prior to submission of those measures and standards to the Executive Office of the Governor.

Performance Measures Advisory Memorandum 201213-01 - October 12, 2012

The Department's 2011-12 Performance Measures were reviewed as required by Section 20.055(2)(b), F.S. As part of the review, the validity and reliability of 11 measures from the Reliable Service Delivery category for the 2011-12 Fiscal Year were assessed and the proposed changes to 17 performance measures were evaluated, including five new measures, for the Department's 2013-14 through 2017-18 Long-Range Program Plan (LRPP).

For this review, we defined:

- Validity - The appropriateness of the measuring instrument in relation to the purpose for which it is being used.
- Reliability - The extent to which the measuring procedure yields the same results on repeated trials and data are complete and sufficiently error free for the intended use.

All 11 of the performance measures reviewed from the Reliable Service Delivery category and the proposed changes to 17 performance measures that became effective July 1, 2012, were valid and reliable in relation to the intended purpose and use.

Status of Prior Audit Recommendations

Section 20.055, F.S., requires the identification of each significant recommendation described in previous annual reports on which corrective action has not been completed. As of June 30, 2013, there were four audit reports described in previous OIG annual reports that have recommendations open 12 months or more.

Audit Report 201011-01: Data Security

Issued April 14, 2011

The outstanding recommendations relate to Department reviews of physical security access rights and documentation of wireless equipment.

Audit Report 201011-12: Financial Responsibility

Issued on December 14, 2011

The outstanding recommendations relate to system enhancements to operational improvements. The Department is addressing the outstanding audit recommendation through a system redesign of the of the Financial Responsibility (FR) system.

Audit Report 201112-03: Forfeiture Process

Issued on May 8, 2012

The outstanding recommendations relate to submission timelines for forfeiture documentation to the Office of General Counsel to meet the filing deadline, development of a system to ensure that an accurate inventory of seized currency and property is maintained, and that FHP should establish basic and continuing education forfeiture training.

Audit Report 201112-15: Wrecker Rotation Process

Issued June 27, 2012

The outstanding recommendations relate to maintenance of wrecker operator files, maintenance of wrecker operators' advertisements and signage in compliance with Rule 15B-9.005(4), FAC, and ensuring compliance with of Rule 15B-9.003, FAC.

Investigations

The mission of the Investigations Unit is to deter, detect, and investigate internal and external fraud or member misconduct impacting the Department. The authority and charge of the OIG investigative team is outlined in Section 20.055(6), F.S. Investigative activities are intended to deter, detect, and eradicate fraud, waste, mismanagement, misconduct, and other abuses within programs administered by the Department.

The Investigation Unit, led by the Director of Investigations, is responsible for the management and operation of criminal and administrative investigations involving the Department's law enforcement and civilian members as well as contractors or vendors. Investigations are conducted in accordance with the Association of Inspectors General *Quality Standards for Investigations*.

Each allegation is classified, subsequent to a conclusion of fact, based on a thorough and competent investigation as follows:

- Exonerated – The allegation is true; however, the action of the Department or the member was consistent with agency policy.
- Unfounded – The complaint was clearly false or there is no credible evidence to support the complaint.
- Not Sustained – There is insufficient proof to confirm or to refute the allegation.
- Sustained – The allegation is true; the action of the Department or the member was inconsistent with Department policy.
- Policy Failure – The allegation is true. The action of the Department or member was consistent with Department policy; however, the policy was deficient.

Once an investigation is completed:

- Case dispositions are reported to the Executive Director and appropriate managers.
- When allegations are sustained involving possible disciplinary action, the OIG provides the necessary facts to the Department's management staff to assist them in taking the appropriate disciplinary actions.
- Criminal investigations are referred to the appropriate State Attorney's Office for prosecution.

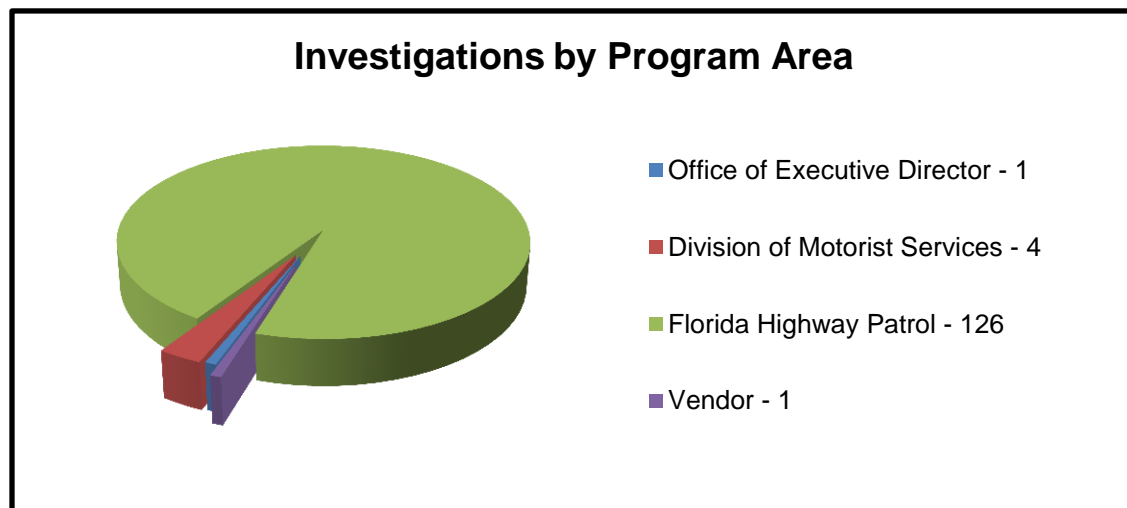
During the 2012-13 Fiscal Year, the Investigations Unit closed 132 cases of alleged member and vendor misconduct. Additionally, 92 substantial inquiries were performed to assess allegations and 251 cases were referred to the appropriate functional area within the Department for handling.

The vast majority of investigations involved complaints against sworn law enforcement officers of the FHP. It can be expected that FHP sworn members are the subjects of most complaints given their visibility and duty. FHP Troopers are patrolling the state's highways 24 hours a day, 7 days a week throughout the year. The duties of FHP Troopers requires the issuance of citations, traffic crash reporting, traffic homicide reporting, and arrests when necessary.

