



Quarterly Report on Agency Services to Floridians with Developmental Disabilities and Their Costs

Second Quarter Fiscal Year 2015-16
(October, November, December)

Submitted February, 2016



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Introduction

The **Agency for Persons with Disabilities (APD)** administers Medicaid waivers providing supports to 31,000 individuals with developmental disabilities across Florida enabling them to live, learn and work in their communities. APD also provides limited services to over 20,000 individuals on the Waiting List for waiver services. These individuals have autism, intellectual disability, spina bifida, cerebral palsy, Down syndrome (as of July 1, 2011), Prader-Willi syndrome, or are children ages 3 to 5 who are at high risk of being diagnosed with a developmental disability.

To meet the needs of the diverse population it serves, APD offers a wide array of services for individuals who are living in their own homes, family homes, or in licensed group facilities. Some of the 27 services currently provided by the agency include life skills development (companion services, adult day training, and employment services), occupational therapy, behavior analysis, adaptive and medical equipment, residential habilitation and physical therapy.

For the period of October through December 2015, over 1,300 individuals on the Waiting List for waiver services received limited services funded from General Revenue and the Social Services Block Grant (SSBG) through the agency, and another 11,000 Medicaid eligible individuals received some state services through the Medicaid State Plan. About 9,000 individuals on the Waiting List for waiver services did not receive any services through APD or the Medicaid State Plan during this period.

Historical Overview

On May 1, 2011, the agency began implementation of the new Developmental Disabilities Home & Community Based Services Individual Budgeting Waiver known as iBudget Florida. The iBudget Florida waiver uses an individual budgeting approach intended to enhance the simplicity, sustainability, and equity of the system while also increasing individuals' opportunities for self-direction. As of July 1, 2013, APD completed the transition of waiver clients to the iBudget Florida waiver statewide from the Tier Waiver system that had been in place since 2008.

Please share with us any comments or suggestions you have regarding this report. APD's Chief of Staff, Karen Hagan, may be reached at 850-414-8916.

Glossary of Terms Used in Report

APD - Agency for Persons with Disabilities

CDC+ Program - Consumer-Directed Care Plus Program

iBudget Waiver - Developmental Disabilities Individual Budgeting Home & Community Based Services Waiver

IFS - Individual and Family Supports

This report is prepared and distributed pursuant to section 393.0661(9), Florida Statutes.

“The Agency for Persons with Disabilities shall submit quarterly status reports to the Executive Office of the Governor, the chair of the Senate Ways and Means Committee or its successor, and the chair of the House Fiscal Council or its successor regarding the financial status of home and community-based services, including the number of enrolled individuals who are receiving services through one or more programs; the number of individuals who have requested services who are not enrolled but who are receiving services through one or more programs, with a description indicating the programs from which the individual is receiving services; the number of individuals who have refused an offer of services but who choose to remain on the list of individuals waiting for services; the number of individuals who have requested services but who are receiving no services; a frequency distribution indicating the length of time individuals have been waiting for services; and information concerning the actual and projected costs compared to the amount of the appropriation available to the program and any projected surpluses or deficits...”

1. Services Received by Waiver Enrollees

Tables 1a, 1b, 1c and 1d provide information on services received by persons enrolled in APD waivers.

Table 1a: Waiver Enrollment and Payments *

| Month | iBudget CDC | | iBudget | | All Waivers | |
|--------|--------------------|-----------------------|--------------------|-----------------------|--------------------|-----------------------|
| | Enrolled Clients** | Total Waiver Payments | Enrolled Clients** | Total Waiver Payments | Enrolled Clients** | Total Waiver Payments |
| Oct-15 | 2,358 | \$6,405,602 | 28,689 | \$60,869,898 | 31,047 | \$67,275,500.16 |
| Nov-15 | 2,393 | \$6,283,630 | 28,745 | \$74,955,453 | 31,138 | \$81,239,082.57 |
| Dec-15 | 2,417 | \$6,576,941 | 28,816 | \$88,206,571 | 31,233 | \$94,783,511.73 |

* Since waiver payments are reported in this table by month of payment rather than by month of service, clients may show claims payments simultaneously under multiple waivers.

**As of the first day of the month.

Source: Allocation, Budget, and Contracts (ABC) Database and Medicaid HP Data Warehouse as of February 1, 2016.