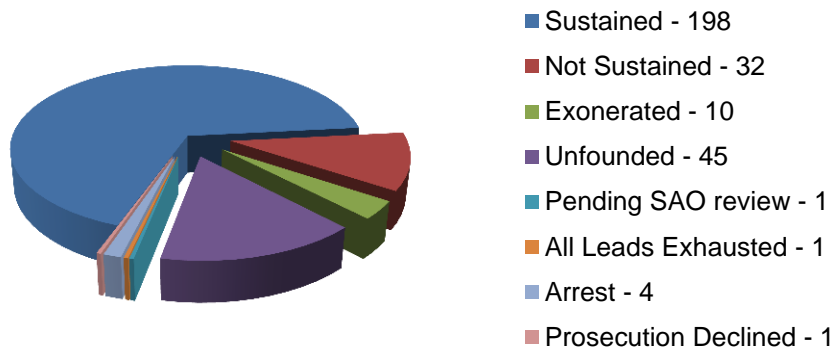
For the 2012-13 Fiscal Year, the following charts depict investigations performed by program area, investigative findings, and investigative activities performed.

Investigative Activities and Findings by Program Area

Investigative Activities	Number
Cases Opened	128
Cases Closed	132
Cases with Substantiated Allegations	92
Number of Criminal Investigations	7
Complaint Inquiries That Did Not Result in Investigation	124
Public Records Requests	205



Investigative Findings Related to the Number of Investigations Closed



Case Summaries

Investigative activity is conducted to identify facts and circumstances to prove or disprove each allegation. The results of investigative activity are documented within Reports of Investigation published by the OIG. The information below depicts the identification of an investigative case number, date closed, a brief summary of the investigation, and disciplinary action if available for the 132 investigations completed during the 2012-13 Fiscal Year.

20120205: (7/2/2012) A Department initiated investigation was conducted into misconduct at an FHP Communications Center. The allegations included inappropriate personal contact between two Duty Officers, harassment, intimidation, and inappropriate language. The investigation determined all allegations were either unfounded or not sustained, except for the allegation involving inappropriate language between a Duty Officer Supervisor and a Duty Officer, which was sustained. The Duty Officer Supervisor resigned in lieu of dismissal.

20120215: (7/2/2012) A Department initiated investigation was conducted to determine whether a Trooper violated Department policy while responding to a call. The Trooper was alleged to have been operating their assigned patrol car at an excessive rate of speed when involved in a traffic crash that resulted in a fatality. Additionally, the Mobile Video/Audio Recording (MVR) was not activated during the response. The investigation determined both allegations were sustained and the Trooper was dismissed.

20120238: (7/2/2012) A Department initiated investigation was conducted into the allegation that a Duty Officer engaged in inappropriate behavior in the workplace at an FHP Communication Center. The investigation determined the allegation was sustained and the Duty Officer received an oral reprimand.

20120240: (7/9/2012) A Department initiated investigation was conducted to determine if four Duty Officers and a Duty Officer Supervisor consumed alcoholic beverages during a training day while being compensated by the Department. The investigation determined the allegation was sustained and four members received suspensions and one member was dismissed.

20120206: (7/12/2012) Based on a citizen complaint, an investigation was conducted into the alleged excessive use of force on a citizen by a Trooper. The investigation determined the allegation was unfounded.

20120270: (7/13/2012) A Department initiated investigation was conducted to determine if a Trooper participated in a sexual relationship with another Department member while on-duty. The investigation determined the allegation was not sustained and the Trooper resigned while under investigation.

20120212: (7/16/2012) Based on a citizen complaint, an investigation was conducted into the allegations that a Corporal was addicted to a controlled substance, was obtaining prescription drugs illegally, and had exhibited abnormal behavior. The investigation determined the allegations were not sustained and the Corporal retired while under investigation.

20120277: (7/17/2012) A Department initiated investigation was conducted into the allegation that a Trooper was absent without authorized leave and failed to notify their supervisor. The investigation determined the allegation was exonerated.

20120285: (7/19/2012) Based on a citizen complaint, an investigation was conducted to determine if a Trooper attended a family member's criminal court hearing wearing their FHP uniform. The investigation revealed the Trooper utilized their Department issued patrol vehicle to attend the court hearing and was out of their zone without authorization. The investigation also revealed the Trooper did not properly document work hours for those days on their timesheet. The allegations that the Trooper misused Department issued equipment/property, was out of their assigned zone without authorization, appeared in uniform in court for a non-job related purpose, and attempted to use their official position for personal gain were sustained. The Trooper resigned while under investigation.

20120299: (7/19/2012) Based on a citizen complaint, an investigation was conducted to determine if a Trooper conducted a license plate check on a citizen's vehicle without lawful purpose and utilized Department resources. The investigation determined the allegation was sustained and the Trooper received a written reprimand.

20120250: (7/24/2012) A Department initiated investigation was conducted to determine if a Trooper failed to conduct a proper investigation related to a seriously injured person. The investigation determined the allegation was sustained and the Trooper received a written reprimand.

20120307: (7/27/2012) A Department initiated investigation was conducted to determine if a Trooper failed to transmit a uniform traffic citation to the Clerk of the Court in accordance with Department policy. The investigation determined the allegation was sustained and the Trooper was allowed to resign in lieu of dismissal per settlement agreement.

20120348: (7/27/2012) Based on a citizen complaint, an investigation was conducted to determine if a Trooper engaged in racial profiling. After the initiation of the investigation, the complainant contacted the OIG and said he did not want to provide a sworn recorded statement regarding his allegation. The allegation was unfounded.

20120306: (8/3/2012) A Department initiated investigation was conducted to determine if a Captain failed to document and report a complaint in violation of policy. The investigation determined the allegation was not sustained.

20120337: (8/4/2012) A Department initiated investigation was conducted to determine if a Trooper failed to transmit citations to the Clerk of the Court within the time required by Department policy. The investigation determined the allegation was not sustained.

20120217: (8/4/2012) A criminal investigation was initiated following a complaint from a pharmacist alleging a Trooper was trying to fill prescriptions early and transferring prescriptions between pharmacies for prescriptions already filled. The State Attorney's Office issued an arrest warrant for three counts of Doctor Shopping. The case was closed by arrest.

20120239: (8/13/2012) A criminal investigation was conducted following an allegation that a Trooper provided false and inaccurate information in an arrest report and deposition related to two arrests. The State Attorney's Office issued an arrest warrant for one count of Official Misconduct and one count of Perjury in Official Proceedings. The case was closed by arrest.

20120278: (8/16/2012) A Department initiated investigation was conducted into the allegation that a Trooper conducted an improper misdemeanor arrest. The investigation determined the allegation was unfounded. Additionally, it was alleged that the Trooper used profanity during the course of the arrest, used excessive force with a person restrained by handcuffs, and mistreated a person in custody. The investigation determined all allegations were sustained and the Trooper resigned while under investigation.

20120401: (8/16/2012) An administrative investigation related to criminal investigation 20120217 was conducted. It was alleged that a Trooper obtained controlled substances by fraud and withheld information from a practitioner. The investigation determined the allegation was sustained and the Trooper was dismissed.

20120284: (8/21/2012) A Department initiated investigation was conducted to determine if a Lieutenant made inappropriate remarks by using profane language and inappropriately touched the complainant with a plastic training knife during a defensive tactics training class. The investigation determined both allegations were not sustained.

20120334: (8/24/2012) Based on an anonymous complaint, an investigation was conducted to determine if a Corporal had an unethical relationship with a law firm and provided confidential information to the law firm investigators that was not available to other private investigators. The investigation determined both allegations were unfounded.

20120335: (8/24/2012) Based on a citizen complaint, an investigation was conducted into the allegation that a Trooper unlawfully detained the complainant during a traffic crash investigation. The investigation determined the allegation was not sustained.

20120354: (8/24/2012) Based on a citizen complaint from a former FHP recruit, an investigation was conducted into the allegation that a Lieutenant failed to provide him with food or water. The investigation determined the allegation was unfounded. Additionally, it was alleged that the Lieutenant physically grabbed him at a medical facility and failed to assist him in obtaining prescribed medication. The investigation determined the allegations were sustained and the Lieutenant received a letter of counseling.

20120363: (8/24/2012) Based on a citizen complaint, an investigation was conducted into the allegation that a Trooper left his Department issued firearm in a restroom at a gas station. The investigation determined the allegation was sustained and the Trooper received a written reprimand.

20120300: (8/31/2012) A Department initiated investigation was conducted to determine if a Trooper misused the Driver and Vehicle Information Database (DAVID). The investigation determined the allegation was sustained and the Trooper received a written reprimand.

20120298: (9/6/2013) A Department initiated investigation was conducted to determine if a Government Operations Consultant worked for a private business contracting with the Department after being denied for secondary employment due to an existing conflict of interest. The investigation determined the allegation was sustained and the member retired in lieu of dismissal.

20120276: (9/7/2012) Based on a citizen complaint and subsequent supervisory review, an investigation was conducted to determine if a Trooper improperly cited motorists, cited a disproportionate amount of citations to African Americans, failed to patrol during assigned work schedule, failed to properly report work status, and misreported work hours. The investigation determined all allegations were sustained and the Trooper was dismissed.

20120408: (9/7/2012) A Department initiated investigation was conducted to determine if a Trooper was engaged in physical fitness activity while in on-duty status. The investigation determined the allegation was sustained and the Trooper received a written reprimand.

20120364: (9/11/2012) Based on a citizen complaint, an investigation was conducted into the allegation that a Trooper failed to submit safety audits within seven days as required. The investigation determined the allegation was sustained and the Trooper received a written reprimand.

20120397: (9/13/2012) A Department initiated investigation alleged a Trooper failed to respond or take appropriate action to locate a traffic crash. The investigation determined the allegation was sustained and the Trooper received a letter of counseling.

20120336: (9/14/2012) A criminal investigation was initiated following a complaint that a Trooper allegedly stole seized currency. The case findings were discussed with the State Attorney's Office who advised that based upon the findings of the investigation, it was unnecessary to submit it for review. The case was closed and classified as "No Charges Filed - All Available Leads Extinguished."

20120365: (9/17/2012) Based on a citizen complaint, an investigation was conducted into the allegations that a Trooper used DAVID and the Florida Crime Information Center/National Crime Information Center (FCIC/NCIC) system for personal use. The investigation determined the allegation was sustained. Additionally, it was alleged the Trooper engaged in sexual relations while on-duty. The investigation determined the allegation was not sustained. The Trooper resigned while under investigation.

20120422: (9/17/2012) Based on a citizen complaint, an investigation was conducted to determine if a Trooper left the scene of a single vehicle patrol car crash and failed to report it, used their Department assigned vehicle for other than work related purposes, and failed to complete a Department offense incident report as instructed to do so by a Sergeant. The investigation determined all three allegations were sustained and the Trooper resigned while under investigation.

20110611: (9/24/2012) An administrative investigation related to criminal investigation 20110303 was conducted. It was alleged that a Trooper borrowed canine scent packs from a co-worker and when returned, the packs appeared to be tampered with. The investigation revealed evidence that the Trooper diluted the narcotics with starch, sucrose, and lactose before returning them; failed to document a chain of custody exchange between himself and the other Trooper; provided a scent pack to another police department; and failed to ensure safe return. The allegation the Trooper issued a non-Department authorized scent pack to another Trooper was unfounded. The other three allegations were sustained. The Trooper resigned while under investigation.

20120419: (9/25/2012) A Department initiated investigation was conducted to determine if a Trooper engaged in unapproved secondary employment, utilized their patrol vehicle to drive to ODPE, and operated their patrol vehicle without notifying dispatch. The investigation determined all three allegations were sustained and the Trooper received a written reprimand.

20120362: (9/28/2012) A Department initiated investigation was conducted to determine if a Government Analyst misused their position to obtain information not related to their official duties and misused state computer resources. The investigation determined both allegations were sustained and the member received a written reprimand.

20120417: (10/1/12) A Department initiated investigation was conducted to determine if a Trooper failed to report a traffic crash which caused damage to their patrol car. The investigation determined the allegation was sustained and the Trooper received a suspension.

20120427: (10/1/12) A Department initiated investigation was conducted to determine if a Captain violated Department policy by working ODPE at a road construction job site, worked Hireback details at a road construction job site, and allowed their subordinate to schedule them for ODPE. The investigation determined all three allegations were sustained. The complaint also alleged the Captain approved his own ODPE, which was unfounded. A Lieutenant was also a subject in the investigation and was alleged to have scheduled his supervisor for ODPE as well as scheduling a Lieutenant and two Captains for Hireback details when other bargaining unit members were available. The investigation determined both allegations were sustained. The Captain received a suspension and the Lieutenant received a written reprimand.