Table 1b summarizes types of services received by waiver enrollees. In addition to iBudget Florida waiver, individuals may receive services through the Consumer-Directed Care Plus (CDC+) Program, offered as an option under the Medicaid State Plan. The CDC+ Program offers comparable services to the waiver, but it allows greater flexibility and more choice in client selection of providers and services. Table 1b also includes two types of services funded by APD that are not part of Medicaid: Individual and Family Supports (IFS) and Room and Board. The former, paid from General Revenue and the Social Services Block Grant, comprises services to persons not eligible for waiver services, services to persons waiting for waiver enrollment, and services to persons enrolled in a waiver for which the service is not a waiver service. Room and Board, paid entirely from General Revenue, provides payment to licensed residential providers for individuals to cover the basic costs of room and board and supervision.

Table 1b: Types of Services Received by Waiver-Enrolled Clients

| Service Month | Client Counts by Service Category for Billed Services | | | | |
|---------------|---|---------|-----|------------|---------------|
| | iBudget CDC | iBudget | IFS | Room\Board | Client Total* |
| Oct-15 | 2,380 | 27,379 | 468 | 545 | 29,770 |
| Nov-15 | 2,402 | 27,581 | 506 | 566 | 30,030 |
| Dec-15 | 2,430 | 27,716 | 394 | 426 | 30,127 |

*Clients are counted only once regardless of the number of different services they received. Based on historical payment patterns, waiver, IFS and Room & Board services are undercounted due to the anticipated unsubmitted claims for the reported service months as of the database effective date.

Source: ABC Database and Medicaid HP Data Warehouse as of February 1, 2016.

1. Services Received by Waiver Enrollees (continued)

In addition to the services cited above, many waiver enrollees receive Medicaid State Plan services. Table 1c summarizes the number and percent of waiver enrollees who use these services.

Table 1c: Clients Using Medicaid State Plan Services
by Month of Service

| Service Month | Total Waiver Enrollment | Medicaid State Plan | |
|---------------|-------------------------|---------------------|--------|
| | | # | % |
| Oct-15 | 31,047 | 17,791 | 57.30% |
| Nov-15 | 31,138 | 17,378 | 55.81% |
| Dec-15 | 31,233 | 16,968 | 54.33% |

Note: Enrolled as of the first day of the month in which the services were received.
Source: ABC Database and Medicaid HP Data Warehouse as of February 1, 2016.

Table 1d lists the number of clients using individual waiver services. Because clients typically use multiple services, the client total at the bottom of the table is an unduplicated count.

Table 1d: Clients Using Individual Waiver Services
by Month of Service

| Service Description | iBudget | | |
|---|---------|--------|--------|
| | Oct-15 | Nov-15 | Dec-15 |
| Adult Dental Services | 905 | 799 | 729 |
| Behavior Analysis - Level 1 | 1,059 | 1,013 | 773 |
| Behavior Analysis - Level 2 | 718 | 680 | 562 |
| Behavior Analysis - Level 3 | 2,027 | 1,869 | 1,585 |
| Behavior Analysis Assessment | 50 | 28 | 26 |
| Behavior Assistant Services | 285 | 271 | 268 |
| CDC Monthly Allowance | 2,365 | 2,388 | 2,409 |
| Consumable Medical Supplies | 6,123 | 5,516 | 5,327 |
| Dietitian Services | 66 | 65 | 41 |
| Durable Medical Equipment | 58 | 39 | 42 |
| Environmental Accessibility Adaptations | 9 | 8 | 12 |
| Environmental Accessibility Adaptations -- Assessment | 21 | 16 | 2 |
| Incontinence Supplies; All Types | 6,386 | 6,170 | 5,966 |
| Life Skills Development - Level 1 (Companion) | 3,286 | 3,142 | 2,990 |
| Life Skills Development - Level 2 (Supported Empl - Group) | 29 | 25 | 7 |
| Life Skills Development - Level 2 (Supported Empl - Individual) | 1,433 | 1,371 | 1,197 |
| Life Skills Development - Level 3 (ADT) - Facility Based | 11,172 | 10,762 | 9,593 |
| Life Skills Development - Level 3 (ADT) - Off Site | 120 | 120 | 60 |