20120455: (10/1/2012) Based on a citizen complaint, an investigation was initiated into the allegation that a Captain inappropriately grabbed her hands during a traffic stop. The investigation determined the allegation was exonerated.

20120420: (10/1/2012) A Department initiated investigation was conducted into the allegations that a Trooper engaged in an unauthorized pursuit, failed to immediately report a vehicle crash that occurred during the pursuit, and was untruthful during two sworn interviews as part of the investigation. The investigation determined all three allegations were sustained and the Trooper was allowed to resign in lieu of dismissal per settlement agreement.

20120313: (10/1/2012) A Department initiated investigation was conducted to determine if a Trooper possessed a canine scent pack without Department authorization. The investigation determined the allegation was not sustained.

20120439: (10/1/2012) Based on a citizen complaint, an investigation was initiated to determine if a Trooper failed to conduct a proper inventory of a vehicle prior to towing. The vehicle was towed, and when subsequently retrieved from the wrecker company, allegedly, cash and prescription medication was missing. The citizen, through her attorney, would not provide any statements regarding the alleged missing money and prescription medication. The investigation determined the allegation was sustained and the Trooper received a letter of counseling.

20120432: (10/4/2012) A Department initiated investigation was conducted into the allegation that a Trooper engaged in an unauthorized pursuit. The investigation determined the allegation was unfounded.

20120440: (10/8/2012) A Department initiated investigation was conducted to determine if a Trooper discharged their Department issued firearm during a pursuit. The State Attorney's Office concluded the use of deadly force by the Trooper was justified and did not warrant criminal charges. The investigation determined the allegation was exonerated.

20120399: (10/18/2012) A Department initiated investigation was conducted into the allegation that a Sergeant failed to document a report of injury for a Trooper. The investigation determined the allegation was sustained and the Sergeant received a suspension.

20120463: (10/18/2012) An administrative investigation related to criminal investigation 20120336 was conducted. It was alleged that two Troopers seized a firearm, marijuana, and cash and failed to complete an Evidence/Property receipt and provide it to the owner after the seizure; failed to complete a drug interdiction report; failed to deliver a seized firearm to the sheriff's office prior to the end of shift; and maintained a firearm, drugs, and currency in a patrol car over a weekend rather than depositing into evidence at the end of their shift. The investigation determined all allegations were sustained. One Trooper received a suspension and the other received a written reprimand.

20120454: (10/19/2012) A Department initiated investigation was conducted to determine if a Trooper failed to accurately report enforcement activity. The investigation determined the allegation was sustained and the Trooper received a suspension.

20120398: (10/22/2012) A Department initiated investigation was conducted into the allegations that a Major retaliated against a subordinate for reporting the wrong doing of a relative of the Major and that the Major made inappropriate comments about the subordinate. The investigation determined both allegations were unfounded.

20120483: (10/26/2012) A Department initiated investigation was conducted to determine if a Sergeant failed to notify their chain-of-command of a citizen complaint that was serious in nature and potentially criminal. The investigation determined the allegation was sustained and the Sergeant received a written reprimand.

20120418: (10/29/2012) A Department initiated investigation was conducted into the allegation that a Sergeant made a racial slur to another member during a conversation. The investigation determined the allegation was unfounded.

20120484: (10/29/2012) A Department initiated investigation was conducted into the allegation that a Duty Officer violated Criminal Justice Information Services procedures when they took photographs with their personal cell phone in the communications center and posted the pictures to Instagram (a social network). The investigation determined the allegation was sustained and the member received a suspension.

20120176: (10/29/2012) A criminal investigation was conducted to determine if a Trooper was dealing in stolen property and observed cocaine purchases without taking law enforcement action. The investigation determined the allegation was unfounded.

20120409: (10/31/2012) A Department initiated investigation was conducted into the allegation that a Captain altered their regular shift to work ODPE and inaccurately reported his Hireback activity, and also that a Lieutenant scheduled Lieutenants and Captains for Hireback when other bargaining unit members were available. The investigation determined all three allegations were sustained. It was also alleged the Captain worked ODPE in view of the public. This allegation was unfounded. Additionally, it was alleged the Captain altered his normal schedule and worked Hireback at times overlapping his normal duty hours without approval. The allegation was not sustained. The Captain received a suspension and the Lieutenant received a written reprimand.

20120482: (11/5/2012) Based on a citizen complaint, an investigation was conducted to determine if a Trooper failed to follow vehicle towing and impoundment procedures when money went missing from a purse that remained in a towed vehicle while an occupant was transported to the hospital. The investigation determined the allegation was sustained and the Trooper received a written reprimand.

20120486: (11/9/2012) Based on a citizen complaint, an investigation was conducted into the allegation that a Trooper failed to properly conduct a traffic crash investigation. The investigation determined the allegation was sustained and the Trooper received a written reprimand.

20120477: (11/13/2012) Based on a citizen complaint, an investigation was conducted to determine if an Operations & Management Consultant utilized a Department vehicle for non-work related purposes, transported an unauthorized passenger in a Department vehicle, and worked from their residence without a telecommuting agreement with the Department. The investigation determined all allegations were sustained to include providing false statements during an official Department proceeding. The member was dismissed.

20120485: (11/13/2012) A Department initiated investigation was conducted to determine if a Trooper posed in uniform for a newspaper and magazine advertisement to promote a business without authorization. The Trooper's image and statements were used by the business for promotional purposes in both newspaper and magazine advertisements. Additionally, during the investigation, the Trooper admitted to accepting free workout sessions from the business. The investigation determined both allegations were sustained and the Trooper resigned while under investigation.

20120488: (11/13/2012) A Department initiated investigation was conducted into the allegation that a Sergeant physically threatened a Trooper during a verbal exchange in reference to transporting an arrestee. The investigation determined the allegation was unfounded.

20120553: (11/19/2012) Based on a citizen complaint, an investigation was conducted to determine if a Trooper inappropriately touched the complainant while working an ODPE detail. The investigation determined the allegation was not sustained.

20120481: (11/20/2012) A Department initiated investigation was conducted into the allegation that a Trooper hit and threw their spouse out of their residence. Local police responded to the incident and the Trooper was subsequently arrested for Battery. The spouse formally requested the criminal charge be dropped and the State Attorney's Office filed a "No Information" document. The investigation determined the allegation was sustained and the Trooper received a suspension.

20120520: (11/21/2012) A Department initiated investigation was conducted to determine if a Major allowed a member to drive a patrol car to an alternate location within the state, prior to traveling to the approved destination. The investigation determined the allegation was exonerated. Additionally, it was alleged the Major did not enforce policy regarding secondary employment and/or provide effective oversight by allowing a Captain to work traffic related ODPE and Hireback. The investigation determined the allegation was sustained and the Major received a suspension.

20120457: (11/21/2012) A Department initiated investigation was conducted into the allegation that a Lieutenant intimidated a Corporal by requiring them to make up a missed shift due to illness and that a Captain permitted the Lieutenant to intimidate the Corporal. The Corporal also claimed the Captain failed to provide assistance when notified they were under stress. The investigation determined all three allegations were unfounded.

20120403: (11/25/2012) A Department initiated investigation was conducted to determine if a Captain failed to properly oversee the FHP Communications Center, which allowed subordinates to claim time not worked and engage in non-work related activities while on-duty. The investigation determined the allegation was sustained and the Captain received a suspension.

20120400: (11/25/2012) A Department initiated investigation was conducted into the allegations that a Sergeant failed to conduct bi-weekly meetings with his subordinates and falsified roster sheets and line inspection reports, improperly reported his time worked, failed to properly document the progress of a subordinate during field training, failed to properly maintain issued equipment, appeared in court wearing an FHP uniform for a traffic citation received during an on-duty patrol car crash, and used DAVID for personal use. The investigation determined the Sergeant failed to conduct required meetings with subordinates and falsified roster sheets and line inspection reports. Additionally, the Sergeant was found to have not met expectations regarding field training duties and failed to document a subordinate's progress in the program. The Sergeant also appeared in traffic court in uniform for a ticket received during an on-duty patrol car crash in violation of Department policy. These allegations were all sustained. The allegations involving improperly reporting time worked and misuse of DAVID were both unfounded. The allegation related to failing to properly maintain issued equipment was not sustained. The Sergeant was allowed to resign in lieu of dismissal per settlement agreement.

20120519: (12/3/2012) Based on a citizen complaint, an investigation was conducted to determine if a Trooper improperly charged the complainant with no motorcycle endorsement. The complainant went before an arraignment and the State Attorney's Office elected not to prosecute because any scooter that has an engine displacement of 49 cubic centimeters does not require a motorcycle endorsement to operate. The investigation determined the allegation was sustained. Additionally, it was alleged the Trooper was rude and discourteous to the complainant when the Trooper threatened to impound the complainant's vehicle and take the complainant to jail. The investigation determined the allegation was not sustained. The Trooper received a written reprimand.

20120487: (12/5/2012) Based on a citizen complaint, an investigation was conducted to determine if a Trooper failed to properly investigate a traffic crash of an impaired driver and did not follow Department vehicle towing and impoundment procedures. The investigation determined the allegation the Trooper failed to properly investigate a traffic crash of an impaired driver was exonerated, and the allegation the Trooper did not follow Department towing and impoundment procedures was unfounded.

20120573: (12/12/2012) A Department initiated investigation was conducted into the allegation that a Trooper allowed himself to be photographed which identified him as an FHP member in uniform in connection with an advertisement without approval. The investigation determined the allegation was exonerated.

20120569: (12/14/2012) A Department initiated investigation was conducted to determine if a Lieutenant failed to properly oversee the FHP Communications Center which allowed subordinates to claim time not worked and engage in non-work related activities while on-duty. The investigation determined the allegation was sustained and the Lieutenant received a suspension.

20120518: (12/18/2012) A Department initiated investigation was conducted into the allegation that a Trooper misused DAVID and the FCIC/NCIC system. Additionally, the investigation discovered that two Sergeants made inquiries in DAVID without a law enforcement purpose. The investigation determined the allegations were sustained and the Trooper and two Sergeants each received a written reprimand.

20120611: (1/2/2013) A Department initiated investigation was conducted to determine if a Sergeant failed to develop an Operational Plan for a Comprehensive Roadside Checkpoint as instructed by their supervisor. The investigation determined the allegation was sustained and the Sergeant received a written reprimand.

20120421: (1/2/2013) A Department initiated investigation was conducted into the allegations that a Trooper returned to a private business after receiving a trespass warning, was insubordinate by failing to let the local law enforcement agency handle the recovery of their father's stolen property, wrongfully attempted to recover property they believed to have been stolen from their father, and used DAVID to access records for personal use. The investigation determined all allegations were sustained and the Trooper received a suspension.

20120544: (1/2/2013) A Department initiated investigation was conducted to determine if a Sergeant used their patrol vehicle to travel to their home, out of their county of assignment, multiple times without authorization, and communicated false work status to the communication center and failed to immediately report their involvement in a patrol car crash. The investigation determined all allegations were sustained and the Sergeant received a suspension.

20120587: (1/3/2013) A Department initiated investigation was conducted to determine if a Trooper attended a high school game while on-duty and in uniform. The investigation determined the allegation was sustained and the Trooper received a written reprimand.

20120628: (1/4/2013) Based on a citizen complaint, an investigation was conducted to determine if a Trooper improperly issued a traffic citation. The investigation determined the allegation was sustained and the Trooper was dismissed.

20120456: (1/7/2013) Based on a citizen complaint, an investigation was conducted into the allegation that a Sergeant engaged in an inappropriate relationship with a minor. The investigation determined the allegation was sustained and the Sergeant was allowed to retire in lieu of dismissal per settlement agreement.

20120588: (1/11/2013) Based on a citizen complaint, an investigation was conducted into the allegation that a Trooper used his position to intimidate and threaten the complainant over a non-duty related matter. The investigation determined the allegation was sustained and the Trooper received a written reprimand.

20120586: (1/16/2013) A Department initiated investigation was conducted into the allegation that a Corporal failed to appear in traffic court for high profile traffic offenses, resulting in the cases being dismissed. The investigation determined the allegation was exonerated. Additionally, it was alleged the Sergeant did not take sufficient supervisory action to ensure a subordinate was in court and the state was represented during a court hearing. The investigation determined the allegation was sustained and the Sergeant received a suspension.