1. Services Received by Waiver Enrollees (continued)

Table 1d: Clients Using Individual Waiver Services (continued)

| Service Description | iBudget | | |
|---|---------------|---------------|---------------|
| | Oct-15 | Nov-15 | Dec-15 |
| Occupational Therapy | 436 | 422 | 404 |
| Occupational Therapy - Assessment | 5 | 0 | 2 |
| Personal Emergency Response System - Installation | 0 | 2 | 1 |
| Personal Emergency Response System - Service | 79 | 118 | 126 |
| Personal Supports | 10,375 | 10,126 | 9,771 |
| Physical Therapy | 859 | 840 | 813 |
| Physical Therapy - Assessment | 10 | 13 | 2 |
| Private Duty Nursing | 236 | 235 | 230 |
| Residential Habilitation - Assisted Living Facility (month) | 378 | 368 | 356 |
| Residential Habilitation - Behavioral Focus (day) | 38 | 38 | 30 |
| Residential Habilitation - Intensive Behavior (day) | 403 | 401 | 361 |
| Residential Habilitation - Intensive Behavior CTEP (day) | 157 | 158 | 158 |
| Residential Habilitation - Standard (day) | 218 | 225 | 226 |
| Residential Habilitation (month) | 7,250 | 7,089 | 6,600 |
| Residential or Skilled Nursing - LPN | 113 | 105 | 90 |
| Residential or Skilled Nursing - RN | 40 | 32 | 35 |
| Respiratory Therapy | 30 | 30 | 30 |
| Respiratory Therapy - Assessment | 0 | 0 | 0 |
| Respite (under 21 only) | 1,659 | 1,618 | 1,545 |
| Respite, Skilled | 5 | 5 | 4 |
| Special Medical Home Care | 18 | 19 | 18 |
| Specialized Mental Health Assessment | 1 | 1 | 2 |
| Specialized Mental Health Counseling | 211 | 173 | 162 |
| Speech Therapy | 459 | 435 | 401 |
| Speech Therapy - Assessment | 0 | 0 | 0 |
| Support Coordination | 24,091 | 23,604 | 22,895 |
| Support Coordination - CDC Consultant | 1,800 | 1,771 | 1,600 |
| Support Coordination (Enhanced) | 7 | 5 | 7 |
| Support Coordination (Limited) | 2,755 | 2,610 | 2,450 |
| Support Coordination (Limited) - CDC | 391 | 376 | 344 |
| Supported Living Coaching | 3,532 | 3,339 | 3,128 |
| Transportation - mile | 97 | 87 | 27 |
| Transportation - month | 1,157 | 1,153 | 1,090 |
| Transportation - trip | 6,831 | 6,449 | 5,785 |
| Unduplicated Client Count | 29,731 | 30,181 | 30,099 |

Note: Based on historical payment patterns waiver services are incomplete due to anticipated unsubmitted claims.
Source: Medicaid HP Data Warehouse as of February 1, 2016.

2. Services Received by Persons on the Waiting List

Table 2a lists APD services received in October, November, and December 2015 by individuals who requested enrollment in a waiver but were not enrolled as of the first day of the respective months. Funding for these services came from General Revenue and the Social Services Block Grant. Individuals on the Waiting List ages 21 or younger may also receive services from the Florida Department of Education, Medicaid State Plan and other state and local resources.

Table 2a: Client Counts of Services Provided
by APD to Clients Waiting for Waiver Services as
of October 1, November 1, and December 1, 2015

| | Oct-15 | Nov-15 | Dec-15 |
|---|---------------|---------------|---------------|
| Total Wait List at Beginning of Month* | 21,467 | 21,459 | 21,544 |
| Paid Service | | | |
| ADULT DAY TRAINING | 330 | 303 | 237 |
| BEHAVIOR ANALYSIS | 9 | 13 | 5 |
| COMMUNITY BASED EMPLOYMENT | 236 | 176 | 89 |
| DENTAL SERVICES | 1 | | |
| ELIGIBILITY AND PLANNING | 2 | | 2 |
| HOME ASSISTANCE | 26 | 25 | 24 |
| LONG-TERM RESIDENTIAL SVS | 25 | 26 | 22 |
| MEDICAL SERVICES | 2 | 2 | 1 |
| PERSONAL/FAMILY CARE SVS | 35 | 28 | 25 |
| OCCUPATIONAL THERAPY | | | |
| PHYSICAL THERAPY | | | |
| PSYCHOLOGICAL THERAPY | 56 | 50 | 41 |
| RECREATIONAL THERAPY | | | |
| RESIDENTIAL HABILITATION SVS | 28 | 25 | 21 |
| RESPIRE CARE | 70 | 53 | 32 |
| SPEECH THERAPY | | | |
| SUPPLIES/EQUIPMENT | 34 | 20 | 22 |
| SUPPORT COORDINATION | 585 | 658 | 705 |
| SUPPORTED LIVING | 33 | 25 | 23 |
| TRANSPORTATION | 139 | 130 | 114 |
| PRESUPPORTED TRANSITIONAL LIVING | 38 | 34 | 26 |
| Unduplicated Client Total | 1,338 | 1,291 | 1,143 |