20120585: (1/17/2013) Based on a citizen complaint, an investigation was conducted to determine if a Trooper had an inappropriate conversation with a citizen. The investigation determined the allegation was not sustained. Additionally, it was alleged the Trooper failed to make an arrest of a DUI suspect. The investigation determined the allegation was unfounded. During the course of the investigation, it was discovered that the Trooper failed to notify dispatch, or a supervisor, they were leaving the county and troop of assignment; failed to notify dispatch of a passenger in their patrol car; turned off the Automatic Vehicle Locator (AVL) while on-duty; turned off the MVR to prevent it from recording a traffic stop, and failed to document a traffic stop on the Traffic Stop Data Report. The investigation determined these allegations were sustained and the Trooper was allowed to resign in lieu of dismissal per settlement agreement.

20120657: (1/22/2013) A Department initiated investigation was conducted into the allegation that a Trooper failed to identify a suspected DUI driver during a traffic stop. The investigation determined the allegation was sustained and the Trooper received a suspension.

20120672: (1/22/2013) A Department initiated investigation was conducted to determine if a Captain adjusted their work schedule to work ODPE. The investigation discovered the Captain failed to notify the communications center when their ODPE began and ended, did not use the AVL, falsified records by claiming travel time as time worked, and claimed time worked with FHP and ODPE at the same time. The investigation determined all allegations were sustained and the Captain retired in lieu of dismissal.

20120572: (1/30/2013) A Department initiated investigation was conducted to determine if a Sergeant failed to take appropriate action during an unauthorized pursuit. Additionally, it was alleged that a Trooper and Recruit Trooper were involved in the unauthorized pursuit. The investigation determined the allegation against the Recruit Trooper was exonerated. The allegation against the Sergeant and other Trooper was sustained and they both received a suspension.

20120699: (1/31/2013) A Department initiated investigation was conducted into the allegation that a Trooper discharged their Department issued weapon while serving in the official capacity as a State Trooper. After reviewing the FDLE criminal investigation and Department policy, it was determined the Trooper's actions during this incident were justified and in accordance with Department policy regarding Use of Control and the allegation was exonerated.

20120552: (2/5/2013) A Department initiated investigation was conducted to determine if a Trooper operated a Department vehicle while under the influence of a substance to the point of impairment. The Trooper was arrested for DUI. The investigation determined the allegation was sustained and the Trooper was dismissed.

20120610: (2/5/2013) A Department initiated investigation was conducted into the allegation that a Lieutenant improperly scheduled Hireback assignments. The investigation determined the allegation was sustained and the Lieutenant received a written reprimand.

20120589: (2/11/2013) A Department initiated investigation was conducted to determine if two Sergeants scheduled ODPE for their Lieutenant, and utilized the Mobile Data Computer (MDC) for non-official FHP business. Additionally, it was alleged the Lieutenant worked ODPE scheduled by their Sergeants, utilized the MDC for non-official FHP business, and falsified records. The investigation determined all allegations were sustained. The Lieutenant was demoted to Sergeant and the two Sergeants received written reprimands.

20120676: (2/11/2013) A Department initiated investigation was conducted into the allegation that a Trooper worked Hireback while claiming sick leave hours on their People First timesheet. The investigation determined the allegation was sustained and the Trooper received a written reprimand.

20130042: (2/14/2013) Based on a citizen complaint, an investigation was conducted to determine if a Trooper mishandled currency belonging to the complainant. The complainant refused to provide a sworn statement regarding the allegations and no independent evidence was obtained to support the initial claim made by the complainant. The allegation was unfounded.

20120671: (2/16/2013) A Department initiated investigation was conducted into the allegation that seven members of the FHP conducted themselves, while on-duty, in such a manner that their actions and behavior reflected unfavorably towards the Department. Six Troopers and one Corporal were in attendance during court proceedings during a pre-trial hearing for a former Trooper. The investigation determined the allegation was sustained on the Corporal and two Troopers. The allegation was not sustained on three Troopers, and was unfounded on the fourth Trooper, who was a Recruit Trooper. An additional allegation was discovered that a Trooper claimed State Overtime Action Response (SOAR) for being in attendance at the courthouse on their day off, this allegation was sustained. The Corporal and two Troopers each received a suspension.

20120640: (2/18/2013) A Department initiated investigation was conducted to determine if a Trooper, who encountered a DUI suspect, called a family member to pick up the suspect rather than conduct a DUI investigation. The investigation determined the Trooper failed to take appropriate law enforcement action on a DUI suspect and failed to videotape the traffic stop involving the DUI suspect. The allegations were sustained and the Trooper received a written reprimand.

20130013: (2/18/2013) A Department initiated investigation was conducted into the allegation that a Field Supervisor sexually harassed a Senior Clerk by touching or tugging on their shirt. The investigation determined the allegation was unfounded; however, the supervisor made physical contact with the member by touching or tugging on the member's shirt which is in violation of Department Policy. The allegation was sustained and the member received counseling.

20130012: (2/26/2013) A Department initiated investigation was conducted to determine if a Trooper improperly used their MDC and improperly distributed unapproved crash reports. The investigation determined both allegations were sustained. It was also alleged the Trooper improperly used their position in an attempt to gain benefits for their spouse. The investigation determined the allegation was unfounded. The Trooper received a suspension.

20120459: (2/27/2013) A Department initiated investigation was conducted into the allegation that a Trooper used excessive use of control while attempting to stop a reckless driver, by discharging their Department issued weapon. The State Attorney's Office reviewed the Department's criminal case report and concluded the deadly force that was used by the Trooper while attempting to stop a reckless driver, by discharging his Department issued weapon was justified and no criminal charges were warranted. The allegation was exonerated. Additionally, there was an allegation that the Trooper, during the pursuit, failed to come to a complete stop at multiple traffic control devices. The investigation determined the allegation was sustained and the Trooper received a letter of counseling.

20120507: (2/27/2013) Based on a citizen complaint, an investigation was conducted to determine if a Corporal failed to conduct an adequate (lawfully and reasonably sufficient) traffic homicide investigation and if the investigative findings were biased. The investigation determined the Corporal conducted an adequate traffic homicide investigation and the allegation was not sustained. The investigation determined the Corporal was not biased in the investigative findings and the allegation was unfounded.

20120642: (3/4/2013) A Department initiated investigation was conducted into the allegation that a Trooper falsified reported enforcement activity and falsified reported SOAR hours in People First. The investigation determined the allegations were sustained and the Trooper retired while under investigation.

20130094: (3/4/2013) A Department initiated investigation was conducted to determine if a Trooper falsified reported enforcement activity and falsified reported SOAR hours on People First. The Trooper's falsification of documents, disregard for FHP Policy and compensation of overtime when not entitled constituted Grand Theft, a Third Degree Felony. After consideration of available evidence and attendant circumstances, the State Attorney's Office declined prosecution and referred the case back to the OIG for appropriate administrative handling by the Department. The Trooper retired while under investigation.

20130083: (3/5/2013) Based on a citizen complaint, an investigation was conducted into the allegation that a Lieutenant failed to follow proper evidence procedures while serving as the Troop's Evidence Property Custodian. The investigation determined the allegation was sustained and the Lieutenant received a written reprimand.

20130068: (3/5/2013) Based on a complaint from the Federal Bureau of Investigations (FBI), an investigation was conducted into the allegation that a Trooper released law enforcement confidential information from the NCIC Suspected Terrorist File to an unauthorized individual. The investigation determined the allegation was not sustained.

20130051: (3/6/2013) Based on a citizen complaint, an investigation was conducted to determine if a Trooper falsely issued them a citation for failure to show proof of insurance on a traffic stop. The complainant failed to provide the OIG with the documents they allegedly attempted to provide the Trooper at the time of the traffic stop; therefore, the allegation was not sustained.

20120566: (3/11/2013) A Department initiated investigation was conducted to determine if a Third Party Road Rules and Road Signs Test Administrator (vendor) violated their contract with the Department by falsely advertising that their company was the only one in the State of Florida approved to proctor the exams, offered exams in areas of the state without authorization, fraudulently assisting persons to obtain driver licenses by obtaining materials used by the Department to administer the exams, and violated Section 322.56(6), F.S. by permitting testing by a person who was not listed as third-party tester. The investigation determined all of the allegations were sustained.

20120678: (3/11/2013) A Department initiated investigation was conducted into the allegation that a Trooper changed their work schedule without supervisory approval. The investigation determined the allegation was not sustained. Additionally, it was alleged the Trooper worked ODPE while on-duty for the Department. The investigation determined the allegation was sustained and the Trooper received a written reprimand.

20130043: (3/11/2013) Based on a citizen complaint, an investigation was conducted to determine if a Motorist Services Compliance Examiner discriminated against the complainant and was unprofessional and disrespectful in the performance of their job duties. The investigation determined the allegation was unfounded.

20130067: (3/18/2013) A Department initiated investigation was conducted into the allegation that a Corporal used their MDC for non-work related purposes. The investigation determined the allegation was sustained and the Corporal received a suspension.

20120658: (3/19/2013) Based on a citizen complaint, an investigation was conducted to determine if a Captain used Department information technology resources for personal gain or for self-employment or secondary employment activities on multiple occasions. It was also alleged the Captain used the FHP Station address for their business corporation address. The investigation determined both allegations were sustained and the Captain retired while under investigation.

20130048: (3/21/2013) A Department initiated investigation was conducted into the allegations that a Trooper initiated a prohibited pursuit, failed to perform their job duties and take appropriate action, failed to submit offense reports prior to the end of their shift, failed to obey a command given by a superior officer, and entered inaccurate, false or improper information in an offense report. The investigation determined all allegations were sustained except for the allegation the Trooper initiated a prohibited pursuit, this allegation was unfounded. The Trooper received a suspension.

20120641: (3/27/2013) Based on a citizen complaint, an investigation was conducted to determine if a Trooper requested their telephone number in order to contact them to have their ticket dismissed. Additionally, it was discovered the Trooper turned off the MVR prior to the end of traffic stops and conducted unauthorized searches on DAVID. The investigation determined all allegations were sustained. Discipline on this case is pending.

20130047: (3/27/2013) A Department initiated investigation was conducted into the allegation that a Corporal failed to collect evidence and complete a thorough investigation. The investigation determined the allegation was sustained and the Corporal received a written reprimand.

20120353: (4/9/2013) Based on a citizen complaint, a criminal investigation was conducted into allegations that a Trooper stalked the complainant, committed grand theft, improperly exhibited a firearm, and discharged a firearm in public. The case findings were presented to the State Attorney's Office. As of June 30, 2013, the case was pending with the State Attorney's office. On August 30, 2013, the State Attorney's Office notified the OIG that they declined prosecution.

20130099: (4/9/2013) Based on a citizen complaint, an investigation was conducted to determine if a Trooper had a relationship with a known felon, sent threatening text messages to the complainant, and used a MDC for non-work related purposes. The investigation determined the allegations were unfounded.

20130040: (4/10/2013) Based on a citizen complaint, an investigation was conducted into the allegations that a Sergeant engaged in a sexual relationship while on-duty and misused his MDC to access personal information on the complainant. The investigation determined both allegations were sustained. It was also alleged the Sergeant falsified and/or did not report his work status as required, this allegation was not sustained. The Sergeant was allowed to resign in lieu of dismissal per settlement agreement.

20130084: (4/12/2013) A Department initiated investigation was conducted to determine if a Trooper worked ODPE while on regular duty, failed to reimburse the Department for mileage incurred for the use of a Department vehicle while working ODPE, worked a combination of regular duty and ODPE in excess of 72 hours in a week, and inaccurately claimed court overtime hours. The investigation determined all allegations were sustained. In addition to the above allegations, the investigation determined the Trooper was being compensated by both the State of Florida and the ODPE employer for the ODPE hours worked during his regular shift, the Trooper's documentation of time worked did not correspond with actual time worked, and the Trooper falsified records by over reporting his work hours in People First and failing to accurately report ODPE hours on Monthly ODPE Reports. These allegations were also sustained and the Trooper received a suspension.

20130125: (4/12/2013) Based on a citizen complaint, an investigation was conducted into the allegation that a Trooper utilized a MDC to watch a pirated DVD movie and display an obscene picture during the field training and evaluation program, and that the Trooper claimed citations that were written during his regular work shift as SOAR activity. The investigation determined the allegation that the Trooper watched a pirated movie and displayed an obscene picture was unfounded; however, the fact that the Trooper inappropriately used a portable hard drive to download a DVD movie on his MDC was sustained. The allegation that the Trooper falsified his Report of Daily Activity (RDA) and SOAR activity reports by claiming citations written during his regular hours as SOAR activity was also sustained. The Trooper was allowed to resign in lieu of dismissal per settlement agreement.