Source: Waiting List and ABC Databases as of February 1, 2016.

Table 2b provides client counts of persons on the Waiting List who received APD services (see Table 2a) or Medicaid State Plan services. The APD services are provided with state General Revenue and Social Services Block Grant funds. Because some clients received both APD and Medicaid State Plan services, the client count in the fourth row is an unduplicated total rather than a sum of the two prior rows. The last two rows in the table provide information on Waiting List clients who received neither APD services nor Medicaid State Plan services. Note that some Waiting List clients are not currently eligible for Medicaid State Plan services.

Table 2b: Client Counts of Non-Medicaid and Medicaid State Plan Services Received by Clients Waiting for Services as of October 1, November 1, and December 1, 2015*

| Row | | Service Month | | |
|-----|---|---------------|---------------|---------------|
| | | Oct-15 | Nov-15 | Dec-15 |
| 1 | Total Waiting List at Beginning of Month* | 21,467 | 21,459 | 21,544 |
| 2 | Client Count for APD Non-Medicaid Services | 1,338 | 1,291 | 1,143 |
| 3 | Client Count for Medicaid State Plan Medical, Facility, and Pharmacy Services*** | 11,069 | 11,166 | 10,997 |
| 4 | All Waiting List Clients Receiving Services** | 11,821 | 11,876 | 11,722 |
| 5 | Count of Waiting List Clients Not Receiving Services | 9,646 | 9,583 | 9,822 |
| 6 | Percent of Waiting List Not Receiving Services | 44.93% | 44.66% | 45.59% |

*Clients are counted only once regardless of the number of different services they received.

** Unduplicated count for the clients receiving Medicaid services or APD services or both.

***Based on historical payment patterns, Medicaid State Plan services may be undercounted due to unsubmitted claims for the reported service months as of the effective date.

Source: Waiting List, ABC Databases and Medicaid HP Data Warehouse as of February 1, 2016.

3. Waiver Enrollment in Fiscal Year 2015-16

Table 3 summarizes new waiver enrollments for FY 2015-16. Crisis cases are enrollees whose needs for services have been determined to require priority enrollment as defined in statute and rule. CBC Children are children on the Waiting List for iBudget Waiver who have open cases in the Department of Children and Families' child welfare system. Beginning in FY 2013-14, the agency received additional appropriation to begin offering enrollment to individuals from the Waiting List as specified in proviso.

Table 3: New Waiver Enrollment

| Month | Crisis | Waitlist Offered & Enrolled | CBC Kids Enrolled | Total |
|-----------------|-----------------|--|--------------------------|--------------|
| Enrolled | Enrolled | | | |
| Oct-13 | 58 | 7 | 2 | 67 |
| Nov-13 | 43 | 385 | 3 | 431 |
| Dec-13 | 49 | 56 | 4 | 109 |
| Jan-14 | 40 | 42 | 3 | 85 |
| Feb-14 | 39 | 14 | 2 | 55 |
| Mar-14 | 35 | 6 | 6 | 47 |
| Apr-14 | 44 | 15 | 4 | 63 |
| May-14 | 63 | 10 | 4 | 77 |
| Jun-14 | 52 | 137 | 5 | 194 |
| Jul-14 | 54 | 94 | 5 | 153 |
| Aug-14 | 41 | 649 | 4 | 694 |
| Sep-14 | 57 | 37 | 2 | 96 |
| Oct-14 | 61 | 43 | 3 | 107 |
| Nov-14 | 61 | 16 | 3 | 80 |
| Dec-14 | 53 | 10 | 7 | 70 |
| Jan-15 | 31 | 20 | 1 | 52 |
| Feb-15 | 47 | 14 | 8 | 69 |
| Mar-15 | 53 | 11 | 4 | 68 |
| Apr-15 | 84 | | 9 | 93 |
| May-15 | 76 | | 5 | 81 |
| Jun-15 | 55 | 11 | 4 | 70 |
| Jul-15 | 91 | 16 | 16 | 123 |
| Aug-15 | 71 | 6 | 8 | 85 |
| Sep-15 | 101 | 11 | 18 | 130 |
| Oct-15 | 105 | 10 | 16 | 131 |
| Nov-15 | 87 | 35 | 9 | 131 |
| Dec-15 | 57 | 644 | 7 | 708 |
| Total | 1,608 | 2,299 | 162 | 4,069 |