20130132: (4/12/2013) A Department initiated investigation was conducted to determine if an Operations Analyst was in possession of a non-lethal electric device (stun gun) while on Department premises. The investigation determined the allegation was sustained. The member received no formal discipline.

20130100: (4/16/2013) A Department initiated investigation was conducted into the allegation that a Corporal worked more than 16 hours in a 24 hour period, split regular work shift hours to accommodate for ODPE, and claimed more hours in People First compared to actual hours worked. The investigation determined all allegations were sustained and the Corporal received a written reprimand.

20130063: (4/22/2013) A Department initiated investigation was conducted into the allegation that a Senior Clerk allowed their daughter and daughter's boyfriend access to a Department computer at the FHP station, allowed them to have private access to an office containing sensitive materials at the FHP station, and failed to maintain direct control of visitors at the station. The investigation determined all of the allegations were sustained. The member retired while under investigation.

20130081: (4/22/2013) A Department initiated investigation was conducted into the allegation that a Communications Training Officer created a hostile work environment and slept on-duty. In addition, it was alleged that a Duty Officer Supervisor gave an order to circumvent the DHSMV Sick Leave Policy, used her personal cell phone while at a radio console, and failed to provide appropriate oversight during job training. The investigation determined the allegations against the Communications Training Officer were not sustained. The investigation determined the allegations against the Duty Officer Supervisor were sustained and the member resigned while under investigation.

20130134: (4/24/2013) A Department initiated investigation was conducted to determine if a Trooper was observed shopping in a retail store while in uniform and on-duty and may have claimed more hours of SOAR than hours actually worked. The investigation determined the allegations were sustained and the Trooper received a suspension.

20130109: (4/29/2013) A Department initiated investigation was conducted to determine if a Trooper inaccurately reported work hours in both People First and in the RDA. The investigation determined the allegation was sustained. The Trooper received no formal discipline.

20130153: (4/29/2013) Based on a citizen complaint, an investigation was conducted into the allegation that a Trooper stole cash while conducting a vehicle search. The investigation determined the allegation was unfounded.

20130158: (5/3/2013) Based on a citizen complaint, an investigation was conducted to determine if a Trooper was stalking the complainant and his family members. The investigation determined this allegation was unfounded. Additionally, it was alleged the Trooper used his MDC to look up information related to the complainant and his family. The investigation determined this allegation was sustained and the Trooper received a suspension.

20130119: (5/6/2013) A Department initiated investigation was conducted to determine if a Corporal worked more than 16 hours in a 24 hour period, over reported hours worked on his People First timesheet, and failed to report ODPE shifts on the ODPE Monthly Report. Additionally, during the course of the investigation it was revealed that the Corporal worked ODPE while simultaneously working on-duty for FHP, failed to keep the AVL activated while operating a patrol vehicle, and used a patrol car for personal use when driving to a location approximately 55 miles from the Corporal's residence. The investigation determined the allegation that the Corporal worked more than 16 hours in a 24 hour period was not sustained. The investigation determined the other allegations were sustained. Discipline on this case is pending.

20130110: (5/15/2013) A Department initiated investigation was conducted to determine if a Sergeant worked more than 16 hours in a 24 hour period, adjusted his regular work shift to accommodate ODPE shifts, over reported hours worked on his People First timesheet, and inaccurately reported enforcement activity on Hireback and SOAR reports. The investigation determined the allegation that the Sergeant adjusted their regular work shifts to accommodate for ODPE shifts was unfounded. The investigation determined the other allegations were sustained. Discipline on this case is pending.

20130120: (5/15/2013) Based on an anonymous complaint, a criminal investigation was conducted into the allegation that a Trooper had been illegally purchasing gas with an FHP gas card for a privately owned vehicle for the past year. The State Attorney's Office issued an arrest warrant, and the investigation was closed by arrest.

20130237: (5/15/2013) Based on an anonymous complaint, an administrative investigation was conducted into the allegation that a Trooper had been illegally purchasing gas with an FHP gas card for a privately owned vehicle for the past year. The investigation determined the allegation was sustained and the Trooper retired while under investigation.

20130157: (5/20/2013) A Department initiated investigation was conducted to determine if a Trooper issued a traffic citation without proper cause at the scene of a traffic crash. The investigation determined the allegation was not sustained.

20130156: (5/31/2013) A Department initiated investigation was conducted into the allegation that a Trooper falsified records and certification forms to gain sick leave donations. The investigation determined the allegation was sustained. Discipline on this case is pending.

20130155: (6/3/2013) Based on a citizen complaint, an investigation was conducted to determine if a Trooper falsely arrested the complainant. The investigation determined the allegation was unfounded.

20130199: (6/4/2013) A Department initiated investigation was conducted into the allegations that a Trooper engaged in an unauthorized pursuit and falsely reported it. The investigation determined the allegations were not sustained.

20130212: (6/6/2013) A Department initiated investigation was conducted to determine if a Trooper failed to conduct a proper DUI investigation. The investigation determined the allegation was sustained. Discipline on this case is pending.

20130218: (6/6/2013) A Department initiated investigation was conducted into the allegation that a Trooper engaged in an inappropriate personal relationship while on-duty with a previous domestic violence victim. The investigation determined the allegation was not sustained.

20130213: (6/11/2013) A Department initiated investigation was conducted to determine if a Sergeant over reported activity on the RDA, over claimed SOAR hours in People First, and failed to submit SOAR reports. The investigation determined all allegations were sustained. Discipline on this case is pending.

20130214: (6/11/2013) Based on a citizen complaint, an investigation was conducted into the allegation that a Lieutenant detained 18 high school students for over 1.5 hours while threatening to take them to jail in order to determine who owned marijuana that was found. The investigation determined the allegation was unfounded.

20130246: (6/14/2013) A Department initiated investigation was conducted to determine if a Corporal incorrectly reported enforcement activity on the SOAR Activity Report, claimed SOAR hours in People First that he did not work, failed to ensure the AVL was actively transmitting while on-duty, and drove a Department vehicle to a residence outside the 30 mile radius allowed for the city of assignment. The investigation determined the allegation that the Corporal failed to ensure the AVL was actively transmitting while on-duty and driving a Department vehicle was not sustained. The investigation determined the other allegations were sustained and the Corporal resigned while under investigation.



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