Source: APD Database as of February 1, 2016 and other ABC tracking systems.

4. Length of Wait for Waiver Services

Table 4 displays a frequency distribution of the length of time individuals have been waiting for waiver services. Agency policy allows people to remain on the Waiting List if they currently do not need services or do not qualify for Medicaid at the time. These counts include individuals who declined waiver enrollment offers and those who received other state assistance.

Table 4: Length of Wait for Any Waiver Services
as of February 1, 2016

| Length of Wait | Date Placed on Wait List | Wait List Clients | |
|-------------------------|-------------------------------------|-------------------|--------|
| | | # | % |
| 1 Year or Less | February 1, 2015 or later | 1,774 | 8.6 |
| 1+ to 2 Years | February 1, 2014 - January 31, 2015 | 1,558 | 7.5 |
| 2+ to 3 Years | February 1, 2013 - January 31, 2014 | 1,113 | 5.4 |
| 3+ to 4 Years | February 1, 2012 - January 31, 2013 | 1,385 | 6.7 |
| 4+ to 5 Years | February 1, 2011 - January 31, 2012 | 1,339 | 6.5 |
| 5+ to 6 Years | February 1, 2010 - January 31, 2011 | 1,557 | 7.5 |
| 6+ to 7 Years | February 1, 2009 - January 31, 2010 | 1,625 | 7.8 |
| 7+ to 8 Years | February 1, 2008 - January 31, 2009 | 1,531 | 7.4 |
| 8+ to 9 Years | February 1, 2007 - January 31, 2008 | 1,657 | 8.0 |
| 9+ to 10 Years | February 1, 2006 - January 31, 2007 | 1,745 | 8.4 |
| More than 10 Years | On or before January 31, 2006 | 5,443 | 26.3 |
| Total Wait List* | | 20,727 | 100.0% |

Source: Waiting List Database as of February 1, 2016.

5. Projected Waiver Costs and Appropriations

Table 5 provides information concerning projected waiver costs compared to the available appropriations and any projected surpluses or deficits.

Table 5: Fiscal Year 2015-16 Waiver Budget Forecast

| FY 2015-16 APD WAIVER PROJECTIONS | General Revenue | Trust Funds | Total |
|--|------------------|-----------------|-----------------|
| Blended rate adopted by the SSEC for FY 2015-16 | 0.3949 | 0.6051 | |
| Appropriation | \$ 393,639,949 | \$ 601,153,957 | \$ 994,793,906 |
| Corrected FMAP Adjustment | | | \$ - |
| Agency Budget Amendment - Transfer from AHCA ICF/DD to Waiver | | | \$ - |
| New Appropriation | \$ 393,639,949 | \$ 601,153,957 | \$ 994,793,906 |
| Less FY 2014-15 Projected Deficit | \$ - | \$ - | \$ - |
| Less Projected FY 2015-16 Expenditures | \$ (393,639,949) | \$(601,153,957) | \$(994,793,906) |
| Less FY 2014-15 Expenditures Paid in FY 2015-16 (Due to Reversion) | \$ (57,074,673) | \$ (87,454,760) | \$(144,529,433) |
| Total Projected APD Waiver Balance FY 2015-16 | \$ (57,074,673) | \$ (87,454,760) | \$(144,529,433) |

Per section 40, Chapter 2015-232, Laws of Florida, the unexpended balance of the HCBS Waiver category is reverted and appropriated for FY 2015-16 in the Lump Sum – Home and Community Based Services Waiver category (092003). This reversion was posted before the June 30, 2015 invoice was paid and before a certified forward payable was established. As a result, the June 2015 invoice and all subsequent invoices for FY 2014-15 were paid from FY 2015-16 current year funds.